

NATIONAL
INDIAN
GAMING
COMMISSION

OCT 8 1993

Charles W. Murphy
Tribal Chairman
Standing Rock Sioux Tribe
P.O. Box D
Fort Yates, North Dakota 58538

Dear Chairman Murphy:

This letter responds to your request to review and approve the tribal gaming ordinance submitted on July 19, 1993, for the Standing Rock Sioux Tribe. This letter constitutes such approval under the Indian Gaming Regulatory Act (IGRA).

Under the IGRA and the regulations of the National Indian Gaming Commission (NIGC), the Chairman is directed to review ordinances with respect to the requirements of the IGRA and the implementing regulations. Thus, the scope of the Chairman's review and approval is limited to the requirements of the IGRA and the NIGC regulations. Provisions other than those required under the IGRA or the NIGC regulations that may be included in a tribal ordinance are not subject to review and approval. Also, such approval does not constitute approval of specific games.

It is important to note that the gaming ordinance is approved for gaming only on Indian lands as defined in the IGRA.

With the Chairman's approval of the Tribe's gaming ordinance, the Tribe is now required to conduct background investigations on its key employees and primary management officials. The NIGC expects to receive a completed application for each key employee and primary management official pursuant to 25 C.F.R. § 556.5(a) and an investigative report on each background investigation before issuing a license to a key employee or primary management official pursuant to 25 C.F.R. § 556.5(b).

Thank you for submitting the ordinance of the Standing Rock Sioux Tribe for review and approval. The NIGC staff and I look forward to working with you and the Tribe in implementing the IGRA.

Sincerely yours,

Anthony J. Hope

Anthony J. Hope
Chairman

cc: William R. Perry, Esq.

RESOLUTION NO. 115-93

(Designation of Agent for Service of Gaming Related Documents)

WHEREAS, the Standing Rock Sioux Tribe is an unincorporated Tribe of Indians having accepted the Indian Reorganization Act of June 18, 1934, with the governing body of the Tribe known as the Standing Rock Sioux Tribal Council; and

WHEREAS, the Standing Rock Sioux Tribal Council, pursuant to the amended Constitution of the Standing Rock Sioux Tribe, Article IV, Section 1(a) and (c), is empowered to negotiate with Federal, State, local governments and others on behalf of the Tribe, to promote and protect the health, education and general welfare of the members of the Tribe and to administer services that may contribute to the social and economic advancement of the Tribe and its members; and

WHEREAS, the Standing Rock Sioux Tribe has determined that gaming is a valid means of promoting economic development and the health and welfare of tribal members; and

WHEREAS, the Standing Rock Sioux Tribe has entered compacts with the States of North Dakota and South Dakota which provide for the operation of Class III gaming, and

WHEREAS, federal regulations require that the Tribe designate an agent for the service of any official determination, order, or notice of violation by the National Indian Gaming Commission.

NOW THEREFORE BE IT RESOLVED, that the Standing Rock Sioux Tribal Council hereby designates the Tribal Chairman, Box D, Fort Yates, North Dakota 58538, as the agent for the Standing Rock Sioux Tribe for the purposes of receiving service of any gaming related notice in accordance with federal regulations; and

BE IT FURTHER RESOLVED, that this Resolution is for purposes of designating an agent only for the purpose specified, and no other purpose.


BE IT FURTHER RESOLVED, that the Chairman and Secretary of the Tribal Council are hereby authorized and instructed to sign this Resolution for and on behalf of the Tribe.

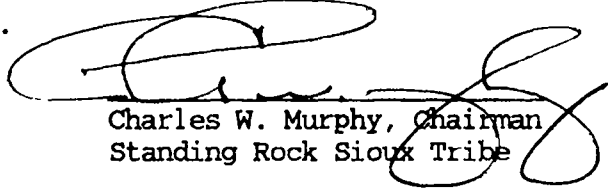
CERTIFICATION

We, the undersigned Chairman and Secretary of the Standing Rock Sioux Tribal Council, do hereby certify that the Tribal Council is composed of 17 members, of whom 16 constituting a quorum, were present at a meeting thereof, duly and regularly called, noticed, and convened and held on the 23rd day of APRIL 1993, and that the foregoing resolution was duly adopted by the affirmative vote of 14 members, with 1 opposing, and 1 not voting. The Chairman's vote is not required except in case of a tie.

Dated this 23rd day of APRIL 1993.

ATTEST:


Elaine McLaughlin, Secretary
Standing Rock Sioux Tribal Council


Charles W. Murphy, Chairman
Standing Rock Sioux Tribe

(OFFICIAL SEAL)

RESOLUTION NO. 276-93

BE IT ENACTED, That Title XXIII, Licensing and Regulation of Bingo and Other Games of Chance, of the Tribal Code of Justice, be and the same is hereby amended as follows:

1. Section 23-402 is amended to read as follows:

Section 23-402. Sole Proprietary interest

In compliance with 25 U.S.C. 2710 (b) (2) (A), the Tribe or a tribal subdivision shall have the sole proprietary interest and responsibility for the conduct of any gaming activity on the Reservation. Ownership of any Class II or III game by any other entity or individual is prohibited.

2. Section 23-504 (b) (5) is amended to read as follows:

5. A description of any current or previous business relationships with Indian tribes, including ownership interests in those businesses;

3. Section 23-504 (b) (6) is amended to read as follows:

6. A description of any current or previous business relationships with the gaming industry generally, including ownership interests in those businesses;

4. Section 23-504 (b) is amended to add a new subsection 10 to read as follows:

10. For each criminal charge (excluding minor traffic charge) within 10 years of the date of application, other than those listed in response to Sections 23-504 (b) (8) or (b) (9) of this Ordinance, the charge, the name and address of the Court involved, and the date and disposition of the charge.

5. Section 23-504 (b) is amended by renumbering subsections 10, 11, 12, and 13, as subsections 11,12,13, and 14 respectively.

6. The first section of Section 23-603 is amended to read as follows:

The Tribe shall submit to the National Indian Gaming Commission for approval any management contract for the operation of a Class II or Class III game.

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RESOLUTION NO. 276-93


BE IT RESOLVED, That pursuant to the power vested in the Tribal Council Under the Constitution of the Standing Rock Sioux Tribe, that the foregoing Resolution No. 276-93 amending Title XXIII, Licensing and Regulation of Bingo and Other Games of Chance, be and the same is hereby enacted.

BE IT FURTHER RESOLVED, that the Chairman and Secretary of the Tribal Council be authoized and instructed to sign this resolution for and on behalf of the Standing Rock Sioux Tribe.

C E R T I F I C A T I O N


We, the undersigned, Chairman and Secretary of the Tribal Council of the Standing Rock Tribe, hereby certify that the Tribal Council composed of 17 members of which 12, constituting a quorum were present at the meeting thereof duly, and regularly called, noticed and convened and held this 7th day of October, 1993, that the foregoing resolution was duly adopted by the affirmative vote of 12 members, with 0 opposing, with 2 not voting. The Chairman's vote is not required except in the case of a tie.

DATED THIS 7th DAY OF October, 1993.



Jesse "Jay" Taken Alive, Chairman
Standing Rock Sioux Tribe

ATTEST:



Elaine McLaughlin, Secretary
Standing Rock Sioux Tribe

(OFFICIAL SEAL)

Ordinance 150

Title XXIII, Licensing and Regulation of Bingo and Other Games of Chance, of the Tribal Code of Justice is hereby repealed and replaced with the following:

Chapter 1: Findings

Section 23-101 The Tribal Council finds:

(a) That operation of bingo and other games of chance by the Tribe and tribal subdivisions is a valid means of promoting tribal economic development and the health and welfare of tribal members;

(b) That, under the principles established by the United States Supreme Court in California v. Cabazon Band of Mission Indians, 94 L.Ed.2d 244 (1987), Indian tribes have the exclusive right to regulate gaming activity on Indian lands if the activity is not specifically prohibited by federal law and is conducted within a state which does not criminally prohibit the activity;

(c) That the United States Congress has enacted the Indian Gaming Regulatory Act, 25 U.S.C. §2701 et seq., providing for certain federal regulation of Indian gaming;

(d) That tribal regulation of gaming activity on the Reservation is vital to the protection of trust lands on the Reservation and to the protection of the interests of the Tribe and its members;

(e) That the Tribe has entered into tribal-state compacts with the State of North Dakota and the State of South Dakota which provide for the operation of Class III gaming.

Chapter 2: Definitions

Section 23-201 Class I gaming

"Class I gaming" means:

(a) Social games played solely for prizes of minimal value.

(b) Traditional forms of Indian gaming engaged in as a part of tribal ceremonies, celebrations, or pow-wows.

Section 23-202 Class II gaming

The term "Class II gaming" means:

(a) Bingo

The game of chance which is played for prizes, including monetary prizes, with cards bearing numbers or other designations, in which the holder of the card covers such numbers or designations when objects, similarly numbered or designated, are drawn or electronically determined, and in which the game is won by the first person covering a previously designated arrangement of numbers or designations on such cards.

Electronic, computer or technological aides -- such as computer-generated number sequences -- can be used in connection with Class II bingo, so long as all players are playing against each other to achieve the same sequences.

(b) Other games of chance

Games similar to bingo, including, if played at the same location as bingo, pull-tabs, lotto, punch boards, tip jars of a designated winning number or numbers, color or colors, symbol or symbols determined by chance, and non-banking card games.

Section 23-203 Class III gaming

"Class III gaming" means all forms of gaming that are not Class I or Class II gaming, including:

(a) Electronic or electromechanical facsimiles of any game of chance or slot machines of any kind.

(b) All banking card games; that is, card games played against the house, including baccarat, chemin de fer, and blackjack.

Section 23-204 Traditional forms of Indian gaming

"Traditional forms of Indian gaming" means:

(a) Gaming activities such as "stick" or "bone" games played as part of tribal ceremonies, celebrations, or pow-wows.

(b) Games such as rodeos or horse races, including those for which purses or prizes are awarded, that are played as part of tribal ceremonies, celebrations, or pow-wows. This does not include games operated prior to a ceremony, celebration, or pow-wow for the purpose of raising funds for the ceremony, celebration, or pow-wow; these are considered Class II games unless they meet the definition set forth in Section 205, below.

Section 23-205 Social games for prizes of minimal value

"Social games for prizes of minimal value" means games in which the total value of prizes awarded during the calendar year does not exceed \$10,000.

Section 23-206 Tribal subdivision

"Tribal subdivision" means:

(a) The districts, as set forth in Article III, Section 2 of the Constitution of the Standing Rock Sioux Tribe.

(b) Nonprofit entities organized to raise funds and operate programs to promote the health and welfare of tribal members and/or to organize and operate ceremonies, celebrations, and pow-wows.

(c) Tribal school boards.

Section 23-207 Net revenues

"Net revenues" means gross revenues of a gaming activity less (i) amounts paid out as, or paid for, prizes and (ii) total operating expenses, except that management fees shall not be deducted to determine net revenues.

Section 23-208 Primary management official

"Primary management official" means (1) any person having management responsibility for a management contract; (2) any person who has authority to hire and fire employees or to set up working policy for a gaming operation, or (3) the chief financial officer or other person who has financial management responsibility.

Section 23-209 Key employee

"Key employee" means (1) a person who performs one or more of the following functions: bingo caller, counting room supervisor, chief of security, custodian of gaming supplies or cash, floor manager, pit boss, dealer, croupier, approver of credit or custodian of gambling devices including persons with access to cash and accounting records within such devices; or (2) if not otherwise included, any other person whose total cash compensation is in excess of \$50,000 per year, or (3) if not otherwise included, the four most highly compensated persons in a gaming operation.

Section 23-210 North Dakota Compact

"North Dakota Compact" means the document entitled "Tribal State Compact for Control of Class III Games of Chance, Standing Rock Sioux in North Dakota," entered into between the Standing Rock Sioux Tribe and the State of North Dakota.

Section 23-211 South Dakota Compact

"South Dakota Compact" means the document entitled "Gaming Compact Between the Standing Rock Sioux Tribe and the State of South Dakota," entered into between the Standing Rock Sioux Tribe and the State of South Dakota.

Section 23-212 Act

"Act" means the Indian Gaming Regulatory Act, 25 U.S.C. §2701 et seq.

Section 23-213 North Dakota portion of the Reservation

"North Dakota portion of the Reservation" means that portion of the Standing Rock Sioux Reservation which lies within the boundaries of the State of North Dakota.

Section 23-214 South Dakota portion of the Reservation

"South Dakota portion of the Reservation" means that portion of the Standing Rock Sioux Reservation which lies within the boundaries of the State of South Dakota.

Section 23-215 Commission

"Commission" means the Standing Rock Gaming Commission established pursuant to Chapter 3 of this Ordinance.

Section 23-216 National Indian Gaming Commission

"National Indian Gaming Commission" means the National Indian Gaming Commission established pursuant to 25 U.S.C. §2704.

Section 23-217 Tribe

Tribe means the Standing Rock Sioux Tribe.

Chapter 3: Tribal Gaming Commission

Section 23-301 Establishment

There is hereby established the Standing Rock Gaming Commission ("Commission"), an agency of the Tribe, consisting of a Chairman and eight other members.

The Commission shall have the following powers and duties:

(a) The Commission shall have primary responsibility for oversight of tribal gaming operations to ensure the integrity of such operations and thereby shall monitor and regulate all gaming authorized under this Ordinance;

(b) The Commission may on its own initiative investigate any aspect of any gaming operation on the Reservation or purporting to operate under this Ordinance in order to protect the public interest in the integrity of such gaming operation and to prevent improper or unlawful conduct, and shall investigate any report of a failure of a gaming operation to comply with the provisions of this Ordinance, federal law, or any applicable tribal-state compact, and may require any such gaming operation to take any corrective action deemed necessary by the Commission upon such terms and conditions as the Commission may determine to be appropriate.

(c) The Commission shall review all license applications and determine whether the application is complete and in compliance with the Ordinance, and whether such license should be issued, and shall further determine if any license so issued shall be revoked, suspended, or renewed, as appropriate;

(d) The Commission shall collect any fees required to be paid under this Ordinance;

(e) The Commission shall establish a mechanism for reviewing and investigating public comments or complaints regarding gaming conducted pursuant to this Ordinance.

(f) The Commission may impose civil penalties for violations of this Ordinance.

(g) The Commission may, in the name of the Tribe, bring any civil action or criminal complaint in any court of competent jurisdiction to enforce the provisions of this Ordinance or to enjoin or otherwise prevent any violation of this Ordinance, the Act, the North Dakota Compact or the South Dakota Compact, occurring or threatened to occur.

(h) The Commission shall adopt an annual operating budget which shall be subject to the approval of the Standing Rock Sioux Tribal Council and may in accordance with such budget employ such staff from time to time as it deems necessary to fulfill its responsibilities under this Ordinance, and may retain professional services including investigative services to assist the Commission with respect to any issues over which the Commission exercises jurisdiction.

(i) The Commission shall promulgate such regulations as it deems necessary in order to implement and enforce the provisions of this Ordinance.

Section 23-303 Appointment of members

One Commission member must be a resident of each District of the Reservation. Each District shall recommend persons to serve as Commission member from that District. The Chairman and members of the Commission shall each be appointed by majority vote of the Tribal Council. The Chairman of the Commission may reside anywhere on the Reservation.

Section 23-304 Terms

The initial members of the Commission shall serve as follows:

- (a) Four members shall serve through January 1, 1995.
- (b) Four members shall serve through January 1, 1996.
- (c) The Chairman shall serve through January 1, 1997.

Thereafter all terms shall be for three years and until a successor is appointed and qualified. If a vacancy occurs during a term, the Council may appoint a successor for the unexpired portion of the term, with recommendations from the District in which the vacancy arose.

Section 23-305 Qualifications

To be eligible to serve as Chairman or a member of the Commission, a person shall:

- (a) Be of high moral character and reputation to promote public confidence in gaming by the Tribe;
- (b) Have sufficient education and work experience to be able to fulfill the functions of the Commission;
- (c) Have no financial stake in any gaming operated under the Ordinance nor participate as a player in any gaming operated under this Ordinance. A person has a "financial stake in any gaming operated under the Ordinance" if that person, or that person's spouse, brother, sister, mother, father, grandmother, grandfather, son, daughter, grandson, granddaughter or first cousin has invested in, has a direct or indirect financial interest in, has a management contract in or has any other pecuniary interest whatever in gaming operated under this Ordinance. Membership in

the Tribe does not, in itself, create a "financial stake in any gaming operated under this Ordinance." Employment at a tribally licensed gaming facility, other than as a primary management official, by a person's spouse, brother, sister, mother, father, grandmother, grandfather, son, daughter, grandson, granddaughter or first cousin does not create in such person a "financial stake in any gaming operated under this Ordinance."

(d) Never have been convicted of a felony, or any crime involving fraud, misrepresentation, moral turpitude or gambling.

Section 23-306 Removal and vacancies

Members, including the Chairman, may be removed by a two-thirds vote of the Tribal Council with cause. Vacancies shall be filled by majority vote of the Council, with recommendations provided by the Districts from which the vacancy arose.

Section 23-307 Meetings

The Commission shall meet as necessary to fulfill its duties and obligations under this Ordinance, but in no event less frequently than once per month. Meetings may be called by the Chairman, or by any three members of the Commission, upon at least three days written notice to all members. If the Chairman or any three members certify in writing that a meeting must be held on less than three days notice, a meeting may be held as necessary, provided that best efforts must be made to provide the Chairman and all members with as much advance notice of such meeting as possible. Five members, or four members and the Chairman, shall

constitute a quorum for the transaction of business. The vote of a majority of the members present at a meeting at which a quorum is present shall be the act of the Commission.

Section 23-308 Compensation

Members of the Commission shall be paid reasonable mileage for their participation in Commission meetings. In addition, the Council may, in its sole discretion, authorize payment of a reasonable per diem, not to exceed \$30 per day, for participation in Commission meetings.

Chapter 4: Compliance with the Act

Section 23-401 Applicability

All gaming on the Reservation shall be conducted according to the provisions of this Ordinance and in compliance with the Indian Gaming Regulatory Act.

Section 23-402 Sole proprietary interest

In compliance with 25 U.S.C. §2710(b)(2)(A), the Tribe or a tribal subdivision shall have the sole proprietary interest and responsibility for the conduct of any gaming activity on the Reservation. Ownership of any Class II or Class III game by any other entity or any individual is prohibited. An entity shall be deemed to have "the sole proprietary interest" in a game if it has the authority to direct distribution of the game's revenues.

Section 23-403 Use of revenues

In compliance with 25 U.S.C. §2710(b)(2)(B), net revenues from any gaming activity are not to be used for any purposes other than:

- (a) to fund tribal government operations or programs;
- (b) to provide for the general welfare of the Indian tribe and its members;
- (c) to promote tribal economic development;
- (d) to donate to charitable organizations; or
- (e) to help fund operations of local government agencies of the State and its political subdivisions.

Section 23-404 Audits

In compliance with 25 U.S.C. §2710(b)(2)(C) and (D), all gaming activities shall be subject to an audit by independent certified accountants, not less than annually. The audit shall include all contracts for supplies, services or concessions for a contract amount in excess of \$25,000 annually (except contracts for professional legal or accounting services) relating to Class II or Class III gaming. The audit shall be arranged by the licensee conducting the gaming, who shall provide a copy of the audit to the Commission upon completion. The Commission shall thereafter forward the audit report to the Tribal Council and the National Indian Gaming Commission.

In compliance with 25 U.S.C. 2710(b)(2)(E), the construction, and maintenance of any gaming facility, and the operation of gaming activities, shall be conducted in a manner which adequately protects the environment and the public health and safety, and for that purpose shall comply with all applicable health, safety and environmental standards enacted by the Tribe. Those standards generally imposed by the laws and regulations of the State of North Dakota relating to public facilities with regard to building, sanitary, and health standards and fire safety shall be deemed to be incorporated by this Ordinance as the laws of the Tribe applicable to gaming facilities of the Tribe on the North Dakota portion of the Reservation, provided however that such state laws have no force or effect on the Reservation other than by incorporation into this Ordinance, and provided further that if an approved management agreement stipulates that national building, electric and fire codes apply, then those standards shall be used. Those standards generally imposed by the laws and regulations of the State of South Dakota relating to public facilities with regard to building, sanitary, and health standards and fire safety shall be deemed to be incorporated by this Ordinance as the laws of the Tribe applicable to gaming facilities of the Tribe on the South Dakota portion of the Reservation, provided however, that such state laws have no force or effect on the Reservation other than by incorporation into this Ordinance.

Section 23-406 Status as a tribal subdivision

Each entity seeking designation as a tribal subdivision for the purpose of this Ordinance shall file an application with the Commission. The application shall provide information on the nature and purpose of the entity and the programs it operates, and shall demonstrate that the uses to which it puts its funds conform to Section 23-403. This requirement is waived for the districts; these entities shall be designated as tribal subdivisions effective on enactment of this Ordinance by the Council, provided that any district seeking a license under this Code must specify, in writing, the members of the District entity responsible for gaming by that District. The decision of the Council on any application shall be final. Tribal subdivisions shall be eligible for Class II gaming only.

Section 23-407 Licenses for gaming activities

The Commission shall issue a license for each place, facility, or location where gaming is conducted. For each location licensed, a sworn application must be filed by the Tribe or the sponsoring organization that contains a full and complete showing of the following:

(a) Satisfactory proof that the sponsoring organization (if other than the Tribe) is of good character and reputation among the people of the Reservation, with particular reference to the Indian people, and that it is financially responsible.

(b) A description of the premises in which the game is to be held, and proof that the applicant is the owner of such

premises, or lessee of such premises, for at least the term of the license.

(c) Agreement by the applicant to accept and abide by all applicable provisions of this Title and all conditions of the tribal license.

(d) Satisfactory proof that no employees of the applicant has ever been convicted of a felony.

(e) Satisfactory proof that notice of the application has been posted in a prominent, noticeable place in the Tribal Office and on the premises where the game is to be held for at least 30 days prior to consideration by the Commission, and published at least twice in a local newspaper serving the Reservation. The notice shall state the date, time and place when the application shall be considered by the Tribal Gaming Commission.

Section 23-408 License fees and duration of license

(a) Each application for a license under this Section shall be accompanied by an application fee of \$50, provided however that the Tribe shall not be required to pay any such fee. In addition, each licensee, other than the Tribe, shall pay to the Tribal Gaming Commission a fee according to the following schedule:

- 5% of the first \$100,000 of net revenues;
- 2% of the net revenues between \$100,000 and \$250,000; and
- 1% of the net revenues above \$250,000.

Such fees shall be paid to the Commission by the last day of each month for the previous month's net revenues.

(b) Where the Tribe is the licensee, and the Tribe retains a management contractor pursuant to Chapter 6 of this Ordinance, the management contractor shall pay an annual license fee of \$10,000.00. The first such license fee shall be due prior to the contractor operating any Class III games. Thereafter, such license fees shall be due on December 31 of each year for the following calendar year.

(c) Fees collected by the Commission under this section shall be used to administer this Code, including monitoring tribally licensed gaming, performing required audits, and maintaining a reserve fund sufficient to protect tribally licensed gaming in the event of litigation or other expenses of the Commission. Such reserve fund shall be maintained in an amount determined by the Commission, but not less than \$30,000. The reserve fund may be invested, but may not be spent for purposes other than those relating to tribal gaming.

(d) Each license shall expire on December 31 of the calendar year in which it is issued, and may be renewed by the Commission upon proper application.

Section 23-409 Hearing on application for a license

(a) All applications for a gaming license shall be considered by the Gaming Commission in open session at which the applicant, his attorney and any person protesting the application shall have the right to be present, and to offer sworn oral or

documentary evidence relevant to the application. After the hearing, the Commission shall determine whether to grant or deny the application, based on the Commission's determination of the best interests of the Tribe. The decision of the Gaming Commission shall be final.

(b) Existing licensees shall have no vested right to have their licenses renewed or extended, and compliance with this Ordinance does not guarantee an existing licensee a renewal or extension. In each case, the Commission shall, in the exercise of its discretion, make its determination based on all the evidence presented to it, provided that, with respect to Class III gaming operated under a management contract, the Commission shall not revise or fail to renew a license except on grounds permissible under such management contract.

Section 23-410 Conditions of the tribal license

Any tribal license issued under this Title shall be subject to such reasonable conditions as the Gaming Commission shall fix, including, but not limited to the following:

(a) The licensee shall at all times maintain an orderly, clean, and neat establishment, both inside and outside the licensed premises.

(b) The licensed premises shall be subject to patrol by the tribal and BIA police force, for the purpose of enforcing tribal law, and the licensees shall cooperate at all times with such police and law officers.

(c) The licensed premises shall be open to inspection by duly authorized tribal officials and officials of the National Indian Gaming Commission at all times during the regular business hours.

(d) There shall be no discrimination in the operations under a tribal license by reason of race, color or creed, age, sex, or national origin, provided, however, that a licensee shall give a preference in employment to Indians.

(e) No operator shall allow a person who manages or receives any compensation, directly or indirectly, for the operation of any Class II game of chance conducted by the operator to play in that game or other game of chance while on duty. No operator shall allow any person who, without payment, assists in the operation of any Class II or Class III game of chance conducted by the operator, to play in any game conducted by the operator within 24 hours after the time said person did so assist. No operator shall allow any person who receives any compensation, directly or indirectly, for the operation of a Class III game, to play any Class III game conducted by the operator.

(f) No beverage containing alcohol, including but not limited to, beer or liquor, shall be offered or awarded as a prize or in lieu of a prize for winning at any of the activities authorized by this Ordinance.

(g) No firearms, air guns which are capable of discharging dangerous projectiles, includes but not limited to b.b.'s or CO2 guns, rifles, shotguns, pistols, or revolvers; shall

be offered or awarded as a prize or in lieu of a prize for winning at any of the activities authorized by this Ordinance.

(h) No person involved in the operation of any activity authorized by this Ordinance shall, directly or indirectly, in the course of such operation, employ any device, scheme, or artifice to defraud make any untrue statement of a fact, or omit to state a fact necessary in order to make a statement not misleading in consideration of the circumstances under which such statement was made, or engage in any act, practice, or course of operation as would operate as a fraud or deceit upon any person.

(i) All licensees shall comply with all applicable requirements of the federal Internal Revenue Code.

Section 23-411 Assignment or transfer

No license issued under this Ordinance shall be assigned or transferred without the written approval of the Commission and the Tribal Council, both expressed by formal resolution.

Section 23-412 Cancellation and suspension

The licensee must have, and exercise, complete control over the premises being used for gaming at all times said games are being played. The licensee, the manager, and the employees shall be legally responsible for any violation of the Ordinance. Any license issued hereunder may be canceled by the Commission for the breach of any of the provisions of this Ordinance or of the tribal license, upon hearing before the Commission, after 10 days notice of the claimed breach to the licensee. If the Tribe is the

licensee, notice shall be served on the manager of the tribal operation. The time and place of the hearing shall be posted in the Tribal Office, and if time permits, in a local newspaper serving the Reservation. The licensee, manager, their attorney and any person affected by the license shall have the right to be present and to offer sworn oral or documentary evidence relevant to the breach charged. A license may be suspended during the 10 day period by a three-quarters vote of the Commission at a meeting at which a quorum is present. The decision of the Commission shall be final.

Section 23-413 Report to the Commission

Each licensee shall submit to the Commission, on a quarterly basis, a financial report for the previous quarter's operations. Such reports shall be signed, under oath, by an official or representative of the licensee, who in the case of a tribal operation shall be the operation's manager. The reports shall document:

- (a) Gross receipts for each month.
- (b) Names of each employee and the salary or other compensation paid to each.
- (c) All expenses in the operation of the facility, specifying all payments to vendors and contractors.
- (d) The amount paid in prizes each month.
- (e) All bank deposits made from proceeds of the facility operations, including any interest received on such deposits.
- (f) All bank withdrawals, and the purpose of each.

(g) All expenditures of net proceeds including the amount, person or organization paid, date, and purpose of such expenditures.

(h) That each licensee will submit copies of all IRS required forms.

Chapter 5: Background investigations and licensing of key employees and primary management personnel

Section 23-501 License required

All primary management officials and key employees of any gaming facility on the Reservation or licensed under this Ordinance shall be required to obtain a gaming employment license from the Commission prior to the commencement of employment.

Section 23-502 Background investigation

As part of the licensing process, each prospective primary management official and key employee shall be subject to a background investigation prior to hiring. For Class III gaming conducted in North Dakota, the investigation shall be conducted by the State of North Dakota. For Class III gaming conducted in South Dakota, the investigation shall be conducted by the State of South Dakota. Any costs of such investigations shall be paid by the applicant. In addition, the Commission may conduct its own investigation on any applicant for such license, with the costs paid by the applicant. For Class II gaming conducted on the Reservation, the investigation shall be conducted by the licensee or, at its discretion, by the Commission. Each applicant for a

license regarding Class II or Class III employment shall execute a release permitting the appropriate State or the Commission to conduct such investigation, and shall provide his or her full name, address and social security number.

Section 23-503 Licensing standard

No one shall be issued a license to serve as a primary management official or key employee if he or she (1) has been convicted of a federal, state or tribal felony or released from parole or probation or incarcerated within five years of the commencement of his or her employment with the Tribe, (2) has been convicted of a felony or misdemeanor involving fraud, misrepresentation or gambling, (3) has been found to be not a person of good character, honesty and integrity or a person whose prior activities, criminal record, reputation, habits, and associations pose a threat to the public interest of the Tribe or the State of North Dakota or the State of South Dakota or to the effective regulation and control of gaming, or create or enhance the dangers of unsuitable, unfair or illegal practices, methods, and activities in the conduct of gaming or the carrying on of the business and financial arrangements incidental to the conduct of gaming, or (4) has been found to have participated in organized crime or unlawful gambling.

Section 23-504 Application for license

(a) Each primary management official and key employee shall, at the time of their hiring but prior to commencing employment,

fill out an application for a tribal license to be provided by the Commission. Each such application shall have printed on it the following notices:

1. Privacy notice:

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. §§2701 et seq. The purpose of the requested information is to determine the eligibility of individuals to be employed in a gaming operation. The information will be used by National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, Tribal, State, local or foreign law enforcement and regulatory investigations and prosecutions or when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the hiring and firing of an employee, the issuance or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to hire you in a primary management official or key employee position.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

2. Notice regarding false statements:

A false statement on any part of your application may be grounds for not hiring you, or for firing you after you begin work. Also, you may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).

(b) The applicant will provide the following information:

1. Full name, other names used (oral or written), social security number(s), birth date, place of birth, citizenship, gender, all languages (spoken or written);

2. For the previous five years: business and employment positions held, ownership interests in those businesses, business and residence addresses, and driver license numbers;

3. The names and current addresses of at least three personal references, including one personal reference who was acquainted with the applicant during each period of residence listed in subparagraph 2;

4. Current business and residence telephone numbers;

5. A description of any previous business relationships with Indian tribes, including ownership interests in those businesses;

6. A description of any previous business relationships with the gaming industry generally, including ownership interests in those businesses;

7. The name and address of any licensing or regulatory agency with which the applicant has filed an application for a license or permit related to gaming, whether or not such license or permit was granted;

8. For each felony for which there is an ongoing prosecution or a conviction, the charge, the name and address of the court involved, and the date and disposition, if any;

9. For each misdemeanor conviction or ongoing misdemeanor prosecution (excluding minor traffic violations) within 10 years from the date of the application, the name and address of the court involved and the date and disposition;

10. The name and address of any licensing or regulatory agency with which the person has filed an application for an occupational license or permit, whether or not such license or permit was granted;

11. A photograph;

12. Fingerprints; and

13. Any other information which, in a particular case, the Commission deems relevant.

Section 23-505 Determination of Eligibility

On the basis of the information received under subparagraph (b) of Section 23-504, and the background investigation conducted by the appropriate State, the Commission shall consider the applicant for a license. Upon a vote of a majority of its members, including the Chairman, the Commission shall make a determination of the applicant's eligibility for a license, pursuant to the standards set forth in Section 23-503. Notwithstanding any other provision, any person who supplies materially false or misleading information, or who has omitted material information in connection with the requirements of this Chapter, shall be denied a license.

Section 23-506 Issuance of license

When the Commission determines that an applicant is eligible for a license, it shall promptly forward its determination and the results of its investigation to the National Indian Gaming Commission. The information forwarded to the Commission shall include the notices set forth in Section 23-504(a)(1) and (a)(2), the information provided under 23-504(b), and a report of the background investigation under 23-502. If, at the conclusion of the 30-day period beginning when the National Indian Gaming Commission receives the report submitted pursuant to this subparagraph, the National Indian Gaming Commission has not notified the Tribal Commission that it has any objections, the Tribal Commission may issue the license to the applicant. If the National Indian Gaming Commission informs the Tribal Commission that it objects to issuance of the license, the Tribal Commission shall reconsider the application in light of the National Indian Gaming Commission's objections. The Tribal Commission shall make the final decision on whether to issue such license.

Section 23-507. Duration of license

Each license shall be effective for a period of one year. Prior to expiration of the license, the licensee shall update the information produced during the original investigation. The Commission shall conduct a review to determine that each licensee continues to meet the standards of Section 23-503. If the Commission finds that the licensee continues to meet the standards, it shall renew the license for an additional year. Notice of any

such renewals shall be forwarded to the National Indian Gaming Commission.

Section 23-508. Effect of license denial

No person denied a license under this Chapter shall be employed in any capacity at a tribally authorized gaming facility, provided that such person shall be eligible to reapply for a license one year or more after the license denial.

Section 23-509. Hearings

Any person who applies for a license under this Chapter may request a hearing before the Commission on its license application. The Commission may grant such a request for a hearing in its sole discretion, provided that, if the hearing is requested by a person or entity whose application under this chapter has been denied by the Commission and such request is made in writing within 30 days of the denial, such request for a hearing shall be granted. At any hearing under this section, the applicant shall have the right to be present, be represented by counsel at the applicant's own expense, to review all evidence before the Commission on the application, and to present additional oral or documentary evidence in support of the application. The decision of the Commission shall be in writing, and shall be rendered within three days of the hearing.

Section 23-510. License Suspension

(a) If, after issuance of a license under this Chapter, the Commission receives reliable information that a key employee or primary management official is not eligible for employment under this Chapter, the Commission shall suspend the license and notify the licensee in writing of the suspension and proposed revocation. Such suspension shall not extend beyond 7 days without a hearing.

(b) The Commission shall notify the licensee of the time and place of the hearing. At the hearing, the licensee shall be entitled to the rights specified in Section 23-509.

(c) After a revocation hearing, the Commission shall determine, based on the evidence presented at the hearing, whether to revoke or reinstate the license. If the licensee continues to meet the standards of Section 23-503, the license shall be reinstated. If not, the license shall be revoked.

Chapter 6: Management contractors

Section 23-601 Management contracts allowed

Subject to the approval of the Tribal Commission and the National Indian Gaming Commission, a licensee may enter a management contract for the operation and management of gaming activities.

Section 23-602 Standard for approval

The Commission shall not approve any management contract unless its terms include:

(a) Adequate accounting procedures to be maintained by the contractor and verifiable financial reports submitted to the licensee and the Commission on a monthly basis.

(b) Access to the daily operation of the gaming for appropriate officials of the licensee and the Commission, who shall also have the right to verify the daily gross revenues and income made from the gaming activity.

(c) A minimum guaranteed payment to the licensee that has preference over the retirement of development and construction costs.

(d) A contract term not to exceed seven years.

(e) A management fee not to exceed 40 percent of the net revenues of the gaming activity.

(f) Grounds and mechanisms for terminating the contract.

Section 23-603 Submission to the National Indian Gaming Commission

The Tribe shall submit to the National Indian Gaming Commission for approval any management contract for the operation or management of a Class III game. The Tribe shall submit with the contract all information required by the National Indian Gaming Commission for its review of the contract.

Section 23-604. Licenses required

All persons or entities 1) with a financial interest in or having a management responsibility for a Class III management contract, or 2) which are vendors to a Class III gaming operation under this Ordinance shall be required to obtain a license from the Commission. For each person or entity with a financial interest in or having a management responsibility for a Class III management contract, the initial license fee shall be \$1000, and the renewal license fee shall be \$500. For each vendor to a Class III gaming operation, the annual license fee shall be \$2,500.

Section 23-605. Background investigation

As part of the licensing process, each person or entity having a financial interest in or having a management responsibility for a management contract and each vendor shall be subject to a background investigation. For Class III gaming conducted in North Dakota, the investigation shall be conducted by the State of North Dakota. For Class III gaming conducted in South Dakota, the investigation shall be conducted by the State of South Dakota. Any costs of such investigation shall be paid by the applicant. In addition, the Commission may conduct its own investigation on any such person or entity, with the costs paid by the applicant. Each applicant for a license shall execute a release permitting the appropriate State or the Commission to conduct such investigation, and shall provide his or her full name, address and social security number.

Section 23-606. Licensing standard

No person or entity shall be issued a license under this Chapter if that person or entity (or a principal of such entity) (1) has been convicted of a federal, state or tribal felony or released from parole or probation or incarcerated within five years of the application date, (2) has been convicted of a felony or misdemeanor involving fraud, misrepresentation or gambling, (3) has been found to be not a person of good character, honesty and integrity or a person or entity whose prior activities, criminal record, reputation, habits, and association pose a threat to the public interest of the Tribe or the State of North Dakota or the State of South Dakota or to the effective regulation and control of gaming, or create or enhance the dangers of unsuitable, unfair or illegal practices, methods, and activities in the conduct of gaming or the carrying on of the business and financial arrangements incidental to the conduct of gaming, or (4) has been found to have participated in organized crime or unlawful gambling.

Section 23-607. Application for license

(a) Each applicant with a financial interest in or having a management responsibility for a Class III management agreement shall fill out an application for a tribal license to be provided by the Commission. Each such applicant sign and submit the following statements:

1. Privacy notice:

Solicitation of the information in this section is authorized by 25 U.S.C. 2701 et seq. The purpose of the requested

information is to determine the suitability of individuals with a financial interest in, or having management responsibility for, a management contract. The information will be used by the National Indian Gaming Commission members and staff and Indian tribal officials who have need for the information in the performance of their official duties. The information may be disclosed to appropriate federal, tribal, state, or foreign law enforcement and regulatory agencies in connection with a background investigation or when relevant to civil, criminal or regulatory investigations or prosecutions or investigations of activities while associated with a gaming operation. Failure to consent to the disclosures indicated in this statement will mean that the Chairman of the National Indian Gaming Commission will be unable to approve the contract in which the person has a financial interest or management responsibility.

The disclosure of a personal Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing the information provided.

2. Notice regarding false statements:

a. Applicant shall sign and submit the following statement:

A false statement knowingly and willfully provided in any of the information pursuant to this section may be grounds for not approving the contract in which I have a financial interest or management responsibility, or for the disapproving or voiding such contract after it is

approved by the Chairman of the National Indian Gaming Commission. Also, I may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).

b. Each person seeking a license under this Chapter shall provide the following information:

1. Full name, other names used (oral or written), social security number(s), birth date, place of birth, citizenship, and gender;

2. A current photograph, driver's license number, and a list of all languages spoken or written;

3. For the previous ten years: business and employment positions held, ownership interests in those businesses, business and residence addresses, and driver license numbers, and the city, state and country of residence from age 18 to the present;

4. The names and current addresses of at least three personal references, including one personal reference who was acquainted with the applicant at each different residence location over the past five years;

5. Current business and residence telephone numbers;

6. A description of any previous business relationships with Indian tribes, including ownership interests in those businesses;

7. The name and address of any licensing or regulatory agency with which the applicant has filed an application

for a license or permit related to gaming, whether or not such license or permit was granted;

8. For each gaming offense and each felony for which there is an ongoing prosecution or a conviction, the charge, the name and address of the court involved, and the date of the charge and of the disposition;

9. For each misdemeanor or other conviction or ongoing misdemeanor or other prosecution (excluding minor traffic violations) within 10 years from the date of the application, the name and address of the court involved and the dates of prosecution and of the disposition;

10. The name and address of any licensing or regulatory agency with which the person has filed an application for an occupational license or permit, whether or not such license or permit was granted;

11. A photograph;

12. Fingerprints;

13. A complete and current financial statement showing all sources of income for the previous three (3) years, and costs, liabilities, and net worth as of the date of the submission;

14. Any other information which, in a particular case, the Commission deems relevant.

Section 23-608. Determination of Eligibility

On the basis of the information received under subparagraph (b) of Section 23-607, and the background investigation conducted by the appropriate State, the Commission shall consider the

application for a license. Upon a vote of a majority of its members, including the Chairman, the Commission shall make a determination of the applicant's eligibility for a license, pursuant to the standards set forth in Section 23-606. Notwithstanding any other provision, any person or entity which supplies materially false or misleading information, or who has omitted material information in connection with the requirements of this Chapter, shall be denied a license.

Section 23-609. Issuance of license

When the Commission determines that a person or entity with a financial interest in or having a management responsibility for a Class III management agreement is eligible for a license, it shall promptly forward its determination and the results of its investigation to the National Indian Gaming Commission. If, at the conclusion of the 30-day period beginning when the National Indian Gaming Commission receives the report submitted pursuant to this subparagraph, the National Indian Gaming Commission has not notified the Tribal Commission that it has any objections, the Tribal Commission may issue the license to the applicant.

Section 23-610. Duration of license

Each license under this Chapter shall be effective for a period of one year. Prior to the expiration of the license, the license shall update the information produced during the original investigation. The Commission shall conduct a review to determine that each license continues to meet the standards of Section 23-

606. If the Commission finds that the licensee continues to meet the standards, it shall renew the license for an additional year. Notice of any such renewals shall be forwarded to the National Indian Gaming Commission.

Section 23-611. Hearings

Any person or entity which applies for a license under this Chapter may request a hearing before the Commission on its license application. The Commission may grant such a request for a hearing in its sole discretion, provided that, if the hearing is requested by a person or entity whose application under this chapter has been denied by the Commission and such request is made in writing within 30 days of the denial, such request for a hearing shall be granted. At any hearing under this section, the applicant shall have the right to be present, be represented by counsel at the applicant's own expense, to review all evidence before the Commission on the application, and to present additional oral or documentary evidence in support of the application. The decision of the Commission shall be in writing, and shall be rendered within three days of the hearing.

Section 23-612. License Suspension

(a) If, after issuance of a license under this Chapter, the Commission receives reliable information that such person or entity is not eligible for a license under this Chapter, the Tribe shall suspend the license and notify the licensee in writing of the

suspension and proposed revocation. Such suspension shall not extend beyond 7 days without a hearing.

(b) The Tribe shall notify the licensee of the time and place of the hearing. At the hearing, the licensee shall be entitled to the rights specified in Section 23-611.

(c) After a revocation hearing, the Commission shall determine, based on the evidence presented at the hearing, whether to revoke or reinstate the license. If the licensee continues to meet the standards of Section 23-609, the license shall be reinstated. If not, the license shall be revoked.

Section 23-613. Definitions

(a) For purposes of this Chapter, the term "persons or entities with a financial interest in, or having management responsibility for, a management contract" means:

(1) Each person with management responsibility for a management contract;

(2) Each person who is a director of a corporation that is a party to a management contract;

(3) The ten (10) persons who have the greatest direct or indirect financial interest in a management contract;

(4) Any entity with a financial interest in a management contract;

(5) Any other person with a direct or indirect financial interest in a management contract.

(b) For purposes of this Chapter "vendor" means: i) any person or entity providing Class III gaming equipment to a gaming operator under this Ordinance in a total amount exceeding \$50,000.00 in a twelve-month period, or ii) any other person or entity which provides goods or services (other than accounting or legal services) to a gaming operator, provided that, notwithstanding any other provision of this Ordinance, a vendor as defined under this subsection ii) shall be required to obtain a license under Section 23-604 only if the Commission, in its discretion, specifically so requires.

Chapter 7: Class II Gaming

Section 23-701 Conditions

The following conditions and restrictions shall apply to the conduct of Class II gaming, including bingo:

(a) No person who is under the age of 16 shall participate or play in any Class II game of chance. No person under the age of 16 shall be allowed on premises where Class II games are being conducted unless that person is accompanied by a member of his immediate family or guardian who is not younger than 18 years of age. It shall be the responsibility of the licensee and of those persons physically operating the games to determine that no unauthorized person is allowed to play in or participate in any manner in the operation of any bingo game.

(b) All Class II licensees shall impose a uniform charge on all players for the bingo cards to be used in each bingo game. The rate to be charged players for cards shall be fixed by each

licensee and posted conspicuously on the premises. No person shall be allowed to play in a game without first paying this uniform charge. Each person paying for the opportunity to participate in a bingo game shall be given a bingo card which shall be numbered and can be readily identified as belonging to that licensee. Each card issued shall represent a specific amount of money which has been paid to the licensee. The amount of prize money represented by each card issued shall be clearly made known to all players prior to anyone paying to participate in the activity.

(c) Bingo cards shall be sold and paid for, only in advance for use in a specified game or games. All sales of bingo cards shall take place upon the premises and upon the occasion that the bingo games for which the card is being sold are conducted. No cards may be sold on credit or as a gift or loan of any kind whatever.

(d) Each numbered ball, or other device, used in a bingo game for the selection of numbers to be called in play shall be the same weight as each of the other balls or devices used for the purpose in that game. Immediately following the calling of each number in a bingo game, the caller shall turn the portion of the ball or other device used to determine which number is called which shows the number and letter to the participants in the game so that participants may know that the proper number has been called out. Nothing in this section shall prohibit the use of electronic, computer or other technological aids in games of bingo or other games of chance provided that such aids are used properly and fairly.

Chapter 8: Class III Gaming

Section 23-801 Applicability

In addition to the forms of Class II gaming authorized pursuant to Chapter 7, the Tribe shall be authorized to conduct certain specified forms of Class III gaming. This chapter imposes conditions and regulations on Class III gaming in addition to the conditions in Chapter 4 which are applicable to all gaming on the Reservation.

Subchapter A: Gaming in North Dakota

Section 23-802 Applicability.

This subchapter applies to Class III gaming, as defined in Section 23-203 of this Ordinance and in the Indian Gaming Regulatory Act at 25 U.S.C. §2703(8), that occurs on lands within the State of North Dakota which are on the Standing Rock Sioux Reservation, held in trust by the United States for the Tribe or individual Indians or are otherwise subject to the jurisdiction of the Standing Rock Sioux Tribe.

Section 23-803 Governing law

Class III gaming under this subchapter shall be governed by and conducted in conformity with the laws of the Standing Rock Sioux Tribe, the North Dakota Compact and the laws of the United States.

Section 23-804 Adoption of compact

The North Dakota Compact is hereby incorporated within and enacted as an integral part of this Ordinance with respect to all forms of Class III gaming, and the Compact is appended to and made a part of this Ordinance as if set forth in full herein; provided however, that nothing in the adoption of the North Dakota Compact herein shall be deemed to affect the operation by the Tribe of Class III gaming on the South Dakota portion of the Reservation, or the operation by the Tribe of Class II gaming on any portion of the Reservation, or to confer upon the State of North Dakota any jurisdiction over Class II gaming conducted by the Tribe on the Reservation.

Section 23-805 Types of Class III Games Authorized

The following Class III games are authorized to be operated and played on the North Dakota portion of the Reservation:

- a. video games of chance and electronic slot machines utilizing a central computer system.
- b. paddle wheel
- c. blackjack
- d. poker, including pai gai poker and caribbean poker
- e. keno
- f. sports and calcutta pools as defined under North Dakota law
- g. parimutuel and simulcast betting if an agreement governing such betting is reached between the Tribe and North Dakota.

Section 23-806 Bet limits

The following bet limits shall apply to the following games:

Blackjack	\$50.00 maximum
Any machine	5.00 maximum
Poker	25.00 maximum (single bet) 50.00 maximum (overall bet)
Paddle wheel	25.00 maximum (single bet) 50.00 maximum (overall bet)

Section 23-807 Minimum age requirement

No person under the age of 18 shall be allowed to play any Class III gaming on the North Dakota portion of the Reservation. If any person below the age of 18 plays and qualifies to win any Class III game, the prize shall not be paid, and the amount wagered during the course of the game shall be forfeited by the minor.

Section 23-808 Record keeping requirement

Each location which contains video games of chance shall have an interconnected one-way reporting system which shall provide, for each machine, periodic analytic reports that record coins in and coins out, calculate actual hold, and compare actual hold to theoretical percentages. Reports shall be prepared on at least a monthly basis and shall be made available for inspection by agents of the State of North Dakota. The reports shall be maintained by the Tribe for a minimum of three years.

Section 23-809 Inspection

Agents of the Commission or the State of North Dakota shall have the right to gain access, without notice during normal hours of operation, to all premises used for the operation of Class III games of chance on the North Dakota portion of the Reservation, or for the storage of such games and equipment related thereto, and may inspect all premises, equipment, daily records, documents or items related to the operation of Class III games of chance.

Section 23-810 Technical standards

The provisions of Section 6 of the North Dakota Compact, "Technical Standards for Class III Video Games of Chance," are hereby adopted and incorporated into this Ordinance.

Subchapter B: Gaming in South Dakota

Section 23-811 Applicability

This subchapter applies to Class III gaming, as defined in Section 23-203 of this Ordinance and in the Indian Gaming Regulatory Act at 25 U.S.C. §2703(8), that occurs on lands within the State of South Dakota which are on the Standing Rock Sioux Reservation, held in trust by the United States for the Tribe or individual Indians or are otherwise subject to the jurisdiction of the Standing Rock Sioux Tribe.

Section 23-812 Governing law

Class III gaming under this subchapter shall be governed by and conducted in conformity with the laws of the Standing Rock

Sioux Tribe, the South Dakota Compact and the laws of the United States.

Section 23-813 Adoption of compact

The South Dakota Compact is hereby incorporated within and enacted as an integral part of this Ordinance with respect to all forms of Class III gaming, and the Compact is appended to and made a part of this Ordinance as if set forth in full herein; provided however, that nothing in the adoption of the South Dakota Compact herein shall be deemed to affect the operation by the Tribe of Class III gaming on the North Dakota portion of the Reservation, or the operation by the Tribe of Class II gaming on any portion of the Reservation, or to confer upon the State of South Dakota any jurisdiction over Class II gaming conducted by the Tribe on the Reservation.

Section 23-814 Types of Class III Games Authorized

The following Class III games are authorized to be operated and played on the South Dakota portion of the Reservation:

- a. blackjack, as that term is defined in South Dakota Codified Laws 42-7B-4(3);
- b. poker, as that term is defined in South Dakota Codified Laws 42-7B-4(18);
- c. slot machines, as that term is defined in South Dakota Codified Laws 42-7B-4(21).

Section 23-815 Bet limits

Class III gaming operations on the South Dakota portion of the Reservation may offer the highest bet limits on any game consistent with the laws of the State of South Dakota and the regulations of the South Dakota Gaming Commission.

Section 23-816 Accounting standards

The accounting standards of Chapter 20:18:22 of the South Dakota Rules and Regulations for Limited Gaming are hereby adopted and incorporated into this Ordinance.

Section 23-817 Minimum age requirement

No person under the age of 21 shall play or be allowed to play any Class III gaming device in the South Dakota portion of the Reservation. If any person below the age of 21 plays and qualifies to win any Class III game, the prize shall not be paid, and the amount wagered during the course of the game shall be forfeited by the minor.

Section 23-818 Technical standards for gaming machines

The hardware and software specifications set forth by the South Dakota Gaming Commission and SDCL 42-7B-43 are hereby adopted and incorporated into this Ordinance. All Class III gaming machines on the South Dakota portion of the Reservation shall meet or exceed these standards.

Section 23-819 Number of gaming devices

The Tribe shall be authorized to operate up to 180 gaming devices on the South Dakota portion the Reservation, or such greater amount as may be authorized under the South Dakota compact, provided however, that any increase or decrease in the gaming device limitation contained in the laws of the State of South Dakota will result in a proportional increase or decrease in the limit of 180 devices permitted under this Ordinance.

Chapter 9: No Credit Extended

Section 23-901 No Credit Extended

All Class III gaming shall be conducted on a cash basis. No person shall be extended credit for gaming and no licensee shall be permitted to offer credit for gaming for a fee, provided however that this section shall not apply to credits won by players who activate play after inserting coins or currency into the game, and provided further that this section shall not restrict the right of the Tribe or any other person to offer check cashing or to install or accept bank card or credit card transactions in the same manner as would be normally permitted at a retail business.

Chapter 10: Jurisdiction Over Class III Gaming

Section 23-1001 Civil jurisdiction in South Dakota

The Standing Rock Tribal Court shall have civil jurisdiction exclusive of the State of South Dakota over all transactions or disputes related to or arising from gaming conducted on the South Dakota portion of the Reservation, and all disputes related to or

arising from this Ordinance on the South Dakota portion of the Reservation, provided however, that any such case involving one or more persons who are not tribal members shall be heard in the courts of the State of South Dakota, unless all parties stipulate the action may be heard in Tribal Court. This provision shall not be construed as a waiver of sovereign immunity by the Tribe.

Section 23-1002 Civil jurisdiction in North Dakota

The Standing Rock Tribal Court shall have civil jurisdiction exclusive of the State of North Dakota over all transactions or disputes related to or arising from gaming conducted on the Reservation or in North Dakota under this Ordinance.

Section 23-1003 Criminal jurisdiction in South Dakota

The Standing Rock Sioux Tribal Court shall have criminal jurisdiction exclusive of the State of South Dakota over all persons who are members of the Tribe in any criminal proceeding related to or arising from gaming conducted on the Reservation in South Dakota. The courts of the State of South Dakota shall have jurisdiction over persons who are non-members of the Tribe in a criminal proceeding related to or arising from gaming conducted on the South Dakota portion of the Reservation, to the extent set forth in the South Dakota Compact.

Section 23-1004 Criminal jurisdiction in North Dakota

The Standing Rock Sioux Tribal Court shall have criminal jurisdiction exclusive of the State of North Dakota over all persons as to whom the Tribe has criminal jurisdiction generally,

in any criminal proceeding related to or arising from gaming conducted on the Reservation in North Dakota. The courts of the State of North Dakota shall have jurisdiction over persons who are non-Indians in a criminal proceeding related to or arising from gaming in North Dakota.

Section 23-1005 Federal jurisdiction

Nothing herein shall be construed to limit or deprive the federal courts of any civil or criminal jurisdiction which they might otherwise have.

Chapter 11: Penalties

Section 23-1101 Criminal penalties

Violation of this Ordinance shall constitute a Class A misdemeanor.

Section 23-1102 Civil penalties

Notwithstanding criminal penalties which may be imposed, any individual who violates any provision of this Ordinance shall be subject to civil penalties including exclusion from employment by any Tribal gaming enterprise, exclusion from attendance at any Tribal gaming facility, or exclusion from the Reservation if a non-member of the Tribe. The Commission shall have the jurisdiction to impose any such civil penalty on any person within the jurisdiction of the Tribe to impose such penalty.

Chapter 12: Customer Disputes

Section 23-1201 Customer Disputes

Any person who has any dispute, disagreement or other grievance that involves currency, tokens, coins or any other thing of value and is between the customer or player and a tribally licensed gaming facility, may raise such dispute with the following persons and in the following order: a) a member of the staff of the facility, b) the supervisor in the area in which the dispute arose, c) a managerial level supervisor of the facility and d) the Tribal Gaming Commission.

Section 23-1202 Customer rights regarding disputes

At each level, the complainant has the right to explain his side of the dispute, and to present witnesses in connection with any factual allegation. At each level, if the dispute remains unresolved, the complainant shall be informed of this right to take the dispute to the next higher level as set forth in Section 23-1201. Resolution of any dispute by staff of the facility shall always involve two or more staff members. All disputes, whether resolved or not, shall be the subject of a detailed report by all staff involved to their supervisors, or, in the case of the senior staff member handling a dispute, to the Tribal Gaming Commission.

Section 23-1203 Commission action on customer disputes

All disputes which are submitted to the Tribal Gaming Commission shall be decided by the Commission based on information provided by the complainant, any witnesses for or documents

provided by the complainant, or by the licensee or manager of the facility or any other person who has relevant information to provide. The decision of the Commission shall be in writing, shall be issued within 14 days of submission of the matter to the Commission, and shall be provided to the licensee or manager of the facility and the complainant.

Chapter 13: Miscellaneous

Section 23-1301 Severability

In the event that any section or provision of this Ordinance is held invalid, the remaining sections or provisions shall continue in full force and effect.

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