

Seminar 04/02/02 #OCC6548-1

Seminar 04/03/02 #OCC6548-2

## OCC's Telephone Seminar:

### Outsourcing Your Audit Function

#### Registration Form:

Registrant Name \_\_\_\_\_

Title \_\_\_\_\_

Bank/Company \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

#### Select Seminar:

April 2, OCC6548-1

April 3, OCC6548-2

Please check here if you are unable to receive your materials via the Internet. We will ship you a hard copy. *(To receive via the Internet, you will need Acrobat Reader, available free at [www.adobe.com](http://www.adobe.com).)*

#### Site registration and one set of written materials

National Banks: \$99 each session

Others: \$125 each session

#### Check method of payment:

Check made payable to KRM

American Express

VISA

MasterCard

Discover Card

Card Number: \_\_\_\_\_

Expiration Date: \_\_\_\_\_

Signature: \_\_\_\_\_

*Registrations after 03/21/02, please add \$8 for expedited handling.*

Total Payment: \_\_\_\_\_

#### Who Should Participate?

- Chief executive officers
- Directors
- Senior management
- Internal auditors
- External auditors
- Risk management officers
- Consultants

#### Price:

Participation is \$99 per connection for each national bank listening site and \$125 per connection for each non-national bank listening site.

#### Questions for Speakers?

If you would like to submit questions to the speakers in advance, please e-mail your questions to [OCCTeleSeminar@occ.treas.gov](mailto:OCCTeleSeminar@occ.treas.gov) or fax them to (415) 442-5388.



Comptroller of the Currency  
Administrator of National Banks

## A Telephone Seminar for Community Banks

Convenient • Informative • Cost Effective

### Outsourcing Your Audit Function

**Tuesday, April 2, 2002**

2:00 p.m. — 3:30 p.m. EST

*and again on*

**Wednesday, April 3, 2002**

11:00 a.m. — 12:30 p.m. EST



## What Is a Telephone Seminar?

This 90-minute telephone seminar allows an unlimited number of people to listen to OCC experts and to discuss with them their experiences and policy imperatives over individual telephones or with colleagues on speaker-phones. The experts' interaction with you, the listener, will make the program a valuable learning experience and enjoyable to listen to. And you can ask questions from wherever you are.

There is no rush-hour traffic, no parking, no travel time or expenses. You can remain in the office and share information with a large group of colleagues for one price per site. A moderator guides the presentation and the open forum, much like participating in a talk-radio program.



Welcome by  
John D. Hawke, Jr.,  
*Comptroller of the Currency*

## Participants Will Learn the Following:

- The various ways that banks oversee outsourcing
- The potential benefits of outsourcing
- Insight into the OCC's expectation for sound internal audit outsourcing
- What approaches the OCC uses in examining outsourced internal audit
- Other best practices in managing outsourcing relationships

### Discussion Topics:

- What are the potential changes in independence rules for CPAs, and how could they affect current outsourcing arrangements in banks?
- What are some of the most effective bank structures for overseeing outsourcing arrangements?
- What are the potential efficiencies in resources and costs of internal audit versus outsourced internal audit?
- What are the major challenges in managing either "co-sourced" (special or limited-area review) or "outsourced" (100 percent of the internal audit work) services, when the same firm also provides the external audit?
- Is your outsourced internal audit function coordinated with your bank's ongoing risk management system?

## Seminar Panel:



Zane D. Blackburn  
*OCC Chief Accountant*



Mark Blair  
*National Bank Examiner,  
Northeastern District*



C. Scott Schainost  
*Assistant Deputy Comptroller,  
Wichita Field Office*



Robert T. Riordan,  
*National Bank Examiner,  
OCC District Accountant*



Wynne E. Baker  
*Chairman, AICPA Financial  
Services Panel*

### How to Register:

Those wishing to attend the seminar may register by:

- Filling out the online registration form at [www.occ.treas.gov](http://www.occ.treas.gov)
- Calling 800/775-7654 between the hours of 8 a.m. and 5 p.m. CST and providing registration information

- Faxing the form to 800/676-0734
- Mailing the registration form to KRM Information Services, P.O. Box 1187, Eau Claire, WI 54702-1187

*Registrants receive a special toll-free number and a PIN number for entrance to the telephone seminar. You will receive written materials prior to the call via the Internet or direct mail.*