#### SANDIA CORPORATION SF 6432-EI (01-02) SECTION II

STANDARD TERMS AND CONDITIONS FOR ALL COST REIMBURSEMENT OR ORDERING AGREEMENTS WITH EDUCATIONAL INSTITUTIONS THE FOLLOWING CLAUSES APPLY TO THIS CONTRACT AS INDICATED UNLESS SPECIFICALLY DELETED, OR EXCEPT TO THE EXTENT THEY ARE SPECIFICALLY SUPPLEMENTED OR AMENDED IN WRITING IN THE COVER PAGE OR SECTION I.

**EI01 - ACCEPTANCE OF TERMS AND CONDITIONS** Contractor, by signing this Agreement and/or delivering Items or services ordered under this Agreement, agrees to comply with all the terms and conditions and all specifications and other documents that this Contract incorporated by reference or attachment. Sandia hereby objects to any terms and conditions contained in any acknowledgment of this Contract that are different from or in addition to those mentioned in this document. Failure of Sandia or Contractor to enforce any of the provisions of this Contract shall not be construed as evidence to interpret the requirements of this Contract, nor a waiver of any requirement, nor of the right of Sandia or Contractor to enforce each and every provision. All rights and obligations shall survive final performance of this Contract.

EI02- ALLOWABLE COSTS (a) Payment for allowable cost as hereinafter defined, shall constitute full and complete compensation for the performance of the work under this Contract. (b) "Allowable cost" of performing the work under this Contract shall be the costs and expenses that are actually incurred by the Contractor, which are applicable and properly chargeable, either as directly incident or as allocable through appropriate distribution or apportionment, to the performance of the Contract work in accordance with its terms, and are determined by the SCR to be allowable pursuant to this Contract, including the additional provisions, if any, contained elsewhere in this Contract relating to Advance Understanding on Particular Cost Items, and pursuant to Federal Acquisition Regulation Part 31 as supplemented by part 931 of the Department of Energy Regulations (DEAR) in effect on the effective date of this Contract and OMB Circular A21, subject to the following: (1) With respect to billing for indirect cost, Contractor shall bill for indirect cost at rates as close as possible to costs being experienced during Contract performance. Contractor understands that Contract overruns, due to under recovered indirect cost, may not be reimbursed by Sandia; and, over recoveries of indirect cost shall be payable upon demand, at any time, by the SCR. Contractor may, with the SCR's approval, bill at predetermined overhead and general and administrative rates applied to bases agreed upon by any Government Agency, which are determined in accordance with FAR Part 31 as supplemented by the DEAR in effect on the effective date of this Contract; provided, however, that the Contractor shall adjust the indirect billing to conform to actual cost within 60 days or the Contractor's normal monthly

accounting cycle, whichever is earlier. (2) In the absence of predetermined overhead rates as provided for in subparagraph (1) above, if at any time prior to the final determination of costs hereunder there exists a rate or rates established by any Government agency, based on audit of actual costs for the period of performance of the work hereunder or any substantial portion thereof, such rate or rates may, at the SCR's option, be used (after adjustment by Sandia if deemed appropriate, to reflect the application of cost principles contained in this Allowable Costs Clause and the DEAR and FAR Subparts referred to above) in determining allowable indirect costs hereunder. (3) In the absence of predetermined overhead rates as provided for in subparagraph (1) above and in the absence of a rate or rates acceptable to Sandia as provided for in subparagraph (2) above, indirect cost shall be determined in accordance with FAR Part 3 1. as supplemented by part 931 of the DEAR by a Sandia audit. (4) No overtime premium costs, shift differential, holiday, or other premium pay for time worked on direct labor are authorized as direct charges to this Contract except when paid for work: necessary to cope with emergencies such as those resulting from accidents, natural disasters, breakdowns, of production equipment, or occasional production bottlenecks of a sporadic nature; by indirect labor employees such as those performing duties in connection with administration, protection, transportation, maintenance, standby plant protection, operation of utilities, or accounting; in the performance of tests, industrial processes, laboratory procedures, loading or unloading of transportation media, and operations in flight or afloat, which are continuous in nature and cannot reasonably be interrupted or otherwise completed; or which will result in lower cost to Sandia. (5) As used in FAR Part 31 and DEAR 931 the words: "Contracting Officer" or "Field Office Manager" shall mean the SCR. "DOE" or "Sponsoring Agency" shall mean Sandia; "Federal Government" or "Government," in connection with Government agencies or Government property, shall mean the United States of America. (6) Each individual Contractor employee salary amounting to \$ 100,000 or more annually is subject to advance approval of the SCR where 50 percent or more of such salary is to be reimbursed under DOE cost type contracts for on site (Sandia/DOE premises) services.

**EI03 - APPLICABLE LAW** The rights and obligations of the parties hereto shall be governed by, and this Contract shall be interpreted in accordance with federal law of government contracts.

**EI04 - ASSIGNMENT** Contractor shall not assign rights or obligations to third parties without the prior written consent of Sandia. However, the Contractor may assign rights to be paid amounts due or to become due if Sandia is promptly furnished an executed Assignment of Payments form. Administration of this Agreement may be transferred from Sandia to DOE or its designee, and in case of such transfer and notice thereof to the Contractor, Sandia shall have no further responsibilities hereunder.

**EI05 - BREACH OF CONTRACT** Any Contractor personnel who personally violate any requirements of this contract may be denied access to any Government site and Contractor may be terminated for default of this contract.

**EI06 - COMPLIANCE WITH LAWS** Contractor shall procure all necessary permits or licenses and abide by all applicable foreign, federal, state and local laws, ordinances, or regulations, in which any work under this contract is performed which are in any way applicable to the Statement of Work of this contract.

**EI07 - CONTRACTOR'S INFORMATION** No specifications, drawings, sketches, models, samples, tools, technical information or data, written, oral or otherwise, furnished by Contractor to Sandia hereunder, or in contemplation hereof, shall be considered to be proprietary information of Contractor.

**EI08 - DEFINITIONS** The following terms shall have the meanings set forth below for all purposes of this Contract:

(a) **CONTRACT** means Purchase Order, Contract, Price Agreement, Subcontract, As Ordered Agreement, or modifications thereof.

(b) CONTRACTOR means the person or organization that has entered into this Contract to sell something to Sandia.

(c) CONTRACTOR DIRECTED WORK means work under a contract for which the Contractor is accountable for the outcome of the work performed and routinely provides work direction to the Contractor's work force.

(d) **GOVERNMENT** means the United States of America and includes the U.S. Department of Energy (DOE) or any duly authorized representative thereof.

(e) ITEM means commercial items, commercial services and commercial components as defined in FAR 52.202-1.

(f) **SANDIA** means Sandia Corporation, the management and operating contractor for the Sandia National Laboratories under Contract No. DE-ACO4-94AL-85000 with the U.S. Department of Energy.

(g) SANDIA DIRECTED WORK means work under a contract for which Sandia retains accountability for the outcome of the work performed and routinely provides work direction to the Contractor's work force.

(h) SCR means Sandia Contracting Representative, the only person authorized to execute and/or administer this Contract for Sandia.

(i) SDR means Sandia Delegated Representative. The SCR may delegate personnel as authorized representatives for such purposes as and to the extent specified in the delegation. Such delegation shall be in writing to the Contractor, and shall designate by name the personnel so delegated as authorized representatives. The SDR shall exercise no supervision over the Contractor's employees. THE SDR's AUTHORITY IS LIMITED SOLELY TO THE AUTHORITY ENUMERATED IN SUCH WRITTEN DELEGATION. THE SDR HAS NO AUTHORITY TO CHANGE ANY TERM OR CONDITION CONTAINED IN THIS CONTRACT.

(j) SUBCONTRACT means any lower tier contract under this Contract.

**EI09 - DISPUTES** Contractor and Sandia agree to use the Sandia Acquisition Conflict Resolution Process Policy and Guideline 5.8 set forth at: <u>http://www.sandia.gov/bus-ops/scm/Contractor/Contractor-info.html</u> for resolving any and all disputes arising from this Contract.

EI10 - ESTIMATES OF COST AND LIMITATION OF OBLIGATION, IF **APPLICABLE (a)** Initial Estimate of Cost and Obligation of Funds. The presently estimated cost and the obligation of funds for the work under this Contract are set forth in Section 1, if applicable (b) Revised Estimates of Cost. The presently estimated cost for the work under this Contract may be increased unilaterally by the SCR by written notice to the Contractor and may be increased or decreased by written agreement of the parties. 4 (c) Limitation of Obligation . Payments on account of costs shall not in the aggregate exceed the amount of funds presently obligated hereunder. (d) Notice of Costs Approaching Funds Obligated Contractor Excused Pending Increase When Obligation is Reached. Whenever the Contractor has reason to believe that the total cost of the work under this Contract will be greater or substantially less than the presently estimated cost of the work, the Contractor shall promptly notify the SCR in writing. The Contractor shall also notify the SCR in writing when the aggregate of expenditures, liabilities, and outstanding commitments allowable under this Contract is equal to seventy five percent (75%) (or such other percentage as the SCR may from time to time establish by notice to the Contractor) of the amount of funds presently obligated hereunder. When such expenditures and outstanding commitments equal one hundred percent (100%) of such amount, the Contractor shall make no further commitments or expenditures (except to meet existing commitments) and shall be excused from further performance of the work unless and until the SCR thereafter shall have notified the Contractor in writing that such amount has been increased. No notice, communication or representation in any other form or from any person other than the SCR or the person delegated in this Contract shall affect the estimated cost or funds obligated hereunder. In the absence of the specified notice, Sandia shall not be obligated to reimburse the Contractor for any costs in excess of the funds obligated hereunder, whether those excess costs were incurred during the course of the Contract or as a result of termination. In the event the Contractor incurs cost in excess of the funds obligated hereunder without receiving a prior notice from the SCR increasing such funds, the funds obligated hereunder may be increased at the sole discretion of the SCR to permit the Contractor to be reimbursed for all or a portion of such costs. However the SCR is not obligated to increase funding due to an after-the-fact indirect rate adjustment determined by a Government audit agency. When and to the extent that the funds obligated hereunder have been increased, any costs incurred by the Contractor in excess of the funds obligated hereunder prior to such increase shall be allowable to the same extent as if such costs had been incurred after such increase, unless the SCR issues a termination notice and directs that the increase is solely for the purpose of covering termination expenses. In the event this Contract is terminated or the funds obligated hereunder are not increased enough to cover all costs, the Government shall be entitled to all property produced or purchased under the Contract except that property which the Contractor shall demonstrate to have been produced or purchased solely with Contractor's funds in excess of the funds obligated hereunder and which can be severed from the Government property without damage thereto. (e) Sandia's Right to Terminate Not Affected. The giving of any notice by either party under this Clause shall not be construed to waive or impair any right of Sandia to terminate this Contract under the provisions of the Clause entitled "Termination." (f) Cost Information. The Contractor shall maintain current actual cost information adequate to reflect the cost of performing the work under this Contract at all times while the work is in progress, and shall prepare and furnish to Sandia such written estimates of cost and information in support thereof as the SCR may request. (g) Correctness of Estimates Not Guaranteed. Neither Sandia nor the Contractor guarantees the correctness of any estimate of cost for performance of the work under this Contract, and Contractor shall invoice Sandia at actual cost unless Section I of this Contract provides otherwise.

**EI11 - EXCESS FREIGHT CHARGES** When Sandia pays any amounts for freight charges in connection with this contract, Contractor is responsible for and shall pay to Sandia the amount of any excess freight charges if the routing specified in writing by the SCR is not used. If the specified routing cannot be used, Contractor shall promptly notify the SCR before shipment, and obtain new routing directions from the SCR.

El12 - FREIGHT CHARGE (a) Freight and Parcel Bills. The Contractor shall transmit to the SCR, each calendar quarter, a legible copy of paid freight and parcel bills covering property transported to the Contractor for Sandia's account and for which Sandia will assume the freight or parcel charges under the direct cost provisions of this Contract. However, the following paid bills shall not be submitted to the SCR but shall be retained by the Contractor: rail freight forwarder, air, steamship, motor truck, and parcel bills amounting to less than \$500. All bills shall be marked on the face, "This shipment is for the U.S. Department of Energy. It is subject to the terms and conditions of the U.S. Government Bill of Lading form and to any available special rates or charges." (b) Excess Freights Charges. When Sandia pays any amounts for freight charges in connection with this Contract, Contractor is responsible for and shall pay to Sandia the amount of any excess freight charges if the routing specified in writing by the SCR is not used. If the specified routing cannot be used, Contractor shall promptly notify the SCR before shipment, and obtain new routing directions from the SCR.

**EI13 - GOVERNMENT PROPERTY, MATERIAL, AND EQUIPMENT** Except as provided for in Section 1, Contractor must list the Government material/equipment Contractor will use in the performance of the Statement of Work in this Contract and provide details concerning its use. Identification, inspection, maintenance, protection, and disposition of Government property shall conform with the policies and principles of FAR Part 45, 48 CFR (DEAR)

945, the Federal Property Management Regulations 41 CFR 10 1, the DOE Property Management Regulations 41 CFR 109, and DEAR 970.5204-21 Property.

**EI14 - ORDER OF PRECEDENCE** This Contract is subject only to the terms and conditions set forth in the following documents: Cover Page, Section 1, and Section II of this Contract and the specifications and drawings or other documents, if any, referenced therein. In case of conflict or inconsistency between or among one or more provisions of the above documents, the provisions of each document shall take precedence over the provisions of the other documents in the following order of priority: Section 1, Cover Page, Section 11, specifications, drawings, and other documents, if any incorporated into this Contract by reference thereto.

EI15 - PAYMENTS (a) Payments on Account of Allowable Costs. Once each month (or at more frequent intervals, if approved by the SCR) the Contractor may submit to Sandia National Laboratories, Accounts Payable Department, in such form and reasonable detail as may be required by the SCR, an invoice or voucher supported by a statement of costs incurred by the Contractor in the performance of this Contract and claimed to constitute allowable costs. "Allowable costs" includes, but is not limited to, actual indirect rate cost experience during the period of performance unless Section I of this Contract indicates otherwise. Promptly after receipt of each invoice or voucher Sandia shall, subject to the provisions of (b) below, make payment thereon in accordance with Contract provisions. Payments may be made either by check or electronic funds transfer, at the option of Sandia. Payment shall be deemed to have been made as of the date of mailing or the date on which an electronic funds transfer was made. Costs for items of Capital Property (defined in FAR 52.245-5 Government Property) if applicable, shall be separately listed in invoices. Discount time will be computed from the date correct invoice or voucher is received in the office specified in the Contract, or date of completion of work under this Contract, whichever is later. Payment is deemed to be made, for the purpose of earning the discount, on the date of mailing of Sandia's check or date on which an electronic funds transfer was made. Sandia may take Contract or invoice prompt payment discount. Any travel outside the United States by Contractor personnel, in connection with work under this Contract, requires advance written approval by the SCR. (b) Audit Adjustments. At any time or times prior to settlement under this Contract the SCR may have invoices or vouchers and statements of cost audited. Each payment there to for made shall be subject to reduction for amounts included in the related invoice or voucher which are found by the SCR, on the basis of such audit, not to constitute allowable cost. Any payment may be reduced for overpayments, or increased for underpayments, on preceding invoices or vouchers. (c) Completion Voucher. On receipt and approval of the invoice or voucher designated by the Contractor as the "completion invoice" or "completion voucher" and upon compliance by the Contractor with all the provisions of this Contract (including, without limitation, the

provisions relating to patents and provisions of (e) below) Sandia shall promptly pay to the Contractor any balance of allowable cost. The completion invoice or voucher shall be submitted by the Contractor promptly following completion of the work under this Contract but in no event later than 60 days (unless the SCR grants a further specific period of time) from the date of such completion. (d) Applicable Credits. The Contractor agrees that any refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Contractor or any assignee under this Contract shall be paid by the Contractor to Sandia to the extent that they are properly allocable to costs for which the Contractor has been reimbursed by Sandia under this Contract. Reasonable expenses incurred by the Contractor for the purpose of securing such refunds, rebates, credits, or other amounts shall be allowable costs hereunder when approved by the SCR. (e) Financial Settlement. Prior to final payment under this Contract, the Contractor and each assignee whose assignment is in effect at the time of final payment under this Contract shall execute and deliver: (1) an assignment to Sandia in form and substance satisfactory to the SCR of refunds, rebates, credits or other amounts (including any interest thereon) properly allocable to costs for which the Contractor has been reimbursed by Sandia under this Contract; and (2) a release discharging Sandia and the Government, their officers, agents and employees from all liabilities, obligations, and claims arising out of or under this Contract, subject only to the following exceptions: (i) specified claims in stated amounts or in estimated amounts where the amounts are not susceptible of exact statement by the Contractor; (ii) claims, together with reasonable expenses incidental thereto, based upon liabilities of the Contractor to third parties arising out of performance of this Contract; provided that such claims are not known to the Contractor on the date of the execution of the release; and provided further that the Contractor gives notice of such claims in writing to the SCR not more than three (3) years after the date of the release or the date of any notice to the Contractor that Sandia is prepared to make final payment, whichever is earlier; and (iii) claims for reimbursement of costs (other than expenses of the Contractor by reason of any indemnification of Sandia or the Government against patent liability), including reasonable expenses incidental thereto, incurred by the Contractor under the provisions of this Contract relating to patents. The Contractor and each such assignee hereby agree that the failure to execute and deliver the aforesaid assignment and release within sixty (60) days of a written request therefore by the SCR shall be deemed to be and shall have the same effect as a release as set forth above in item (2), including a release of all claims set forth in sub items (i)(iii) of such item (2).

**EI16 - PERFORMANCE EVALUATION PROGRAM** In keeping with SNL's goals of continuous improvement, and promoting and creating an environment for superior Contractor performance, SNL has established a collaborative feedback process through the Performance Evaluation Program. This program is intended to create an environment, which fosters dialog, provides feedback, and improves

communication. Any Contract awarded by SNL is a candidate for evaluation under this program.

**EI17 - PRICING OF CONTRACT AND SUBCONTRACT MODIFICATIONS** The cost principles and procedures set forth in FAR Part 31 as modified by DEAR Part 931 shall be used to price contract and subcontract modifications, if any, whenever cost analysis is performed to negotiate the price of any such modification.

CR19 - PRICE-ANDERSON AMENDMENTS ACT (PAAA) (a) Regulatory Liability If the item(s) or service(s) required by the Purchase Order is related to nuclear or radiological safety, then the item(s) or service(s) are regulated by the Department of Energy (DOE) under the provisions of Federal Regulations 10 CFR 820, 10 CFR 830, and 10 CFR 835 (Price-Anderson Amendments Act -1988). The Supplier shall incorporate all applicable Purchase Order requirements into all Supplier-issued procurement documents. Flow-down of purchase order requirements shall be verbatim, i.e., without change or modification. Lower-tier subcontracting requires flow-down of all applicable requirements to each Supplier at any tier. (b) Occupational Radiation Protection The Contractor shall comply with applicable requirements in Sandia's Radiation Protection Procedures Manual, (RPPM) unless the Contractor's activities specified in the Statement of Work (SOW) shall be regulated through a license by the Nuclear Regulatory Commission or a State under an Agreement with the Nuclear Regulatory Commission. (Upon request the Sandia Contracting Representative (SCR) will make the RPPM available

**CR20 - QUALITY ASSURANCE PROGRAM** If Section I of this contract includes clause 109-QSP- Quality Significant Purchase the Contractor shall have a Quality Assurance (QA) program which provides for control of activities affecting quality of the item(s) or service(s) specified in the Statement of Work (SOW) to an extent consistent with their importance. Such program shall be documented by written policies, procedures, or instructions and shall be carried out by the Contractor in accordance with those policies, procedures, or instructions. The Contractor's QA program shall be in accordance with 10 CFR 830 and DOE O 414.1A http://www.directives.doe.gov/serieslist.html

**EI18 - RECYCLED OR NEW MATERIALS** Except as otherwise provided in the Statement of Work or in Contractor's quotation, the quoter certifies that any products to be furnished are new (not used or reconditioned) and not of such age or so deteriorated as to impair their usefulness or safety.

**EI19 - RELEASE OF INFORMATION** No invention, export control or classified information relating to this Contract shall be released other than to Contractor's employees or those of Contractor's subcontractors requiring the information for performance of the Statement of Work of this Contract without advance written approval of the SCR. In no event shall the interest of Sandia or the DOE or the

Government in this Contract be indicated in any advertising or publicity without advance written approval of the SCR. This shall not be construed to prohibit Contractor from fulfilling routine internal or external reporting of its activities, including the disclosure of the existence and nature of this contract as required by law. External reporting as used in this clause refers to reports submitted to State or Federal government offices.

**EI20 - RELEASES VOID** Sandia's and the Government's representatives shall not be required to waive or release any personal rights in connection with any visits to Contractor's premises and Contractor agrees that no such waiver or release shall be pleaded by Contractor in any action or proceeding.

**EI21 - REPORTS REQUIRED BY THIS CONTRACT** Final reports following completion of the work required by this contract and interim reports as may be required by this contract constitute deliverables under this contract and shall be submitted in an electronic format such as Microsoft Word or other format commonly used at Sandia along with any paper format required by this contract and shall be submitted on 3.5 inch floppy disk, CD ROM or other media requested by the SDR.

**EI22 - RIGHTS AND INTERESTS** All rights and interests resulting from this Agreement shall pass directly from the Contractor to the Government. FAR 52.227-17 applies to all deliverables which are copyrightable works produced as part of the performances of this Contract.

**EI23 - RISK OF LOSS** If Sandia is responsible for the risk of loss during transportation of compliant Items, Sandia shall compensate Contractor the lesser of (1) the agreed price of such Items, or (2) the Contractor's cost of replacing such Items; and such loss shall entitle the Contractor to an equitable adjustment in delivery schedule obligations.

**EI24 - SANDIA PROVIDED INFORMATION** Any and all physical forms of designs, design data, specifications, technical, scientific data, and other information furnished by Sandia to the Contractor shall remain the property of the Government. Any and all such information provided by Sandia to the Contractor shall be used only for the purpose of enabling performance of this Contract and the Contractor shall use its best efforts to prevent disclosure to others except when necessary in the performance of this Contract.

**EI25 - SUBCONTRACTS** If Contractor subcontracts any work in the performance of this contract, Contractor shall incorporate the appropriate Sandia required flow down set of terms and conditions in all subcontracts as can be found at: http://www.sandia.gov/bus-ops/scm/Contractor/Contractor-info.html may be used for the purchase of Commercial Items, into any such subcontract.

**El26 - TAXES** By reason of Sandia's Nontaxable Transaction Certificate, the Contractor should not incur nor include in the price any state and local taxes. If performance occurs in New Mexico the clauses at DEAR 970.5204-4 New Mexico Gross Receipts and Compensating Tax and FAR 52.229-10 State of New Mexico Gross Receipts and Compensating Tax are applicable. Sandia holds California Contractor's Permit Number OH-98033576. Purchases made under this Contract are exempt from California Sales and Use Taxes if performance occurs in California.

**EI27 - TRANSPORTATION** All transportation shall be "FOB Origin" unless otherwise specified in this Contract. If transportation is specified "FOB Origin," (a) no insurance cost shall be allowed unless authorized in writing and (b) the bill of lading shall indicate that transportation is for DOE and the actual total transportation charges paid to the carrier(s) shall be reimbursed by the Government pursuant to Contract No. DE-ACO4-94-AL-85000. Confirmation will be made by Sandia National Laboratories.

**EI28 - ADDITIONAL TERMS AND CONDITIONS** This Contract incorporates by reference with the same force and effect as if they were given in full text, the following cited Federal Acquisition Regulation (FAR) clauses and Department of Energy Acquisition Regulation (DEAR) clauses. The full text of these clauses may be found at Title 48 of the Code of Federal Regulations (CFR). Where the FAR/DEAR clauses refer to Government and Contracting Officer, substitute Sandia and Sandia Contracting Representative (SCR). Upon request the SCR will make the full text available.

### EI29 - APPLY TO CONTRACTS AT ANY VALUE

FAR 52.222-1 Notice to the Government of Labor Disputes

FAR 52.222-26 Equal Opportunity (E.O. 11246)

FAR 52.223-3 Hazardous Material Identification And Material Safety Data with Alt. I

FAR 52.225-11 Restrictions on Certain Foreign Purchases

FAR 52.227-3 Patent Indemnity

FAR 52.227-23 Rights to Proposal Data (Technical). This clause applies only in any subcontract awarded based on consideration of a technical proposal.

FAR 52.244-6 Subcontracts for Commercial Items and Commercial Components FAR 52.246 3 Inspection of Supplies -- Cost-Reimbursement

FAR 52.246-5 Inspection of Services -- Cost-Reimbursement

FAR 52.247-63 Preference for U.S. Flag Air Carriers

FAR 52.249-5 Termination for Convenience (Educational and Other Nonprofit Institutions)

DEAR 952.204-2 Security

DEAR 952.247-70 Foreign Travel

DEAR 952.250-70 Nuclear Hazards Indemnity Agreement

DEAR 970.5204-9 Accounts, Records and Inspections

DEAR 970.5204-11 Changes

DEAR 970.5204-19 Printing Clause for Management and Operating Contractors DEAR 970.5204-33(a) Priorities and Allocations. This Clause applies only if Section I designates a Government Priority.

EI30 - APPLY TO CONTRACTS EXCEEDING \$2,500

FAR 52.222-41 Service Contract Act of 1965 as Amended

## EI31 - APPLY TO CONTRACTS EXCEEDING \$10,000

FAR 52.222-20 Walsh Healy Public Contracts Act

FAR 52.222-21 Prohibition of Segregated Facilities

FAR 52.222-35 Affirmative Action for Disabled and Veterans of the Vietnam Era FAR 52.222-36 Affirmative Action for Workers with Disabilities

DEAR 970.5203-3 Buy American Act

### EI32 - APPLY TO CONTRACTS EXCEEDING \$25,000

FAR 52.209-6 Protecting the Government's Interest When Subcontracting With Contractors Debar-red, Suspended, or Proposed for Debarment

### EI33 - APPLY TO CONTRACTS EXCEEDING \$100,000

FAR 52.203-6 Restrictions on Subcontractor Sales to the Government

FAR 52.203-7 Anti-Kickback Procedures

FAR 52.203-12 Limitation of Payments to Influence Certain Federal Transactions FAR 52.215-2 Audit and Records-Negotiations

FAR 52.219-8 Utilization of Small Business Concerns

FAR 52.222-4 Contract Work Hours and Safety Standards Act - Overtime Compensation

FAR 52.227-1 Authorization and Consent This clause is not applicable where both complete performance and delivery are outside the United States, its possessions or Puerto Rico.

FAR 52.227-2 Notice of Assistance Regarding Patent and Copyright Infringement FAR 52.227-6 Royalty Information Patent Counsel, as used in this clause, means the Patent Attorney, DOE, Albuquerque Operations Office, P. O. Box 5400, Albuquerque, New Mexico 87115.

FAR 52.244-5 Competition in Subcontracting

FAR 52.247-63 Preference for U.S. Flag Air Carriers

FAR 52.247-64 Preference for Privately Owned U.S. Flag Commercial Vessels DEAR 952.209-8 Organizational Conflicts of Interest-Disclosure.

DEAR 952.209-72 Organizational Conflicts of Interest It is the responsibility of Contractor to determine if this clause is applicable and to report any potential conflicts to the SCR under DEAR 952.209-8.

EI34 - APPLY TO CONTRACTS EXCEEDING \$500,000

FAR 52.219-9 Small Business Subcontracting Plan

FAR 52.219-16 Liquidated Damages - Subcontracting Plan

FAR 52.230-1 Cost Accounting Standards Notices and Certification

FAR 52.230-5 Cost Accounting Standards-Educational Institutions

FAR 52.230-6 Administration of Cost Accounting Standards

### Compliance with Cost Accounting Standards.

In accordance with DEAR 970.5204-92 LIABILITY WITH RESPECT TO COST ACCOUNTING STANDARDS the Contractor shall be liable to the Government for increased costs or interest resulting from the subcontractor's failure to comply with the clauses at FAR 52.230-2, "Cost Accounting Standards," and FAR 52.230-6, "Administration of Cost Accounting Standards".

DEAR 952.226-74 Displaced Employee Hiring Preference

DEAR 970.5204-24 Subcontractor Cost or Pricing Data

DEAR 970-5204-77 Workforce Restructuring under Section 3161 of the National Defense Authorization Act for Fiscal Year 1993

EI34 - APPLY TO CONTRACTS EXCEEDING \$550,000

FAR 52.215-27 Pension Adjustments and Asset Reversions

## EI36 - APPLY TO ALL CONTRACTS THAT MAY INVOLVE ACCESS TO CLASSIFIED INFORMATION

DEAR 952.204-70 Classification/Declassification

DEAR 952.204-73 Foreign Ownership, Control, or Influence or Contractor Representation

DEAR 952.204-74 - Foreign Ownership, Control or Influence Over Contractor DEAR 970.2701 (DOE-PR 9-9.106) Classified Inventions

## EI37 - APPLY TO ALL CONTRACTS WHICH INCLUDE ANY EXPERIMENTAL, RESEARCH, DEVELOPMENTAL, OR DEMONSTRATION WORK

FAR 52.227-14 Rights in Data -Rights in modified in accordance with DEAR 927.409(a) and including Alternate V.

FAR 52-227-16 Additional Data Requirements

FAR 52.246-8 - Inspection of Research and Development - Cost-Reimbursement DEAR 952.227-11 Patent Rights, Retention by the Contractor (Short Form) This clause is to be used in all contracts in which the Contractor is a domestic small business or nonprofit organization as defined at FAR, 48 CFR 27.301.

DEAR 970.5204-82 Rights in Data-Facilities is included in subcontracts for related support services, involving the design or operation of any plants or facilities or specially designed equipment for such plants or facilities that are managed or operated under an M&O Contract under 48 CFR 970 with DOE.

# EI38 - APPLY TO ALL CONTRACTS WHERE ANY WORK WILL BE PERFORMED ON A GOVERNMENT SITE

DEAR 952.223-72 Radiation Protection and Nuclear Criticality

DEAR 970.5204-2 Integration of Environment, Safety, and Health into Work planning and Execution

DEAR 970.5204-79 Access To and Ownership of Records

DEAR 970.5204-26 Nuclear Facility Safety

DEAR 970.5204-41 Preservation of Individual Occupational Radiation Exposure Records

DEAR 970.5204-58 Workplace Substance Abuse Programs at Government sites DEAR 970.5204-59 Whistleblower Protection

# CLAUSES EI39 THROUGH EI50 APPLY TO ALL CONTRACTS WHERE ANY WORK

### WILL PERFORMED ON A GOVERNMENT SITE

**EI39** -- **CITIZENSHIP STATUS** All personnel of the Contractor and its subcontractors who require access must be United States citizens, or foreign nationals who are legal aliens or have the required authorization to perform work

in the Unites States and must meet rules of the site for access to the work areas in place at the time of performance of this Contract.

EI40 - CONTRACTOR OR SUBCONTRACTOR USE OF GOVERNMENT **OWNED VEHICLES** The following provisions apply if work under this contract requires Contractor or subcontractor personnel to operate Government-owned vehicles either on or off Government sites. Contractor shall maintain, at Contractor's expense, during the period of performance of work under this contract, third-party vehicle liability insurance which shall cover the use of such Government-owned vehicles with limits of at least \$200,000/ \$500,000 public \$20.000 liability and property damage. Medical payments coverage, comprehensive and collision insurance, uninsured motorist, and personal injury protection will not be required under this Clause unless required by State statute. All Contractor's agents, employees and subcontractors of any tier shall obey all rules and regulations pertaining to the use of Government-owned vehicles. In the event of a motor vehicle accident, the Contractor shall submit a completed Motor Vehicle Accident Reporting Form SF 91 to the SCR together with any additional supplemental forms required by instructions given on the GSA Form Packet 1627. A GSA Form Packet 1627 normally is located either in the headliner or glove box of the GSA vehicle. Contractor's personnel shall assure that a GSA Form Packet 1627 is available in a GSA vehicle prior to accepting and driving a GSA vehicle.

CR46- ES&H REQUIREMENTS (a). Service Providers: Sandia-Directed work, Sandia shall provide those workers with any and all necessary safety authorization documents, personal protective equipment, industrial hygiene monitoring, medical surveillance, and radiation protection services. For Contractor employees performing Contractor-Directed work, Contractor shall provide its workers with all ES&H services, with the exception of Contractor employees performing Contractor-Directed work on Government sites for whom Sandia shall provide radiation dosimetry services and survey of record, as appropriate. (b). Training Requirements: Any contractor personnel who will enter a Government site to perform work shall have completed all of the ES&H training required by the Statement of Work prior to any attempts to enter a Government site as shown by written records of such training furnished to the SDR or to the Requester if no SDR is named in Section I of this Contract. Contractor shall certify to Sandia completion of all required training on the Completion Record for Contractor Administered Training form. This Form is located on the Web at http://www.sandia.gov/bus-ops/scm/Contractor/Contractorinfo.html or obtained from the SDR. Contractor shall provide the completion record form for the initial ESH100 training to the SDR on the first day of work. Contractor shall provide the completion records for any other training required above to the SDR before starting the affected work activity. Any person not having completed all ES&H training requirements may be denied access to any Government site and Contractor may be terminated for default of this contract as well as every other contract the contractor has with Sandia.

**CR47- HAZARDOUS MATERIALS (a). Handling Requirements:** For contracts that require the performance of work on Government sites, the Contractor shall coordinate with the SDR all activities associated with the acquisition (including reporting hazardous materials used on Government sites), handling, storage, accidental spills, and/or disposal of hazardous materials and/or waste. The Contractor shall notify the SDR of all hazardous and/or radioactive waste generated during performance of work. Such materials become Sandia-owned waste and the Contractor shall notify the SDR for proper disposal by Sandia. Contractor's assistance in disposal may be required by Sandia. (b). Removal Requirements: Those hazardous materials brought onto Sandia-controlled premises by the Contractor which are job-related consumables and have not been removed from their original packaging and which have not been purchased by Sandia, shall remain the property of the Contractor and shall be removed from Sandia after completion of the work. Hazardous materials in the original, labeled container are not hazardous waste if the material is usable and the full or partially full container is intact and properly closed. Those scrap items which are not hazardous and which have not become hazardous through co-mingling with hazardous items are owned by the Contractor and shall also be removed.

EI44 - PROTECTION OF GOVERNMENT PROPERTY All Sandia National Laboratories information, information technologies and information systems are States Government Property. United Please read the notice at: http://www.sandia.gov/bus-ops/scm/Contractor/Contractor-info.html All facilities, personal property, existing vegetation, structures, equipment, utilities. improvements, materials and work at Sandia National Laboratories are United States Government Property. Acts of theft, improper use and/or unlawful destruction of United States Government Property are punishable under one or more Federal Criminal Laws.

EI46 - REQUIREMENTS FOR ACCESS TO GOVERNMENT SITES Permission to enter Government sites shall at all times be subject to all laws, regulations, and site access rules for the site. The Government requirements include but are not limited to, all of the requirements set forth in this section for any work to be performed on a Government site. To obtain access to such premises, the Contractor shall write a letter to the SDR or the SCR stating the company designation to be used by the Contractor and each subcontractor and furnishing the following information on each individual requiring access to such premises: name, date of birth, and citizenship status, completed ES&H training requirements set forth in the SOW. Access will be granted for the period of performance of the work only. Contractor shall withdraw and replace any individual, including any subcontractor employee, assigned to perform work under this contract, who in the judgment of Sandia or DOE, is to be denied access to any Government site. Contractor shall submit to the SDR or the SCR proposed working schedules for its personnel and the personnel of each of its subcontractors. The schedules will show proposed daily working hours and proposed work weeks. Schedules that deviate from Sandia's normal work day or work week must be approved by the responsible SDR. In the absence of a written authorization from the SCR or DOE, use of Government sites by the Contractor and its subcontractors of any tier, pursuant to access granted under this Clause, shall be limited to work required by this contract to be performed on such premises. THE USE OF THE ACCESS PRIVILEGE FOR ANY PURPOSE OTHER THAN TO PERFORM WORK UNDER THIS CONTRACT IS PROHIBITED AND MAY BE GROUNDS FOR TERMINATING THIS CONTRACT DEFAULT OR FOR FINDING THAT AN INDIVIDUAL IS UNACCEPTABLE FOR FUTURE ACCESS.

**EI47 - TERMINATION OR REASSIGNMENT OF PERSONNEL** The Contractor shall (I) notify immediately the SCR and the Sandia Access Control and Administration Division at Albuquerque (Sandia Physical Security Division at Livermore; Tonopah Test Range Manager at Tonopah) if any Contractor employees assigned to work under this contract are terminated for any reason or are assigned to other Contractor non-Sandia work and will not work under this contract in the future, and (ii) ensure that any Contractor employees identified under this paragraph surrender to the Sandia Access Control and Administration Division (Sandia Physical Security Division at Livermore; Tonopah Test Range Manager at Tonopah) any Sandia badge, Kirtland Air Force Base decals or other access documents within five days of termination or reassignment.

**EI48 - VEHICLE INSURANCE** All vehicles, owned or operated by the Contractor, subcontractors or their agents and employees, having access to Government sites shall be covered by at least \$200,000/\$500,000 public liability and \$20,000 property damage insurance.

**EI49 - VEHICLE MARKINGS** All vehicles used by either the Contractor or its subcontractors shall be marked clearly to indicate company name of user. Vehicles which do not bear permanent markings may be temporarily marked as follows:(1) Signs, no longer than the vehicle door is wide, with a white or lighter background, showing the Contractor's name in one inch high, or larger, dark colored letters, may be made from sheet metal, cardboard or other suitable material and temporarily attached to the vehicle's front door panels so that the signs appear in the approximate center of each door panel. Words such as "Company," "Corporation" or "Division" may be abbreviated.(2) No signs shall be attached to the vehicle's glass area for safety reasons.

**EI50 - VISITOR ACCESS TO GOVERNMENT SITES** The organizations listed below are responsible for coordinating and administering the provisions of visitor access and control for the sites as listed. Sandia National Laboratories, Albuquerque, New Mexico – Visitor Access and Administration Section, Sandia Corporation, Building 801. Sandia National Laboratories, Livermore, California - Visitor Control and Administration Section, Sandia Corporation, Building 911. Tonopah Test Range, Tonopah, Nevada - Office of the Tonopah Test Range Manager.

NOTE: Although some of the FAR and DEAR clauses listed above have been deleted or the numbering has changed they are required by Sandia's prime contract with the department of energy. These clauses may be accessed in full text at Sandia's website located at

http://www.sandia.gov/bus-ops/scm/Contractor/Contractorinfo.html