

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
May 31, 2002

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg. SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
1	ABN AMRO INCORPORATED	Y	CBOT	04/30/2002	1,246,949,119	97,476,525	93,757,993	1,149,472,594	1,798,020,093	39,329,464
2	ABN AMRO SAGE CORPORATION	Y	NFA	04/30/2002	48,172,693	3,935,394	4,616,407	43,556,286	126,870,282	0
3	ADM INVESTOR SERVICES INC	N	CBOT	04/30/2002	69,630,510	11,139,053	11,652,927	57,977,583	300,253,942	8,350,838
4	ADVEST INC	Y	NFA	04/30/2002	42,682,073	1,000,000	0	41,682,073	0	0
5	AG EDWARDS & SONS INC	Y	CBOT	04/30/2002	614,294,108	49,659,618	2,136,794	564,634,490	64,247,028	39,304
6	AGE COMMODITY CLEARING CORP	N	CBOT	04/30/2002	10,976,924	1,393,632	2,823,154	8,153,770	40,047,770	0
7	AIG CLEARING CORPORATION	N	NYME	04/30/2002	120,183,243	250,000	4,813,564	115,369,679	0	0
8	ALARON TRADING CORPORATION	N	CME	04/30/2002	4,136,899	1,463,566	629,775	2,673,333	40,786,583	4,492
9	ALCO COMMODITIES INC	N	NFA	04/30/2002	460,066	250,000	0	210,066	0	0
10	AMERICAN NATIONAL TRADING CORP	N	NFA	04/30/2002	665,017	337,507	0	327,510	11,136,229	0
11	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	04/30/2002	62,198,958	9,046,472	16,340,809	45,858,149	226,161,809	0
12	BANC ONE CAPITAL MARKETS INC	Y	CBOT	04/30/2002	469,888,523	72,940,507	139,598,561	330,289,962	2,118,731,338	6,904,505
13	BARCLAYS CAPITAL INC	Y	CBOT	04/30/2002	397,620,152	55,751,833	109,983,316	287,636,836	1,407,314,326	35,336,390
14	BEAR STEARNS & CO INC	Y	NFA	04/30/2002	1,963,237,371	45,856,203	0	1,917,381,168	0	0
15	BEAR STEARNS SECURITIES CORP	Y	CME	04/30/2002	2,379,983,295	647,296,110	99,114,740	1,732,687,185	1,771,320,624	120,151,307
16	BENSON-QUINN COMMODITIES INC	N	NFA	04/30/2002	4,226,877	812,362	1,274,799	2,952,078	21,148,250	51,070
17	BIELFELDT & COMPANY LLC	N	CBOT	04/30/2002	414,644	250,000	0	164,644	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	04/30/2002	6,475,557	250,000	0	6,225,557	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	04/30/2002	33,035,525	544,763	20,176,057	12,859,468	3,256,271	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	04/30/2002	47,409,747	10,342,022	14,544,266	32,865,481	289,936,251	8,336,766
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	04/30/2002	375,243,254	1,625,198	0	373,618,056	0	0
22	BOSTON CABOT LLC	N	NFA	04/30/2002	306,251	250,000	0	56,251	0	0
23	C CZARNIKOW SUGAR FUTURES INC	N	NFA	04/30/2002	1,438,825	250,000	98,960	1,188,825	965,251	916,000
24	CANTOR FITZGERALD & CO	Y	CBOT	04/30/2002	75,757,733	1,113,479	24,231	74,644,254	101,470	0
25	CAPITAL MARKET SERVICES LLC	N	NFA	04/30/2002	426,756	250,000	0	176,756	0	0
26	CARGILL INVESTOR SERVICES INC	Y	CBOT	04/30/2002	78,266,599	35,874,145	60,561,291	17,705,308	1,011,986,829	71,548,024
27	CARR FUTURES INC	Y	CME	04/30/2002	190,085,731	94,044,009	122,056,679	68,029,052	2,193,975,245	1,020,715,068
28	CDC SECURITIES	Y	NFA	04/30/2002	76,754,253	250,000	0	76,504,253	0	0
29	CIBC WORLD MARKETS CORP	Y	NYME	04/30/2002	926,897,225	19,453,047	13,695,980	907,444,178	5,102,786	0
30	CLIFDEN FUTURES LLC	N	NFA	04/30/2002	495,279	250,000	0	245,279	4,270	0
31	COMMERZ FUTURES LLC	Y	CME	04/30/2002	8,638,270	2,267,043	4,261,601	4,376,669	74,488,604	6,813,960
32	COUNTRY HEDGING INC	N	NFA	04/30/2002	3,304,675	712,682	1,171,269	2,133,406	19,190,595	23,231
33	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	04/30/2002	12,655,955	3,414,099	7,400,127	5,255,828	109,478,826	0
34	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	04/30/2002	2,922,019,386	60,799,235	67,601,304	2,854,418,082	1,028,999,849	157,075,978
35	CROSSLAND LLC	N	CBOT	04/30/2002	3,499,203	315,895	153,908	3,183,308	7,955,520	0
36	CUNNINGHAM COMMODITIES INC	N	CBOT	04/30/2002	1,703,680	250,000	29,020	1,453,680	1,503,558	127,708
37	DAIWA SECURITIES AMERICA INC	Y	CME	04/30/2002	238,596,910	5,280,702	4,179,092	233,316,208	83,923,791	2,905,317
38	DEUTSCHE BANK FUTURES INC	N	CME	04/30/2002	142,312,884	23,146,459	118,056,000	24,256,884	612,375,650	9,945,527
39	DEUTSCHE BANK SECURITIES INC	Y	CBOT	04/30/2002	1,038,624,137	70,415,452	34,963,360	968,208,685	842,232,808	161,449,037
40	DIRECT TRADING GROUP LLC	N	NFA	04/30/2002	415,989	250,000	14,972	165,989	973,074	0
41	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	04/30/2002	1,048,478,000	117,821,620	0	930,656,380	0	0
42	DORMAN TRADING LLC	N	CME	04/30/2002	3,486,129	744,818	289,203	2,741,311	18,823,686	0
43	DUNAVANT COMMODITY CORP	N	NYCE	03/31/2002	12,534,961	1,003,704	494,960	11,531,257	27,656,137	0
44	E FUTURES. COM LLC	N	NFA	04/30/2002	1,297,693	489,476	457,213	808,217	21,706,122	113
45	EAGLE MARKET MAKERS INC	N	CBOT	04/30/2002	1,681,462	250,000	0	1,431,462	1,478,859	17,083
46	EM COMBS & SON	N	CBOT	04/30/2002	1,899,190	250,000	0	1,649,190	1,079,143	0
47	FAHNESTOCK & CO INC	Y	NFA	04/30/2002	165,846,308	10,350,604	0	155,495,704	565,380	0
48	FARR FINANCIAL INC	N	NFA	04/30/2002	575,366	373,430	117,387	201,936	9,485,963	54,476
49	FC STONE LLC	N	CME	04/30/2002	17,080,728	6,147,021	6,130,715	10,933,707	191,115,099	656,847

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50	FCT GROUP LLC	N	CME	04/30/2002	3,442,411	250,000	386,434	3,055,977	5,801,197	0
51	FIMAT USA INC	Y	CME	04/30/2002	111,719,650	40,486,357	99,579,272	12,140,378	1,651,004,242	82,788,939
52	FIRST OPTIONS OF CHICAGO INC	Y	CME	04/26/2002	220,037,782	5,523,001	16,702,887	203,334,895	268,429,602	8,426,804
53	FIRST UNION SECURITIES INC	Y	CME	04/30/2002	218,942,135	1,532,142	0	217,409,993	0	0
54	FOREX CAPITAL MARKETS LLC	N	NFA	04/30/2002	2,937,765	250,000	0	2,687,765	0	0
55	FORTIS CLEARING CHICAGO LLC	N	CBOT	04/30/2002	6,254,559	1,199,511	619,722	5,055,048	30,828,741	530,419
56	FOX INC	N	CFTC	04/30/2002	456,618	250,000	0	206,618	0	0
57	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	04/30/2002	1,596,394	250,000	5,506	1,346,394	1,553,650	5,150
58	FRONTIER FUTURES INC	N	NFA	04/30/2002	910,172	284,636	212,330	625,536	8,348,850	0
59	FUTURES TECH LLC	N	NFA	04/30/2002	407,974	250,000	0	157,974	0	0
60	FX SOLUTIONS LLC	N	NFA	04/30/2002	495,684	250,000	0	245,684	0	0
61	GAIN CAPITAL	N	NFA	04/30/2002	1,025,110	250,000	0	775,110	0	0
62	GELBER GROUP LLC	N	CBOT	04/30/2002	5,421,712	679,046	118,816	4,742,666	18,355,346	254,379
63	GFS SECURITIES & FUTURES INC	N	NFA	04/30/2002	306,797	250,000	0	56,797	0	0
64	GILDER GAGNON HOWE AND CO LLC	Y	NFA	04/30/2002	17,807,639	250,000	0	17,557,639	0	0
65	GLOBAL FUTURES & FOREX LTD	N	NFA	04/30/2002	2,072,670	250,000	0	1,822,670	0	0
66	GNI INCORPORATED	N	CBOT	04/30/2002	12,818,100	5,812,497	7,508,318	5,309,782	123,063,566	23,916,693
67	GOLDENBERG HEHMEYER & CO	Y	CBOT	04/30/2002	10,169,829	1,078,173	828,266	9,091,656	30,698,253	2,182,863
68	GOLDMAN SACHS & CO	Y	CBOT	04/26/2002	3,537,093,448	661,765,518	361,130,912	2,875,327,930	5,990,298,847	2,538,454,681
69	GREENWICH CAPITAL MARKETS INC	Y	CBOT	04/30/2002	830,537,000	19,769,000	250,964,094	579,572,906	386,947,000	63,176,000
70	GRUNTAL & CO LLC	Y	NFA	04/26/2002	3,086,011	1,000,000	51,819	2,086,011	2,507,562	0
71	HAGERTY GRAIN CO INC	N	CBOT	04/30/2002	1,524,525	250,000	104,275	1,274,525	5,246,509	0
72	HORNBLOWER FISCHER & CO	Y	NFA	04/30/2002	1,690,256	593,000	301,752	1,097,256	14,889,615	0
73	HOTSPOT FX INC	N	NFA	04/30/2002	1,397,396	250,000	0	1,147,396	0	0
74	HSBC SECURITIES USA INC	Y	CME	04/30/2002	225,741,159	10,577,915	25,903,261	199,837,898	277,289,504	30,013,639
75	INTEGRATED BROKERAGE SERVICES INC	N	NFA	04/30/2002	667,653	250,000	0	417,653	0	0
76	INTERACTIVE BROKERS LLC	Y	NFA	04/30/2002	70,484,349	2,361,258	0	68,123,091	44,489,707	14,541,741
77	IOWA GRAIN CO	N	CBOT	04/30/2002	7,253,339	3,192,659	2,576,924	4,060,680	85,436,185	4,741
78	JP MORGAN FUTURES INC	N	NYME	04/30/2002	447,976,570	145,515,390	234,218,978	213,757,592	3,719,815,101	440,512,183
79	JULIUS BAER SECURITIES INC	Y	NFA	04/30/2002	12,598,408	250,000	0	12,348,408	0	0
80	KOKUSAI AMERICA INCORPORATED	Y	NFA	04/30/2002	21,963,439	250,000	0	21,713,439	0	0
81	KOTTKE ASSOCIATES LLC	N	CBOT	04/30/2002	6,219,584	419,830	129,197	5,799,754	13,890,190	8,519
82	LADENBURG THALMANN & CO INC	Y	NFA	04/30/2002	6,962,537	1,000,000	0	5,962,537	0	0
83	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	04/30/2002	2,551,372	250,000	81,578	2,301,372	5,342,118	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	04/30/2002	348,439	250,000	0	98,439	0	0
85	LEGG MASON WOOD WALKER INC	Y	NFA	04/30/2002	294,066,921	20,610,842	0	273,456,079	0	0
86	LEHMAN BROTHERS INC	Y	CBOT	04/30/2002	1,730,140,000	113,939,080	106,597,840	1,616,200,920	1,543,166,000	15,031,000
87	LINN GROUP THE	C	NFA	04/30/2002	522,106	250,000	0	272,106	0	0
88	LINSCO/PRIVATE LEDGER CORP	Y	NFA	04/30/2002	88,465,043	4,266,722	0	84,198,321	0	0
89	LOEB PARTNERS CORPORATION	Y	NFA	04/30/2002	15,214,522	250,000	0	14,964,522	0	0
90	MACQUARIE FUTURES INC	N	CBOT	04/30/2002	993,237	250,000	69,386	743,237	1,243,527	0
91	MAN FINANCIAL INC	Y	CME	04/30/2002	160,993,823	69,021,609	87,943,404	73,050,419	1,962,634,836	239,502,456
92	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	04/30/2002	908,428	250,000	0	658,428	0	0
93	MAXCOR FINANCIAL INC	Y	NFA	04/30/2002	12,465,248	250,000	0	12,215,248	0	0
94	MBF CLEARING CORP	N	NYME	04/30/2002	5,968,409	2,180,051	679,022	3,788,358	54,605,672	285,194
95	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	04/30/2002	8,294,580	3,172,394	648,612	5,122,186	79,641,855	0
96	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	05/03/2002	3,166,063,393	360,853,402	196,062,323	2,805,209,991	4,355,524,595	304,209,752
97	MERRILL LYNCH PROFESSIONAL CLEARING COR	Y	NFA	05/03/2002	924,951,100	28,163,254	49,480,861	875,470,239	743,491,622	0
98	MID-CO COMMODITIES INC	N	NFA	04/30/2002	3,381,034	358,419	412,405	2,968,629	9,523,862	0

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99	MIDLAND EURO INC	N	NFA	04/30/2002	185,755	250,000	0	-64,245	0	0
100	MITSUI & CO INVESTMENT PRODUTS CORP	N	NFA	04/30/2002	2,762,114	250,000	8,286	2,512,114	1,298,029	0
101	MIZUHO SECURITIES USA INC	Y	CME	04/30/2002	247,185,476	14,131,317	15,338,234	231,847,242	334,360,333	23,517,916
102	MONEY GARDEN CORP	N	NFA	04/30/2002	2,592,454	250,000	0	2,342,454	0	0
103	MORGAN KEEGAN & COMPANY INC	Y	NFA	04/30/2002	152,445,999	11,455,705	0	140,990,294	0	0
104	MORGAN STANLEY & CO INCORPORATED	Y	CME	04/30/2002	5,397,691,275	633,982,231	162,037,806	4,763,709,044	2,346,044,738	709,525,239
105	MORGAN STANLEY DW INC	Y	CBOT	04/30/2002	1,465,842,007	121,782,777	1,903,652	1,344,059,231	463,767,752	783,765,197
106	NATIONAL COMMODITIES CORPORATION INC	N	NFA	04/30/2002	1,746,909	250,000	11,912	1,496,909	17,380,867	0
107	NEUBERGER BERMAN LLC	Y	NFA	04/30/2002	236,113,353	14,843,442	344,166	221,269,911	11,743,350	0
108	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	04/30/2002	9,066,445	250,000	0	8,816,445	0	0
109	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	04/30/2002	542,209,955	2,424,203	1,001,489	539,785,752	12,085,168	0
110	NOYES DAVID A & CO	Y	NFA	04/30/2002	1,191,611	250,000	0	941,611	0	0
111	OCONNOR & COMPANY LLC	Y	CBOT	04/30/2002	34,489,316	1,842,098	1,315,573	32,647,218	49,288,943	0
112	PACKERS TRADING CO INC	N	NFA	04/30/2002	866,128	250,000	207,484	616,128	5,269,797	0
113	PATTERSON CAPITAL MARKETS LTD	N	CBOT	04/30/2002	443,918	250,000	0	193,918	0	0
114	PAX CLEARING CORPORATION	Y	CME	04/30/2002	47,923,927	1,660,358	1,485,090	46,263,569	41,907,739	0
115	PENSON FINANCIAL FUTURES INC	N	NFA	04/30/2002	428,993	250,000	2,842	178,993	123,208	0
116	PEREGRINE FINANCIAL GROUP INC	N	NFA	04/30/2002	6,621,967	2,995,545	1,438,784	3,626,422	77,541,820	354,624
117	PIONEER CAPITAL CORP	Y	NFA	04/30/2002	2,042,597	250,000	0	1,792,597	0	0
118	PIONEER FUTURES INC	N	NYME	04/30/2002	6,620,194	2,482,109	1,211,451	4,138,085	69,806,196	649,612
119	PREFERRED TRADE INC	Y	NFA	04/30/2002	8,687,689	774,537	0	7,913,152	0	0
120	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	04/26/2002	785,023,000	87,393,560	67,552,920	697,629,440	1,498,984,000	82,064,000
121	QTECH DERIVATIVES INC	N	NFA	04/30/2002	487,699	250,000	0	237,699	0	0
122	RAND FINANCIAL SERVICES INC	N	CME	04/30/2002	11,717,480	5,704,127	8,016,696	3,700,784	138,544,800	11,484,700
123	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	04/26/2002	307,970,574	24,029,846	47,130	283,940,728	0	0
124	RB&H FINANCIAL SERVICES LP	N	CME	04/30/2002	3,509,092	1,841,440	1,158,156	1,667,652	49,448,298	34,429
125	RBC DAIN RAUSCHER INCORPORATED	Y	NFA	04/30/2002	190,794,567	30,932,874	0	159,861,693	0	0
126	RBC DOMINION SECURITIES CORPORATION	Y	CME	04/30/2002	128,404,119	1,000,000	2,589,454	125,814,665	0	0
127	REFCO LLC	N	CME	04/30/2002	151,465,992	97,344,878	81,109,270	54,121,114	2,637,922,239	9,089,253
128	RJ OBRIEN ASSOCIATES INC	N	CME	04/30/2002	24,279,154	11,412,842	10,440,426	12,866,312	305,161,663	5,033,563
129	ROBBINS FUTURES INC	N	NFA	04/30/2002	469,998	250,000	101,401	219,998	5,796,673	0
130	ROBERTSON STEPHENS INC	Y	CME	04/30/2002	462,135,167	3,788,453	0	458,346,714	0	0
131	ROSENTHAL COLLINS GROUP LLC	N	CME	04/30/2002	39,822,885	7,902,390	5,645,154	31,920,495	208,402,437	344,892
132	ROSENTHAL GLOBAL SECURITIES L L C	Y	CBOT	04/30/2002	7,155,494	572,092	0	6,583,402	0	0
133	ROTHSCHILD INC	Y	NYME	04/30/2002	15,099,628	250,000	0	14,849,628	0	0
134	SALOMON SMITH BARNEY INC	Y	CBOT	04/30/2002	3,685,479,292	414,171,876	154,768,546	3,271,307,416	4,703,328,947	38,775,294
135	SANFORD C BERNSTEIN & CO LLC	Y	NFA	04/30/2002	211,339,837	10,551,450	0	200,788,387	0	0
136	SAVANT USA LIMITED	N	NFA	04/30/2002	526,347	250,000	0	276,347	0	0
137	SENTINEL MANAGEMENT GROUP INC	N	NFA	04/30/2002	826,086	250,000	0	576,086	294,936,429	0
138	SG COWEN SECURITIES CORPORATION	Y	NFA	04/30/2002	580,891,164	6,692,386	0	574,198,778	0	0
139	SHATKIN ARBOR KARLOV & CO	N	CBOT	04/30/2002	5,624,311	292,056	113,238	5,332,255	7,868,801	166,184
140	SHAY GRAY CLEARING COMPANY	N	KCBT	03/31/2002	726,938	250,000	2,292	476,938	542,703	0
141	SHEPARD INTERNATIONAL INC	N	NFA	04/30/2002	2,204,356	250,000	0	1,954,356	0	0
142	SHERWOOD FUTURES GROUP LLC	N	NFA	04/30/2002	1,155,799	250,000	0	905,799	2,172,566	0
143	SIEGEL TRADING CO INC THE	N	NFA	04/30/2002	577,305	250,000	0	327,305	1,070,873	0
144	SMW TRADING COMPANY INC	N	CME	04/30/2002	6,573,379	339,943	462,113	6,111,266	8,499,314	0
145	SPEAR LEEDS & KELLOG	Y	NYME	04/26/2002	844,865,672	40,470,326	10,541,852	804,395,346	354,252,729	2,410,727
146	STEPHENS INC	Y	NFA	04/26/2002	68,645,877	9,138,041	0	59,507,836	0	0
147	STERLING COMMODITIES CORP	N	NYME	04/30/2002	5,334,062	799,556	268,650	4,534,506	20,626,264	623,103

