

Seminar 12/11/02 #OCC7372-1
Seminar 12/12/02 #OCC7372-2

OCC's Telephone Seminar
**USA Patriot Act and Its Impact on
Sound Anti-Money Laundering Programs**

Registration Form:

Registrant name _____

Title _____

Bank/company _____

Street address _____

City _____

State _____ Zip _____

Phone number _____

Fax number _____

E-mail address _____

Select seminar: _____

December 11, OCC7372-1

December 12, OCC7372-2

Please check here if you are unable to receive your materials via the Internet. We will ship you a hard copy. *(To receive via the Internet, you will need Acrobat Reader, available free at www.adobe.com.)*

Site registration and one set of written materials.

National banks: \$115 each session

Others: \$150 each session

Check method of payment:

Check made payable to KRM

American Express

Visa

MasterCard

Discover Card

Card number: _____

Expiration date: _____

Signature: _____

Registrations after 11/29/02, please add \$8 for expedited handling.

Total payment: _____



Who should participate?

The topic for this telephone seminar will be of particular interest to bank –

- Chief executive officers
- Directors
- Senior managers
- Compliance and AML/BSA officers
- Risk management officers
- Auditors
- Consultants
- Counsels/Attorneys

Price:

Participation is \$115 per connection for each national bank listening site and \$150 per connection for each non-national bank listening site.

Questions for speakers?

If you would like to submit questions to the speakers in advance, please e-mail your questions to OCCTeleSeminar@occ.treas.gov or fax them to (415) 442-5388.



Comptroller of the Currency
Administrator of National Banks

A Telephone Seminar

USA Patriot Act and Its Impact on Sound Anti-Money Laundering Programs

Wednesday, December 11, 2002

4:00 p.m. — 6:00 p.m. EST

and again on

Thursday, December 12, 2002

10:30 a.m. — 12:30 p.m. EST

— Convenient

— Informative

— Cost Effective



What is a telephone seminar?

This two-hour telephone seminar allows an unlimited number of people to listen to OCC experts and to discuss with them their experiences and policy imperatives over individual telephones or with colleagues on speaker-phones. The experts' interaction with you, the listener, will make the program a valuable learning experience and enjoyable to listen to. And you can ask questions from wherever you are.

There is no rush-hour traffic, no parking, no travel time or expenses. You can remain in the office and share information with a large group of colleagues for one price per site. A moderator guides the presentation and the open forum, much like participating in a talk-radio program.



Welcome by
John D. Hawke, Jr.,
Comptroller of the Currency

Participants will learn the following:

- Your obligations under the USA Patriot Act.
- Products and services most affected by the USA Patriot Act.
- What makes up adequate special due diligence and customer identification/verification.
- The essentials of an effective Anti-Money Laundering/Bank Secrecy Act Program.

This telephone conference will feature:

- A summary of the USA Patriot regulations, particularly:
 - Foreign Correspondent Bank Accounts (the type of accounts covered)
 - Special Due Diligence for Correspondent Bank and Private Banking Accounts
 - Consideration of a bank's Anti-Money Laundering record in certain applications
 - Information Sharing
 - Verification of Identification
- An overview of OCC's Anti-Money Laundering/Bank Secrecy Act examination procedures.
- Examination expectations.
- Results of the 2002 targeting examinations.

Seminar panel:



Virginia Hagan
*Director
Compliance Division*



Steven D. Lindsey
*Assistant Deputy Comptroller for
Compliance
Large Banks-West*



Daniel P. Stipano
*Deputy Chief Counsel
Law Department*



Susan Quill
*Compliance Specialist and
National Bank Examiner
Compliance Division*

How to register:

Those wishing to attend the seminar may register by:

- Filling out the online registration form at www.occ.treas.gov/bankereducation.htm.

- Calling 1 (800) 775-7654 between the hours of 8 a.m. and 5 p.m. CST and providing registration information
- Faxing the form to 1 (800) 676-0734
- Mailing the registration form to KRM Information Services, P.O. Box 1187, Eau Claire, WI 54702-1187

Registrants receive a special toll-free number and a PIN number for entrance to the telephone seminar. You will receive written materials prior to the call via the Internet or direct mail.