

APPENDIX B

TECHNOLOGY TRANSFER AWARDS

SECTION 1. PURPOSE.

.01 The purpose of this award is to recognize NOAA scientific, engineering, and technical employees for: (1) inventions or other outstanding scientific or technological contributions of value to the United States due to commercial applications and (2) exemplary activities that promote the domestic transfer of science and technology developed within NOAA and result in the use of such science and technology by American industry or business, universities, State or local Government, or other non-Federal parties.

.02 This award responds to the requirement of Section 13 of the Federal Technology Transfer Act of 1986 (P.L. 99-502).

SECTION 2. ELIGIBILITY.

.01 NOAA scientific, engineering, and technical personnel, including personnel in research management positions, are eligible for this award. Former NOAA employees whose contribution(s) were made during employment with NOAA are also eligible. Employees in administrative positions are not eligible. The award may be given to groups as well as individuals.

.02 Nominations may be submitted in order to recognize the following contributions:

a. Inventions, innovations, or other outstanding contributions leading to commercial applications of NOAA research and development. Achievements under this criterion include, but are not limited to:

1. The early recognition of potential commercial applications of NOAA R&D;
2. Submission of invention disclosures that result in patent applications, and;
3. The successful negotiation of Cooperative Research and Development Agreements.

b. Exemplary activities that lead to the use of NOAA R&D to increase productivity in American industry or business, universities, State or local Governments, or other Federal agencies. Achievements under this criterion include, but are not limited to:

1. The transfer of NOAA-developed software;
2. Providing training for data interpretation, analysis and prediction techniques; and/or
3. Providing assistance through personnel exchanges and/or the use of NOAA unique facilities.

SECTION 3. SELECTION FACTORS.

.01 The following factors are to be considered as evidence that the award is deserved:

- a. The scope, difficulty, or uniqueness of the contribution or activity;
- b. Individual initiative or creativity and quality of the contribution or activity;
- c. Technical benefits to United States industry, business, universities, state or local governments, or other Federal agencies;
- d. Recognition of the achievement by organizations other than NOAA;
- e. Commercial adaptation or further utilization of the NOAA invention, innovation, or contribution; and
- f. Contributions leading to successful licensing or successful negotiation of Cooperative Research and Development Agreements.

.02 The achievement must have taken place within 3 years of the nomination. The nominee(s) must not have received a NOAA Technology Transfer Award in the three previous years.

SECTION 4. NOMINATION PROCEDURES.

.01 Request for nominations will be sent out by WFMO each year. Nominations may be initiated by any NOAA employee with the approval of the nominee's Rating Official, the director of the nominee's laboratory/program, and by the AA or Staff Office Director of the nominee's organization. Employees may not nominate their Rating Officials.

.02 Nominations must be submitted electronically on the Technology Transfer Award Nomination Form located at: <http://www.wfm.noaa.gov/Word/techtransfrenomform.doc> . The justification must clearly describe:

- a. The specific accomplishment;
- b. The initiative of the nominee;
- c. The degree and significance of tangible benefits to industry, business, universities, state or local Governments, or other non-Federal parties;
- d. The circumstances that made this a unique process; and
- e. How the nominee participated during each step of the effort.

.03 Nominations must be accompanied by a CD-326, Recommendation for Recognition.

.04 Nominations should be no more than two pages in length. The nomination must include a certificate citation not to exceed 150 characters.

SECTION 5. SELECTION PROCEDURES.

The following procedures will be followed during the selection review process:

.01 Nominations will be submitted to WFMO. The NOAA Incentive Awards Program Manager will review the nominations for basic eligibility.

.02 Eligible nominations will be forwarded to the Technology Transfer Working Group. The Technology Transfer working group shall consist of:

a. One representative from the Office of Research and Technology Applications - Chairman (non-voting member) and

b. A representative from each NOAA LO.

.03 The Technology Transfer Working Group will meet, review all eligible submissions, and forward their recommendation(s) through the Incentive Awards Program Manager to the Under Secretary for Oceans and Atmosphere.

.04 The Under Secretary for Oceans and Atmosphere will make the final selection(s).

SECTION 6. THE AWARD.

A cash award of \$2,500 will be given, as well as a plaque signed by the Under Secretary for Oceans and Atmosphere. If no outstanding candidate is nominated or if the nomination does not meet the criteria for technology transferred outside of NOAA, no award need be made.