

Seminar 02/13/01 #OCC6059-1

Seminar 02/14/01 #OCC6059-2

## OCC's Privacy Regulation Compliance Telephone Seminar

### Registration Form

Registrant Name \_\_\_\_\_

Title \_\_\_\_\_

Bank/Company \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

Select Seminar:

February 13       February 14

Please check here if you are *unable* to receive your materials via the Internet. We will ship you a hard copy. (To receive via the Internet, you will need Acrobat Reader, available free at [www.adobe.com](http://www.adobe.com).)

Site registration and one set of written materials

- National Banks: \$99
- Others: \$125

Audiocassette tapes and one set of written materials

- National Banks: \$49 participants, \$99 non-participants
- Others: \$65 participants, \$125 non-participants

Check method of payment:

- Check made payable to KRM       MasterCard  
 American Express       Discover Card  
 VISA

Card Number #: \_\_\_\_\_

Expiration Date: \_\_\_\_\_

Signature: \_\_\_\_\_

*Registrations after 02/02/01, please add \$8 for expedited handling.*

Total Payment: \_\_\_\_\_

## Who Should Participate?

The topic for this seminar will be of particular interest to bank:

- Chief executive officers
- Directors
- Senior managers
- Compliance officers
- Risk management officers
- Consultants



Comptroller of the Currency  
Administrator of National Banks

## Privacy Regulation Compliance:

*Helping Community Banks Comply with  
Gramm-Leach-Bliley Privacy Provisions*

## A Telephone Seminar

**Tuesday, February 13, 2001**  
**11 a.m. — 12:30 p.m. EST**  
*and again*

**Wednesday, February 14, 2001**  
**3:00 p.m. — 4:30 p.m. EST**

**Convenient • Informative • Cost Effective**

*Are You Ready?*

### Price

Participation is \$99 per connection for each national bank listening site and \$125 per connection for each non-national bank listening site.

### Audiocassette Tapes

Audiocassette tapes of the seminar, with supporting materials, are available for purchase. The price for national banks is \$99; for others, it is \$125. Seminar participants (national banks and others) may order tapes for \$49 and \$65, respectively.

Will your bank be ready to comply with the new privacy regulations by July 1? Even if your bank does not now share customer information with third parties, you still have important obligations under the law, and the new privacy regulations apply to banks of all sizes. This seminar explains in plain language how those obligations apply specifically to community banks, and what you should be doing about them.

**Interact with OCC Privacy Specialists:**



Assistant Chief Counsel  
Amy S. Friend



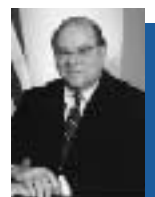
Assistant Director  
Mark J. Tenhundfeld,  
*Legislative & Regulatory Activities*



Deputy Comptroller  
Ralph E. Sharpe,  
*Community and Consumer Policy*



Deputy Comptroller  
David G. Hammaker,  
*Compliance Operations*



Welcome by  
John D. Hawke, Jr.,  
*Comptroller of the Currency*

- What are your obligations under the law?
- Who is entitled to notice of your privacy policy?
- What are the essentials of a privacy policy?
- When must you provide consumers with a right to opt out of information sharing?
- What constitutes adequate notice of opt out rights and a reasonable opportunity for consumers to opt out?
- When can you share information with third parties without triggering notice and opt out requirements?
- What is a "joint agreement" that permits you to share customer information for marketing without an opt out notice?
- What types of information sharing arrangements are strictly prohibited?
- What are the limits on a third party's ability to use further or disclose the customer information that you provide to them?

**How to Register**

Those wishing to attend the seminar may register by:

- Filling out the online registration form at [www.occ.treas.gov](http://www.occ.treas.gov).
- Calling 800/775-7654 between the hours of 8 a.m. and 5 p.m. CST and providing registration information.

The July 1 mandatory compliance date is just around the corner!

These OCC privacy specialists will provide you with a checklist of tasks (specifically designed for nonlawyers) to assist you with the practical application of complex legal requirements. They will discuss answers to frequently asked questions to help you navigate your way to compliance with the privacy provisions.

They will also advise you on what supervisors expect from bank compliance, and how you can meet those expectations by addressing these points:

- What examiners will be looking for prior to July 1.
- What examiners will expect from a privacy examination after July 1.
- What happens when problems are discovered.
- How you should deal with those problems.

**Questions for Speakers?**

If you would like to submit privacy questions to the speakers prior to the seminar, please e-mail [OCCTeleSeminar@occ.treas.gov](mailto:OCCTeleSeminar@occ.treas.gov) or fax them to (415) 442-5388.

- Faxing the form to 800/676-0734.
- Mailing the registration form to KRM Information Services, P.O. Box 1187, Eau Claire, WI 54702-1187.

*Registrants receive a special toll-free number and a PIN number for entrance to the telephone seminar. You will receive written materials prior to the call via the Internet or direct mail.*