

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
December 31, 2002

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
	(a)	(b)			(4% of Seg, SEC or \$250,000	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
1 ABN AMRO INCORPORATED	Y	CBOT	11/30/2002	1,169,382,495	134,222,570	79,791,752	1,035,159,925	1,419,514,824	51,584,448
2 ABN AMRO SAGE CORPORATION	Y	NFA	11/30/2002	57,719,348	4,017,952	4,017,952	53,701,396	66,378,312	0
3 ADM INVESTOR SERVICES INC	N	CBOT	11/30/2002	67,871,394	15,381,028	17,445,661	50,425,733	408,431,487	9,840,894
4 ADVEST INC	Y	NFA	11/30/2002	13,829,540	1,000,000	0	12,829,540	0	0
5 AG EDWARDS & SONS INC	Y	CBOT	11/30/2002	581,146,469	42,276,527	2,370,846	538,869,942	66,691,994	43,105
6 AGE COMMODITY CLEARING CORP	N	CBOT	11/30/2002	13,676,203	1,460,680	2,302,623	11,373,580	44,083,452	0
7 AIG CLEARING CORPORATION	N	NYME	11/30/2002	120,577,105	250,000	4,738,244	115,838,861	0	0
8 ALARON TRADING CORPORATION	N	CME	11/30/2002	3,066,147	1,797,344	942,266	1,268,803	49,539,192	110,825
9 ALCO COMMODITIES INC	N	NFA	11/30/2002	461,757	250,000	0	211,757	0	0
10 AMERICAN NATIONAL TRADING CORP	N	NFA	11/30/2002	965,799	362,352	239,437	603,447	10,830,730	0
11 BANC OF AMERICA FUTURES INCORPORATED	Y	CME	11/30/2002	64,179,973	7,973,502	23,799,610	40,380,363	199,115,622	221,924
12 BANC ONE CAPITAL MARKETS INC	Y	CBOT	11/30/2002	307,953,342	4,515,101	8,746,164	299,207,178	239,292,276	8,901,396
13 BARCLAYS CAPITAL INC	Y	CBOT	11/29/2002	339,048,678	51,678,917	93,819,025	245,229,653	1,274,601,414	55,319,275
14 BEAR STEARNS & CO INC	Y	CBOT	10/31/2002	1,462,600,741	38,447,045	0	1,424,153,696	0	0
15 BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2002	2,232,111,649	709,420,212	87,701,288	1,522,691,437	1,503,613,959	161,415,336
16 BIELFELDT & COMPANY LLC	N	CBOT	11/30/2002	416,367	250,000	0	166,367	0	0
17 BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	11/30/2002	12,772,577	3,085,643	11,431,302	1,341,275	77,141,070	0
18 BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/30/2002	51,064,321	9,911,837	12,635,436	38,428,885	267,204,522	13,851,866
19 BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2002	283,627,654	288,383	0	283,339,271	0	0
20 BNY CLEARING SERVICES LLC	Y	CFTC	10/31/2002	82,683,248	14,506,194	0	68,177,054	0	0
21 BOSTON CABOT LLC	Y	NFA	11/30/2002	528,714	250,000	0	278,714	0	0
22 C CZARNIKOW SUGAR FUTURES INC	N	NFA	11/30/2002	1,564,019	250,000	0	1,314,019	2,260,165	0
23 CANTOR FITZGERALD & CO	Y	CBOT	11/30/2002	35,231,866	1,715,972	51	33,515,894	209,379	0
24 CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2002	623,801	250,000	0	373,801	0	0
25 CARGILL INVESTOR SERVICES INC	N	CBOT	11/30/2002	55,927,036	37,263,553	44,737,351	11,189,685	937,180,775	64,853,640
26 CARR FUTURES INC	Y	CME	11/30/2002	184,643,838	93,379,591	115,310,333	69,333,505	2,270,526,300	1,211,788,162
27 CDC SECURITIES	Y	NFA	11/30/2002	77,192,670	250,000	0	76,942,670	0	0
28 CIBC WORLD MARKETS CORP	Y	NYME	11/30/2002	895,083,671	23,906,202	12,056,741	871,177,469	4,924,533	0
29 CLIFDEN FUTURES LLC	N	NFA	11/30/2002	432,298	250,000	0	182,298	479,742	0
30 COES FX CLEARING INC	N	NFA	11/29/2002	490,452	250,000	0	240,452	0	0
31 COMMERZ FUTURES LLC	Y	CME	11/30/2002	8,208,815	2,693,683	4,079,653	4,129,162	70,490,249	3,043,882
32 COUNTRY HEDGING INC	N	NFA	11/30/2002	7,375,686	1,220,675	3,113,299	4,262,387	37,267,064	73,626
33 CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	11/30/2002	22,422,346	3,741,334	17,129,948	5,292,398	162,664,234	745,781
34 CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	11/30/2002	2,917,642,369	62,327,398	59,536,355	2,855,314,971	1,031,045,359	225,743,284
35 CROSSLAND LLC	N	CBOT	11/29/2002	3,710,304	261,798	68,975	3,448,506	6,662,657	0
36 CUNNINGHAM COMMODITIES INC	N	CBOT	11/30/2002	1,780,652	250,000	146,125	1,530,652	5,503,653	79,282
37 DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2002	96,831,115	4,021,455	6,341,490	90,489,625	99,095,342	1,441,025
38 DAVID A NOYES & CO	Y	NFA	11/30/2002	1,740,079	250,000	0	1,490,079	0	0
39 DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/30/2002	878,085,487	90,003,501	128,046,680	750,038,807	1,214,069,144	205,405,367
40 DIRECT TRADING GROUP LLC	N	NFA	11/30/2002	1,889,383	250,000	29,206	1,639,383	4,054,939	0
41 DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	11/30/2002	1,226,147,000	94,335,280	0	1,131,811,720	0	0
42 DORMAN TRADING LLC	N	CME	11/30/2002	5,615,807	673,254	141,368	4,942,553	16,918,422	0
43 DUNAVANT COMMODITY CORP	N	NYCE	09/30/2002	17,906,530	1,012,962	25,730	16,893,568	26,163,988	0
44 EAGLE MARKET MAKERS INC	N	CBOT	11/30/2002	1,970,113	250,000	21,520	1,720,113	1,898,560	34,063
45 ED & F MAN COMMODITY ADVISORS INC	N	NFA	11/30/2002	383,033	250,000	0	133,033	0	0
46 EM COMBS & SON	N	CBOT	11/30/2002	1,912,882	250,000	21,102	1,662,882	2,713,034	0
47 FAHNESTOCK & CO INC	Y	NFA	11/30/2002	158,939,621	7,832,254	0	151,107,367	631,553	0
48 FARR FINANCIAL INC	N	NFA	11/30/2002	858,659	459,466	172,766	399,193	11,784,340	58,527
49 FC STONE LLC	N	CME	11/30/2002	17,709,368	8,996,004	11,010,716	6,698,652	266,864,881	767,707
50 FCT GROUP LLC	N	CME	11/30/2002	3,171,759	250,000	447,999	2,723,760	5,778,565	0

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51 FIMAT USA INC	Y	CME	11/30/2002	186,650,385	59,024,969	100,175,347	86,475,038	2,440,363,713	299,105,751
52 FIRST CAPITOL GROUP LLC	N	NFA	11/30/2002	1,710,760	805,492	564,081	905,268	23,249,544	6,084
53 FIRST OPTIONS OF CHICAGO INC	Y	CME	11/29/2002	201,341,208	10,192,244	30,446,005	170,895,203	518,868,032	11,570,785
54 FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2002	9,042,148	250,000	0	8,792,148	0	0
55 FORTIS CLEARING CHICAGO LLC	N	CBOT	11/30/2002	6,601,397	1,287,326	920,873	5,314,071	34,481,507	1,894,718
56 FRIEDBERG MERCANTILE GROUP INC	Y	NFA	11/30/2002	1,634,024	250,000	71,652	1,384,024	5,331,764	0
57 FRONTIER FUTURES INC	N	NFA	11/30/2002	1,316,538	443,267	392,310	873,271	13,868,936	0
58 FUTURES TECH LLC	N	NFA	11/30/2002	402,051	250,000	0	152,051	0	0
59 FX SOLUTIONS LLC	N	NFA	11/30/2002	262,286	250,000	0	12,286	0	0
60 G7 CAPITAL MARKETS LP	N	NFA	11/30/2002	1,244,460	250,000	0	994,460	0	0
61 GAIN CAPITAL	N	NFA	11/30/2002	2,254,113	250,000	0	2,004,113	0	0
62 GELBER GROUP LLC	N	CBOT	11/30/2002	12,348,809	635,480	104,383	11,713,329	16,504,837	77,298
63 GFS SECURITIES & FUTURES INC	N	NFA	11/30/2002	277,082	250,000	0	27,082	0	0
64 GILDER GAGNON HOWE AND CO LLC	Y	NFA	11/30/2002	31,167,444	250,000	0	30,917,444	0	0
65 GLOBAL FUTURES & FOREX LTD	N	NFA	11/30/2002	3,463,669	250,000	0	3,213,669	0	0
66 GNI INCORPORATED	N	CBOT	11/30/2002	12,692,952	5,848,049	5,786,307	6,844,903	138,129,577	9,382,689
67 GOLDENBERG HEHMEYER & CO	Y	CBOT	11/30/2002	13,327,109	1,563,997	1,546,785	11,763,112	56,211,644	3,300,094
68 GOLDMAN SACHS & CO	Y	CBOT	10/25/2002	4,133,601,841	655,420,841	423,476,415	3,478,181,000	7,821,364,953	3,383,063,652
69 GREENWICH CAPITAL MARKETS INC	Y	CBOT	11/30/2002	849,463,000	17,803,000	15,131,160	831,660,000	150,457,000	552,000
70 HAGERTY GRAIN CO INC	N	CBOT	11/30/2002	1,669,701	250,000	57,425	1,419,701	5,179,855	0
71 HORNBLLOWER FISCHER & CO	Y	NFA	11/30/2002	1,986,890	252,351	187,047	1,734,539	5,807,466	0
72 HOTSPOT FX INC	N	NFA	11/30/2002	3,672,969	250,000	0	3,422,969	0	0
73 HSBC SECURITIES USA INC	Y	CME	11/30/2002	270,820,786	14,302,504	25,042,777	245,778,009	245,634,562	26,069,105
74 INTEGRATED BROKERAGE SERVICES INC	N	NFA	11/30/2002	622,688	250,000	0	372,688	649,493	0
75 INTERACTIVE BROKERS LLC	Y	NFA	11/30/2002	79,627,208	1,747,899	574,125	77,879,309	6,602,310	2,081,856
76 INVESTEC ERNST & COMPANY	N	NFA	11/30/2002	33,535,592	1,500,000	0	32,035,592	0	0
77 IOWA GRAIN CO	N	CBOT	11/30/2002	7,698,833	3,669,112	3,349,490	4,029,721	95,338,463	4,886
78 JP MORGAN FUTURES INC	N	NYME	11/30/2002	436,194,574	176,639,575	269,532,366	166,662,208	4,420,274,622	578,311,156
79 JULIUS BAER SECURITIES INC	Y	NFA	11/30/2002	11,584,566	1,469,886	0	10,114,680	0	0
80 KOTTKE ASSOCIATES LLC	N	CBOT	11/30/2002	9,735,812	475,568	169,363	9,260,244	15,956,907	3,605
81 LADENBURG THALMANN & CO INC	Y	NFA	11/30/2002	3,982,149	1,000,000	0	2,982,149	0	0
82 LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	11/30/2002	2,563,578	250,000	42,398	2,313,578	5,509,691	0
83 LBS LIMITED PARTNERSHIP	N	CBOT	11/30/2002	437,233	250,000	0	187,233	0	0
84 LEADER INVESTMENTS INC	Y	NFA	11/30/2002	788,504	250,000	0	538,504	0	0
85 LEGG MASON WOOD WALKER INC	Y	NFA	11/30/2002	346,807,637	20,443,734	0	326,363,903	0	0
86 LEHMAN BROTHERS INC	Y	CBOT	10/31/2002	1,607,368,000	150,497,880	139,303,720	1,456,870,120	1,496,159,000	26,517,000
87 LINN GROUP (THE)	N	NFA	11/30/2002	529,134	250,000	0	279,134	0	0
88 LINSKO/PRIVATE LEDGER CORP	Y	NFA	11/30/2002	94,697,147	3,574,351	0	91,122,796	0	0
89 LOEB PARTNERS CORPORATION	Y	NFA	11/30/2002	25,399,829	250,000	0	25,149,829	0	0
90 MAN FINANCIAL INC	Y	CME	11/30/2002	170,888,221	88,399,395	83,369,435	82,488,826	1,995,956,957	338,549,245
91 MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	11/30/2002	2,898,932	250,000	0	2,648,932	0	0
92 MAXCOR FINANCIAL INC	Y	NFA	11/30/2002	23,019,822	250,000	0	22,769,822	0	0
93 MBF CLEARING CORP	N	NYME	11/30/2002	6,439,931	2,325,478	560,153	4,114,453	57,719,286	744,844
94 MCVAN TRADING AND INVESTMENTS LLC	N	NFA	11/30/2002	7,342,874	4,695,447	877,142	2,647,427	119,926,955	0
95 MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/29/2002	2,560,327,932	335,189,202	201,917,787	2,225,138,730	4,694,711,967	343,927,482
96 MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/29/2002	946,006,544	20,699,026	43,299,393	902,707,151	564,414,445	0
97 MID-CO COMMODITIES INC	N	NFA	11/30/2002	3,557,846	631,919	930,250	2,627,596	16,482,965	0
98 MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	11/29/2002	2,531,089	250,000	12,040	2,281,089	870,129	0
99 MIZUHO SECURITIES USA INC	Y	CME	11/30/2002	178,200,945	11,032,912	18,518,799	159,682,146	286,907,509	30,646,081
100 MONEY GARDEN CORP	N	NFA	11/30/2002	3,421,501	250,000	0	3,171,501	0	0

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
101	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2002	151,914,376	9,652,403	0	142,261,973	0	0
102	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2002	4,995,920,738	568,154,466	151,787,436	4,427,766,272	2,796,466,290	962,045,085
103	MORGAN STANLEY DW INC	Y	CBOT	11/30/2002	1,319,673,664	100,768,096	2,393,903	1,218,905,568	597,575,088	1,004,265,768
104	NATIONAL COMMODITIES CORPORATION INC	N	NFA	11/29/2002	1,736,574	250,000	186,037	1,486,574	10,296,771	0
105	NEUBERGER BERMAN LLC	Y	NFA	11/30/2002	249,754,570	17,841,556	620,440	231,913,014	16,135,648	0
106	NEXUS TRADING LLC	N	NFA	11/30/2002	264,613	250,000	0	14,613	0	0
107	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	11/30/2002	8,370,714	250,000	0	8,120,714	0	0
108	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/30/2002	503,012,555	7,606,379	1,122,374	495,406,176	16,223,755	0
109	OCONNOR & COMPANY LLC	Y	CBOT	11/30/2002	36,142,417	2,546,164	2,009,993	33,596,253	65,987,190	0
110	PACKERS TRADING CO INC	N	NFA	11/30/2002	3,945,229	250,000	282,447	3,662,782	5,765,829	0
111	PATTERSON CAPITAL MARKETS LTD	N	CBOT	11/30/2002	443,118	250,000	0	193,118	0	0
112	PAX CLEARING CORPORATION	Y	CME	11/30/2002	38,898,742	2,273,309	1,671,722	36,625,433	59,526,304	0
113	PENSON FINANCIAL FUTURES INC	N	NFA	11/30/2002	475,855	250,000	2,988	225,855	151,165	0
114	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2002	10,179,767	3,519,516	1,592,968	6,660,251	89,858,778	703,193
115	PIONEER FUTURES INC	N	NYME	11/30/2002	5,821,083	2,767,958	1,307,157	3,053,125	77,299,177	615,155
116	PREFERRED TRADE INC	Y	NFA	11/30/2002	10,062,305	715,917	0	9,346,388	0	0
117	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	11/29/2002	653,265,000	71,401,780	47,106,960	581,863,220	983,395,000	62,712,000
118	RAND FINANCIAL SERVICES INC	N	CME	11/30/2002	13,622,587	5,637,318	11,264,302	2,358,285	142,556,000	11,854,500
119	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/29/2002	286,418,280	21,298,898	42,762	265,119,382	0	0
120	RB&H FINANCIAL SERVICES LP	N	CME	11/30/2002	2,950,352	1,805,239	970,535	1,145,113	48,765,902	220,089
121	RBC DAIN RAUSCHER INC	Y	NFA	11/30/2002	240,604,755	26,839,500	0	213,765,255	0	0
122	RBC DOMINION SECURITIES CORPORATION	Y	CME	11/30/2002	90,608,700	1,000,000	1,221,756	89,386,944	0	0
123	REDSKY SECURITIES INC	N	NFA	11/30/2002	571,615	250,000	0	321,615	0	0
124	REFCO LLC	N	CME	11/30/2002	173,465,466	106,541,062	102,786,377	66,924,404	2,998,277,218	40,504,284
125	RJ OBRIEN ASSOCIATES INC	N	CME	11/29/2002	33,363,269	15,125,417	14,913,922	18,237,852	397,891,149	5,954,442
126	ROBBINS FUTURES INC	N	NFA	11/30/2002	413,470	250,000	71,388	163,470	6,233,895	0
127	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2002	52,115,963	10,333,913	7,462,591	41,782,050	279,352,160	478,334
128	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	11/30/2002	13,319,246	1,353,779	0	11,965,467	0	0
129	ROTHSCHILD INC	N	NYME	11/30/2002	22,626,422	250,000	0	22,376,422	0	0
130	SALOMON SMITH BARNEY INC	Y	CBOT	11/30/2002	3,048,203,468	380,684,155	172,905,888	2,667,519,313	4,888,492,213	84,017,296
131	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/30/2002	156,133,147	11,418,185	0	144,714,962	0	0
132	SENTINEL MANAGEMENT GROUP INC	N	NFA	11/30/2002	1,056,342	250,000	0	806,342	448,559,654	0
133	SG COWEN SECURITIES CORPORATION	Y	NFA	11/30/2002	756,426,482	1,803,995	0	754,622,487	0	0
134	SHATKIN ARBOR KARLOV & CO	N	CBOT	11/30/2002	6,172,320	431,223	87,553	5,741,097	11,002,019	192,929
135	SHAY GRAIN CLEARING COMPANY	N	KCBT	09/30/2002	792,447	250,000	25,454	542,447	2,900,780	0
136	SHEPARD INTERNATIONAL INC	N	NFA	11/30/2002	2,201,564	250,000	0	1,951,564	0	0
137	SHERWOOD FUTURES GROUP LLC	N	NFA	11/30/2002	604,837	250,000	0	354,837	8,359,023	0
138	SIEGEL TRADING CO INC THE	N	NFA	11/30/2002	417,355	250,000	0	167,355	1,232,913	0
139	SMW TRADING COMPANY INC	N	CME	11/29/2002	7,098,933	578,942	333,172	6,519,991	14,473,558	0
140	SPEAR LEEDS & KELLOG	Y	NYME	10/25/2002	1,334,834,009	43,303,878	3,700,582	1,291,530,131	172,698,336	67,250
141	STAFF USA INC	N	NFA	11/30/2002	340,594	250,000	0	90,594	0	0
142	STEPHENS INC	Y	NFA	11/29/2002	63,627,699	11,134,055	0	52,493,644	0	0
143	STERLING COMMODITIES CORP	N	NYME	11/30/2002	5,551,117	863,779	191,103	4,687,338	21,455,425	641,880
144	SWISS AMERICAN SECURITIES INC	Y	NFA	11/30/2002	54,174,783	4,146,999	0	50,027,784	0	0
145	TCA FUTURES LLC	N	NFA	11/30/2002	411,652	250,000	0	161,652	0	0
146	TENCO INC	N	CBOT	11/30/2002	27,370,993	1,808,382	2,225,329	25,145,664	46,927,214	0
147	TIMBER HILL LLC	Y	CME	11/30/2002	375,054,012	1,000,000	590,007	374,054,012	10,826,938	0
148	TOKYO GENERAL USA INC	N	NFA	11/30/2002	473,857	250,000	4,598	223,857	1,155,006	0
149	TOKYO-MITSUBISHI FUTURES USA INC	N	CME	11/30/2002	18,895,614	250,000	4,459,616	14,435,998	5,285,173	0
150	TRADELINK LLC	Y	CBOT	11/30/2002	35,948,443	250,000	384,728	35,563,715	0	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
December 31, 2002

	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement (4% of Seg, SEC or \$250,000	Risk Based Requirement Capital (c)	Excess Net Capital (d)	Customers' Seg Required 4d(2) (e)	Customer Amount Pt. 30 Required (f)
Futures Commission Merchant									
151	Y	CBOT	11/30/2002	9,771,820	1,102,444	0	8,669,376	0	0
152	N	NYME	11/30/2002	19,241,615	672,908	463,343	18,568,707	16,843,430	0
153	Y	CBOT	11/30/2002	1,502,957,832	209,405,144	15,845,109	1,293,552,688	807,903,436	20,956,230
154	Y	CBOT	11/30/2002	1,999,104,990	148,688,899	175,085,706	1,824,019,284	2,766,687,670	626,343,300
155	N	CME	11/30/2002	16,631,976	250,000	1,295,523	15,336,453	0	0
156	N	NFA	11/29/2002	611,235	250,000	116,873	361,235	2,749,276	0
157	Y	NFA	11/30/2002	271,850,460	9,138,555	0	262,711,905	0	0
158	Y	NFA	11/30/2002	352,997	250,000	0	102,997	0	0
159	N	NFA	11/30/2002	438,440	250,000	0	188,440	0	0
160	N	NFA	11/30/2002	12,706,136	5,361,192	4,109,473	7,344,944	138,255,983	35,709
161	Y	CME	11/30/2002	522,156,563	1,000,000	0	521,156,563	0	0
162	N	NFA	11/30/2002	382,710	250,000	0	132,710	0	0
163	Y	NFA	11/29/2002	81,987,803	4,235,018	0	77,752,785	0	0
164	N	NFA	11/30/2002	1,751,226	250,000	0	1,501,226	0	0
165	N	NFA	11/30/2002	2,064,566	250,000	0	1,814,566	0	0
166	N	NFA	11/30/2002	1,745,636	250,000	0	1,495,636	22,836	0
				45,063,841,041				55,016,148,023	9,892,739,190
Totals									
Name Changes from October 2002 Update									
None									
Reconciliation from October Web Page Update									
	164								
Additions									
1. REDSKYSECURITIES INC									
2. G7 Capital Markets LP									
Deletions									
None									
	166								
November Web Page Update									
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.				(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.			(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.		
(b): DSRO: Designated Self-Regulatory Organization.				(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.			Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.		
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, which was effective 10/31/00.									