

GTAS

Governmentwide Treasury Account Symbol
Adjusted Trial Balance System

GWA Day

December 11, 2012

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GTAS Production Date

July 2012 CFO Letter: "... FMS and OMB are extending the GTAS formal test period to November 2013."

- FACTS II is an important source of data for House Sub-Committees reviewing unobligated balances
- About 60% of agencies were prepared to report to GTAS in 2012 Excellent work!
- However, 40% of agencies are struggling with system implementations, business process changes, and compliance with new reporting requirements.
- FMS could not compromise OMB's ability to provide complete and accurate data to Congress
- FMS and OMB jointly decided to minimize risk to the overall implementation of GTAS by delaying for one year and allowing for EXTENDED TESTING.

2013 Reporting

- Agencies must report FACTS I, FACTS II, and IFCS
- There will be no changes to data formats in 2013
 - Agency Identifier will not become 3 digits until 2014
 - USSGL Account will not become 6 digits until 2014
 - No new attributes for FACTS I or II
 - Only new edit in Facts II is FBWT – every period
- IPAC will require the Component TAS in 2014

Extended GTAS Testing in 2013

- Mandatory Testing in FY 2013
- Validate access to the system
- All TAS (expenditure, deposit, and receipt accounts) must be reported
- All TAS must pass validations and fatal edits
- Major Milestones:

Milestone	Date
Preparer and Certifier from each agency accesses GTAS production	Sept 30, 2012
Commitment to Testing Timeframe (see next slide)	Sept 30, 2012
Agency Testing in GTAS	10/1/12-6/30/13
Final Recommendation on Go-Live to OMB and Fiscal Service Management	July 1, 2013

Making Your Testing Commitment

- Agencies must select one of the following testing opportunities by Sept 30:

Data Set	Dates for Testing
Q4 2012	Oct 1 – Feb 28
Q1 2013	March 1 – April 30
Q2 2013	May 1 – June 30

Success Criteria: All TAS pass validations and fatal edits by the end of the testing window!

GTAS URL for mandatory testing: www.gtas.fms.treas.gov

Getting Access to GTAS

- Who can have access?
 - Agency users who prepare and upload trial balances
 - Agency users who certify trial balances in 4th quarter
 - Agency users who review data and run reports
 - Shared Service Providers who upload trial balances on behalf of agencies
- How do I get access?
 - Your supervisor must be set up to approve access requests. Supervisors must first fill out a form and submit to FMS: <http://www.fms.treas.gov/gtas/forms.html>
 - Users can then request access through ITIM:
<https://reg.fms.treas.gov/itim/self>
 - Users must request a role (preparer, certifier, executive)
 - Users must submit the TAS to which they need access
 - Contact the Treasury Support Center (1-877-440-9476) for assistance.

Agency User Roles

- Preparer
 - Uploads bulk files
 - Certifies ATB periods 2, 3, 4, 5, 6, 9, 10 and 11
 - Explains material differences periods 3, 6 and 9
- Certifier
 - Certifies ATBs period 12
 - Agency CFO or designee certifies material differences report periods 3, 6, and 9
- Executive
 - View and print reports
- Each user can have only one agency role
- More than one user can be assigned to a TAS

Reporting Windows

- OMB and Treasury increased the FACTS II reporting windows from seven to nine. GTAS will have nine reporting windows.

Data Set	Reporting Window
November (period 2)	December
December (period 3)	January
January (period 4)	February
February (period 5)	March
March (period 6)	April
June (period 9)	July
July (period 10)	August
August (period 11)	September
September (period 12)	October-November


Bulk File Highlights

- Bulk File Only
- Always a full proprietary AND budgetary trial balance
- Daily interfaces with GWA Central Accounting System for balance and transaction data
- Open 24x7 for agency use
- Required reporting 9 months per year
- The bulk file cannot exceed 5 MBs in size
- 1 TAS or Multiple TAS on each file (up to 1,000 unique TAS)
- GTAS requires the Component TAS
- Common bulk file errors

How GTAS Processes the Bulk File

- The entire bulk file must pass formatting and other up-front verifications.
- Attribute validations will run by individual TAS. All validations are fatal.
- Edits will run by individual TAS. Edits are either fatal or proposed analytical (non-fatal).
- GTAS will provide error reports for the upfront errors, validations and edits.

GTAS Home Page - My ATB Status



System State: **Reporting**
Current Period: **Sep 2011**
Current Period Close: **Jul 31, 2012**

HOME SMAF BULK FILE INTRAGOVERNMENTAL REPORTING REPORTS REFERENCES HELP LOG OUT

Welcome **Wide Treasury Account Symbol Adjusted Trial-Balance System**

UPLOAD BULK FILE
MY ATB STATUS
APPORTIONMENT CATEGORY B / PROGRAM REPORT CATEGORY

Important System Messages and Updates

None

My ATB Status Quickview

- Not Submitted (1442)
- Failed Validations (772)
- Failed Edits (96)
- Passed Required Edits (1)
- Pending Certification (0)
- Certified (0)

My ATB Status – Failed Validations

ATB User Functions

- Upload New Bulk File
- View Apportionment Category B / Program Report Category Codes

ATB Status

Can't find your TAS?

AID: Main Acct:

· [Show All](#)

- Not Submitted (3513)
- Failed Validations (736)**
- Failed Edits (35)
- Passed Required Edits (2)
- Pending Certification (0)
- Certified (0)

Reports




- Status Report

Not Submitted	Failed Validations	Failed Edits	Passed Required Edits	Pending Certification	Certified
TAS					
				<u>Upload Date</u> ^	<u>User ID</u>
075 075	X 0111 005			Aug 14, 2012 08:10:28 AM ET	BULK001
075 2006 2006	8393 000			Aug 14, 2012 07:48:36 AM ET	BULK001
075 2005 2006	1550 000			Jul 16, 2012 03:19:11 PM ET	BULK001
075 2011 2013	3966 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0873 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0884 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0885 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0886 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0887 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0888 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0889 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0890 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0891 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0892 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0893 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0894 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0896 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0897 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2011	0898 000			Jul 12, 2012 04:36:38 PM ET	BULK001
075 2011 2012	3966 000			Jul 12, 2012 04:36:38 PM ET	BULK001

736 records found, displaying 1 to 20.
 [First/Prev] of 37 [Next/Last]

Failed Validations – View Details



ATB User Functions

-  [Return to My ATB Status](#)
-  [Upload New Bulk File](#)
-  [View Apportionment Category B / Program Report Category Codes](#)

Reports

-  [Status Report](#)
-  [Failed Validations Report](#)
-  [SMAF Report](#)
-  [Trading Partner Report](#)

References

-  [TFM - Validations](#)
-  [TFM - Attributes to Accounts](#)

075 075 X 0111 005 - 2 Failed Validations

Validation No: 17
Bulk File Line No: 1
Validation Title: Prior Year Adjustment Code
Description: Prior Year Adjustment Code is required for the applicable USSGL accounts.
Error Message: Invalid use of the Prior Year Adjustment Code. Refer to the GTAS attribute table.

Validation No: 33
Bulk File Line No: 2
Validation Title: Federal Non-Federal Code and Trading Partner Agency Identifier Validation
Description: If Federal Non-Federal Code is F (Federal), then Trading Partner Agency Identifier is required. If Federal Non-Federal Code is G (General Fund), then Trading Partner Agency Identifier must be 099.
Error Message: If Fed Non-Fed Code is F (Federal), then Trading Partner Agency Identifier is required. If Fed Non-Fed Code is G (General Fund), then Trading Partner Agency Identifier must be 099. Refer to the Agency Identifiers Report in the Run Reports Module.

2 records found, displaying 1 to 2.

[First/Prev] of 1 [Next/Last] [Go](#)

Edits

Edits compare USSGL account balances in the ATB to

- Authoritative data from CARS, BPD and FFB,
- Financial statement lines
- Other USSGL account balances in the ATB

60+ edits will run in GTAS.

GTAS will provide edit error reports by TAS.

My ATB Status – Failed Edits

ATB User Functions

-  Upload New Bulk File
-  View Apportionment Category B / Program Report Category Codes

ATB Status


Can't find your TAS?

AID: Main Acct:

· [Show All](#)

- Not Submitted (3513)
- Failed Validations (736)
- Failed Edits (35)
- Passed Required Edits (2)
- Pending Certification (0)
- Certified (0)

Reports

 Status Report



Not Submitted				Failed Validations		Failed Edits		Passed Required Edits		Pending Certification		Certified	
TAS								Upload Date ^		User ID			
013	2012	2013	1250	000				View Details	Aug 15, 2012 02:53:06 PM ET	BULKG001			
012			X 1106	000				View Details	Jul 30, 2012 03:43:49 PM ET	GTASPR06			
012			X 0502	000				View Details	Jul 30, 2012 03:41:46 PM ET	GTASPR06			
012			X 0403	000				View Details	Jul 30, 2012 03:38:23 PM ET	GTASPR06			
012			X 5209	000				View Details	Jul 30, 2012 03:32:05 PM ET	GTASPR06			
012			X 4269	000				View Details	Jul 30, 2012 03:29:08 PM ET	GTASPR06			
075			X 8004	000				View Details	Jul 20, 2012 01:27:22 PM ET	BULKB001			
027			X 5183	000				View Details	Jul 16, 2012 02:36:46 PM ET	GTASPR06			
013			X 1006	000				View Details	Jul 16, 2012 09:36:10 AM ET	GTASPR06			
075	2006	2008	3966	000				View Details	Jul 12, 2012 04:36:38 PM ET	BULKB001			
075	2005	2007	3966	000				View Details	Jul 12, 2012 04:36:38 PM ET	BULKB001			
075	2006	2007	3966	000				View Details	Jul 12, 2012 04:36:38 PM ET	BULKB001			
075			X 5071	000				View Details	Jul 10, 2012 11:36:05 AM ET	BULKB001			
075	2007	2008	0390	000				View Details	Jul 10, 2012 09:44:54 AM ET	BULKB001			
014			X 6705	000				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			
014			1820	000				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			
014			2039	000				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			
014			2419	001				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			
014			X 5425	000				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			
014			X 6029	000				View Details	Jun 29, 2012 03:54:48 PM ET	BULKF001			

35 records found, displaying 1 to 20.











[First/Prev] 1 of 2 [Next/Last]

Failed Edits – View Details

ATB User Functions

-  [Return to My ATB Status](#)
-  [Upload New Bulk File](#)
-  [View Apportionment Category B / Program Report Category Codes](#)

Reports

-  [Status Report](#)
-  [Failed Edits Summary Report](#)
-  [Failed Edits Detail Report](#)
-  [Failed Closing Edits Report](#)
-  [View ATB by General Ledger](#)
-  [View Bulk File](#)
-  [SMAF Report](#)
-  [Business Line Balances with BETC Detail Report](#)
-  [BETC Report](#)
-  [Abnormal Balances Report](#)

References

-  [TFM - Edits](#)
-  [TFM - Crosswalks](#)

★ Differences equal 'left side' amount minus 'right side' amount.

★ To determine Totals in edit detail expansions add 'Debits' and subtract 'Credit'.

012 X1106000 - 5 Failed Fatal Edits

Fatal | [Proposed Analytical](#)

<p>Edit: 2 - Total Budgetary Resources equals the Status of Budgetary Resources Show Details</p> <p>Description: Total budgetary resources must equal the total status of budgetary resources on the SF-133.</p> <p>Budgetary Resources: \$1,761,694,068.29</p> <p>Statuses of Budgetary Resources: \$1,758,603,390.29</p> <p>Difference: \$3,090,678.00</p>
<p>Edit: 14 - SF133 Proof Show Details</p> <p>Description: In the SF133, outlays must equal obligations minus spending authority earned minus actual recoveries plus beginning obligated balance plus obligated balance transfers minus net obligated balance.</p> <p>SF133 Lines: \$2,331,924,388.15</p> <p>SF133 OUTLAYS Lines: \$1,543,895,099.38</p> <p>Difference: \$788,029,288.77</p>
<p>Edit: 21 - Closing Validation GTAS Year 1 Show Details</p> <p>Description: The amount for the current period beginning USSGL accounts must equal the amount for the Prior Year preclosing USSGL accounts.</p> <p>Calculated Preclosing Balance: (\$1,079,381,774.83)</p> <p>Current Period Beginning Balance: \$481,365,625.17</p> <p>Difference: (\$1,560,747,400.00)</p>
<p>Edit: 23 - Ending Proprietary Account Balance Show Details</p> <p>Description: The sum of the pre-closing ending balances for the proprietary USSGL accounts must equal zero for each reported TAS.</p> <p>Ending Proprietary Balance: \$479,485,856.00</p> <p>Sum of Zero: \$0.00</p> <p>Difference: \$479,485,856.00</p>
<p>Edit: 66 - Closing Validation 432000 Show Details</p> <p>Description: The amount for the current quarter beginning USSGL accounts must equal the amount for the Prior Year preclosing USSGL accounts.</p> <p>Calculated Preclosing Balance: \$0.00</p> <p>Current Quarter Beginning Balance: (\$147,208,809.02)</p> <p>Difference: \$147,208,809.02</p>

5 records found, displaying 1 to 5.

[First/Prev] 1 of 1 [Next/Last] [Go](#)



GTAS Intra-governmental

- Bulk File Edits
 - Authoritative fiduciary data from BPD and FFB will be used to edit ATBs
- Intra-governmental Module
 - Provides capability to run reports by reciprocal category that compare your agency information with your trading partners' info
 - An agency preparer will explain material differences by selecting an explanation from a dropdown menu.
 - Certifier (Agency CFO or designee) will certify material differences after the ATB reporting window closes but before the next reporting period begins.
- IGT Dashboards
 - IGT dashboards currently in pilot will be produced using certified agency and trading partner data.

USSGL *The cornerstone* *of financial systems* **Standard General Ledger**

Select:

[USSGL TFM S2 12-03 \(August 2012\)](#) 

- [Part 1 Fiscal 2012 Reporting](#)
- [Part 2 Fiscal 2013 Reporting](#)
- [Part 3 Fiscal 2014 GTAS Implementation Testing](#)

TFM Section VII: Validation and Edit Updates

- Deleted
 - Validation 42: Debit Credit Indicator for USSGL 419100 and TAS Status
 - Validation 43: Debit Credit Indicator for USSGL 419200 and TAS Status
 - Validation Exception 13E – Apportionment Category
- Updates
 - Validation 34E: Fed/NFed Code and Trading Partner Main Account Validation – Add USSGLs for unfilled customer orders and reimbursements
 - Validation 48: TAS Restrictions for Non-Federal Exceptions: Update TAS to agree with FACTS II 2012 quarter 4 exceptions
 - Edit 56: BPD Investments-Interest Payable –Updated trading partners
 - Edit 57: BPD Investments- Liabilities – Updated trading partners
 - Edit 58: BPD Investments-Interest Expense- added USSGL 531000 and limited trading partner to 020 0550 and 020 0551.



Upcoming GTAS features

- The following reports will be available in January 2013:
 - Business Line Balances
 - Business Line Balances with BETC Detail
 - BETC Transactions
 - Beginning Balances Report

Need information??

- GTAS Help Desk:
GTAS@stls.frb.org 1-877-448-9476
- GTAS Home Page:
<http://fms.treas.gov/gtas/index.html>
- GTAS Application:
<https://www.gtas.fms.treas.gov>
- MAX ID
<https://max.omb.gov/maxportal>
- MAX –Agency Status transitioning from FACTS II to GTAS
<https://max.omb.gov/community/display/Budget/Agency+status+transitioning+from+FACTS+II+to+GTAS>

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