

SELECTED FCM FINANCIAL DATA AS OF
 October 31, 2007
 FROM REPORTS FILED BY
 November 30, 2007

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3D FOREX, LLC	N	NFA	10/31/2007	8,340,374	500,000	7,840,374	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	10/31/2007	137,564,765	23,533,020	114,031,745	302,027,507	0
3	ADM INVESTOR SERVICES INC	N	CBOT	10/31/2007	160,989,606	59,592,602	101,397,004	1,111,588,511	43,207,840
4	ADVANCED MARKETS INC	N	NFA	10/31/2007	1,322,437	1,000,000	322,437	0	0
5	ADVANTAGE FUTURES LLC	N	CME	10/31/2007	19,246,883	3,536,540	15,710,343	154,954,961	20,837,155
6	AG EDWARDS & SONS INC	Y	CBOT	10/31/2007	1,262,701,677	33,043,393	1,229,658,284	266,448,504	270,350
7	AIG CLEARING CORPORATION	N	NYME	10/31/2007	293,303,386	40,598,943	252,704,443	12,427,362	0
8	ALARON TRADING CORPORATION	N	CME	10/31/2007	7,288,333	3,121,904	4,166,429	160,344,227	6,451,075
9	ALPARI (US) LLC	N	NFA	10/31/2007	2,481,626	1,000,000	1,481,626	0	0
10	BACERA CORPORATION	N	NFA	10/31/2007	2,300,145	1,000,000	1,300,145	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	10/31/2007	2,116,052,393	351,855,130	1,764,197,263	1,924,982,020	14,276,393
12	BARCLAYS CAPITAL INC	Y	NYME	10/31/2007	1,314,404,218	389,276,055	925,128,163	3,630,489,227	2,150,112,316
13	BEAR STEARNS & CO INC	Y	NFA	10/31/2007	(g)	549,111,916	(g)	0	0
14	BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2007	4,425,434,636	1,201,022,349	3,224,412,287	2,843,199,364	765,230,551
15	BGC SECURITIES	Y	NFA	10/31/2007	3,748,994	500,000	3,248,994	0	0
16	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	10/31/2007	153,340,998	99,778,659	53,562,339	827,622,189	235,596,448
17	CADENT FINANCIAL SERVICES LLC	N	CME	10/31/2007	6,907,539	4,771,199	2,136,340	204,888,687	1,318,533
18	CALYON FINANCIAL INC	Y	CME	10/31/2007	739,338,990	459,645,942	279,693,048	7,131,518,991	1,495,303,075
19	CANTOR FITZGERALD & CO	Y	CBOT	10/31/2007	180,681,050	2,122,125	178,558,925	8,304,081	0
20	CAPITAL MARKET SERVICES LLC	N	NFA	10/31/2007	10,883,200	3,928,074	6,955,126	0	0
21	CIBC WORLD MARKETS CORP	Y	CME	10/31/2007	1,130,913,238	21,119,238	1,109,794,000	0	0
22	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	10/31/2007	(g)	865,197,852	(g)	10,157,630,616	429,806,331
23	CLIFF LARSON COMPANY THE	N	NFA	10/31/2007	939,865	539,137	400,728	10,352,717	0
24	CMC MARKETS (US) LLC	N	NFA	10/31/2007	2,139,494	1,000,000	1,139,494	0	0
25	COMTRUST INC	N	NFA	10/31/2007	1,012,955	586,139	426,816	12,919,827	0
26	COUNTRY HEDGING INC	N	NFA	10/31/2007	18,061,439	10,545,405	7,516,034	134,272,255	0
27	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	10/31/2007	5,197,669,065	185,952,652	5,011,716,413	1,764,485,273	980,409,484
28	CROSSLAND LLC	N	CBOT	10/31/2007	3,630,929	500,000	3,130,929	13,676,901	0
29	CUNNINGHAM COMMODITIES LLC	N	CBOT	10/31/2007	3,359,255	894,471	2,464,784	25,836,429	103,035
30	DAIWA SECURITIES AMERICA INC	Y	CME	10/31/2007	222,476,164	2,345,625	220,130,539	6,677,775	1,138,811
31	DEUTSCHE BANK SECURITIES INC	Y	CBOT	10/31/2007	2,635,354,234	259,928,752	2,375,425,482	4,506,155,962	1,225,474,507
32	DIRECT FOREX LLC	N	NFA	10/31/2007	728,289	500,000	228,289	0	0
33	DORMAN TRADING LLC	N	CME	10/31/2007	10,646,645	500,000	10,146,645	47,041,161	94,982
34	DUNAVANT COMMODITY CORP	N	NFA	10/31/2007	37,061,053	1,235,105	35,825,948	0	0
35	E FX OPTIONS LLC	N	NFA	10/31/2007	4,530,543	1,000,000	3,530,543	0	0
36	EAGLE MARKET MAKERS INC	N	CBOT	10/31/2007	5,500,559	500,000	5,000,559	10,532,718	0
37	EASY FOREX US LTD	N	NFA	10/31/2007	3,789,605	2,000,000	1,789,605	0	0
38	ED & F MAN COMMODITY ADVISORS INC	N	NFA	10/31/2007	1,246,738	500,000	746,738	0	0
39	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	10/31/2007	10,517,866	500,000	10,017,866	0	0
40	ENSKILDA FUTURES LTD	N	CME	10/31/2007	20,772,977	9,082,902	11,690,075	236,188,752	0
41	FARR FINANCIAL INC	N	NFA	10/31/2007	1,482,426	583,132	899,294	35,612,832	156,683
42	FC STONE LLC	N	CME	10/31/2007	86,747,911	41,771,267	44,976,644	954,485,935	4,709,718
43	FIMAT USA LLC	Y	NYME	10/31/2007	606,236,459	473,240,095	132,996,364	6,965,977,129	1,989,220,642
44	FIRST CAPITOL GROUP LLC	N	NFA	10/31/2007	6,480,456	1,775,377	4,705,079	73,096,569	87,999
45	FOREX CAPITAL MARKETS LLC	N	NFA	10/31/2007	65,255,249	13,407,759	51,847,490	0	0
46	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	10/31/2007	3,320,420	1,000,000	2,320,420	0	0

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47	FOREX LIQUIDITY LLC	N	NFA	10/31/2007	36,840,196	1,000,000	35,840,196	0	0
48	FORTIS CLEARING AMERICAS LLC	Y	CBOT	10/31/2007	170,386,272	38,667,055	131,719,217	763,607,206	114,434,882
49	FRIEDBERG MERCANTILE GROUP INC	N	NFA	10/31/2007	7,873,587	5,000,000	2,873,587	5,146,091	80,849
50	FRONTIER FUTURES INC	N	NFA	10/31/2007	1,509,207	716,551	792,656	24,834,422	0
51	FUTURES TECH LLC	N	NFA	10/31/2007	842,451	500,000	342,451	0	0
52	FX OPTION1 INC	N	NFA	10/31/2007	506,025	500,000	6,025	0	0
53	FX SOLUTIONS LLC	N	NFA	10/31/2007	19,061,609	1,649,518	17,412,091	0	0
54	FXCM LLC	N	NFA	10/31/2007	773,419	500,000	273,419	0	0
55	GAIN CAPITAL GROUP LLC	N	NFA	10/31/2007	32,669,427	4,828,602	27,840,825	0	0
56	GATEWAY CAPITAL L.L.C.	N	NFA	10/31/2007	537,160	500,000	37,160	0	0
57	GELBER GROUP LLC	N	CBOT	10/31/2007	57,080,478	1,065,283	56,015,195	45,884,183	10,195
58	GFS FOREX & FUTURES INC	N	NFA	10/31/2007	2,353,472	1,000,000	1,353,472	0	0
59	GILDER GAGNON HOWE AND CO LLC	Y	NFA	10/31/2007	22,818,525	500,000	22,318,525	0	0
60	GLOBAL FUTURES & FOREX	N	NFA	10/31/2007	41,229,946	7,631,611	33,598,335	0	0
61	GLOBAL FUTURES LLC	N	NFA	10/31/2007	8,227,624	500,000	7,727,624	216,814	0
62	GOLDMAN SACHS & CO	Y	CBOT	10/26/2007	(g)	1,841,084,515	(g)	14,875,216,300	9,596,053,569
63	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	10/26/2007	932,826,923	64,435,375	868,391,548	879,406,456	7,851,434
64	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	10/31/2007	91,158,810	9,133,496	82,025,314	0	0
65	HAGERTY GRAIN CO INC	N	CBOT	10/31/2007	4,251,809	500,000	3,751,809	5,761,727	0
66	HAMILTON WILLIAMS LLC	N	NFA	10/31/2007	1,345,856	1,000,000	345,856	0	0
67	HOTSPOT FXR LLC	N	NFA	10/31/2007	6,031,746	1,000,000	5,031,746	0	0
68	HSBC SECURITIES USA INC	Y	CME	10/31/2007	812,566,773	63,009,187	749,557,586	681,412,650	43,621,389
69	I TRADE FX LLC	N	NFA	10/31/2007	15,814,623	2,614,993	13,199,630	0	0
70	ICAP FUTURES LLC	N	CBOT	10/31/2007	5,981,425	500,000	5,481,425	0	0
71	IFSCS USA INC	N	NFA	10/31/2007	7,557,664	5,000,000	2,557,664	1,039,487	156,909
72	IFX MARKETS INC	N	NFA	10/31/2007	9,837,999	2,258,150	7,579,849	0	0
73	IG FINANCIAL MARKETS INC.	N	NFA	10/31/2007	1,020,826	500,000	520,826	0	0
74	INSTINET LLC	Y	NFA	10/31/2007	75,202,153	5,954,884	69,247,269	0	0
75	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	10/31/2007	1,822,671	500,000	1,322,671	558,363	0
76	INTERACTIVE BROKERS LLC	Y	EUXUS	10/31/2007	404,434,997	47,513,936	356,921,061	219,090,295	73,568,452
77	INTERBANK FX LLC	N	NFA	10/31/2007	28,845,075	4,498,912	24,346,163	0	0
78	IOWA GRAIN CO	N	CBOT	10/31/2007	17,133,089	10,790,180	6,342,909	271,394,279	502,309
79	ITAU SECURITIES INC	Y	NFA	10/31/2007	19,985,494	500,000	19,485,494	0	0
80	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	10/31/2007	171,100,113	500,000	170,600,113	0	0
81	JP MORGAN FUTURES INC	N	NYME	10/31/2007	1,208,258,779	696,332,417	511,926,362	9,223,861,532	1,946,910,409
82	KOTTKE ASSOCIATES LLC	N	CBOT	10/31/2007	16,001,556	500,000	15,501,556	38,256,270	2,860
83	LADENBURG THALMANN & CO INC	Y	NFA	10/31/2007	48,707,916	500,000	48,207,916	0	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	10/31/2007	892,278	500,000	392,278	0	0
85	LEHMAN BROTHERS INC	Y	CBOT	10/31/2007	(g)	552,743,000	(g)	5,842,623,000	202,482,000
86	LIMESTONE TRADING LLC	N	NFA	10/31/2007	6,560,172	500,000	6,060,172	0	0
87	LINN GROUP (THE)	N	NFA	10/31/2007	1,633,516	500,000	1,133,516	358,423	0
88	LINSCO/PRIVATE LEDGER CORP	Y	NFA	10/31/2007	58,969,186	8,508,128	50,461,058	0	0
89	LOEB PARTNERS CORPORATION	Y	NFA	10/31/2007	25,489,491	500,000	24,989,491	0	0
90	MACQUARIE FUTURES USA INC	N	NFA	10/31/2007	8,414,952	2,358,413	6,056,539	19,093,455	0
91	MB TRADING FUTURES INC.	N	NFA	10/31/2007	3,233,155	1,254,597	1,978,558	0	0
92	MBF CLEARING CORP	N	NYME	10/31/2007	26,430,122	1,807,831	24,622,291	113,862,960	3,035,420
93	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	10/31/2007	6,495,650	2,418,440	4,077,210	570,190,225	28,910

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94	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/02/2007	(g)	595,505,754	(g)	8,614,429,144	1,109,138,846
95	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/02/2007	1,422,686,550	133,840,918	1,288,845,632	1,691,018,637	83,206,880
96	MF GLOBAL INC	N	CME	10/31/2007	535,142,778	427,261,012	107,881,766	9,929,407,496	922,543,378
97	MID-CO COMMODITIES INC	N	NFA	10/31/2007	5,419,914	3,144,217	2,275,697	28,148,738	0
98	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	10/31/2007	5,915,436	797,363	5,118,073	0	0
99	MIZUHO SECURITIES USA INC	Y	CME	10/31/2007	98,790,679	35,665,545	63,125,134	447,510,624	87,893,482
100	MONEY GARDEN CORP	N	NFA	10/31/2007	5,035,103	1,000,000	4,035,103	0	0
101	MORGAN KEEGAN & COMPANY INC	Y	NFA	10/31/2007	369,573,731	11,006,916	358,566,815	0	0
102	MORGAN STANLEY & CO INCORPORATED	Y	CME	10/31/2007	(g)	1,659,982,157	(g)	4,308,690,398	4,785,501,029
103	NEUBERGER BERMAN LLC	Y	NFA	10/31/2007	368,740,356	5,000,000	363,740,356	5,197,932	0
104	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	10/31/2007	1,130,501,355	4,000,693	1,126,500,662	12,013,170	18,761,926
105	OANDA CORPORATION	N	NFA	10/31/2007	156,670,086	8,584,912	148,085,174	0	0
106	ODL SECURITIES INC	N	NFA	10/31/2007	2,566,080	1,000,000	1,566,080	0	0
107	ONE WORLD CAPITAL GROUP LLC	N	NFA	10/31/2007	1,227,227	14,208	1,213,019	733,252	0
108	OPEN E CRY LLC	N	NFA	10/31/2007	1,333,184	500,000	833,184	29,383,938	388,004
109	OPPENHEIMER & CO INC	Y	NFA	10/31/2007	196,409,988	25,183,994	171,225,994	33,134,776	0
110	OPTIONSXPRESS INC	Y	NFA	10/31/2007	91,109,426	5,287,096	85,822,330	6,866,582	0
111	PENSON FINANCIAL FUTURES INC	N	NFA	10/31/2007	2,092,296	500,000	1,592,296	0	0
112	PENSON GHCO	N	CBOT	10/31/2007	12,338,488	4,366,278	7,972,210	160,521,051	9,419,858
113	PEREGRINE FINANCIAL GROUP INC	N	NFA	10/31/2007	12,931,876	5,449,791	7,482,085	209,514,174	13,153,227
114	PIONEER FUTURES INC	N	NYME	10/31/2007	1,482,232	500,000	982,232	0	0
115	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	10/31/2007	143,191,000	126,819,880	16,371,120	2,038,884,000	189,780,000
116	PUMA FINANCIAL LLC	N	NFA	10/31/2007	826,763	500,000	326,763	3,409,310	0
117	RAND FINANCIAL SERVICES INC	N	CME	10/31/2007	53,037,119	14,934,782	38,102,337	191,029,500	24,303,800
118	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	10/31/2007	338,941,753	30,634,663	308,307,090	0	0
119	RBC CAPITAL MARKETS CORPORATION	Y	CME	10/31/2007	299,537,449	103,187,513	196,349,936	251,572,904	193,153,336
120	RBC DAIN RAUSCHER INC	Y	NFA	10/31/2007	489,124,670	30,651,062	458,473,608	0	0
121	RBS GREENWICH CAPITAL INC	Y	CBOT	10/31/2007	858,934,000	99,737,000	759,197,000	851,828,000	24,012,000
122	RJ OBRIEN & ASSOCIATES LLC	N	CME	10/31/2007	77,716,628	64,210,585	13,506,043	1,970,199,538	23,433,012
123	ROBBINS FUTURES INC	N	NFA	10/31/2007	550,631	500,000	50,631	3,404,790	0
124	ROSENTHAL COLLINS GROUP LLC	N	CME	10/31/2007	45,422,882	23,577,236	21,845,646	764,615,211	9,875,191
125	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	10/31/2007	9,789,613	500,000	9,289,613	0	0
126	SANFORD C BERNSTEIN & CO LLC	Y	NFA	10/31/2007	138,513,502	31,684,197	106,829,305	0	0
127	SHATKIN ARBOR INC	N	CBOT	10/31/2007	5,456,521	1,253,555	4,202,966	71,594,546	36,693
128	SHAY GRAIN CLEARING COMPANY	N	KCBT	10/31/2007	1,460,397	1,220,268	240,129	26,829,251	0
129	SMW TRADING COMPANY INC	N	CME	10/31/2007	26,799,237	500,000	26,299,237	9,517,865	818
130	SNC INVESTMENTS INC	N	NFA	10/31/2007	1,152,930	1,000,000	152,930	0	0
131	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	10/31/2007	2,040,401	1,000,000	1,040,401	0	0
132	STEPHENS INC	Y	NFA	10/26/2007	89,429,144	10,470,018	78,959,126	0	0
133	STERLING COMMODITIES CORP	N	NYME	10/31/2007	9,372,351	1,292,107	8,080,244	41,317,457	712,671
134	TCA FUTURES LLC	N	NFA	10/31/2007	818,332	500,000	318,332	0	0
135	TENCO INC	N	CBOT	10/31/2007	42,108,485	6,198,150	35,910,335	85,587,733	0
136	TERRA NOVA TRADING LLC	Y	NFA	10/31/2007	16,926,070	1,551,336	15,374,734	0	0
137	TIMBER HILL LLC	Y	CME	10/31/2007	800,265,327	12,812,347	787,452,980	189,560,532	0
138	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	10/31/2007	3,053,850	500,000	2,553,850	0	0
139	TRADELINK LLC	Y	CBOT	10/31/2007	27,475,163	609,546	26,865,617	0	0
140	TRADEMAVEN CLEARING LLC	N	NFA	10/31/2007	821,656	500,000	321,656	4,303,968	52,308

