

SAMPLE I  
**SCHEDULE OF EXCESS RISKS**

Company Name	XXXX	Contact Person	XXXX
Location of Company	XXXX	Contact Person Title	XXXX
NAIC Company Code	XXXX	Contact Person Telephone No.	XXXX
Quarter Ended	XXXX	Contact Person E-Mail	XXXX
<b>Treasury Underwriting Limitation</b>	1,100,000		

Report each risk written in excess of the underwriting limitation established by the Treasury. (This applies to casualty and other lines of business as well as surety risks whether or not the United States is obligee.) In protecting excess risks, the underwriting limitation in force on the date of the execution of the risk, as shown in Treasury Circular 570, will govern absolutely.

Number	Date	Principal (If Surety) Or Class (If Other Lines)	Obligee (If Fidelity Or Surety) Or Insured (If Other Lines)	Amounts of Risks		Net Retention	Coinsurance Or Reinsurance				Market Value Of Admissible Assets Pledged (Attach Copy Of Trust, Joint Control Or Indemnity Agreement)	Retention For Treasury Purposes	Remarks
				Penal Sum (If Bond Or Face Amount (If Policy)	Maximum Liability (If Less Than Penal Sum Or Face Amount)		Date Of Coinsurance Or Reinsurance	Name Of Coinsuring Or Reinsuring Company	Amount of Treasury Authorized Coinsurance (Denote With*) Or Authorized Reinsurance	Amount of Unauthorized Coinsurance (Denote With*) Or Unauthorized Reinsurance			
1234	1/1/XX	BI & PD	Jones & Co. BI PD	\$10,000,000 \$ 5,000,000	FACULTATIVE	\$200,000 \$100,000	1/1/XX 1/1/XX	A.B.C. Reinsurance Co. A.A.A. Reinsurance Co.	\$9,800,000 \$4,900,000		\$200,000 \$100,000		
1235	1/1/XX	Fire 5 locations none in Excess of \$1,000,000	Smith & Co.	5000000		\$1,000,000 per location	1/1/XX	X.Y.Z. Reinsurance Co.		\$900,000 per location	1000000		
1236	1/1/XX	AAA Oil Co. (Performance Bond Annual Fuel Supply Contract XXX Air Force Base)	U.S. Air Force	\$10,000,000		\$10,000,000	1/1/XX	A.B.C. Reinsurance Co.	\$9,900,000		\$100,000		(SF 275 - Reinsurance Agreement in Favor of the U.S. Attached)

**AFFIDAVIT**

State of XXX  
 County of XXX  
XXX, President, and XXX, Secretary of the COMPANY NAME of Town, State, being duly sworn, depose and say, and each for himself says, that they are the above-described officers of the said company, that the foregoing schedule (with the accompanying exhibits) contains a full, true, and correct statement of all recognizances, stipulations, bonds, undertakings, or other risks, whereon the liabilities are in excess of its Treasury underwriting limitation, executed by the said company and its agents on behalf of any individual, firm, association, or corporation, during the quarter ended XXX, 20 XX; and, further, that the statements and declarations contained herein are correct and true in every particular.

Subscribed and sworn to before me this XXX day of XX, 20 XX.

XXX  
 Notary Public  
XXX  
 President  
XXX  
 Secretary

NOTE TO PRESIDENT AND SECRETARY: If any amount in the "Retention for Treasury Purposes" column exceeds the "Treasury Underwriting Limitation", it is probable that applicable Treasury regulations have been violated (see Treasury Circular 297, sections 223.10, .11 and .13). If so, immediate remedial measures are required to comply with the regulations.



SAMPLE I  
 SCHEDULE OF EXCESS RISKS

Number	Date	Principal (If Surety) Or Class (If Other Lines)	Obligee (If Fidelity Or Surety) Or Insured (If Other Lines)	Amounts of Risks		Net Retention	Coinsurance Or Reinsurance				Market Value Of Admissible Assets Pledged (Attach Copy Of Trust, Joint Control Or Indemnity Agreement)	Retention For Treasury Purposes	Remarks
				Penal Sum (If Bond) Or Face Amount (If Policy)	Maximum Liability (If Less Than Penal Sum Or Face Amount)		Date Of Coinsurance Or Reinsurance	Name Of Coinsuring Or Reinsuring Company	Amount of Treasury Authorized Coinsurance (Denote With*) Or Authorized Reinsurance	Amount of Unauthorized Coinsurance (Denote With*) Or Unauthorized Reinsurance			
1237	1/1/XX	XYZ Construction Co. (Performance and Payment Bond - Construction of Post Office)	U.S. Post Office PE Department PY	\$10,000,000 \$ 5,000,000		\$100,000 \$ 50,000	1/1/XX 2/1/XX	A.B.C. Surety Company A.A.A. Surety Company B.B.B. Surety Company	\$3,300,000* \$3,300,000* \$3,300,000*			\$100,000 \$ 50,000	*(Payment Bond Coinsured with Same Sureties in the Same Manner - SF 273 and 274 attached)
1238	1/1/XX	ABC Construction Company (Performance and Payment Bond - Construction of Government Office Building)	U.S. Department of Health and Human Services	\$10,000,000		\$500,000		A.A.A. Surety Company	\$9,500,000			\$500,000	(SF 273 and 274 - Reinsurance Agreements for Miller Act Performance and Payment Bonds Attached)
1239	1/1/XX	Field Corn Incorporated (Grain Elevator Bond on Contents)	U.S. Department of Agriculture	\$10,000,000	\$1,000,000 per location	\$200,000	1/5/XX	A.B.C. Bonding Company	\$800,000			\$200,000	(SF 275 - Reinsurance Agreement in Favor of the U.S. Attached)
1240	2/1/XX	Fire, Assumed Reinsurance BBB Fire Insurance Company. Their policy No. 6890. 50% quota share per location. No location in excess of \$1,500,000.	City School District	\$20,000,000	\$750,000 per location	\$150,000	2/1/XX	X.Y.Z. Insurance Company		\$600,000 per location		\$750,000	
1241	1/1/XX	Jane Doe - Administratrix, Estate of John Doe.	Estate of John Doe and Probate Court	\$1,200,000		N.A.	1/1/XX	Joint Control			\$1,200,000	N.A.	(Copy of Joint Control Aggrement - Attached)
1242	1/1/XX	J. Jones Contractor	U.S.A. (Army) Performance	\$1,500,000		\$1,000,000	1/1/XX	Assets Pledged			\$500,000	\$1,000,000	(Copy of Pledge Agreement - Attached)