

Summary of Changes

Summary of Changes and Instructions

1.1 May 2012 Revision of the January 2008 Edition of the NTIA Manual

The font size of the body text was changed from 12 to 11 point throughout the manual. The table data and footnote text is 10 point. The whole manual is reprinted in this revision. The version, revision, section and page number markings are moved to the bottom of each page.

The following is a summary of the changes made by this revision. In some cases redline/strikeout markings are shown to make it easier to determine what was changed.

Chapter, Part, or Section	Change	Authorization Doc. Number
Chapter 1		
Section 1.3.2	<p>1.3.2 Bylaws</p> <p>....</p> <p style="text-align: center;">Section 2 - The Frequency Assignment Subcommittee</p> <p>a. The FAS shall have as its normal function the carrying out of those functions given in Article II related to the assignment and coordination of radio frequencies and the development and execution of procedures therefore. <u>Only FAS representatives or alternates are authorized to submit votes for assignments on the FAS agenda or before the subcommittee.</u></p>	38827/1
Section 1.3.2	<p>Address Correction:</p> <p>Article V – Substructure, Section 2 - The Frequency Assignment Subcommittee:</p> <p>d. The Military Assignment Group (MAG) is established under the cognizance of the NTIA IRAC Frequency Assignment Subcommittee (FAS) to provide guidance and procedures for the management of the 225.000-328.600 and 335.400-399.900 MHz frequency bands (hereafter referred to as “the MAG bands”), which are of primary concern to the military departments.</p> <p>....</p> <p><u>4. The MAG shall consist of one primary and one alternate member from the Army, Navy, Air Force and the Federal Aviation Administration (FAA). The Department of the Air Force, Air Force Frequency Management Agency (AFEMA)Spectrum Management Office (AFSMO) shall designate one of its employees as the MAG Chair. Personnel from other Department components or Federal agencies may participate in meetings, as authorized by the MAG Chair.</u></p>	38906/1
Section 1.3.2	<p>Correcting a reference:</p> <p style="text-align: center;">Article IX - Personnel Security Clearances</p> <p>Section 1 - Representatives, alternates and observers on the IRAC, its subcommittees and ad hoc groups, shall be cleared for access to SECRET information in accordance with standards established by Executive Order 12958, as amended<u>13526 (E.O. 13526)</u>. Their clearances shall be registered with NTIA's security officer.</p> <p>Section 2 - Assistants, consultants, advisors, visitors or guests shall be sponsored by NTIA, a member or an observer and shall possess a security clearance equal to the classification of the information being considered. Such clearances will be in accordance with standards established by Executive Order 12958, as amended. Their clearances shall be registered with NTIA's security officer.</p> <p>....</p> <p style="text-align: center;">Article X - Safeguarding Official Information</p>	39045/1

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	Security information is marked and handled in accordance with Executive Order 12958 13526 , e.g., CONFIDENTIAL, SECRET, etc. (39045/1)																				
Section 4.1.3	Added footnote US64 and deleted US345. Reference FCC Report and Order Amendment of Parts 2 and 95 of the Commission's Rules to Provide Additional Spectrum for the Medical Device Radiocommunication Service in the 413-457 MHz band, ET Docket No. 09-36 and RM-11404.	39309/1																			
Section 4.1.3	Added footnote US23 and deleted US381. Reference FCC Report and Order Amendment of Parts 2 and 97 of the Commission's Rules to Facilitate Use by the Amateur Radio Service of the Allocation at 5 MHz ET Docket No. 10-98, RM-11353.	39308/1																			
Section 4.1.3	Reference the adopted FCC First Report and Order and Further Notice of Proposed Rulemaking addressing the amendment of the Commission's rules to provide Spectrum Medical Body Area Networks. Added new footnote US101 to the manual and added US101 to the table in the bands 2360-2390, 2390-2395, and 2395-2400 MHz.	39277/1 39298/1																			
Section 4.1.3	Correction of the 10-10.5 GHz portion of the allocation table.	38828/1																			
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Section 4.3.11	Corrected the reference to a US footnote: Plan for Bio-Medical Telemetry and Medical Radiocommunication 1. This frequency may be authorized for base (FB or FC), mobile (ML or MS), mobile repeater (MLR), and for fixed (FX) operations to access a repeater which retransmits on a different frequency. This frequency shall be authorized for both federal and non-federal use with a maximum Effective Radiated Power (ERP) of 100 watts. Airborne operations on this frequency are prohibited. The fixed station classes included in this limitation are in addition to those mentioned in US216 US73(A) .	38630/1																			
Chapter 5	Editorial corrections were made to the references concerning Annex M.	None																			
Chapter 6																					
Section 6.1.1	Added a new definition for Dynamic Spectrum Access: Duplex Operation: Operating method in which transmission is possible simultaneously in both directions of a telecommunication channel. (RR) Dynamic Spectrum Access: <u>The real-time adjustment of spectrum utilization in response to changing circumstances and objectives.</u>	38532/1																			
Section 6.1.4	Added stations classes E1, E2, E3 and E.4 to Table A.	38791/1																			
Chapter 7																					
Section 7.3.9	Added this new section which addresses HF Radio Standards.	39221/1																			
Section 7.5.9	The FCC adopted the <i>MedRadio Expansion (MMNs) R&O</i> . This report and order replaces US345 with US64. The table now reflects that US64 and US345 has been deleted. The reference to US345 is updated to US64 in section 7.5.9.																				
Chapter 8																					
Section 8.2.35	Corrected an error in an equation: 1. The equivalent isotropically radiated power (e.i.r.p.) transmitted in any direction towards the horizon by an earth station shall not exceed the following limits except as provided in paragraphs 3 or 4: a. in frequency bands between 1 GHz and 15 GHz + 40 dBW in any 4 kHz band for $\theta \leq 0^\circ$	39241/1																			

Chapter, Part, or Section	Change	Authorization Doc. Number
	<p>+ 40 + 3θ dBW in any 4 kHz band for 0° < θ ≤ 5°; and</p> <p>b. in frequency bands above 15 GHz</p> <p>+ 64 dBW in any 1 MHz band for θ ≤ 0°</p> <p>+ 64 + 3θ dBW in any 1 MHz 4 kHz-band for 0° < θ ≤ 5°;</p>	
Section 8.2.44	This section is deleted and marked “Reserved”.	38686/1
Section 8.2.45	Modified the bands allocated for assignment to mobile stations in certain frequency bands shared with passive sensors.	39157/1
Section 8.3.12	Modified the band allocated in this section.	39157/1
Section 8.3.13	Modified the band allocated in this section.	39157/1
Section 8.3.15	Addition of coordination contour data for a NASA site at Finegayan, Guam.	39133/1
Part 8.4	<p>Consequential changes due to the addition of the definition for Dynamic Spectrum Access:</p> <p>Part 8.4, Cognitive Radio System, <u>Dynamic Spectrum Access</u>, and Software Defined Radio Techniques</p> <p>Radiocommunication systems using Cognitive Radio, <u>Dynamic Spectrum Access</u>, or Software Defined techniques in any radiocommunications service shall operate in accordance with the provisions of NTIA rules governing those services.</p>	38532/1
Chapter 9		
Part 9.4	<p>9.4 SECURITY CLASSIFICATION OF APPLICATIONS</p> <p><u>1. Classified applications forwarded to NTIA in printed form shall contain all of the security information markings specified for documents in Executive Order 12958-13526(E. O. 12958), of April 17, 1995December 29, 2009.</u> These markings shall be done-applied as defined in-by the Office of Management & Budget's Information Security Oversight Office (ISOO) Directive No. 1 (32 CFR, Part 2001).</p>	39045/1
Section 9.8.2	<p>9.8.2 Application Data Requirements</p> <p>.....</p> <p>6. CLA--Classification (1 Character--1 Occurrence)</p> <p>a. This field is for the security classification of the assignment as determined in accordance with Executive Order 12958 of April 20, 1995<u>13526</u>.</p> <p>b. Insert only one of the following codes in this field. ASSIGNMENTS ARE NEVER CLASSIFIED HIGHER THAN CONFIDENTIAL.</p> <p>7. CDD -- Declassification Date (6 Characters - 1 Occurrence)</p> <p>a. Complete this field for every application on which the CLA data field contains the letter C.</p> <p>b. Enter the letters DE in the first two characters.</p> <p>c. Beginning in character three,</p> <p>(1) enter the year and month of the declassification date, in year and month (YYMM) order (The assignment will be automatically declassified on the last day of that month.). (If the declassification date has been extended beyond 10 years from the initial classification date, an entry is also required in the Circuit Remarks field *CLF); or,</p> <p>(2) enter the letter X, immediately followed by from one to three numbers, in numerical sequence, that correspond to the applicable exemption categories listed in <u>Executive Order E.O. 12958, 13526</u>; or,</p> <p>(3) enter 25X, immediately followed by a number that corresponds to the applicable exemption category listed in <u>Executive Order E.O. 12958, Section 1.6.d.13526</u> for those assignments that are exempt from the 25 year automatic declassification rule for permanently valuable information. (For all assignments not in the declassification exemption category 25X1, the date for declassification shall be included in the Circuit Remarks field *CDE.); or,</p> <p>(4) enter OADR for those assignments that are classified derivatively based on source documents for which the declassification instructions are marked “Originating Agency's Determination Required,” or its acronym “OADR”. An entry of OADR in this data field requires an entry in the Circuit Remarks field</p>	39045/1

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	<p>*CLF.</p> <p>(5) enter DEVENT for those assignments that will be declassified after the occurrence of an event as specified in Executive Order E.O. 12958, 13526. An entry of DEVENT in this data field requires an entry in the Circuit Remarks field</p> <p>*DIC.</p> <p>y. <i>Name, or Personal Identifier and Position, of Original Classification Authority</i> (*CLA).</p> <p>(1) This field is used to identify, by name, or by personal identifier and position, the original classification authority for classified data. This entry shall be included for all classified applications for which the classification was originally applied by the applicant and was not determined from derivative methods. This entry shall include all data in a single occurrence.</p> <p>(2) Enter the field code REMnn followed by a space. Following the space, enter the code *CLA followed by a comma. Immediately after the comma, enter the name, or personal identifier and position, of the original classification authority, or the statement EXCLUDED, 1.7.B if entry of this information falls under the exclusion provision of Executive Order E.O. 12958, Section 1.7.(b) 13526.</p> <p>....</p> <p>aa. <i>Reason for Classification</i> (*CLR).</p> <p>(1) This field is used to cite the category, or categories, of Executive Order E.O. 12958, Section 1.5 13526, that the original classification authority determined to be the reason(s) frequency assignment data in the assignment must be classified. This entry shall be included in all classified applications, except those classified derivatively, for which entry is optional. If this entry is used, all data shall be entered in a single occurrence.</p> <p>(2) Enter the field code REMnn followed by a space. Following the space, enter the code *CLR followed by a comma. Immediately after the comma, enter the code 1.5, followed immediately by one, some or all of the letters a, b, c, d, e, f or g, to re-present the classification categories listed in Executive Order E.O. 12958, Section 1.5 13526. If more than one category letter is entered, enter the letters in alphabetical order.</p>	
Section 9.8.2, 39.u	<p>....</p> <p>Part 2 – Agency Specific Function Identifiers with Description</p> <p>....</p> <p>Ground Missile Defense (GMD) (Ground Missile Defense)--Communications used in supporting Anti-Terrorism Force Protection.</p>	38859/1
Section 9.8.2, 39.p	<p>Addition of new text to this section:</p> <p>....</p> <p>p. Reserved Point of Contact (*POC)</p> <p>This field is required on all federal radio spectrum applications for use in United States and its possessions. This entry identifies the person and associated contact information that validated the assignment data is correct. The field is completed by entering the following data:</p> <p>a. Enter the field code REMnn followed by a space. Following the space enter the code *POC followed by a comma.</p> <p>b. Immediately after the comma, enter the name of the individual (not to exceed 17 characters) at the lowest level as designated by the agency followed by a comma.</p> <p>c. Immediately after the comma, enter the phone number of the person above using 10 characters followed by a comma.</p> <p>d. Immediately after the comma, enter the date when the data on the assignment was validated in 6 characters in the year, month, and day, and format.</p> <p>Example: REM05 *POC,JOHN SMITH,7037801234,110627</p>	38825/1
Part 9.11	<p>9.11 PREPARATION OF THE CARD FORMAT FOR A MODIFICATION ACTION</p> <p>....</p>	38631/1

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	5. The following card formatting rules apply: b. All other data fields listed in Part 9.10 may be used at the option of the applicant. <u>(1) Agency Action Number (ACN) (10 Characters--1 Occurrence)</u> <u>The format of the entry shall be identical to the Agency Serial Number (SER) as specified in Section 9.8.2 consist of alpha and or numeric characters and represent agencies internal tracking information for agenda records. The field will be blank if not used by the agency for internal tracking.</u>																
Chapter 11	Minor editorial corrections were also made to this chapter.	None															
Part 11.5	Updated the text in Part 11.5.	39046/1															
Annex A																	
Annex A	The following footnotes are deleted: C026, C064, L168, L177, L242, L255, L256, L334, L336, L339, S323, S558, S625, S647, S661, S678, S700, S731, S739, S740, S768, S772, S778, S781, S852, S854, S862, S863, S888, S901, S903, and S914.	39005/1															
Part A.1	Footnote C016 is modified: C016 --This frequency assignment in one of the bands 1435-1525, 2310-2320 and 2345-2390 MHz was coordinated prior to authorization with the HQ USAF Frequency Coordinator, Alexandria, VA <u>Air Force Spectrum Management Office, Fort Meade, MD</u> , who also coordinated it, as appropriate, with the Aerospace and Flight Test Radio Coordinating Council. Use of this frequency under the authority of this assignment is subject to such further coordination with the <u>Air Force Spectrum Management Office, Fort Meade, MD</u> HQ USAF Frequency Coordinator, Alexandria, VA , as necessary to ensure compatibility with existing uses. (38905/1)	38905/1															
	Replaced C062 which had been accidentally removed in the previous revision.	None															
Part A.6	Four footnotes were deleted and one was modified: S369 This assignment is in accordance with Section 8.2.44 of the NTIA Manual. S738 This assignment supports the NASA Mars Global Surveyor. S791--This assignment supports the NASA <u>2001 Mars Odyssey (formerly Mars Surveyor 2001 Orbiter)</u> Communications System. S880 This assignment supports the NASA Mars Scout Lander System. S882 This assignment supports the NASA Phoenix Mars Scout System.	38686/1															
	Added new special note S404.	38860/1															
	Modified the text of footnote S595: S595 --This assignment shall expire upon completion of GPS Phase II space projects <u>supports the GPS program.</u>	38721/1															
	Added of four new special notes S932 through S936.	38629/1															
	Added new special note S937	38786/1															
Annex B																	
Section B.1.1	Corrected an error in the heading and data in the first row of the table. RANGES OF AZIMUTHS TO BE AVOIDED Southern Hemisphere <table border="1" data-bbox="391 1497 1284 1612"> <thead> <tr> <th data-bbox="391 1497 751 1554">Latitude of Terrestrial Station (NS)</th> <th data-bbox="751 1497 906 1554">From</th> <th data-bbox="906 1497 1052 1554">To</th> <th data-bbox="1052 1497 1206 1554">From</th> <th data-bbox="1206 1497 1284 1554">To</th> </tr> </thead> <tbody> <tr> <td data-bbox="391 1554 751 1589">0</td> <td data-bbox="751 1554 906 1589">88.509</td> <td data-bbox="906 1554 1052 1589">91.50</td> <td data-bbox="1052 1554 1206 1589">268.50</td> <td data-bbox="1206 1554 1284 1589">271.50</td> </tr> <tr> <td data-bbox="391 1589 751 1612">....</td> <td data-bbox="751 1589 906 1612"></td> <td data-bbox="906 1589 1052 1612"></td> <td data-bbox="1052 1589 1206 1612"></td> <td data-bbox="1206 1589 1284 1612"></td> </tr> </tbody> </table>	Latitude of Terrestrial Station (NS)	From	To	From	To	0	88.50 9	91.50	268.50	271.50					38750/1
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....																	
Annex D																	
Table 3	Updated the address information for the AF Spectrum Management Office (AFSMO).	38905/1															
Figure 5	Added a new image for Figure 5	38952/1															
Annex F																	
Part F.1	Added new text to this part: 2. During the review the agency concerned will determine for each frequency assignment: a. Whether the assignment is essential to meet the agency's requirements; and, b. if so, whether other existing assignments will meet the requirements; and,	39089/1															

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	<p>c. if not, whether the justification for and description of the assignment under review, are still accurate; and,</p> <p><u>d. if so, whether the assignment is completely up to date;</u></p> <p><u>e. Each federal agency will maintain the contact information of the person who validated that the radio frequency is still in use. This information will include their name and date of verification for each 5 year or 10 year review and will be accessible to the FAS representative.</u></p>																													
Annex G																														
Part G.1	<p>Added an Abbreviation for the Federal Housing Finance Agency.</p> <table border="1" data-bbox="418 478 1284 714"> <thead> <tr> <th>Agency</th> <th>Bureau</th> <th>Abbreviation</th> </tr> </thead> <tbody> <tr> <td>Federal Mediation and Conciliation Service</td> <td></td> <td>FMCS</td> </tr> <tr> <td>Federal Deposit Insurance Corporation</td> <td></td> <td>FDIC</td> </tr> <tr> <td>Federal Housing Finance Agency</td> <td></td> <td>FHFA</td> </tr> <tr> <td></td> <td><u>Office of Inspector General</u></td> <td><u>OIG</u></td> </tr> <tr> <td>Federal Reserve System</td> <td></td> <td>FRS</td> </tr> </tbody> </table>	Agency	Bureau	Abbreviation	Federal Mediation and Conciliation Service		FMCS	Federal Deposit Insurance Corporation		FDIC	Federal Housing Finance Agency		FHFA		<u>Office of Inspector General</u>	<u>OIG</u>	Federal Reserve System		FRS	38720/1										
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	Part G.5	Revised the code for DEC Decibel Products Inc. dbSpectra Systems.																												
Annex L																														
Part L.3	Modified text concerning the military department addresses.	38907/2																												

(Last Page in the Summary)