GTAS Governmentwide Treasury Account Symbol Adjusted Trial Balance System

GWA Day December 11, 2012

Presented by Shannon Redding, PMP, and Rita Cronley, CPA, CGFM

GTAS Production Date

July 2012 CFO Letter: "... FMS and OMB are extending the GTAS formal test period to November 2013."

- FACTS II is an important source of data for House Sub-Committees reviewing unobligated balances
- About 60% of agencies were prepared to report to GTAS in 2012 Excellent work!
- However, 40% of agencies are struggling with system implementations, business process changes, and compliance with new reporting requirements.
- FMS could not compromise OMB's ability to provide complete and accurate data to Congress
- FMS and OMB jointly decided to minimize risk to the overall implementation of GTAS by delaying for one year and allowing for EXTENDED TESTING.

2013 Reporting

- Agencies must report FACTS I, FACTS II, and IFCS
- There will be no changes to data formats in 2013
 - Agency Identifier will not become 3 digits until 2014
 - USSGL Account will not become 6 digits until 2014
 - No new attributes for FACTS I or II
 - Only new edit in Facts II is FBWT every period
- IPAC will require the Component TAS in 2014

Extended GTAS Testing in 2013

- Mandatory Testing in FY 2013
- Validate access to the system
- All TAS (expenditure, deposit, and receipt accounts) must be reported
- All TAS must pass validations and fatal edits
- Major Milestones:

Milestone	Date
Preparer and Certifier from each agency accesses GTAS production	Sept 30, 2012
Commitment to Testing Timeframe (see next slide)	Sept 30, 2012
Agency Testing in GTAS	10/1/12-6/30/13
Final Recommendation on Go-Live to OMB and Fiscal Service Management	July 1, 2013

Making Your Testing Commitment

 Agencies must select one of the following testing opportunities by Sept 30:

Data Set	Dates for Testing
Q4 2012	Oct 1 – Feb 28
Q1 2013	March 1 – April 30
Q2 2013	May 1 – June 30

Success Criteria: All TAS pass validations and fatal edits by the end of the testing window! GTAS URL for mandatory testing: www.gtas.fms.treas.gov



Search The MAX Community®

All ▼





will be involved in GTAS testing.



Agency status transitioning from FACTS II to GTAS (0) ▼









Edited By Chris Fairhall(OMB) on Jul 27, 2012 at 02:44 PM ▼ Edit Add ▼ Favorites ▼ The Treasury Department Financial Management Service (FMS) in consultation with OMB has developed this page to track agency progress in transitioning from FACTS II to GTAS. The attached PDF from FMS Commissioner David Lebryk provides background on this subject.

Not later than September 30, 2012, every agency below is expected to work with FMS in choosing one or more testing windows. Using multiple windows will allow FMS to balance work load and be more responsive as each agency tests its ability to use GTAS.

Not later than September 30, 2012, every agency below is expected to work with FMS and obtain GTAS User Id's for appropriate staffers who

Not later than June 30, 2013, every agency below is expected to submit a full complement of its Treasury Account Symbols; pass validations to ensure that record layouts, domain values, and other information in submission files works properly; and, pass all "fatal" edit checks for those TASs used in FACTS II reporting.

FMS will update this page on a regular basis as the status of agencies change. While agencies cannot change or alter this page, the budget and finance staffers at each agency are encouraged to check the status on a regular basis. In addition, OMB will regularly check the status on this page, and in conjuction with FMS may reach out to agencies that appear to be having difficulty in successfully testing their GTAS submissions.

				NLT Sep 30), 2012	Test F	Per 1: Aug	- Sep	Test P	er 2: Oct - F	eb 2013	Test P	er 3: Mar - <i>i</i>	Apr 2013	Test I	Per 4: N
						2012									2013	
Agency	Contact	All	FACTS	Successful	Test	# of	# of TAS	#TAS	# of	# of TAS	# TAS	# of	# of TAS	# TAS	# of	# of TAS
	Name	TAS	II TAS	Log In	Period(s)	TAS	Passing	Passing	TAS	Passing	Passing	TAS	Passing	Passing	TAS	Passing
					Assigned	Tested	Validations	Fatal	Tested	Validations	Fatal	Tested	Validations	Fatal	Tested	Validatio
								Edits			Edits			Edits		
		#	#	Y or N	#	###	###	###	###	###	###	###	###	###	###	###

Getting Access to GTAS

- Who can have access?
 - Agency users who prepare and upload trial balances
 - Agency users who certify trial balances in 4th quarter
 - Agency users who review data and run reports
 - Shared Service Providers who upload trial balances on behalf of agencies
- How do I get access?
 - Your supervisor must be set up to approve access requests. Supervisors must first fill
 out a form and submit to FMS: http://www.fms.treas.gov/gtas/forms.html
 - Users can then request access through ITIM:

https://reg.fms.treas.gov/itim/self

- Users must request a role (preparer, certifier, executive)
- Users must submit the TAS to which they need access
- Contact the Treasury Support Center (1-877-440-9476) for assistance.

Agency User Roles

- Preparer
 - Uploads bulk files
 - Certifies ATB periods 2, 3, 4, 5, 6, 9, 10 and 11
 - Explains material differences periods 3, 6 and 9
- Certifier
 - Certifies ATBs period 12
 - Agency CFO or designee certifies material differences report periods 3, 6, and 9
- Executive
 - View and print reports
- Each user can have only one agency role
- More than one user can be assigned to a TAS

Reporting Windows

• OMB and Treasury increased the FACTS II reporting windows from seven to nine. GTAS will have nine reporting windows.

Data Set	Reporting Window
November (period 2)	December
December (period 3)	January
January (period 4)	February
February (period 5)	March
March (period 6)	April
June (period 9)	July
July (period 10)	August
August (period 11)	September
September (period 12)	October-November

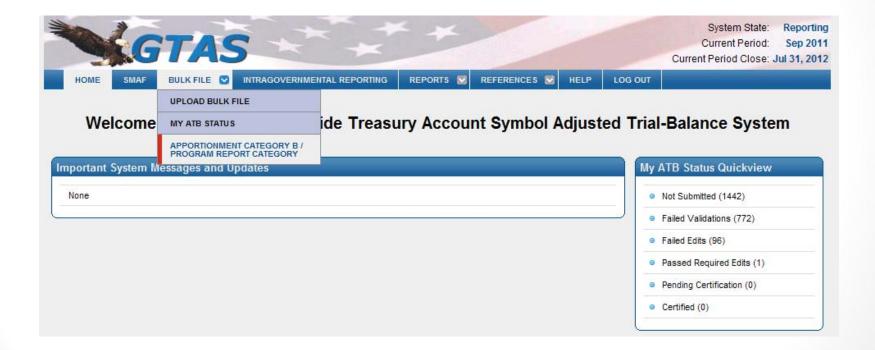
Bulk File Highlights

- Bulk File Only
- Always a full proprietary AND budgetary trial balance
- Daily interfaces with GWA Central Accounting System for balance and transaction data
- Open 24x7 for agency use
- Required reporting 9 months per year
- The bulk file cannot exceed 5 MBs in size
- 1 TAS or Multiple TAS on each file (up to 1,000 unique TAS)
- GTAS requires the Component TAS
- Common bulk file errors

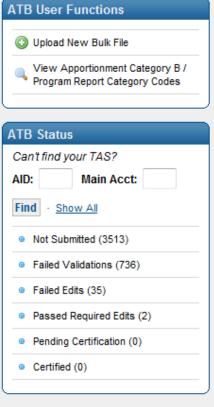
How GTAS Processes the Bulk File

- The entire bulk file must pass formatting and other up-front verifications.
- Attribute validations will run by individual TAS. All validations are fatal.
- Edits will run by individual TAS. Edits are either fatal or proposed analytical (non-fatal).
- GTAS will provide error reports for the upfront errors, validations and edits.

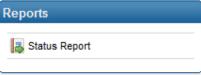
GTAS Home Page – My ATB Status



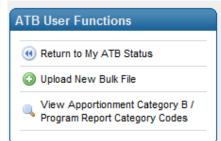
My ATB Status – Failed Validations



_		$\overline{}$				_		$\overline{}$		<u> </u>		
Not	Submitted		Failed \	Validations	Failed Ed	its	Passed Required Edits	Pen	ding Certification	n	Certified	
TAS									Upload Date *		<u>Us</u>	er ID
075	075		X 0111	005		View I	<u>Details</u>	Aug 14	4, 2012 08:10:28	3 AM E	T BUL	KB001
	075 2006	2006	8393	000		View I	<u>Details</u>	Aug 14	4, 2012 07:48:36	AM E	T BUL	KB001
	075 2005	2006	1550	000		View I	<u>Details</u>	Jul 16	, 2012 03:19:11	PM ET	BUL	KB001
	075 2011	2013	3966	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0873	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0884	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0885	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0886	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0887	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0888	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0889	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0890	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0891	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0892	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0893	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0894	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0896	000		View I	<u>Details</u>	Jul 12	2, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0897	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2011	0898	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
	075 2011	2012	3966	000		View I	<u>Details</u>	Jul 12	, 2012 04:36:38	PM ET	BUL	KB001
									736 recor	ds fou	ınd, displaying	1 to 20.
									[First/Prev]	1 0	of 37 [Next/La	st] Go



Failed Validations - View Details







Validation No: 17 Bulk File Line No: 1 Validation Title: Prior Year Adjustment Code Description: Prior Year Adjustment Code is required for the applicable USSGL accounts. Error Message: Invalid use of the Prior Year Adjustment Code. Refer to the GTAS attribute table. Validation No: 33 Bulk File Line No: 2 Validation Title: Federal Non-Federal Code and Trading Partner Agency Identifier Validation Description: If Federal Non-Federal Code is F (Federal), then Trading Partner Agency Identifier is required. If Federal Non-Federal

Module.

Code is G (General Fund), then Trading Partner Agency Identifier must be 099.

Error Message: If Fed Non-Fed Code is F (Federal), then Trading Partner Agency Identifier is required. If Fed Non-Fed Code is G (General

Fund), then Trading Partner Agency Identifier must be 099. Refer to the Agency Identifiers Report in the Run Reports

2 records found, displaying 1 to 2.

Edits

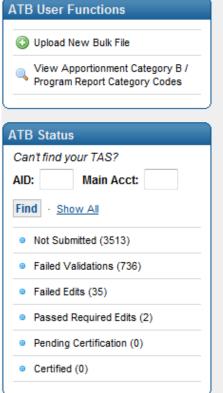
Edits compare USSGL account balances in the ATB to

- Authoritative data from CARS, BPD and FFB,
- Financial statement lines
- Other USSGL account balances in the ATB

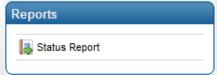
60+ edits will run in GTAS.

GTAS will provide edit error reports by TAS.

My ATB Status – Failed Edits



Not Submitted	Failed 1	Validation	s Failed Edits	Passed Required Edits	Pending Certification	Certified	
TAS					<u>Upload Date</u> ≈		<u>User ID</u>
013 2012	2013 1	1250 000		View Details	Aug 15, 2012 02:53:06	PM ET	BULKG001
012	X 1	1106 000		View Details	Jul 30, 2012 03:43:49	PM ET	GTASPR06
012	Х (0502 000		View Details	Jul 30, 2012 03:41:46	PM ET	GTASPR06
012	Х (0403 000		View Details	Jul 30, 2012 03:38:23	PM ET	GTASPR06
012	Х 5	5209 000		View Details	Jul 30, 2012 03:32:05	PM ET	GTASPR06
012	X 4	4269 000		View Details	Jul 30, 2012 03:29:08	PM ET	GTASPR06
075	X 8	8004 000		View Details	Jul 20, 2012 01:27:22	PM ET	BULKB001
027	X 5	5183 000		View Details	Jul 16, 2012 02:36:46	PM ET	GTASPR06
013	X 1	1006 000		View Details	Jul 16, 2012 09:36:10	AM ET	GTASPR06
075 2006	2008	3966 000		View Details	Jul 12, 2012 04:36:38	PM ET	BULKB001
075 2005	2007	3966 000		View Details	Jul 12, 2012 04:36:38	PM ET	BULKB001
075 2006	2007	3966 000		View Details	Jul 12, 2012 04:36:38	PM ET	BULKB001
075	X 5	5071 000		View Details	Jul 10, 2012 11:36:05	AM ET	BULKB001
075 2007	2008 (0390 000		View Details	Jul 10, 2012 09:44:54	AM ET	BULKB001
014	Х (6705 000		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
014	1	1820 000		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
014	2	2039 000		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
014	2	2419 001		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
014	Х 5	5425 000		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
014	Х (6029 000		View Details	Jun 29, 2012 03:54:48	PM ET	BULKF001
					35 records	found, displa	ying 1 to 20.
					[First/Prev]	1 of 2 [Nex	d/Last] Go



Failed Edits - View Details

ATB User Functions (4) Return to My ATB Status

Upload New Bulk File

View Apportionment Category B /
Program Report Category Codes

Reports

Status Report

Failed Edits Summary Report

Failed Edits Detail Report

Failed Closing Edits Report

View ATB by General Ledger

View Bulk File

SMAF Report

Business Line Balances with BETC Detail Report

BETC Report

Abnormal Balances Report

References

R TFM - Edits

@ TFM - Crosswalks

Differences equal 'left side' amount minus 'right side' amount.

To determine Totals in edit detail rexpansions add 'Debits' and subtract 'Credit'.

012 X1106000 - 5 Failed Fatal Edits

Fatal | Proposed Analytical

Edit: 2 - Total Budgetary Resources equals the Status of Budgetary Resources Show Details

Description: Total budgetary resources must equal the total status of budgetary resources on the SF-133.

Budgetary Resources: \$1,761,694,068.29
Statues of Budgetary
Resources: \$1,758,603,390.29
Difference: \$3,090,678.00

Edit: 14 - SF133 Proof Show Details

Description: In the SF133, outlays must equal obligations minus spending authority earned minus actual recoveries plus

beginning obligated balance plus obligated balance transfers minus net obligated balance.

 SF133 Lines:
 \$2,331,924,388.15

 SF133 OUTLAYS Lines:
 \$1,543,895,099.38

 Difference:
 \$788,029,288.77

Edit: 21 - Closing Validation GTAS Year 1 Show Details

Description: The amount for the current period beginning USSGL accounts must equal the amount for the Prior Year preclosing

USSGL accounts.

Calculated Preclosing (\$1,079,381,774.83)

Current Period

Beginning Balance: \$481,365,625.17 Difference: (\$1,560,747,400.00)

Edit: 23 - Ending Proprietary Account Balance Show Details

Description: The sum of the pre-closing ending balances for the proprietary USSGL accounts must equal zero for each

reported TAS.

Ending Proprietary Balance: \$479,485,856.00

> Sum of Zero: \$0.00 Difference: \$479,485,856.00

> > Edit: 66 - Closing Validation 432000 Show Details

Description: The amount for the current quarter beginning USSGL accounts must equal the amount for the Prior Year preclosing

USSGL accounts.

Calculated Preclosing
Balance: \$0.00

Current Quarter
Beginning Balance: (\$147,208,809.02)
Difference: \$147.208.809.02

5 records found, displaying 1 to 5.

[First/Prev] 1 of 1 [Next/Last] Go



GTAS Intra-governmental

- Bulk File Edits
 - Authoritative fiduciary data from BPD and FFB will be used to edit ATBs
- Intra-governmental Module
 - Provides capability to run reports by reciprocal category that compare your agency information with your trading partners' info
 - An agency preparer will explain material differences by selecting an explanation from a dropdown menu.
 - Certifier (Agency CFO or designee) will certify material differences after the ATB reporting window closes but before the next reporting period begins.
- IGT Dashboards
 - IGT dashboards currently in pilot will be produced using certified agency and trading partner data.

ISSE The cornerstone of financial systems Standard General Ledger

Select:

USSGL TFM S2 12-03 (August 2012)

- Part 1 Fiscal 2012 Reporting
- Part 2 Fiscal 2013 Reporting
- Part 3 Fiscal 2014 GTAS Implementation Testing

TFM Section VII: Validation and Edit Updates

Deleted

- Validation 42: Debit Credit Indicator for USSGL 419100 and TAS Status
- Validation 43: Debit Credit Indicator for USSGL 419200 and TAS Status
- Validation Exception 13E Apportionment Category

Updates

- Validation 34E: Fed/NFed Code and Trading Partner Main Account Validation – Add USSGLs for unfilled customer orders and reimbursements
- Validation 48: TAS Restrictions for Non-Federal Exceptions: Update TAS to agree with FACTS II 2012 quarter 4 exceptions
- Edit 56: BPD Investments-Interest Payable –Updated trading partners
- Edit 57: BPD Investments- Liabilities Updated trading partners
- Edit 58: BPD Investments-Interest Expense- added USSGL 531000 and limited trading partner to 020 0550 and 020 0551.



Upcoming GTAS features

- The following reports will be available in January 2013:
 - Business Line Balances
 - Business Line Balances with BETC Detail
 - BETC Transactions
 - Beginning Balances Report

Need information??

GTAS Help Desk:

GTAS@stls.frb.org 1-877-448-9476

GTAS Home Page:

http://fms.treas.gov/gtas/index.html

GTAS Application:

https://www.gtas.fms.treas.gov

MAX ID

https://max.omb.gov/maxportal

MAX –Agency Status transitioning from FACTS II to GTAS

https://max.omb.gov/community/display/Budget/Agency+status+transitioning+from+F ACTS+II+to+GTAS

Contact Information

Shannon Redding
202 874-1562
Shannon.Redding@fms.treas.gov

Rita Cronley 202 874-9902 Rita.Cronley@fms.treas.gov

> Nikcola Yorkshire 202 874-9873

Nikcola.Yorkshire@fms.treas.gov

Michele Crisman 202 874-1439 Michele.Crisman@fms.treas.gov