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## **Solar Energy Grid Integration Systems: Final Report of the Florida Solar Energy Center Team**

Ward Bower, Sigifredo Gonzalez, Abbas Akhil, Scott Kuszmaul, Lisa Sena-Henderson, Carolyn David, Robert Reedy, Kristopher Davis, David Click, Houtan Moaveni, Leo Casey, Mark Prestero, Jim Perkinson, Stanley Katz, Michael Ropp, Alan Schaffer

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## **Abstract**

Initiated in 2008, the Solar Energy Grid Integration Systems (SEGIS) program is a partnership involving the U.S. DOE, Sandia National Laboratories, private sector companies, electric utilities, and universities. Projects supported under the program have focused on the complete-system development of solar technologies, with the dual goal of expanding utility-scale penetration and addressing new challenges of connecting large-scale solar installations in higher penetrations to the electric grid.

The Florida Solar Energy Center (FSEC), its partners, and Sandia National Laboratories have successfully collaborated to complete the work under the third and final stage of the SEGIS initiative. The SEGIS program was a three-year, three-stage project that include conceptual design and market analysis in Stage 1, prototype development and testing in Stage 2, and moving toward commercialization in Stage 3.

Under this program, the FSEC SEGIS team developed a comprehensive vision that has guided technology development that sets one methodology for merging photovoltaic (PV) and smart-grid technologies. The FSEC team's objective in the SEGIS project is to remove barriers to large-scale general integration of PV and to enhance the value proposition of photovoltaic energy by enabling PV to act as much as possible as if it were at the very least equivalent to a conventional utility power plant. It was immediately apparent that the advanced power electronics of these advanced inverters will go far beyond conventional power plants, making high penetrations of PV not just acceptable, but desirable.

This report summarizes a three-year effort to develop, validate and commercialize Grid-Smart Inverters for wider photovoltaic utilization, particularly in the utility sector. This project was a team collaboration between the Florida Solar Energy Center, Satcon Technology Corporation, Northern Plains Power Technologies, Lakeland Electric Utilities, SunEdison, Sentech, Inc., Cooper Power Systems EAS, DX3 Enterprises Ltd., and Sandia National Laboratories.

## **ACKNOWLEDGMENTS**

This research was supported by the United States Department of Energy in collaboration with Sandia National Laboratories under the Solar Energy Grid Integration Systems (SEGIS) contract. The Florida Solar Energy Center, Satcon and Sandia National Laboratories would like to also acknowledge the support and collaboration of Northern Plains Power Technologies, Lakeland Electric Utilities, SunEdison, Sentech, Inc., Cooper Power Systems EAS, and DX3 Enterprises Ltd..



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## NOMENCLATURE

AI-algorithm	Anti-islanding algorithm
AMI	Advanced Metering Infrastructure
BIPV	Building Integrated Photovoltaic
D-STATCOMS	Distribution Static VAR Compensators
DER	Digital Event Recorder
DETL	Distributed Energy Technologies Laboratory
DG	Distributed Generation
DOE	U.S. Department of Energy
EAS	Energy Automation Solutions
EIA	Energy Information Administration
EPRI	Electric Power Research Institute
FSEC	Florida Solar Energy Center
GSI	Grid Smart Inverter
GSIA	Grid Smart Inverter Architecture
HECO	Hawaiian Electric Company
HMI	Human Machine Interface
IEEE	Institute of Electrical and Electronics Engineers
Islanding	Separation of a portion of the larger grid with a near balance of load and generation, such that the frequency of the island remains stable near 60Hz. Note: a microgrid is such an island, which is intentional, while islands are generally not intended.
LCOE	Levelized Cost Of Energy
Legacy	Existing systems of an older design
LFRT	Low frequency ride-through
LVRT	Low voltage ride-through
MECO	Maui Electric Company
Microgrid	A small subset of the utility grid disconnected from the larger grid and operating with a balance between load and generation, such as a commercial building or residential subdivision.
MPPT	Maximum Power Point Tracking
NIST	National Institute of Standards and Technology
NPPT	Northern Power Plains Technologies
OF/UF	Over Frequency/Under Frequency
OV/UV	Over Voltage/Under Voltage
PPA	Power Purchase Agreement
PSAI	Permissive Signal Anti-Islanding, a method of protection against unintended islands based on interrogation of feeder continuity by a continuous signal superimposed on each phase of the feeder circuit.
PV	Photovoltaic, Photovoltaics
RPS	Renewable Portfolio Standard
RTU	Remote Terminal Unit
SCADA	Supervisory Control and Data Acquisition
SEGIS	Solar Energy Grid Integration Systems
SEGIS-AC	SEGIS-Advanced Concepts

SNL	Sandia National Laboratories
T&D	Transmission and Distribution
UDAC	Utility Design Advisory Committee
U-I	Utility Interactive
VAr	Volt Ampere Reactive

## Executive Summary

The Florida Solar Energy Center (FSEC) and its SEGIS team, consisting of Satcon Technology Corporation, Northern Plains Power Technologies, Lakeland Electric Utilities, SunEdison, Sentech, Inc., Cooper Power Systems EAS, DX3 Enterprises Ltd., and Sandia National Laboratories, have successfully collaborated to complete the work under the third and final stage of the SEGIS initiative. Initiated in 2008, the SEGIS initiative is a partnership that includes DOE, Sandia National Laboratories, industry, utilities, and universities. Its focus is on the development of technologies required to facilitate the integration of large-scale solar power generation into the nation's grid. The SEGIS initiative was a three-year, three-stage project that encompassed conceptual designs and market analysis in Stage 1, prototype development and testing in Stage 2, and moving toward commercialization in Stage 3.

Many experts believe high penetration and large-scale utility adoption of photovoltaics (PV), coupled with decreasing costs and increasing reliability and performance, is a critical element of the future of renewable energy. The Florida Solar Energy Center (FSEC) team's objective in the SEGIS initiative was to remove barriers to large-scale general integration of PV and to enhance the value proposition of photovoltaic energy by enabling PV to act as much as possible as if it were at the very least equivalent to a conventional utility power plant. It was immediately apparent that the advanced power electronics of these advanced inverters will go far beyond conventional power plants, making high penetrations of PV not just acceptable, but desirable. PV power generating plants will not achieve their full potential until they cease to be regarded by utilities as a problem or potential hazard, but instead as a resource that can be monitored and dispatched to contribute to the efficiency and stability of the grid.

The Grid-Smart Inverter and Architecture (GSIA) developed by Satcon (with input from the entire FSEC team) features both advanced components (e.g., Grid-Smart inverter, Grid-Smart shared inverter, and Site Controller) and innovative integration of conventional technologies that address these barriers and market needs. Satcon Technology Corporation's Grid-Smart inverter (GSI) has the necessary power electronics controls, communications interfaces, and control system architecture to interface with utility energy management systems, provide adaptive anti-islanding, manage real and reactive power output, and offer cost-reducing aggregated control of multiple PV units. Further, these inverters are readily controlled via utility Supervisory Control and Data Acquisition (SCADA) systems, can provide the type of diagnostic and prognostic information that utilities require, and can incorporate a variety of solutions to weather induced intermittency.

The design focus of this project was commercial and utility-scale PV systems, which typically deliver the output power directly into a substation or a dedicated distribution feeder. Therefore, these systems can be viewed as a form of Central Station power plants. It is critical that future PV systems perform not just at a level approaching that of conventional generation, but that future PV systems outperform older technologies and meet critical utility needs, such as control of generation status, ride-through of disturbances, Volt Amp Reactive (VAr) generation and voltage support, and shared inverter designs for utility-owned complex sites. While several of these needs are met with conventional generation, the introduction of large-scale PV systems and integrated power electronics offers utilities new and enhanced features from an energy source

that is too often considered overly variable. Such features include stabilization of mini/micro grids (in island mode), harmonic cancellation, deliberate phase unbalance and rebalance, prognostics and diagnostics, real-time phase balance of feeder circuits, enhanced transient response, oscillation damping, and spinning and ready reserve.

# 1 Introduction

With exponential growth in the terrestrial photovoltaic (PV) market [1], many issues arise regarding the integration of these systems into utility networks at high levels of penetration. One such issue is ensuring these systems are seen as valuable resources, rather than liabilities, to utility system operators. PV systems are composed of PV array(s) that convert incident solar energy into DC electricity, and an inverter which, as the power electronics interface between the array and utility network, performs many functions to ensure the system operates effectively and safely. These functions include performing maximum power point tracking of the array, providing DC to AC inversion, and synchronizing the AC current and voltage to that of the utility network [1]. Inverters also act as the human-machine interface (HMI) for most PV systems, and often perform data collection duties to track and communicate the performance of the system to the owners and operators.

Significant efforts from private industry, academia, and governmental agencies have led to continued massive reduction in the levelized cost of energy (LCOE) from PV systems. From the point of view of the utility system operator, conventional PV systems still have many disadvantages when compared to traditional fossil-fuel generators regardless of the LCOE. Some of the key disadvantages include:

- A PV system is an intermittent power source, dependent on the fluctuating sunlight local to the area in which it is installed.
- Conventional PV systems operate at unity power factor, regardless of the reactive power needs of the utility network.
- Due to concerns regarding unintentional islanding, current interconnection standards require distributed PV systems to cease to export power during voltage and frequency disturbances, thereby reducing generation at times when it is needed most.

Thanks to relatively recent improvements in power electronics, including advances in fast semiconductor switching devices and real-time, computer-based control systems [2], PV inverter technology actually has the potential to overcome these barriers and provide significant added value beyond the simple kilowatt hour (kWh) production of energy [3]-[4]. For this Solar Energy Grid Integration Systems (SEGIS) project [3], the Florida Solar Energy Center (FSEC) and its team partners proposed an objective-driven method to design, develop, and demonstrate an advanced inverter with monitoring, control, and management of solar electrical energy generation. The integration of advanced power management functions provides beneficial grid support such as enhanced grid stability and reliability, voltage regulation, and reactive power (VAr) support. This approach is applicable not only to PV, but also to other renewable distributed energy resources (DER), and will help accelerate utilization of renewable energy technologies. Ultimately, it is the FSEC team's hope that this project will contribute to altering the electricity delivery from central power plants to a modern system with a combination of central and distributed energy resource, and also contribute to changing the emphasis of investment in electricity generation away from limited supply fossil fuels with polluting and climate changing impacts, in favor of harvesting inexhaustible solar energy with environmentally benign and, therefore, climate-friendly effects.

## 1.1 Team Members

The team for this SEGIS project is led by the Florida Solar Energy Center, a research institute of the University of Central Florida. In addition, a strong group of core subcontractors was created to bring complementary skills to complete the project team, including expertise in renewable and distributed energy resources, power electronics, energy efficiency, demand side management, facilities energy management systems, integration of DER into the utility system, and communications technologies. Equipment and technology suppliers all contributed to the project with the intention and understanding that a full-scale demonstration of the innovative approach would validate the value and enhance the viability of their products in the modernization of the electric power system. The FSEC team included the following subcontractors:

- SatCon, one of the world’s top inverter manufacturers
- Sentech, Inc., a clean energy technology analysis and market transformation consulting firm that supports public and private organizations with interests in renewable energy and smart grid applications
- SunEdison, the number one American purchaser of inverters in its role as a renewable energy developer, marketer, and financier
- Lakeland Electric Utilities (“Lakeland”), a Florida-based municipally-owned utility
- Northern Plains Power Technologies (NPPT), a South Dakota based power systems consulting firm specializing in grid-integration of renewable, microgrid, and computer simulation of power systems

Additionally, the team benefited from the cooperation, flexibility, and favorable pricing of its vendor-partner for the demonstration Permissive Signal Anti-Island equipment:

- DX3 Enterprises, a leading engineering consulting and equipment manufacturing company with unique expertise in quick-turnaround manufacturing of designed-for-client electrical equipment

## 1.2 Key Results of the Project

The key results of this project include the demonstration of three major innovations. First is the design and implementation of grid-smart features for utility control and optimization through the commercially available Satcon Equinox™ inverter and Satcon Site Controller. In order for PV to effectively replace fossil fuel generation, it must integrate into the existing generation mix and, at a minimum, meet the standards imposed on conventional generation. These grid-smart features are enabled via fully bidirectional SCADA communication. They include remote ability to control (on/off) or curtail generation, power factor control, and remote diagnostics and prognostics. While these features were certainly innovative, a utility operator with thousands of PV systems in the service area would not be able to take full advantage of them unless the systems could be modified as an aggregate—a feature provided by the Satcon Site Controller.

The second major innovation is the design and implementation of a shared inverter through the commercially available Satcon Solstice™ inverter. The shared inverter allows for PV arrays of different orientations, technologies, and age to share a single inverter through string-level maximum power point tracking (MPPT). This allows for a modular approach to PV system

construction, and it is ideally suited for multi-plane rooftops, building-integrated PV (BIPV), and perhaps a scenario where a utility-owned central inverter is the power conditioner for a number of customer-owned rooftop PV systems on neighboring buildings.

The third major innovation is the design and implementation of inverter ride-through with a permissive signal, demonstrated by the team using some off-the-shelf and prototype hardware. Many utilities continue to have concerns about localized anti-islanding solutions in a high-penetration scenario when many inverters on a feeder are running identical anti-islanding algorithms [5]. While synchrophasors have been proposed as a potential solution, they do not address the common downed-wire hazard, when a single distribution conductor falls from a power line to an accessible location. This permissive signal architecture provides reliable anti-islanding protection with the benefit of allowing utility-enabled ride-through.



## **2 SEGIS Project Overview**

### **2.1 Objectives**

The primary objective of the FSEC team in the SEGIS project was to develop and demonstrate at least three innovations of great interest to utilities and the renewable energy industry:

1. A novel approach to protection from islanding during utility feeder outages, which allows the operation of the PV generation during all other grid disturbances without risk to personnel or public safety
2. Utility control of inverters in distributed systems to produce leading VARs as needed to replace or supplement dedicated power factor correction capacitors or distribution static VAR compensators (D-STATCOMs)
3. A novel “shared” inverter architecture featuring a Smart Subcombiner to improve safety, provide diagnostics/prognostics of individual module strings, and enhance energy yield for large roof-top arrays, central-station PV farms, and linear PV farms along rights-of-way

But why are these innovations important? These advances can transform the way PV is utilized in today’s energy generation, transmission, and distribution (T&D), and transform the use paradigm in America and elsewhere. Typical modern grid-connected inverters that tie clean energy systems such as PV to utility grids are essentially high-bandwidth amplifiers connected to the grid, so there is no requirement that they mimic the functionality and response time of thermal or hydropower plants with large synchronous generators. These inverters are typically configured and controlled as current sources to put energy onto the grid, synchronized with the grid’s voltage waveform. They do not, typically, supply reactive power to the grid and, in the few cases where reactive power is supplied to correct the power factor of adjacent loads on the same low voltage bus, the inverter typically only follows a fixed preset VAR supply reference. They are also capable, if additionally powered from an energy storage device and fast grid connect device, of acting in a voltage source mode and powering local loads in a load-following manner.

In addition to the development and demonstration of these three technical innovations, other indirect goals for this this project included:

- Provide pathway to significant cost reduction in utility scale PV
- Promote interest in PV for utilities by making them aware of the added value potential of many DERs on their network with a power electronics interface that can be controlled remotely
- Develop new interconnection standards that allow safe ride-through operation of grid-tied inverters and permit the supply of ancillary services

### **2.2 Scope**

The focus of Stage 1 for this SEGIS project was to evaluate the feasibility of utility controlled inverters with advanced power management functionality and improved island detection via

Permissive Signal Anti Islanding (PSAI). In addition, market analysis was carried out to determine the need and value of these technical advancements. A preliminary design was created in Stage 1, for both the inverter and integrated system architecture capable of supporting the advanced inverter functionality in a manner compatible with utility and building operating practices (Figure 1). A modeling and simulation effort was also carried out to further evaluate the performance of the preliminary design.

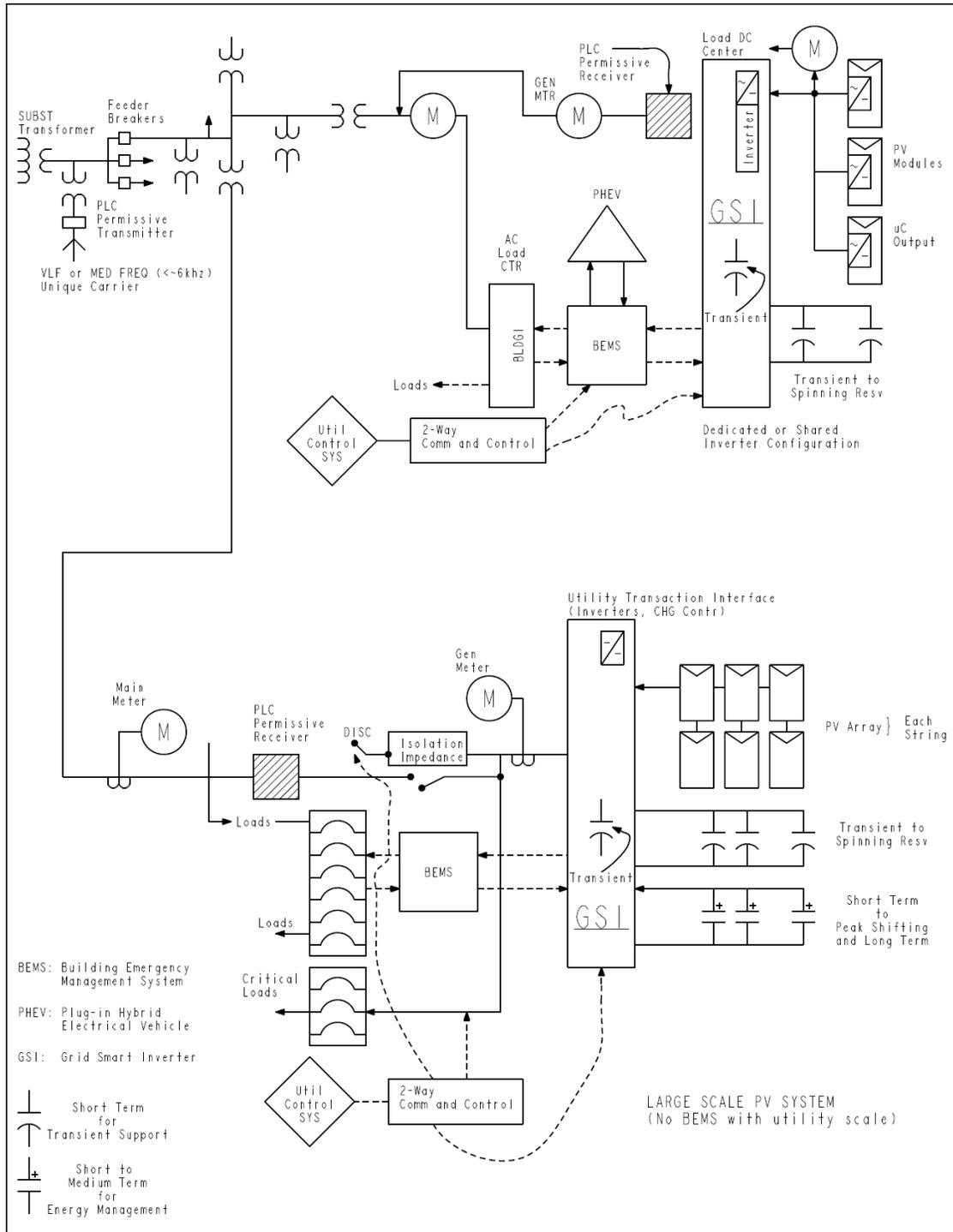
FSEC carried out the project management duties in Stage 1, as well as Stage 2 and 3. Satcon led the inverter design effort, as well as the modeling of the inverter characteristics. NPPT led the modeling effort for PSAI-based anti-islanding by studying PSAI signal propagation through a Lakeland Electric feeder using data from Cooper Power Systems Energy Automation Solutions (EAS). Sentech was the main driver on market analysis studies and interaction with electric utilities, including Utility Design Advisory Committee (UDAC) members. SunEdison took the lead in connecting to various segments of the industry and providing data from their extensive experience with implementing large-scale PV installations and working with Satcon on developing requirements for data and utility side control.

The goal for Stage 2 was to develop and test a prototype design of the Grid-Smart Inverter (GSI) and assess the overall performance of the system and the ability of value-added features to mitigate any potential negative effects of high-penetration PV distributed generation. Prototype testing was carried out at both Sandia National Laboratories and at Satcon's laboratory in Boston, Massachusetts. Inverter design and prototyping was led primarily by Satcon with project management carried out by FSEC. The test plan was created by Satcon, FSEC, and Sentech, with Satcon actually carrying out the testing during the Witness Testing exercise in Boston. In Stage 2, a transient inverter model was created by Satcon to further investigate the transient characteristics of the inverter under different operating conditions (e.g., low voltage ride-through operation and rapid changes in irradiance).

Regarding the integrated system architecture, FSEC, NPPT, and Lakeland Electric began developing potential strategies for implementing the PSAI-based island-detection. Potential fault scenarios were considered, along with performance, cost, and design considerations for the PSAI Permissive components, and potential system configurations and methods of implementation. This work was continued into Stage 3 and culminated with a published proceedings paper at the *2011 IEEE International Symposium on Power Line Communications and Its Applications (ISPLC)* [5].

In Stage 3, field validation testing was carried out on the GSI and the "shared inverter" concept. Testing of the GSI was performed in Lakeland, Florida, with FSEC leading the effort in creating the test plan; organizing the demonstration conference, specification, and procurement of the measurement instrumentation; and project management. Lakeland Electric provided technical expertise and some of the necessary hardware and worked in conjunction with Satcon and FSEC to provide utility control of the inverter via the Lakeland SCADA system. Lakeland Electric staff also performed the installation work of the hardware installed on the utility-side of the system. In addition to the SCADA integration, Satcon performed the inverter/customer-side installation work with assistance from SunEdison, the owner of the PV system. DX3 Enterprises Ltd. provided the PSAI-based island-detection system. Installation of the signal injection transformer

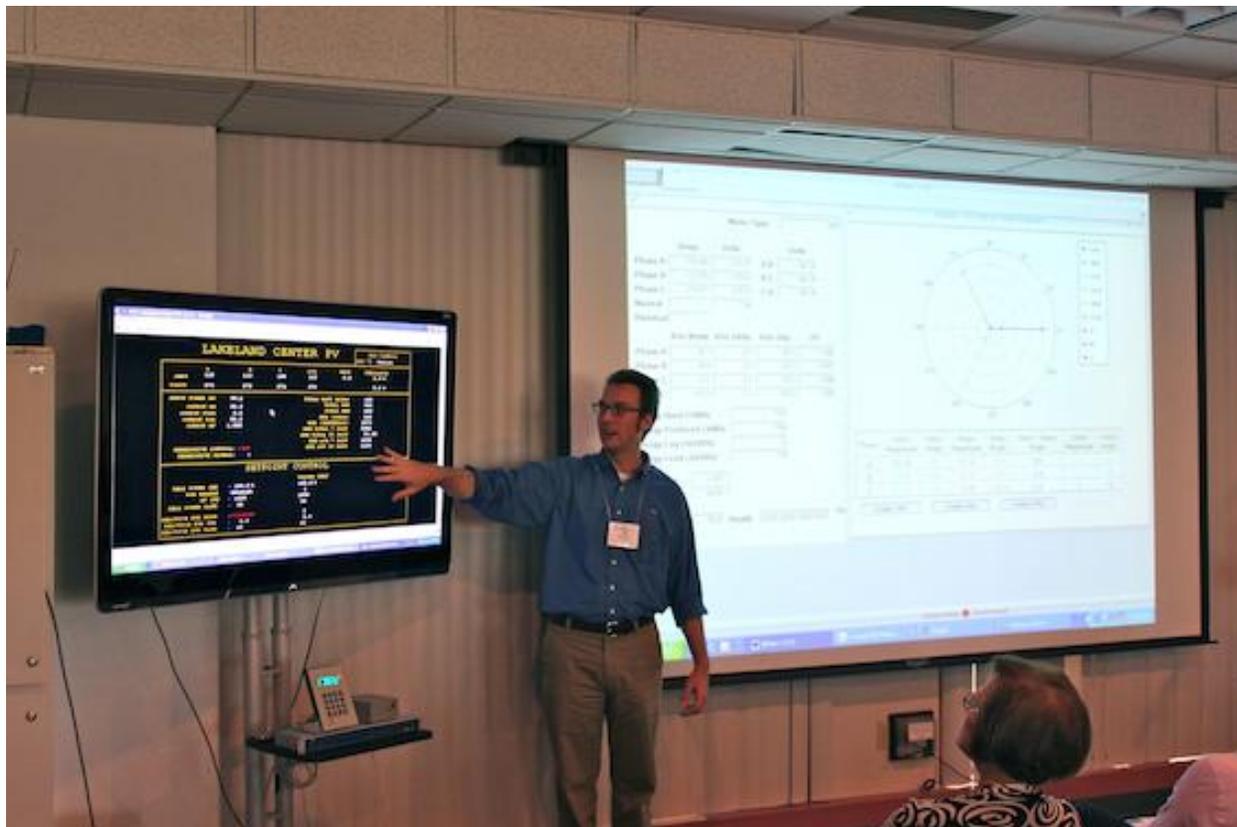
was carried out by Lakeland Electric. In addition to the PSAI demonstration efforts carried out in Lakeland, a more detailed modeling study was led by NPPT, leading to a paper published in the *Proceedings of the 37<sup>th</sup> IEEE Photovoltaic Specialists Conference* [6].



**Figure 1: One-line diagram of a power system incorporating the concepts of the FSEC team's preliminary design of the Grid-Smart Inverter Architecture (GSIA)**

The testing of the “shared inverter” concept was led by Satcon. This test site was located at Alpha Grainger’s manufacturing facility in Franklin, Massachusetts.

The FSEC team organized a Demonstration Site Conference, hosted by Lakeland Electric at their headquarters in Lakeland, Florida, on September 22, 2011. At this conference, more than 55 people witnessed Lakeland Electric remotely control the Satcon GSI using their SCADA system. Using multiple large display screens showing the SCADA interface and real-time current-voltage characteristics (Figure 2), the advanced functionality of the GSIA was clearly demonstrated, including power factor control, VAr injection, and PSAI-based island detection.



**Figure 2: Jim Perkinson of Satcon describing the Lakeland SCADA interface, shown on the screen to the left, at the FSEC team’s Demonstration Site Conference. Also shown are the real-time current-voltage vectors on the screen to the right.**

### **2.3 SEGIS Concept Paper Comparisons**

Sandia National Laboratories’ SEGIS Concept Paper [4] was critical in helping identify key technical areas for the FSEC team’s SEGIS project. Some of the topics featured in the SEGIS Concept Paper that were selected for this project are included in Table 1 and broken out in the three innovation areas of this project. The page number(s) listed after each bullet notes where that topic can be found in [4].

**Table 1: SEGIS Concept Paper comparisons with FSEC team’s project**

<i>FSEC Team Innovation Area</i>	<i>SEGIS Concept Paper Topic and Page Number</i>
Grid-Smart Inverter Architecture	<ul style="list-style-type: none"> <li>• Innovative system-integrated inverter controller products (pg. 20)</li> <li>• External communications (pg. 20-21)</li> <li>• Voltage regulation (pg. 21)</li> </ul>
“Shared Inverter” Concept	<ul style="list-style-type: none"> <li>• Reduced cost and complexity of installation through innovative installation methods (pg. 23)</li> <li>• Self-diagnostics for the inverter/controller/energy management (pg. 23)</li> <li>• Integration with plug-in hybrid vehicle systems (pg. 25)</li> </ul>
Permissive Anti-Islanding	<ul style="list-style-type: none"> <li>• Anti-islanding control (pg. 20)</li> <li>• Continuous Power Line Carrier Communications (pg. 21)</li> </ul>
Cross-Cutting	<ul style="list-style-type: none"> <li>• Reducing inverter cost (pg. 25-26)</li> </ul>

## 2.4 Market Update

In the U.S., the SEGIS programs, supported through the U.S. Department of Energy, have encouraged inverter manufacturers to develop advanced algorithms for power factor control and low-voltage ride-through. Satcon has been extensively involved with the SEGIS program since its inception in 2008. Moreover, Satcon has extensive real-world experience with ride-through of an island microgrid system on the island of Lana’i, Hawaii.

Satcon inverters power Hawaii’s largest solar photovoltaic farm and micro-grid on the island of Lana’i, Hawaii. The 1.2 megawatt (MW) installation is the first solar photovoltaic power plant to be controlled remotely by a utility, Maui Electric Company, Ltd. (MECO). MECO has to manage the grid remotely from the island of Maui, thirty miles and an ocean channel away, by ramping up and down different generation sources. They also have to be able to handle intermittent power output from the PV plant due to cloud cover during the day and lack of solar power at night. Given a 12-24 hour weather forecast and knowledge of expected solar irradiance levels at all times during the day, MECO is able to predict when the solar plant is available to generate power and when spinning reserve diesel generators need to be used to meet demand. Satcon developed a remote utility-control system embedded in the inverters to be used at Lana’i. With this advanced system control technology, the PV plant is seamlessly integrated into the utility grid, with the inverter serving as the central control point. The intelligence contained in the inverter means that MECO can dynamically switch from solar to diesel energy sources and back, thus supplying uninterrupted power to the island. Additionally, MECO can use the inverter to generate reactive power from the PV plant, stabilizing the grid when it comes under stress.

The functionality developed and deployed in this project became the foundation for all of Satcon’s next generation solutions, including Equinox, Solstice, and the factory integrated medium voltage building block, Prism Platform. A recent advertisement in *SolarPro* magazine has been included in Appendix C.



## 3 SEGIS Tasks: Descriptions and Results

### 3.1 Stage 1: Evaluate Feasibility

#### 3.1.1 Task 1: Conduct Feasibility Studies and Preliminary Design

To address this task, the team focused on three areas: (1) interconnection standards, (2) communications, and (3) simulation model development. Based on these areas, the team worked to understand the key requirements for realizing the Grid-Smart Inverter concept.

The standards issues, primarily associated with restrictive requirements of IEEE Std.1547 [4], have been identified and their resolution in favor of different, more permissive grid-interconnection standards for distributed generation continues to be actively pursued. Specifically, through involvement in existing and emerging sub-committees of IEEE Std. 1547, team members continue to advocate for inverter-based distributed generation. This included two team members being present at the most recent IEEE Std.1547 Microgrid, Secondary Networks and Simulation subcommittee meetings in Las Vegas in January 2009. The FSEC team has three active balloting members of the IEEE committees and several others who have participated in previous IEEE Std.1547 ballots.

Communications development focused on establishing the communications requirements for the Grid-Smart Inverter operating grid-connected, under utility control, and assessing the ability of current communications methods to provide the needed data connection for both monitoring and control. Validating the results of this assessment of the ability of each PV inverter unit to communicate bi-directionally with a local site controller, or SCADA remote terminal unit (RTU), allowing the inverter-grid interface to be centrally controlled, was accomplished in subsequent project stages.

Simulation model development and grid-connected inverter performance analysis under critical conditions has supported the development and incorporation of necessary control features into inverter controls. A separate project from this SEGIS effort, but with particular relevance, involves a PV generation site on the Hawaiian island of Lana'i, where a moderate PV plant (1.2MW) is integrated into a small diesel grid (4MW). The penetration of 30% Solar PV coupled with the small grid highlights many of the same concerns of large scale integration into the larger utility grid. This project was undertaken at the request of the PV Integrator (SunPower), the PV plant owner (Lana'i Sustainability Research – a division of Castle and Cooke), the DOE, and the local utility (MECO, HECO), to incorporate inverter control features that would enable the high PV penetration, address and control intermittency, give the utility control over the plant from a remote control center (on the island of Maui), and upgrade overall grid performance. Incorporating these inverter and PV plant control features, integrated with the utility SCADA system on the Lana'i grid, required detailed software development, extensive simulation work, and laboratory and field testing, which ultimately enabled the site owner to meet the requirements of the Power Purchase Agreement with MECO, the local grid operator.

While this Lana'i project is separate from the SEGIS effort and has distinct differences, it did provide an opportunity to provide concept checks on SEGIS and to work on high-penetration at

one end of the spectrum of grid-connected PV power: The PV site can not only supply up to 30% of the grid load during peak solar hours, but also 10% of the annual electrical energy demand, which today is all produced from diesel fuel. The features incorporated into the Lana'i inverter controls, all of which proved quite relevant to the SEGIS program, are listed below. The implementation of these features is discussed in later sections.

- *Remote-Controlled Real Power Control*
- *Remote-Controlled Power Ramp Rate*
- *Remote-Controlled Power Factor Adjustment* - The inverter can be used to source VARs, and, in the Lana'i case, the PPA specifies a PF adjustment within the range of 0.95 leading to 0.95 lagging, in increments of 0.005, with a <0.5 second response time.
- *Ride-Through Capability*

### *3.1.2 Task 2: Estimated Product Performance and Cost*

An initial performance and cost estimate for the SEGIS concept and hardware was carried out in Stage 1 and refined further in Stage 2. Details regarding the performance and cost estimates are given later in the description of Task 10.

### *3.1.3 Task 3: Identify Perceived and Actual Barriers and Mitigation Plans*

In Stage 1, the team worked closely to identify key technical barriers to the integration of new components and software implementation of new technology features in the preliminary inverter design. The following barriers were identified:

#### **1. Intermittency of PV Production**

A common concern with renewable energy power plants is the effect of weather on power production. For systems with natural resource storage, such as hydro, this concern is for time scales of weeks and months. For wind and PV, the concerns and effects are for much shorter time frames due to intermittent disturbances. While it is possible to forecast weather with reasonable accuracy and so predict average power production across a region quite accurately, the effects of gusts, squalls, clouds and the like can cause sudden disturbances in wind and PV production from a single power plant. For solar PV interconnected at the distribution feeder level, this could have a sudden and significant effect on power supply and quality within the feeder. Addressing the disturbances in solar energy input to ensure that the grid is not unduly disturbed and power quality maintained is a key element of the technical challenge to the large scale grid integration of solar PV.

#### *Mitigation Plan*

PV plant ramp rate control can be achieved in a number of ways, some of which the FSEC team studied with a view to implementation in Stages 2 and 3. Ramp-up is an easy task as the inverter can be used to smoothly ramp up power production within the known projection of the DC array capacity, which is determined from a V-I lookup table maintained by the MPPT algorithm. Smooth ramp-down of power production can be achieved in three distinct ways. The first is to anticipate the disturbance and its effects, and so ramp down in advance of the disturbance, a technique that requires active measurement up-wind of the PV array. A second technique is to

operate the PV plant below capacity, and so provide dynamic range, which is a commonly used approach in European Wind systems, but has direct economic consequences from lost power production. Only applying this reserve power scheme in cloudy conditions can minimize the economic impacts. The final technique is to use some amount of energy storage to supply the energy during ramp-down of the PV plant.

## 2. Lack of Utility Control

Today's traditional inverter operates in an autonomous fashion, supplying power while monitoring the voltage and frequency at the point of common coupling to check for disturbances. At higher levels of penetration, this represents a lot of generation that cannot be controlled by the utility. Control has two important meanings in this case—both the ability of utilities to remotely shut down DERs when required and the ability for utilities to exercise the advanced power management functions of the Grid-Smart inverter, either individually or as an aggregate (e.g., per feeder).

### *Mitigation Plan*

A critical part of this project lay in first demonstrating utility control of an inverter through a SCADA system. Another important aspect was to then promulgate the full range of benefits of this control to industry. In addition to control through SCADA, the PSAI-based anti-islanding approach also provides an effective way to remotely shut down inverters during times of overproduction or during switching operations.

## 3. Cost of Utility Scale PV

The utility is clearly the ultimate and (from a cost/price perspective) the toughest customer in the power business. The Federal Energy Regulatory Commission mandates have driven local Renewable Portfolio Standards (RPS) in many States (29 States + District of Columbia), which on average are driving toward a goal of 1% of total energy supplied through new renewable energy resources being added per year [8]. While PV is a natural distributed resource, and easily deployed and aggregated in urban environments, it is relatively expensive when compared to some other renewable resources, particularly wind. In recent years the typical customers for large PV systems have been large consumers of electricity, where the target cost is the competing retail electricity rate, and the energy was sold through Power Purchase Agreements (PPAs). While this model does displace non-renewable power generation, it does not integrate well with the operation and the economics of the overall grid. The FSEC team's goal for SEGIS is to help achieve large scale utility adoption of PV, and a key component of this is cost.

Roughly speaking the cost of utility scale solar PV projects in 2008 was \$5/watt which, assuming a 5% rate of return, a 20 year plant life (fully recovered capital), and a capacity factor of 25%, equates to approximately 4¢/kWh/(price/watt), or a LCOE of 20¢/kWh for completely unsubsidized solar PV. This is considerably higher than the wholesale power rate in many parts of the country, and is even higher than the sum of the wholesale power rate and the value of a Renewable Energy Credit (typically 6¢ and 6¢ per kWh respectively). Obviously, subsidies and tax incentives can dramatically lower the LCOE from this nominal 20¢/kWh number, so that a power producer paying an effective rate of \$2/watt to install solar PV based on a state subsidy of \$2/watt and a 3 year investment tax credit, would incur as the effective cost of the solar electricity 8¢/kWh.

Looking beyond mandates and subsidies, there are several major developments that portend a dramatic drop in installed PV costs. After many years of shortage, world PV grade polysilicon supplies are increasing dramatically, major thin film technologies are finally coming to market, and total PV module supply exceeds demand. The recent results of these forces include a multi-MW utility project with installed costs of \$3.60/watt and high-volume panel pricing falling by 20% (\$2.70/W down to \$2.20/W). Large scale utility adoption will drive the scale and the economies of the industry further. A final issue to consider around economics is the real or perceived added value of large PV systems. Added value was a goal of the SEGIS projects, and FSEC and its team focused on the added value as well as costs.

#### *Mitigation Plan*

The concept of utility ancillary services being provided by distributed PV plants is not new, but is often misunderstood. Several UDAC members compared the VAR capability of PV plants to those provided by switched VAR capacitor networks. PV inverters can provide dynamic VARs, leading or lagging, to the grid, and often near the actual loads, which is quite powerful and clearly has a value by reducing distribution losses.

#### 4. IEEE Std. 1547

IEEE Std. 1547 requires that all customer-sited DG incorporate a means to detect loss of utility power to ensure that inverters do not feed utility faults of open or downed utility lines. Also, IEEE Std. 1547 specifies that DG should trip off line if the RMS voltage at the inverter's terminals is 10% above or 12% below the nominal value for more than two seconds, or if the frequency is not between 59.3 Hz and 60.5 Hz.

The mandatory inverter disconnect from the grid requirement as part of IEEE Std. 1547 will likely be the source of grid instability as PV capacity grows. With higher penetrations of PV, utilities will value allowing PV and other inverter-based DG to ride through voltage sags or frequency disturbances. This is not possible with the stringent under/over voltage and under/over frequency tripping of PV inverters used today or with the present active anti-islanding requirements. These restrictive voltage or frequency trips can cause distributed PV to disconnect at a time when their continued operation would provide high value generation to the host utility. Thus, using stringent OV/UV and OF/UF settings to improve the detection of and response to line faults and loss of grid connectivity has limited the ability of PV to provide high value to the grid. Similarly, the active anti-islanding requirements are an impediment to DG based microgrids.

#### *Mitigation Plan*

Today's grid interconnection standards are not compatible with the voltage regulation or frequency-support functions. The modifications of the existing interconnection standards would allow PV inverters to provide grid support—voltage regulation functions, implemented through reactive power control, and would enable inverter-based DGs to be much more beneficial to the grid than is currently possible. Unfortunately, this function would interfere with most anti-islanding schemes as they are presently implemented.

There is a need for evaluation and testing of alternative loss-of-connectivity detection methods. These alternative methods should not use destabilizing positive feedback, but rather facilitate the implementation of grid support functions, without losing islanding detection effectiveness for any combination of local loads, distributed generators, or system configurations. Potential solutions to this problem include use of permissive signal anti-islanding (PSAI). PSAI has a number of significant advantages for this application. If the PSAI signal meets certain criteria, such as having a continuous carrier, then loss-of-connectivity, other general faults, and islanding detection could all be achieved through the use of the PSAI signal as a continuity test of the line. All that would be required would be to test for the presence or absence of the PSAI signal; its presence indicates that the utility is still there, and vice-versa. The inverter would thus “know” when it was islanding and could react appropriately, and active anti-islanding techniques would be unnecessary. Voltage and frequency trip settings could be widened to better accommodate utility transients and provide better ride-through, or even adjusted dynamically depending on whether the inverter was in grid-tied or isolated operational mode. It would be valuable to replace current active anti-islanding schemes with alternatives that facilitate the implementation of grid support functions in inverters. This combination of concerns is addressed by the PSAI strategy proposed by the FSEC team, a strategy that was presented at multiple technical conferences [5-6].

#### 3.1.4 Task 4: Market Analysis

The approach to the market needs assessment relied heavily on the input from the Utility Design Advisory Committee (UDAC) to prioritize the multiple potential attributes of the SEGIS technology. The UDAC member organizations are shown in Table 2. As part of market assessment tasks of the project, the FSEC team sought input from industry-leading utilities and other electric power sector stakeholders on the value of technology/product attributes in the context of their understanding of market needs, trends, and barriers. The utility roles/perspectives that the project team attempted to capture included considerations covering distribution operations, bulk power procurement and operations, customer/account management, renewable program management, and corporate strategy (e.g., technology/information and RPS compliance strategies).

**Table 2: List of UDAC member organizations**

Duke Energy	National Grid
Lakeland Electric Utilities	Hydro One
PG&E	Sacramento Municipal Utilities
DTE	Orlando Utilities Commission
SDG&E/Sempra	Nashville Electric Service
Southern California Edison	Arizona Electric Power
FP&L	HECO/HELCO/MECO (Hawaiian utilities)

The suite of technologies embedded in the SEGIS program involve advanced inverters, controls, communications systems, and, when required, energy storage [4]. The objectives of the market research task performed by the FSEC team were to:

- Understand interests and respective drivers for utility-controlled large scale PV systems.
- Identify the SEGIS product attributes and functionality that are most relevant and valued to potential utility customers.
- Gain insights into understanding of the current and expected technologies that allow for utility control of PV systems.
- Discover expectations from utilities from the advanced SEGIS system.
- Gauge interest on the part of utilities to participate in continued product definition, development, and testing of SEGIS technology.

The market analysis completed under Stage 1 of the project confirmed the need and value of the advanced SEGIS attributes in a market expected to experience high growth of utility scale PV. The Stage 1 findings identified key issues, functional requirements, and technical issues/risks to be addressed. The functional requirements identified in the market analysis are specified in the description of Task 7.1.

### *3.1.5 Task 5: Revise Stage 2 and 3 Plans Based on Stage 1 Investigation*

By the end of Stage 1, there were planned changes to the Stage 2 Work Plan, which included the following:

1. Originally, the plan was to use Hunt Technologies for the permissive power line carrier communication signal for the anti-islanding function. Upon further review of the equipment choices, FSEC and SatCon decided Cooper Power Systems (Energy Automation Systems Division) was a better choice. At this point, the plan for Stage 2 was that the Cooper PSAI system would be integrated and tested as part of the FSEC Team project. Later in Stage 3, however, the FSEC Team ultimately went with DX3 Enterprises as the PSAI system provider, which was used for the final demonstration testing in Lakeland at the end of Stage 3.

2. It had been anticipated that bench testing for the small prototype inverters (30-50 kVA) developed in Stage 2 would be conducted at FSEC and the University of Central Florida's Florida Power Electronics Center test facilities. Instead, all Stage 2 equipment staging and testing was moved to Satcon's in-house Electronics Laboratory, which would allow Satcon personnel to fabricate breadboards, and test, debug, and modify digital and analog electronics, ranging from instrument signal conditioning to high power switching-amplifiers and inverters. Laboratory instrumentation at this facility includes high-bandwidth analog and digital oscilloscopes, programmable power supplies up to 300 kW dc, multiple-frequency function generators, a circuit prototyping facility, high-bandwidth power amplifiers, precision voltmeters, high-bandwidth current probes, an automatic impedance bridge, and three computer-aided spectrum analyzers used for control loop and structural analysis. The microgrid section of the Laboratory features a 3-phase programmable 100kW grid with variable voltage and frequency. The specialized measurement instrumentation permits detailed component and system level testing to assure design adequacy, and is recognized as a UL 1741 [9]certification site.

3. In the shared inverter concept development during Stage 1, Satcon concluded that cost and efficiency targets needed to make DC-DC converters associated with each individual PV module were difficult to meet. The shared inverter would interface the DC bus to the grid AC bus, and could accept input from multiple PV arrays. Other generators and storage units would feed onto a common DC bus, and DC loads could potentially draw from that same bus. This DC-coupled interface could also be used at the module level to individually track the maximum power points of PV modules, using on-board DC-DC converters. Such DC-DC converters could be considerably less expensive and potentially more reliable than micro-inverters because they would require much smaller filter capacitors and would have a much greater degree of cross-applicability than micro-inverters. Satcon conducted trade-off studies for the panel-mounted or string-level DC-DC converters, considering them from the individual module level up to the multiple parallel string level, and concluded that the complexity of communications and control out-weighed the benefits of the module-level converter. String or sub-string control, on the other hand, reduces that complexity but still offers the benefits of making string operating parameters available to the system operator, improved overall PV array efficiency, and upgraded inverter throughput rating. Accordingly, in Stage 2, the attention shifted to making the DC-DC conversion at the string or sub-string level instead of at the module level.

In addition to these Stage 2 changes determined at the end of Stage 1, two venues were identified as potential field test sites for Stage 3 demonstration testing. A site near Lakeland’s municipal airport was a potential candidate, but ultimately, a 283 kWdc rooftop mounted system at the Lakeland Center was selected as the primary test site (Figure 3). Later, an additional test site located at the Alpha Grainger facility in Franklin, Massachusetts, was selected for evaluation of the shared inverter architecture.



**Figure 3: Lakeland Center test site**

### *3.1.6 Task 6: Stage 1 Program Management and Reporting*

As the lead participant, FSEC primarily performed the program management duties and led the report writing efforts in Stage 1.

## 3.2 Stage 2: Prototype Development

### 3.2.1 Task 7: Comprehensive Design Development

At the beginning of Stage 2, a comprehensive engineering review of the preliminary GSI design was carried out. In addition, a list of functional requirements was finalized, as described below, and computer simulations at the inverter- and system-level were carried out to provide a rapid prototyping environment and “weed out” unnecessary experiments for the prototype evaluation.

#### 3.2.1.1 Task 7.1: Functional Requirement Development

A summary of the functional requirement development task has been summarized and can be found below:

<b>Functional Requirement Development Summary</b>
<b>Advanced Control Features</b>
<p>Low Voltage Ride Through (LVRT)</p> <ul style="list-style-type: none"><li>· Inverter will remain connected to the grid and operating during grid disturbances unless continued operation presents a risk of damage to the inverter equipment (or required to stop by denial of PSAI Permissive or operator input). If a trip occurs, automatic restart will be initiated when possible, after an appropriate time delay.</li><li>· Inverter will be provided with a suitable UPS for continuity of control power during disturbed grid voltage conditions.</li></ul> <p>Low Frequency Ride Through (LFRT)</p> <ul style="list-style-type: none"><li>· Inverter will remain connected to the grid and operating during frequency disturbances unless continued operation presents a risk of damage to the inverter equipment (or required to stop by denial of PSAI Permissive or operator input). If a trip occurs, automatic restart will be initiated when possible, after an appropriate time delay.</li></ul> <p>Ramp Rate Control and Inverter Real Power Management Features</p> <ul style="list-style-type: none"><li>· Site controller will be able to set a maximum real power limit for the inverter output (i.e., power curtailment capability).</li><li>· Site controller will be able to set a ramp rate that will apply to the maximum real power limit (i.e., the actual limit will not increase or decrease at a faster rate than the prevailing ramp rate setting).</li><li>· The real power output from the inverter to the grid will never be allowed to increase at a faster rate than the prevailing ramp rate setting mentioned above.</li><li>· Site controller may use the power management features to reduce the maximum real power limit in anticipation of a sudden upcoming decrease in output power.</li><li>· Utility control will direct site controller, as needed, to manage inverter power output to avoid causing feeder overload, grid transients, or troublesome voltage variations.</li></ul> <p>Inverter Reactive Power Generation</p> <ul style="list-style-type: none"><li>· Site controller will be allowed to select one of two modes for reactive power generation, namely Power Factor Control or Independent Reactive Power Control.</li><li>· In Power Factor Control Mode, a constant power factor will be maintained. Real power limit is automatically reduced as the power factor is lowered.</li><li>· In Independent Reactive Power Control Mode the site controller can set a desired reactive power level to accommodate grid requirements (e.g., local voltage support). The reactive power limits are a function of the real power curtailment setting. kW must be curtailed before excess kVAr will be allowed, to meet kVA limits.</li></ul>

## Site Controllers

SCADA system interface – custom to match utility SCADA system

- Interface between utility and site controller will be agreed between utility and Satcon
- Receives control messages from control center
- Transmits operating parameters to control center

Controller core

- Interprets control message from utility; sends control messages to site inverters
- Manages/optimizes/coordinates real and reactive power generation by inverters within its purview
- Communicates with control centers (e.g. Building Management System) managing on-site load (e.g. to shed or re-start non-critical load when necessary)

Inverter control interface

- Test system must include at least 2 inverters; testing must validate ability to connect with the maximum number that might be required

Controller provides data via SCADA to enable control room display and control actions

- Displays important site variables
- Commands for
  - Normal shutdown
  - Remote E-Stop
  - Output power limit
  - Adjustable power ramp rates (increase/positive and decrease/negative)
  - Reactive power control
- Integrates into existing Control Room Hardware

Integrated UPS for the site controller

- Maintain control functionality in ride-through situation

## PSAI Integration

- The permissive carrier signal should operate continuously and have low energy consumption.
- The carrier should propagate through the network with limited attenuation.
- Three unique frequencies should be utilized for each phase of the three-phase power system, so that single phase events can be detected.
- The carrier should be non-reproducible locally.
- There should be an acceptable signal to noise ratio.
- To ensure limited impact on energy yield, an appropriate anti-islanding fallback scheme should be in place. An AI transition sequence would therefore be required that would transition between a fully functional, ride-through enabled mode of operation that is made possible through PSAI and a limited functionality mode of operation using traditional anti-islanding methods (local AI).
- Both the transmitter and receivers must perform reliably for long periods of time with minimal maintenance.
- While transmitter cost can be amortized over the many distributed resources installed on that local utility network, receiver cost must be very low to ensure there is limited increase in the levelized cost of energy (LCOE) for the PV system.

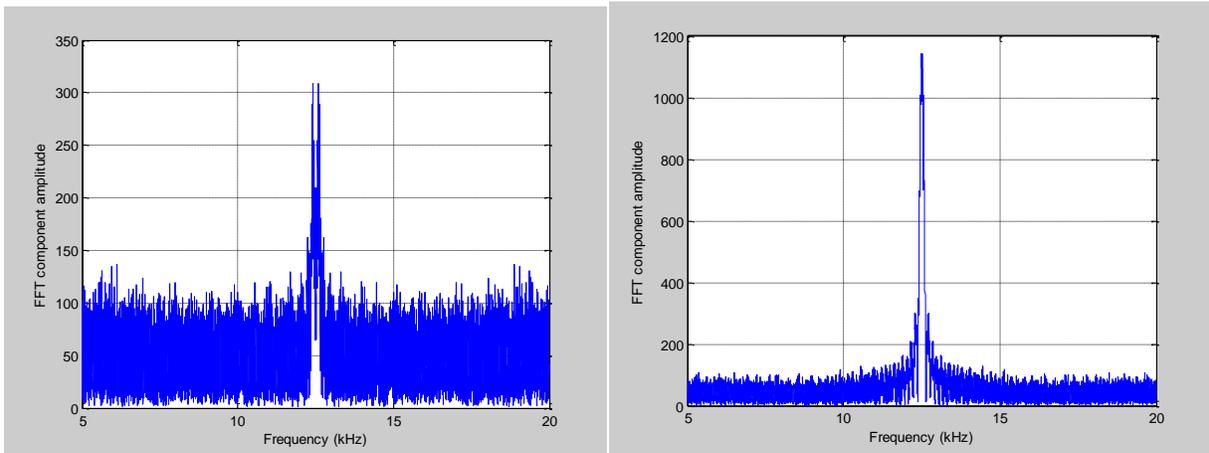
## Shared Inverters

- Single inverter able to aggregate output of multiple, different technology PV arrays
- Shared inverter enabled by DC-DC converters, one for each array or string, each of which matches its array or string characteristics
- Includes DC metering, DC safety/protections and contactors

### 3.2.1.2 Task 7.2: Computer Modeling

#### *PSAI Permissive Modeling*

In Stage 1, the FSEC team constructed a MATLAB/Simulink model of the likely distribution feeders based on one-line data provided by Lakeland, and PSAI data provided by Cooper Power Systems EAS. This work continued in Stage 2.



**Figure 4: Fourier amplitude spectrum of the signal received at the PV site, (a) with 20% loading, (b) with 80% loading on the feeder.**

#### *Transient Inverter Modeling*

In Stage 2, the baseline inverter model was completed and validated with actual operational data. The grid model and system modeling developed by Satcon includes: PV panel modeling, inverter modeling, DC-DC converter modeling, and distribution/transmission line modeling. Figure 4 shows measured signal characteristics of the PV site at Lakeland Center.

The developed transient simulation models are essential tools for utility-mandated planning studies for future large PV installations. The transient model will also allow Satcon to simulate the effect of rapidly changing meteorological conditions, including moving clouds, on the performance of PV systems and Grid-Smart Inverters.

The models were developed using the Matlab/Simulink SimPowerSystems toolset, and include very detailed representations of the inverter control systems. This is essential for accurate results in case studies where the sub-cycle behavior is of interest. The models have been developed in such a way that the control system section, containing sensitive information, can be encrypted as a "black box" that retains full functionality without revealing any design details. This facilitates the SEGIS project objective of developing transient models that can be shared with prospective users of the equipment, allowing impact studies to be performed. Figure 5 shows a high level, block diagram representation of the Grid-Smart Inverter model.

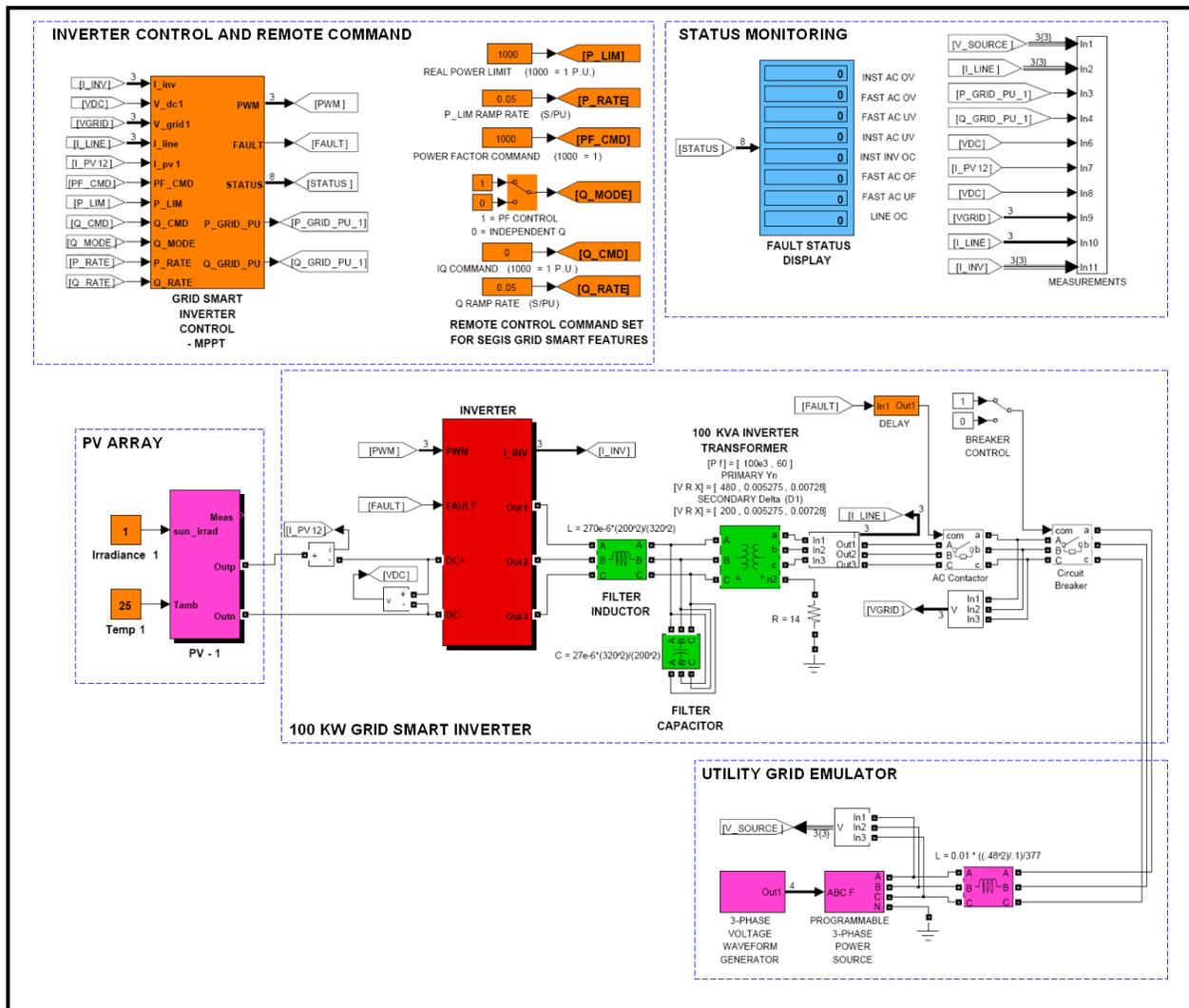
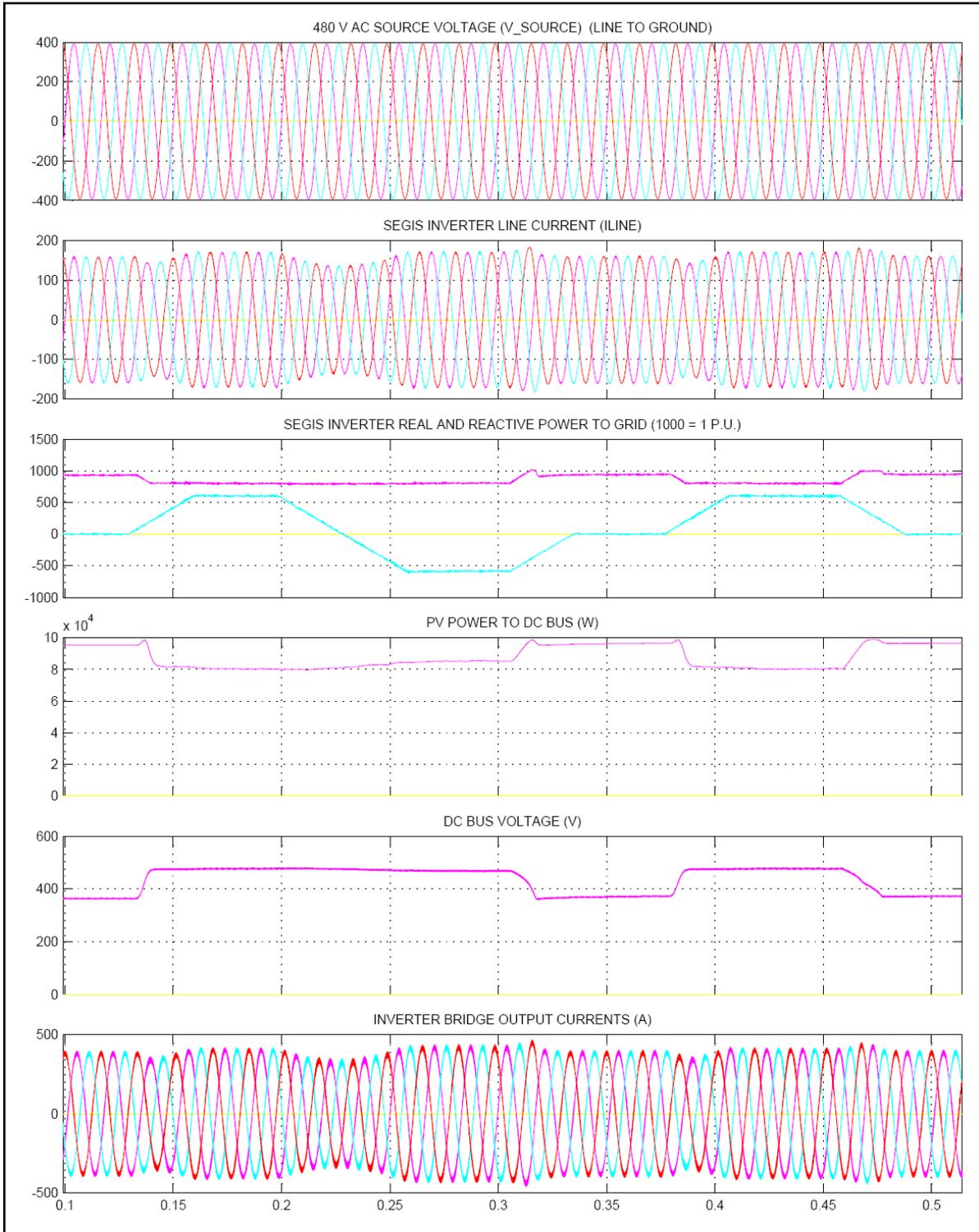


Figure 5: Model block diagram for Grid-Smart Inverter and Architecture.

This model has been used to simulate the various advanced power management functions and ride-through capability of both the shared and non-shared inverter systems. Simulation results of the Power Factor Command for the non-shared inverter have been included in Figure 6.



**Figure 6: Simulation results for the Grid-Smart Inverter (non-shared) deploying the Power Factor Command.**

### 3.2.2 Task 8: Prototype Construction

One of the important technical achievements of Stage 2 was the completion of the prototype design, followed by prototype construction of the Grid-Smart Inverter (50 kW), the Grid-Smart Shared Inverter (100 kW) with subcombiner and individual string converter units, and the Site Controller. Satcon documented the design basis and conceptual design for the configurations demonstrated, in collaboration with all FSEC Team Partners and DOE/SNL Project Managers. A projection of forecasted performance of the new inverter utility features was prepared together with a major equipment list and the appropriate set of drawings and figures to illustrate the design configuration. One line diagrams of both inverters have been included in Figure 7 and Figure 8.

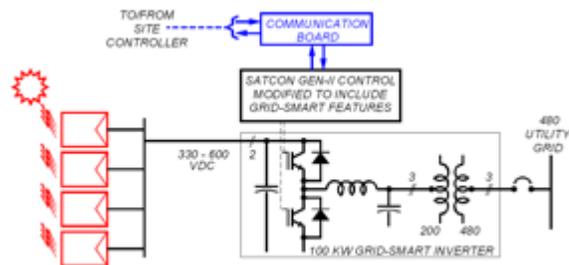


Figure 7: One-line diagram of the Grid-Smart Inverter.

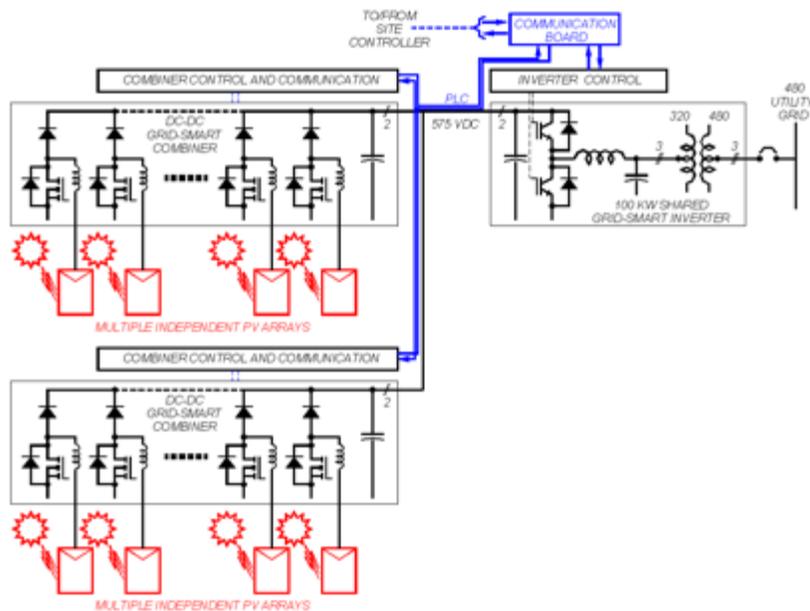


Figure 8: One-line diagram of the Grid-Smart Shared Inverter.

The construction of the prototype units took place at the Satcon facility in Boston. Through the course of Stage 2, these prototypes have undergone multiple iterations of design changes. For example, the thermal management design for the subcombiner was improved, and several improvements were made to the inverter control software. The image in Figure 9 shows the first-generation subcombiner and the second-generation subcombiner featuring an improved thermal management design.



**Figure 9: Testing the first-generation Satcon Subcombiner (Left) and the 100 kW Grid-Smart Shared Inverter (Right).**

### 3.2.3 Task 9: Prototype Testing and Evaluation

The formal Witness Testing was conducted at the Satcon facility in Boston on April 13-16, 2010 and was attended by representatives from Sandia National Laboratories. A series of tests (Table 3 below) were performed on two different inverters, a Grid-Smart Inverter prototype (50kW unit) and a Grid-Smart Shared Inverter prototype (100kW unit) with subcombiner and individual string converter units (Figure 9). These tests included tests to verify communication from the Site Controller to the inverters, tests to verify functionality of the advanced power management features of the inverters, simulated fault testing on the individual string converter units, and ride-through testing for both voltage and frequency disturbances. The test data has been made available to the DOE/SNL Project Managers.

**Table 3: Overview of tests performed during the Prototype Witness Testing exercise**

Witness Test Performed	Test Objective
1. Communications Test	<ul style="list-style-type: none"> <li>· Verification of accuracy of inverter Modbus data in ‘off’ and ‘on’ states</li> <li>· Demonstration of remote On/Off control of each inverter</li> </ul>
2. Advanced Power Management Testing of 50 kW Grid Smart Inverter	<ul style="list-style-type: none"> <li>· Verification of real power curtailment</li> <li>· Verification of power factor command</li> <li>· Verification of reactive power command</li> <li>· Verification of real power rate limit command</li> <li>· Verification of reactive power rate limit command</li> </ul>
3. Advanced Power Management Testing of 100 kW Grid Smart Shared Inverter with 25 kW Subcombiner	<ul style="list-style-type: none"> <li>· Verification of real power curtailment</li> <li>· Verification of power factor command</li> <li>· Verification of reactive power command</li> <li>· Verification of real power rate limit command</li> <li>· Verification of reactive power rate limit command</li> </ul>

Witness Test Performed	Test Objective
4. Site Controller Demonstration	· Demonstration of the Site Controller controlling both inverters simultaneously
5. Ground Fault Detection Test	· Demonstration of ground fault detection at the string level
6. Ride-Through Test	· Verification of the ability of both inverters to ride-through various type of grid disturbances (13 different test cases)

Before the Witness Testing took place, the prototypes went through a series of tests at the Distributed Energy Test Laboratory (DETL) at Sandia National Laboratories in Albuquerque, New Mexico. At the DETL facility, a 15kW array was used to test the prototypes individually and then side by side in three phases. In Phase 1, the 50kW inverter was tested. In Phase 2, the 100kW inverter was tested along with the subcombiner and string converter units. And finally in Phase 3, both units were tested side by side (Figure 10).



**Figure 10: 50 kW Grid-Smart Inverter (left) and the 100 kW Grid-Smart Shared Inverter (right) at the DETL facility.**

### 3.2.4 Task 10: Product Cost Estimation and Impact on PV System Costs

The advanced inverter features are implemented by software and firmware features, and therefore will not effectively increase the manufacturing cost of the hardware (in scale and mature production). It was determined that some aspects of the optional features would require minor additional hardware additions, such as the small on-board UPS for control power during stand-alone (micro-grid) capability, and the string-level DC-DC converter (smart combiner) adds small costs, yet increases energy harvest and cost-effectiveness. Of course, the PSAI Permissive transmitter will cost several thousand dollars installed in each substation, but those costs can be amortized over all inverters or other DG units connected to that substation, and can be useful for other functions by the utility, such as AMI, automatic outage detection/reporting and phase identification for line maintenance.

### *3.2.5 Task 11: Value-Added Features and Strategies to Mitigate Negative Impacts of High Penetration*

At this point, the FSEC Team has begun the publicity effort to inform utilities and PV developers of the enhanced inverter features. Initially, this had been through use of the UDAC, various publications, presentations at major utility events, and direct contact with technical and operation managers of key utilities. This effort was ramped up significantly in Stage 3, with the demonstration to utilities in Lakeland with peer-to-peer credibility between electric power system operators, as well as presentations given at multiple conferences.

### *3.2.6 Task 12: Refinements to Technology Development Road Map*

After establishing the viability of the enhanced inverter in the 50 kW+ three phase market, the FSEC Team identified a need to translate its success to ever-smaller inverters. Since the features are primarily realized through software or firmware, there are no effective negative economies of scale in incorporating firmware-based enhancements into smaller inverters. Planning for this effort has been accomplished, but time constraints and other various issues, including licensing of IP, changes to codes and standards, and the proper forum (including direct contact) for approaching manufacturers, agencies, and organizations, prohibited further investigation of this topic.

### *3.2.7 Task 13: Stage 2 Program Management and Reporting*

As the lead participant, FSEC primarily performed the program management duties and led the report writing efforts in Stage 2.

## **3.3 Stage 3: Towards Commercialization**

### *3.3.1 Task 14: Final Design Preparation*

In Stage 3, the system developed through the course of the SEGIS program was demonstrated on an actual utility network, in this case on Lakeland Electric's network. The Sikes Hall at the Lakeland Center in Lakeland, Florida, was used to verify the utility communications and control capability and the permissive signal anti-islanding, as well as low voltage ride-through operation on an opportunistic basis. The site had in place a 250 kW Satcon inverter. This inverter was reconfigured to feature the FSEC-SEGIS GSI functionality, allowing for field-testing of the SEGIS capabilities. The features incorporated into the existing inverter controls were Remote-Controlled Real Power, Remote-Controlled Power Ramp Rate, Remote-Controlled Power Factor Adjustment, Remote-Controlled Reactive power, and Ride-Through Capability. In other words, the Team adopted the Satcon Site Controller so that the Lakeland Electric SCADA RTU interface issued commands to the Site Controller, which then relayed them to the Grid Smart Inverter (GSI).

### *3.3.2 Task 15: Final Test Plan Preparation*

The FSEC Team prepared a final test plan for the validation effort using the installed validation site configuration. The Final Test Plan included details pertaining to validation of proper PSAI signal transmission, propagation, and detection, and monitoring the critical electrical parameters of the inverter, grid disturbances (e.g., high resolution digital fault recorders), and the resulting inverter response. The Final Test Plan was constructed in a way to facilitate comparisons between the experimental data collected at the field site and data from the models created in Stages 1 and 2.

### *3.3.3 Task 16: Test Site Hardware and Software Installation/Integration*

The work effort in this task included collecting performance data and recording inverter electrical characteristics over time with a focus on data collected during the issuance of GSI power management commands, as well as during potential low voltage ride-through scenarios. A data recording system was designed to provide a fine-grained measure of the response seen on the inverter output and record inverter response to system disturbances. Therefore, the Team installed and programed a Bitronics M57x IEDs meter to provide real time values and store multiple records consisting of fault records disturbance records. These registers were then sent to SCADA via the Ethernet port. Additionally, the Team installed the ruggedized Gridsense PowerMonic PM-45 unit, a 3 $\phi$  power quality analyzer with logging capability, to collect data for the advanced power management testing.

The Team also modified the existing Satcon inverter control algorithms, communications, and control software, and customized the communications interface to meet the requirements of the Lakeland Electric SCADA system in order to provide the control data and functionality to meet the Lakeland Electric requirements.

With regard to the PSAI signal transmission and detection, a DX3 Anti-Islanding Signal Generator in combination with a step-down transformer to a reduced voltage level for the single generator operation were installed at the Palmetto Substation, which is the primary substation feeding the Sikes Civic Center. A PSAI detector connected to the GSI was installed at the Civic Center to validate of proper PSAI signal transmission and detection.

### *3.3.4 Task 17: Validation Testing*

Stage 3 verification testing was designed around demonstrating in the field the capabilities that have been developed in Stages 1 and 2. The core capabilities that were tested in Stage 3 include the following:

1. Proper remote utility communications/control and advanced power management capabilities of the Grid Smart Inverter Architecture (GSIA) using the Lakeland SCADA system
2. Ride-through operation during frequency and voltage disturbances
3. Proper operation of the PSAI as a means of anti-islanding

The testing procedures described in Section 4.3.2 validated the full range of FSEC-SEGIS GSI functions including the results of control commands sent by the utility control center, except for ride-through capability, which will only be recorded if a grid disturbance occurs (which could likely occur for a low voltage situation, but probably not for a frequency disturbance).

### *3.3.5 Task 18: Commercialize the Grid-Smart Inverter Systems and Engender Industry Acceptance of SEGIS Concepts*

#### **3.3.5.1 Task 18.1: Commercialization Efforts**

The Team developed a commercialization plan, which detailed the important advantages associated with the innovations created in Stage 1 and 2 of SEGIS, and attempted to engender widespread industry acceptance of these innovations. The commercialization plan was based on the strength of Satcon's experience with large scale PV systems with utility companies and focused on the valuable features of the GSI system.

#### **3.3.5.2 Task 18.2: Information Dissemination**

The Team held a demonstration conference with more than 55 attendees from different organizations across U.S. at the Lakeland Electric facilities on September 22, 2011. The Team presented a real-time demonstration of the inverter control features developed under the DOE SEGIS program by operators in the Lakeland Electric System Control Room system, including:

- Direct utility control of Real & Reactive Power output and ramp rate
- Ride-through of Voltage and Frequency disturbances
- Utility-Permissive Anti-Island control through heart-beat signal
- The Solstice® shared inverter architecture, bring enhanced production & installation flexibility
- VAr generation for grid or feeder voltage support or capacitor bank substitution (day or night)
- Advanced power management functions, including fast voltage regulation to mitigate flicker
- Bi-directional power flow allows DC energy storage for transient-to-long term real power support

The Team also presented at the Solar Power International 2011 conference in Dallas, Texas, on October 17. At this conference, the Team presented a summary of the three-year effort to develop, validate and commercialize Grid-Smart Inverters for wider photovoltaic utilization, particularly in the utility sector.

### *3.3.6 Task 19: Stage 3 Program Management and Reporting*

As in Stages 1 and 2, FSEC primarily performed the program management duties and led the report writing efforts in Stage 3.

## **4 Perceived Impacts for the Utility, Customer and PV Applications Future**

### **4.1 Applications**

FSEC-SEGIS inverters with smart functionality can play an active role in energy management, system control of electricity grids, and grid support. FSEC-SEGIS Grid-smart inverter features enable PV generation to act as “hidden assets”, providing ancillary services for the utility. With enhanced communication and control capabilities, it allows the utility to regulate and monitor the generation of real and reactive power, and ensure reliable disconnection when necessary. The inverter low voltage ride-through capability ensures that the PV plant is present to help support the system during and after voltage disturbances, enabling a swifter recovery from excursions outside the traditional IEEE 1547 operating window. The Grid-smart inverter has the ability to incorporate controlled energy storage in the inverter architecture, facilitating the use of evolving storage technologies in mitigating the variability of PV power sources.

### **4.2 Value Added and Costs**

This project was an important step toward the large-scale acceptance and deployment of PV inverters with smart-functionality. The Team demonstrated that PV systems can act as much more than merely an unpredictable negative load, with inverters becoming utility assets through cost savings in investments for capacity expansions and voltage regulation equipment. The project has increased the levels of DG that may be safely installed on utility feeders without negatively impacting the existing protection and distribution infrastructure. Also, the demonstrated inverter can significantly increase the share of PV generation in the electricity grids due to its provision of ancillary services. The added value of FSEC-SEGIS Grid-smart inverter (beyond energy production) includes mitigation of intermittencies and provision of dispatchable renewables (Hybrid plants), control of real and reactive Power (dynamic), voltage and frequency Ride-through, distribution backfeed capability, DG instrumentation and control via SCADA, and voltage mode for grid recovery.

The advanced inverter features are implemented by software and firmware features, and therefore will not effectively increase the manufacturing cost of the hardware in mature, high-volume. It was determined that while some aspects of the optional features would require minor cost increases and additional hardware, such as the small on-board UPS for control power during stand-alone (micro-grid) capability and the string-level DC-DC converter (smart combiner), these changes increase the overall system cost effectiveness. Of course, the PSAI Permissive transmitter will cost several thousand dollars installed in each substation, but those costs can be amortized over all inverters or other DG units connected to that substation, and can be useful for other functions by the utility, such as AMI, automatic outage detection/reporting, and phase identification for line maintenance.

### 4.3 U.S. Jobs

The near-term (through 2015) driver of new job growth based on Grid-Smart Inverters and Architecture (GSIA) within Solar Energy Grid Integration Systems (SEGIS) will come from limited increases in product sales and photovoltaic (PV) installations as GSIA removes the market barriers of limited controllability and high intermittency common to utility-scale PV installations. The project team estimates the near-term benefits will create approximately 1600 jobs through 2015 for Sun Edison and Satcon, directly supporting the manufacture, installation and operation of Grid-Smart Inverters.

Of course, the greatest job growth will come in the longer term as GSIA technology accelerates the PV utility-scale adoption rate by addressing the three primary barriers to utility acceptance of large-scale grid integration of PV generation. Based on the market research done in Stage 1, these barriers are:

1. fear of intermittency of the renewable supply;
2. fear of the inability to control the PV generation source in a way that fits into the existing utility control structure, including islanding control and local VAR control; and
3. costs of PV power.

By eliminating or reducing these barriers and making PV a dispatchable resource, GSIA will be a key component in the ability of all SEGIS technologies to accelerate PV adoption. Based upon the results from IMS Research's recently released World Market for Photovoltaic Inverters 2011 (4th Edition) and its Q1 2011 PV Inverter Quarterly Market Tracker [10], Satcon has obtained commanding positions within the North American and Asian markets, and has successfully achieved significant growth in Europe. Satcon claims a 60 percent market share for large size inverters (>100kW) in North America. According to the recent Solar Foundation™ report, solar job growth over the next 12 months is anticipated to be almost 24%, representing approximately 24,000 additional new jobs [11]. Assuming all technologies supported in the SEGIS program are successful, SEGIS has an estimated potential to increase PV capacity to as much as 3% of total electricity supply over the next twenty years. The Energy Information Agency projects that PV installations will grow as dispatchable PV replaces an increasing percentage of new peaking capacity, up to 75% by 2030 [12]. The Solar Energy Industries Association estimates that approximately 24.4 jobs are created for every 1 MW of PV installed per year [13]. While much of the manufacturing work for PV modules and the balance-of-system (BOS) is done overseas, the system integration, installation, and operation and maintenance (O&M) work will necessarily add U.S. jobs, constituting 11.1 jobs / MW (including one (BOS) component job per MW for Satcon, at 60% market share). Using the Energy Information Agency's high economic growth estimate along with Solar Foundation™ report and assuming GSIA helps PV replace 75% of peaking capacity, and with process efficiency improvements of 1% per year, the SEGIS-augmented PV industry could provide more than 23,000 additional jobs and could add almost 350,000 job-years by 2030. Assuming that GSIA technology accounts for 60% of the high-power, grid-tied inverter market share, GSIA could then be responsible for approximately 14,000 jobs and 218,000 job-years by 2030.

#### **4.4 Long Term Standardizations**

FSEC-SEGIS Grid-smart inverter features may be limited in their practical operation in the short term due to the restrictions imposed by existing standards and practices that were designed with low penetration levels in mind. The UL1741 / IEEE Std. 1547 documents today do not address concerns for utility scale inverter-based DG connected to distribution systems. Main deficiencies for larger commercial (non-utility-owned) installations include prohibition of autonomous local voltage control, no provision for LVRT, multi-unit anti-islanding and short term (1 to 5 cycles) performance, and failure to distinguish between inverter-based DG and conventional rotating synchronous machine generators. A study can be used to accelerate the availability of smart inverters in the marketplace and to develop industry practice and standard grid operation through the application of smart inverters.



## 5 Conclusions

With support from the SEGIS partnerships, and cost-shared funding and technical assistance from Sandia National Laboratories, the team believes the project goals have been met, and even exceeded. The FSEC SEGIS team moved through conceptual designs, market analysis, prototype development and characterization, and finally moved toward commercialization.

Early in the Stage 1 process for polling utilities, it became evident that the focus proposed was directly responsive to the anxieties of utilities about high penetrations of PV. While there was some early skepticism that the technologies could be modified and adapted to solve all concerns, most doubts began to vanish by the later months of Stage 2, after the lab demonstrations confirmed the robustness and flexibility of this architecture.

The successful demonstration conference held at Lakeland Electric on September 22, 2011, exercised all of the SEGIS capabilities developed over the three years of work. Major successes included the Grid Smart Inverter Architecture (GSIA), the Grid Smart Shared Inverter, a Smart Subcombiner, and Permissive link communications. Functionality developed and demonstrated included permissive-islanding, VAr control, ramp-rate control, power factor control, low voltage ride through, low frequency ride through, and power management functions.

Without doubt, much work remains before extremely high levels of PV generation become commonplace on utility distribution systems. But with a few exceptions as described below, this work largely concerns “soft issues” such as regulatory and legal considerations, policy, standardization, codes, value assessment, and compensation. All are matters challenging and critical to wide and routine deployment, but eminently solvable given the powerful economic opportunities awaiting resolution. “The Markets will answer” is a non-trivial assertion.

This said, there are certain technical improvements thought necessary to encourage the utility industry with deployments over the 15-20% range. Areas where such work is needed include:

- Storage control and integration of all characteristics, from the transient to long-time domain
- Sophisticated adaptive controls for aggregation and re-aggregation of PV resources
- Advanced modeling and forecasting of PV resources, from the feeder level to all the Control Areas
- Adapting the operating and reliability strategies of the nationwide interconnected grid to exploit the fast response of inverter-based generation
- Advanced Permissive Signal Anti-Islanding technologies, including adaptive techniques for self-identification of phase, feeder, and substation, while exploiting the low bandwidth requirements for low-cost signal generators

Fortunately, government and industry are already moving on all these issues, as evidenced by the projects of EPRI, IEEE, universities, and the national labs. Most important, NIST, DOE and other agencies have been aggressively providing funding, such as the follow-on opportunity designated SEGIS-AC (for SEGIS-Advanced Concepts). Work continues on components and circuits that were developed during the three stages of the SEGIS contract.



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## Appendix A: Modeling/Simulation

Detailed transient models have been developed to study the behavior of each of the two SEGIS PV GSI systems (i.e., “shared” and “non-shared”). The models were developed using Matlab/Simulink SimPowerSystems, and include very detailed representations of the inverter control systems. This is essential for accurate results in case studies where the sub-cycle behavior is of interest. The models have been developed in such a way that the control system section, containing sensitive information, can be encrypted as a “black box” that retains full functionality without revealing any design details. This facilitates the SEGIS project objective of developing transient models that can be shared with prospective users of the equipment, allowing impact studies to be performed.

### Description of SEGIS Inverter Systems

#### Control Features Overview

The following list summarizes the main features:

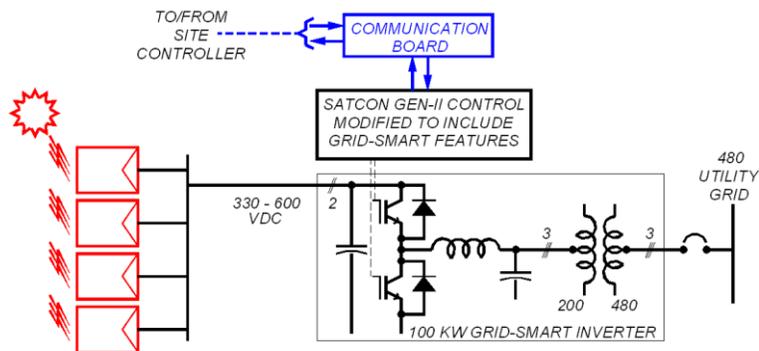
- **Communications with utility SCADA or site controller**
  - For remote control. Anticipates increased utility involvement in the operation of DG resources.
- **Remote control of real power limit (curtailment)**
  - Power curtailment allows grid operators to cut generation under contingency conditions when the grid has limited ability to absorb the power.
- **Controlled ramp rate for real power limit**
  - Prevents sudden power change when curtailment is applied or removed. Also provides means of limiting sudden increase of power output due to irradiance increase, etc.
- **Remote control of power factor or reactive power at PCC**
  - Remote selection of power factor or reactive power control.
  - Power factor or reactive power control allows mitigation of high or low voltage conditions at the PCC without violating IEEE Std.1547.
- **Ride-through capability for specified grid disturbances**
  - Ride-through ensures that the DG resources are present to help support the system in the post-fault period. Requirements for successful ride-through include:
    - Extended tolerance for voltage and frequency deviation
    - Enhanced dynamic control for operating under unbalanced/distorted voltage
    - Suppressed anti-islanding measures
    - UPS power source for control and cooling
- **Bi-directional power flow to support DC energy storage**
- **PSAI trip capability**

- Replaces the anti-islanding measures based on voltage and frequency monitoring.

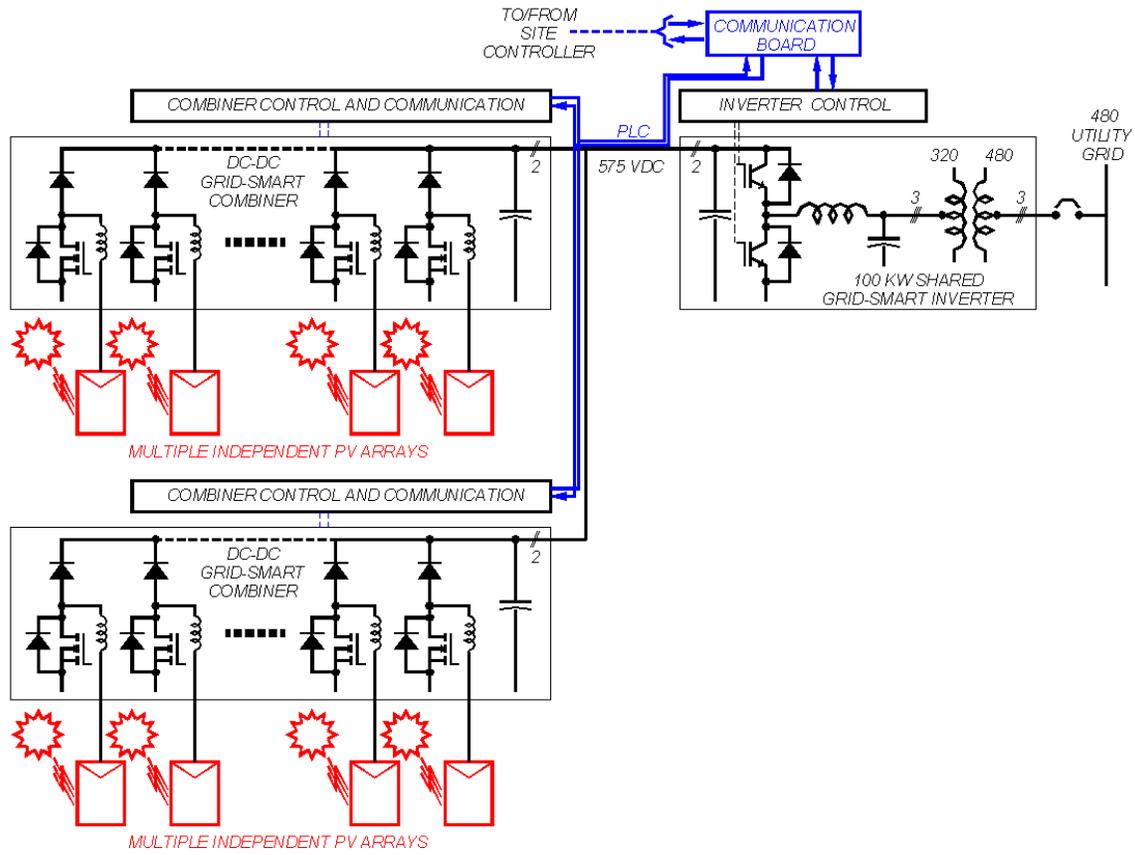
## GSI and Shared GSI Systems

Two different inverter systems have been developed:

- **SEGIS GSI**. In this case, the inverter serves a single PV array, and the DC voltage at its terminals varies over a wide range. The one-line diagram in Figure A.1 shows the main elements of this system.
- **SEGIS Shared GSI**. The inverter is fed from a constant-voltage DC collector bus that in turn is fed by multiple independent PV arrays through DC-DC sub-combiners. Figure A.2 shows a one-line diagram for a shared inverter, with two DC-DC sub-combiners feeding the DC collector bus.



**Figure A.1. Basic SEGIS Grid-Smart inverter - single large PV array with variable DC voltage.**



**Figure A.2. SEGIS shared Grid-Smart inverter - constant voltage DC collector bus fed by multiple independent PV arrays through DC-DC sub-combiners.**

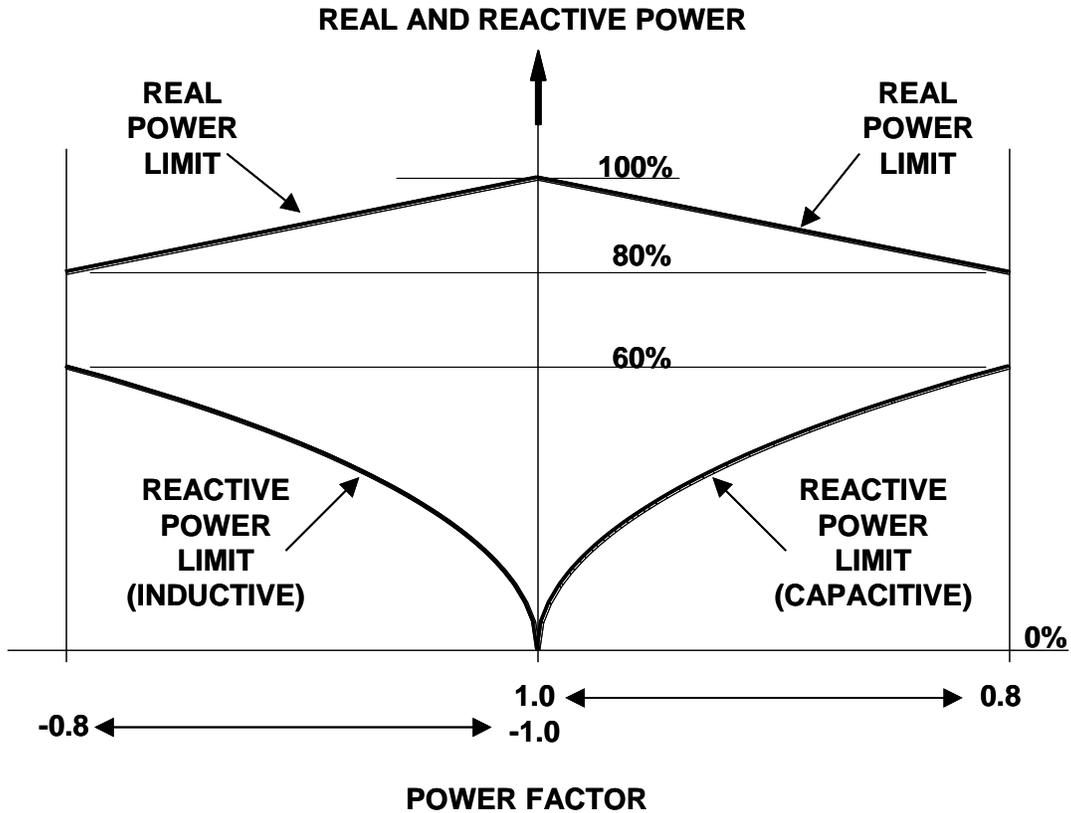
### Control of AC Output Power

The real power (kW) output from the SEGIS inverter can be limited (curtailed) to any value between 0% and 100% of the rated kVA output. Changes to the real power limit are subject to a maximum ramp rate that can be adjusted. The real power limit and the ramp rate can be set locally through the HMI or from a remote location.

The SEGIS inverter can also generate or absorb reactive power. Two different modes of reactive power control are available - *Constant Power Factor Mode* and *Independent Reactive Power Control Mode*. Constant Power Factor Mode is the default mode. Mode selection can be done locally through the HMI or from a remote location.

### Constant Power Factor Mode

In this mode the inverter maintains a constant ratio between the real (kW) and reactive (kVAr) power levels. The total output kVA is never allowed to exceed the rated value. This means that less than 100% real power output is available when the set power factor is not unity. Figure A.3 shows the real power limit and the associated reactive power limit for each power factor setting. Note that Figure A.3 applies only when the real power curtailment level is set at 100%. Lower real power curtailment settings will over-ride the real power limit shown, while maintaining the set power factor.



**Figure A.3. Real power limits and the associated reactive power limits shown as a function of power factor command in Constant Power Factor Mode. (Note: Figure A.3 assumes real power curtailment level set at 100%).**

Independent Reactive Power Control Mode

This mode allows a local or remote operator to demand a set level of reactive power. To make this possible within the total kVA limit, the real power curtailment level must first be set to less than 100%. Figure A.4 shows how the limits on achievable reactive power change as a function of real power curtailment level. For example, if real power is curtailed to 50%, then up to 87% reactive power can be obtained (capacitive or inductive). All changes in reactive power are subject to a ramp rate that can be selected through the HMI or from a remote location. In Independent Reactive Power Control Mode the prevailing real power (kW) output level does not affect the reactive power (kVAr) output.

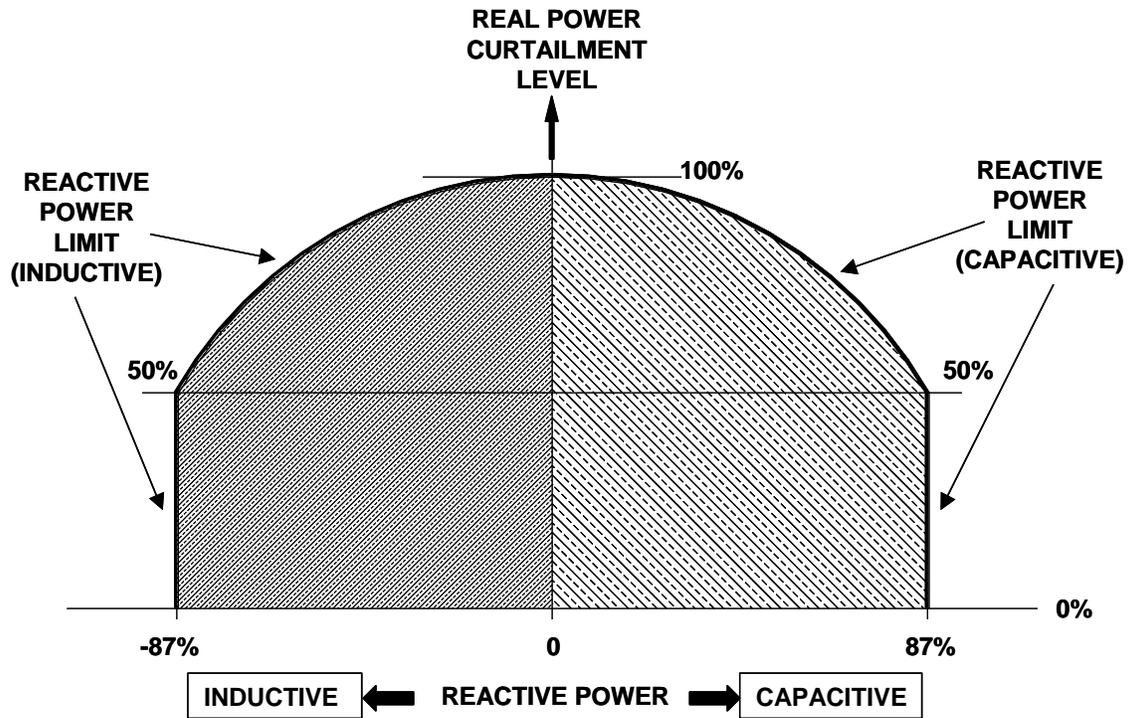


Figure A.4. Reactive power limits as a function of real power curtailment, in Independent Reactive Power Control Mode.

## **Simulink Model of SEGIS Grid Smart Inverter**

Figure A.5 shows the top-level block diagram for the SEGIS Grid Smart inverter system model. The following sub-systems are labeled in the diagram:

- **Inverter Control and Remote Command**
  - This sub-system contains the detailed model of the inverter controls that can be encrypted as a "black box".
- **100 kW Grid Smart Inverter**
  - Standard IGBT bridge circuit with dc capacitor and LC three-phase output filter, feeding grid interface transformer.
- **PV Array**
  - Detailed physics model of the PV array. Basically this is a photo-current source in parallel with a conventional diode. The model includes series resistance and leakage, and is responsive to inputs of irradiance and temperature.
- **Utility Grid Emulator**
  - For the purposes of testing the model system, this is set up as a basic Thevenin source voltage behind a small series-connected source inductance.
- **Status Monitoring**
  - Display selected waveforms and latch time for various fault indicators.

Figure A.6 through Figure A.16 show the PV array characteristics, the block diagram and recorded waveforms for tests of the non-shared Grid Smart inverter system: The waveforms begin with the PV array model characteristics; block diagram for AC power management; and then voltage, current, and power curves for various conditions.

Figure A.17 shows the model block diagram for the shared Grid Smart inverter system, followed by corresponding PV array model characteristic (Figure A.18) and voltage, current, and power curves (Figure A.19 and Figure A.20).

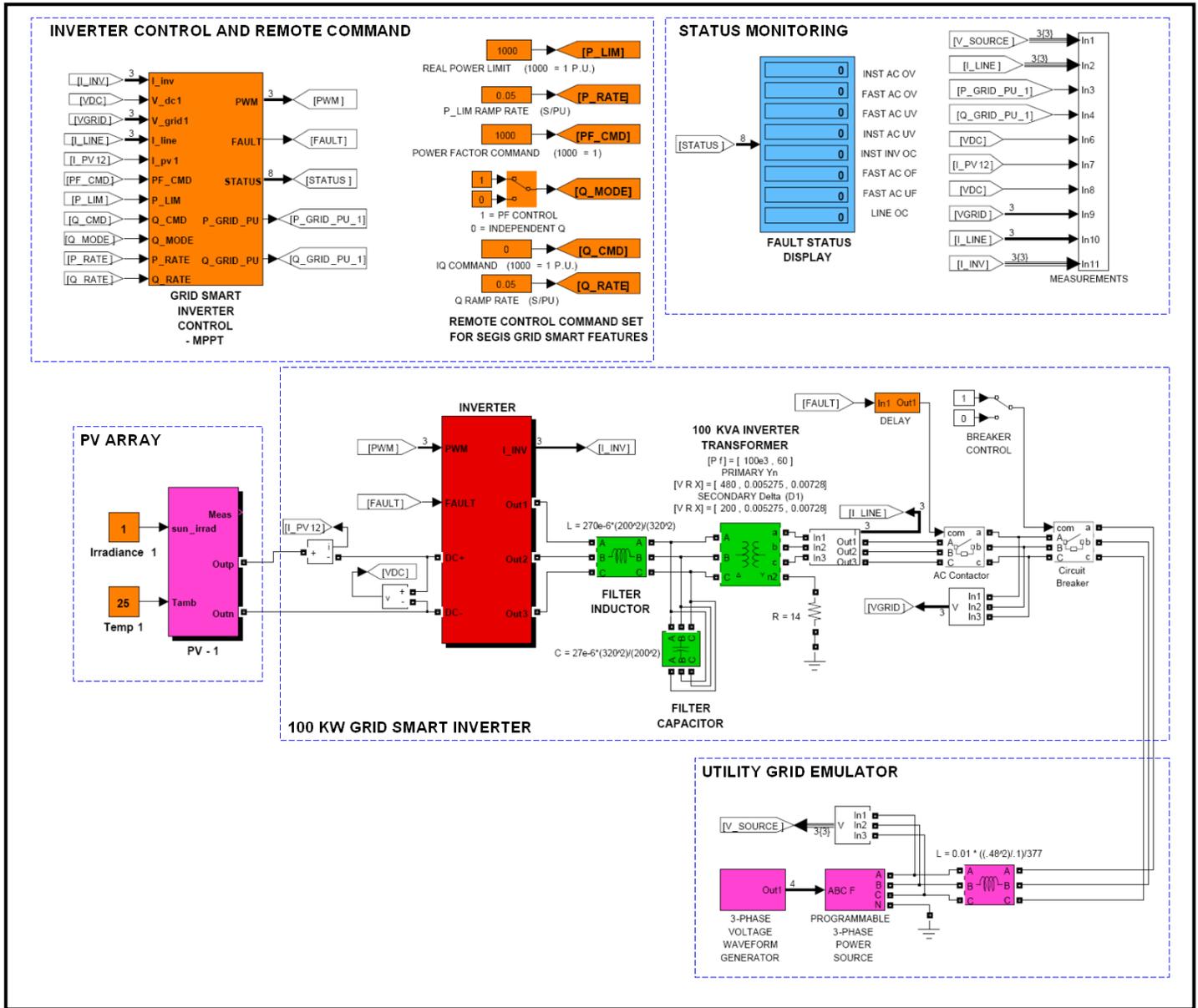


Figure A.5. Model block diagram for SEGIS Grid Smart inverter system.

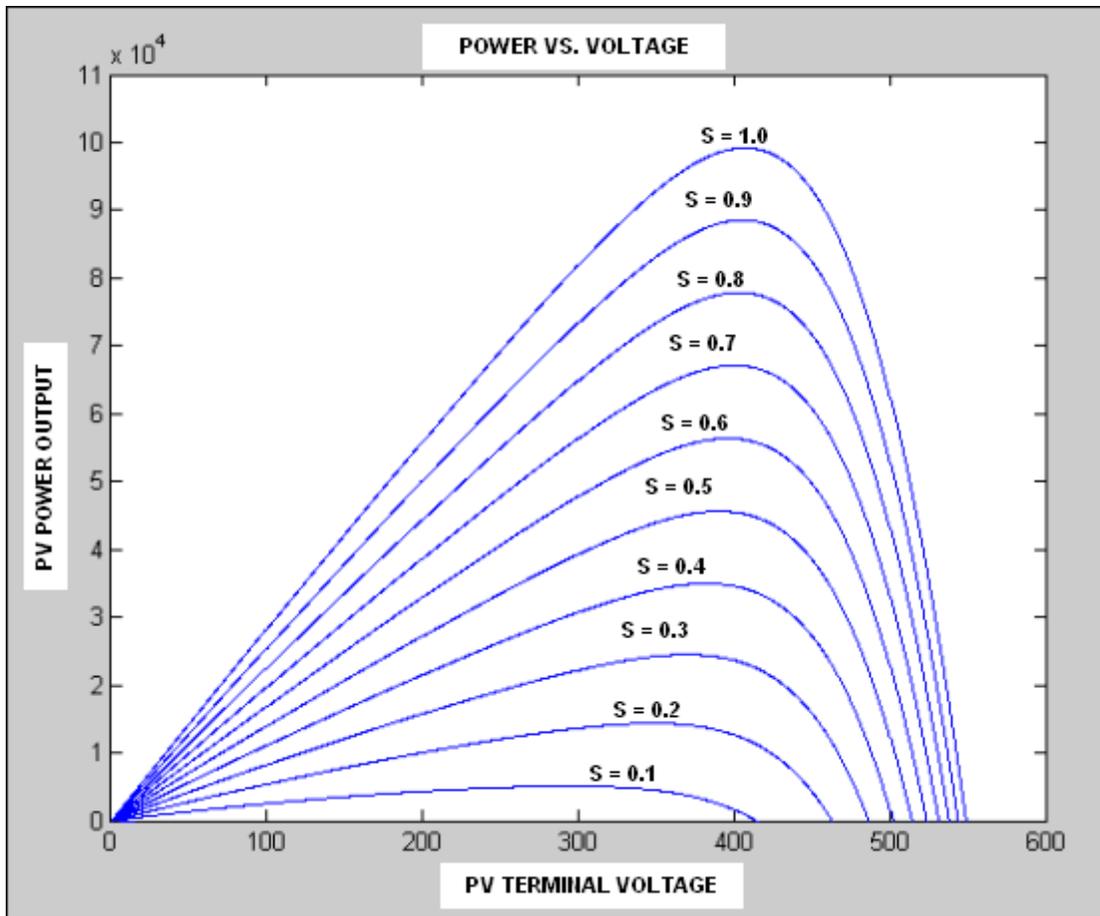


Figure A.6. PV array model characteristics used for SEGIS Grid Smart inverter system model. Solyndra SL-001-157 panel; 6 in series; 105 in parallel.

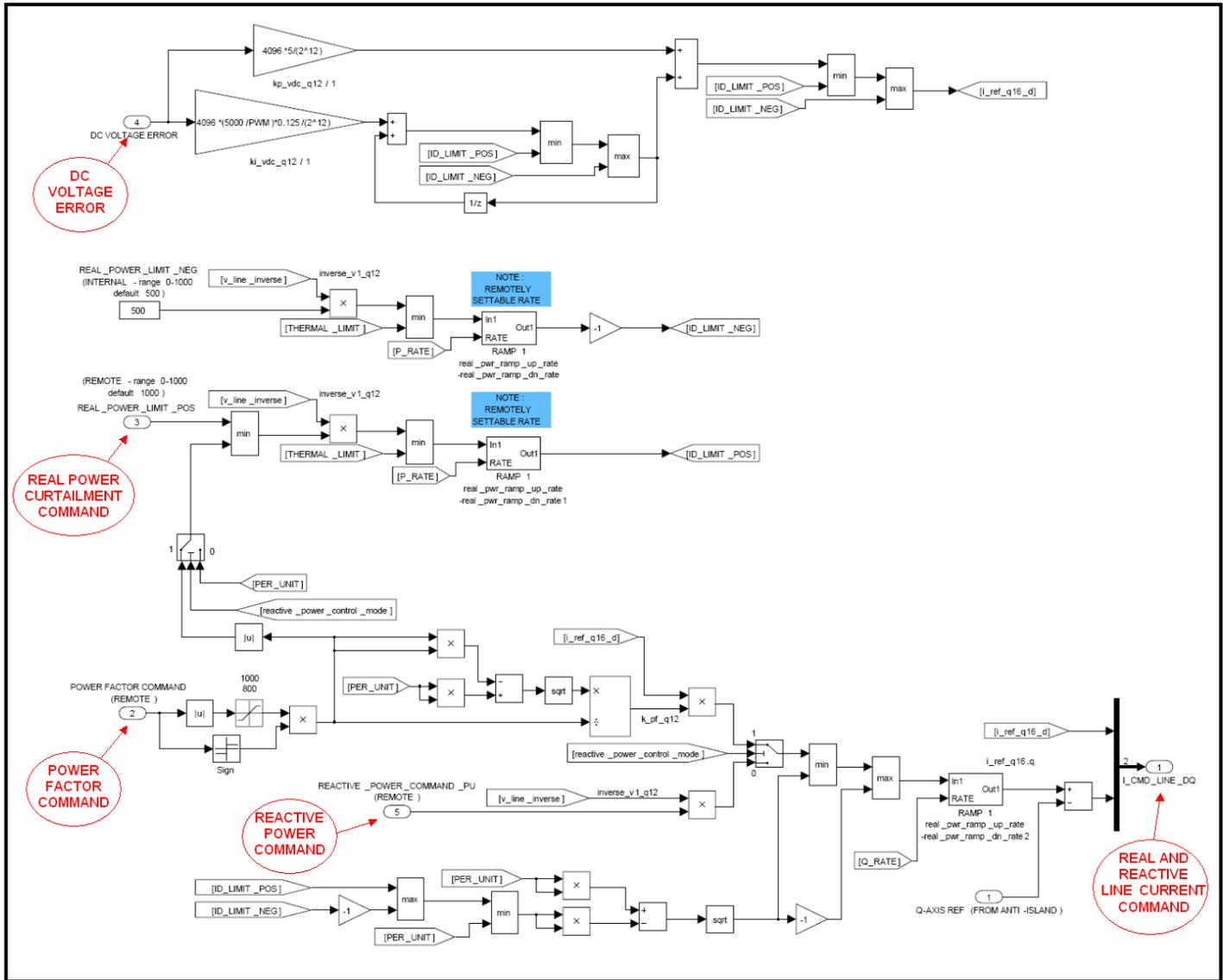
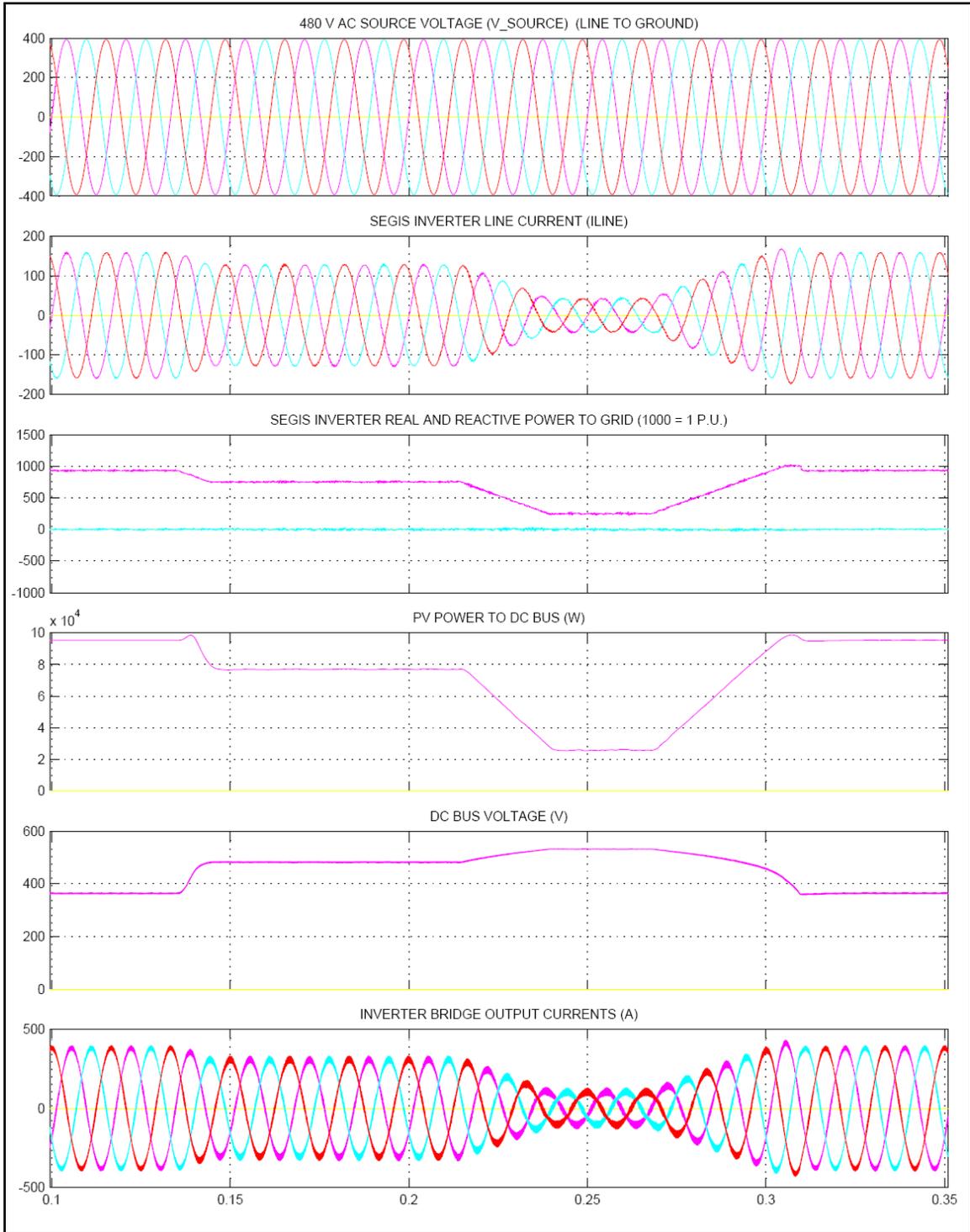
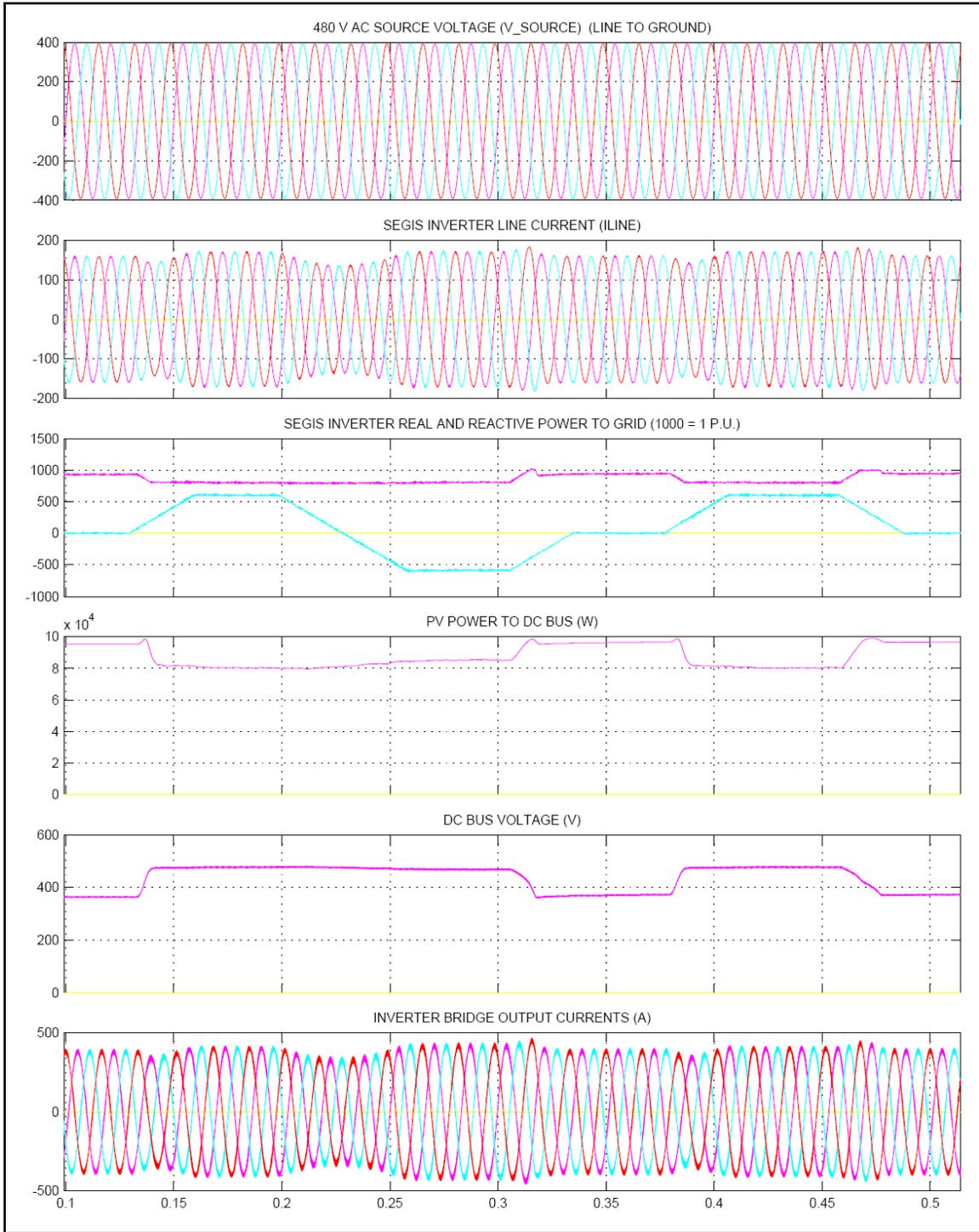


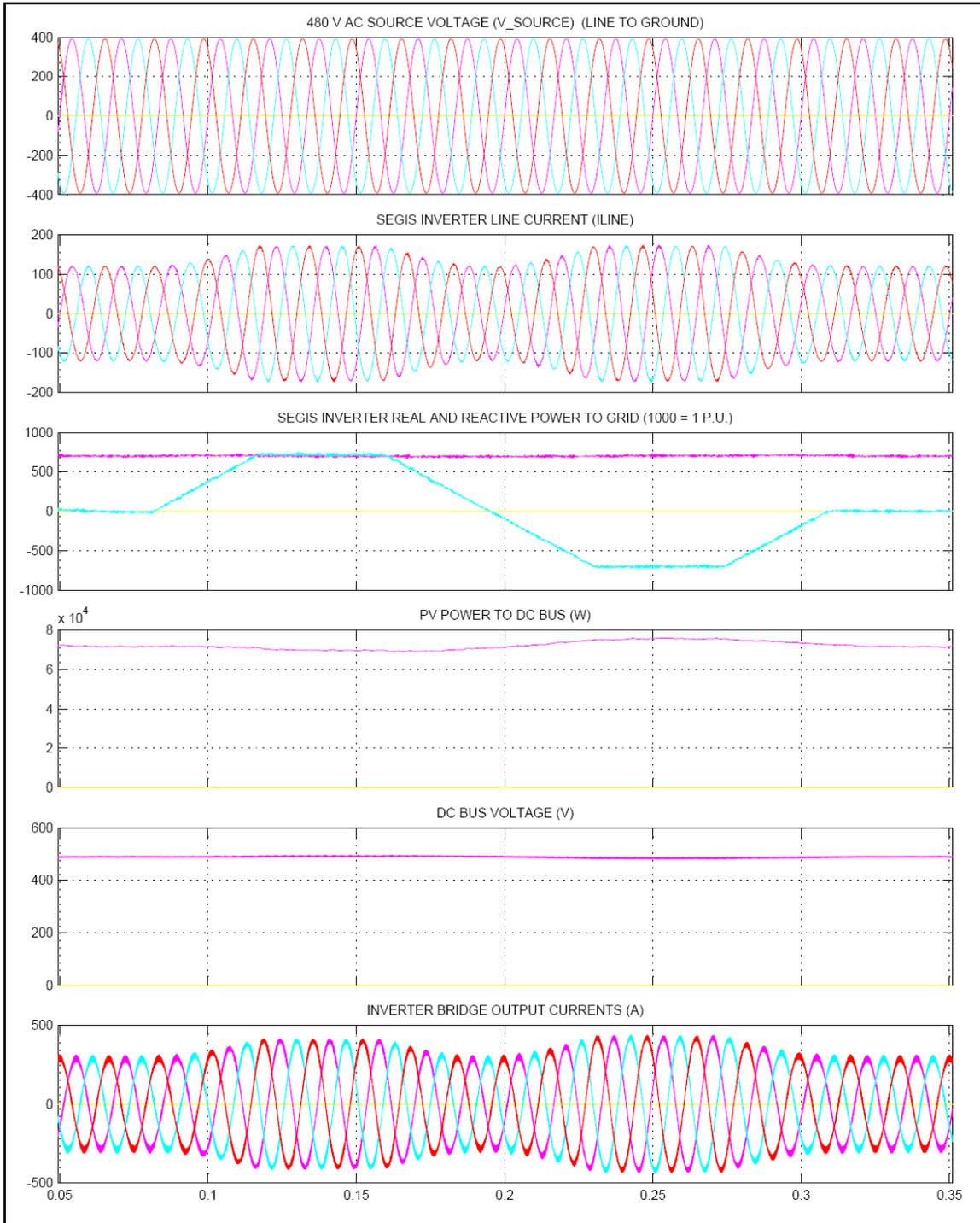
Figure A.7. Model block diagram for implementing the AC power management features of the SEGIS Grid Smart inverter system.



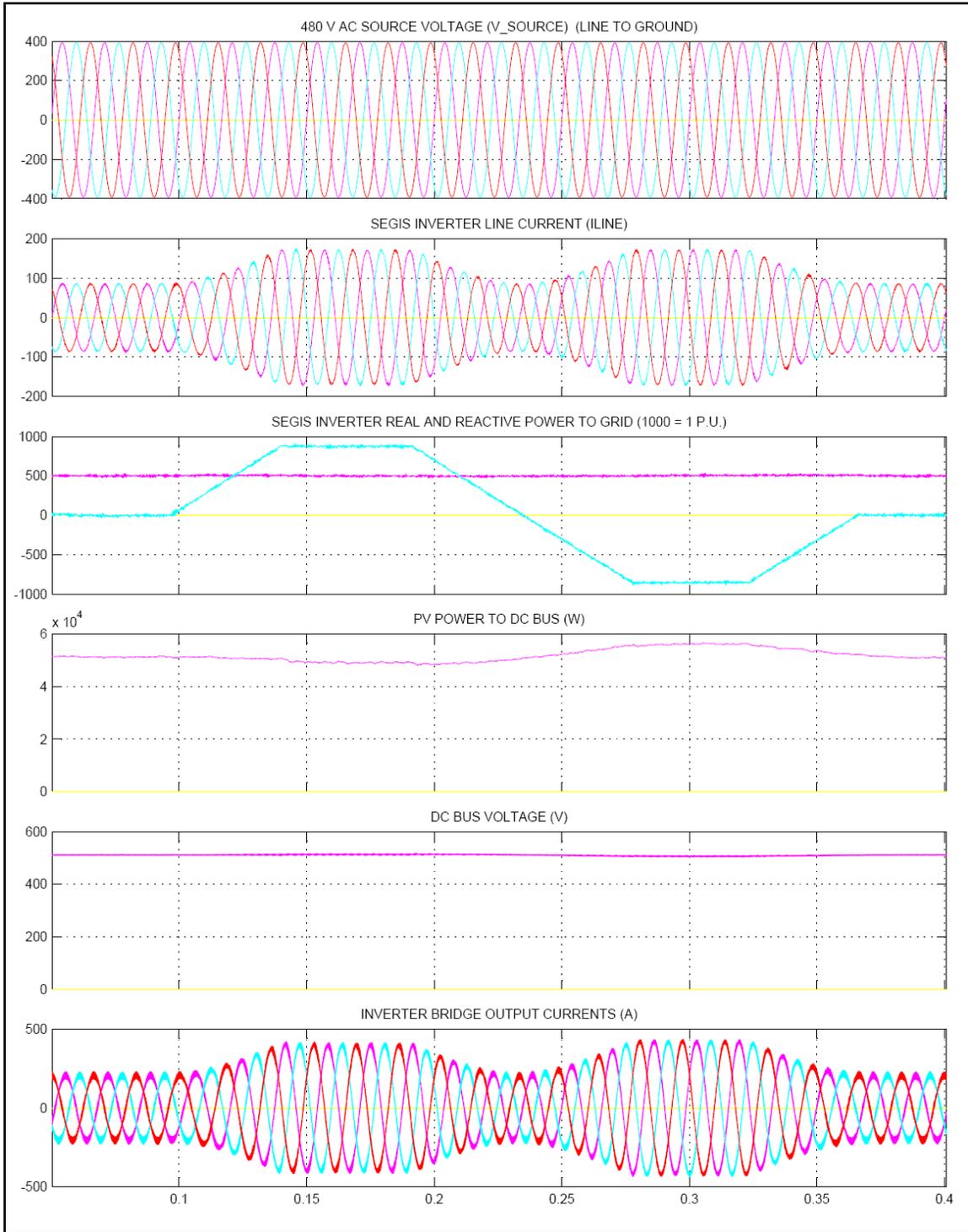
**Figure A.8. Grid-smart inverter (non-shared). Curtailment command = (1.0 : 0.75 : 0.25 : 1.0). Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds. Reactive mode = Power Factor (1.0).**



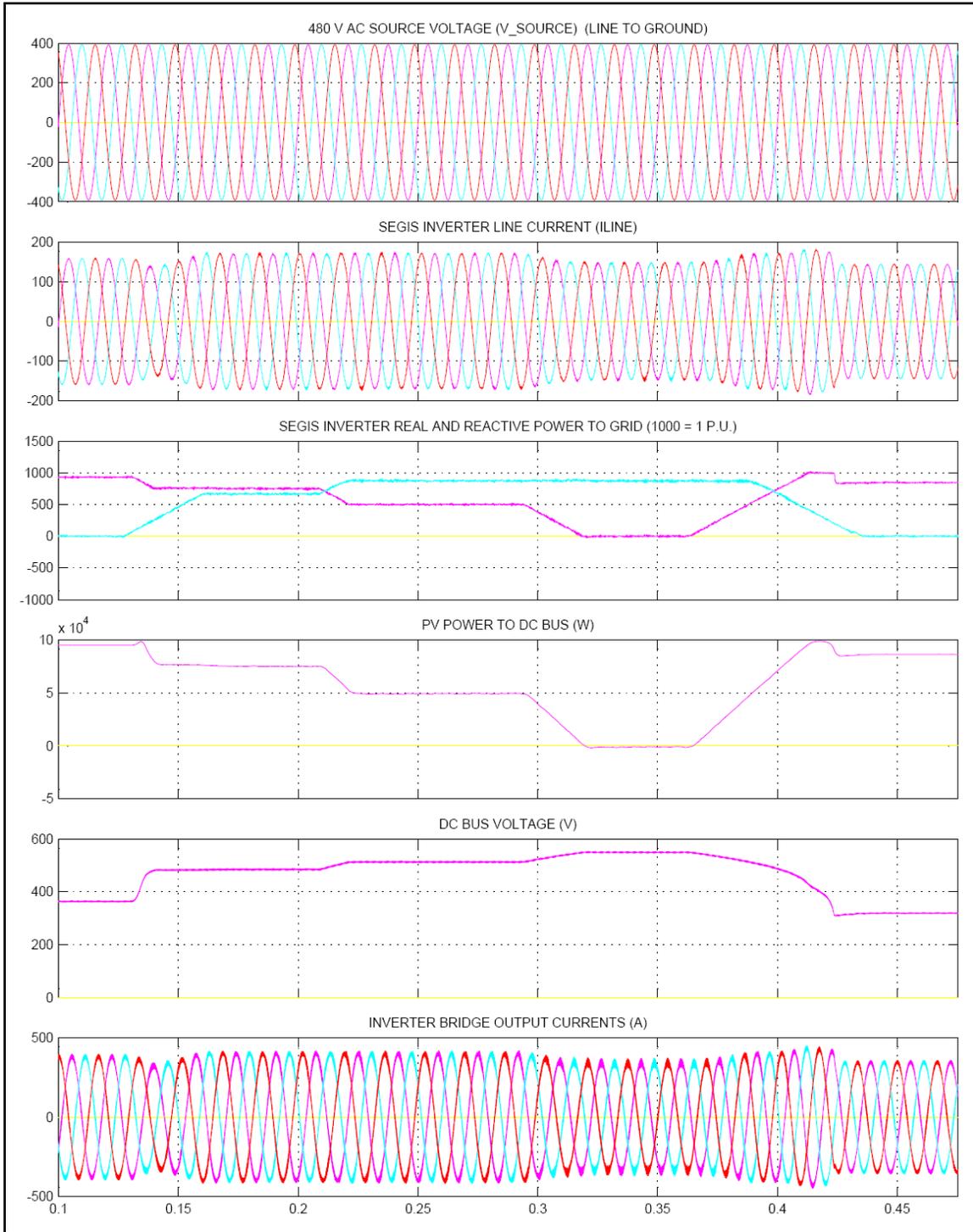
**Figure A.9. Grid-smart inverter (non-shared). Reactive mode = Power Factor. Power Factor command = (1.0 : 0.8 : -0.8 : 1.0 : 0 : 1.0). Curtailment command = 1.0 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



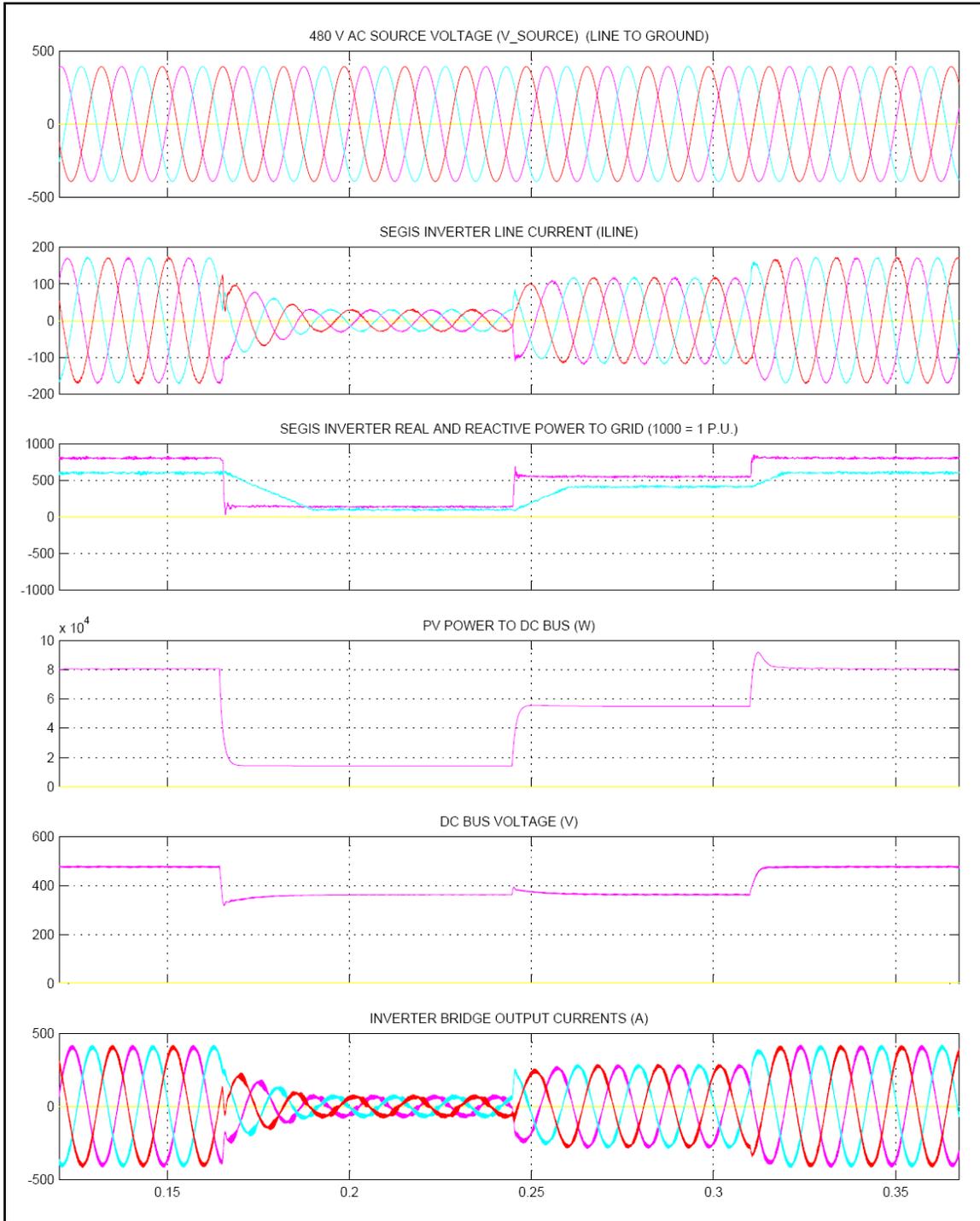
**Figure A.10. Grid-smart inverter (non-shared). Reactive mode = Independent Reactive Power. Reactive power (Q) command = (0.0 : 1.0 : -1.0 : 0.0) p.u. Curtailment command = 0.7 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



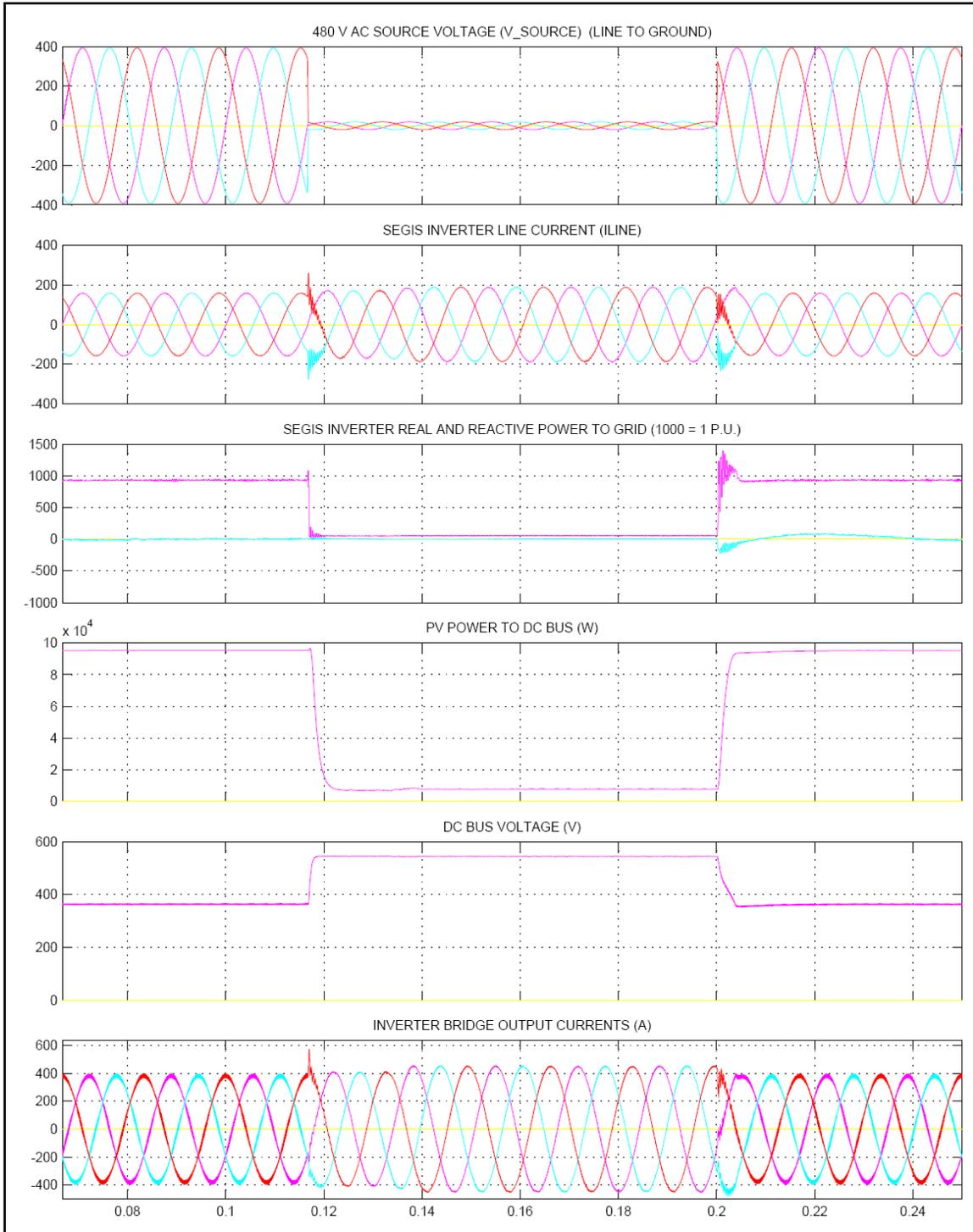
**Figure A.11. Grid-smart inverter (non-shared). Reactive mode = Independent Reactive Power. Reactive power (Q) command = (0.0 : 1.0 : -1.0 : 0.0) p.u. Curtailment command = 0.5 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



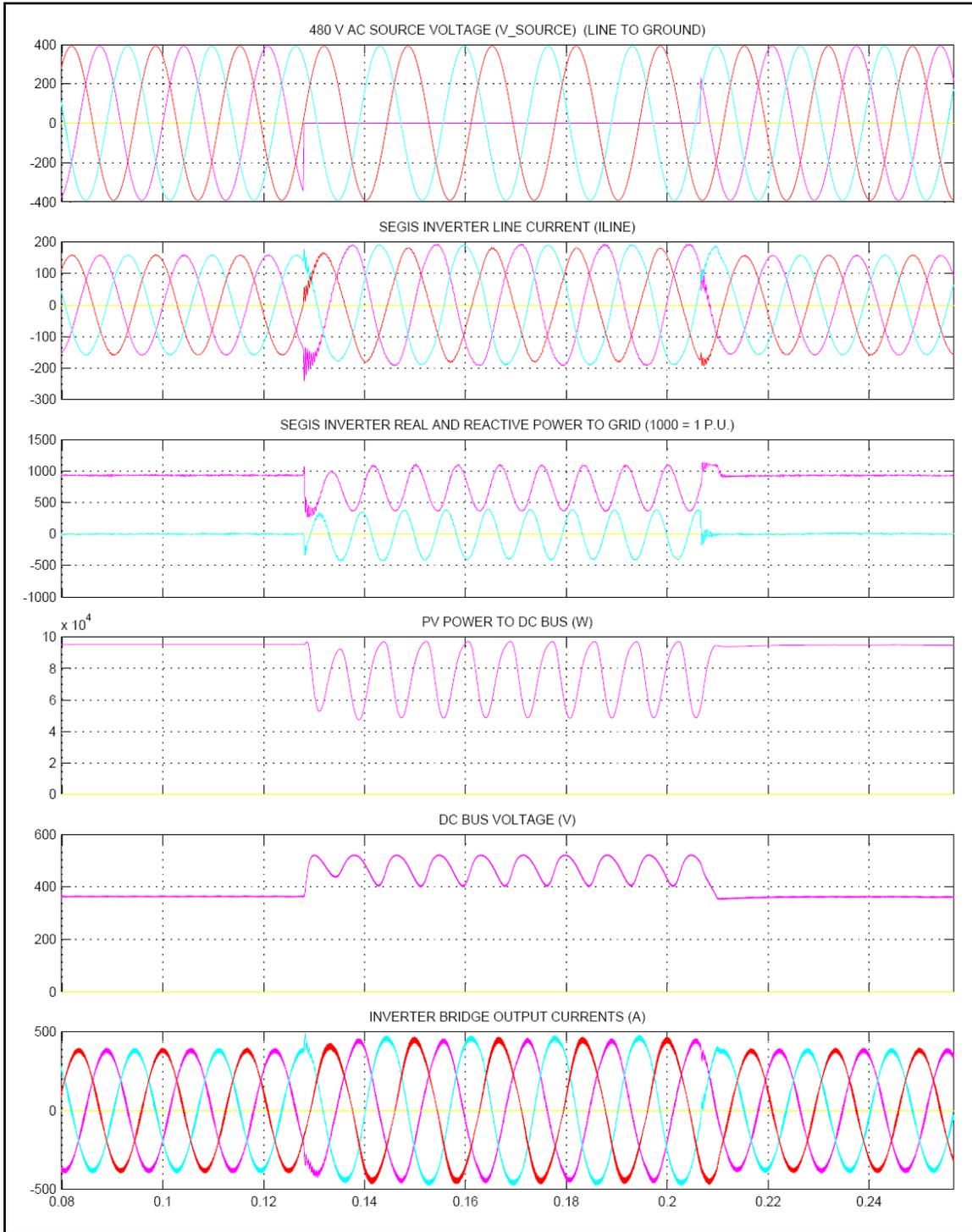
**Figure A.12. Grid-smart inverter (non-shared). Reactive mode = Independent Reactive Power. Reactive power (Q) command = 1.0 p.u. Curtailment command = (1.0 : 0.75 : 0.5 : 1.0) p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



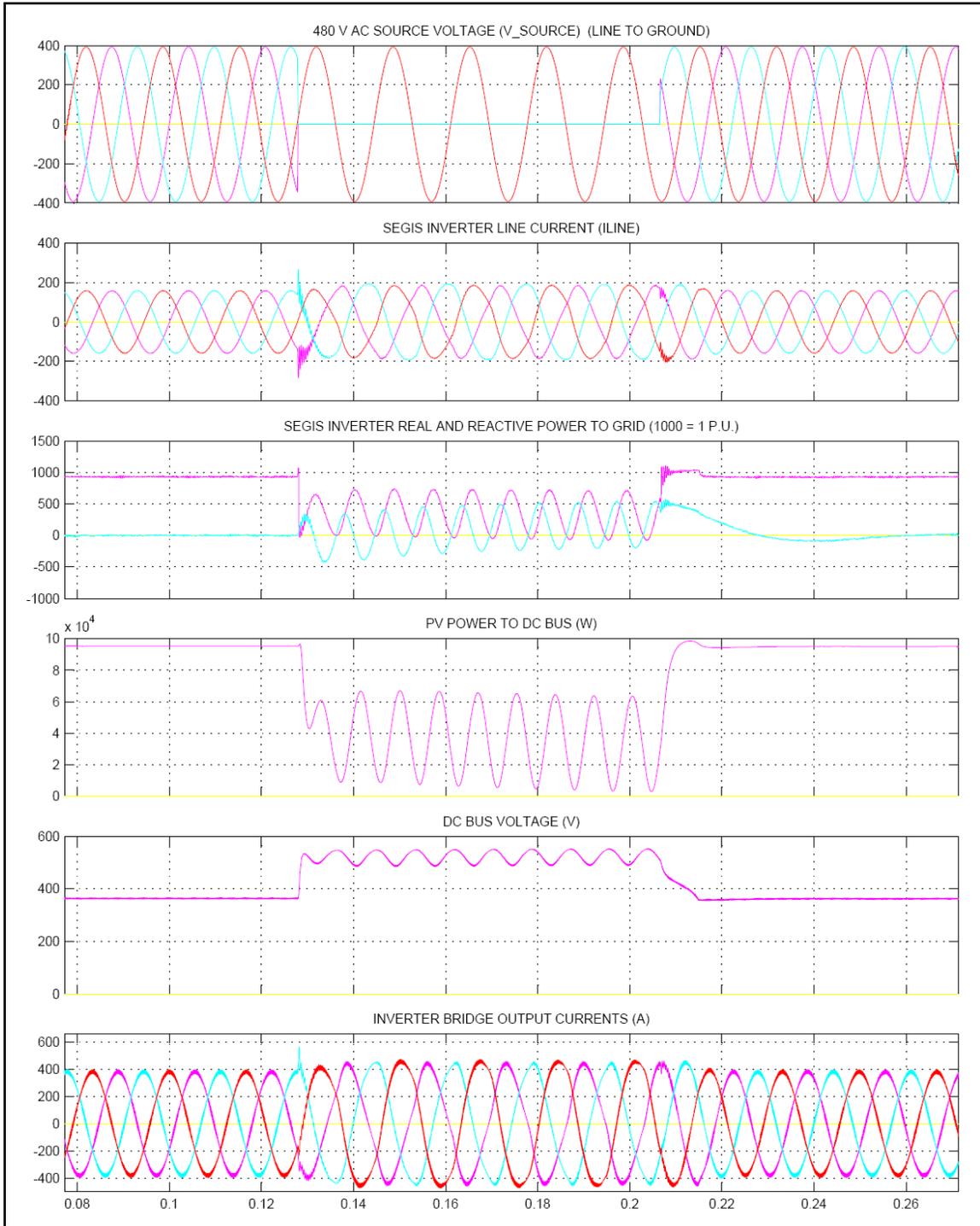
**Figure A.13. Grid-smart inverter (non-shared). Reactive mode = Power Factor. Power Factor command = 0.8. Curtailment command = 1.0 p.u. Sudden changes of irradiation = (1 : 0.2 : 0.6 : 1.0) \* (1000 W/m<sup>2</sup>). Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



**Figure A.14. Grid-smart inverter (non-shared). Symmetrical (A, B, C) - 0.95 p.u. dip in source voltage (to ground). Reactive mode = Power Factor control. Power factor command = 1.0. Curtailment command = 1.0 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



**Figure A.15. Grid-smart inverter (non-shared). Single phase (A) - 1.0 p.u. dip in source voltage (to ground). Reactive mode = Power Factor control. Power factor command = 1.0. Curtailment command = 1.0 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**



**Figure A.16. Grid-smart inverter (non-shared). Two phases (A, B) - 1.0 p.u. dip in source voltage (to ground). Reactive mode = Power Factor control. Power factor command = 1.0. Curtailment command = 1.0 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**

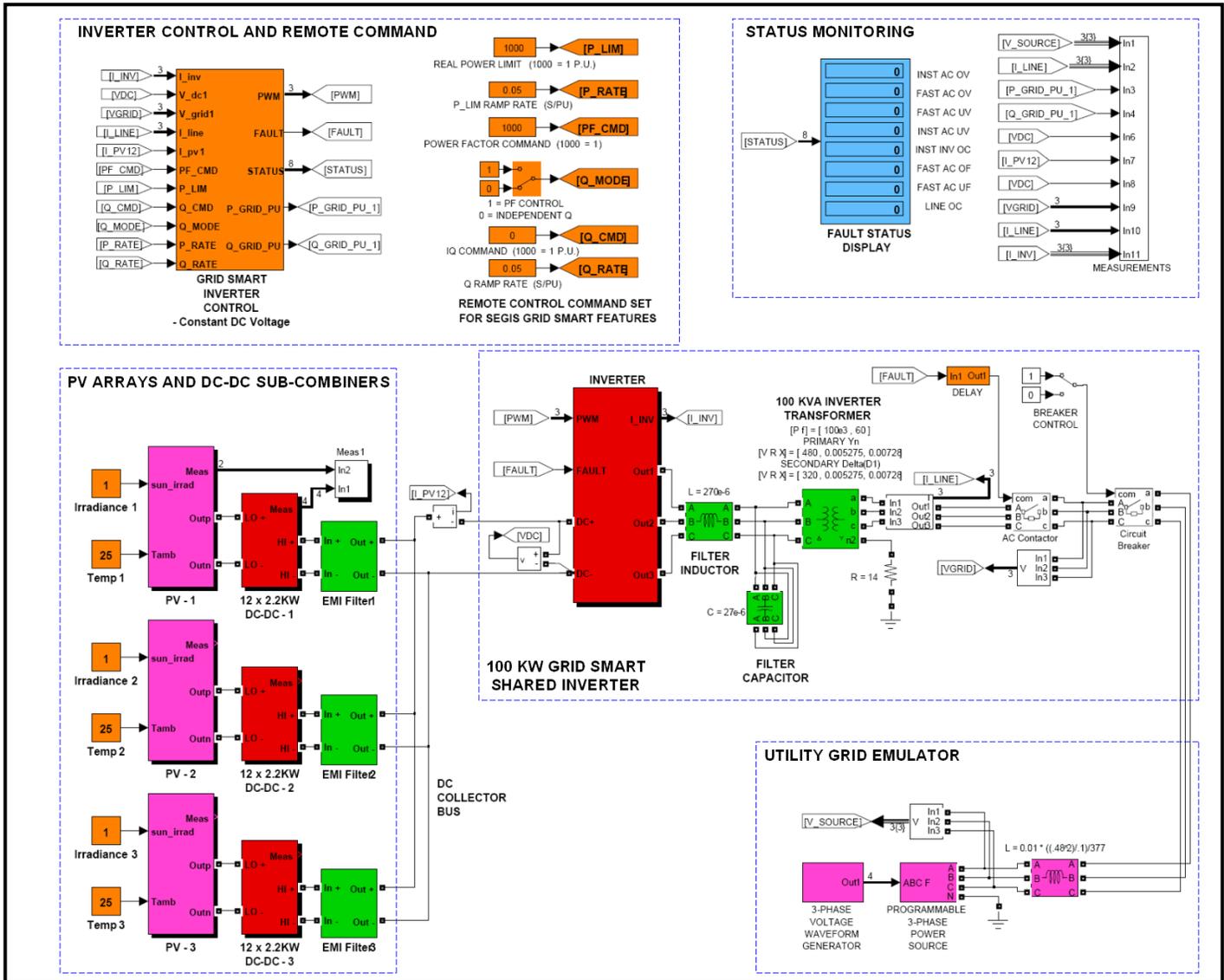


Figure A.17. Model block diagram for SEGIS Grid Smart shared inverter system.

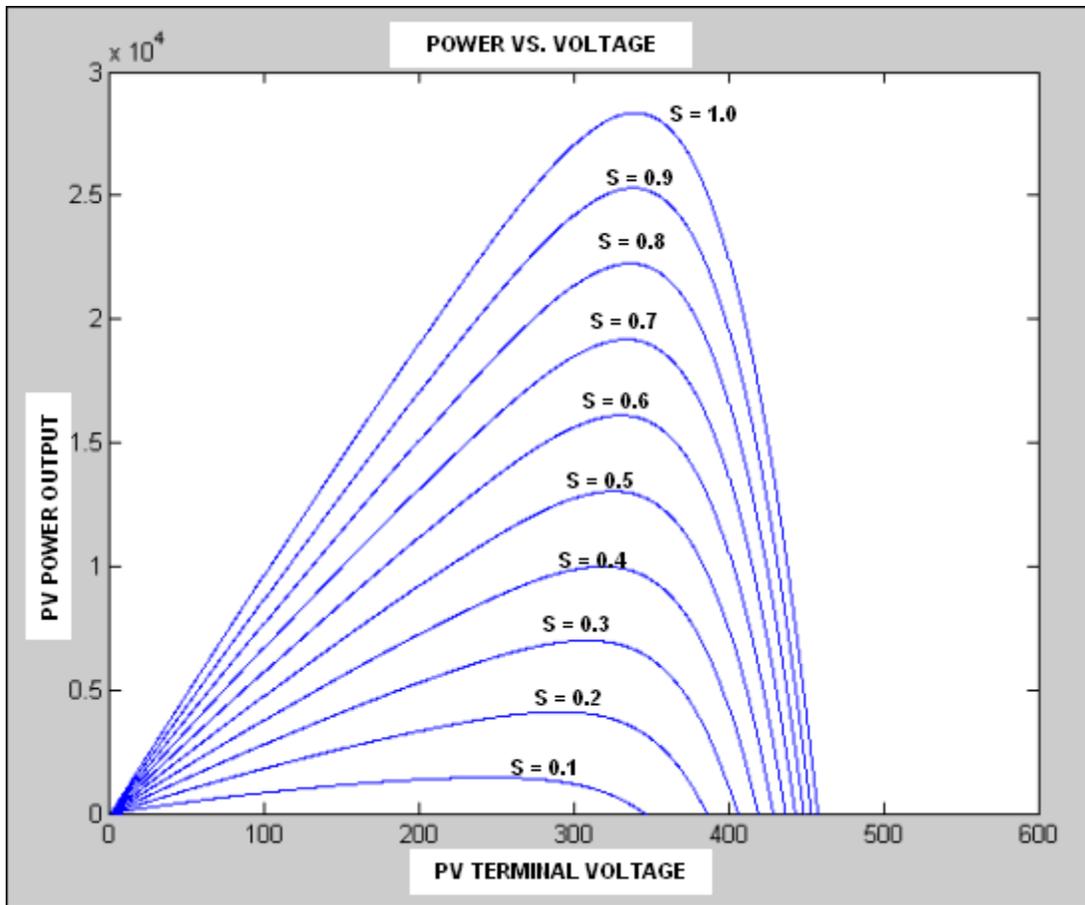
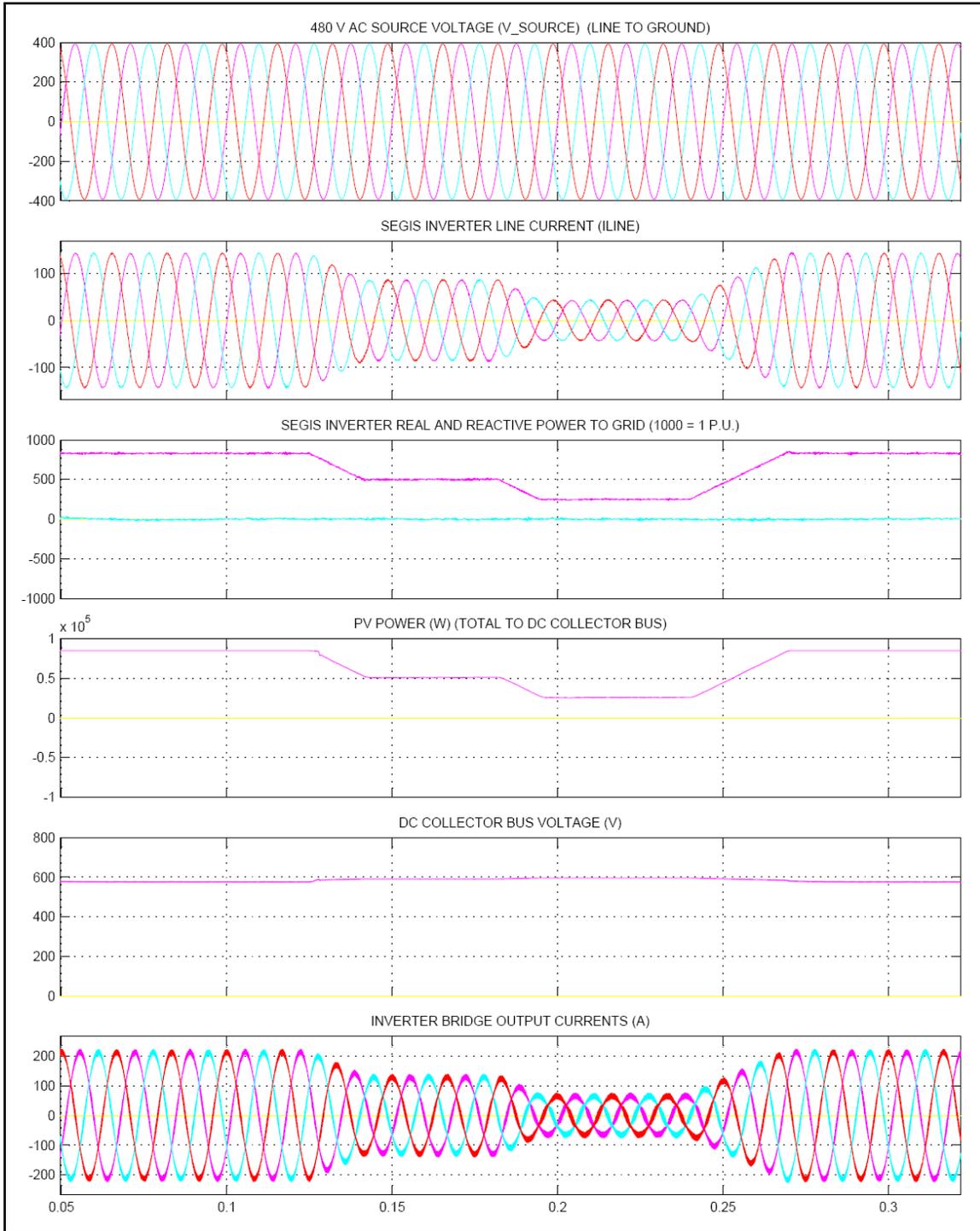
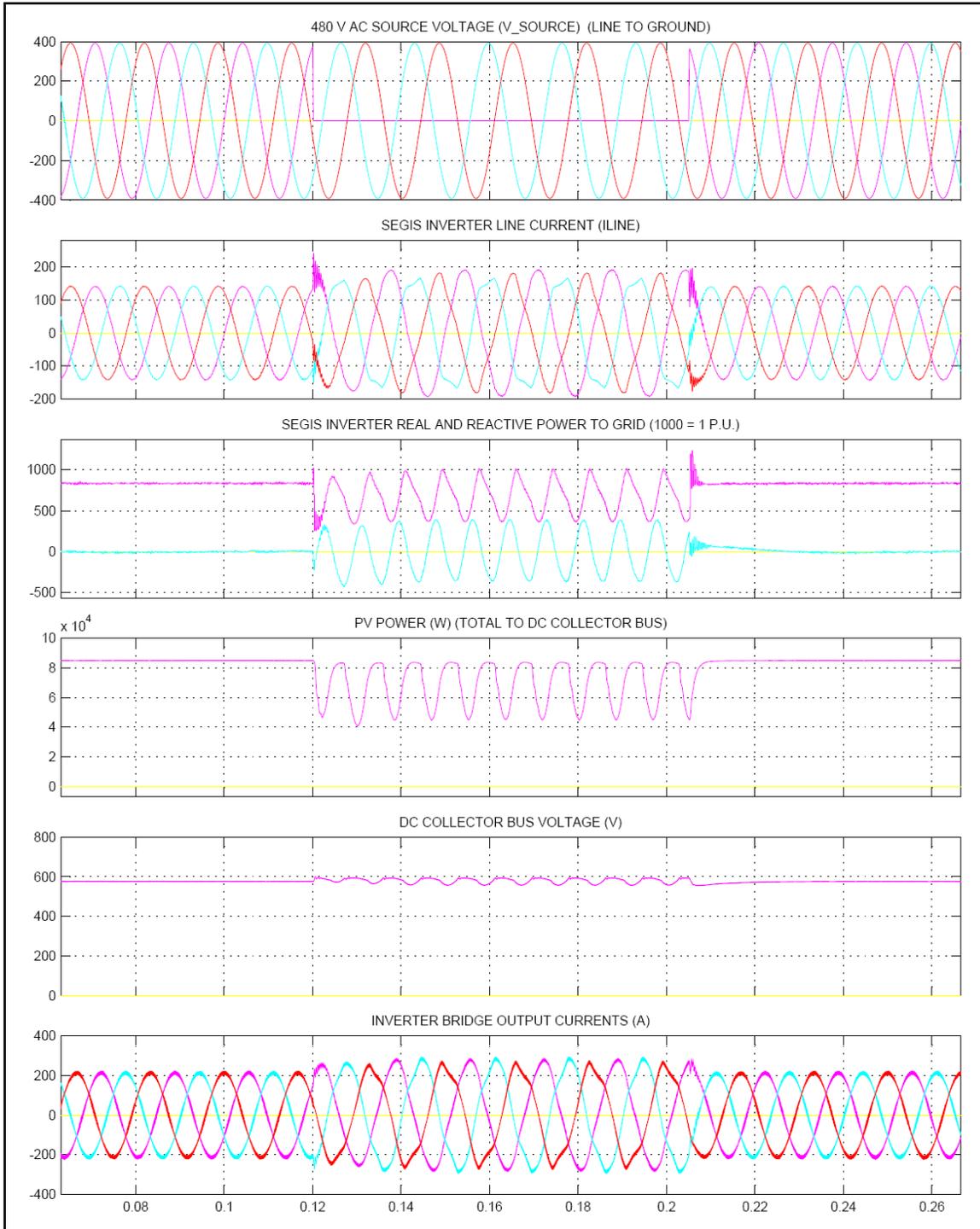


Figure A.18. PV array model characteristics used for SEGIS Grid Smart inverter system model. Solyndra SL-001-157 panel; 5 in series; 36 in parallel.



**Figure A.19. Grid-smart shared inverter. Curtailment command = (1.0 : 0.50 : 0.25 : 1.0).  
 Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.  
 Reactive mode = Power Factor (1.0).**



**Figure A.20. Grid-smart shared inverter. Single phase (A) - 1.0 p.u. dip in source voltage (to ground). Reactive mode = Power Factor control. Power factor command = 1.0. Curtailment command = 1.0 p.u. Real power limit ramp = 0.05 seconds. Reactive power limit ramp = 0.05 seconds.**

**Appendix B: Witness Test Results (condensed)**



**Satcon™**  
**Clean power.**

**SEGIS Witness Test Report**

**Version 1.0 (Edited)**

*This test report has been modified by the Florida Solar Energy Center  
from the original version for brevity and enhanced clarity.*

## **Introduction**

During the week of April 13 through 16, 2010 Sandia National Laboratories personnel witnessed a series of tests conducted by the FSEC team held at the Satcon Technologies Corporation's Boston laboratory. These demonstration tests showcased the Grid Smart capabilities of the Satcon power inverters. In addition to the witness tests, Satcon presented a computer simulation model of the inverter system exhibiting these features. Finally, Satcon demonstrated its string combiner advanced data collection and fault detection capabilities.

### **Project Test Environment:**

<b>Model</b>	<i>Satcon Solstice and Satcon Powergate Plus inverters</i>
<b>Power rating and voltage</b>	<i>Shared inverter: 100 kW Satcon Solstice Inverter Subcombiners: Satcon Solstice 2.2 kW Subcombiner Non-Shared Inverter: 50 kW Satcon Powergate Plus Inverter</i>
<b>Test Location</b>	<i>Satcon Test facility, Boston, MA</i>
<b>Source type (PV array, etc.)</b>	<i>20 kW PV emulation system 30 kW Satcon Powergate Plus Inverter configured as an active rectifier</i>

## **Purpose of Tests**

Satcon personnel demonstrated three essential functional areas: the basic communication and advanced power management features of the grid connected power inverters; a SCADA compatible site control capability; and grid connected power inverter ride-through capacity under several voltage and frequency disturbance scenarios.

### **Demonstrate Advanced Power Management Features**

The Electric Utility must manage the power supply in order to meet power demand. Solar electric power as a generation source must be responsive to utility control if we hope to realize wider adoption. The power inverter is the point of control. During the witness test, Satcon demonstrated real and reactive power control, power ramping and curtailment.

### **Demonstrate Utility Remote Control of Inverters**

Typical SCADA systems employ an RS-485 interface to manage power generation sources. Satcon has developed a utility site controller that can be operated via a RS-485 serial communications port. A notebook PC running a LabView graphical user interface (GUI) was used to simulate a nominal version of one would find in a utility control room. It provides a single point of control and data monitoring for the two inverter units under test. This approach allows the operator to treat the site controller commanding multiple inverters as a single virtual inverter.

### **Demonstrate Ride-through Capabilities**

UL 1741/IEEE Std.1547 standards today prohibit ride through behavior to enforce islanding protection. A new ride-through standard is rolling out in Europe that permits continued operation after temporary isolation from the electric utility system under specific circumstances. Satcon demonstrated low voltage/low frequency ride through

capabilities of its modified inverters. In the future, a new version of the control software will be deployed in Europe to support the ride through capabilities allowed by the new IEC standard.

## **Computer Models**

Satcon developed Matlab/Simulink Computer models of the Grid Smart Inverter system and the micro-grid. These models were used to predict the system behavior under the test laboratory conditions and also in the field conditions.

### **Inverter System**

The inverter system model is based on the physical components. The model of the shared inverter includes the inverter, its control software, three DC-DC subcombiners, PV emulation sources, and a micro-grid emulating the utility grid. The model of the non-shared inverter is less complex, consisting of the inverter, its control software, and the simulated grid.

### **Micro-grid**

The utility grid emulator consists of a 3-phase voltage waveform generator driving a programmable power source. Both the model and the physical grid operate at 480 V AC.

### **SEGIS Grid Smart System**

The inverter system and micro-grid models are integrated to create a full system model of the SEGIS Grid Smart test system. Exercising this model provides a prediction of the witness test outcome, and a basis for determining system response in difficult to test (at least in the laboratory) situations.

## **Summary of Tests**

During the witness testing period, Satcon performed three categories of tests: advanced power management, site control, and ride-through tests.

### **Advanced Power Management**

The Satcon inverters support remote real and reactive power command control, allowing the remote user to set power factor and reactive power output. Power factor and real and reactive power level changes are achieved via controlled ramping rather than abrupt step functions. Utility operators also will have the ability to curtail real and reactive output. These highly desirable utility features were demonstrated under controlled conditions in the Satcon laboratory in Boston.

### **Site Control**

The site controller testing was designed to demonstrate that multiple inverters could be monitored and controlled from a single node by a utility SCADA system. The site controller monitors inverter parameters such as output of real and reactive power; voltage, current, and frequency; and input of voltage, current, and power. It also enables remote startup and shutdown to the site or separately to the individual inverters.

## **Ride-through**

The intent of the ride-through test is to show that the Satcon inverters, as modified for this test, can withstand temporary low voltage conditions simulating grid instability or loss of connectivity. The over and under frequency tests similarly demonstrate the inverters' resilience during temporary grid frequency instability.

## **Summary of Results**

The Satcon inverters proved responsive to power factor and reactive power commands issued by the simulated utility test interface. The units were able to maintain the programmed power levels until otherwise instructed to change.

## **Data Collection**

The test data were recorded in 3 ways: on the worksheet directly, in tab-delimited log files, and with oscilloscope waveform images.

### *Worksheets*

During the test execution, the testers recorded data by hand on the witness test worksheets. Parameter values and a pass or fail indicators were entered for all the test cases. These data were later entered into a spreadsheet for normalizing and also for convenience when sharing. The original data sheets have been preserved for reference.

### *Log Files*

During the test period, all inverter and site controller responses were recorded in a tab-delimited data file, capturing the inverter output parameters such as real power, reactive power, apparent power, power factor, current, voltage, and frequency. The log files also contain input parameters for voltage, current, and power. These data files were derived from three sources: the site controller, a Yokogawa model WT3000 Precision Power Analyzer on the 100kW shared inverter, and a second Yokogawa WT3000 connected to the site controller. The site controller logged data at 10 second intervals. Three log files were produced: one each for April 12, April 13, and April 14. The data in the April 12 file were not used for this report. The Yokogawa units sampled data at 1 second intervals. Two log files were produced: one for the April 14 100 kW inverter tests and another for April 15 ride-through tests. The Yokogawa recorders were beneficial in recording inverter response to the ride-through tests. Neither the site controller nor the Yokogawa-generated log files were able to capture the advanced power management ramp rate transients. An oscilloscope was used instead.

### *Waveforms*

A Tektronix model TDS-3034 digital storage oscilloscope was used to record inverter output during the real power ramp rate tests, the low voltage ride-through tests, and full power testing. The other dynamic test for reactive power and power factor ramping could not be captured on the oscilloscope. The oscilloscope produced both waveform image captures and tabular data which were used for later plotting on Excel. The real power ramp waveforms are plots of current versus time. A current-to-power equivalent was calculated to plot theoretical ramp rates, which were superimposed on the Excel

generated charts. The ride-through test waveform images, associated tabular data, and resultant Excel plots are measurements of volts versus time.

## **Test Results**

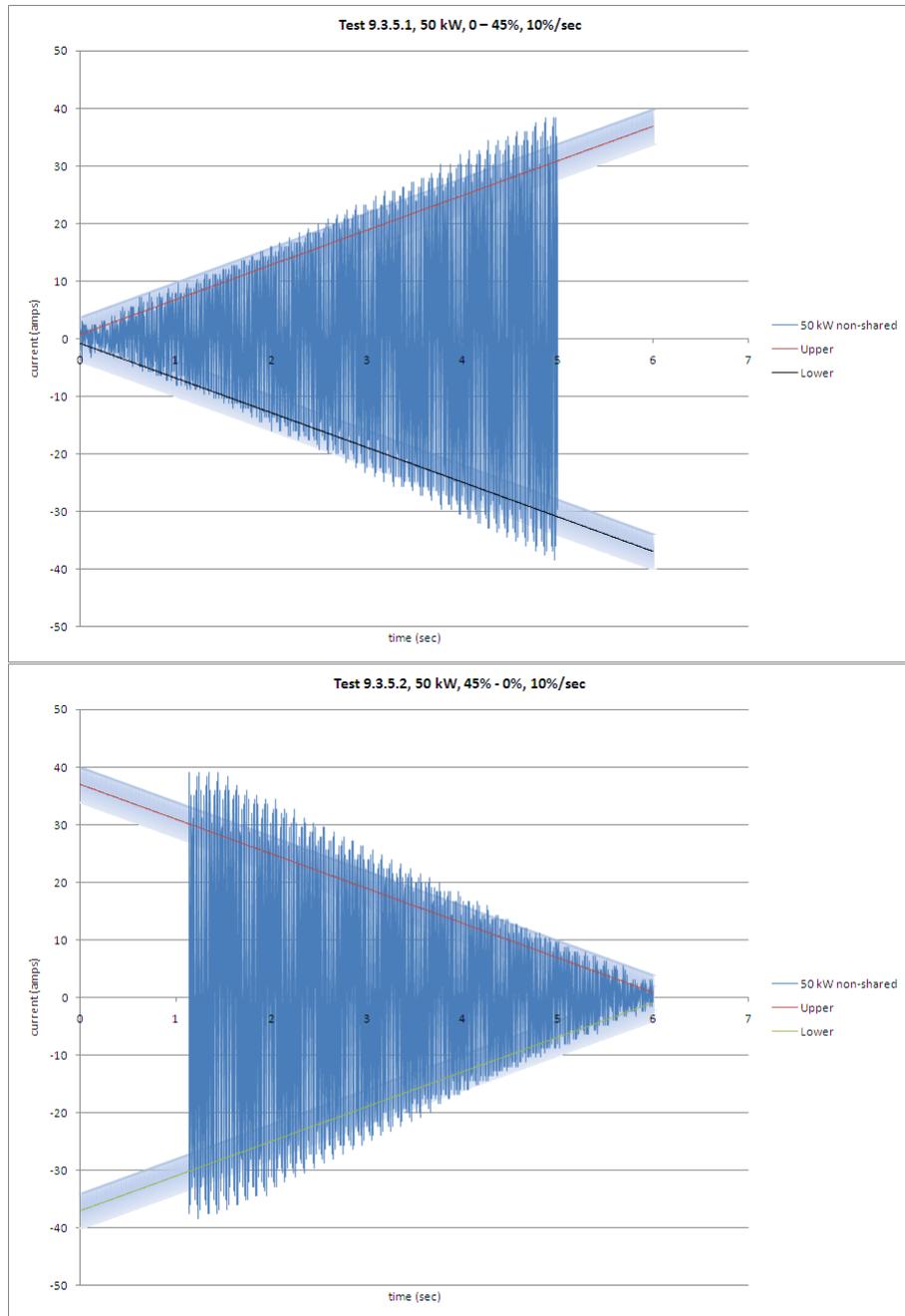
### *Site Control*

Satcon demonstrated the ability from the LabView graphical user interface (GUI) to the site controller to remotely control the two inverters. The inverters were enabled and shutdown both individually and in aggregate. Following the demonstration of these remote operations, we observed the remote monitoring capabilities in the LabView GUI.

Satcon next showed the power command features: curtailment, reactive power, and power factor command. The operating parameters were entered into the LabView GUI for the individual inverters and for the site. Receipt of the commands was acknowledged in the LabView GUI, and the inverter input and output parameter values were recorded directly on the test worksheets from the LabView GUI, the inverter HMI, and the Yokogawa WT3000. The LabView application also maintained a data log record of these observations as described in the “Log Files” section above. The system responded positively to the commands, and the tests were completed successfully.

### *Advanced Power Management*

Satcon demonstrated the controlled real and reactive power ramping functions at three different ramp rates on the 50 kW inverter, the 100 kW shared inverter, and the two inverters operating together under site control mode. The specified rates were 1%, 10%, and 100% of inverter rated capacity per second. Real power data were captured on a Tektronix Oscilloscope as mentioned above in the “Waveform” section, and the response waveforms images and numerical data were retained. The rise time was also recorded on the test worksheets. The transient waveforms for the reactive power ramp rate tests could not be captured; however, there was visual confirmation and the rise times were then recorded on the test worksheets. The oscilloscope measured the current output, which required that we calculate current ramp rate equivalent to the power ramp rate. We observed a gradual ramping over time to the new set point as opposed to an abrupt step function, and the set point was achieved within the upper and lower limits of the calculated rise time.



**Figure B.1. Real Power Rate Limit Command Test on 50 kW unit (P<sub>MAX</sub> from 0% to 45%):  
 Top, P<sub>MAX</sub> = 10%; Bottom, P<sub>MAX</sub> = -10%.**

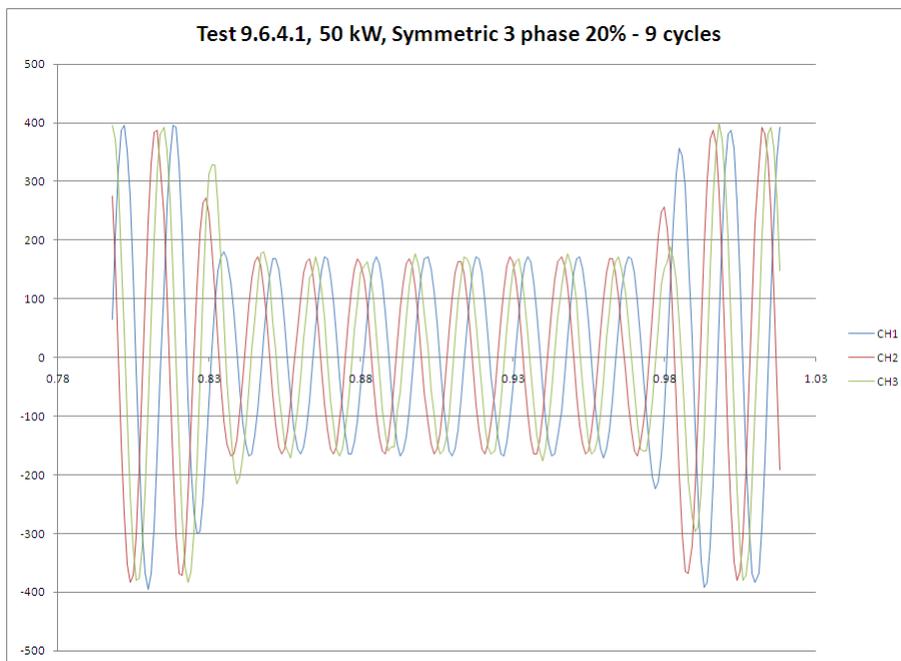
The data monitored from the 100-kW unit exhibited a high level of noise which resulted in a reduced level of accuracy in the related measurements. This was attributed to the fact that we were operating this inverter at less than 20% of its rated power. The data from the 50-kW unit, operating at 20 - 45% of its rated power, was relatively noise free.

### *Ride-through Testing*

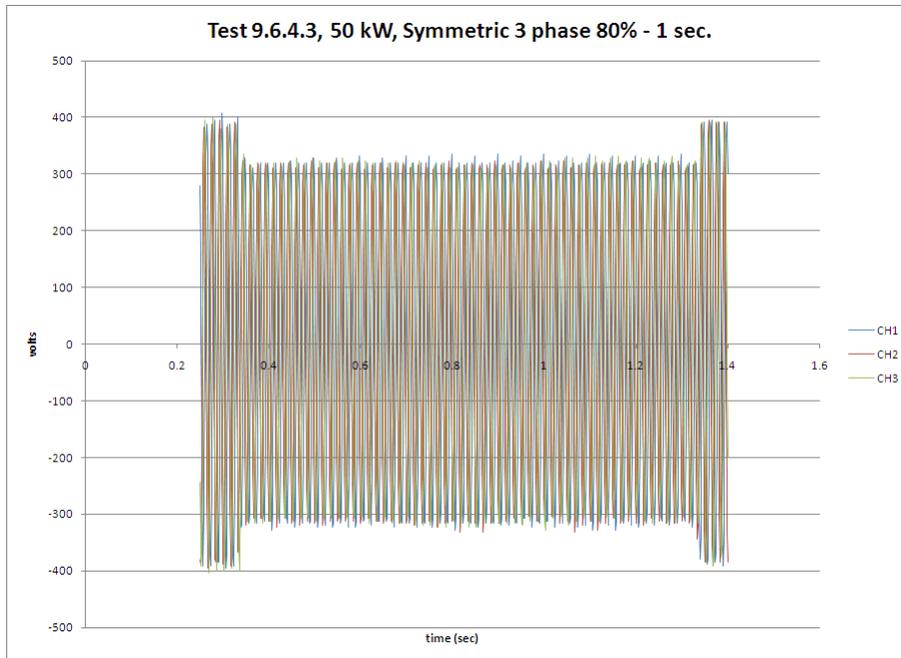
Satcon tested the ability of both the 50 kW Grid Smart Inverter and the 100 kW Grid Smart shared inverter to endure temporary low voltage conditions. The tests are described below and in more detail in the test plan.

#### **Three-phase symmetric fault**

In this test we depressed the voltage of all 3 phases of the 480V grid to simulate a brown-out condition. The under-voltage was imposed for 9 cycles, then 1 second, and showed that the inverter would withstand such conditions without tripping off. In all cases the inverters continued operation during the fault period until normal grid conditions were restored.



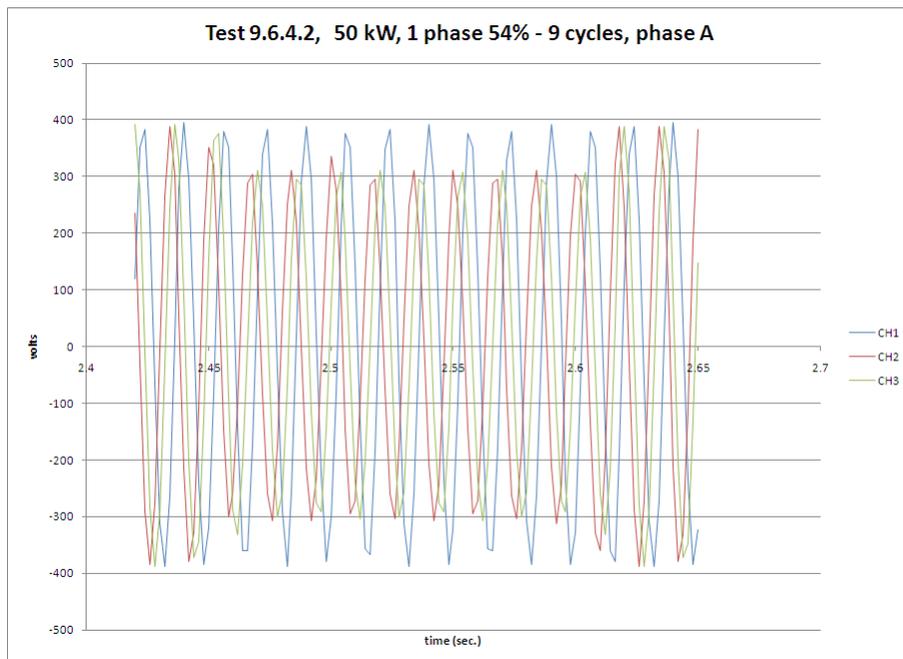
**Figure B.2. Ride-through with symmetrical three-phase voltage reduction to 20%.  
Fault was cleared after 9 cycles.**



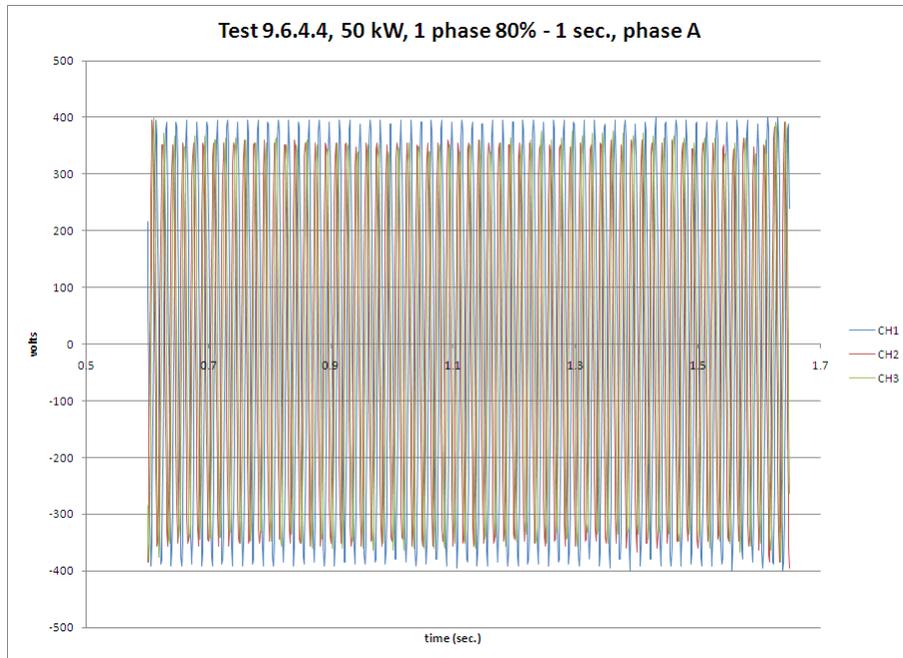
**Figure B.3. Symmetrical voltage reduction to 80% for 1 second.**

**Single-phase fault**

During the single-phase fault tests, the voltage on each of the 3 AC phases (one at a time) was lowered for 9 cycles, and then 1 second, to show the inverter’s ability to continue operating. Waveforms were captured and data collected from the Tektronix oscilloscope. Again, the inverters continued operation during the fault period and normal grid conditions were restored without interruption to inverter operation.



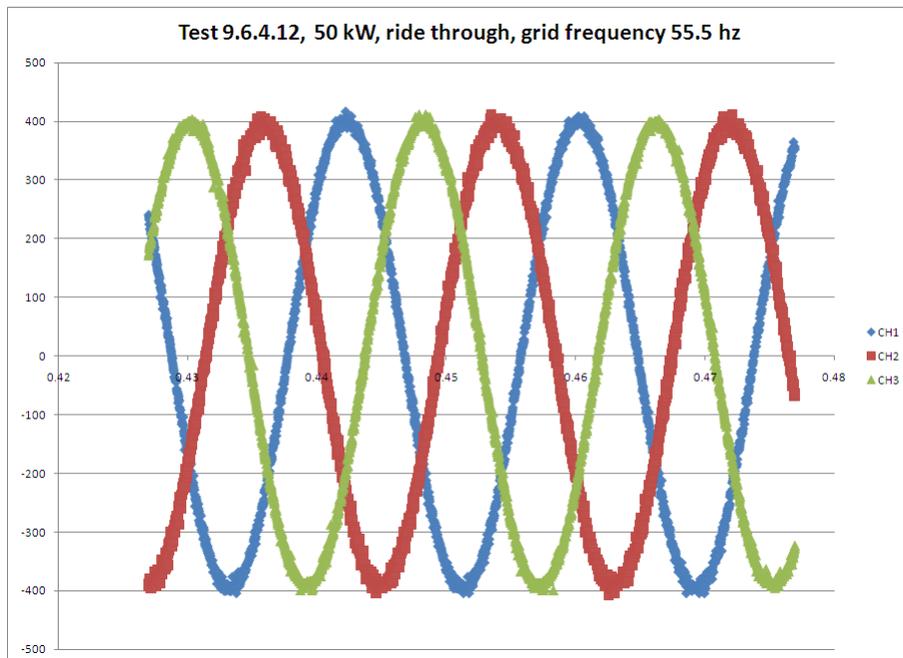
**Figure B.4. Single-phase-to-ground fault cleared after 9 cycles.**



**Figure B.5. Single-phase voltage reduction to 80% for 1 second.**

**Under frequency ride through**

Satcon was able to adjust a micro-grid frequency to 55.5 Hz for the under-frequency test. The inverters, both 50 kW and 100 kW, set for wider frequency operating conditions performed as required through this condition.



**Figure B.6. Under-frequency ride-through test (55.5 Hz) shows no inverter voltage deviation.**

### Over frequency ride through

Similarly, we demonstrated a ride-through ability at a micro-grid over-frequency of 64.4 Hz. Both SEGIS inverters passed the tests.

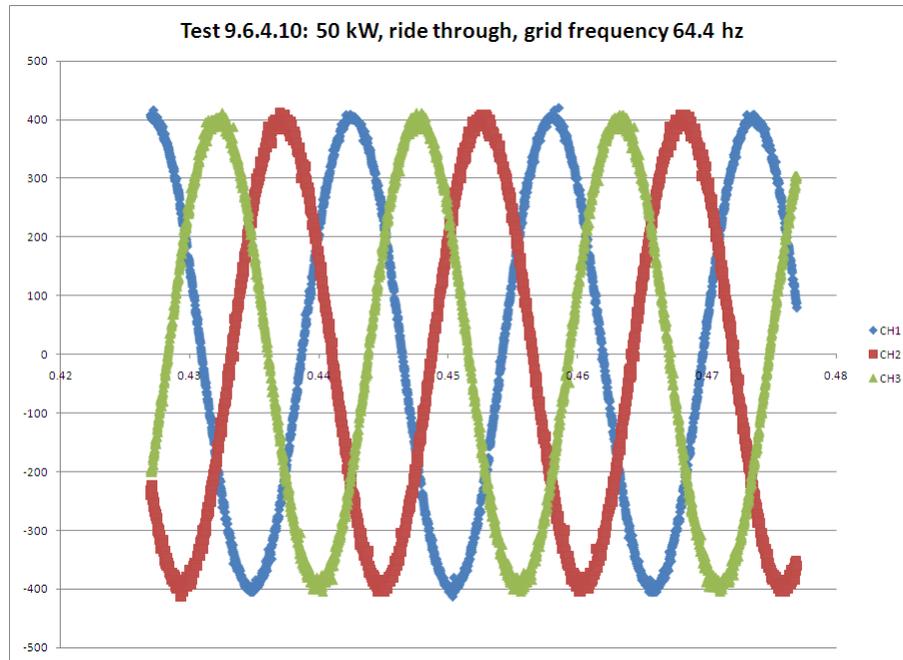


Figure B.7. Over-frequency ride-through test (64.4 Hz) shows no inverter voltage deviation.

### Conclusions

The advanced power management features developed and advanced for the Satcon inverters in this SEGIS project have been verified and can now offer utilities the ability to control real and reactive power output from a distributed generation source in conjunction with a permissive link communication methodology. When these features are paired with a site controller capable of managing multiple grid smart inverters in both the single and shared configurations, the data suggest that utility control easily scales to the tens of megawatts PV farm level. The data provided in this appendix is a small sample of test results verifying the features listed below.

The following list summarizes the main features:

- Communications with utility SCADA or site controller for remote control.
- Remote control of real power limit (curtailment) that allows grid operators to cut generation under contingency conditions when the grid has limited ability to absorb the power.
- Controlled ramp rate for real power limit that prevents sudden power change when curtailment is applied or removed. Also provides means of limiting sudden increase of power output due to irradiance increase.

- Remote control of power factor or reactive power at PCC for Remote selection of power factor or reactive power control provides mitigation of high or low voltage conditions at the PCC without violating IEEE Std.1547.
- Ride-through capability for specified grid disturbances that ensures the DG resources can help support the utility grid system in a post-fault period. Requirements for successful ride-through include:
  - Extended tolerance for voltage and frequency deviation
  - Enhanced dynamic control for operating under unbalanced/distorted voltage
  - Suppressed anti-islanding measures
  - A UPS power source for control and cooling

These new SEGIS capabilities all allow utilities to provide higher quality AC power and to manage grid disturbances.



## Appendix C: Satcon Advertisement Featuring GSI Functionality

Here is a recent advertisement from *SolarPro* magazine, which promotes many of the features developed directly as a result of the SEGIS project.



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