DOCUMENT NO: ASAP 5013 VERSION: 2010-11-01 Page 1 of 7

TITLE: Standard Application Procedure for the Acceptance of Lifelines for

Underground Coal Mines

MSHA Mine Safety and Health Administration, Approval & Certification Center

1.0 PURPOSE

This document establishes a Mine Safety and Health Administration (MSHA) Standard Application Procedure (SAP) for the Acceptance of Non-steel Lifelines under 30CFR, Part 18.65.

2.0 SCOPE

This procedure applies to all applications for Acceptance of Non-steel Lifelines under 30CFR, Part 18.65. The use of this procedure is voluntary.

3.0 REFERENCES

- 3.1. Approval and Certification Center (A&CC) Cancellation Policy APOL 1009.
- 3.2. Code of Federal Regulations, Title 30 (30CFR) Part 18.65.
- 3.3. Code of Federal Regulations, Title 30 (30CFR) Part 75.380
- 3.4. Code of Federal Regulations, Title 30 (30CFR) Part 75.381

4.0 **DEFINITIONS**

- 4.1. Applicant An individual or organization that manufactures or controls the assembly of a product, and that applies to MSHA for approval of that product.
- 4.2. Company assigned application code number a unique six digit number assigned by the applicant that is used for the tracking of the application paperwork.
- 4.3. Directional Indicators (or directional marking devices) Any device installed on a lifeline used to provide direction via tactile feedback.
- 4.4. Extension of Approval A modification to an existing approval that requires MSHA to extend the original approval to incorporate the changes.
- 4.5. Lifeline A durable, rope-like or rigid flame-resistant material that provides a tactile feedback.
- 4.6. Non-steel Lifeline A lifeline that does not use steel for its main structure and strength integrity.

ASAP5013 2010-11-01.doc

DOCUMENT NO: ASAP 5013 VERSION: 2010-11-01 Page 2 of 7

TITLE: Standard Application Procedure for the Acceptance of Lifelines for Underground Coal Mines

MSHA Mine Safety and Health Administration, Approval & Certification Center

4.7. Preauthorization notice – A statement by the applicant authorizing MSHA to spend a stated amount of money for evaluating the applicant's product prior to the preparation and issuance of the MSHA fee estimate.

5.0 APPLICATION PROCEDURE

- 5.1. It is recommended that applicants contact the Quality Assurance & Materials Testing Division at 304-547-0400 to discuss acceptance and testing requirements prior to submitting an application.
 - 5.1.1. An application requesting an acceptance should be sent to the following address:

MSHA, Approval and Certification Center Attention: IPSO 765 Technology Drive Triadelphia, West Virginia 26059

- a. FAX to: 304-547-0400
- b. Email Submittals:

Application letters, specifications, drawings, and other supporting documentation should be sent to <u>zzMSHA-IPSO@DOL.gov</u>

c. FTP Submittals:

Application letters and supporting documentation can be placed on the MSHA FTP server, mfgr.msha.gov. Please call the Information Processing Services Office (IPSO) at 304-547-0400 to establish your user account.

- 5.1.2. Each application for approval of a product must be in the <u>English</u>
 <u>Language</u> and include the following information on the attached application form (Attachment 1A, Item 1).
 - a. Company name
 - b. Address
 - c. Telephone and FAX number
 - d. Name of company representative

ASAP5013 2010-11-01.doc

TITLE: Standard Application Procedure for the Acceptance of Lifelines for Underground Coal Mines

MSHA Mine Safety and Health Administration, Approval & Certification Center

- 5.1.3. Provide a company assigned application No. (six digit or less) (Attachment 1A, Item 2).
- 5.1.4. Provide the following information for the product (Attachment 1A, Item 3):
 - a. Trade name
 - b. Style or code
 - c. Weight &/or weave density
- 5.1.5. Each ingredient must be specified by its chemical or generic name, along with its percentage (weight) and tolerance. Organic ingredients should be named according to the current rules of the International Union of Pure and Applied Chemistry. Inorganic ingredients should be named according to the Chemical Abstract of the American Chemical Society. A prepolymer formulation which has been registered with MSHA may be identified by furnishing the MSHA assigned ID number. However, each additional ingredient the manufacturer adds to the registered formulation (ID number) must be identified by chemical or generic name, along with its percentage (weight) and tolerance (Attachment 1A, Item 4).

5.1.6. Test Specimens

A quantity of four (4) test specimens must be provided, six (6) inches long. If the lifeline material varies by weight (oz./yd.), four of the lightest weight, and four of the heaviest weight will be tested; the approval then will include all weights in between. Braided type lifeline will be tested in its original construction in six (6) inch lengths. Rigid lifeline or solid parts of a lifeline will be tested in minimum and maximum thicknesses (Attachment 1A, Item 5).

Directional indicators will not be subject to the requirements of this procedure and therefore 30CFR Part 18.65, unless they provide the structural integrity and continuity of the lifeline.

MSHA reserves the right to modify testing as required to provide equivalent testing on unusual constructions.

- 5.1.7. Provide a copy of the finished product's Materials Safety Data Sheet in accordance with 29 CFR 1910.1200 (Attachment 1B, Item 6).
- 5.2. Quality Assurance

ASAP5013 2010-11-01.doc

TITLE: Standard Application Procedure for the Acceptance of Lifelines for Underground Coal Mines

MSHA Mine Safety and Health Administration, Approval & Certification Center

Provide the following information pertaining to the manufacturer's quality assurance procedures (Attachment 1B, Item 7):

- a. Procurement procedures for the components or ingredients of the product,
- b. Manufacturing practices to maintain the formulation,
- c. Procedures for record keeping, such as test results,
- d. Critical characteristics that will be flame resistant tested &/or inspected to ensure that the finished product meets the flame resistant test requirements.

5.3. <u>Toxicity Statement</u>

Provide a signed Certification Statement regarding Toxicity of the finished product (Attachment 1B, Item 8).

5.4. Extensions of Approval

Any change in the approved product from the documentation on file at MSHA that affects the technical requirements must be submitted to MSHA for approval prior to implementing the change. An extension of approval application is administered in the same manner as the original approval request.

5.5. <u>Notification of Discrepancy</u>

The applicant will be notified of any deficiencies/corrections that need to be corrected. Deficiencies/corrections will be resolved in accordance with the A&CC's Cancellation Policy (APOL 1009).

5.6. <u>Fees</u>

- 5.6.1. Upon receipt of a complete application, an estimate for the cost of processing the application (cap letter), including testing will be sent to the applicant.
- 5.6.2. The applicant may "preauthorize" the estimate in order to eliminate the "cap" letter. Check with MSHA personnel for the present amount.

ASAP5013 2010-11-01.doc

DOCUMENT NO: ASAP 5013 VERSION: 2010-11-01 Page 5 of 7

TITLE: Standard Application Procedure for the Acceptance of Lifelines for

Underground Coal Mines

MSHA Mine Safety and Health Administration, Approval & Certification Center

5.7. <u>Application Processing</u>

- 5.7.1. Upon receipt of the company agreement to the cap estimate (or preauthorization), MSHA will place the application in queue based upon date received, to begin the evaluation of the application, including testing.
- 5.7.2. After confirming that all the required information listed in the application form (Attachments 1A & B) has been received, and upon successful testing of the product(s) and receipt of laboratory test data report(s) with certifications, MSHA will prepare an approval letter for the applicant.

5.8. <u>Labeling</u>

An approved product must be permanently and legibly labeled with the approval designation as directed by MSHA. If the MSHA approval designation cannot be marked on the product, it may be permanently and legibly marked with the assigned MSHA approval number on all shipping spools and/or containers. MSHA reserves the right to permit alternate forms of labeling.

5.9. <u>Test Failure</u>

If a product should fail the test, one retest will be allowed based on a written request by the applicant. The request for retest must provide the justification for such action, such as improvement in product information and amendment of original application. Based upon the justification and at MSHA's discretion, the retest may consist of any sizes that were originally tested; otherwise, only the specific size that passed the test will be issued an approval.

Attachment 1A

Lifeline Acceptance Application Form

	D	ate
Company Name		
Address		
	FA>	
Company Representati	ve	
Company Assigned Application No.		
Product Description		
a. Trade Name		
b. Style, Code No		
c. Weight or weave de	ensity	
Formulation		
Ingredient	% by Weight	Tolerance (± %
The following samples	were sent for testing:	

Attachment 1B

6.	hazar	Material Safety Data Sheet (MSDS) for each of the final products or for the azardous chemical components used to manufacture the final end product is tached: Yes No (If No, attach explanation).		
7.		e following information pertaining to the manufacturer's quality assurance cedures are attached:		
	a.	Procurement procedures for the components or ingredients of the product, Yes No (If No, attach explanation).		
	b.	Manufacturing practices to maintain the formulation, Yes No (If No, attach explanation).		
	c.	Procedures for record keeping, such as test results, Yes No (If No, attach explanation).		
	d.	Critical characteristics that will be flame resistant tested or inspected to ensure that the finished product meets the flame resistant test requirements. Yes No (If No, attach explanation).		
8.	<u>Toxic</u>	ity Statement:		
		ify that the product in its final form and under normal use conditions does resent a toxicity hazard to miners.		
		Signed (Authorized Company Official)		
		Title		
		Date		