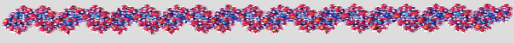


Forensic DNA 201: Mixture Interpretation and Other Advanced DNA Topics



John M. Butler, Ph.D.
National Institute of Standards and Technology

Indigent Criminal Defense Seminar (Richmond, VA)
April 25, 2008

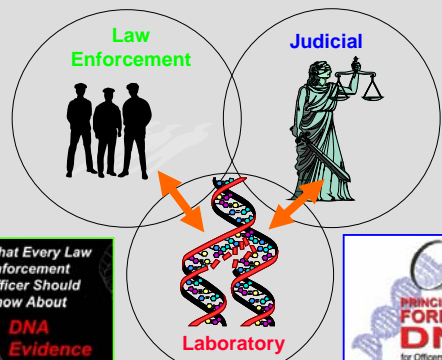
NIST and NIJ Disclaimer

Funding: Interagency Agreement 2003-IJ-R-029
between the **National Institute of Justice** and NIST
Office of Law Enforcement Standards

Points of view are mine and do not necessarily represent the official position or policies of the US Department of Justice or the National Institute of Standards and Technology (NIST).

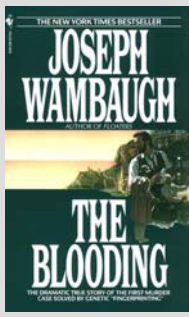
Certain commercial equipment, instruments and materials are identified in order to specify experimental procedures as completely as possible. In no case does such identification imply a recommendation or endorsement by the National Institute of Standards and Technology nor does it imply that any of the materials, instruments or equipment identified are necessarily the best available for the purpose.

Interfaces Between Disciplines Are Crucial



<http://www.dna.gov/>

Lessons from the First Case Involving DNA Testing




Describes the first use of DNA (in 1986) to solve a double rape-homicide case in England; about 5,000 men asked to give blood or saliva to compare to crime stains

- Connection of two crimes (1983 and 1986)
- Use of DNA database to screen for perpetrator (DNA only done on 10% with same blood type as perpetrator)
- Exoneration of an innocent suspect
- DNA was an investigative tool – did not solve the case by itself (confession of accomplice)

A local baker, Colin Pitchfork, was arrested and his DNA profile matched with the semen from both murders. In 1988 he was sentenced to life for the two murders.


Impact of Forensic DNA Testing

Guilt




Colin Pitchfork



Innocence



Kirk Bloodsworth



Josiah Sutton

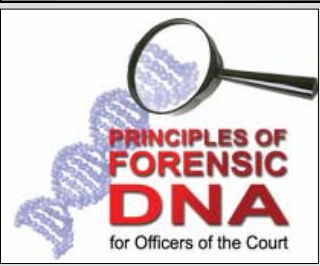



<http://www.innocenceproject.org/>

DNA Training for Officers of the Court

PRESIDENT'S
DNA
INITIATIVE

Advancing Justice Through DNA Technology



- CD-ROM available from the U.S. National Institute of Justice (<http://www.ncjrs.gov>)
- On-line training available at <http://www.DNA.gov>

<http://www.dna.gov/training/otc/>

PRESIDENT'S DNA INITIATIVE

Principles of Forensic DNA for Officers of the Court

1. Introduction
2. Biology of DNA
3. Practical Issues Specific to DNA Evidence
4. Forensic DNA Laboratory
5. Assuring Quality in DNA Testing
6. Understanding a Forensic DNA Lab Report
7. Statistics and Population Genetics
8. Mitochondrial DNA & Y-STR Analysis
9. Forensic DNA Databases
10. Collection of DNA Evidence
11. Pretrial DNA Evidence Issues
12. Victim Issues
13. Trial Presentation
14. Postconviction DNA Cases
15. Emerging Trends

<http://www.dna.gov/training/otc/>

Information Resources for Defense Attorneys

http://www.nlada.org/Defender/forensics/for_lib/Index/DNA/exhibits/index_html




Defense Lawyers and Experts are becoming more united and informed

- DNA
 - DNA Weblinks
 - DNA Model Pleadings
 - DNA Research (Scientific & Legal)
 - DNA Government Expert Materials
 - DNA Defense Expert Materials
 - DNA Database Issues
 - Daubert Hearings
 - DNA Civil Rights Issues
 - DNA Court Opinions
 - DNA Training Materials
 - DNA Misidentifications Important Cases
 - DNA Lab Procedures (QA, QC, SOPs, audits, etc.)
 - DNA Lab Analysts (Fraud, Proficiency)
 - DNA Lab Testing Kits and Software
 - y-STR Testing
 - Mitochondrial DNA

Common Defense Attacks

Compiled from Forensic Bioinformatics website

- Contamination
- Statistical Weight of a Match
- Degradation/PCR Inhibition of "True" Perp
- Artifacts (N+4 stutter, etc.)
- Thresholds Set Too High (missing peaks)
- Examiner Bias
- Improper Mixture Interpretation**
- Meaning of a Database Hit



Forensic Bioinformatics
http://www.bioforensics.com

Forensic Bioinformatics
6th Annual Conference
The Science of DNA Profiling: A National Expert Forum
August 17 - 19, 2007
Dayton, OH

See <http://www.bioforensics.com/conference07/index.html>

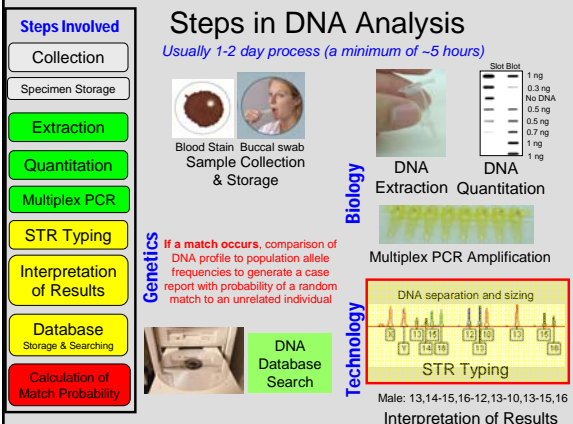
Presentation Outline

- How DNA Results are Obtained
 - Where do these "1 in a zillion" numbers come from?
- Mixture Interpretation
 - How to detect if a mixture is present in a DNA result?
 - Why are mixtures challenging to interpret?
- Other Topics
 - Why are partial profiles not as informative?
 - What measures exist for quality control in labs?
 - Why are protocols used in forensic labs?

How Are DNA Results Obtained?

Steps in DNA Analysis

Usually 1-2 day process (a minimum of ~5 hours)



Genetics

If a match occurs, comparison of DNA profile to population allele frequencies to generate a case report with probability of a random match to an unrelated individual

Biology

Blood Stain Buccal swab
Sample Collection & Storage

DNA Extraction Quantitation

Multiplex PCR Amplification

Technology

DNA separation and sizing
STR Typing

Male: 13,14-15,16-12,13-10,13-15,16
Interpretation of Results

STR Typing with Gel Electrophoresis

Virginia State Lab
Department of Forensic Science

Gel Electrophoresis
Separates the DNA Fragments by Relative Size

HITACHI FMBIO III Multi-View
Detects the DNA Fragments by Fluorescent Dye Label

PowerPlex 16 BIO
Gel Image of Multiple Samples with PCR Products from 16 Different Loci Amplified with Green, Yellow, and Red Dye Labeled Primers

FMBIO III Gel Imager System

Color-Separated PowerPlex® 16 BIO Samples

Fluorescein Scan JOF Scan Rhodamine Red-X Scan Texas Red-X Scan

Position of peaks (gel bands) relates to size of DNA
Height of peaks (density of bands) relates to amount of DNA

Size standard run with each sample to calibrate the size of the measured DNA

A Locus-Specific Allelic Ladder Composed of Common Alleles is Used to Calibrate Size Measurements

Sizes of peaks are measured relative to an internal size standard (not shown) included in every sample

The allelic ladder defines bins for sizing STR alleles

Allelic Ladder
DYS635
Allele 22 bin: 258.75 +/- 0.5

On-Ladder Sample
Yfiler Y-STR Locus DYS635
Allele 22: 258.69 bp (-0.06 bp from ladder allele)

Off-Ladder Sample
"Variant Allele": 257.84 bp (-0.91 bp from ladder allele)

Any STR peak falling in range of 258.25 to 259.25 bp is considered a "22" allele

Almost 1 bp less than the ladder allele

Missing T

[TCTA]_n(TGTA)₂[TCTA]₂(TGTA)₂[TCTA]₂(TGTA)₂[TCTA]₅ TC-A [TCTA]₂

Deciphering Artifacts from the True Alleles

Biological (PCR) artifacts: Stutter products, Incomplete adenylation

STR alleles: Dye blob, stutter, spike, Pull-up (bleed-through)

Blue channel, Green channel, Yellow channel, Red channel

DNA analysts interpret data to sort out which peaks are STR alleles versus artifacts

Thresholds for Measuring DNA Data

Peak is called (deemed "reliable")

50 RFUs

Peak is NOT called (deemed "unreliable")

Baseline noise

- Detection (analytical) threshold**
 - Dependent on instrument sensitivity
 - ~50 RFU (relative fluorescence units)
 - Impacted by instrument baseline noise
- Dropout (stochastic) threshold**
 - Dependent on biological sensitivity
 - ~150-200 RFU
 - Important in mixture interpretation

STR Data Interpretation Involves Determining What is a True Allele (Peak)

All of these issues impact mixture interpretation

Peak detection threshold

Stutter percentage

Peak height ratio (PHR)

Signal (S), Noise (N), Allele 1, Allele 2, Heterozygote peak balance, True allele, Stutter product

Signal > 3x sd of noise (or S/N > 3)

PHRs consistent with single source are typically above 60%

Stutter is usually one repeat position less and <15% than true allele

STR Data is Tabulated by Genotype Calls for Each Locus

| | | | | | | | | |
|--------|------|--------|-------|------|------|-------|---------|--------|
| | AMEL | CSF1PO | FGA | TH01 | TPOX | VWA | D3S1358 | D5S818 |
| Ind(1) | XY | 11,12 | 19,21 | 6,7 | 8,8 | 15,18 | 14,18 | 10,13 |

The number of repeats observed for each locus is tabulated

This data format is stored in databases and used for comparisons/matches

Finally a case report is written based on tabulated STR genotype calls

A Report is Generated Based on the STR Allele Calls

http://www.dfs.virginia.gov/services/forensicBiology/manuals/procedures/03-III-PP16_BIO_2003/17-Chapter_11_Report_Writing.pdf (See pp. 2, 3, and 30)

Elements of a Virginia State Lab Report

Department of Forensic Science

METHODS SECTION:

- The method of deoxyribonucleic acid (DNA) analysis used was the Polymerase Chain Reaction (PCR).
- The PCR amplification kit used was the **PowerPlex® 16 BIO system**.
- The PowerPlex® 16 BIO system contains 16 genetic loci (FGA, TPOX, D8S1179, vWA, Penta E, D18S51, D21S11, TH01, D3S1358, Penta D, CSF1PO, D16S539, D7S820, D13S317, D5S818 and Amelogenin, a gender determining locus which is not used for statistical purposes).

RESULTS SECTION:

A DNA profile was developed from the buccal swabs from SUSPECT (Item ____). **SUSPECT cannot be eliminated as a contributor of the foreign DNA profile** previously developed from the vaginal sample (Item ____) and reported in the Certificate of Analysis dated ____.

*The probability of randomly selecting an unrelated individual with a DNA profile matching that developed from the EVIDENCE, SPERM OR NON-SPERM FRACTION OF EVIDENCE, MAJOR PROFILE OF THE EVIDENCE, ETC. at the LOCI USED FOR CALCULATION loci is **approximately 1 in _____ in the Caucasian population, 1 in _____ in the Black population, and 1 in _____ in the Hispanic population.**

STR Population Frequencies (used by Virginia DFS)

<http://www.dfs.virginia.gov/manuals/manuals.cfm?id=5>

- » 23 - Appendix F - FMBIO II (.pdf, 451 KB)
- » 24 - Appendix G - PC Analysis (.pdf, 1082 KB)
- » 25 - Appendix H - STR Population Stats (.pdf, 767 KB)
- » 26 - Appendix I - Likelihood Ratio Formula (.pdf, 57 KB)

194 African American individuals examined (388 alleles) to determine the frequency that can be expected for each STR allele at every tested locus

174 Caucasians (348 alleles)

181 Hispanics (362 alleles)

How Are Such Large Numbers Generated with Random Match Probabilities?

RMP = the probability of randomly selecting an unrelated individual with a DNA profile matching that found in the evidence

- Each allele is sampled multiple times to produce a statistically stable allele frequency in the populations of interest (e.g., African American, Caucasian, and Hispanic); **each population is calculated**
- Using a theoretical model from genetics called Hardy-Weinberg equilibrium, **the predicted frequency of a genotype at a particular locus is calculated** (p^2 for homozygotes and $2pq$ for heterozygotes)
- Since the forensic STR loci are on separate chromosomes and thus inherited independently, **the result from each locus can be multiplied together with the other tested loci** to produce an estimate of the rarity of a particular multi-locus DNA profile; often referred to as **the product rule**

DNA Profile Frequency with all 13 CODIS STR loci

| Locus | allele | freq. | allele | freq. | 1 in | Combined |
|---------|---------|--------|--------|--------|--------|-------------------------|
| D3S1358 | 16 | 0.2533 | 17 | 0.2152 | 9.17 | 9.17 |
| | VWA | 17 | 0.2815 | 18 | 0.2003 | |
| FGA | 21 | 0.1854 | 22 | 0.2185 | 12.35 | 1005 |
| | D8S1179 | 12 | 0.1854 | 14 | 0.1656 | |
| D21S11 | 28 | 0.1589 | 30 | 0.2782 | 11.31 | 185,073 |
| D18S51 | 14 | 0.1374 | 16 | 0.1391 | 26.18 | 4,845,217 |
| D5S818 | 12 | 0.3941 | 13 | 0.1407 | 9.25 | 44,818,259 |
| D13S317 | 11 | 0.3394 | 14 | 0.0480 | 30.69 | 1.38 x 10 ⁹ |
| D7S820 | 9 | 0.1772 | | | 31.85 | 4.38 x 10 ¹⁰ |
| D16S539 | 9 | 0.1126 | 11 | 0.3212 | 13.8 | 6.05 x 10 ¹¹ |
| | TH01 | 6 | 0.2318 | | 18.62 | |
| TPOX | 8 | 0.5348 | | | 3.50 | 3.94 x 10 ¹³ |
| CSF1PO | 10 | 0.2169 | | | 21.28 | 8.37 x 10 ¹⁴ |

D3S1358
2pq = 2(0.2533)(0.2152) = 0.10962
= **1 in 9.17**

D7S820
p² = (0.1772)(0.1772) = 0.0314
= **1 in 31.85**

The Random Match Probability for this profile in the U.S. Caucasian population is **1 in 837 trillion (10¹²)**

The Same 13 Locus STR Profile in Different Populations

1 in 837 trillion

- 1 in 0.84 quadrillion (10¹⁵)** in U.S. Caucasian population (NIST)
- 1 in 2.46 quadrillion (10¹⁵)** in U.S. Caucasian population (FBI)*
- 1 in 1.86 quadrillion (10¹⁵)** in Canadian Caucasian population*

- 1 in 16.6 quadrillion (10¹⁵)** in African American population (NIST)
- 1 in 17.6 quadrillion (10¹⁵)** in African American population (FBI)*

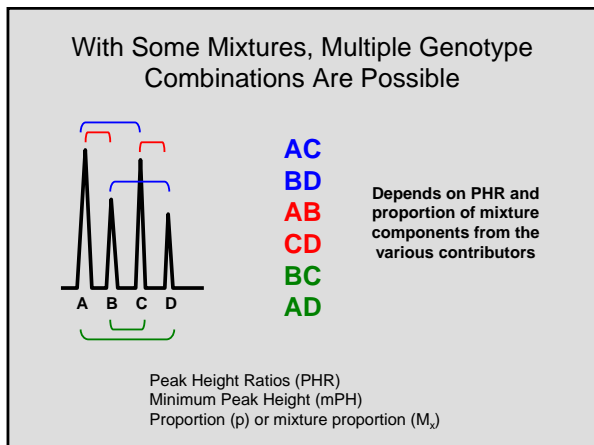
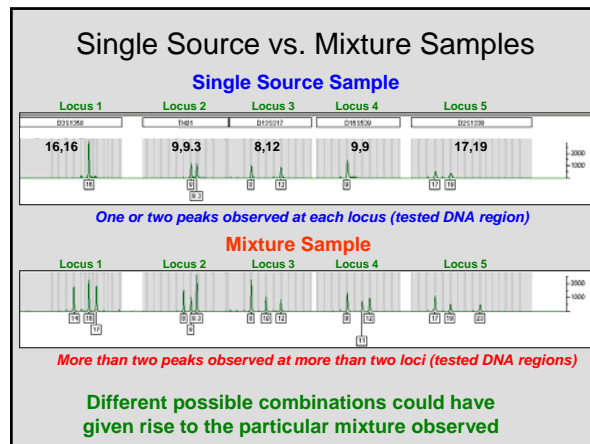
- 1 in 18.0 quadrillion (10¹⁵)** in U.S. Hispanic population (NIST)

These values are for unrelated individuals assuming no population substructure (using only p² and 2pq)

*NIST study: Butler, J.M., et al. (2003) Allele frequencies for 15 autosomal STR loci on U.S. Caucasian, African American, and Hispanic populations. *J. Forensic Sci.* 48(4):908-911. (<http://www.cstl.nist.gov/biotech/strbase/NISTpop.htm>)

*<http://www.csfs.ca/pplus/profiler.htm>

DNA Mixtures: Detection and Interpretation



From Report to the Virginia Scientific Advisory Committee by the DNA Subcommittee – Addendum January 8, 2008 (authored by Dr. Norah Rudin and Dr. Artie Eisenberg)

- “Among the many reasons that Forensic DNA analysis has become the gold standard for forensic science is the relatively discrete nature of the data. For strong, single source samples, a profile can readily be determined, and is subject to little or no analyst judgment. **However, ambiguity may arise when interpreting more complex samples, such as those containing multiple contributors, of poor quality (e.g. degraded or inhibited DNA), of low quantity (e.g. contact samples), or various combinations of these challenging situations...**”

<http://www.dfs.virginia.gov/about/minutes/saCommittee/20080108.pdf>

From Report to the Virginia Scientific Advisory Committee by the DNA Subcommittee – Addendum January 8, 2008 (authored by Dr. Norah Rudin and Dr. Artie Eisenberg)

- “...**These kinds of samples are encountered with increasing frequency, as the sensitivity of the technology has increased, and as law enforcement has become more sophisticated about the kinds of samples they submit for analysis.** Difficult samples are also frequently encountered when reanalyzing historical cases, in which samples were not collected and preserved using the precautions necessary for DNA analysis...”

“Cold cases” or Innocence Project samples...

<http://www.dfs.virginia.gov/about/minutes/saCommittee/20080108.pdf>

From Report to the Virginia Scientific Advisory Committee by the DNA Subcommittee – Addendum January 8, 2008 (authored by Dr. Norah Rudin and Dr. Artie Eisenberg)

- “It is for these types of challenging samples, where the evidence profile may not exactly “match” a reference profile, that confirmation bias becomes a concern. **The interpretation of an evidentiary DNA profile should not be influenced by information about a subject’s DNA profile.** Each item of evidence must be interpreted independently of other items of evidence or reference samples. Yet forensic analysts are commonly aware of submitted reference profiles when interpreting DNA test results, creating the opportunity for confirmatory bias, despite the best intentions of the analyst...”

<http://www.dfs.virginia.gov/about/minutes/saCommittee/20080108.pdf>

Mixture Basics

From J.M. Butler (2005) *Forensic DNA Typing, 2nd Edition*, p. 154

- Mixtures arise when two or more individuals contribute to the sample being tested.
- Mixtures can be challenging to detect and interpret without extensive experience and careful training. *Even more challenging with poor quality data when degraded DNA is present...*
- Differential extraction can help distinguish male and female components of many sexual assault mixtures. *Y-chromosome markers can help here in some cases to isolate male DNA...*

Sources of DNA Mixtures

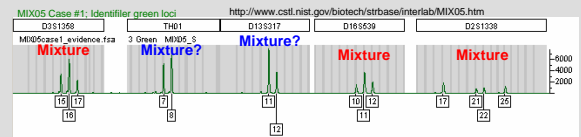
- Two (or more) individuals** contribute to the biological evidence examined in a forensic case (e.g., sexual assault with victim and perpetrator or victim, consensual sexual partner, and perp)
 - Victim Reference and Spouse or Boyfriend Reference**
- Contamination** of a single source sample from
 - evidence collection staff
 - laboratory staff handling the sample
 - Low-level DNA in reagents or PCR tubes or pipet tips
 - Examine Staff Profiles (Elimination Database), etc.**

Reference elimination samples are useful in deciphering both situations due to possibility of intimate sample profile subtraction

Detecting the Presence of a Mixture

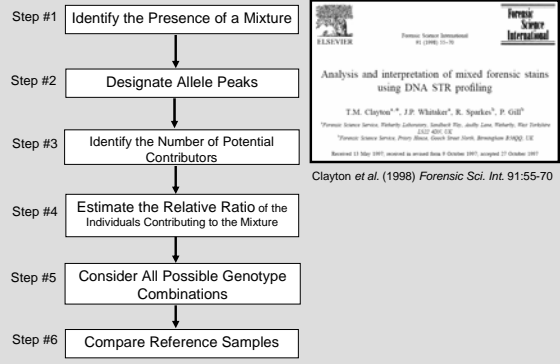
From J.M. Butler (2005) *Forensic DNA Typing, 2nd Edition*, p. 155

- The probability that a mixture will be detected improves with the use of more loci and genetic markers that have a high incidence of heterozygotes.
- The detectability of multiple DNA sources in a single sample relates to the ratio of DNA present from each source, the specific combinations of genotypes, and the total amount of DNA amplified.
- Some mixtures will not be as easily detectable as other mixtures.



Steps in the Interpretation of Mixtures

(Clayton *et al.* 1998)



Two Parts to Mixture Interpretation

- Determination of alleles present in the evidence and **deconvolution of mixture components** where possible
 - Many times through comparison to victim and suspect profiles
- Providing some kind of statistical answer** regarding the weight of the evidence
 - There are multiple approaches and philosophies

Statistical Approaches with Mixtures

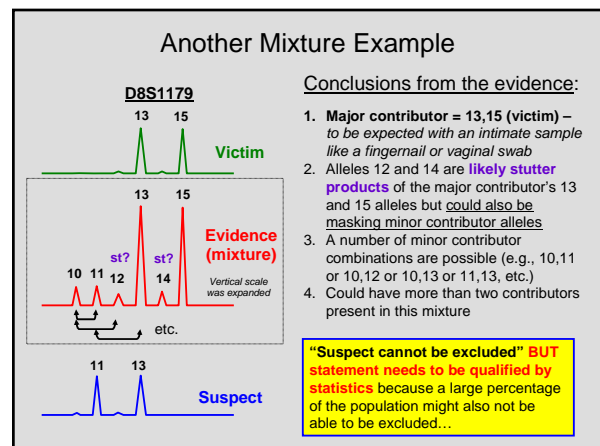
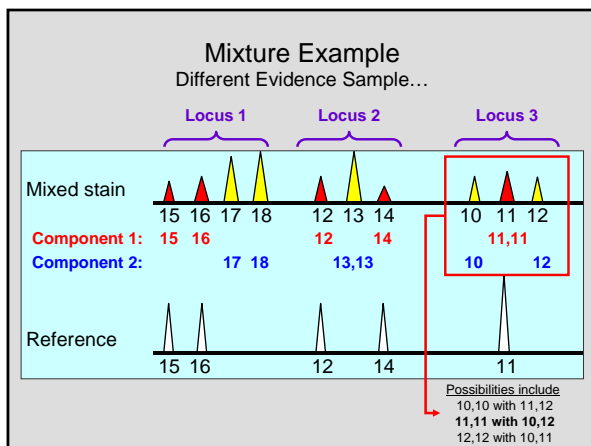
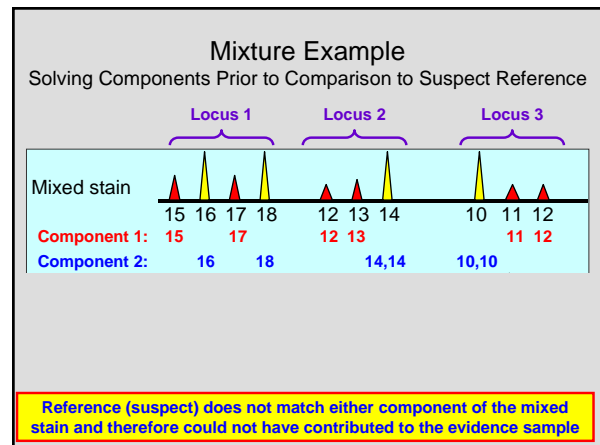
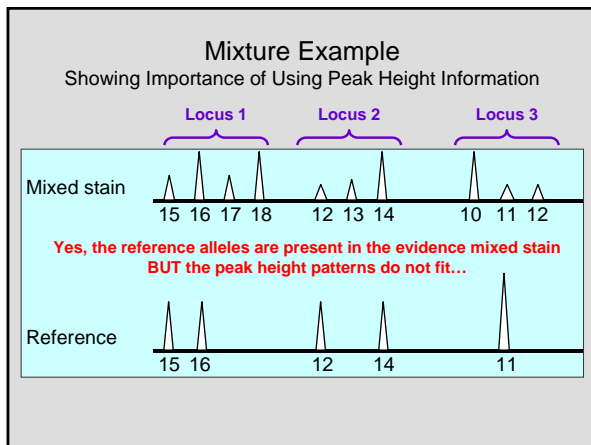
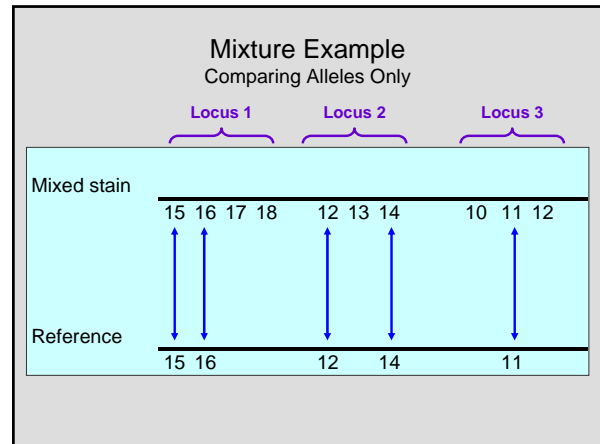
See Ladd *et al.* (2001) *Croat Med J.* 42:244-246

- Inferring Genotypes of Contributors** - Separate major and minor components into individual profiles and compute the random match probability estimate as if a component was from a single source
- Calculation of Exclusion Probabilities** - CPE/CPI (RMNE) - The probability that a random person (unrelated individual) would be excluded as a contributor to the observed DNA mixture
- Calculation of Likelihood Ratio Estimates** - Comparing the probability of observing the mixture data under two (or more) alternative hypotheses; in its simplest form LR = 1/RMP

**DNA Advisory Board (DAB)
Recommendations on Statistics**
February 23, 2000
Forensic Sci. Comm. 2(3); available on-line at
<http://www.fbi.gov/hq/lab/fsc/backissu/july2000/dnastat.htm>

“The DAB finds either one or both PE or LR calculations acceptable and strongly recommends that one or both calculations be carried out whenever feasible and a mixture is indicated”

- Probability of exclusion (PE)
 - Devlin, B. (1992) Forensic inference from genetic markers. *Statistical Methods in Medical Research*, 2, 241–262.
- Likelihood ratios (LR)
 - Evett, I. W. and Weir, B. S. (1998) *Interpreting DNA Evidence*. Sinauer, Sunderland, Massachusetts.



Probability of Exclusion Calculation for a Single STR Locus

From VA DFS STR Allele Frequencies
<http://www.dfs.virginia.gov/manuals/manuals.cfm?id=5>

The case may grow stronger against a suspect with information from additional STR loci...

| D8S1179 allele | AA (n=384) | C (n=346) | H (n=366) |
|----------------|------------|-----------|-----------|
| 10 | 0.0287 | 0.1089 | 0.0820 |
| 11 | 0.0495 | 0.0925 | 0.0465 |
| 12 | 0.1094 | 0.1416 | 0.1093 |
| 13 | 0.2422 | 0.3093 | 0.3224 |
| 14 | 0.2969 | 0.1965 | 0.2623 |
| 15 | 0.1849 | 0.0896 | 0.1202 |
| SUM | 0.9115 | 0.9364 | 0.9426 |
| Sq SUM = PI | 0.8308 | 0.8769 | 0.8886 |
| PE = 1-PI | 0.1692 | 0.1231 | 0.1114 |

PE (%) 16.9% 12.3% 11.1%

African Am. Caucasians Hispanics

"Suspect cannot be excluded" BUT we would expect to see, for example, only 11.1% of Hispanics excluded (or 88.9% cannot be excluded) based on results at this one locus

The fact that in this case a suspect is included is not very informative because ~9 out of 10 people examined from any population could potentially be included in the evidence mixture...

Mixture Profile Overview

Evaluation Notes:

1. Loci seen with 1,2,3,&4 alleles (a mixture with at least 2 contributors)
2. Imbalance at amelogenin (female & male mixture with female as major)
3. Decent overall signal with D8 in ~1500 RFU (out of stochastic range)
4. Large MW loci have decent signal with D18 in ~1000 RFU range (degradation unlikely)
5. Ratio of major to minor around 3:1 (from amelogenin X/Y ratios)

1045/134 = 7.8
 ~3 female (X,X):
 1 male (X,Y)

1 allele: TPOX
 2 alleles: D19, D5, D13, D16
 3 alleles: D8, D21, D7, CSF, D3, D18, FGA
 4 alleles: TH01, D2, VWA

DNA Degradation

Intact sample
 Target region for PCR
 300 base pair PCR product can be produced

Degraded sample
 Target region for PCR is fragmented
 300 base pair PCR product can not be produced or only in limited quantities

Degraded DNA

Larger segments of DNA cannot be recovered when DNA molecules have fragmented into small pieces (caused by heat, water, or bacteria)

"Degraded DNA" (falls apart with high temperatures)
"Decay curve" of degraded DNA

DNA Degradation Means Less Loci Work

Non-degraded Positive Control
 Smaller sized DNA works well
 Degraded Bone Sample
With degraded DNA samples, information is simply lost at the larger sized STR loci

Impact of Degraded DNA Samples

- Comparison to a phone number (string of 13 numbers)
001-301-975-4049
- If you only had "4049"...this information would be of limited value since it is not as specific (and could match other phone numbers from different area codes)
- DNA profiles are essentially a string of numbers – **if the DNA is damaged, then the string of numbers is shorter and less informative...**

-----4049 or ---301-9-----

The Statistic (Determining the Weight of the Evidence) Should Be **Calculated from the Evidence**

| Evidence (partial profile): | | | Reference (full profile): | | |
|-----------------------------|-------|-----------|---------------------------|-------|-----------|
| Locus | Type | Statistic | Locus | Type | Statistic |
| Locus 1 | 16,17 | 1 in 9 | Locus 1 | 16,17 | 1 in 9 |
| Locus 2 | 17,18 | 1 in 9 | Locus 2 | 17,18 | 1 in 9 |
| Locus 3 | 21,22 | 1 in 12 | Locus 3 | 21,22 | 1 in 12 |
| Locus 4 | 12,14 | 1 in 16 | Locus 4 | 12,14 | 1 in 16 |
| Locus 5 | 28,30 | 1 in 11 | Locus 5 | 28,30 | 1 in 11 |
| | | | Locus 6 | 14,16 | 1 in 26 |
| | | | Locus 7 | 12,13 | 1 in 9 |
| | | | Locus 8 | 11,14 | 1 in 31 |
| | | | Locus 9 | 9,9 | 1 in 32 |
| | | | Locus 10 | 9,11 | 1 in 14 |
| | | | Locus 11 | 6,6 | 1 in 19 |
| | | | Locus 12 | 8,8 | 1 in 3 |
| | | | Locus 13 | 10,10 | 1 in 21 |

Product = 1 in 171,000

Product = 1 in 665 trillion

Match Observed at All Loci that May Be Compared

The reference sample is still a "match" – just not as much information is available from the evidence for comparison

Quality Control Measures Used in Forensic Laboratories

Checks and Controls on DNA Results

| | |
|--------------------------------|--|
| Community | FBI DNA Advisory Board's Quality Assurance Standards (also interlaboratory studies) |
| Laboratory | ASCLD/LAB Audits and Accreditation |
| Analyst | Proficiency Tests & Continuing Education |
| Method/Instrument | Validation of Performance (along with traceable standard samples) |
| Protocol | Standard Operating Procedure is followed |
| Data Sets | Allelic ladders, positive and negative amplification controls, and reagent blanks are used |
| Individual Sample | Internal size standard present in every sample |
| Interpretation of Result | Second review by qualified analyst/supervisor |
| Court Presentation of Evidence | Defense attorneys and experts with power of discovery requests |

Virginia's State Forensic Laboratory Makes Their Standard Operating Procedures Available

3 CONTAMINATION PREVENTION AND DETECTION PROCEDURES

GENERAL DOCUMENTATION AND EVIDENCE HANDLING REQUIREMENTS – FORENSIC BIOLOGY SECTION

PROCEDURE MANUAL, SECTION I

Page 1 of 5

Issue No. 4

Effective Date: 1-October-2006

It is each member of the Forensic Biology Section's responsibility to consider the impact of his/her actions at each step of the evidence handling and analysis process to reduce the potential of introducing a foreign DNA source into the evidence or Data Bank samples. Therefore, everyone is encouraged to consider the impact of their actions beyond the practices addressed below.

3.1 Contamination Prevention Procedures

3.1.1 Cleaning/Decontamination Supplies

- 05 - Chapter 2 - Requirements for Documentation (pdf, 40 KB)
- 07 - Chapter 3 - Contamination Prevention and Detection Procedures (pdf, 66 KB)
- 08 - Chapter 4 - General Routing (pdf, 34 KB)
- 09 - Chapter 5 - Blood Analysis (pdf, 58 KB)
- 10 - Chapter 6 - Semen Analysis (pdf, 48 KB)

<http://www.dfs.virginia.gov/manuals/manuals.cfm?id=5>

Standard Operating Procedures (SOPs)

- Based on **validation studies performed in a laboratory**
- Validation studies help **define a range over which reliable results can be expected** (e.g., a detection threshold of 150 RFU with DNA profile peaks)
- An SOP **helps to ensure consistency** from case-to-case and analyst-to-analyst within a laboratory and should keep analysts within the scope of reliable results defined by the validation studies
- SOPs may differ between labs** (e.g., Virginia vs. FBI)

Summary

- "DNA" + "Match" → "Guilty" in the minds of many jurors
- Consider the assumptions with the weight of the evidence particularly for mixtures
- The technology is advancing rapidly with new capabilities becoming available...
- Training for both the scientific and legal communities is vital to make the most effective use of the wonderful power of DNA technology

If You Want to Know More Regarding Recent Advances...

See Review Article on "Forensic Science" in *Analytical Chemistry*

Describes **181 forensic DNA articles** published in 2005 and 2006 (**560 references** covering DNA, trace evidence, drugs and poisons)

Forensic Science

**Brettell, T.A., Butler, J.M., Almirall, J.R. (2007)
Forensic science. *Anal. Chem.* 79: 4365-4384**

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Available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

**Status of Genetic Marker Systems
Used in Forensic DNA Testing**

- **STRs** – widely used in casework and national databases world-wide
- **miniSTRs** – smaller versions of STR loci that can work well on degraded DNA
- **Y-STRs** – permits examination of male-only DNA
- **mtDNA** – used in specialty labs for highly degraded specimens or hair that contains limited amounts of DNA
- **SNPs** – potential for identifying ethnicity of evidence sample; still in research and likely to be limited in use

Thank you for your attention...

Our team publications and presentations are available at:
<http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

Questions?



See also <http://www.dna.gov/research/nist>
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