Variability of Y-STR Marker Sets
in the NIST 1036 U.S. Population Samples

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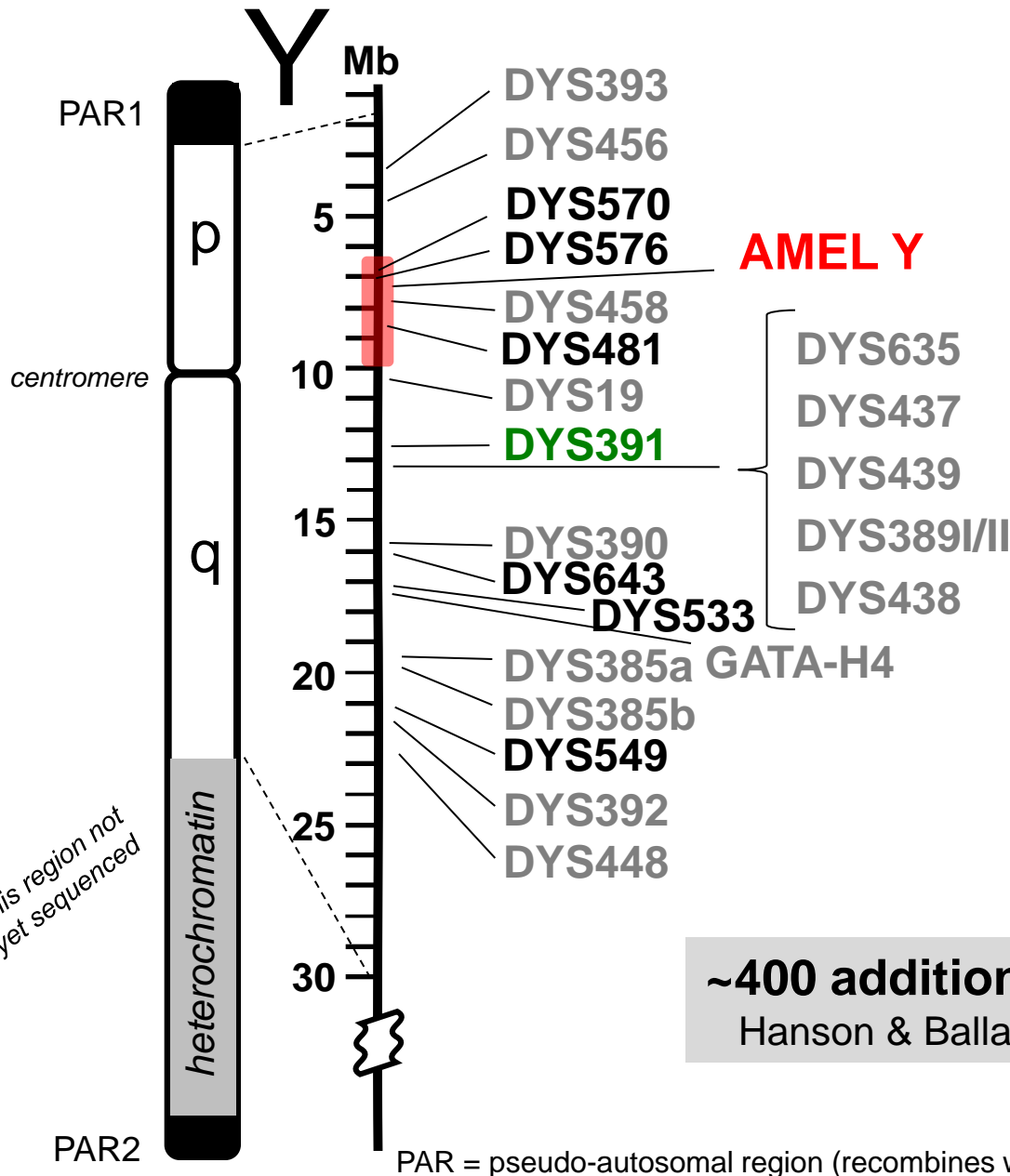
Presentation Outline

- Y-STR loci characteristics
  - Information on 23 Y-STR loci
  - Variation observed in NIST 1036 samples
  - Haplotype comparisons (PPY, Yfiler, PPY23)

- PowerPlex Y23 Kit Experience
  - Stutter
  - Mutation rates with father/son samples
  - Performance with related males

Relative positions of 23 Y-STR loci available in kits for ChrY testing

PAR1

Y

Mb

p

centromere

5

10

15

q

20

25

This region not yet sequenced

heterochromatin

30

PAR2

DYS393
DYS456
DYS570
DYS576
DYS458
DYS481
DYS19
DYS391
DYS390
DYS643
DYS533
DYS385a GATA-H4
DYS385b
DYS549
DYS392
DYS448

AMEL Y

DYS635
DYS437
DYS439
DYS389I/II
DYS438

Deletions of the Y-chromosome can encompass >1 Mb around the AMEL Y region
(DYS458 is often lost in these situations)

DYS391 has been proposed for inclusion as a future CODIS core locus to address males with AMEL Y deletions
(D.R. Hares, *FSI Genetics*, 2012, 6, e52-e54)

~400 additional Y-STRs currently known
Hanson & Ballantyne, *Legal Med* 2006;8(2):110-20

PAR = pseudo-autosomal region (recombines with X-chromosome)

NIST U.S. Samples (>1450)

- **NIST U.S. population samples**
  - 260 African American, 260 Caucasian, 140 Hispanic, 3 Asian

- **U.S. father/son paired samples**
  - ~**100 fathers/100 sons for each group**: 200 African American, 200 Caucasian, 200 Hispanic, 200 Asian

- **NIST SRM 2391b**, PCR-based DNA Profiling Standard (highly characterized)
  - 10 genomic DNA samples, 2 cell line samples
  - Includes 9947A and 9948

- **NIST SRM 2391c**, PCR-based DNA Profiling Standard
  - 4 genomic DNA (one mixture)
  - 2 cell lines (903 and FTA paper)

Hill, C.R., et al. (2011) Concordance and population studies along with stutter and peak height ratio analysis for the PowerPlex® ESX 17 and ESI 17 Systems. *Forensic Sci. Int. Genet.* 5(4): 269-275.

Publications using NIST Population Samples

1. Butler et al. (2003) *J. Forensic Sci.* – Identifiler allele frequencies
2. Butler et al. (2003) *J. Forensic Sci.* – miniSTR assay development
3. Drabek et al. (2004) *J. Forensic Sci.* – miniSTR concordance
4. Schoske et al. (2004) *Forensic Sci. Int.* – Y-STR 20plex & 11plex
5. Vallone et al. (2004) *J. Forensic Sci.* – 50 Y-SNPs
6. Coble & Butler (2005) *J. Forensic Sci.* – NC01 & NC02 assay development
7. Butler et al. (2005) *J. Forensic Sci.* – PowerPlex Y with Y-STR duplications & triplications
8. Vallone et al. (2005) *Forensic Sci. Int.* – 70 autosomal SNPs
9. Butler et al. (2006) *Forensic Sci. Int.* – 27 Y-STR additional loci
10. Hill et al. (2007) *J. Forensic Sci.* – MiniFiler concordance
11. Decker et al. (2008) *FSI Genetics* - Yfiler mutation rates
12. Saunier et al. (2008) *FSI Genetics* – mtDNA control region sequencing (AFDIL)
13. Just et al. (2008) *FSI Genetics* – mtGenome analysis (AFDIL)
14. Hill et al. (2008) *J. Forensic Sci.* – NC01-NC09 miniSTR loci
15. Diegoli et al. (2009) *FSI Genetics* – mtDNA control region sequencing (AFDIL)
16. Hill et al. (2009) *J. Forensic Sci.* – NIST 26plex
17. Lao et al. (2010) *Human Mutation* – 24 ancestry SNPs, Y-SNPs, mtDNA
18. Hill et al. (2011) *FSI Genetics* – ESI 17 & ESX 17 concordance
19. Diegoli et al. (2011) *FSI Genetics Suppl. Ser.* – Argus X-12 X-STR loci
20. Fondevila et al. (2012) *Int. J. Legal Med.* – 68 InDel loci
21. Fondevila et al. (2012) *FSI Genetics* – 34 ancestry SNPs
22. Butler et al. (2012) *Profiles in DNA* – introduces NIST 1036 data set
23. Hill et al. (2012) *FSI Genetics (forthcoming)* – 29 autosomal STRs in PowerPlex CS7 and other kits
24. Coble et al. (2012) *FSI Genetics (forthcoming)* – 23 Y-STRs in PowerPlex Y23

Testing also completed with 16 X-STR loci and 14 rapidly mutating (RM) Y-STRs

NIST 1036 U.S. Population Samples

- 1032 males + 4 females
  - 361 Caucasians (2 female)
  - 342 African Americans (1 female)
  - 236 Hispanics
  - 97 Asians (1 female)

> **_Unrelated_ samples**
> All known or potential related individuals (based on autosomal & lineage marker testing) have been removed from the 1036 data set (e.g., only sons were used from father-son samples)

- Anonymous donors with self-identified ancestry
  - Interstate Blood Bank (Memphis, TN) – obtained in 2002
  - Millennium Biotech, Inc. (Ft. Lauderdale, FL) – obtained in 2001
  - DNA Diagnostics Center (Fairfield, OH) – obtained in 2007

- **Complete profiles with 29 autosomal STRs + PowerPlex Y23**
  - **Examined with multiple kits** and in-house primer sets enabling concordance

- Additional DNA results available on subsets of these samples
  - mtDNA control region/whole genome (AFDIL)
  - >100 SNPs (AIMs), 68 InDel markers, X-STRs (AFDIL)
  - NIST assays: miniSTRs, 26plex, >100 Y-STRs, 50 Y-SNPs

Data available on STRBase: http://www.cstl.nist.gov/biotech/strbase/NISTpop.htm

Benefits of NIST 1036 Data Set

- **Elimination of potential null alleles due to primer binding site mutations** through extensive concordance testing performed with different PCR primer sets from all available commercial STR kits

- **Ancestry testing performed** on DNA samples with autosomal SNPs, Y-SNPs, and mtDNA sequencing to verify self-declared ancestry categorization

- **Related individuals removed** based on Y-STR and mtDNA results

Characteristics for PowerPlex Y23 Y-STR Loci

STR Marker	Position (Mb) GRCh37 (Feb 2009)	Repeat Motif	PPY23 Ladder Allele Range	# Observed Alleles in NIST 1036	Probability of Identity in NIST 1036
DYS393	3.13	AGAT	7 - 18	6	0.5018
DYS456	4.27	AGAT	11 - 23	8	0.3098
DYS570	6.86	TTTC	10 - 25	12	0.2161
DYS576	7.05	AAAG	11 - 23	9	0.1922
DYS458	7.87	GAAA	10 - 24	15	0.2166
DYS481	8.43	CTT	17 - 32	16	0.1670
DYS19	9.52	TAGA	9 - 19	10	0.2996
DYS391	14.10	TCTA	5 - 16	7	0.4758
DYS635	14.38	TSTA	15 - 28	11	0.2421
DYS437	14.47	TCTR	11 - 18	8	0.3800
DYS439	14.51	AGAT	6 - 17	11	0.3460
DYS389 I/II	14.61	TCTR	9 - 17 / 24 - 35	8 / 12	0.4203 / 0.2541
DYS438	14.94	TTTTC	6 - 16	7	0.2861
DYS390	17.27	TCTR	17 - 29	8	0.2242
DYS643	17.43	CTTTT	6 - 17	10	0.2373
DYS533	18.39	ATCT	7 - 17	7	0.3654
GATA-H4	18.74	TAGA	8 - 18	6	0.3957
DYS385 a/b	20.80, 20.84	GAAA	7 - 28	17 (69 combinations)	0.0700
DYS549	21.52	GATA	7 - 17	7	0.3095
DYS392	22.63	TAT	4 - 20	11	0.3752
DYS448	24.36	AGAGAT	14 - 24	16	0.2650

Some information from J.M. Butler (2012) *Advanced Topics in Forensic DNA Typing: Methodology*, Table 13.2

Probability of Identity

- The probability of identity (P_I), also referred to as the matching probability, is **the chance that two unrelated people selected at random will have the same genotype** (first described by George Sensabaugh in 1982). The P_I value of a single locus is determined by summing the square of the observed genotype frequencies.

$$\sum_{i=1}^{n} x_i^2 \quad \text{where } x_i \text{ is the genotype frequency}$$

- **Lower P_I values indicate more variability** with the genetic marker in the measured population because there are more genotypes occurring at a lower frequency.

- P_I values from <u>independently inherited loci can be multiplied together</u> to produce an expected profile P_I

Sensabaugh, G.F. (1982) Biochemical markers of individuality. In Saferstein, R. (ed.) *Forensic Science Handbook,* Prentice-Hall: New York, pp. 338-415.

Y-STR Locus Variability
(Probability of Identity values from NIST 1032)

Y-STR locus variability across 1032 males in our data set (loci are rank ordered by their probability of identity values). There are 17 different alleles seen in our data set for DYS385 in 69 combinations of the "a" and "b" amplicons. Results from the six new loci present in PowerPlex Y23 are highlighted.

Locus	Alleles Observed	P$_I$ (total)	P$_I$ (Cauc)	rank	P$_I$ (AfAm)	rank	P$_I$ (Hisp)	rank	P$_I$ (Asian)	rank
DYS385a/b	17 (69)	0.0700	0.1482	(1)	0.0608	(1)	0.0815	(1)	0.0549	(1)
DYS481	16	0.1670	0.2653	(6)	0.1401	(2)	0.2033	(4)	0.1765	(2)
DYS576	9	0.1922	0.2292	(2)	0.1917	(3)	0.2020	(3)	0.2392	(7)
DYS570	12	0.2161	0.2575	(4)	0.2085	(4)	0.2008	(2)	0.1895	(4)
DYS458	15	0.2166	0.2352	(3)	0.2410	(6)	0.2144	(5)	0.1825	(3)
DYS390	8	0.2242	0.2966	(7)	0.3233	(11)	0.3605	(16)	0.2480	(8)
DYS643	10	0.2373	0.3790	(12)	0.2130	(5)	0.3292	(13)	0.2639	(9)
DYS635	11	0.2421	0.3313	(9)	0.2778	(9)	0.2604	(6)	0.2769	(11)
DYS389II	12	0.2541	0.3157	(8)	0.2623	(7)	0.2711	(7)	0.2054	(5)
DYS448	16	0.2650	0.3904	(13)	0.2789	(10)	0.2982	(8)	0.2739	(10)
DYS438	7	0.2861	0.3942	(14)	0.4311	(18)	0.3046	(9)	0.4201	(16)
DYS19	10	0.2996	0.4860	(21)	0.2746	(8)	0.3308	(14)	0.2384	(6)
DYS549	7	0.3095	0.3656	(11)	0.3325	(12)	0.3354	(15)	0.3540	(14)
DYS456	8	0.3098	0.2629	(5)	0.3571	(14)	0.3072	(10)	0.4322	(20)
DYS439	11	0.3460	0.3627	(10)	0.3502	(13)	0.3124	(11)	0.4004	(15)
DYS533	7	0.3654	0.4119	(16)	0.3775	(15)	0.3857	(19)	0.4220	(18)
DYS392	11	0.3752	0.4024	(15)	0.5546	(21)	0.3170	(12)	0.3445	(13)
DYS437	8	0.3800	0.4289	(18)	0.4950	(20)	0.3778	(17)	0.6248	(21)
Y-GATA-H4	6	0.3957	0.4286	(17)	0.3842	(16)	0.3983	(20)	0.4203	(17)
DYS389I	8	0.4203	0.4546	(20)	0.4808	(19)	0.3805	(18)	0.3232	(12)
DYS391	7	0.4758	0.4430	(19)	0.5603	(22)	0.4411	(21)	0.6992	(22)
DYS393	6	0.5018	0.6020	(22)	0.4169	(17)	0.5582	(22)	0.4231	(19)

From forthcoming *Profiles in DNA* article

N = 1032 males	PowerPlex Y	Yfiler	PowerPlex Y23
# haplotypes	**891**	**1013**	**1029**
discrimination capacity	0.863	0.982	0.997
# times haplotype observed	PPY (12 loci)	Yfiler (17 loci)	PPY23 (23 loci)
1	821	998	1026
2	41	12	3
3	16	2	.
4	6	1	.
5	2	.	.
6	2	.	.
7	1	.	.
8	.	.	.
9	1	.	.
10	.	.	.
11	.	.	.
12	.	.	.
13	.	.	.
14	.	.	.
15	.	.	.
16	.	.	.
17	.	.	.
18	.	.	.
19	1	.	.

Number of unique and shared haplotypes observed with various combinations of Y-STR loci across 1032 U.S. population samples

1026 PPY23 haplotypes occur once; and
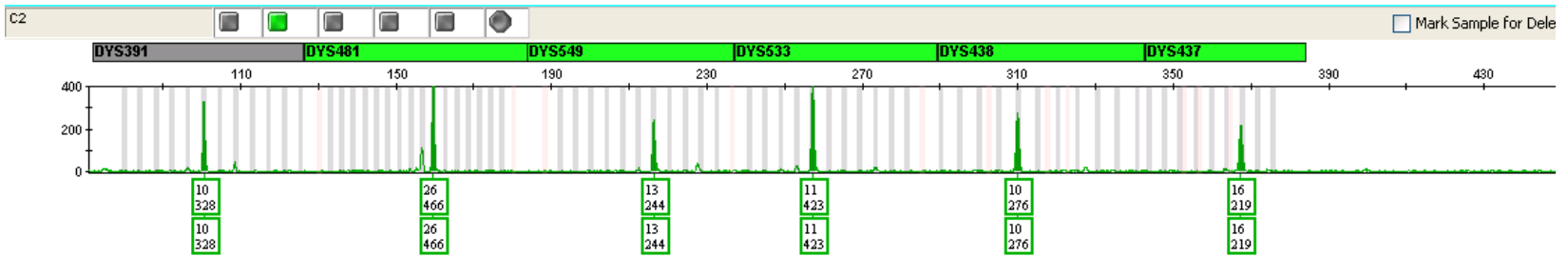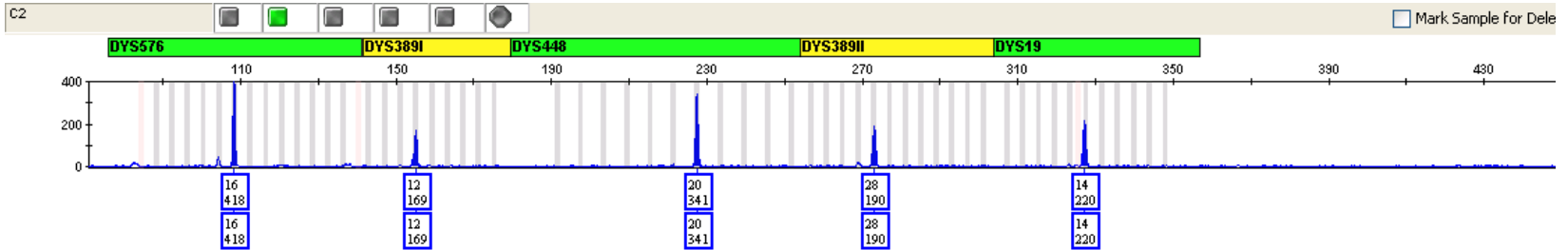3 sets of sample pairs cannot be resolved from one another

From forthcoming *Profiles in DNA* article

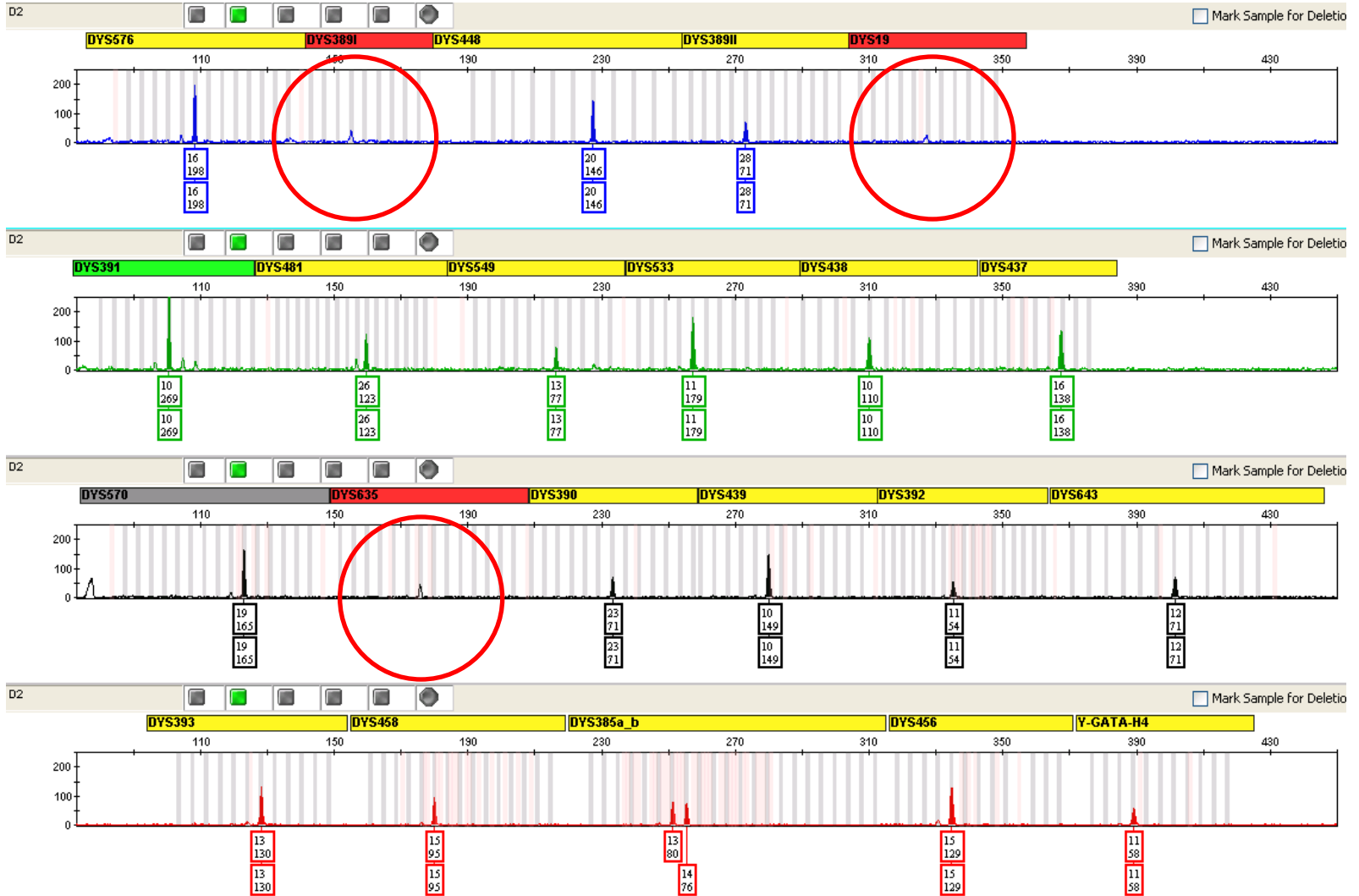# PowerPlex Y23 Y-STR Kit Performance

PowerPlex Y23 Allelic Ladders
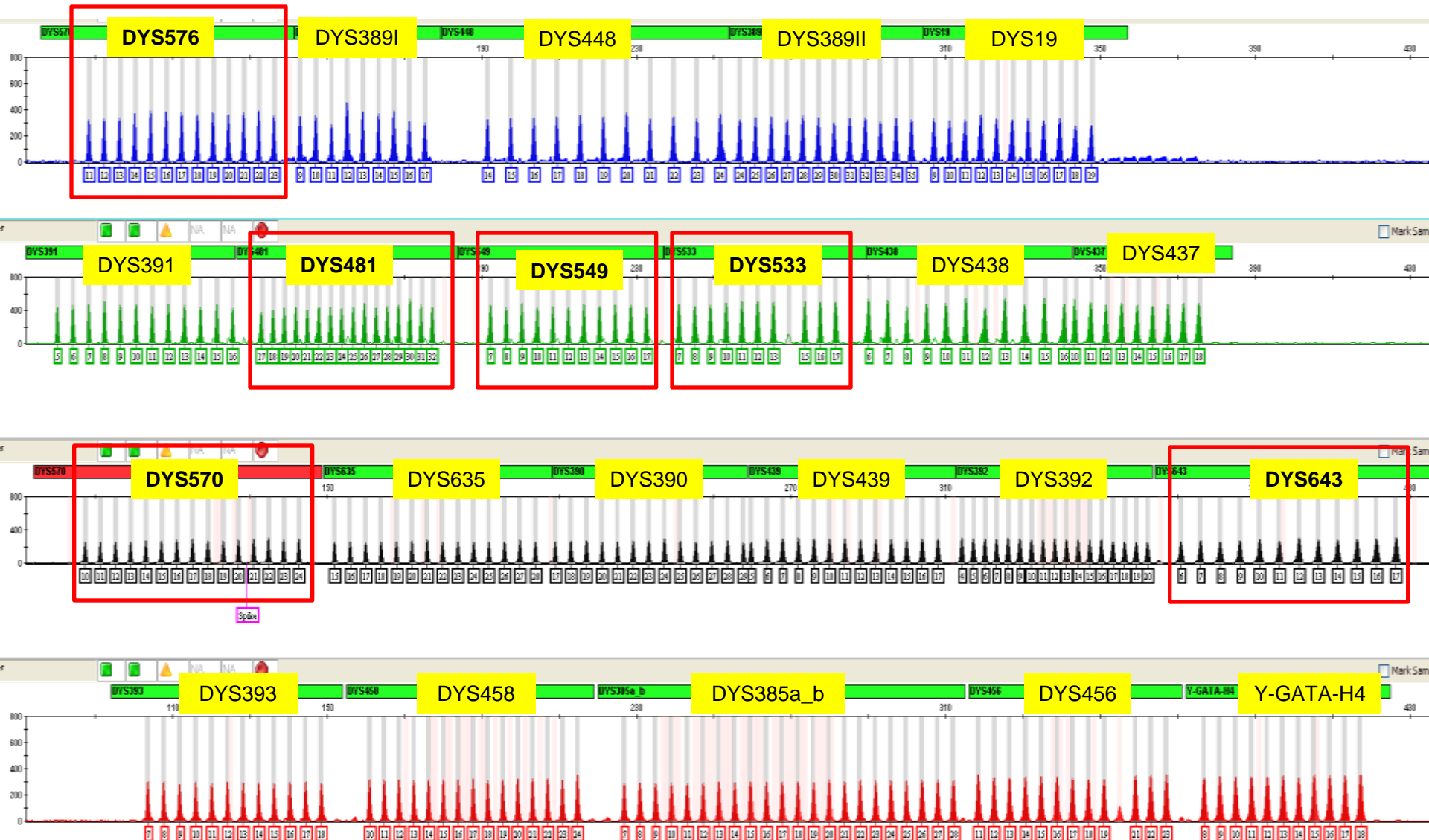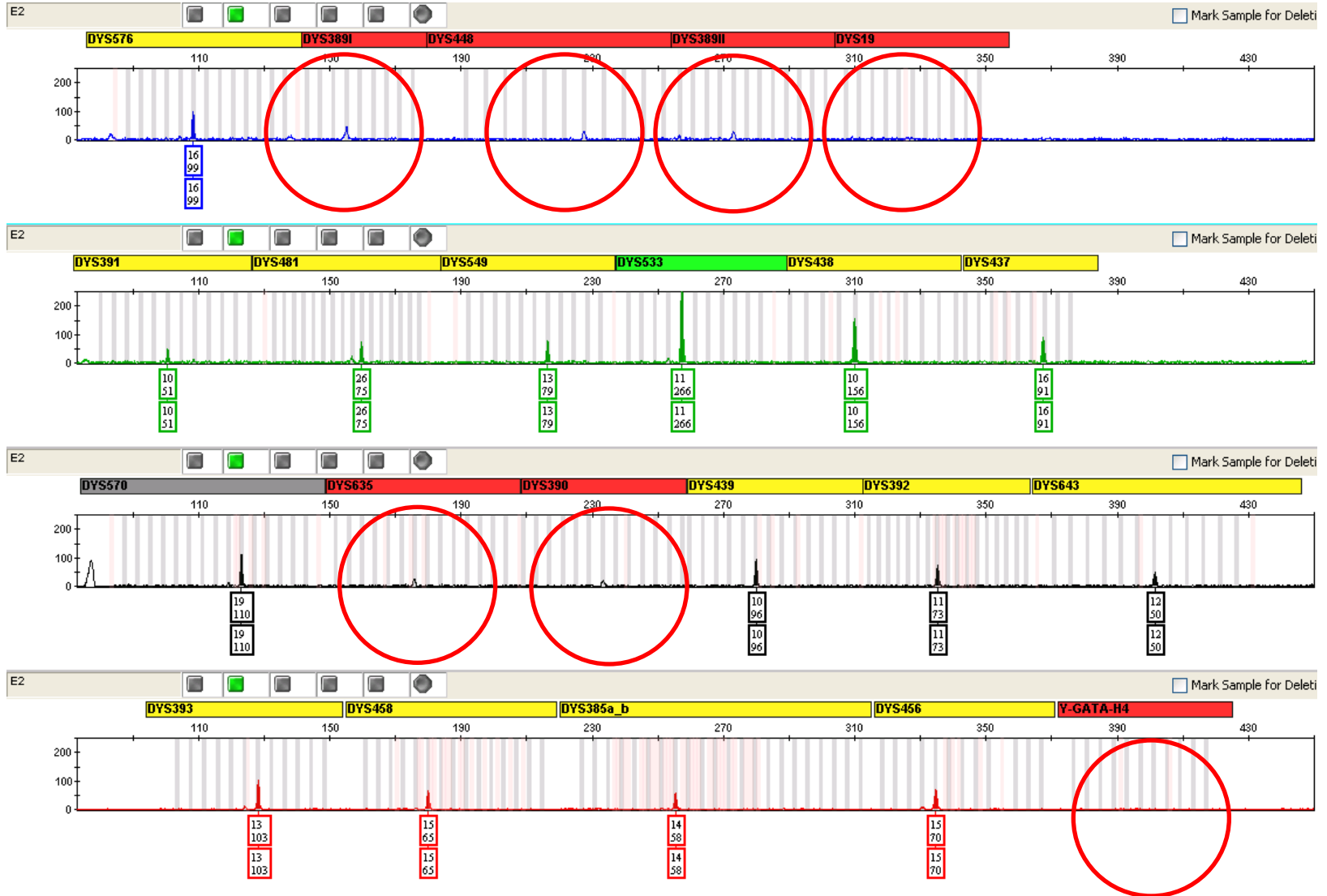
Kit Sensitivity

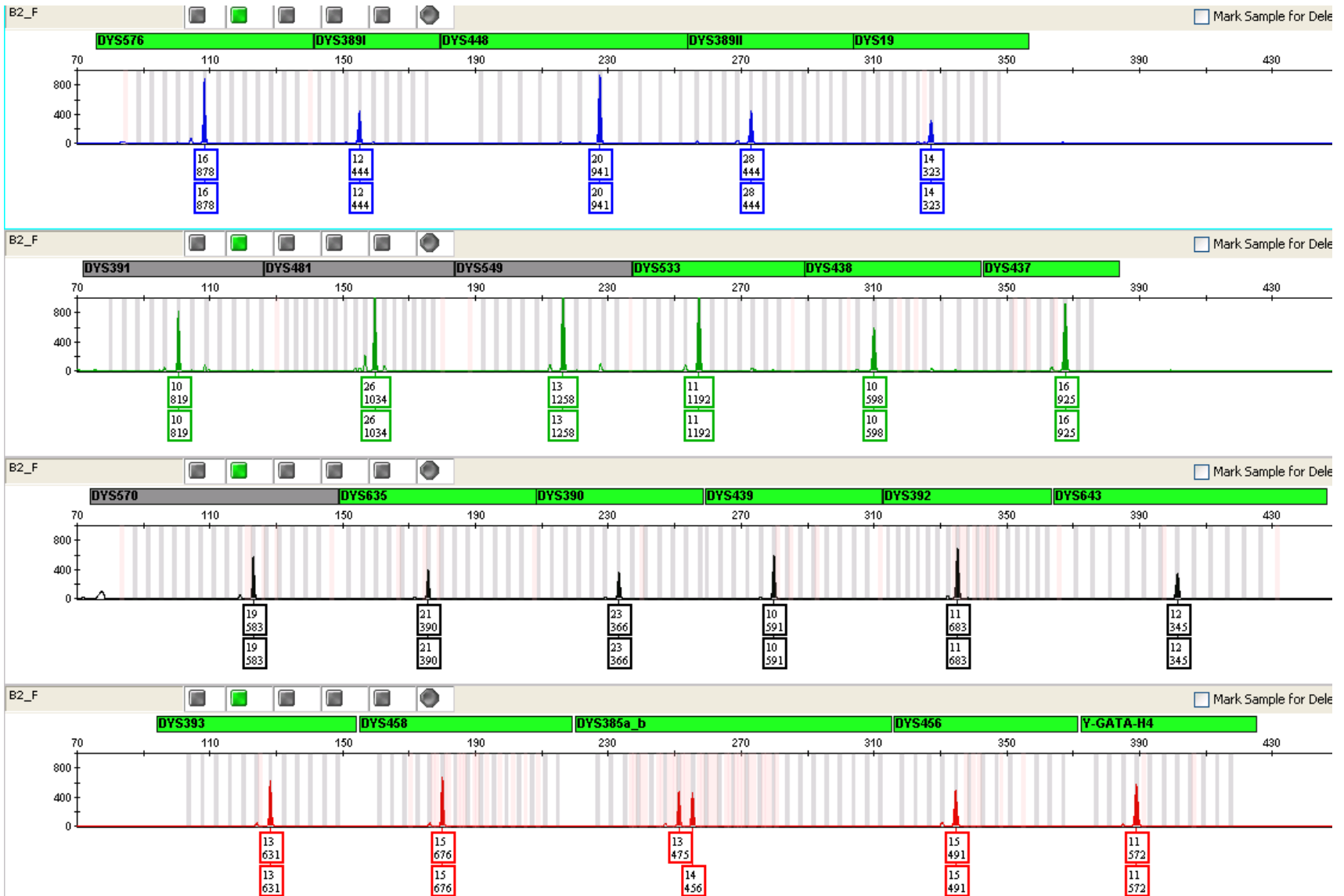# Sensitivity Study – Sample C (125pg)
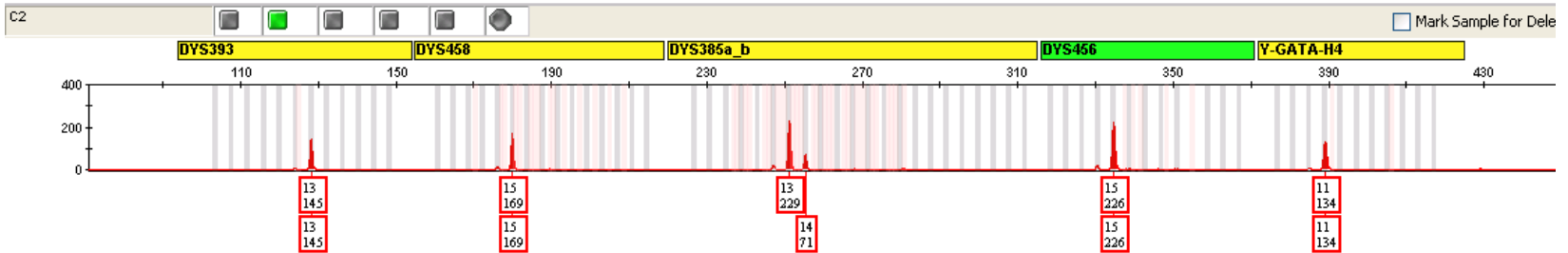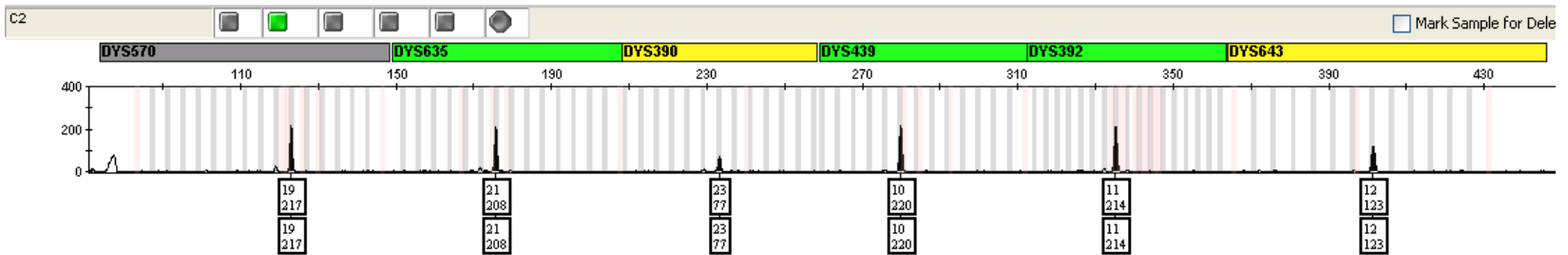
Sensitivity Study – Sample D (62.5pg)
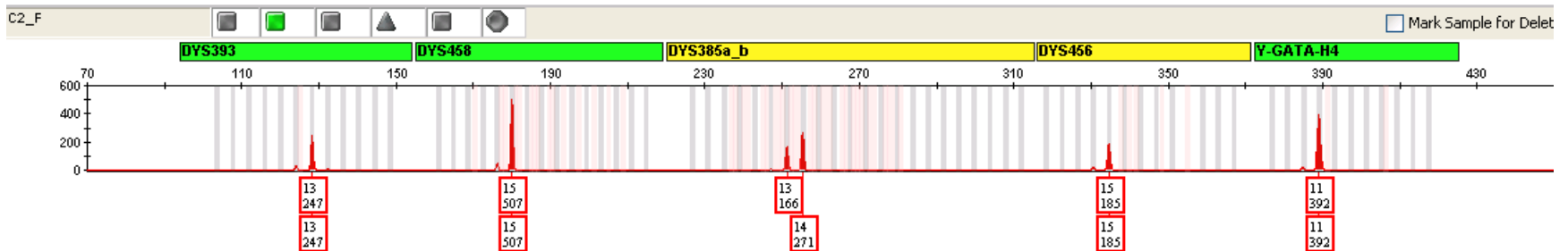
Sensitivity Study – Sample E (31.2pg)

Mixtures

250pg male + 400ng female
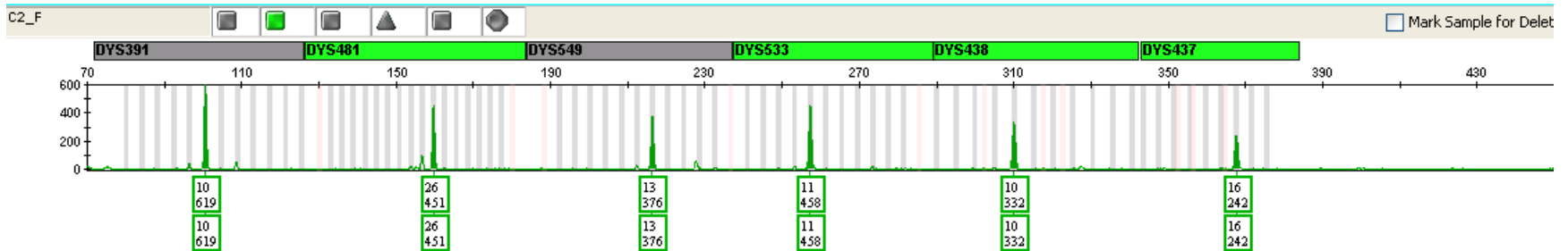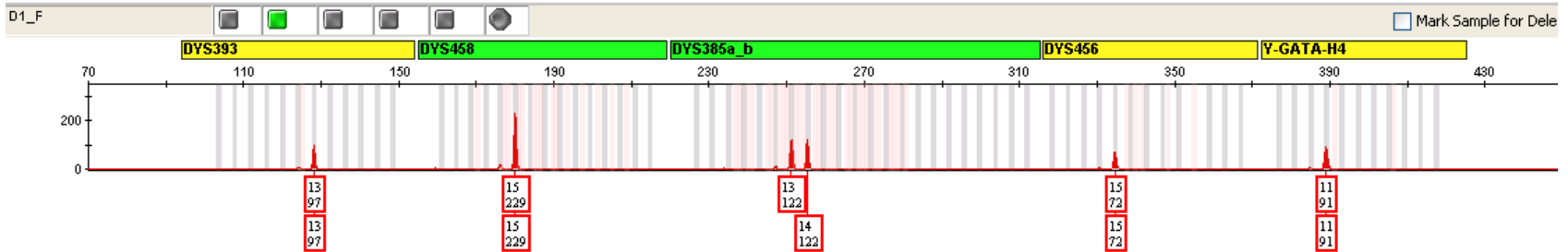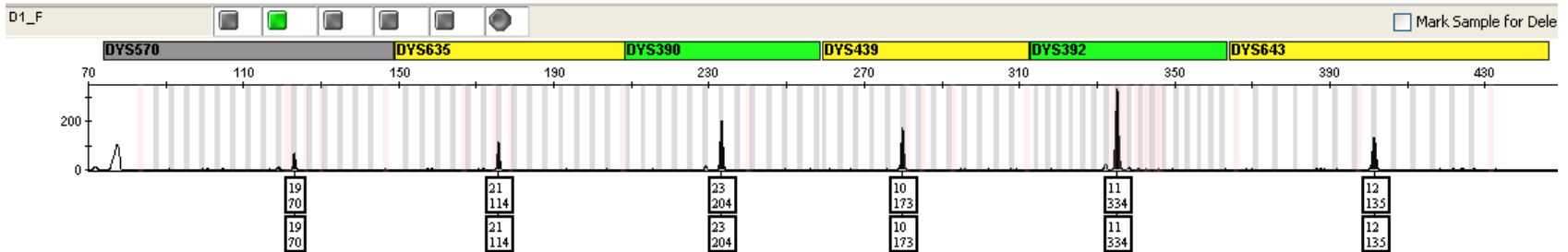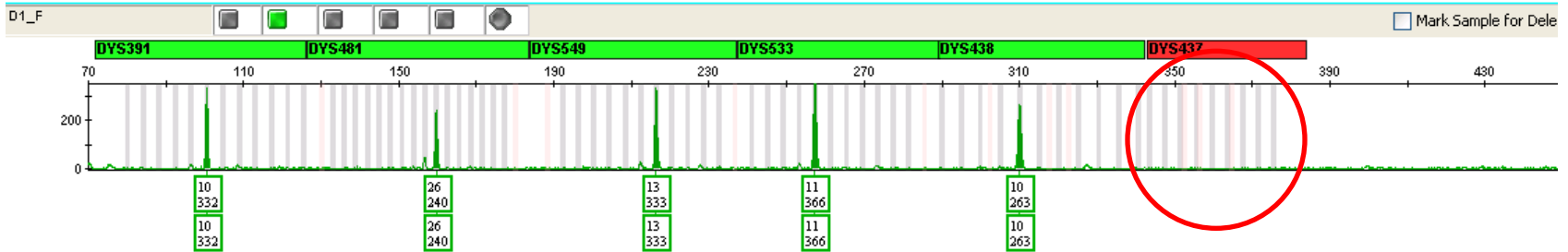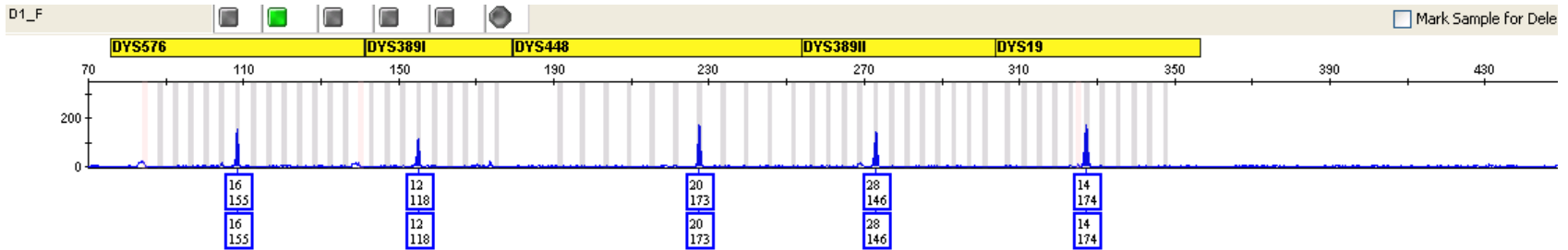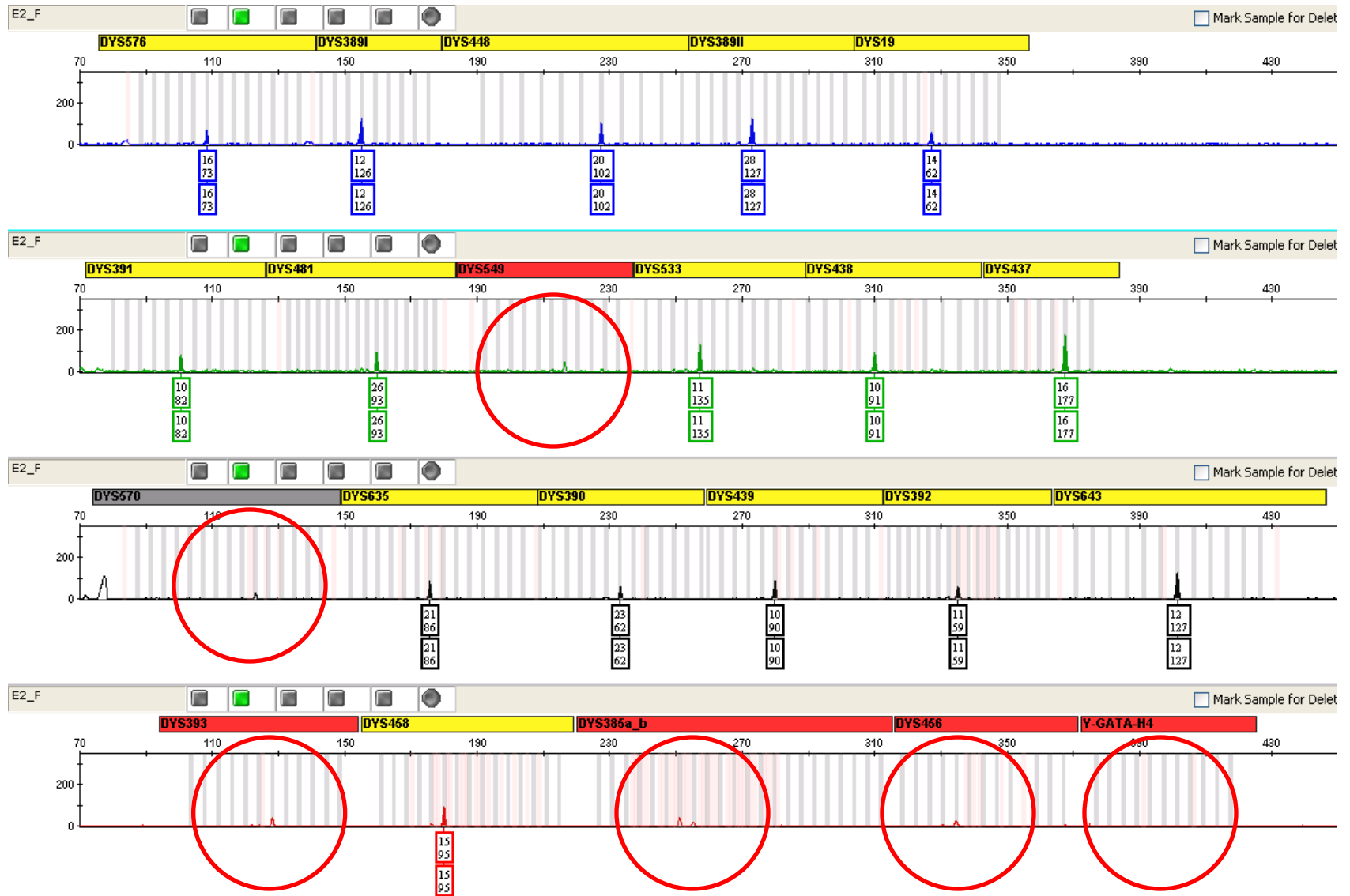
125pg male + 400ng female
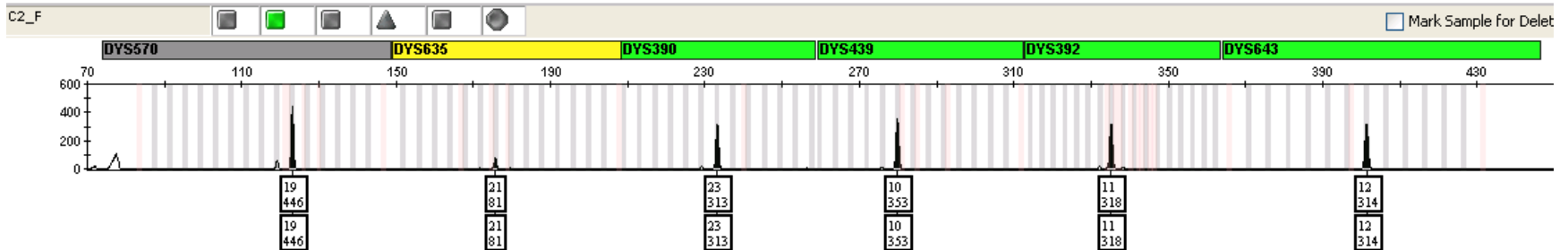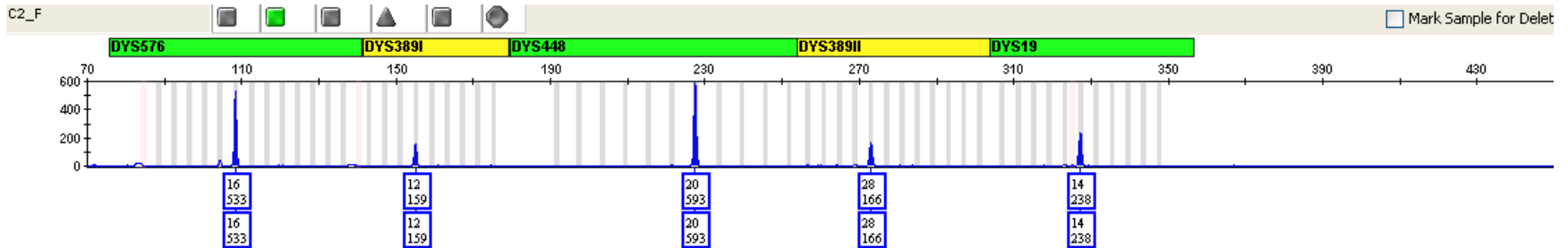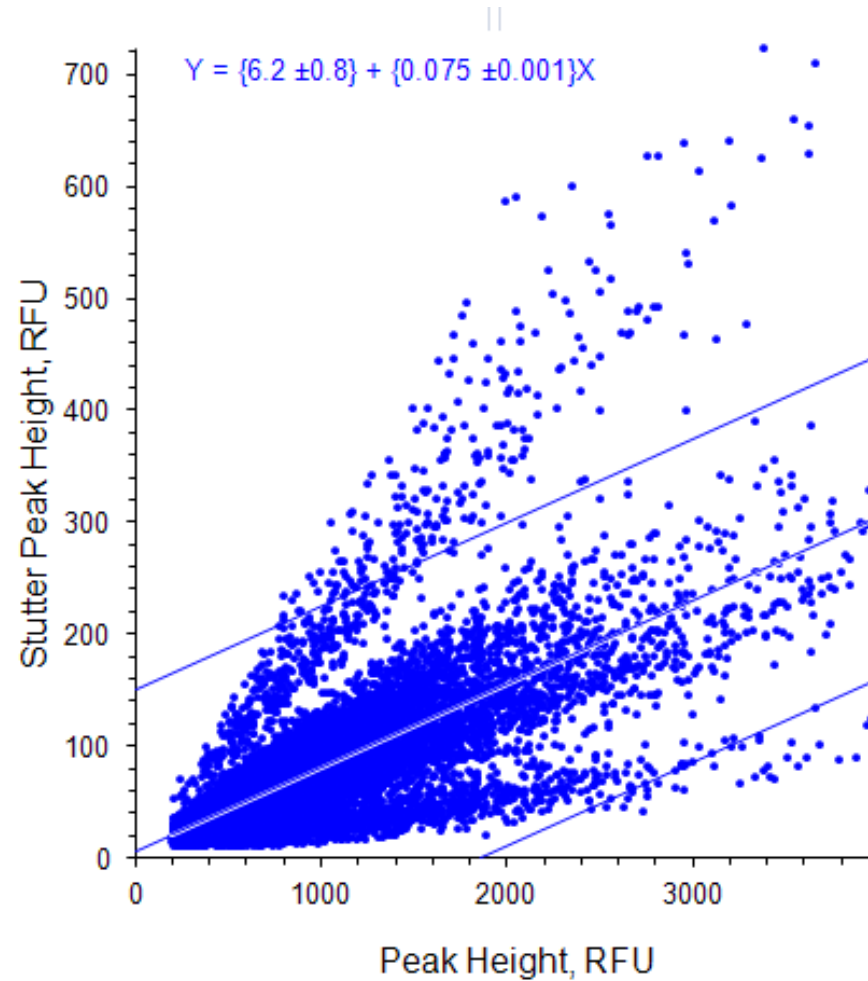
62.5pg male + 400ng female

31.2pg male + 400ng female

16/23 loci amplified

Stutter Analysis

Stutter Observed
(Big Picture View)

Locus-Specific Stutter Values

Locus	#Ratio	Mean	SD	Median	MADe	Percentage Stutter											
						Min	1%	2.5%	5%	10%	25%	75%	90%	95%	97.5%	99%	Max
DYS643	414	2.8	1.0	2.7	0.9	1.3	1.4	1.5	1.6	1.7	2.1	3.3	3.9	4.2	4.8	5.3	11.7
DYS448	559	3.0	1.0	2.8	0.6	1.5	1.8	1.9	2.0	2.1	2.4	3.2	4.0	4.9	5.9	7.1	8.3
DYS438	524	3.2	0.7	3.1	0.6	1.1	1.6	1.9	2.1	2.3	2.8	3.6	4.0	4.4	4.8	5.4	7.3
DYS437	444	5.0	1.2	4.9	1.0	2.5	3.1	3.4	3.6	3.8	4.3	5.6	6.4	7.0	7.6	9.3	12.3
DYS389I	528	5.9	1.7	5.7	1.2	2.0	3.0	3.4	3.8	4.4	4.9	6.5	7.3	8.4	9.9	12.1	19.3
DYS635	585	7.0	1.8	6.7	1.8	3.2	4.0	4.5	4.8	5.0	5.5	7.9	9.3	10.3	11.5	12.2	13.2
DYS439	591	6.7	1.1	6.7	1.1	4.0	4.4	4.8	5.2	5.4	5.9	7.3	8.0	8.5	9.0	9.8	10.2
DYS19	578	7.1	1.6	6.8	1.2	4.2	4.6	4.8	5.2	5.5	6.0	7.7	8.8	10.8	11.5	12.6	14.0
DYS533	581	7.0	1.1	6.9	1.0	2.5	4.2	4.7	5.4	5.7	6.3	7.6	8.2	8.7	9.4	10.0	11.3
DYS391	582	7.3	1.3	7.1	1.0	3.6	5.0	5.4	5.8	6.1	6.5	7.8	8.5	9.0	9.8	11.7	17.5
Y-GATA-H4	572	7.3	1.1	7.3	1.1	3.9	5.0	5.2	5.5	6.0	6.6	8.0	8.8	9.2	9.7	10.1	11.5
DYS549	588	7.4	1.2	7.4	1.2	3.0	4.8	5.3	5.6	5.8	6.6	8.2	8.9	9.4	10.0	10.3	11.1
DYS390	596	7.9	1.5	8.1	1.6	4.6	5.1	5.3	5.5	5.8	6.7	9.0	9.8	10.2	10.5	10.9	14.2
DYS385a_b	806	8.8	2.3	8.6	2.5	4.2	5.2	5.5	5.8	6.0	6.8	10.2	11.9	13.3	14.1	14.9	23.1
DYS392	592	9.8	2.3	9.3	2.7	2.4	6.4	6.8	7.0	7.2	7.8	11.6	12.9	13.5	14.0	14.9	17.9
DYS458	586	9.4	1.3	9.4	1.3	5.5	6.4	6.9	7.3	7.8	8.6	10.2	11.1	11.6	12.0	12.9	14.0
DYS393	594	9.9	1.3	9.7	0.9	7.6	7.9	8.1	8.5	8.8	9.2	10.4	11.3	12.0	12.7	13.2	23.3
DYS570	595	10.7	1.3	10.5	1.2	6.8	7.8	8.3	8.8	9.2	9.9	11.5	12.3	13.0	13.5	14.0	15.5
DYS576	600	10.7	1.5	10.7	1.3	5.9	7.3	7.9	8.3	8.9	9.8	11.6	12.5	13.2	13.9	14.5	17.5
DYS456	576	10.9	1.4	10.8	1.2	6.6	8.0	8.3	8.8	9.3	10.1	11.7	12.7	13.4	14.0	14.2	16.7
DYS389II	561	11.6	1.4	11.3	1.4	7.3	9.1	9.3	9.5	9.9	10.6	12.4	13.4	14.1	14.9	15.2	16.4
DYS481	586	20.3	2.9	19.7	2.7	14.0	15.5	16.1	16.5	17.3	18.1	22.2	24.2	26.1	26.9	27.5	29.4

DYS481 – Highest Stutter Examined
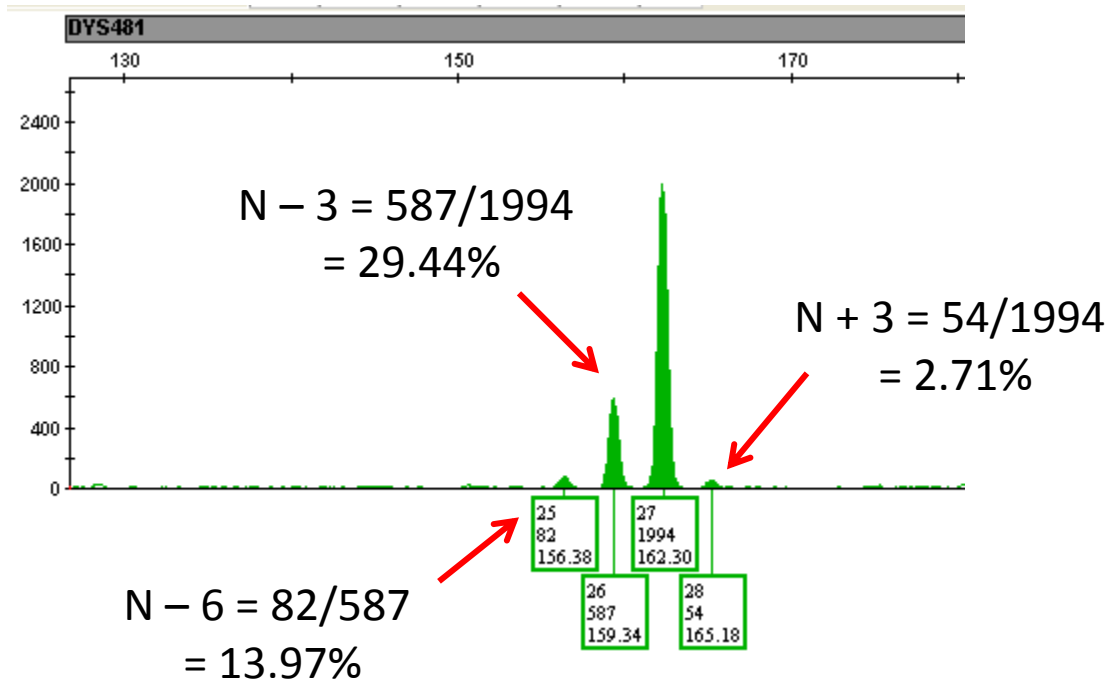
Alleles above 50RFU

DYS481 (light blue)
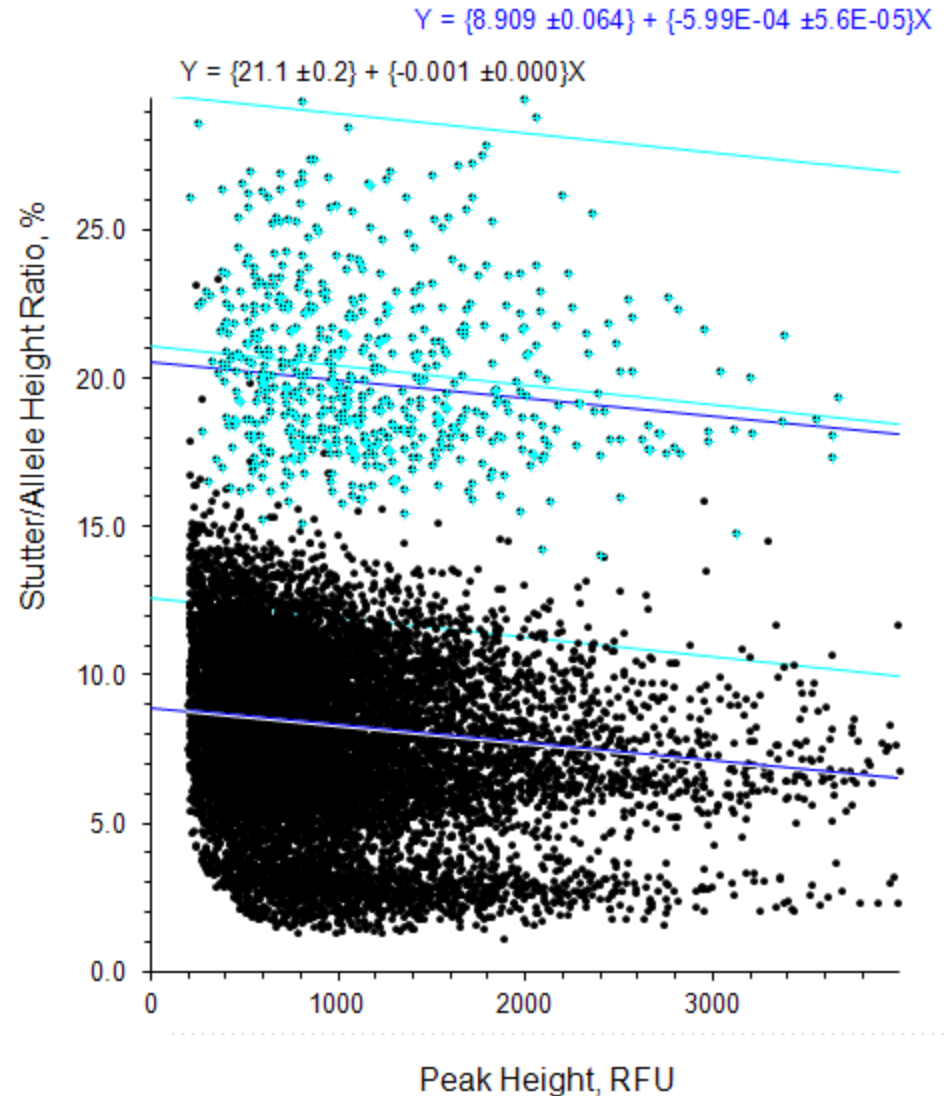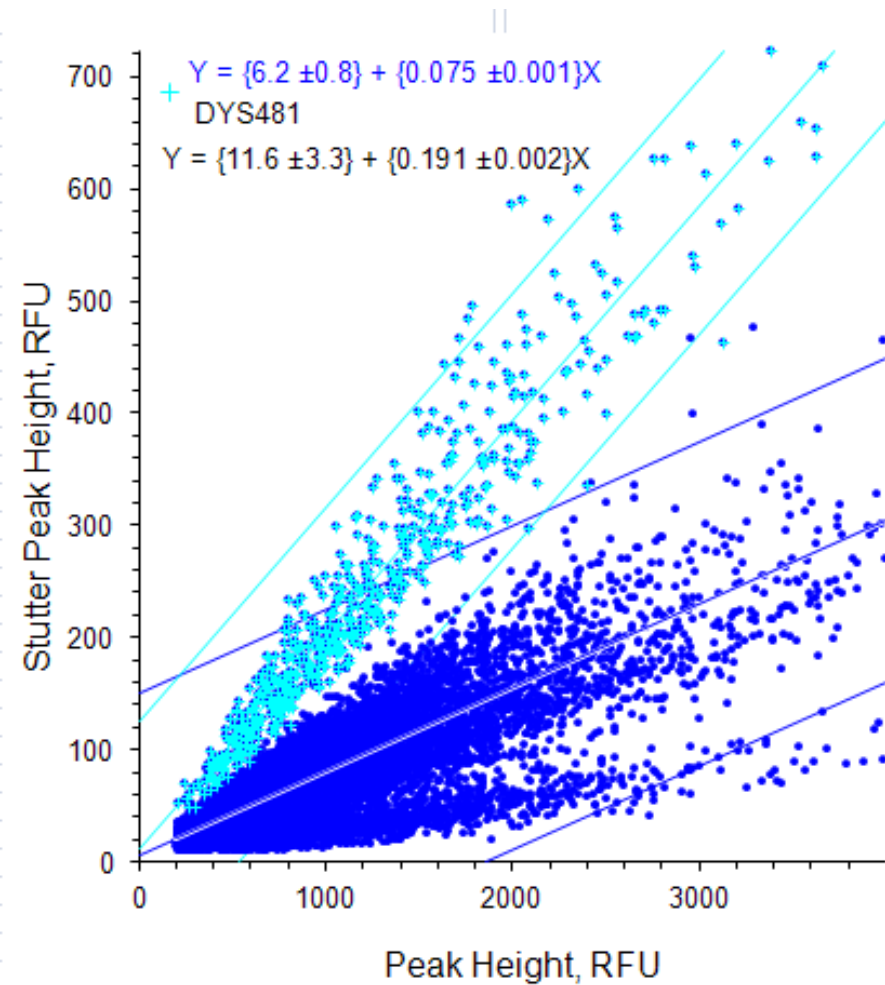
DYS481 Allele-Specific Stutter

Locus	Allele	Size	Stutter		
			#	Median	MADe
DYS481	21	141.8	35	16.7	0.8
	22	144.8	204	18.0	0.8
	23	147.8	81	19.5	0.8
	24	150.7	52	20.4	0.7
	25	153.7	89	21.6	1.1
	26	156.7	52	22.5	0.9
	27	159.6	28	25.4	2.3
	28	162.6	29	25.3	1.1
	29	165.5	11	26.6	0.4
		Avg	581	21.8	1.1
		SD		3.5	

DYS392 and DYS481 Allele-Specific Stutter (Trinucleotide repeat markers)

Locus	Allele	Size	Stutter #	Median	MADe
DYS392	11	332.1	284	7.8	0.7
	12	335.2	31	9.0	0.8
	13	338.2	233	11.5	1.1
	14	341.2	31	13.0	0.5
		Avg	579	10.3	0.8
		SD		2.3	

Locus	Allele	Size	Stutter #	Median	MADe
DYS481	21	141.8	35	16.7	0.8
	22	144.8	204	18.0	0.8
	23	147.8	81	19.5	0.8
	24	150.7	52	20.4	0.7
	25	153.7	89	21.6	1.1
	26	156.7	52	22.5	0.9
	27	159.6	28	25.4	2.3
	28	162.6	29	25.3	1.1
	29	165.5	11	26.6	0.4
		Avg	581	21.8	1.1
		SD		3.5	

Stutter Trends (Size Scale)

Stutter Trends (Repeat Scale)

Stutter Density Plot

Median stutter = 7.85%
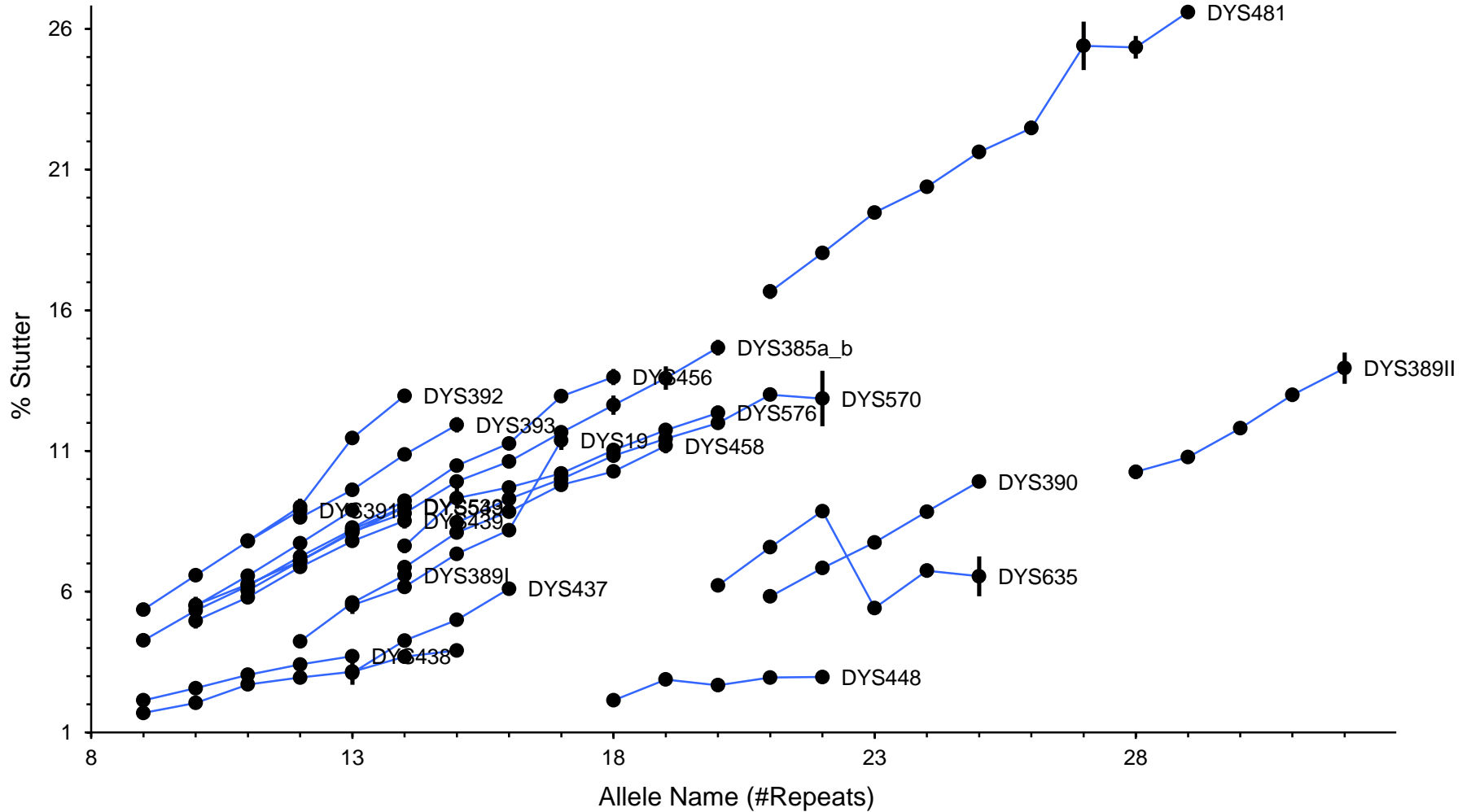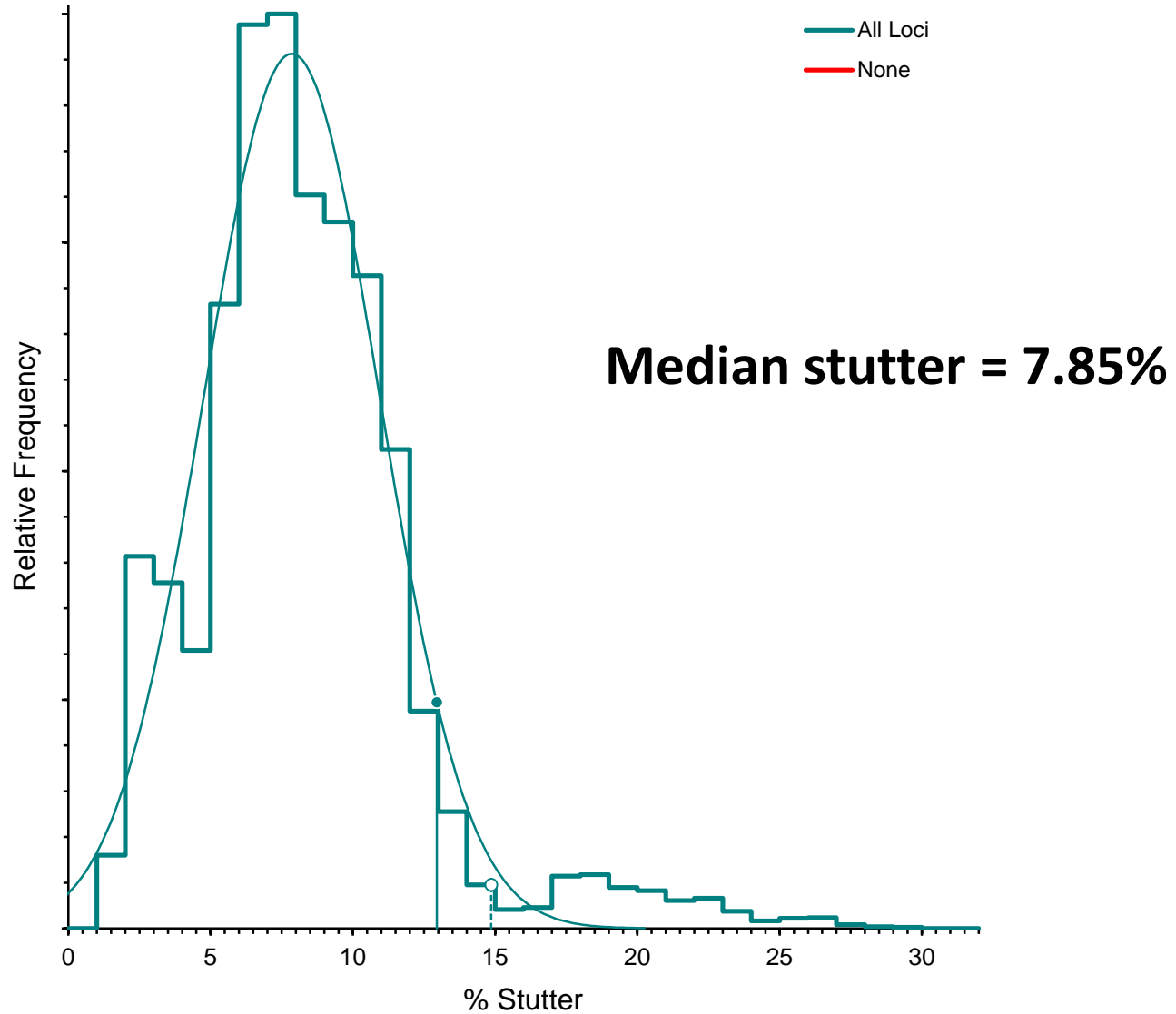
Forward Stutter Analysis

DYS481 (new) and DYS392 are trinucleotide repeat markers

Locus-Specific F-Stutter Values

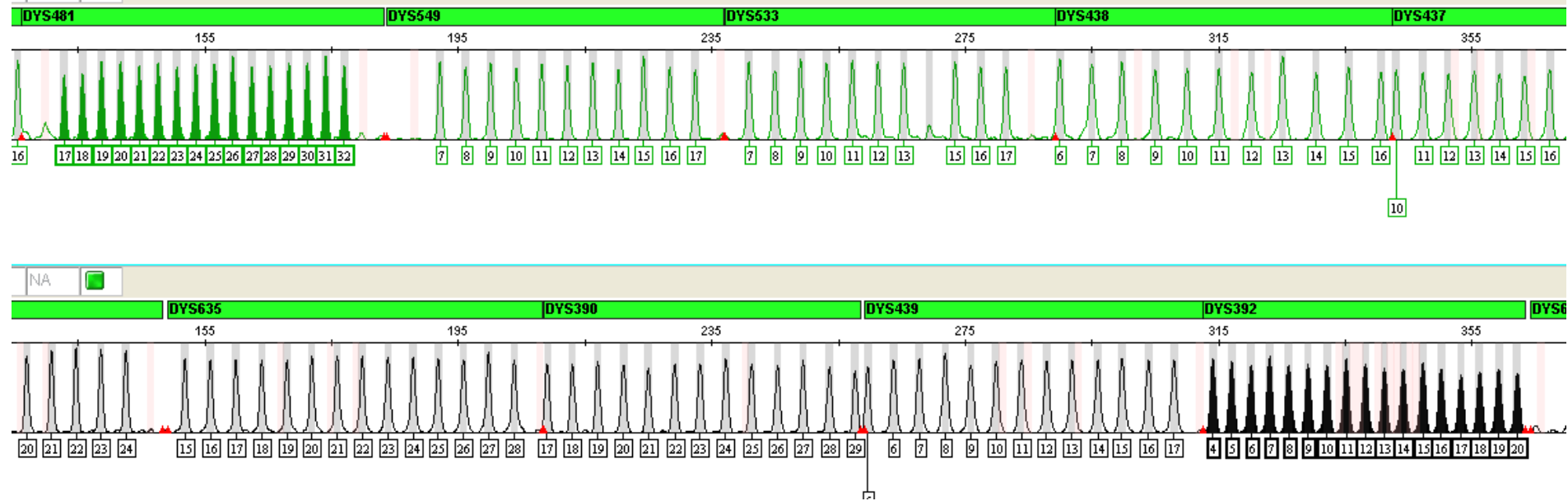| Locus	#Ratio	Mean	SD	Median	MADe	Percentage Stutter											
						Min	1%	2.5%	5%	10%	25%	75%	90%	95%	97.5%	99%	Max
DYS458	114	1.3	0.9	1.0	0.6	0.3	0.3	0.3	0.4	0.4	0.6	1.7	2.4	3.1	4.0	4.2	4.4
DYS390	30	1.4	1.1	1.0	0.4	0.4	0.4	0.4	0.5	0.7	0.8	1.6	2.3	3.2	4.4	5.3	5.9
DYS448	55	1.4	1.1	1.1	0.4	0.3	0.4	0.5	0.5	0.6	0.9	1.5	2.5	3.4	5.2	5.9	6.0
DYS437	80	1.4	0.7	1.1	0.5	0.4	0.4	0.5	0.6	0.7	0.9	1.7	2.2	2.6	2.9	3.6	4.2
DYS438	112	1.3	0.7	1.1	0.5	0.3	0.4	0.4	0.5	0.7	0.9	1.6	2.2	2.6	2.9	3.2	4.3
DYS439	207	1.4	1.7	1.2	0.6	0.5	0.6	0.6	0.6	0.7	0.9	1.6	2.1	2.4	2.8	3.4	24.8
DYS533	327	1.4	0.7	1.2	0.4	0.4	0.6	0.7	0.7	0.8	1.0	1.6	2.2	2.6	3.2	3.9	7.4
DYS385a_b	57	1.8	1.6	1.3	0.7	0.5	0.5	0.6	0.6	0.7	0.9	1.8	2.9	5.4	5.9	7.7	10.1
DYS570	265	1.5	0.6	1.3	0.4	0.6	0.7	0.8	0.8	0.9	1.1	1.7	2.3	2.7	3.1	3.7	5.4
Y-GATA-H4	79	1.5	0.7	1.4	0.7	0.4	0.4	0.6	0.6	0.7	0.9	2.0	2.4	2.7	3.0	3.4	3.7
DYS549	342	1.5	0.6	1.4	0.4	0.6	0.8	0.8	0.9	1.0	1.1	1.8	2.2	2.6	2.8	3.9	6.6
DYS576	267	1.7	0.8	1.4	0.6	0.5	0.6	0.6	0.7	0.9	1.2	2.0	2.6	3.1	3.7	4.8	5.2
DYS643	29	1.9	1.2	1.5	0.7	0.5	0.6	0.7	0.7	0.9	1.2	2.1	3.3	4.9	5.4	5.4	5.4
DYS635	89	1.9	1.1	1.6	0.9	0.4	0.5	0.6	0.6	0.8	1.1	2.3	3.2	4.3	4.5	5.0	5.4
DYS393	427	1.9	0.6	1.8	0.5	0.8	1.1	1.2	1.2	1.3	1.5	2.1	2.6	2.9	3.4	3.9	4.8
DYS389II	177	2.5	1.3	2.1	0.9	0.7	0.8	0.9	1.1	1.3	1.6	3.1	3.8	5.0	5.6	7.5	8.4
DYS456	385	2.4	0.8	2.3	0.7	0.7	0.9	1.1	1.3	1.5	1.9	2.8	3.5	3.8	4.4	4.8	6.2
DYS389I	67	3.4	3.2	2.4	1.8	0.4	0.5	0.6	0.7	0.8	1.2	3.5	7.9	11.1	12.3	13.5	14.9
DYS19	167	2.7	1.4	2.5	1.4	0.8	0.8	0.9	0.9	1.1	1.7	3.5	4.9	5.3	5.8	6.6	7.3
DYS481	492	3.5	2.2	2.8	0.9	1.1	1.6	1.8	1.9	2.0	2.3	3.5	6.6	9.1	10.4	11.8	13.1
DYS392	571	5.0	1.3	4.9	1.4	1.4	2.8	3.1	3.3	3.5	4.0	5.7	6.5	7.1	7.6	8.4	14.5
DYS391	139	6.9	5.4	8.3	7.4	0.3	0.3	0.4	0.5	0.7	1.2	11.0	13.0	15.2	17.6	19.5	21.1

F-stutter Trends (Size Scale)
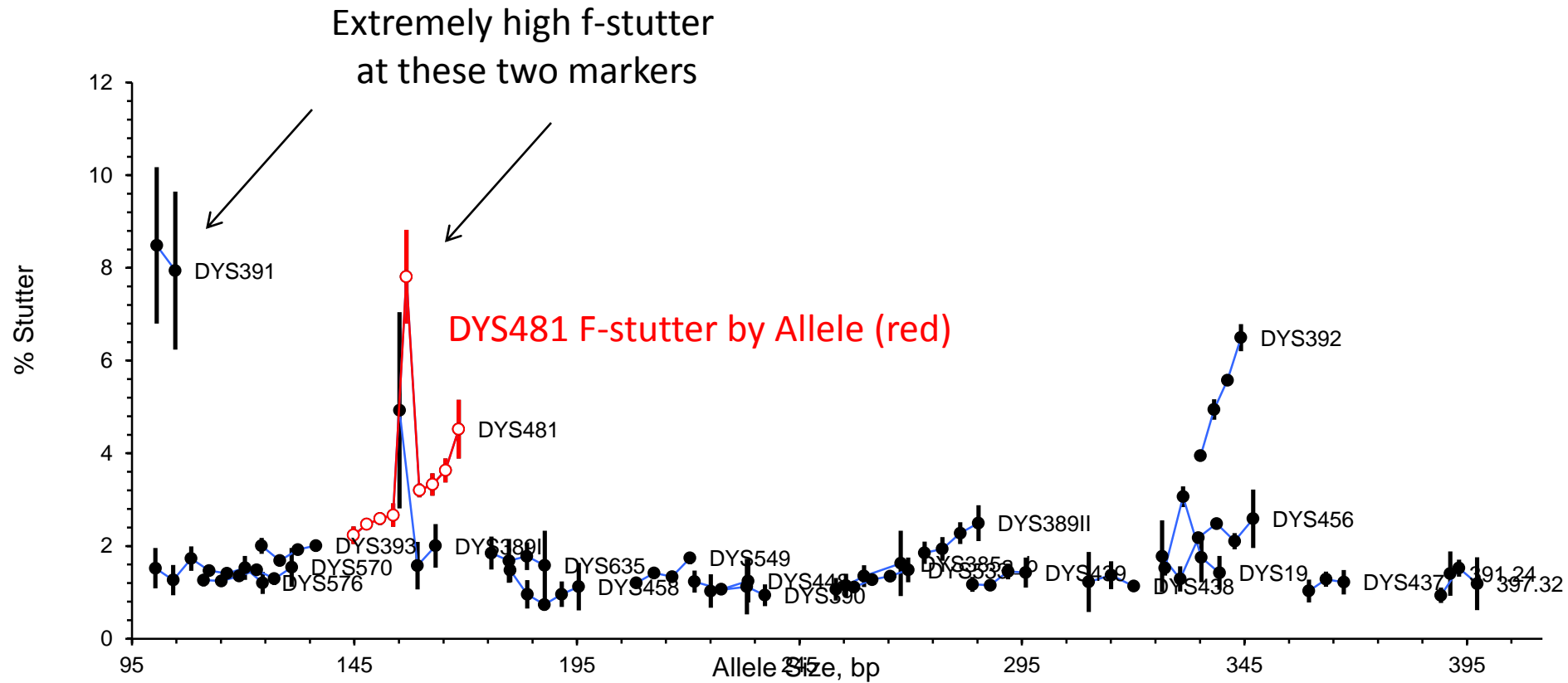
High f-stutter at DYS481

DYS481							
Sample	All S	All P	Sze S	Sze P	Hgt S	Hgt P	PHR
JT51484	26	25	159.40	156.57	216	1647	13.115
ZT79338	26	25	159.54	156.64	113	897	12.598
GT37019	26	25	159.33	156.47	26	207	12.560
GT37190	26	25	159.31	156.54	404	3375	11.970
PT84212	26	25	159.23	156.64	47	397	11.839
GT37170	26	25	159.37	156.60	510	4340	11.751
ZT79620	26	25	159.41	156.66	124	1126	11.012
JT51481	26	25	159.46	156.66	279	2541	10.980
GT37020	26	25	159.38	156.51	88	807	10.905
OT05896	26	25	159.50	156.76	51	471	10.828
PT83873	26	25	159.34	156.63	228	2155	10.580
OT05598	26	25	159.30	156.58	54	516	10.465
ZT79339	26	25	159.46	156.60	64	613	10.440
MT97122	26	25	159.36	156.59	56	547	10.238
GT36886	26	25	159.47	156.64	131	1310	10.000
PT83872	26	25	159.43	156.68	230	2320	9.914
MT97139	26	25	159.47	156.61	164	1671	9.814
PT83868	26	25	159.31	156.62	39	400	9.750
ZT79303	26	25	159.39	156.61	149	1545	9.644
MT94883	26	25	159.38	156.72	61	642	9.502
GT37169	26	25	159.36	156.59	131	1387	9.445

Top 21 samples with high f-stutter at DYS481

All of these samples have a 13 allele at DYS389-I

Bleed-through artifacts

13 allele – 159.20 bp

13	
584	
159.18	

26 allele – 159.46 bp

Spike

24	
343	
153.57	

25	
1584	
156.60	

26	
105	
159.37	
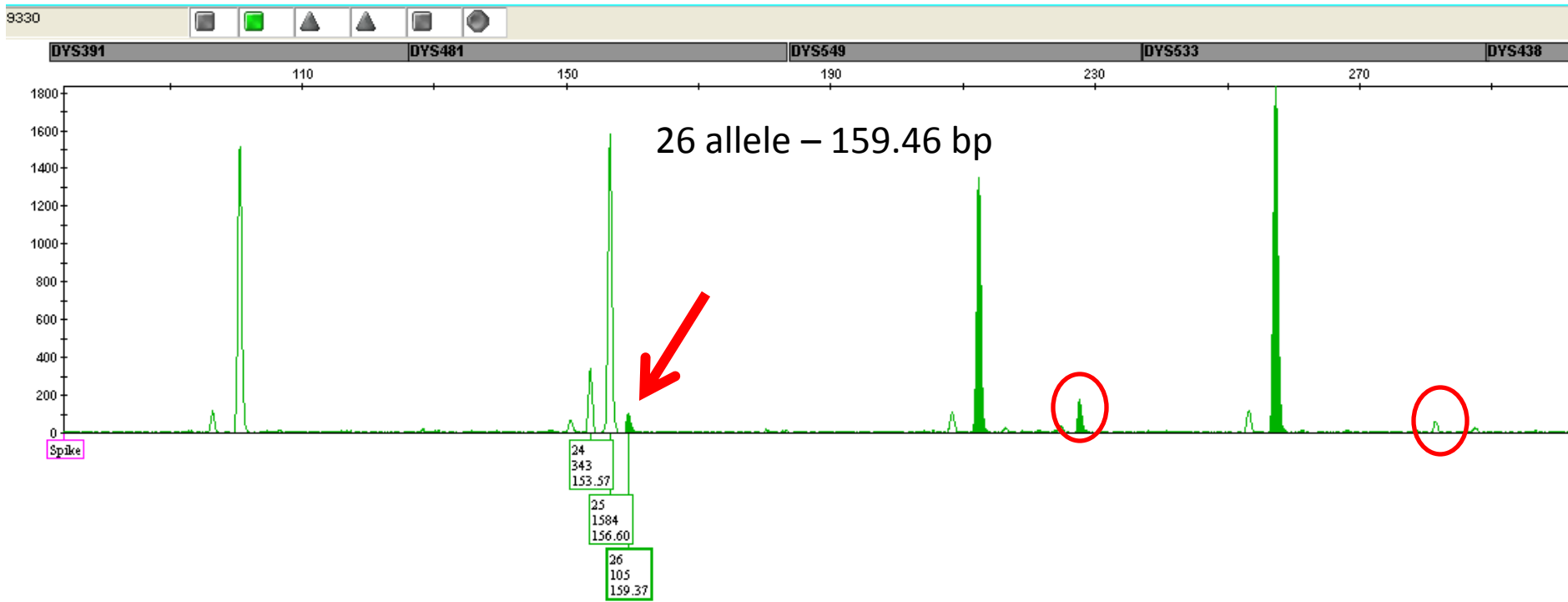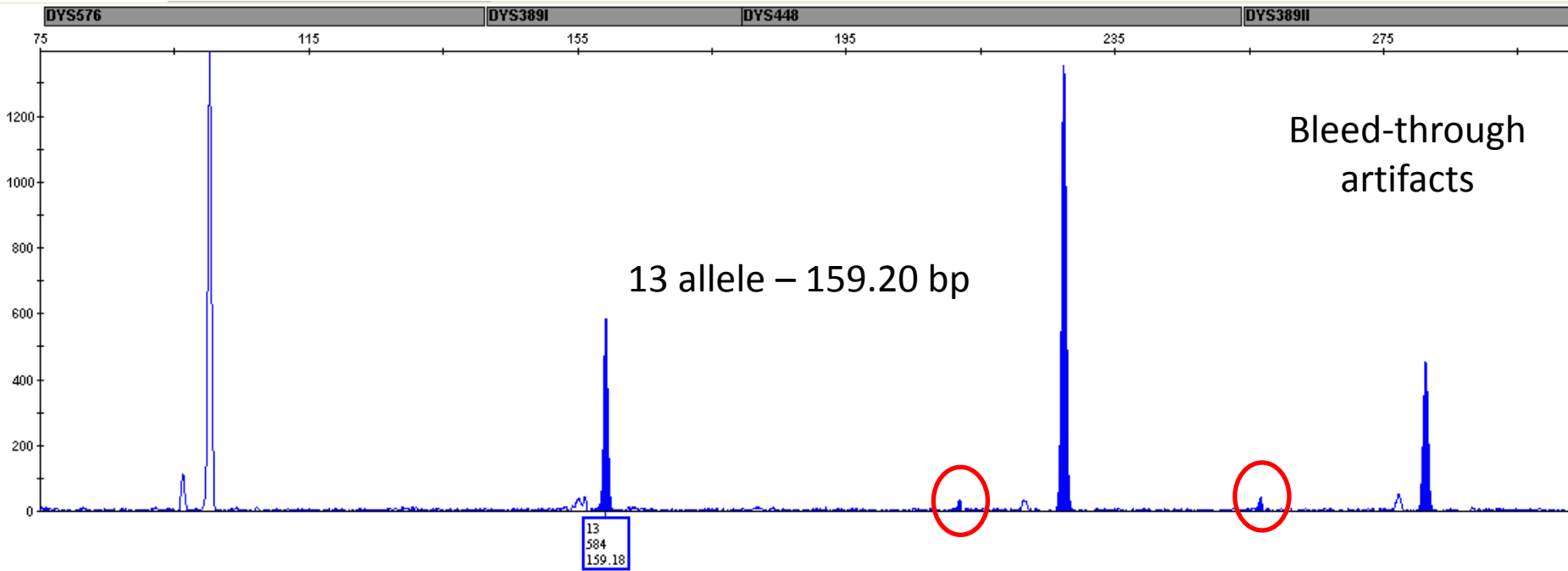
An example of "true f-stutter"
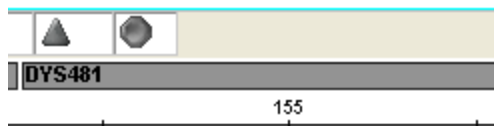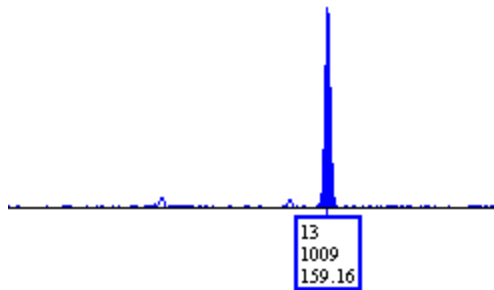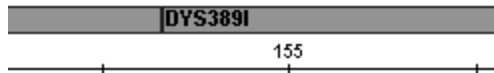
The bleed through at the 13 allele from DYS389-I does not affect the f-stutter at the 20 allele of DYS481. Note here that the f-stutter is 42/1972 = 2.1%.

High f-stutter at DYS391

DYS391							
Sample	All S	All P	Sze S	Sze P	Hgt S	Hgt P	PHR
PT83907	11	10	104.52	100.63	175	830	21.084
GT38097	11	10	104.31	100.60	69	352	19.602
ZT79322	11	10	104.34	100.57	213	1099	19.381
MT95105	OL	11	108.37	104.75	118	657	17.960
PT84200	11	10	104.39	100.63	95	551	17.241
UT58298	11	10	104.31	100.51	205	1218	16.831
MT95095	11	10	104.43	100.68	47	299	15.719
WT51556	11	10	104.54	100.62	55	362	15.193
GT37032	11	10	104.34	100.58	52	351	14.815
GT38072	OL	11	108.47	104.76	96	670	14.328
PT83897	11	10	104.39	100.62	182	1307	13.925
WT52485	11	10	104.50	100.58	235	1755	13.390
ZT81372	12	11	108.50	104.75	120	916	13.100
ZT79976	11	10	104.39	100.55	325	2487	13.068
PT84226	11	10	104.41	100.48	169	1304	12.960
PT83886	11	10	104.40	100.58	131	1021	12.831
PT83916	11	10	104.38	100.57	131	1021	12.831
OT05594	OL	11	108.33	104.72	103	808	12.748
TT51435	11	10	104.48	100.55	89	718	12.396
JT51471	11	10	104.36	100.49	100	811	12.330
UT58318	12	11	108.41	104.77	259	2110	12.275
OT05592	11	10	104.32	100.53	90	741	12.146
MT97199	12	11	108.38	104.77	624	5322	11.725
JT51478	11	10	104.40	100.60	124	1058	11.720
PT84180	11	10	104.32	100.56	111	954	11.635
ZT79337	11	10	104.35	100.53	207	1788	11.577
PT83870	11	10	104.44	100.61	83	718	11.560
ZT79311	11	10	104.35	100.58	124	1073	11.556
ZT80696	11	10	104.42	100.53	113	979	11.542
OT05576	11	10	104.41	100.58	122	1067	11.434

Top 30 samples with high f-stutter at DYS391

All of these samples have either a 15 or 16 allele at DYS576

DYS576 pull-up is observed in DYS391 bins
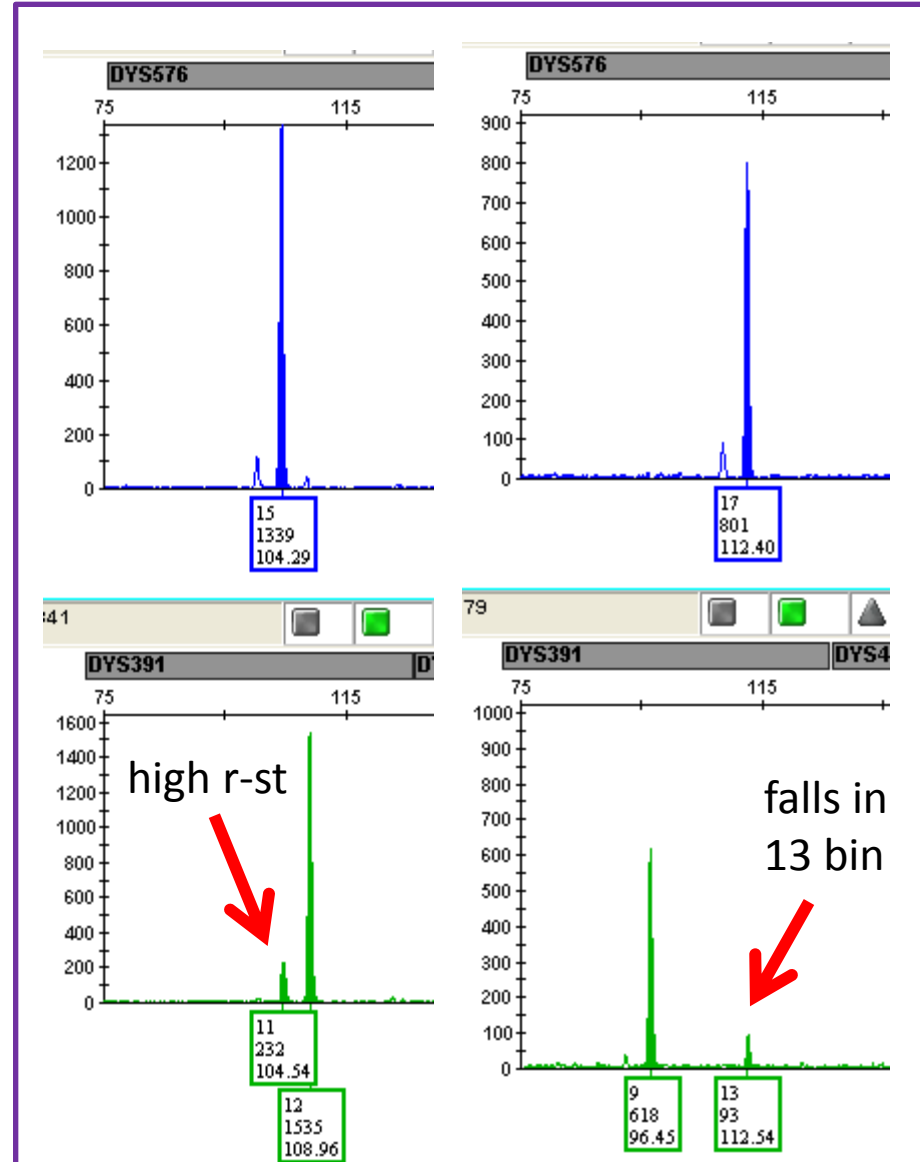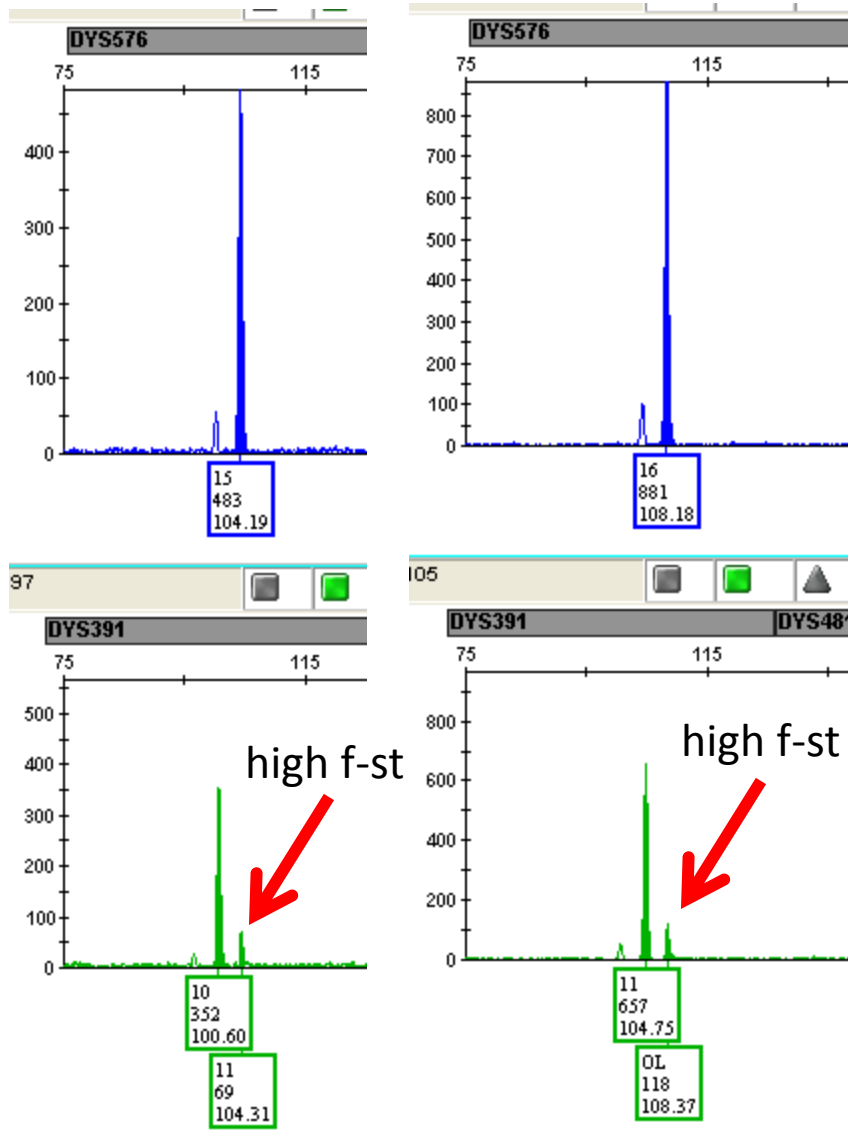
Stutter Trends (Repeat Scale)
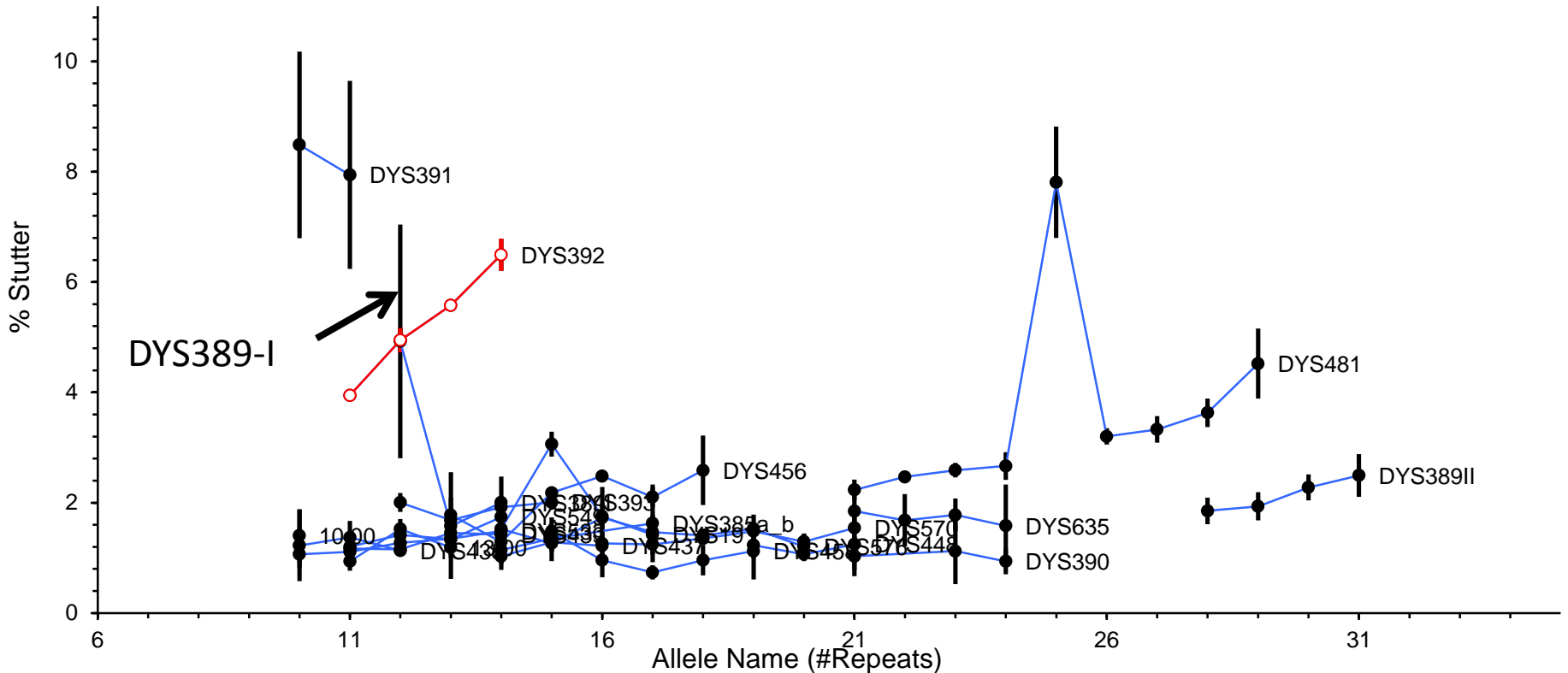
Omitting the overestimation at DYS389-I, DYS391, and DYS481 – forward stutter is typically flat – DYS392 and DYS481 (trinucleotides) show an increasing trend.

Stutter Density Plot

Median stutter = 3.75%

(again, likely an overestimation)

Y-STR Locus Duplication, Triplication, or Deletion

Duplication and Divergence Model

Y-chromosome segment containing an STR locus
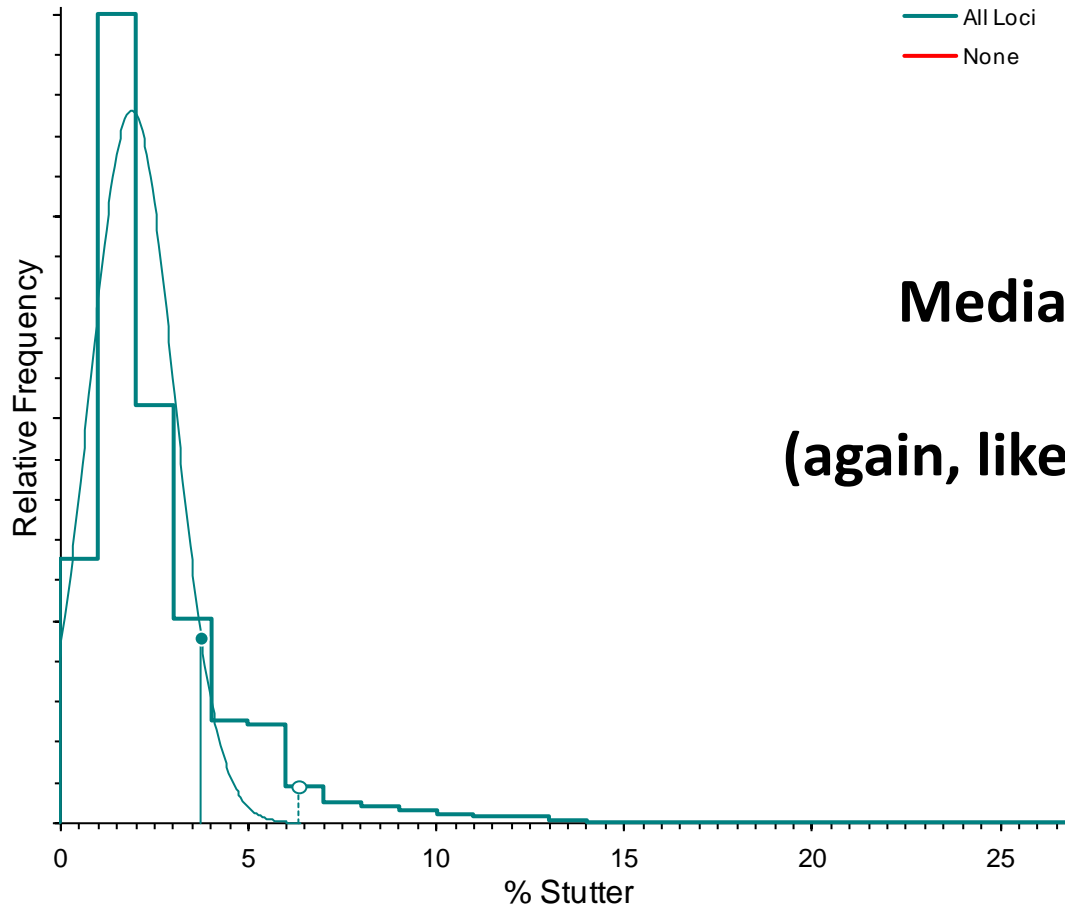
a

duplication

a   a'
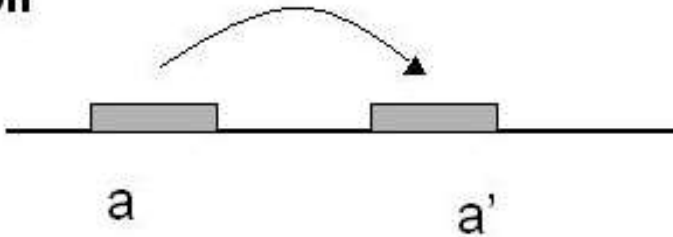
divergence

a' mutates to b through gaining or losing usually 1 repeat unit

a   b
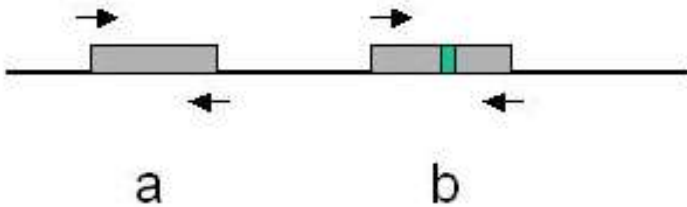
Locus	# dup*	>1 repeat
DYS19	23	2
DYS389I	5	0
DYS389II	9	2
DYS390	1	0
DYS391	3	1
DYS392	0	0
DYS393	3	0
DYS385a/b	17	0

*from www.yhrd.org, literature, and our work

1 repeat unit difference
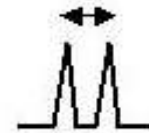
92% have single repeat difference

Since single-step mutations are most common, then single repeat spacing in duplicated alleles is expected

Butler et al. (2005) Chromosomal duplications along the Y-chromosome and their potential impact on Y-STR interpretation *J. Forensic Sci.* 50(4): 853-859

Duplication at Multiple Loci with Single-Source Sample

Y-chromosome mapping

PowerPlex Y data

DYS389I **DYS439** **DYS389II**
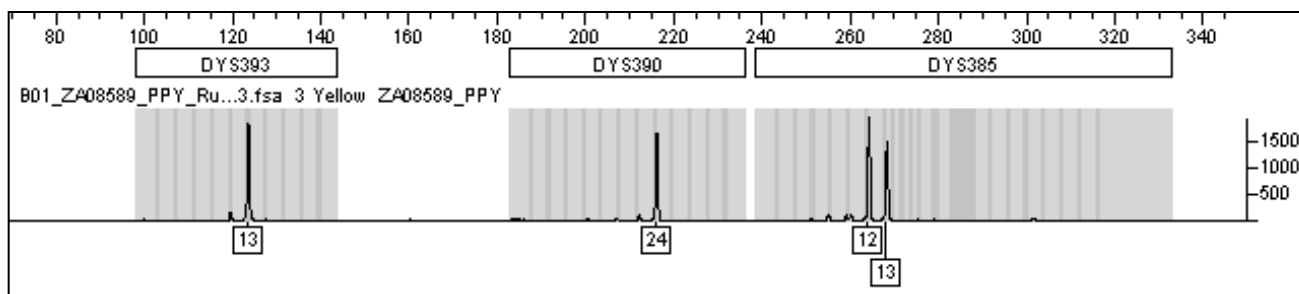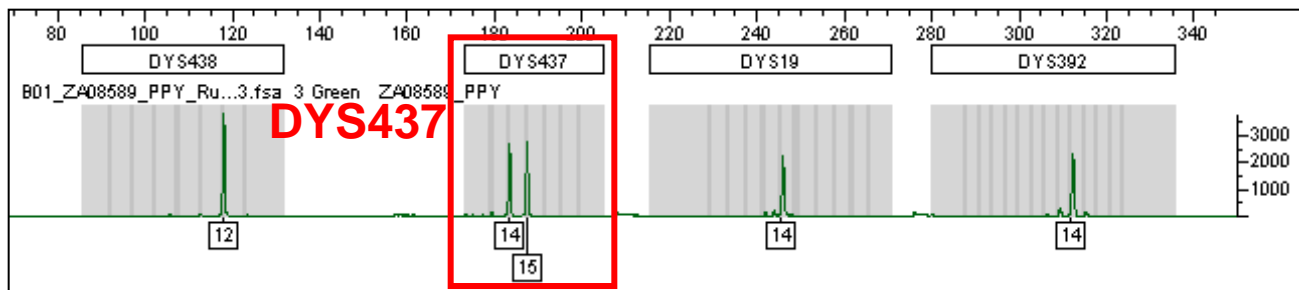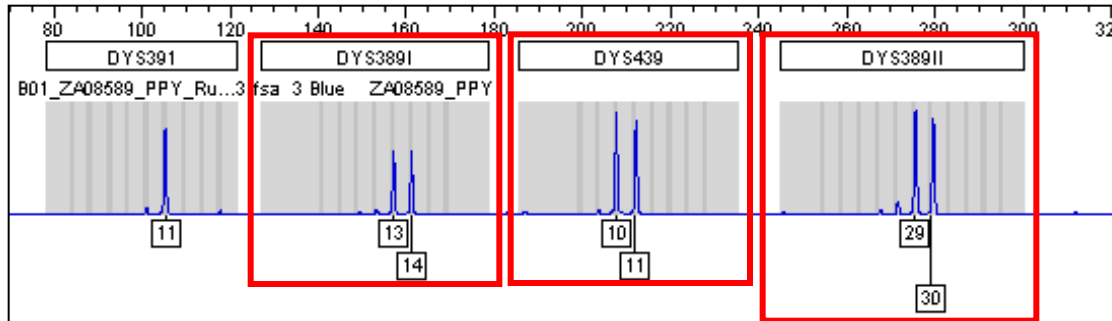
Y STR Marker	Position (Mb)
DYS391	13.413
DYS635 (C4)	13.690
DYS434	13.777
DYS437	13.778
DYS435	13.807
DYS439	13.826
DYS389 I/II	13.923
DYS388	14.057
DYS442	14.071
DYS438	14.248

q-arm

DYS437

Entire region of Y-chromosome has likely been duplicated and then diverged

Most duplications have a single repeat spread in allele patterns

Butler et al. (2005) Chromosomal duplications along the Y-chromosome and their potential impact on Y-STR interpretation *J. Forensic Sci.* 50(4): 853-859

Y-STR Deletions, Duplications and Triplications
in Our NIST 1036 Sample Set using PowerPlex Y23

| Sample	Pop	DYS19	DYS385a/b	DYS389I	DYS389II	DYS390	DYS391	DYS392	DYS393	DYS437	DYS438	DYS439	DYS448	DYS456	DYS458	DYS481	DYS533	DYS549	DYS570	DYS576	DYS635	DYS643	GATA-H4
C22B	AfAm	15	14,15	12	28	22	10	11	12	16	9	12	**19,20**	12	15	24	12	12	13	15	21	12	11
C33B	AfAm	14	11,14	N	N	23	11	13	14	15	12	N	19	15	17	22	12	14	17	17	23	10	12
C56B	AfAm	**14,15**	12,17	13	29	22	10	11	12	14	10	12	20	15	21	26	10	13	16	16	21	9	11
C97B	AfAm	15	15,16	12	29	22	10	11	13	17	10	13	**17.2,19,20**	14	12	25	12	12	18	17	21	12	12
MT95371	AfAm	15	13,15	12	29	22	11	11	13	17	10	11	**19,20**	15	16	27	14	14	16	18	21	12	12
OT05599	AfAm	15	13,15	12	29	22	10	12	13	16	9	12	**18,20**	15	16	27	11	12	17	19	19	13	11
PT83899	AfAm	**14,15,17**	13,14	14	30	22	10	11	**13,14**	17	10	10	21	14	19	22	10	11	18	18	22	11	11
PT83913	AfAm	15	15,16	12	29	22	10	11	12	18	10	12	**20,21**	16	15	25	12	13	17	16	19	12	11
PT83978	AfAm	15	14,17	13	30	22	10	11	13	16	9	12	**19,20**	15	15	26	14	12	19	14	20	13	11
PT84178	AfAm	17	14,15	12	29	23	10	12	13	16	8	11	**19,20**	15	19	27	12	13	15	19	20	12	12
C84A	Asian	13	13,15	13	29	25	10	11	14	14	10	13	N	15	18	25	12	12	20	20	21	11	11
MT94848	Cauc	13	16,16	13	30	24	10	11	13	14	10	12	N	17	15	22	12	14	20	18	21	11	13
MT94869	Cauc	14	11,14	13	30	24	10	13	13	15	12	12	19	15	18	**22,23**	13	13	17	18	24	10	13
MT97196	Cauc	14	11,14	13	29	23	11	13	13	15	12	12	21	17	17	**22,23**	12	13	15	17	24	10	11
UT57318	Cauc	14	11,14	14	30	24	11	13	13	15	12	**12,13**	18	16	17	22	14	13	18	17	23	10	12
WT51355	Cauc	15	11,14	14	30	24	11	13	13	15	12	13	19	16	17	**22,23**	13	12	18	18	23	10	12
WT52477	Cauc	14	18,18	13	**30,31**	24	10	11	13	14	10	**11,12**	20	15	17	22	12	12	19	17	21	11	13
Y4	Cauc	14	11,14	13	30	24	11	13	13	15	12	12	19	17	16	22	**12,13**	12	18	18	23	9	12
C53H	Hisp	12	12,14	13	29	24	10	13	13	**15,16**	12	11	20	16	16	23	12	13	18	17	23	9	12
OT07280	Hisp	14	11,14	12	29	24	11	**11,12**	13	15	12	13	19	15	19	22	12	13	18	16	24	10	12
ZA08589	Hisp	14	12,13	**13,14**	**29,30**	24	11	14	13	**14,15**	12	**10,11**	20	15	18	23	12	12	18	18	23	9	12
ZT80682	Hisp	14	12,13	13	**29,30**	23	11	13	13	16	12	11	19	15	16	24	12	12	18	19	23	10	11

Null Allele at DYS448
(Caucasian sample MT94848)

PowerPlex Y23 Blue Channel
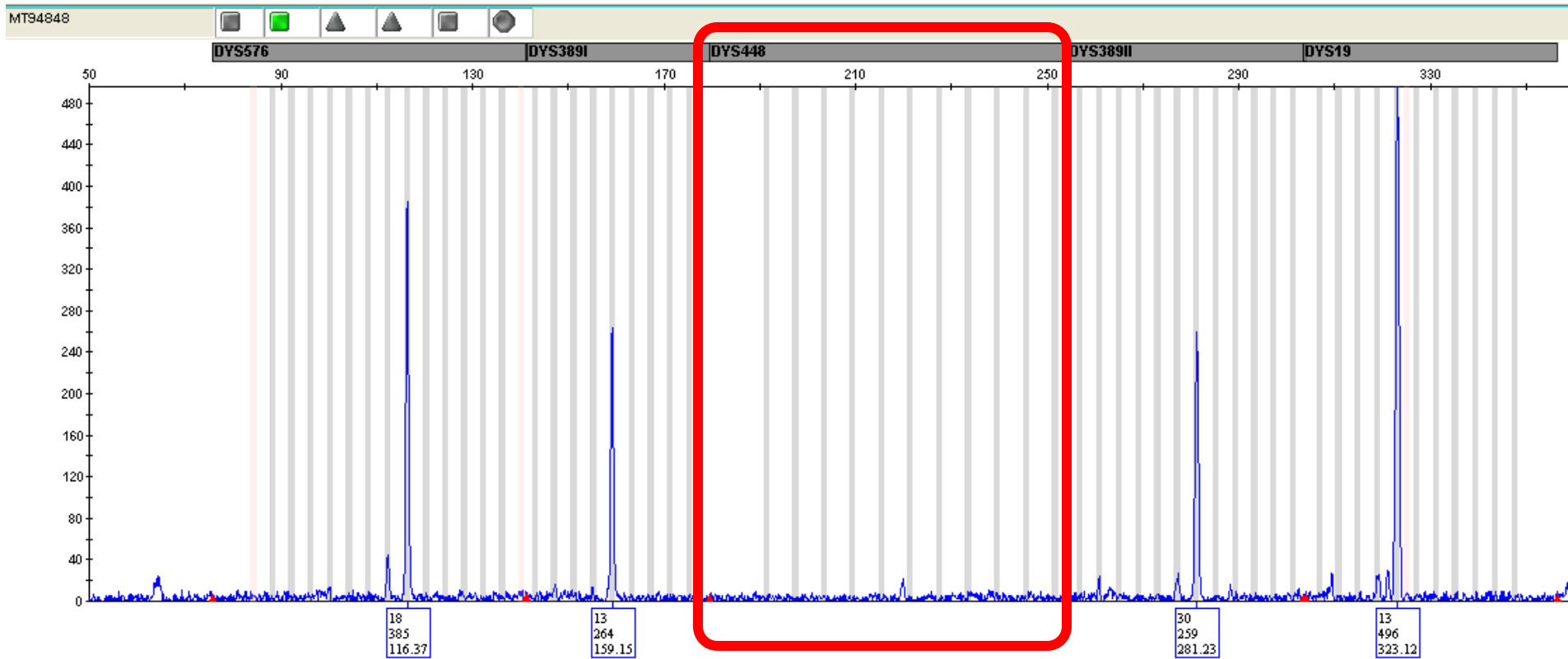
Inheritance of DYS439 Deletion
Seen in Both Father and Son

Yfiler data
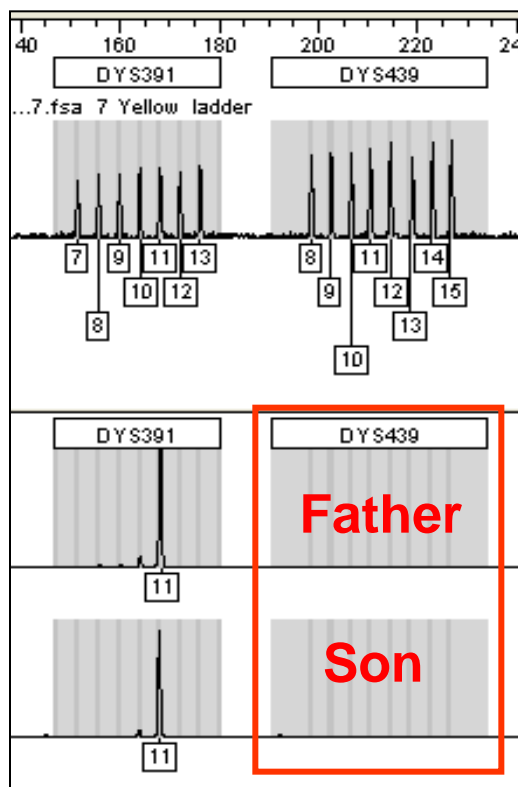
PowerPlex Y23 data
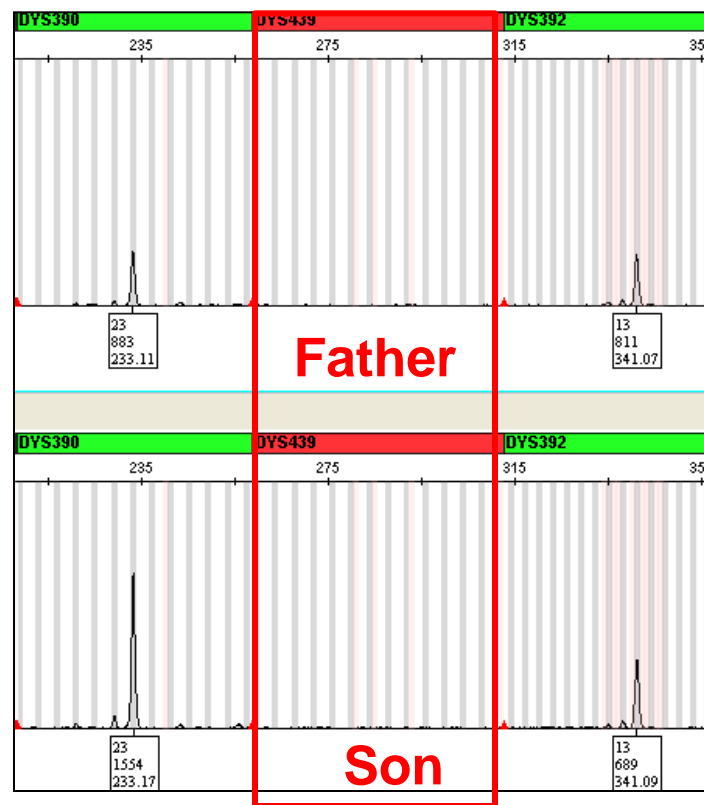
DYS439

DYS439

DYS448 Triplication
Seen in Both Father and Son

Yfiler result

Demonstrates full inheritance of this Y-STR locus triplication

PowerPlex Y23 result

DYS448 Triplication
Seen in Both Father and Son

African American samples AF97B and C97B
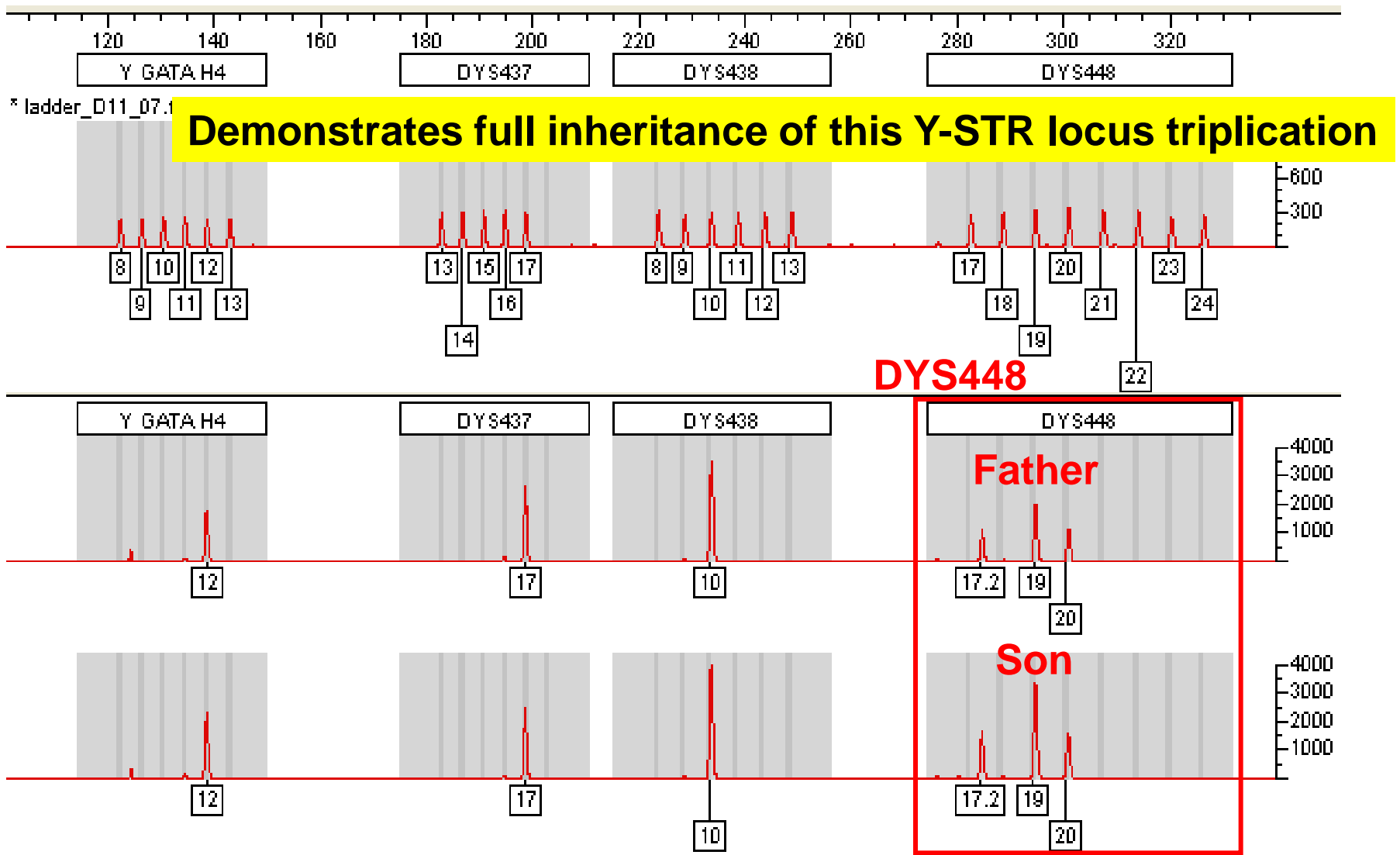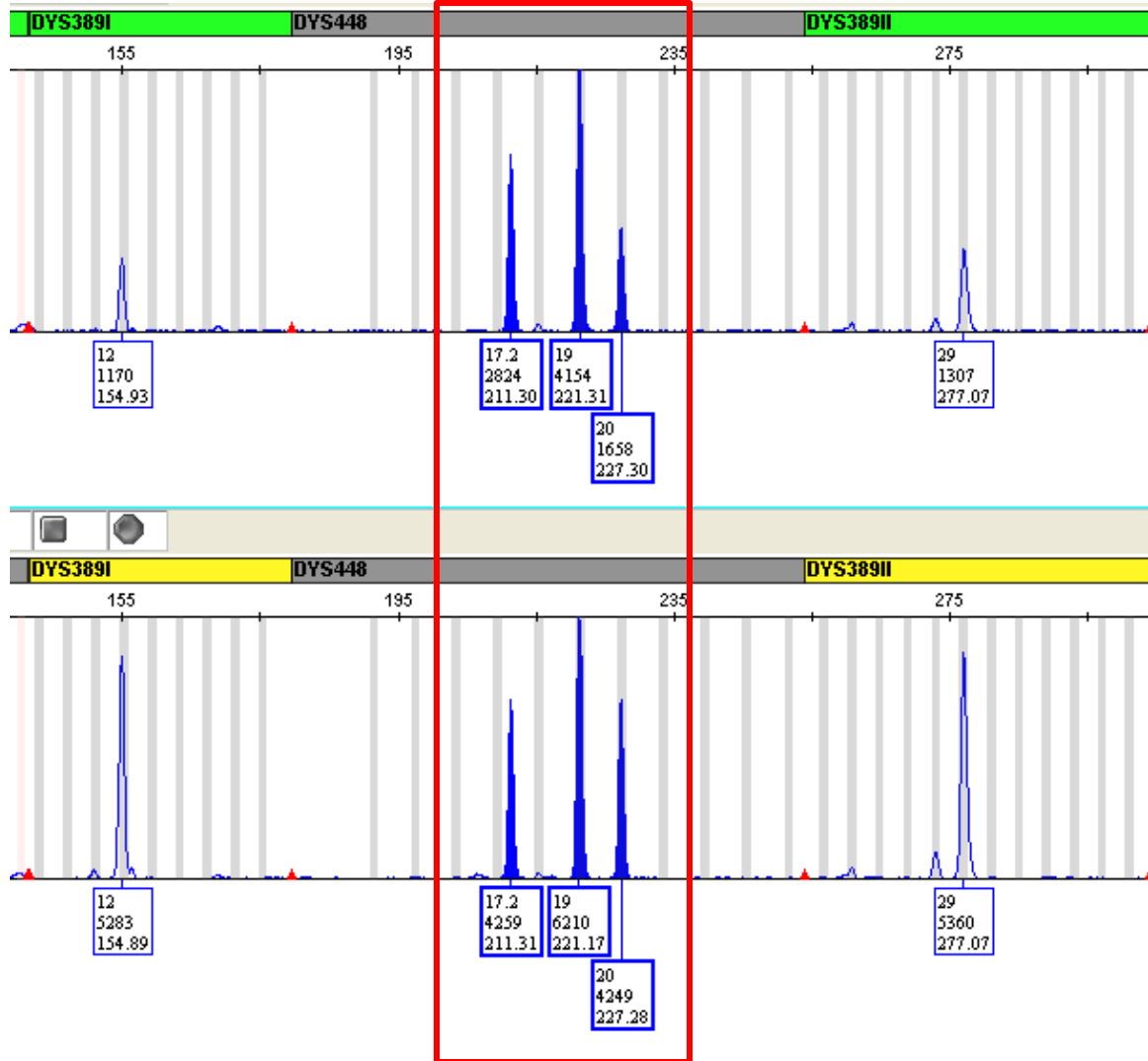
PowerPlex Y
DYS19 Locus Triplication

Yfiler
DYS19 Locus Triplication

PowerPlex Y23
DYS19 Locus Triplication
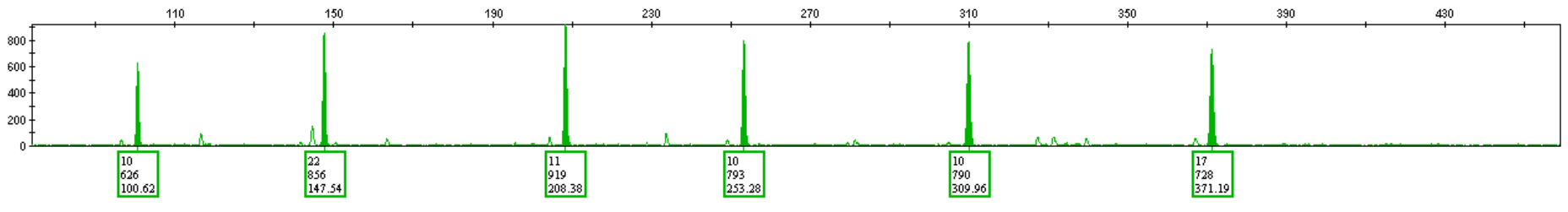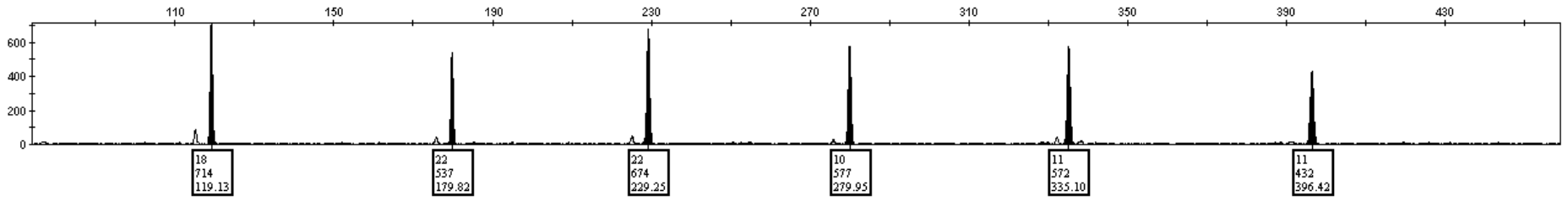
GT37765

GT37812

GT37420

Off-Ladder Allele at DYS481
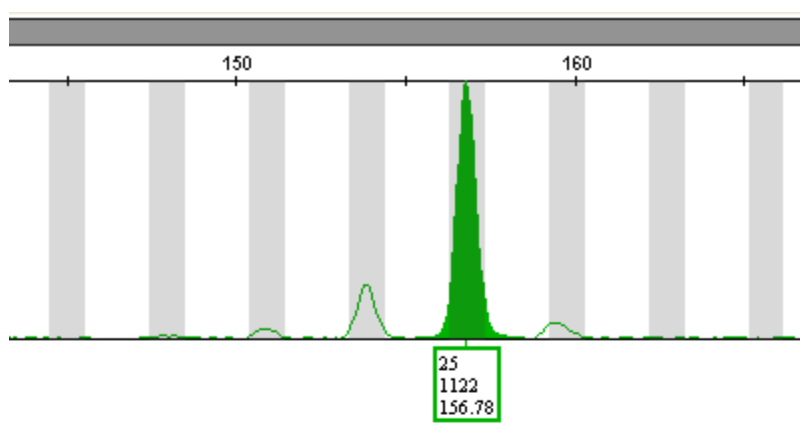
Labeled "25.1"
(sequencing planned to confirm reason)

Performance with related males

Relatives in the NIST Population Samples

Exact matches among the NIST population plates (Y-Filer)

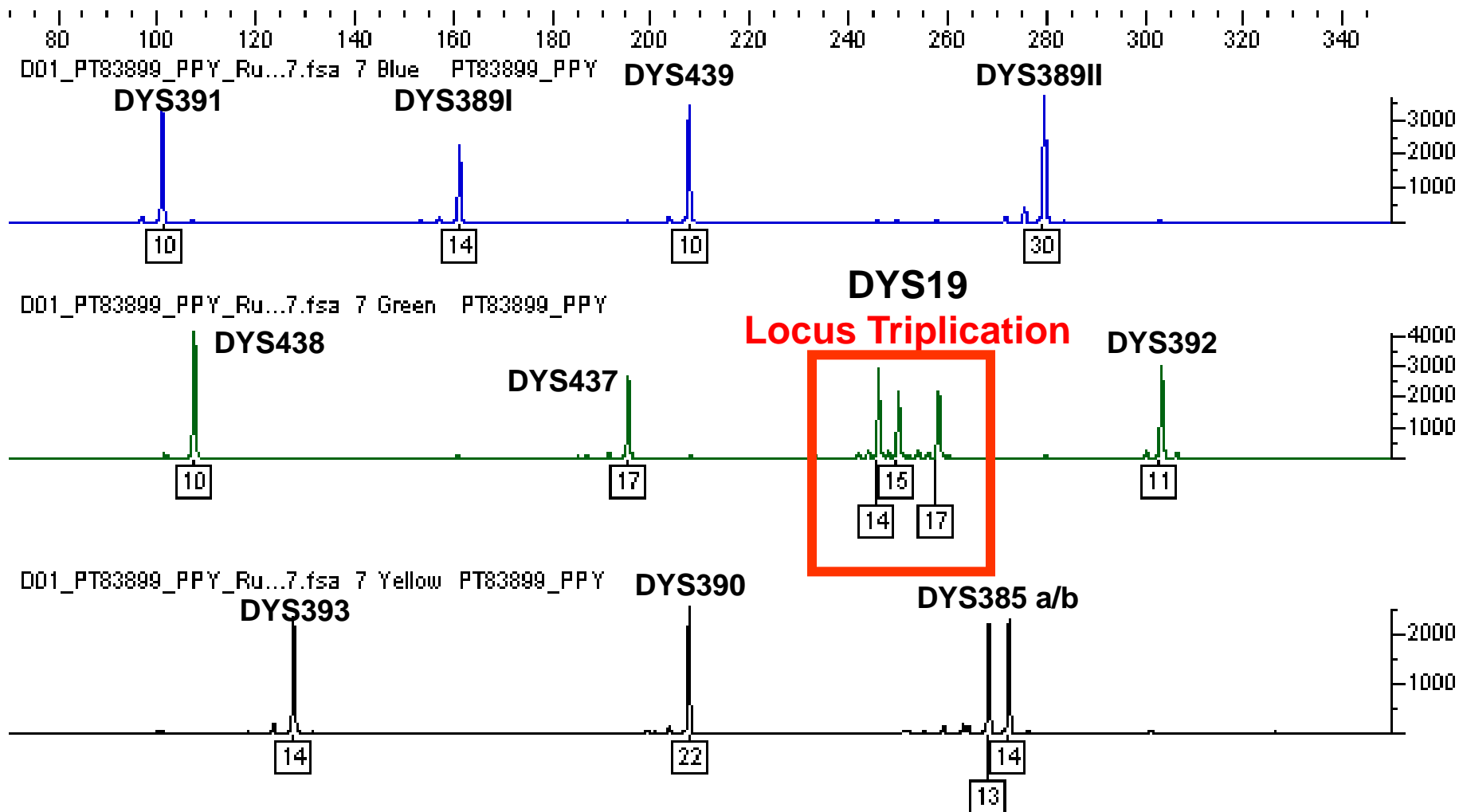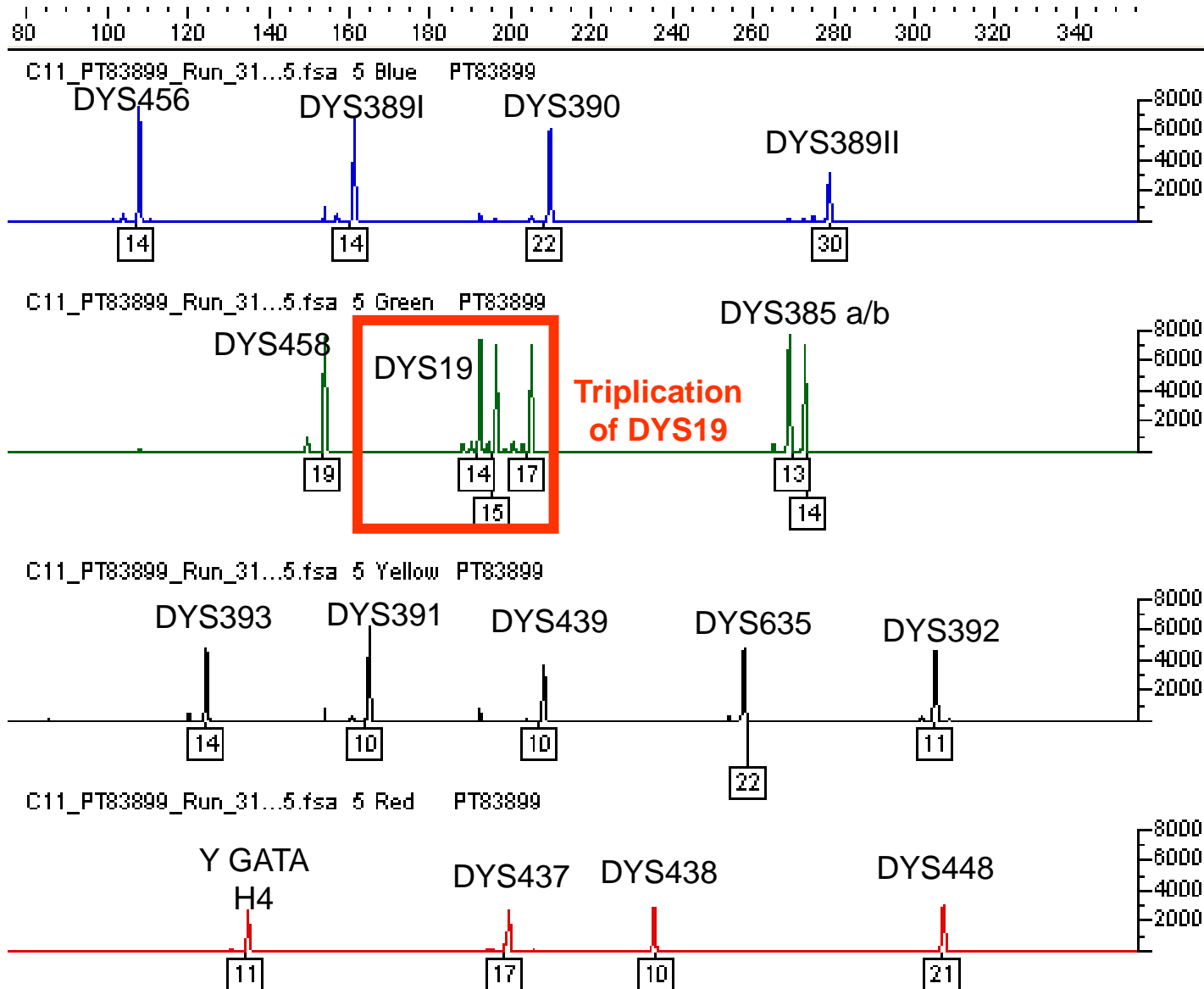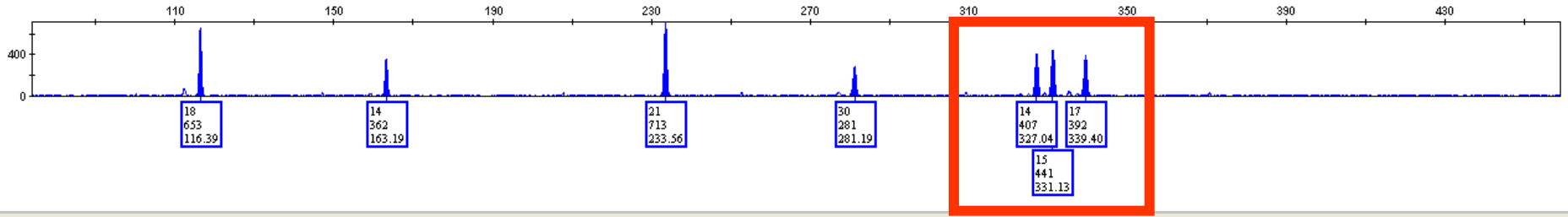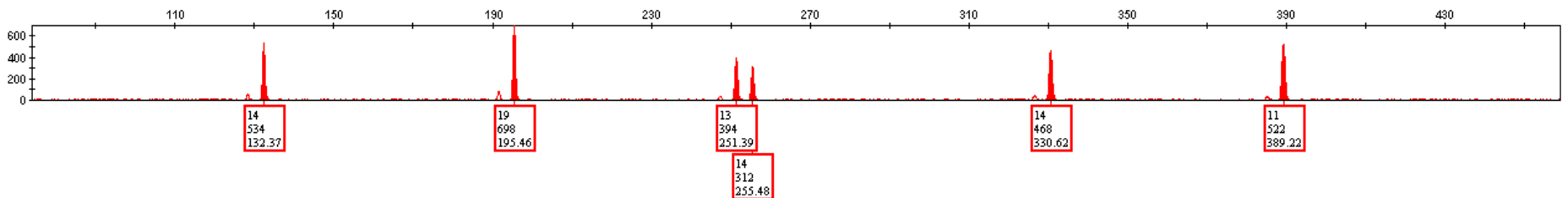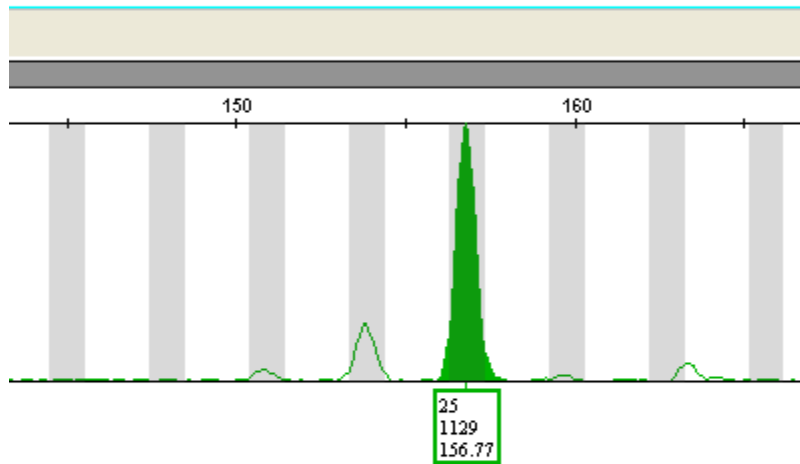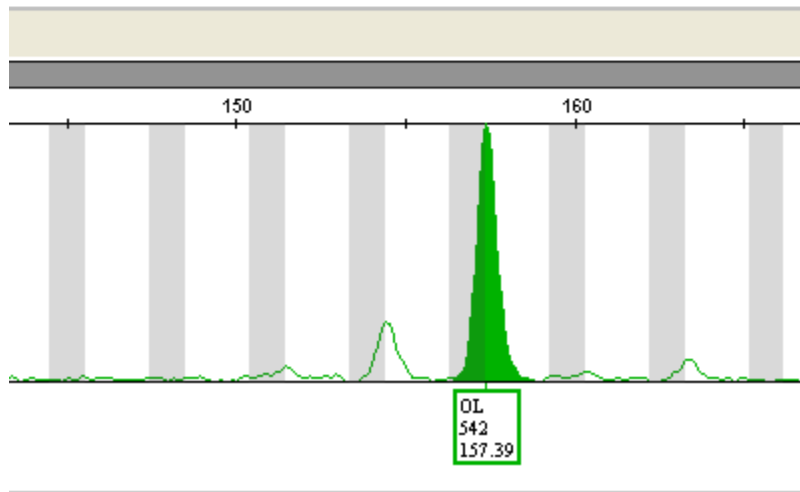| Sample Name	DYS19	DYS389I	DYS389II	DYS390	DYS391	DYS392	DYS393	DYS385a/b	DYS438	DYS439	DYS437	DYS456	DYS458	DYS635	DYS448	Y-GATA-H4
OT07753	14	13	29	24	11	13	13	11,14	12	12	15	15	17	23	19	12
UC10177	14	13	29	24	11	13	13	11,14	12	12	15	15	17	23	19	12
Y16	17	13	30	21	10	11	14	15,20	11	12	14	15	16	22	21	12
Y17	17	13	30	21	10	11	14	15,20	11	12	14	15	16	22	21	12
ZT79304	16	13	30	21	10	11	13	16,17	11	11	14	15	18	21	22	12
PT84253	16	13	30	21	10	11	13	16,17	11	11	14	15	18	21	22	12
WA29612	14	13	29	23	11	13	12	12,14	12	12	15	15	17	23	19	12
WT52482	14	13	29	23	11	13	12	12,14	12	12	15	15	17	23	19	12
WT52470	14	12	28	23	10	11	13	14,15	10	11	16	14	15	21	20	11
MT94827	14	12												21	20	11
Y27	14	13												23	19	13
Y28	14	13												23	19	13
ZT79994	14	13												23	19	12
ZT79995	14	13												23	19	12
PT84213	14	14												22	20	11
TT51696	14	14												22	20	11
MT94839	15	14	31	25	11	11	13	11,14	11	10	14	15	15	23	20	13
MT97158	15	14	31	25	11	11	13	11,14	11	10	14	15	15	23	20	13
JT51484	17	13	30	21	10	11	14	17,19	11	13	14	16	16	21	21	11
ZT79620	17	13	30	21	10	11	14	17,19	11	13	14	16	16	21	21	11
MT97176	14	13	30	24	11	13	13	11,14	12	12	15	17	16	23	19	12
Y4	14	13	30	24	11	13	13	11,14	12	12	15	17	16	23	19	12
JT51476	14	13	29	25	11	14	13	11,13	12	12	15	17	17	23	18	12
UT58337	14	13	29	25	11	14	13	11,13	12	12	15	17	17	23	18	12
TT51702	14	13	29	24	11	13	13	11,14	12	12	15	16	17	23	19	12
MT97185	14	13	29	24	11	13	13	11,14	12	12	15	16	17	23	19	12
ZT79333	14	13	29	24	11	13	13	11,14	12	12	15	16	17	23	19	12
PT83904	13	15	31	24	9	11	13	13,14	10	10	14	16	18	21	20	12
PT84348	13	15	31	24	9	11	13	13,14	10	10	14	16	18	21	20	12
ZT80369	13	15	31	24	9	11	13	13,14	10	10	14	16	18	21	20	12

12 sets of 2 individuals
2 sets of 3 individuals

Adding 6 markers from PP-Y23

Sample Name	DYS576	DYS570	DYS643	DYS533	DYS481	DYS549
OT07753	18	**17**	10	**12**	**23**	13
UC10177	18	**18**	10	**13**	**22**	13
Y16	14	19	14	11	29	11
Y17	14	19	14	11	29	11
ZT79304	15	19	14	11	28	11
PT84253	15	19	14	11	28	11
WA29612	16	17	10	12	22	**14**
WT52482	16	17	10	12	22	**13**
WT52470	**16**	**23**	12	11	**26**	12
MT94827	**17**	**22**	12	11	**25**	12
Y27	19	17	10	12	22	13
Y28	19	17	10	12	22	13
ZT79994	20	16	10	12	23	12
ZT79995	20	16	10	12	23	12

5 pairs still unresolved

Two Samples from the Population Plates ZT79994 and ZT79995

Match exactly over 23 Y-STRs

ZT79994	Pos	Seq	ZT79995	Pos	Seq
	16172	T - C		16172	T - C
	16219	A - G		16219	A - G
	16311	T - C		16311	T - C
	16344	C -T		16344	C -T
	73	A -G		73	A -G
	263	A- G		263	A- G
	315.1	C		315.1	C
	469	C - T		469	C - T
	523	A-del		523	A-del
	524	C-del		524	C-del

Same mtDNA haplotype (U6a)

Autosomal STR Analysis

Sample Info	CSF1PO 1	CSF1PO 2		D13S317 1	D13S317 2		D16S539 1	D16S539 2		D18S51 1	D18S51 2
ZT79994	9	11		12	14		13	13		13	14
ZT79995	11	12		11	14		11	13		13	14
	D19S433 1	D19S433 2		D21S11 1	D21S11 2		D2S1338 1	D2S1338 2		D3S1358 1	D3S1358 2
ZT79994	13	14		29	30		17	25		16	17
ZT79995	14	16		30	30		17	25		17	18
	D5S818 1	D5S818 2		D7S820 1	D7S820 2		D8S1179 1	D8S1179 2		FGA 1	FGA 2
ZT79994	12	12		7	12		13	13		20	21
ZT79995	13	13		8	12		13	13		20	23
	TH01 1	TH01 2		TPOX 1	TPOX 2		vWA 1	vWA 2		D10S1248	D10S1248
ZT79994	7	9.3		8	8		15	18		14	14
ZT79995	7	9.3		8	11		15	17		14	14
	D12S391	D12S391		D1S1656	D1S1656		D22S1045	D22S1045		D2S441	D2S441
ZT79994	21	21		13	13		15	16		10	11
ZT79995	18.3	20		13	16.3		16	17		10	11
	SE33	SE33		Penta_D	Penta_D		Penta_E	Penta_E		D6S1043	D6S1043
ZT79994	16	17		9	12		13	14		12	18
ZT79995	17	23		11	14		13	14		12	12

24 STR Markers

Kinship Analysis

	Combined
Parent-Child	0
Full Sib	**56327**
Half-Sib*	5692
1st Cousin	264

*Is also the same stat for Aunt/Uncle/Niece/Nephew and Grandparent/Grandchild relationships

Summary of the 5 matching pairs

	PPY-23	mtDNA	PI (Father-Son)	KI (Full Sib)	KI (Half-Sib)	KI (1st Cousin)	RM Mutations
ZT79994	match	match	0	**56,327**	5,692	264	1
ZT79995	match	match					
PT84348	match	L1b (African)	0	0	0	0	3
ZT80369	match	C1 (Native)					
Y16	match	n/a	0	**155,463**	2,425	207	0
Y17	match	n/a					
ZT79304	match	L2a (African)	0	0	0.029	**0.568**	3
PT84253	match	L1b (African)					
Y27	match	n/a	**254,325,532**	883,597	351,633	2,821	0
Y28	match	n/a					

Performance with related males

Father-Son Mutation Rates

Father-Son Mutations - Summary

	Meioses	Mutations	
AfAm	85	10	
Asian	101	16	
Caucasian	100	6	
Hispanic	100	12	
Sum	386	44	11.40%

	Father to Son		Father to Son	
	+1 repeat	-1 repeat	+2 repeat	-2 repeat
DYS439	2	4		
DYS389II	3	2		
DYS481	3	2		
DYS570	3	2		
DYS576	2	2		
DYS389I	2	2		
Y-GATA-H4	1	1	1	1
DYS458	2	1		
DYS549	1	1		
DYS635	1	1		
DYS19	1	0		
DYS390	0	1		
DYS643	1	0		
DYS385a	1	0		
DYS448	0	0		
DYS391	0	0		
DYS533	0	0		
DYS438	0	0		
DYS437	0	0		
DYS392	0	0		
DYS393	0	0		
DYS385b	0	0		
DYS456	0	0		
(sum)	23	23	1	1

	Mutations
DYS439	6
DYS389II	5
DYS481	5
➡ **DYS570**	5
➡ **DYS576**	4
DYS389I	4
Y-GATA-H4	4
DYS458	3
DYS549	2
DYS635	2
DYS19	1
DYS390	1
DYS643	1
DYS385a	1
DYS448	0
DYS391	0
DYS533	0
DYS438	0
DYS437	0
DYS392	0
DYS393	0
DYS385b	0
DYS456	0

A new future of forensic Y-chromosome analysis: Rapidly mutating Y-STRs for differentiating male relatives and paternal lineages

Kaye N. Ballantyne [a,1,2], Victoria Keerl [a,1,3], Andreas Wollstein [a,b], Ying Choi [a], Sofia B. Zuniga [c], Arwin Ralf [a], Mark Vermeulen [a], Peter de Knijff [c], Manfred Kayser [a,*]

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Mutability of Y-Chromosomal Microsatellites: Rates, Characteristics, Molecular Bases, and Forensic Implications

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Summary

- Chromosomal positions were defined for the 23 regions of the Y-chromosome amplified by PowerPlex Y23

- The additional 6 Y-STRs with PowerPlex Y23 improve haplotype resolution
  - In our NIST 1032 males, haplotype discrimination capacity went from 98.2% (Yfiler) to 99.7% (PPY23)

- Excellent sensitivity was observed with PowerPlex Y23

- Extensive stutter calculations were performed
  - Forward stutter for the trinucleotide DYS481 was characterized

Thank You for Your Attention!

Questions?
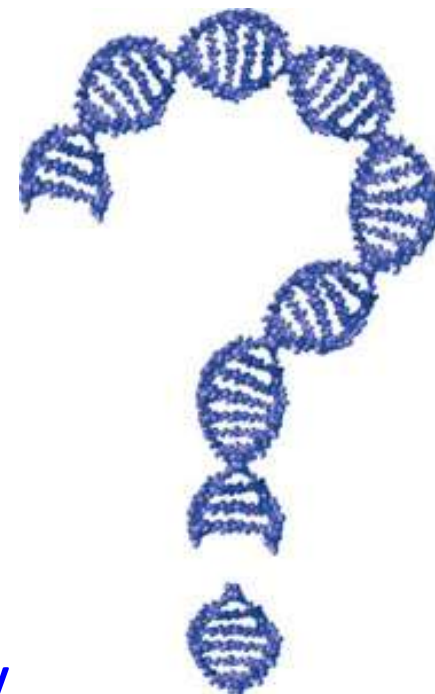
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