# Telemonitoring and Sleep Apnea: Effect on CPAP Adherence

Carl J. Stepnowsky, Jr., Ph.D. Health Services Research & Development Unit, Veterans Affairs San Diego Healthcare System & Department of Medicine, UCSD cstepnowsky@ucsd.edu

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# Overview

- Background on sleep apnea and CPAP therapy
- Overview of telehealth studies in sleep apnea
- Presentation of two CPAP telemonitoring studies

# Introduction to OSA

- OSA = Obstructive Sleep Apnea
  - Repetitive cessations of breath during sleep
  - Comprised of apneas and hypopneas
  - AHI = apneas + hypopneas/hour of sleep
- OSA is associated with serious cardiovascular and psychosocial co-morbidities, and with increased rates of mortality
- >80% of all Sleep Clinic diagnoses are OSA
- OSA is a prevalent chronic disease
  - 2-4% middle aged adults; ~30-40% older adults

# Introduction to CPAP Therapy

- CPAP = <u>c</u>ontinuous <u>p</u>ositive <u>a</u>irway <u>p</u>ressure therapy
- Comprised of flow generator, hose, and mask
- Prescribed for use whenever asleep
- Gold-standard therapy
- Fixed, bi-level, autoadjusting



# Background

- Obstructive sleep apnea historically has been underdiagnosed
- Large emphasis on diagnosis
  - Many factors (increased awareness, increased capacity) resulting in increased numbers of OSA patients
- *Evolving* emphasis on treatment initialization & follow-up
- Medicare 90-day rule has had large recent influence

## **CPAP** Adherence Rates

- Continuous positive airway pressure (CPAP) therapy adherence rates generally considered suboptimal
  - 75-80% of OSA patients give CPAP a try
  - ~50% continue to use at one year
  - Of those that continue to use, mean use=3-4hrs/nt
- CPAP prescribed for use all night, every night, including naps
- Majority of patients are engaging in partial use patterns

## **CPAP Adherence Patterns**

- Consistent and inconsistent users can be distinguished within the first week (Weaver et al, 1997; Aloia et al 2007)
- Adherence in week 1 associated with:

- adherence at 6 months (Aloia et al 2007)

- Adherence at 1 mo is associated with:
  - adherence at 3 months (Kribbs et al, 1993)
  - adherence at 6 months (Reeves-Hoche et al, 1994)
- Adherence at 3 mo is associated with:
  - adherence at 22 months (McArdle et al, 1999)

#### CPAP Adherence – First 2 Weeks



Figure 2: CPAP adherence over first 14 days

Days



## CPAP Use Pattern- Summary

- Adherence use patterns seem to be established early in the treatment initialization process
- Use patterns are variable; they tell a story
- This variability is important to monitor over time because it can help inform when to intervene when tracked prospectively
- Technologically, we can do this
- Key issue: current system is not well set up to take advantage of it

## **Interventional Studies**

- Educational
  - Provision of pamphlets, group education
- Clinical Support
  - Provision of additional telephone/clinic visits with focus on therapeutic changes/advice
- Behavioral Change
  - Motivational Enhancement, Cognitive-Behavioral Therapy, Self-Management, etc.
- Health information technology
  - Telemedicine, Telephone-linked care

# Health Buddy Study

- Home telehealth device
- Intervention consisted of branching questions
  - Symptom management
  - Health behavior
  - Knowledge
- No difference in adherence
  - 4.2 vs. 4.3 hrs/nt



Health Buddy Appliance, Health Hero Network, Palo Alto, CA

Taylor et al, 2006

# Video Teleconferencing (VTC) Study

- Sample: non-adherent patients over prior 3 mos
- Randomized to VTC or control (vitamin placebo)
- VTC group had higher adherence (90% vs. 44%; p=0.03)
- >4hrs/nt on <u>></u>9 out of 14 night:



Smith et al, 2006

### Interactive Voice Response: TLC-CPAP Study

- Full-Scale Study
  - Incorporation of motivational enhancement
  - RCT of Telephone-linked Care (TLC)-CPAP vs. attention control
    - n=100+ per group
  - Weekly phone calls in 1 mo; monthly thereafter
  - 12 mo study, with assessment at 6 mos
  - 2.4 vs. 1.5 hrs/nt at 6 mos
- Of concern: magnitude of use

#### Our Work

#### **CPAP** Telemonitoring System



Data transmitted via pager/cell network next day in "store & forward" manner

#### CPAP Telemonitoring Using ResTraxx Data Center (RDC):



- Demographics background data
- Prescription allows for setting of thresholds
- Monitoring calendar format reporting of data
- Compliance
- Only provider access (i.e., no patient access)

#### **ResTraxx Data Center - Prescription**

		* Indicates Required Fi	eld				Save Prescription
		Effective Da	te: 0	7/01/2005	*		
		Physicia	an: None S	Selected 💌			
		Product Mo	te: CPAP	*			
		Threshold A	HI: 10.0	🗾 e/hr *			
		Threshold Le	ak: 0.4	✓ I/sec <sup>*</sup>			
		Threshold Usa	ge: 4.0	Hours	*		
							Save Prescription
Prescrip	otion Hist	ory:					
Effective	End Date	Product Mode	Threshold	Threshold	Threshold	Created By	Date/Time Created

#### ResTraxx Data Center Calendar

	Complete History								
Report Date		Septem	September 21, 2005 Phys		an				
Patient Name				Date Of Birth					
Monitoring Start Date		May 23, 2005		Total Days Monitored			63 07 2 0/		
Monitoring End Date		July 25, 2005		Compliance Percentage			07.3 %		
<b>\$</b>			June	, 2005 🗸			- ₽>		
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Summary		
22	23 💦 🖊	24 🖊	25 🖊	26 🖊	27 🖊	28 🖊			
			10:42 hrs	07:52 hrs	08:42 hrs	07:39 hrs	66.7 %		
			<u>11.7 e/hr</u>	11.9 e/hr	11.7_e/hr	8.4 e/hr	11 e/hr		
			U I/sec	U.1 I/sec	U.1 l/sec	U.2 I/sec	U.1 l/sec		
29 🖊	30 🖊	31 🖊	1 🖊	2 🖊	3	4 🖊			
07:53 hrs	08:19 hrs	10:14 hrs	08:17 hrs	08:48 hrs	09:15 hrs	07:55 hrs	100 %		
10.6 e/hr	8.7 e/hr	10.7_e/hr	15.3 e/hr	10.7 e/hr	8.9 e/hr	10.6 e/hr	10.8 e/hr		
0.2 l/sec	0.1 l/sec	0.3 Vsec	0.1 l/sec	0.1 l/sec	0.2 l/sec	0.1 l/sec	0.2 l/sec		
5 🖊	6 🖊	7	8	9 🖊	10 🖊	11 🖊			
08:27 hrs	_08:22 hrs	09:32 hrs	09:32 hrs	10:43 hrs	09:49 hrs	08:29 hrs	100 %		
13.2 e/hr	10.6 e/hr	11.5 e/hr	7.3 e/hr	16.5 e/hr	14.1 e/hr	12.2 e/hr	12.3 e/hr		
0.1 l/sec	0.1 l/sec	D.1 l/sec	0.1 l/sec	0.2 l/sec	0.1 l/sec	0.1 l/sec	0.1 l/sec		
12	13 🖊	14 🖊	15 🖊	16 🖊	17	18 🗾			
07:11 hrs	09:10 hrs	08:36 hrs	09:30 hrs	10:27 hrs	08:47 hrs	00:00 hrs	85.7 %		
7 e/hr	22 e/hr	10.3 e/hr	10 e/hr	8 e/hr	7 e/hr	0 e/hr	10.8 e/hr		
0.2 l/sec	0.2 l/sec	0.2 l/sec	0.1 l/sec	0.2 l/sec	0.2 l/sec	0 l/sec	0.2 l/sec		
19 🖊	20 🖊	21	22 💋	23 🖊	24	25 🖊			
00:00 hrs	00:00 hrs	00:00 hrs	00:00 hrs	00:00 hrs	09:28 hrs	09:15 hrs	28.6 %		
0 e/hr	0 e/hr	0 e/hr	0 e/hr	0 e/hr	6.9 e/hr	9 e/hr	7.9 e/hr		
O l/sec	0 l/sec	0 l/sec	0 l/sec	0 l/sec	0.1 l/sec	0.1 l/sec	0.1 l/sec		

#### Provider Treatment Algorithm:

Green/green pathway



### Provider Treatment Algorithm:

Red/yellow pathway



# Study 1 – CPAP Telemonitoring

- Examined effect of CPAP telemonitoring on improving CPAP adherence
  - Provider had access to CPAP adherence and efficacy data
  - Could act proactively
  - No specific intervention on the patient-side (i.e., clinical support study)

Stepnowsky et al, 2007

# Methods

- Randomized trial comparing two groups:
  - Usual clinical care (UCC)

Enhanced clinical care (ECC)

- 20 patients per group
- ECC receive tailored feedback from clinical staff based on wireless data collection
- Participants were followed for 2 months

## CPAP Data Measurement

- Objective measurement of both adherence and efficacy data
  - <u>Adherence</u> amount of time treatment was worn at the prescribed pressure
  - <u>Efficacy</u>
    - AHI CPAP unit is able to measure the AHI while the device is worn
    - Leak measure of the amount of air leak; to the extent that leak is high, treatment less efficacious

# UCC vs. ECC



# **Clinical Care Differences**

- Both ECC and UCC have data access
  - ECC <u>Daily</u> data access
  - UCC <u>Monthly</u> data access
- ECC providers can proactively intervene
  - UCC providers limited to time points
  - However, patients could always call/drop-in
- Key difference was essentially in that first 30 day period.

#### Sample Characteristics\*

Variable	Mean	SD	Range
Age	59	14.3	23-80
Body Mass Index (kg/m <sup>2</sup> )	32.8	5.7	26.0-45.9
Apnea-Hypopnea Index (AHI)	39	16.8	20.7-93.7
Oxygen Desat Index (ODI)	43.4	20.1	16.5-89.3
CPAP pressure (cm H <sub>2</sub> O)	10.3	1.6	8-13
Epworth Sleepiness Scale	12.6	5.8	4-23
FOSQ*	13.8	3.8	6.2-19.3

\* There were no significant differences on any of these sample characteristic variables between the 2 groups

#### Data on Adherence, AHI and Mask Leak by Overall Group and Intervention Arms

	Total Sample		Usual Clir	<b>nical Care</b>	Enhanced Clinical Care	
	Mean ± SD	Range	Mean ± SD	Range	Mean ± SD	Range
Adherence (hrs/night)*	3.5 ± 2.1	0.2 - 6.8	2.8 ± 2.2	0.2 - 6.2	4.1 ± 1.8	.01 – 6.8
AHI (e/hr)	$6.8\pm5.3$	.14 – 25.6	8.8 ± 5.7	3.4 – 25.6	4.8 ± 4.0	.14 – 13.3
Mask Leak (l/sec)	.44 ± .36	.05 – 2.2	.50 ± .47	.05 – 2.2	.38 ± .18	.1782
% Nights with CPAP Use (>0)*	65 ± 31%	0-100%	60 ± 32%	5-100%	78 ± 22%	24-98%
% Nights with > 4hrs/nt	44 ± 32%	0-93%	37 ± 34%	0-89%	52 ± 27%	0-93%

Note: \* p<0.10 (trend); \*\* p<0.05

#### Results: Adherence level (in hrs/nt) by Group



p-value=0.07

#### Results: Mean Leak by Group

## Conclusions

- Trend for both greater CPAP adherence levels and lower mask leak levels in ECC relative to UCC
- All patients had acceptable leak levels and AHI levels, on average, over the 2-month intervention period
- Overall, enhanced clinical care patients were satisfied with their care, are very likely to continue to use CPAP, and were not concerned about wireless monitoring of their CPAP data

## Study 2: Telemonitoring + Interactive Website

- Develop and evaluate a CPAP adherence intervention using the internet
- Key features:
  - Telemonitoring of CPAP adherence and efficacy data
  - Feeding that data back to both patients and providers
  - Creating online resource for participants

# Methods

- Randomized, controlled trial comparing two groups:
  - Usual Care (UC)
  - Patient-Centered Collaborative Care (PC3) emphasize collaboration between provider and patient
- 120 patients per group
- Recruited from UCSD Sleep Clinic
  - Supplemented by word-of-mouth referrals
- Inclusion criteria: AHI>10



# Chronic Illness Care - IOM

- What patients with chronic illnesses need:
  - A "continuous, healing relationship"
  - Regular assessments of how they are doing
  - Effective clinical management
  - Information and ongoing support for self-management
  - Shared care plan
  - Active, sustained follow-up

## PC3 based in large part on the Chronic Care Model

The Chronic Care Model





 Data transmitted via cellular network next day in "store & forward" manner (ie, not real-time)

Other similar systems include Encore Anywhere (Philips Respironics)

#### Model of Wireless CPAP Telemonitoring



## Patient Side: PC3 Website

- Interactive website designed to "off-load" those tasks that tend to be repetitive to provider:
  - Learning Center OSA and CPAP
  - Reference Manual
- Add interactive components:
  - My Charts
  - Troubleshooting Guide

#### PC3 Website Login

![](_page_39_Picture_1.jpeg)

#### PC3 Website Homepage

![](_page_40_Picture_1.jpeg)

#### Learning Center

![](_page_41_Picture_1.jpeg)

#### **Charts Page**

![](_page_42_Picture_1.jpeg)

#### **CPAP** Adherence data

![](_page_43_Picture_1.jpeg)

#### **CPAP** Residual AHI Data

![](_page_44_Figure_1.jpeg)

#### **CPAP Leak Data**

![](_page_45_Picture_1.jpeg)

#### **Troubleshooting & Manual**

![](_page_46_Picture_1.jpeg)

# Sample Baseline Characteristics

Variable	Both Groups	PC3 * (N=126)	Usual Care* (N=114)	P-value
	Mean $\pm$ SD	Mean $\pm$ SD	Mean $\pm$ SD	
Age	52.1 ± 13.3	52.2 ± 13.0	51.9 ± 13.6	NS
Body Mass Index (kg/m <sup>2</sup> )	32.4 ± 8.0	32.1 ± 8.3	32.8 ± 7.8	NS
Apnea-Hypopnea Index (AHI)	36.5 ± 25.9	36.3 ± 24.9	36.6 ± 27.0	NS
Epworth Sleepiness Scale	10.6 ± 5.3	10.7 ± 5.2	10.5 ± 5.4	NS

\* No significant differences between UC and PC3 groups

# CPAP Adherence level between UC and PC3 at 2-months

![](_page_48_Figure_1.jpeg)

p-value=.016; d-index = 0.34

# CPAP Adherence level between UC and PC3 at 4-months

![](_page_49_Figure_1.jpeg)

p-value = .035; d-index = 0.30

#### Nightly Use Rates over first 90 days

![](_page_50_Figure_1.jpeg)

#### Outcome Measures – 2 months

Variable	Both Groups	PC3 (N=126)	Usual Care (N=114)	P-value
	Mean $\pm$ SD	Mean ± SD	Mean $\pm$ SD	
Epworth Sleepiness Scale	8.5 ± 5.4	8.9 ± 5.3	8.1 ± 5.5	NS
Sleep Apnea Quality of Life	2.4 ± 1.1	$\textbf{2.5} \pm \textbf{1.0}$	2.4 ± 1.2	NS
CES-D*	8.5 ± 5.4	8.9 ± 5.3	8.1 ± 5.5	NS
Patient Satisfaction	1.7 ± 1.2	1.7 ± 1.1	1.8 ±1.3	NS

\*CES-D=Center for Epidemiological Studies-Depression

#### Outcome Measures – 4 months

Variable	Both Groups	PC3 (N=126)	Usual Care (N=114)	P-value
	Mean $\pm$ SD	Mean $\pm$ SD	Mean $\pm$ SD	
Epworth Sleepiness Scale	6.5 ± 4.2	7.1 ± 4.5	5.7 ± 3.6	NS
Sleep Apnea Quality of Life	2.3 ± 1.1	2.4 ± 1.1	2.2 ± 1.2	NS
CES-D	7.9 ± 5.2	$\textbf{8.6} \pm \textbf{5.5}$	7.1 ± 4.9	NS
Patient Satisfaction	1.8 ± 1.2	1.7 ± 1.1	1.9 ±1.3	NS

\*CES-D=Center for Epidemiological Studies-Depression

# Study 3 – in progress

- Four-group design:
  - CPAP Telemonitoring
  - Individualized Self-Management
  - Telemonitoring + Self-Management
  - Usual Care

# Conclusions

- CPAP telemonitoring has provided the basis for several of our studies
  - Allows for timely **objective** data review
  - Provides the basis for productive interactions between patient and provider
- The PC3 intervention has the potential to help improve CPAP adherence in clinical settings
- The ~1hr/nt difference held at both 2-mo and 4-mo time points

# Conclusions

- CPAP adherence interventions based on health IT have potential to be cost effective relative to more labor-intensive interventions
- May be useful as part of a stepped care plan
- Patient engagement with health IT tools is variable: consider incentives/rewards
- Future studies would do well to include forums and other peer support, as well as electronic communication with provider
- "Tool" vs. clinical issue/process

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#### Questions?

<u>cstepnowsky@ucsd.edu</u> <u>carl.stepnowsky@va.gov</u>