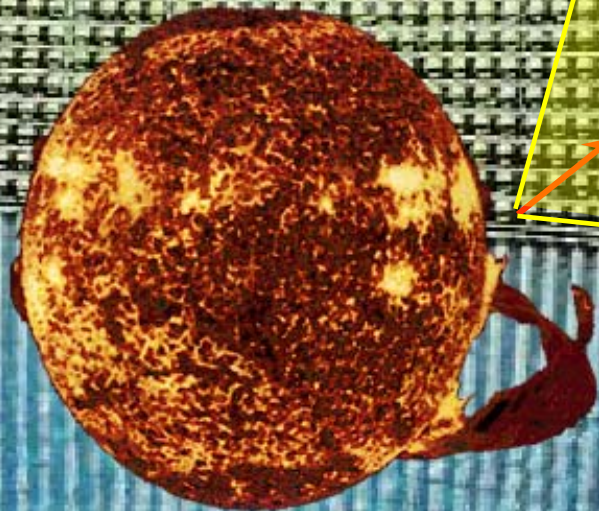
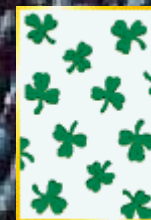


# Latest Solar Neutrino Results from Super-Kamiokande

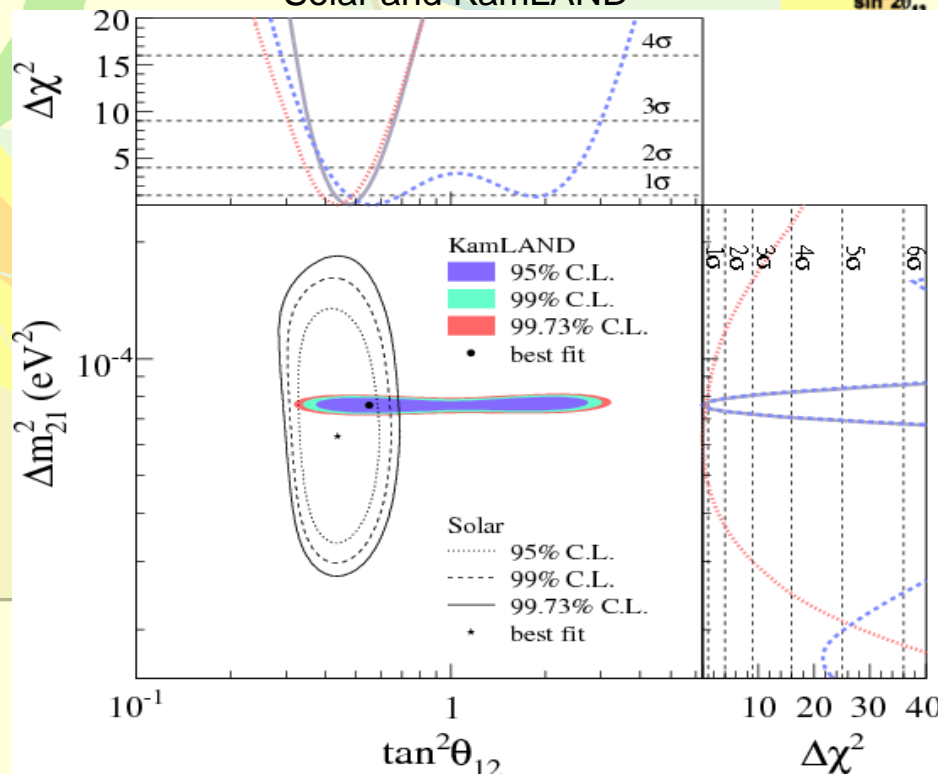
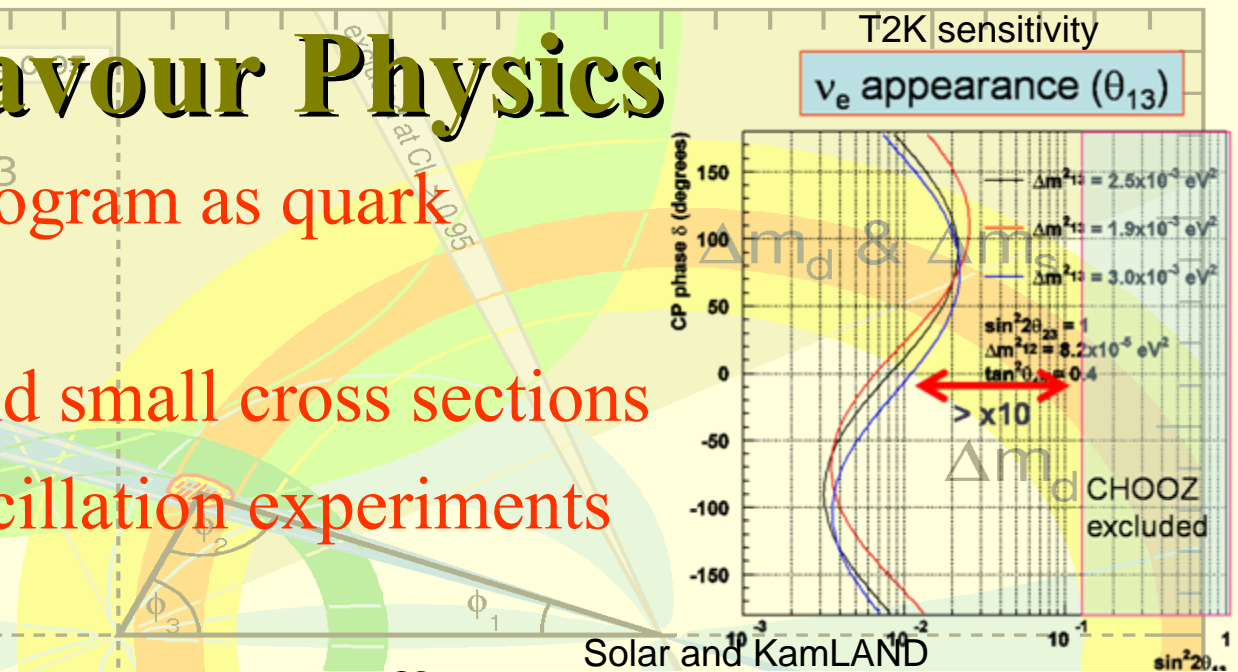
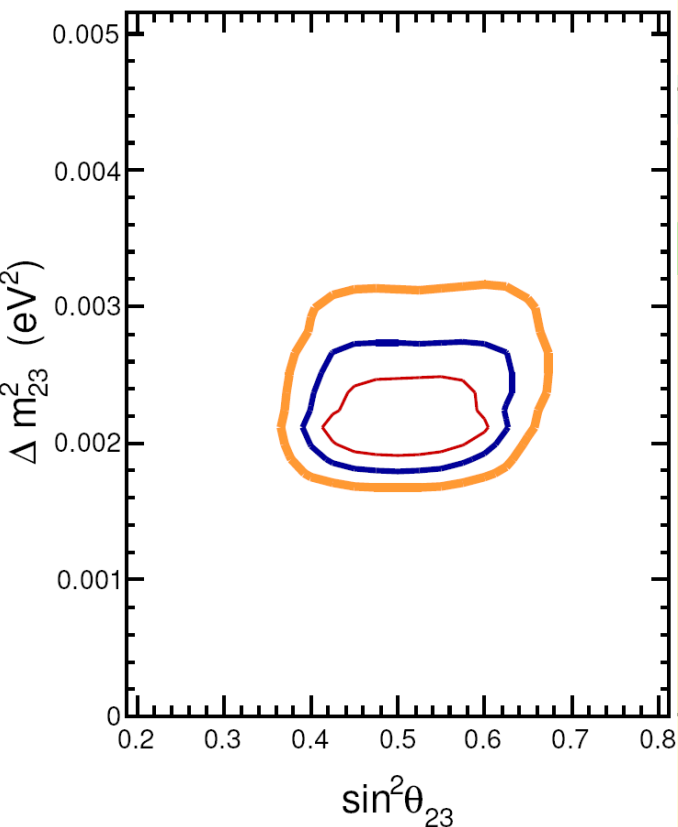


Physics Department Seminar  
at Brookhaven National Laboratory  
Thursday March 17<sup>th</sup> 2011  
Michael Smy, UC Irvine



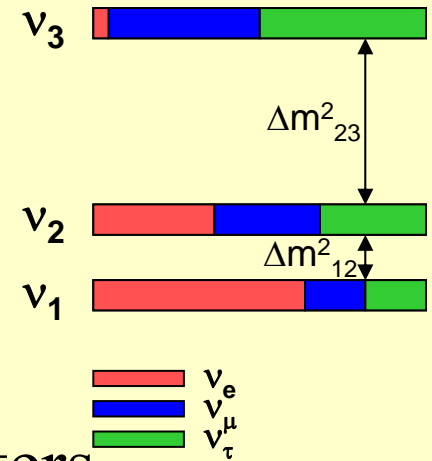
# Neutrino Flavour Physics

- similar physics program as quark mixing, but...
- ...large mixing and small cross sections
- best probed by oscillation experiments



# Neutrino Flavour Mixing: MNS Matrix

$$U = \begin{pmatrix} c_{12}c_{13} & c_{13}s_{12} & e^{-i\delta}s_{13} \\ -s_{12}c_{23} - e^{-i\delta}c_{12}s_{13}s_{23} & c_{12}c_{23} - e^{i\delta}s_{12}s_{13}s_{23} & c_{13}s_{23} \\ -e^{i\delta}c_{12}s_{13}c_{23} + s_{12}s_{23} & -e^{i\delta}s_{12}s_{13}c_{23} - c_{12}s_{23} & c_{13}c_{23} \end{pmatrix}$$



## • Known Parameters

- Two Mass<sup>2</sup> Diff. scales
  - atmospheric:  $\Delta m^2_{23}$
  - solar /KamLAND:  $\Delta m^2_{12}$
- Two Mixing Angles
  - atmospheric :  $\theta_{23}$
  - solar/KamLAND:  $\theta_{12}$
- Mass<sup>2</sup> ordering
  - solar:  $\Delta m^2_{12}$

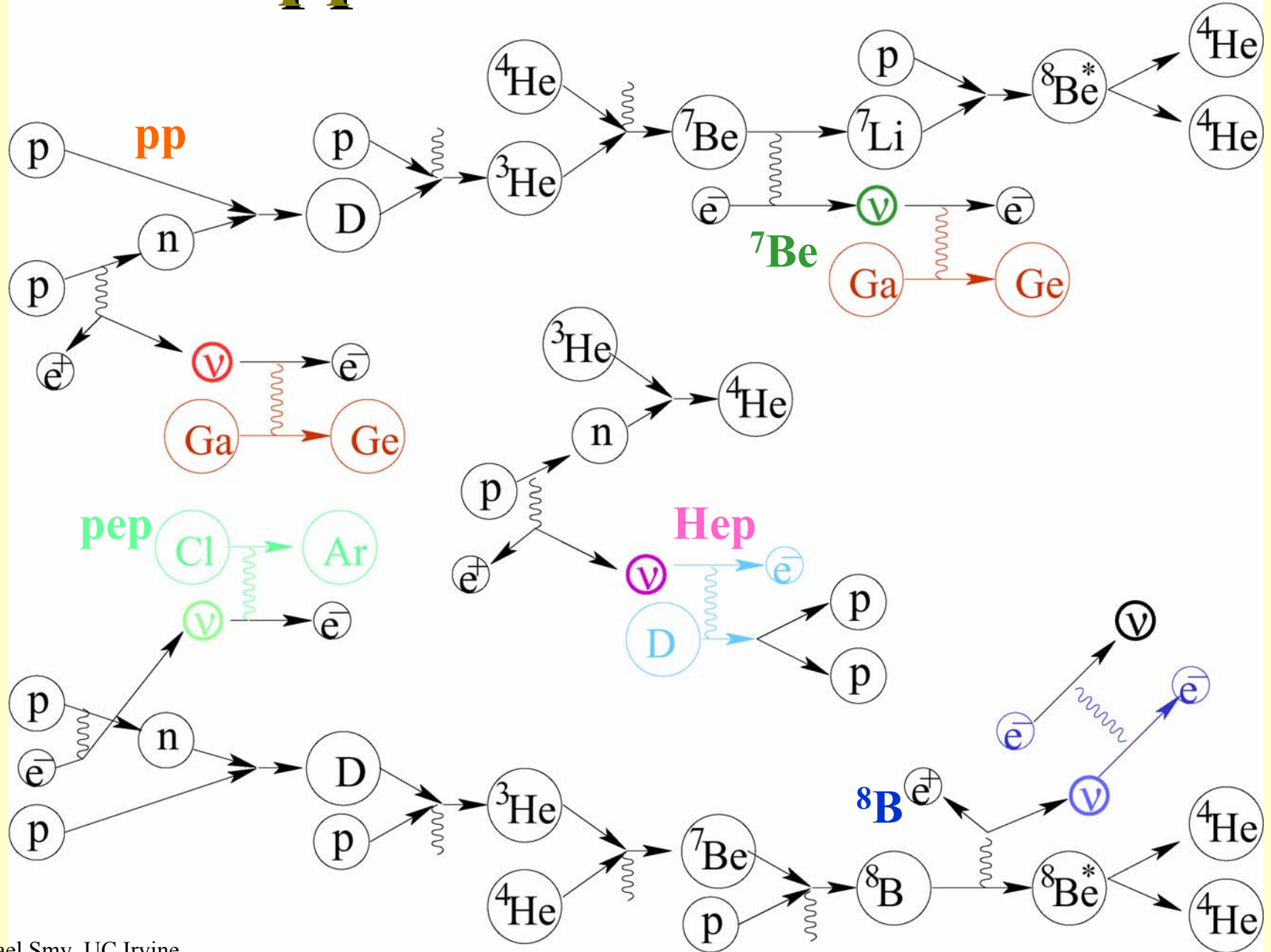
## • Unknown Parameters

- Third Mixing Angle  $\theta_{13}$  (only limit)
- CP-Violating Phases
  - accessible via  $\nu$  oscillation:  $\delta$
  - accessible only via  $0\nu\beta\beta$ :  $\alpha_1, \alpha_2$
- Mass<sup>2</sup> ordering
  - atmospheric:  $\Delta m^2_{23}$
- Other
  - Mass?
  - Majorana or Dirac?

# Solar Neutrinos

- conclusive proof that the sun shines because of nuclear reactions
- directly monitor the solar core
- MSW-resonant flavor conversion happens in the sun for high energy solar neutrinos ( $>\sim 3$  MeV)
- flavor conversion modified if neutrinos pass through the earth

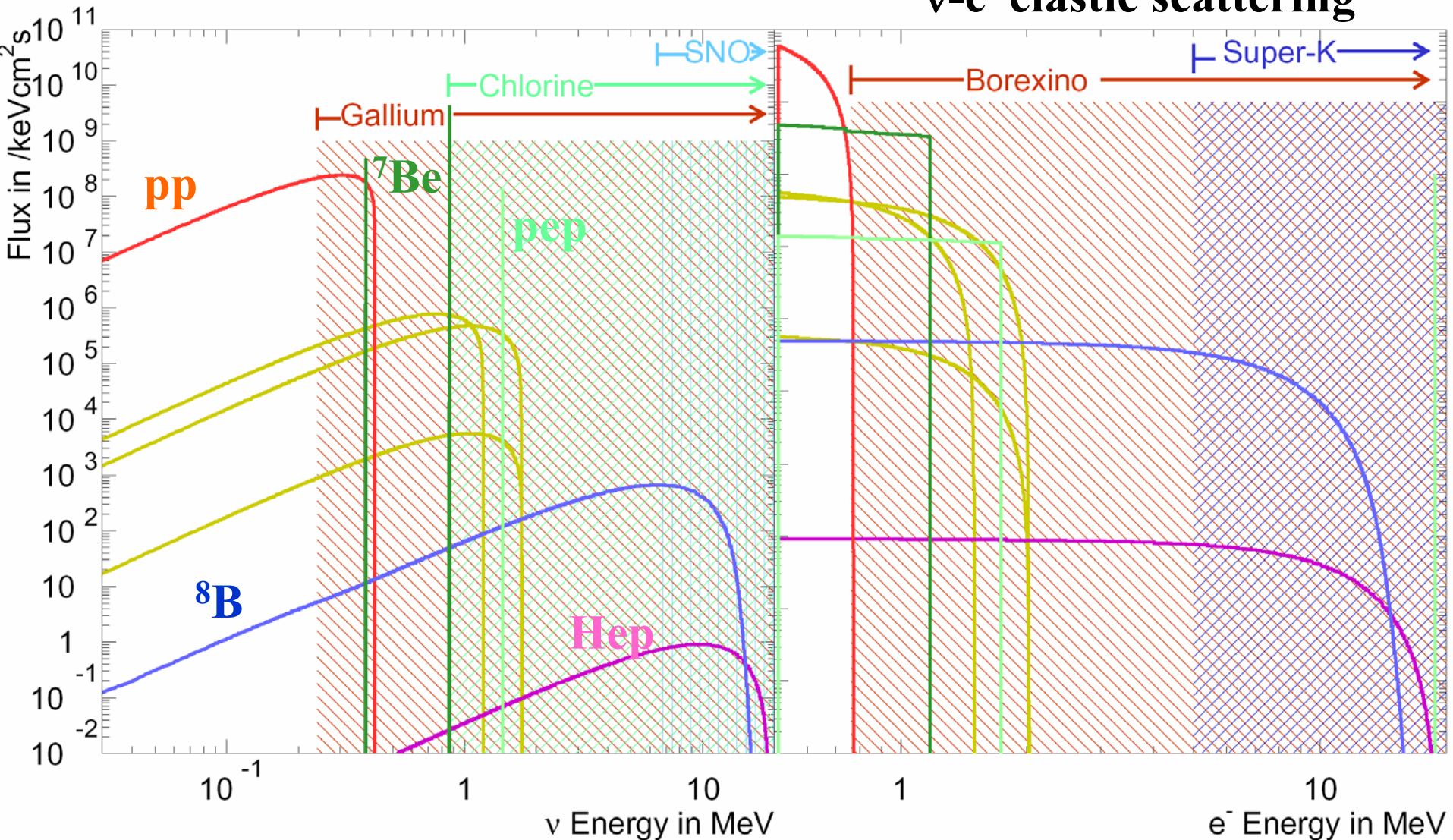
# Solar pp Chain and $\nu$ Detection



# Solar $\nu$ Spectrum

Neutrino Flux

rate seen from  
 $\nu$ - $e^-$  elastic scattering



# Solar v Physics Began Here at BNL...

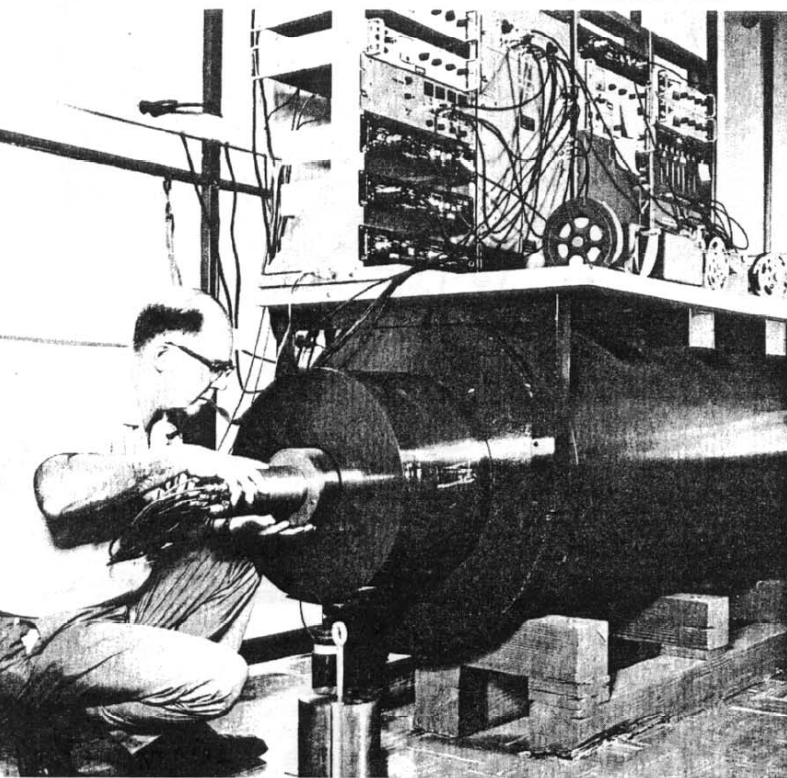
## BULLETIN BOARD

Volume 21, Number 36

Published by the BNL Public Relations Office

September 14, 1967

### Solar Neutrinos Are Counted At Brookhaven



Dr. Ray Davis of Chemistry is shown placing a low level counter in a cut-down navy gun barrel which acts as a shield from stray cosmic radiation. This equipment is used in the Brookhaven Solar

underground tank was less than 2 neutrinos per day. Knowing this plus the efficiency of neutrino capture, allowed Dr. Davis and his group to calculate the flux from the Boron-8 decay to be <sup>less than 12</sup> ~~approximately~~ 60 million solar neutrinos per square inch per second at the earth's surface. Previous calculations had predicted the flux could be anywhere from 40 million to 150 million solar neutrinos per square inch per second at the earth's surface.

Dr. Davis stressed that this was only the first experimental run, and that additional measurements must be made extending over a period of several years.

(more)

*equal to 2 million/cm<sup>2</sup>/sec.  
updated info from R Davis  
on 2/2/68*

SK-III result for  $^8\text{B}$  Flux:

$2.32 \pm 0.04(\text{stat.}) \pm 0.05(\text{syst.}) \times 10^6 / \text{cm}^2 / \text{s}$

(somewhat larger since oscillated solar neutrinos contribute)

# Water-Cherenkov Technique

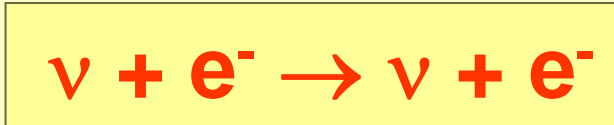
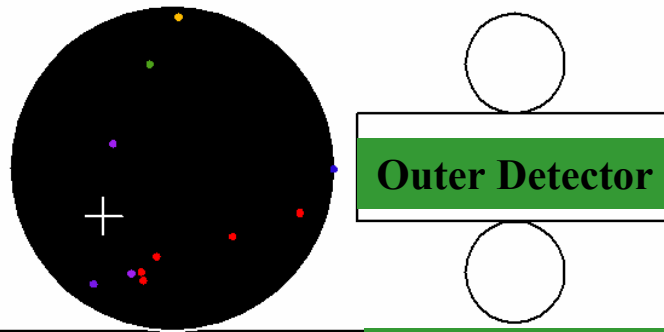
- electron-neutrino elastic scattering:
  - no threshold
  - strongly forward-peaked: recoil electrons point to the source
  - kinematic reconstruction required to measure neutrino energy
- preserve directional signature, but multiple Coulomb scattering prevents kinematic reconstruction
- low light yield implies high threshold ( $\sim 3$  MeV) and large energy resolution ( $\sim 14\%$  at 10 MeV)



# Water Cherenkov Technique

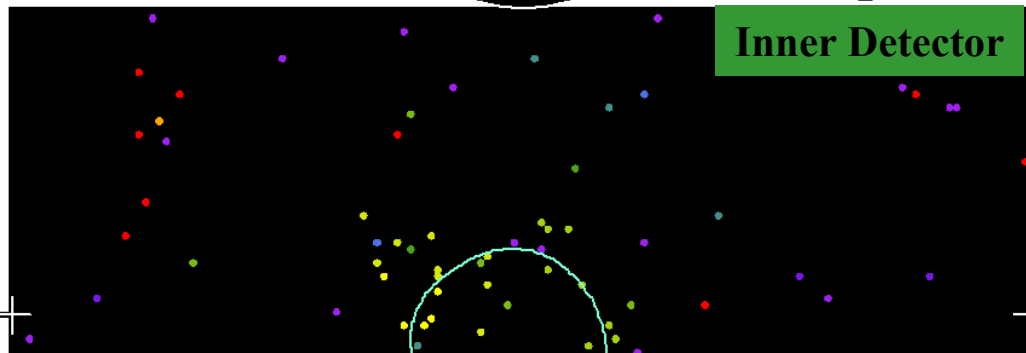
## Super-Kamioke

Run 1742 Event 102496  
 96-05-31:07:13:23  
 Inner: 103 hits, 123 pE  
 Outer: -1 hits, 0 pE (in-time)  
 Trigger ID: 0x03  
 E= 9.086 GDN=0.77 COSSUN= 0.949  
 Solar Neutrino



Time (ns)

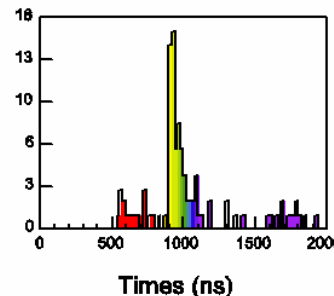
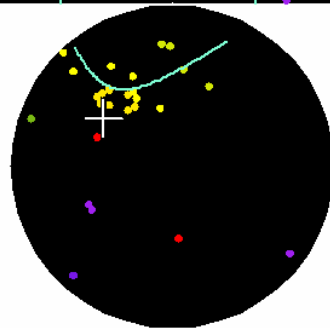
- < 815
- 815- 835
- 835- 855
- 855- 875
- 875- 895
- 895- 915
- 915- 935
- 935- 955
- 955- 975
- 975- 995
- 995-1015
- 1015-1035
- 1035-1055
- 1055-1075
- 1075-1095
- >1095



- Timing information
  - ➔ vertex position
- Ring pattern
  - ➔ direction
- Number of hit PMTs
  - ➔ energy

(color: time)

$E_e = 9.1\text{MeV}$   
 $\cos\theta_{\text{sun}} = 0.95$



~6hit / MeV  
 (SK-I, III, IV)

## Resolutions (for 10MeV electrons)

(software improvement)

Energy: 14%

Vertex: 87cm

Direction: 26° SK-I

Energy: 14%

Vertex: 55cm

Direction: 23° SK-III

# Super-Kamiokande History

inner detector mass: 32kton    fiducial mass: 22.5kton

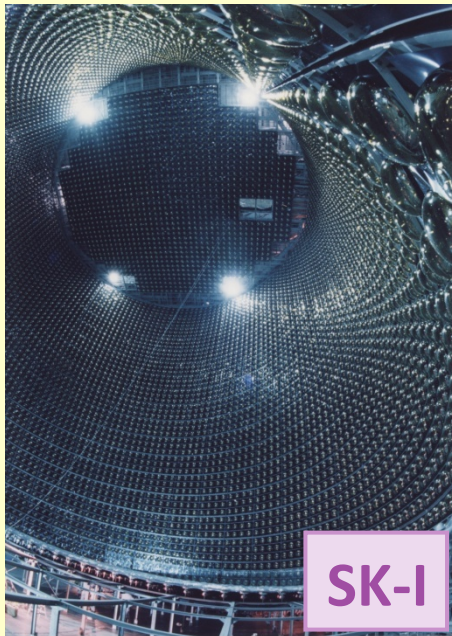
1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009

SK-I

SK-II

SK-III

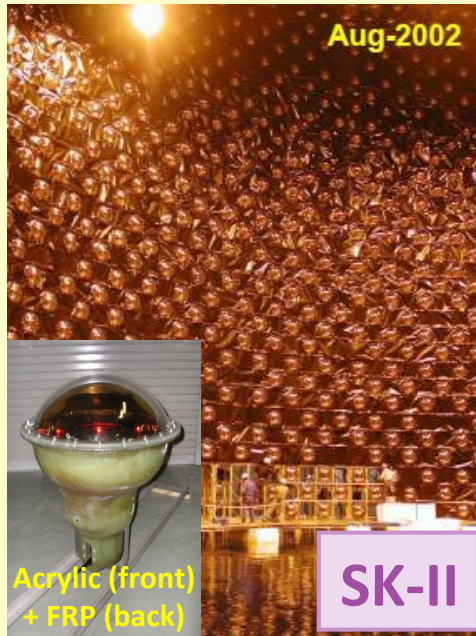
SK-IV



SK-I

11146 ID PMTs  
(40% coverage)

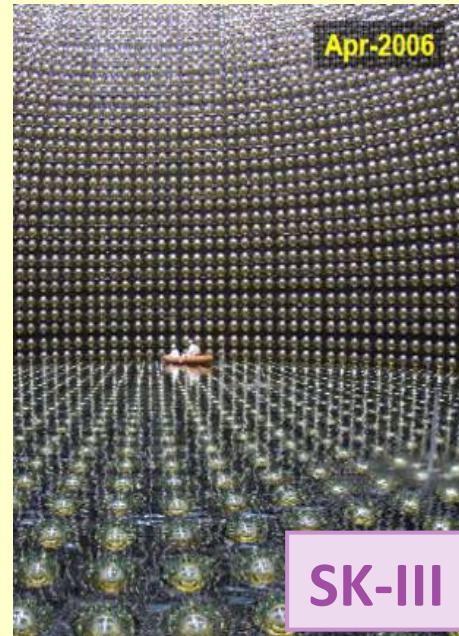
Energy  
Threshold **5.0 MeV**  
(total electron energy)



SK-II

5182 ID PMTs  
(19% coverage)

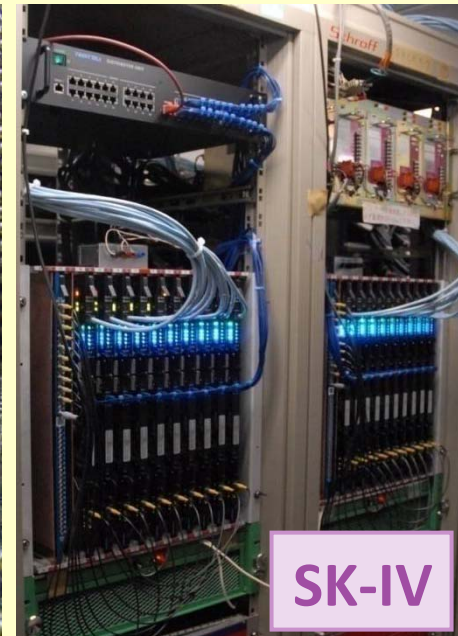
**7.0 MeV**



SK-III

11129 ID PMTs  
(40% coverage)

**4.5 MeV**  
work in progress



SK-IV

Electronics  
Upgrade

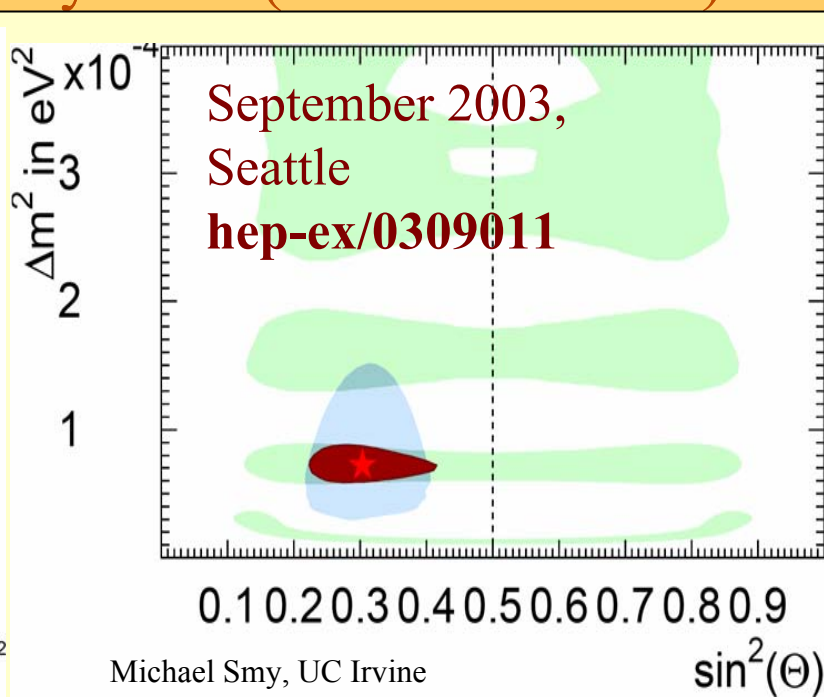
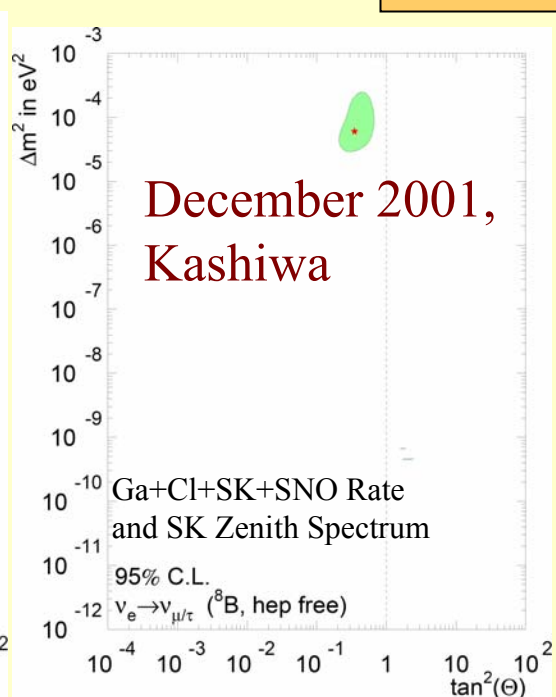
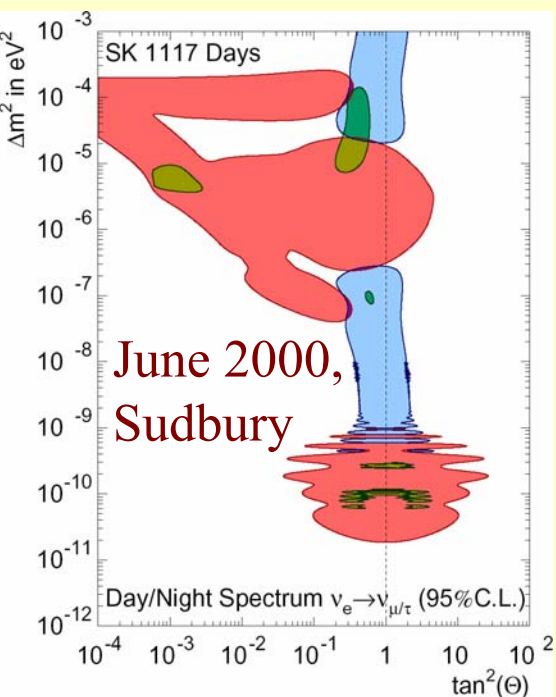
**< 4.0 MeV**  
target

# Impact of SK-I Solar Data on $\nu$ Oscillation

Before Super-Kamiokande-I:      After Super-Kamiokande-I:

- Really Oscillations?
- Active or Sterile Oscillations?
- SMA, VAC, LMA, LOW?

- ✓ Active Oscillation! (June 2000)
- ✓ Large Angle! (June 2000)
- Not VAC, SMA! (June 2000)
- Not LOW! (December 2001)
- LMA-I (September 2003)
- ✓ Really Osc.!! (with SNO: 2001)




# ... but now what?

- after completion of Super-Kamiokande-I and SNO, solar neutrino flavor conversion is well established, parameters are measured and in agreement with reactor neutrino measurements
- however, transition from solar resonance to averaged vacuum oscillation has not been probed; resulting distortion to the observed spectrum so far not confirmed
- modification of conversion by Earth matter effect is unobserved
- better measurement of solar mass splitting  $\Delta m^2_{12}$  desirable to compare to reactor neutrino measurements

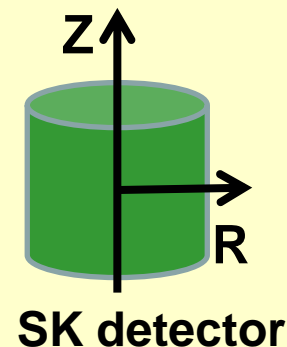
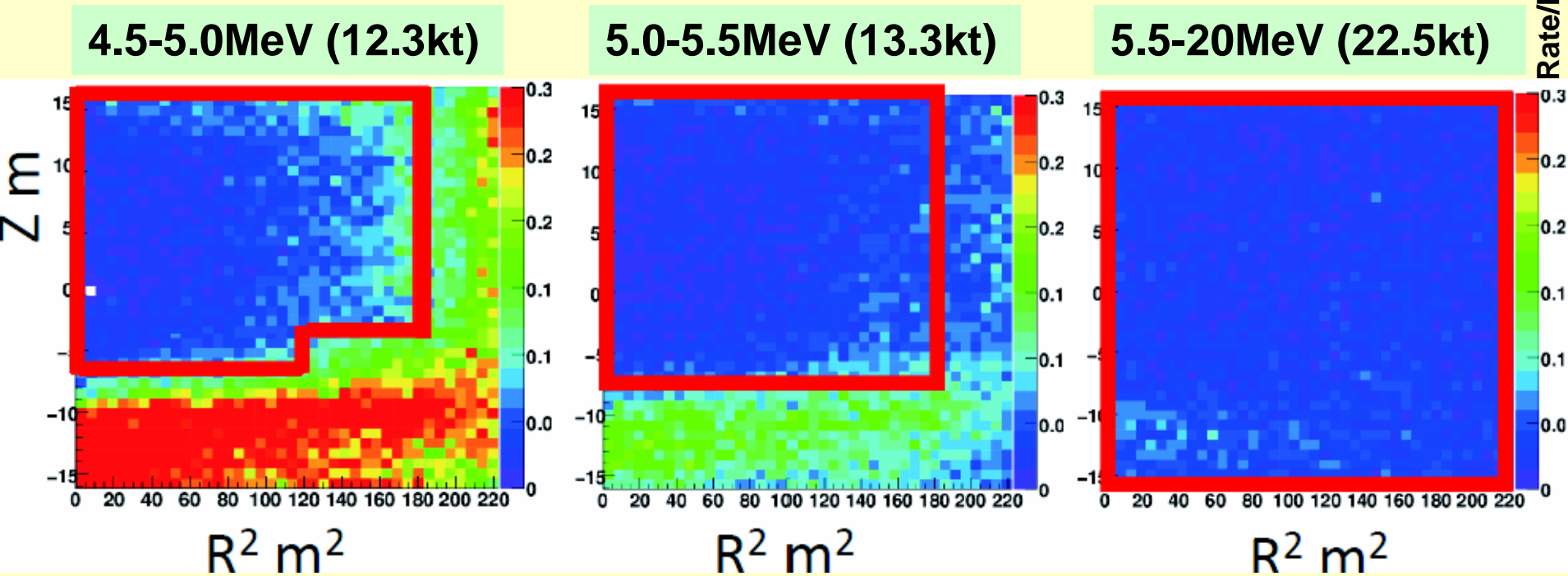
# Super-Kamiokande III

- added PMT enclosures (acrylic front, fiberglass back) lead to higher radioactivity level
- reduce this by software (better event reconstruction)
- reduce background due to dissolved Radon gas by better control of water flow in detector (via injection water temperature; very fickle: need about 0.01 degrees measurements)
- still have a convection cell at the bottom of the detector transporting Radon deep inside

# Vertex distributions in SK-III

 Fiducial volume in SK-III

Final data sample before  
tight fiducial volume cut



- Tight fiducial volume cut is applied in  $E_{\text{total}} < 5.5 \text{ MeV}$  to remove the background events. (probably Rn,  $\gamma$ -rays from detector wall).

# Systematic Uncertainties on Total Flux

	SK-III	SK-I (PRD73,112001)
Energy scale	+/-1.4	+/-1.6
Energy resolution	+/-0.2	
8B spectrum shape	+/-0.2	+1.1/-1.0
Trigger efficiency	+/-0.5	+0.4/-0.3
Vertex shift	+/-0.54	+/-1.3
Reduction	+/-0.65	+2.1/-1.6
Small cluster hits cut	+/-0.5	
Spallation cut	+/-0.2	+/-0.2
External event cut	+/-0.25	+/-0.5
Background shape	+/-0.1	+/-0.1
Angular resolution	+/-0.67	+/-1.2
Signal extraction method	+/-0.7	
Cross section	+/-0.5	+/-0.5
Live time calculation	+/-0.1	+/-0.1
<b>Total</b>	<b>+/-2.1</b>	<b>+3.5/-3.2%</b>

**Energy region:**

**$E_{\text{total}}=5.0-20.0\text{MeV}$**

**The systematic error on total flux of SK-III is reduced by precise calibrations and software improvements**

# SK-III solar neutrino results

- Total live time : 548 days,  $E_{\text{total}} \geq 6.5 \text{ MeV}$   
289 days,  $E_{\text{total}} < 6.5 \text{ MeV}$
- Energy region:  $E_{\text{total}} = 5.0 - 20.0 \text{ MeV}$
- $^8\text{B}$  Flux:  $2.32 \pm 0.04(\text{stat.}) \pm 0.05(\text{syst.})$  ( $\times 10^6/\text{cm}^2/\text{s}$ )
  - SK-I:  $2.38 \pm 0.02(\text{stat.}) \pm 0.08(\text{syst.})$
  - SK-II:  $2.41 \pm 0.05(\text{stat.}) + 0.16 / -0.15(\text{syst.})$(SK-I,II are recalculated with the Winter06  $^8\text{B}$  spectrum)

- Day / Night ratio:

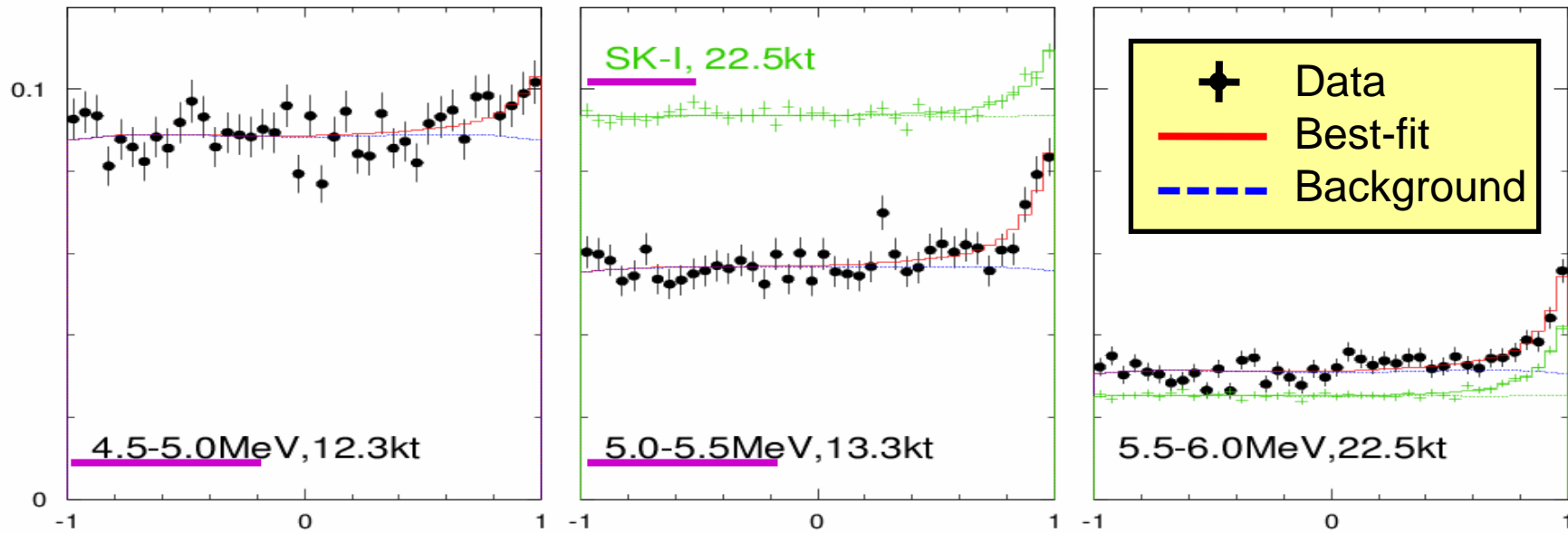
$$A_{DN} = \frac{(\Phi_{\text{Day}} - \Phi_{\text{Night}})}{(\Phi_{\text{Day}} + \Phi_{\text{Night}}) / 2} = -0.056 \pm 0.031(\text{stat.}) \pm 0.013(\text{syst.})$$

- SK-I:  $-0.021 \pm 0.020(\text{stat}) \pm 0.013(\text{syst.})$
- SK-II:  $-0.063 \pm 0.042(\text{stat}) \pm 0.037(\text{syst.})$

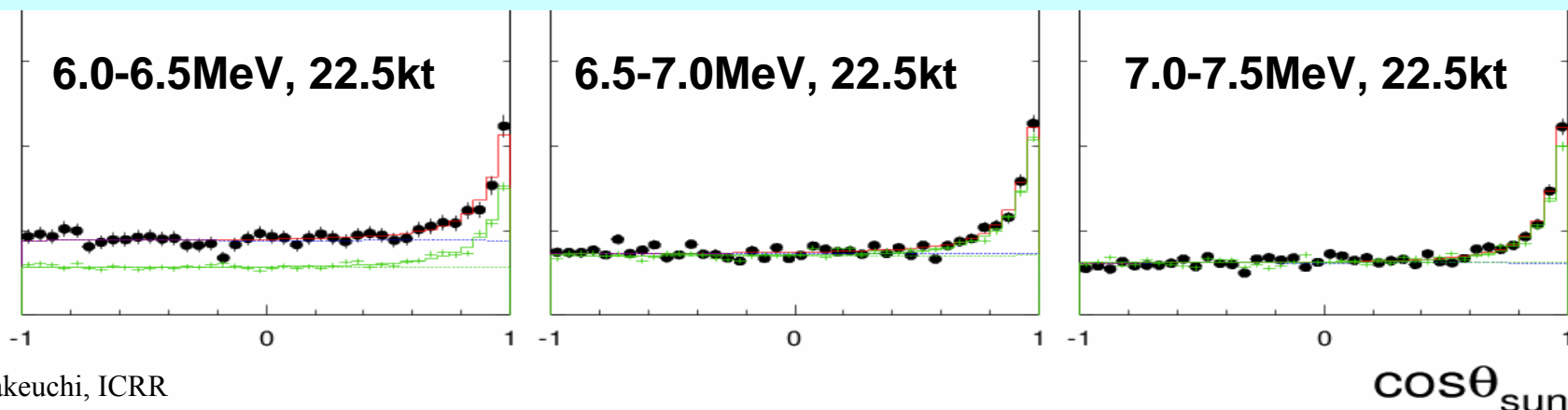


# Angular distributions in SK-III

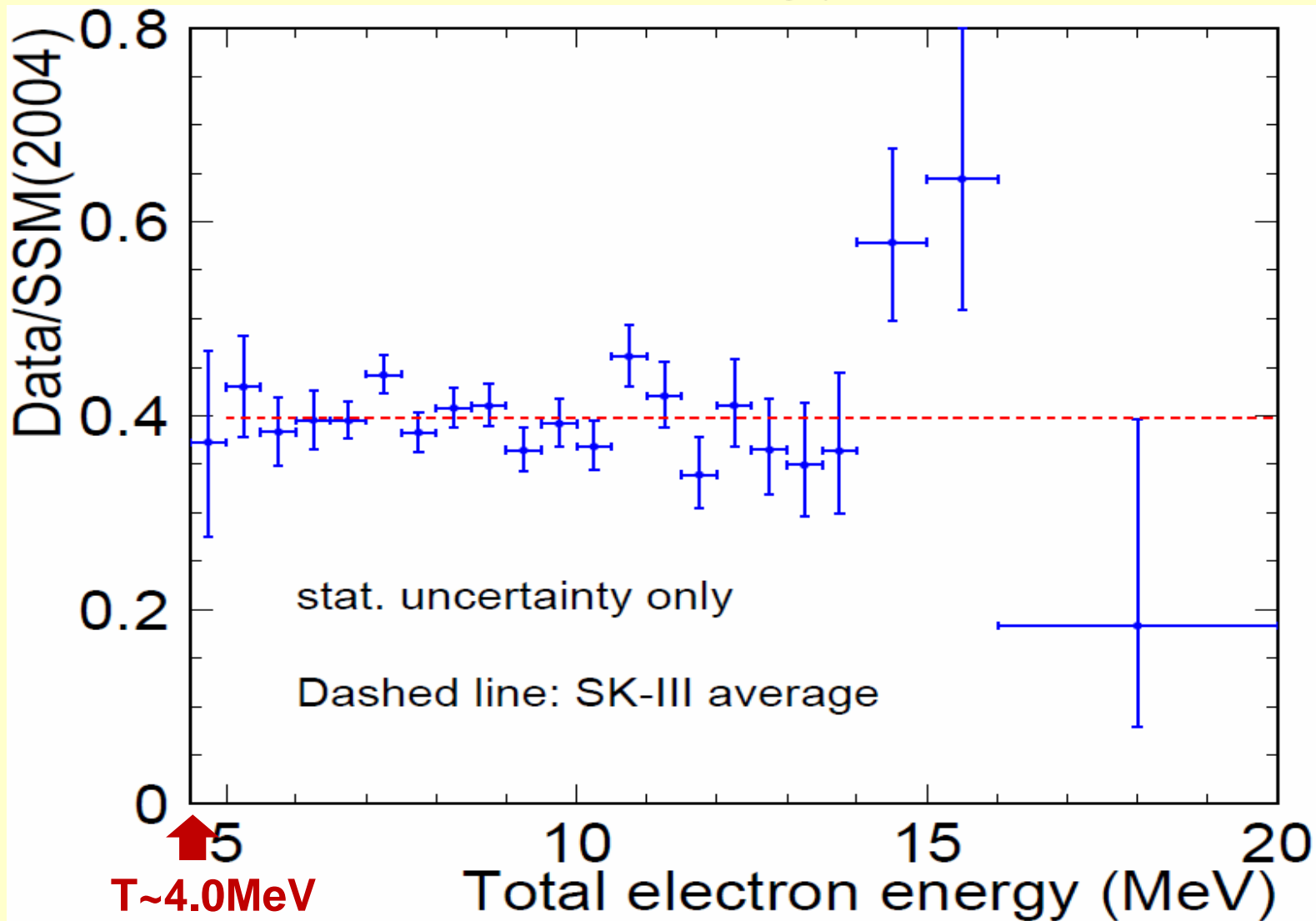
Event/day/kton



- Angular resolution in SK-III is better
- In  $E_{\text{total}}=5.0-5.5\text{MeV}$ , SK-III has better Signal to Noise ratio.
- BG level in 4.5-5.0MeV region is similar as that in 5.0-5.5MeV of SK-I



# SK-III $^8\text{B}$ energy spectrum



■ Consistent with no distortion

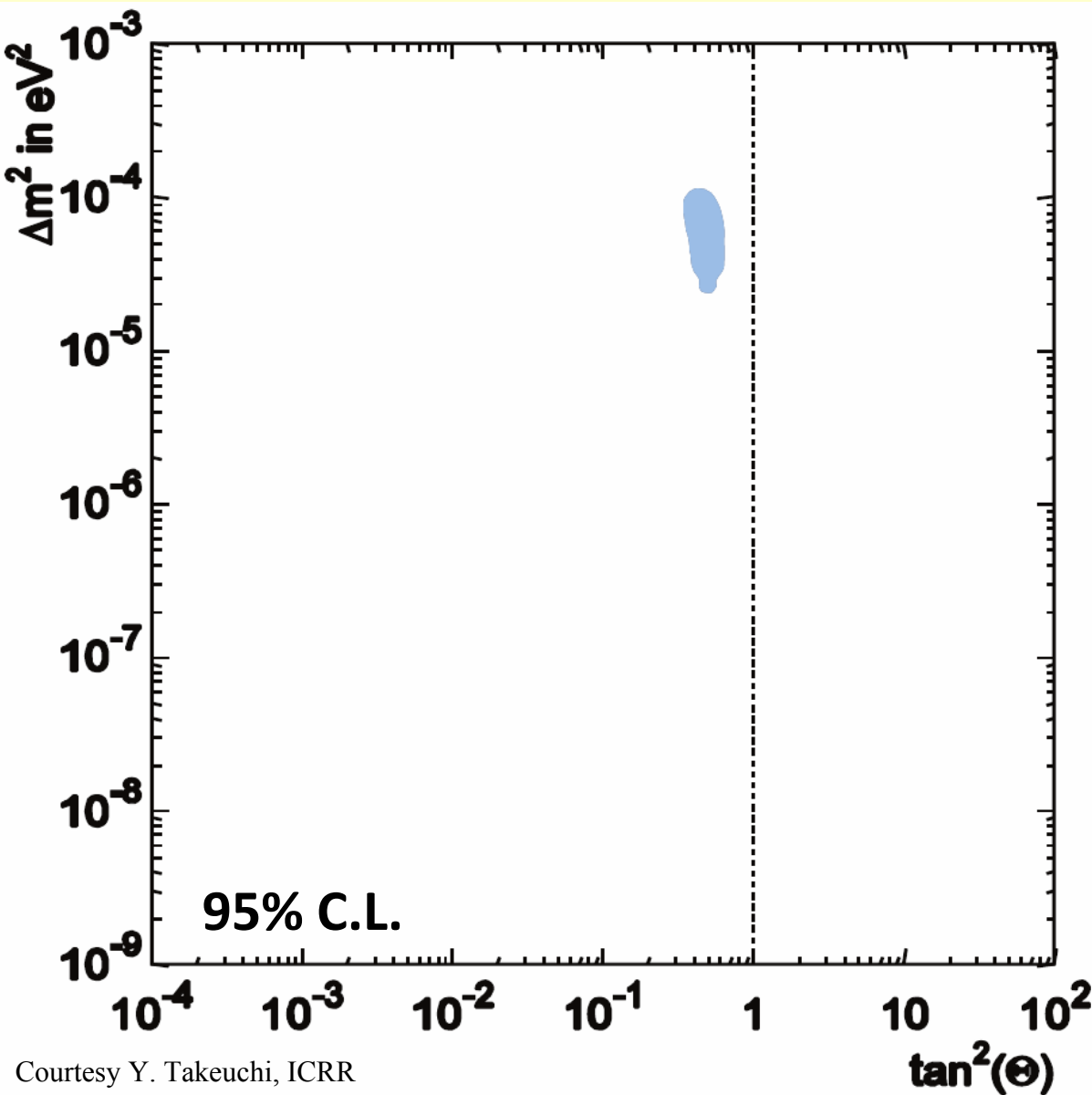
■  $E_{\text{total}}=4.5\text{-}5.0\text{MeV}$  data isn't used in the oscillation analysis.

# Data set for oscillation analysis

- SK
  - SK-I 1496 days, spectrum 5.0-20MeV + D/N :  $E \geq 5.0\text{MeV}$
  - SK-II 791 days, spectrum 7.0-20MeV + D/N :  $E \geq 7.5\text{MeV}$
  - SK-III 548 days, spectrum 5.0-20.0MeV + D/N :  $E \geq 5.0\text{MeV}$
- SNO
  - CC flux (Phase-I & II & III)
  - NC flux (Phase-III & LETA combined) ( $= (5.14 \pm 0.21) 10^6 \text{cm}^{-2}\text{s}^{-1}$ )
  - Day/Night asymmetry (Phase-I & II)
- Radiochemical : Cl, Ga
  - Ga rate:  $66.1 \pm 3.1$  SNU (All Ga global) (PRC80, 015807(2009))
  - Cl rate:  $2.56 \pm 0.23$  (Astrophys. J. 496 (1998) 505)
- Borexino
  - $^7\text{Be}$  rate:  $48 \pm 4$  cpd/100tons (PRL101, 091302(2008))
- KamLAND : 2008
- $^8\text{B}$  spectrum : Winter(2006)

updates since our previous oscillation analysis (PRD78,032002(2008))

# 2-flavor SK-I/II/III with flux constraint



$$\text{Min } \chi^2 = 48.8$$

$$\Delta m^2 = 6.1 \times 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta = 0.48$$

$$\Phi_{B8} = 0.89 \times \Phi_{B8,SSM}$$

B8 rate is constrained by  
SNO(NCD+LETA) NC flux  
 $= (5.14 \pm 0.21) 10^6 \text{ cm}^{-2} \text{ s}^{-1}$

*hep* rate is constrained by  
SSM flux and  
uncertainty(16%).

**Only LMA solution**

# Two-Flavor Global Analysis

$$\text{Min } \chi^2 = 53.7$$

$$\Delta m^2 = 6.0 \times 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{12} = 0.31$$

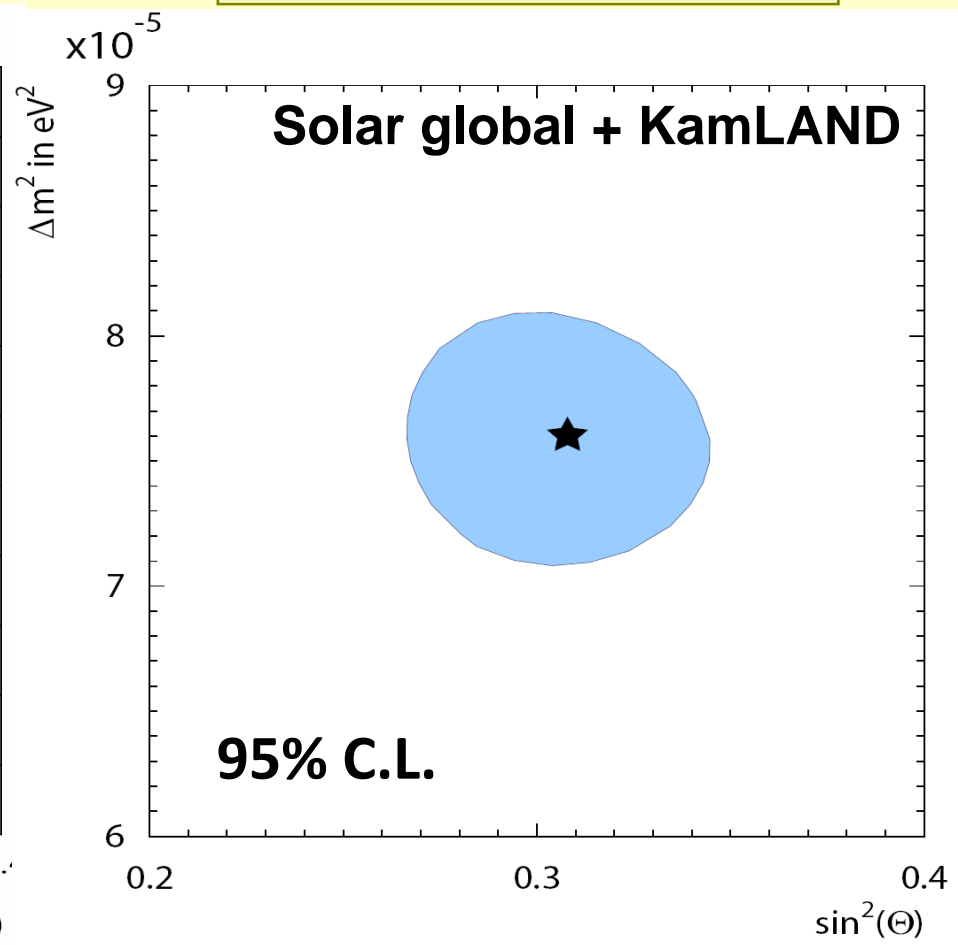
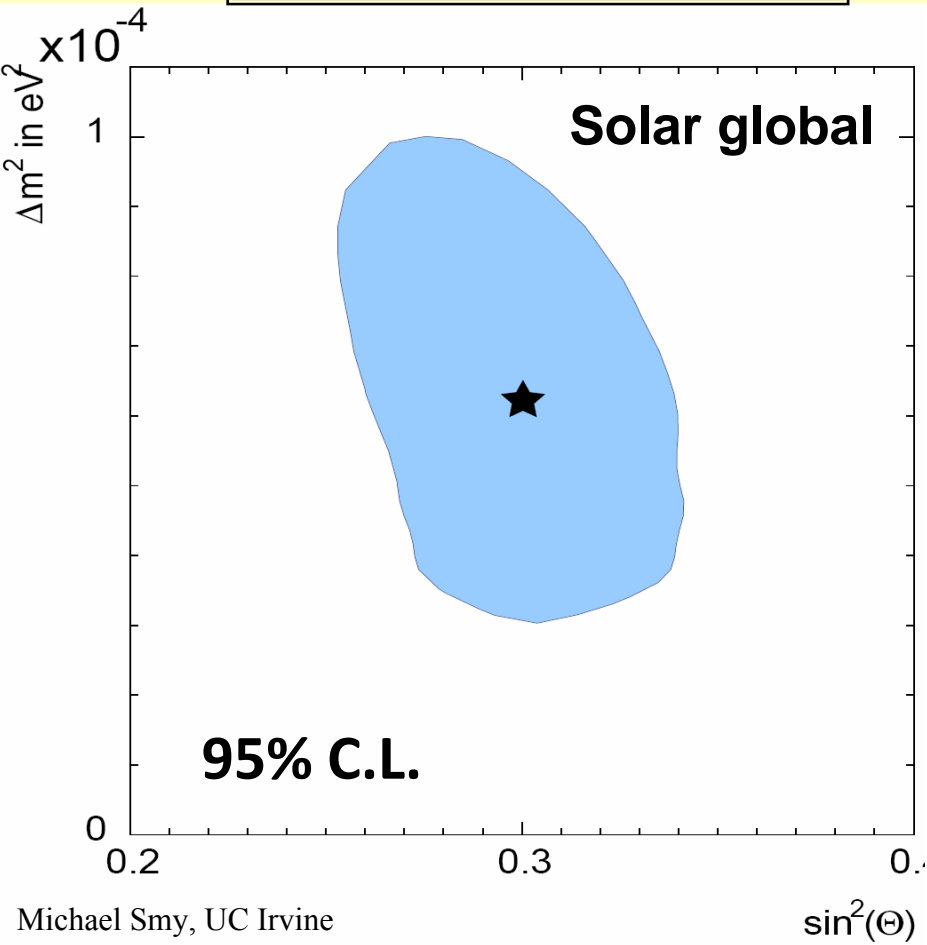
$$\Phi_{B8} = 0.92 \times \Phi_{B8,SSM}$$

$$\text{Min } \chi^2 = 57.7$$

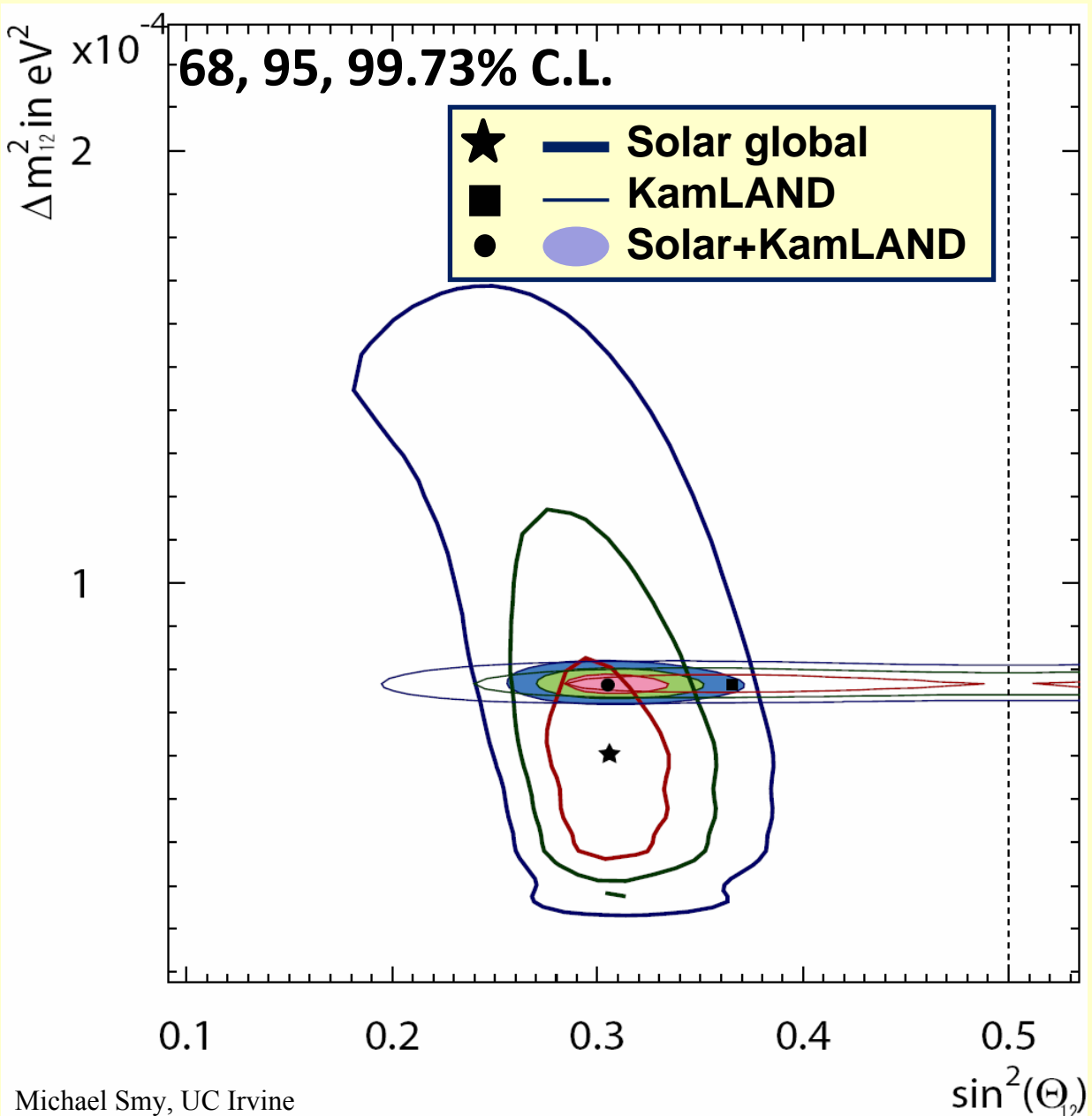
$$\Delta m^2 = 7.7 \times 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{12} = 0.31$$

$$\Phi_{B8} = 0.89 \times \Phi_{B8,SSM}$$



# Three-Flavor analysis: $\theta_{12} - \Delta m_{12}^2$



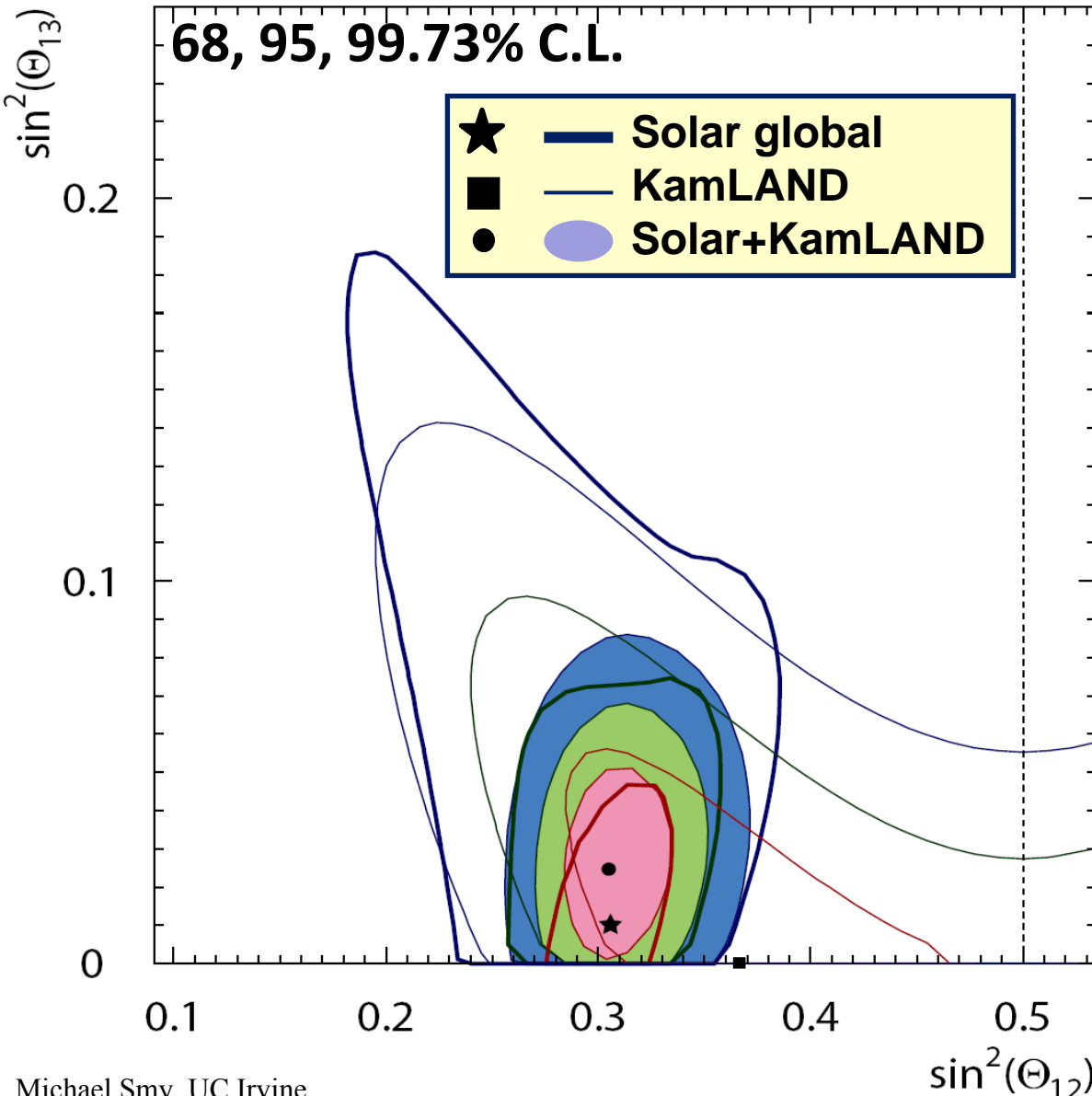
## Solar global:

Min  $\chi^2 = 52.8$   
 $\Delta m^2 = 6.0 \times 10^{-5} eV^2$   
 $\sin^2 \theta_{12} = 0.31$   
 $\sin^2 \theta_{13} = 0.010$   
 $\Phi_{B8} = 0.92 \times \Phi_{B8,SSM}$

## Solar global + KamLAND:

Min  $\chi^2 = 71.2$   
 $\Delta m^2 = 7.7 \times 10^{-5} eV^2$   
 $\sin^2 \theta_{12} = 0.31$   
 $\sin^2 \theta_{13} = 0.025$   
 $\Phi_{B8} = 0.91 \times \Phi_{B8,SSM}$

# Three-Flavor Analysis: $\theta_{12} - \theta_{13}$



Solar global:

$$\sin^2\theta_{13} < 0.060$$

@95% C.L.

Solar global + KamLAND:

$$\sin^2\theta_{13} = 0.025^{+0.018}_{-0.016}$$

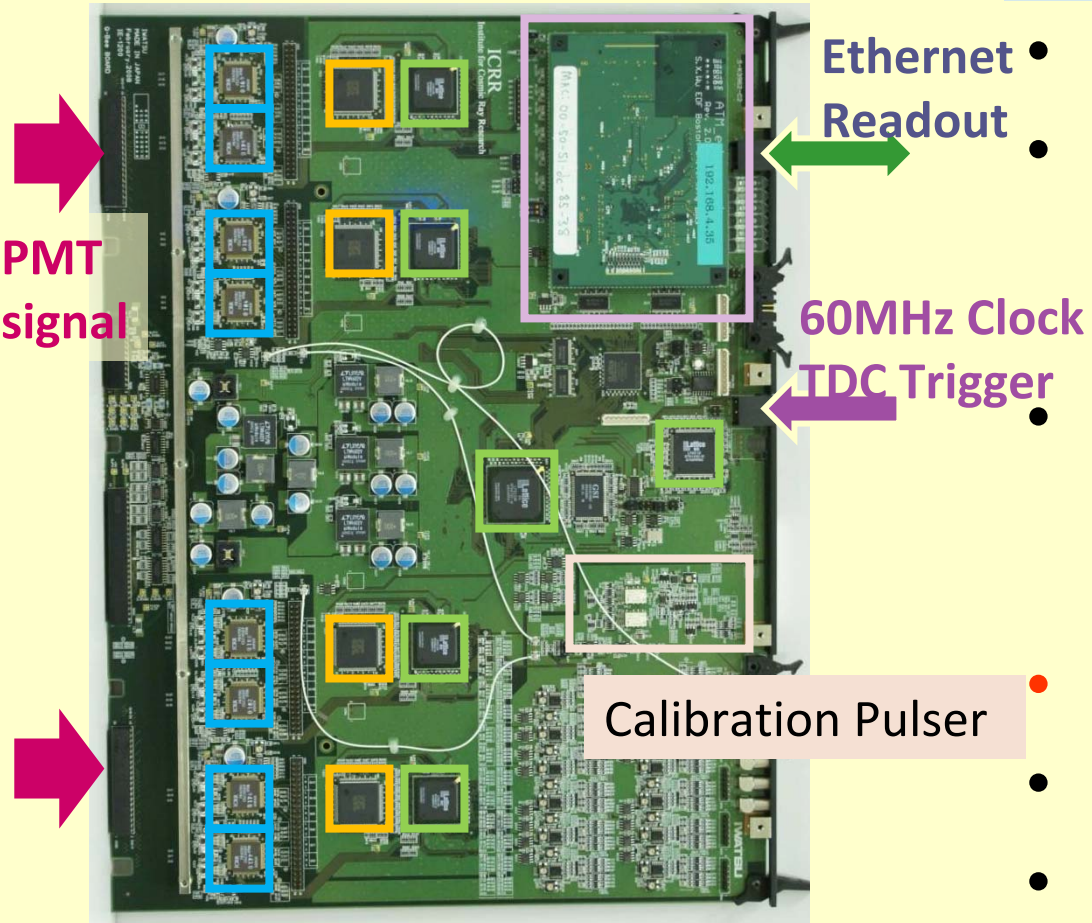
(<0.059 @95% C.L.)

Cf. PRC81, 055504 (2010)  
 $\sin^2\theta_{13} = 0.020^{+0.021}_{-0.016}$   
(<0.057 @95% C.L.)

# SK-IV's new DAQ: QBEE replaces ATM

## QTC-Based Electronics with Ethernet (QBEE)

Network Interface Card



PMT signal

Ethernet Readout

60MHz Clock  
TDC Trigger

Calibration Pulser

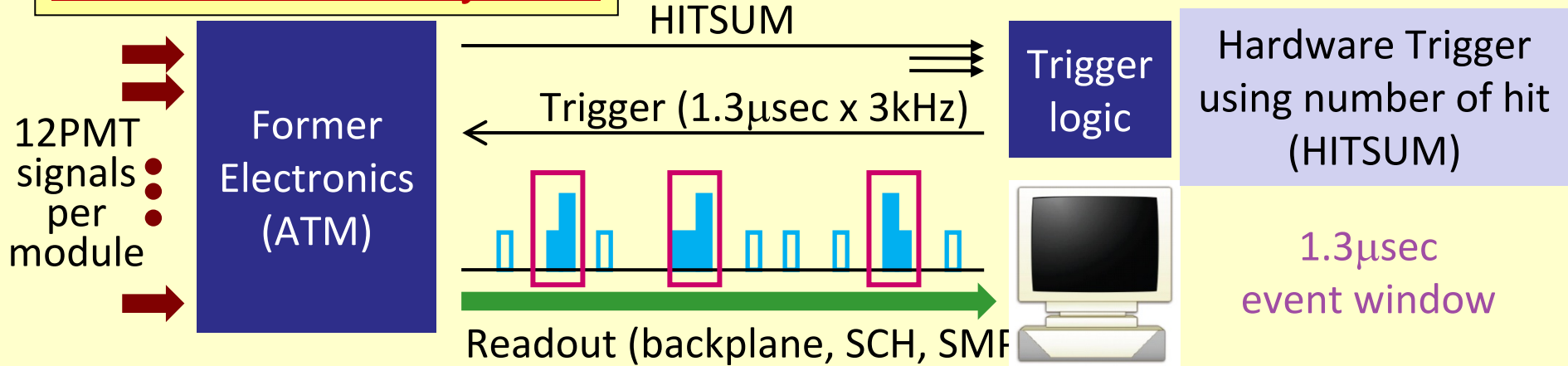
QTC    TDC    FPGA

- 24 channel input
- QTC (custom ASIC)
  - three gain stages
  - wider (5x!) dynamic range
- Pipe line processing
  - multi-hit TDC (AMT3)
  - FPGA
- Ethernet Readout
- 60MHz common clock
- Internal calibration pulser
- Low (<1W/ch!) power



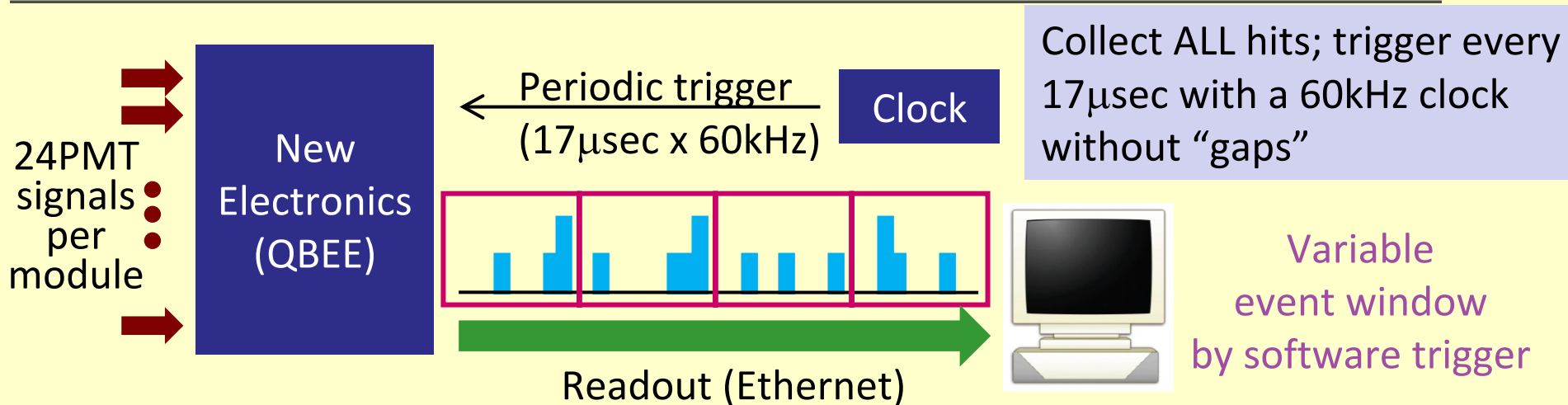
# Difference in Readout System

## Former readout system



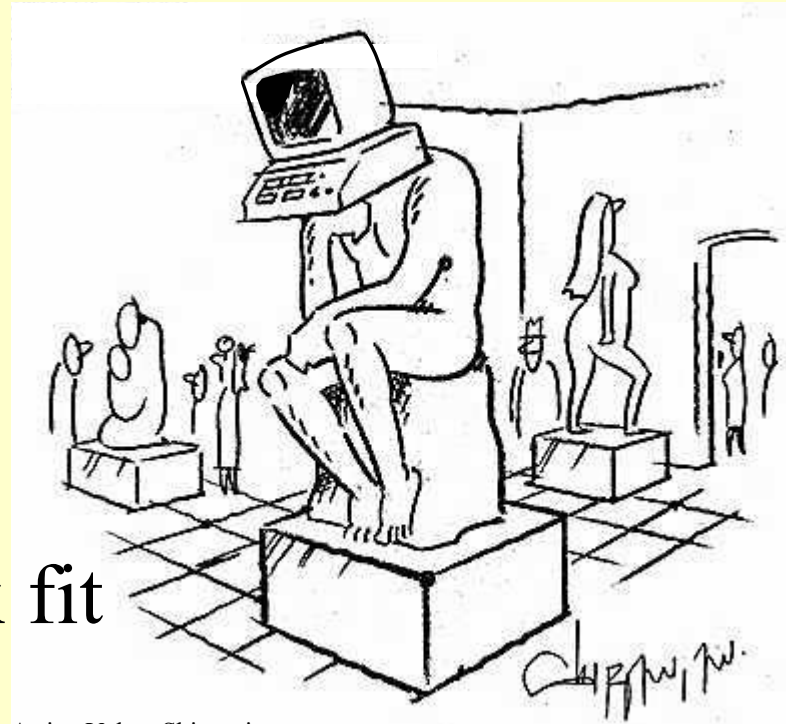
## New readout system

No hardware trigger. All hits are read out. Apply software trigger.



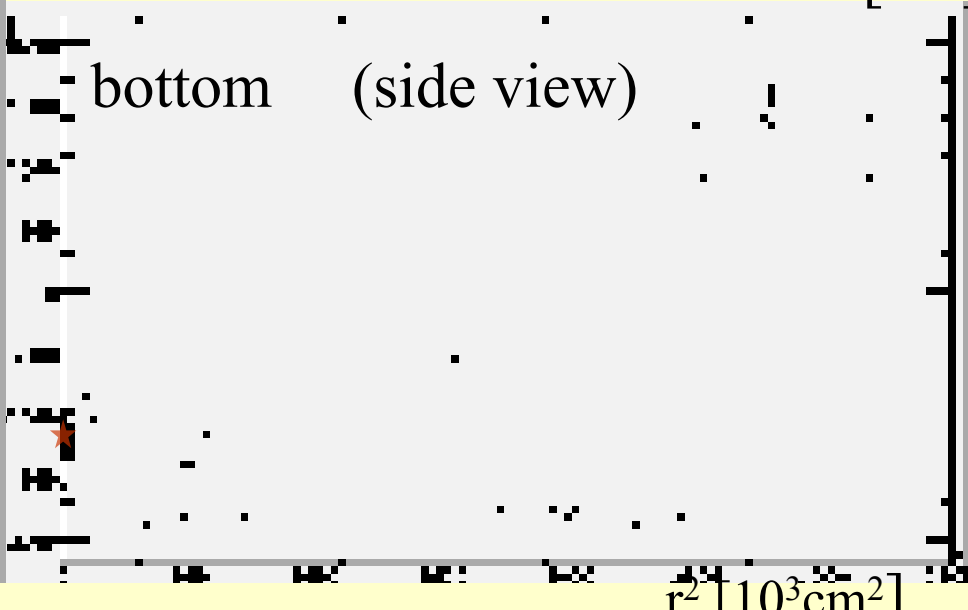
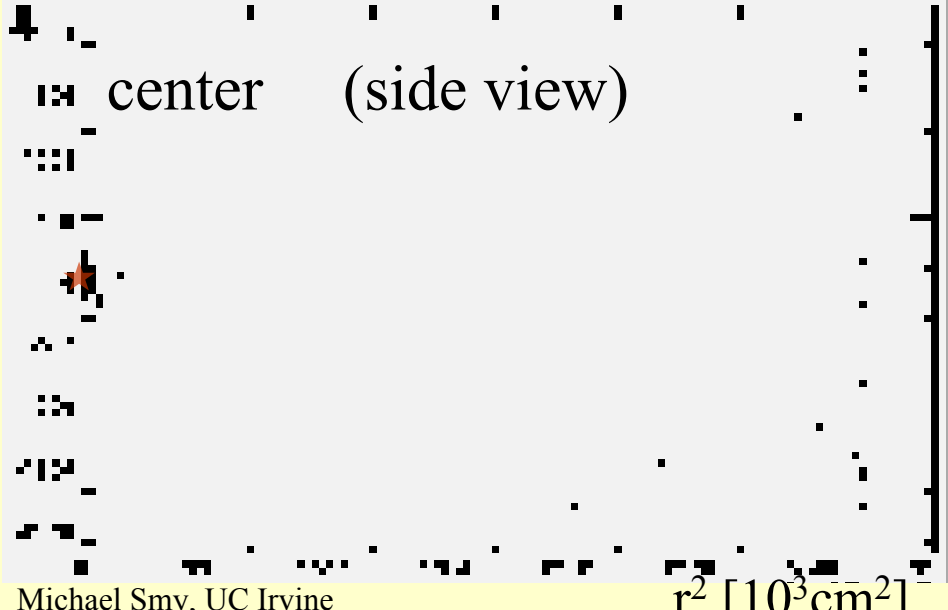
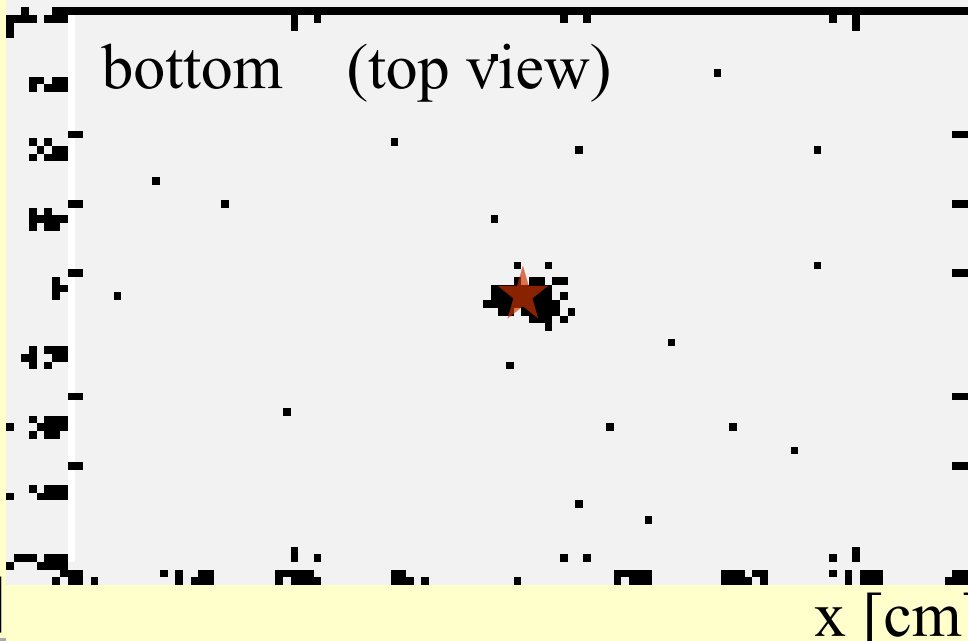
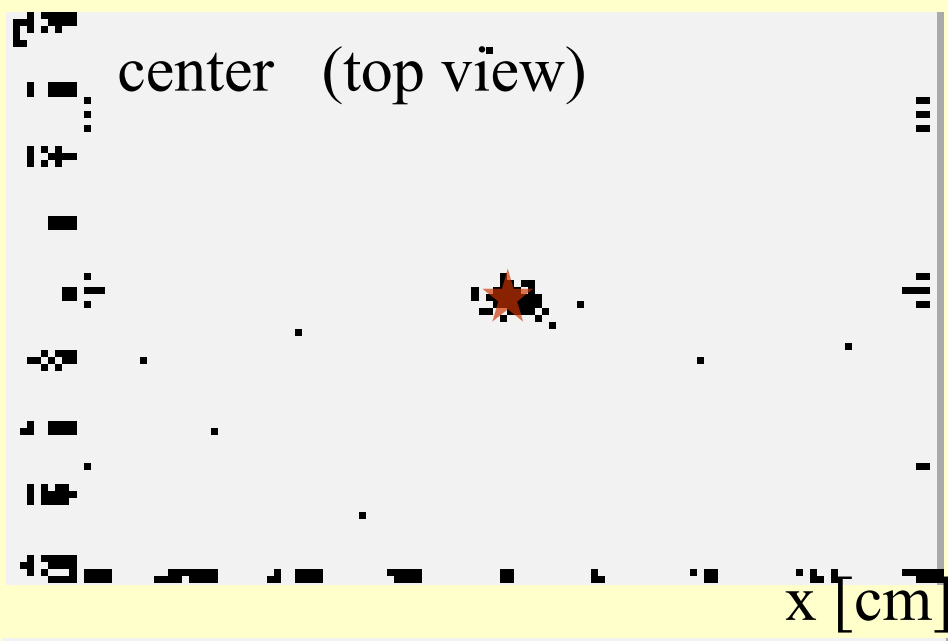
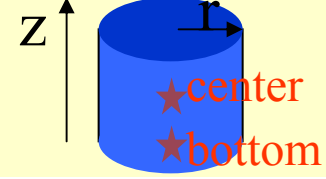
# Wideband Intelligent Trigger

- I. online conversion of ADC/ TDC to times/charges
- II. sort hits by time
- III. pre-filter based on  $N_{230}$  (# of hits within 230ns)
- IV. **S**oftware **T**riggered **O**nline **R**econstruction of **E**vents:  
coincidence after time-of-flight subtraction using vertices from selected four-hit combinations
- V. fast vertex fit
- VI. if fiducial, precision vertex fit
- VII. if fiducial, save event



Artist: Vahan Shirvanian

# Test with Ni-Cf $\gamma$ Source



# SK IV Low Energy Trigger

- so far, WIT is not running yet
- just emulate previous hardware trigger with larger trigger rate
- use same CPUs as the high energy trigger
- ~100% efficient at 4.5 MeV total energy

# New Ideas for Analysis

- from now on, I'm showing work I did with my student Andrew Renshaw
- not official results approved by SK collaboration unless specifically indicated

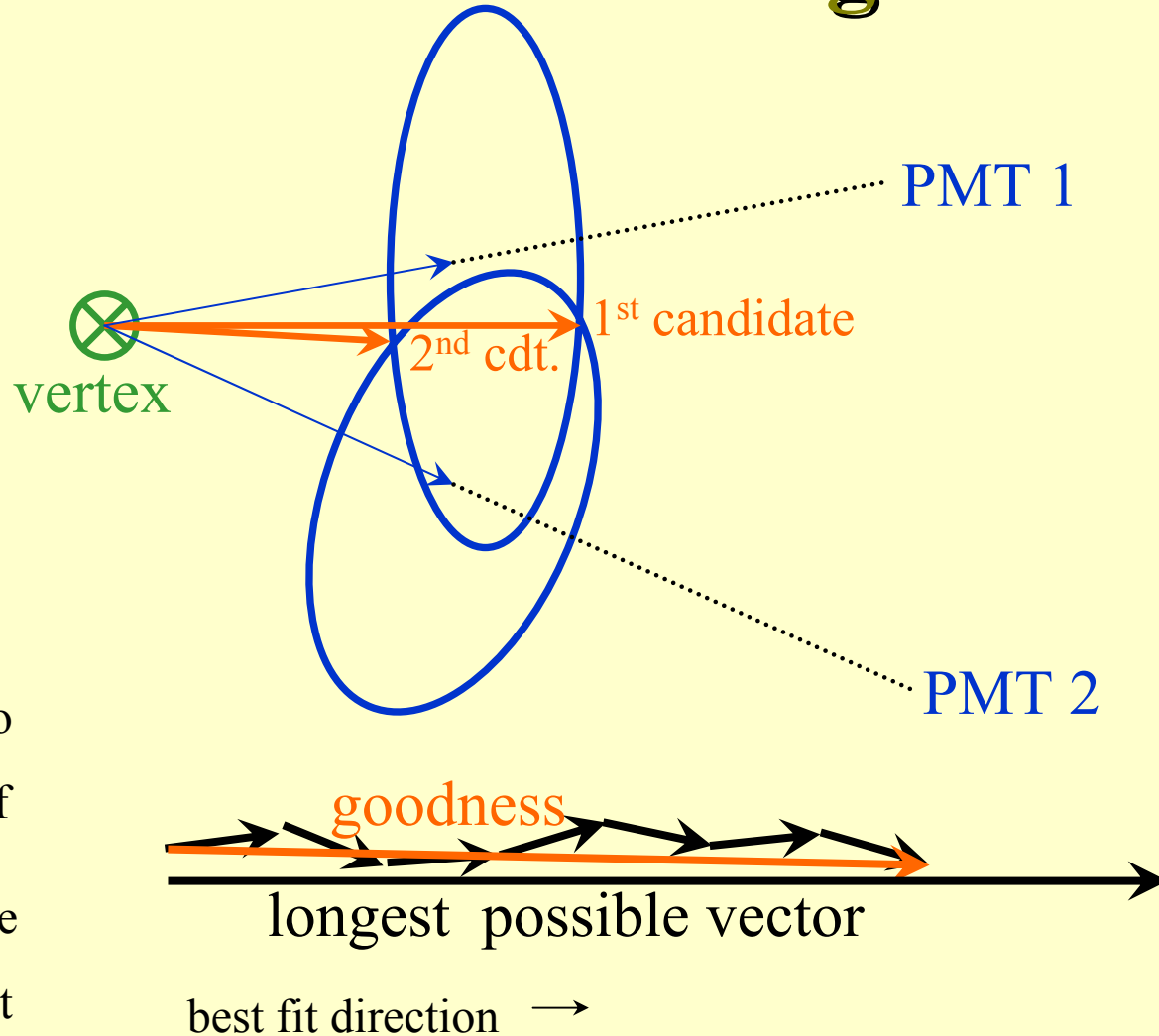
# How to Reduce Radon Background?

- Radon decays to  $^{214}\text{Bi}$  which  $\beta$  decays
- real electrons  $< 3.1\text{ MeV}$  fluctuating in light yield up to  $6.5\text{ MeV}$  equivalent
- however, multiple Coulomb scattering is still that of  $\sim 2$  to  $3\text{ MeV}$  electrons, so events should be somewhat more isotropic than  $5\text{ MeV}$  solar neutrinos

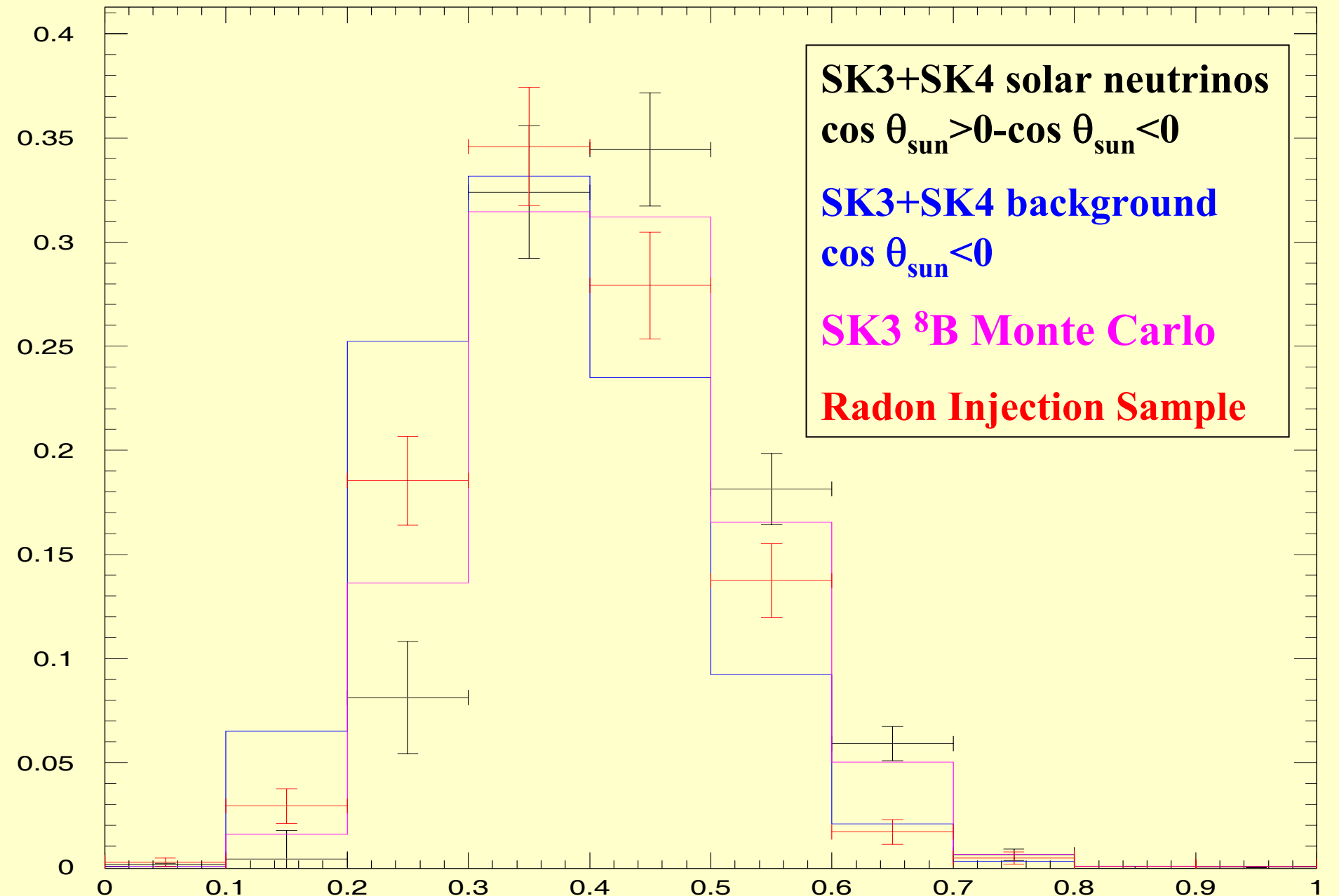
# Reconstructing Amount of Multiple Coulomb Scattering

Hough transformation for PMT pairs:

1. assign a unit direction vector to each PMT hit
2. Draw cone around each vector with the Cherenkov angle as the opening angle
3. the cone intersections are candidates for particle direction: each pair typically contributes two
4. define “goodness” as the length of the vector sum of all candidates within a maximum deviation angle
5. normalize goodness by the longest possible vector sum

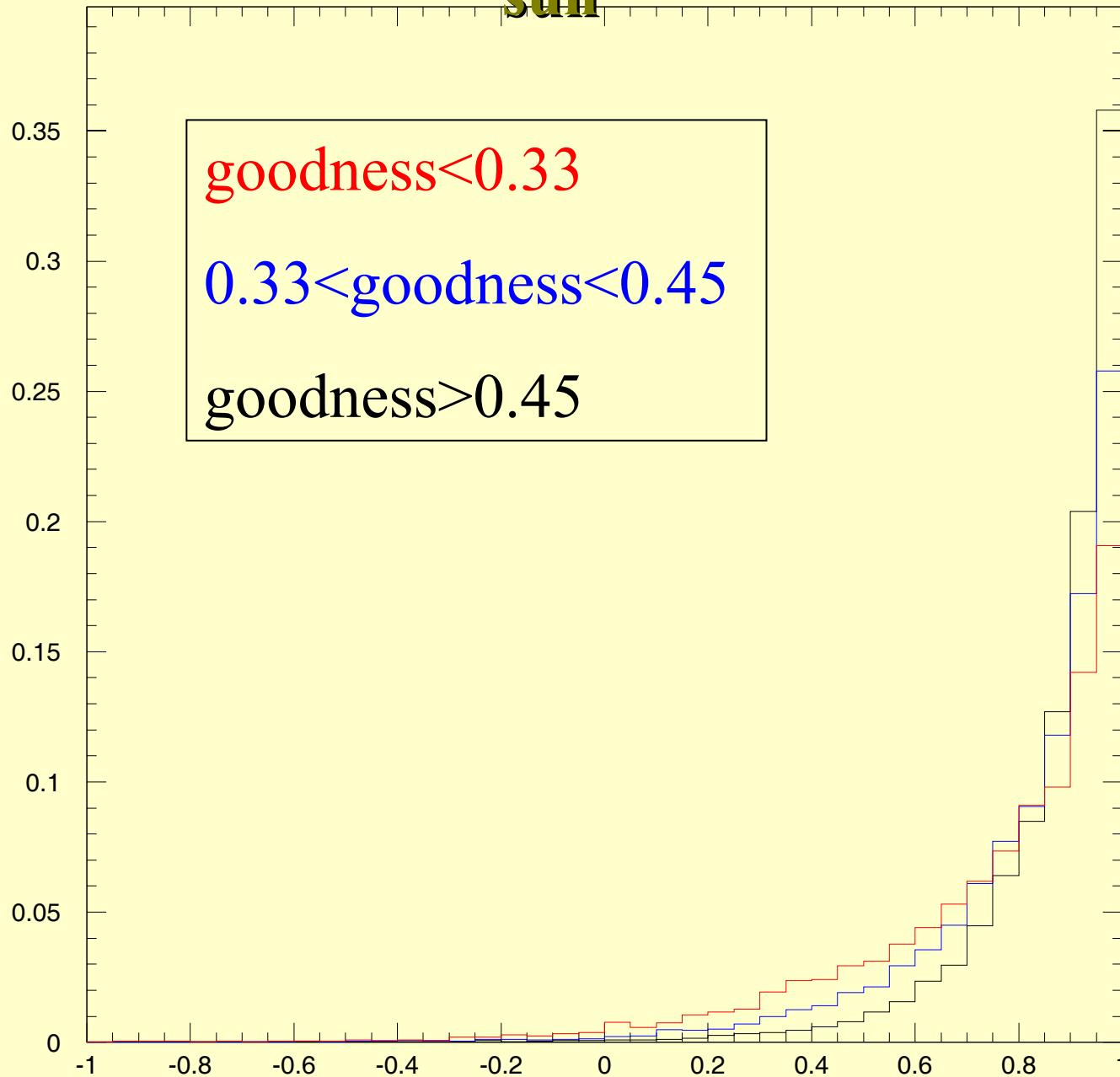


# Goodness Distribution $4\text{MeV} < E < 6.5\text{MeV}$





# “ $\cos \theta_{\text{sun}}$ ” for LINAC Data

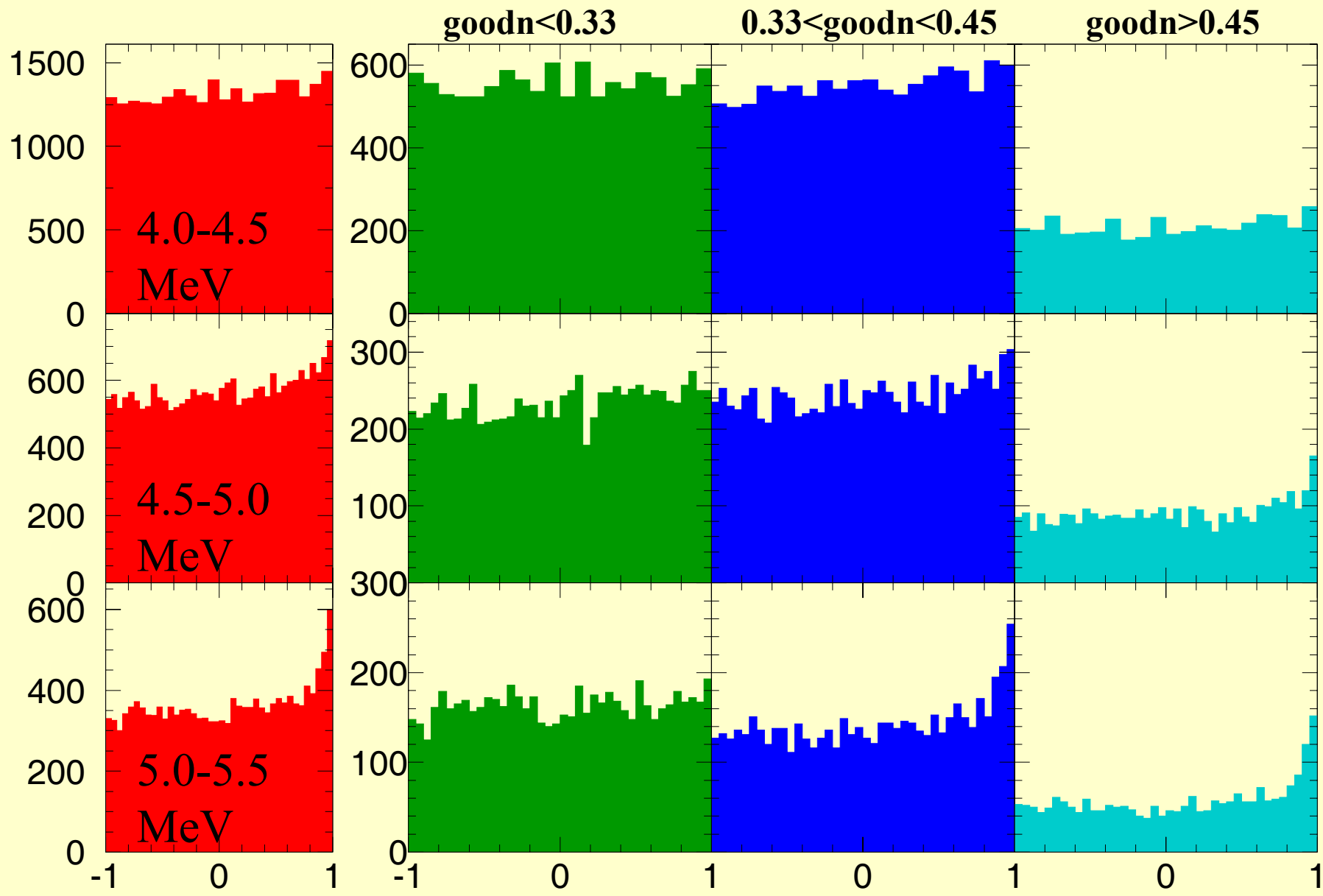


defined as the angle between  $-z$  (beam direction) and reconstructed direction

4.8 MeV Linac Data taken at  $(-3.9, -0.1, 0)\text{m}$

The “ES peak” sharpens in regions of higher goodness (true for all LINAC momenta at all positions)

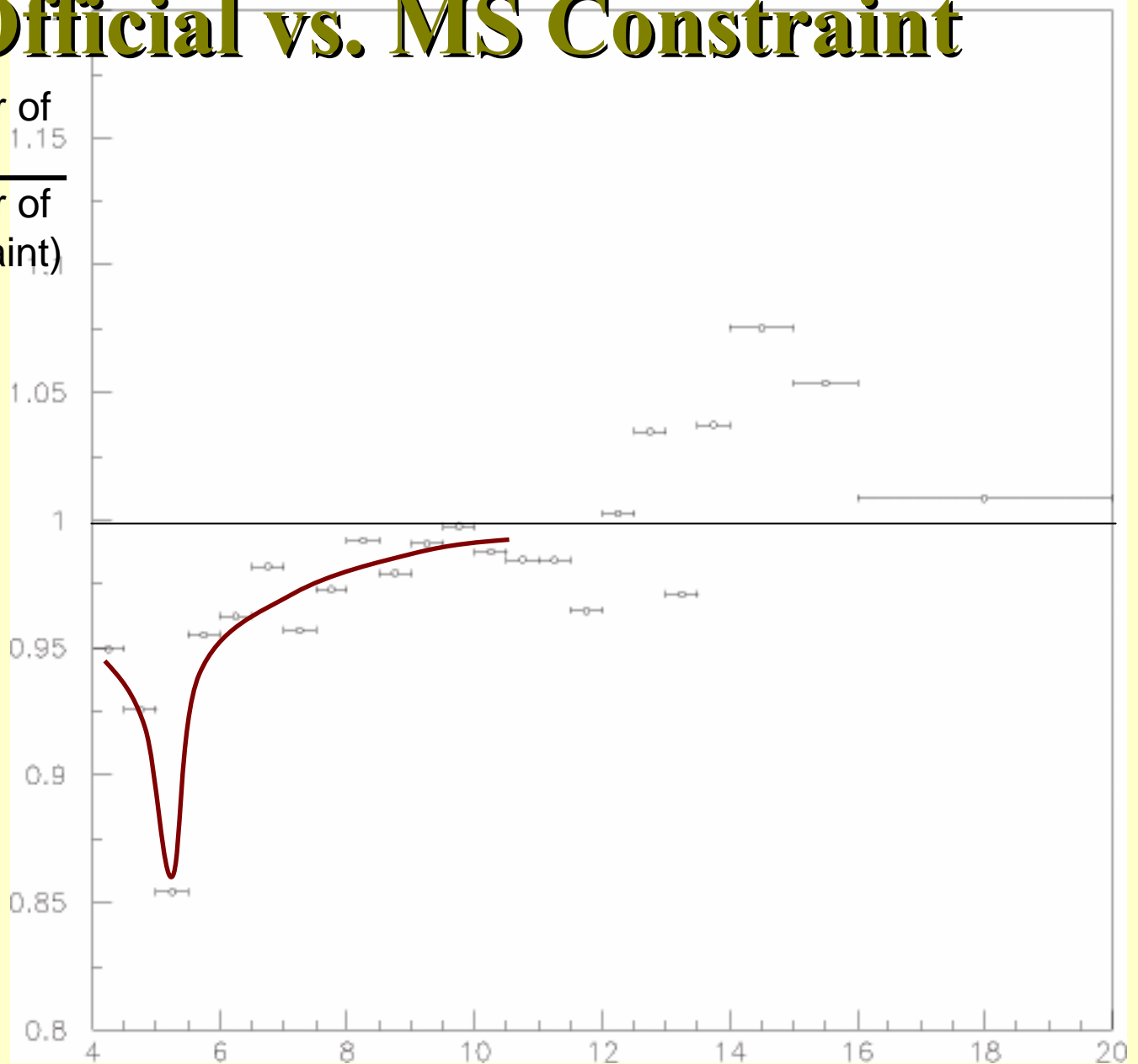
# SK IV $\cos \theta_{\text{sun}}$ Distributions



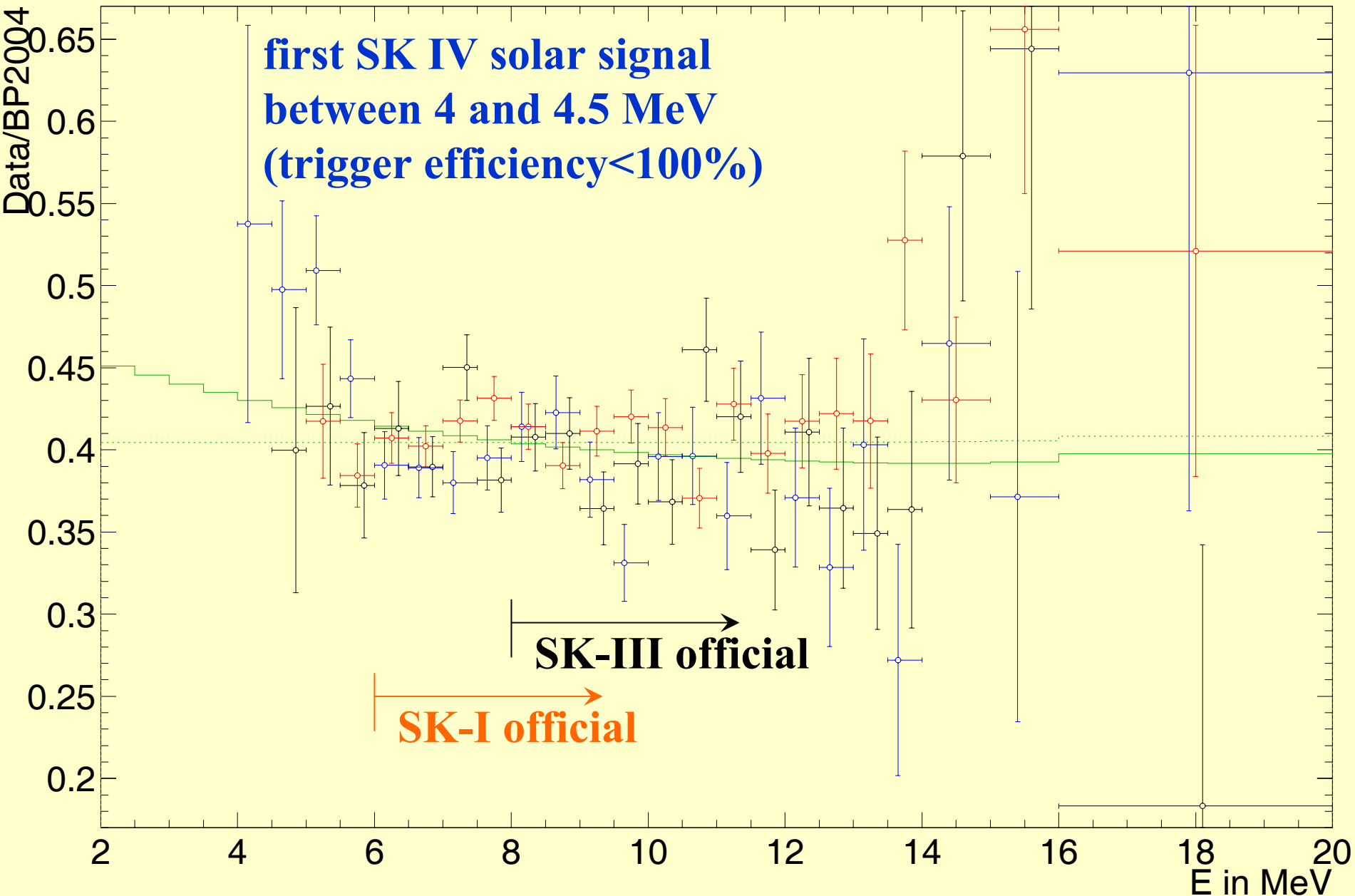
# SK-IV Stat. Error : Official vs. MS Constraint

(Percentage error of  
official result)

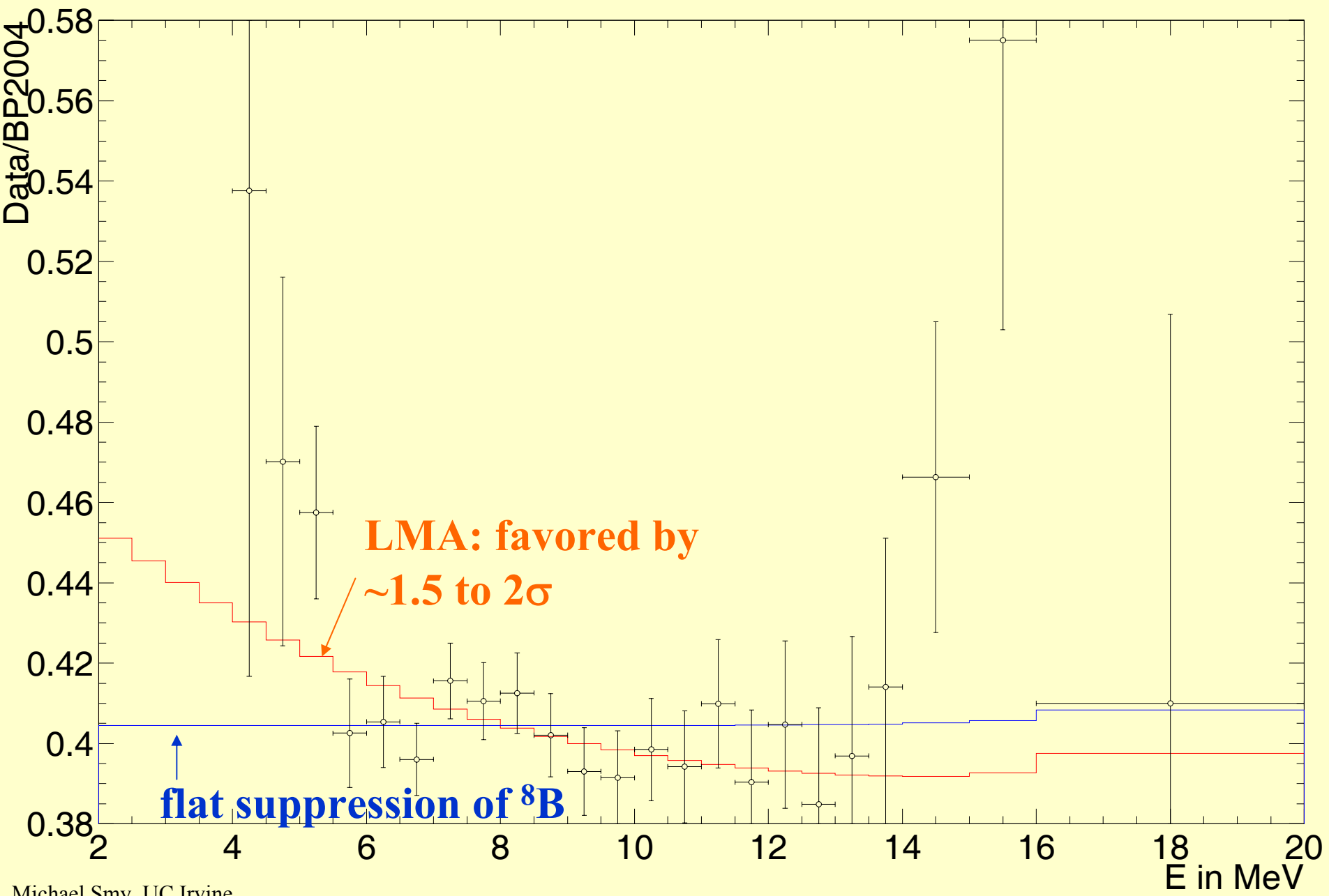
(Percentage error of  
multi-scat constraint)



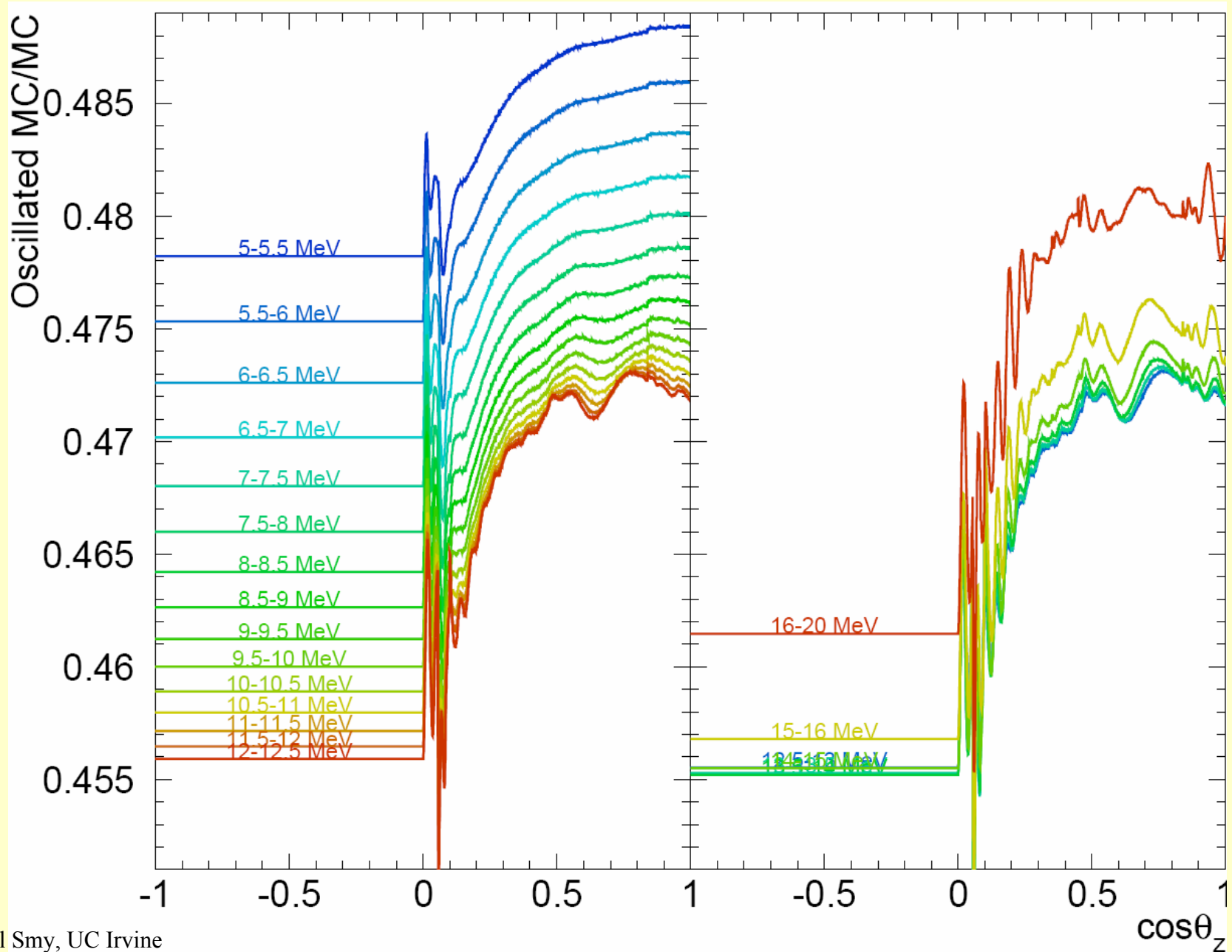
# SK I/III/IV Spectrum Comparison



# SK I/III/IV Spectrum with MS Constr

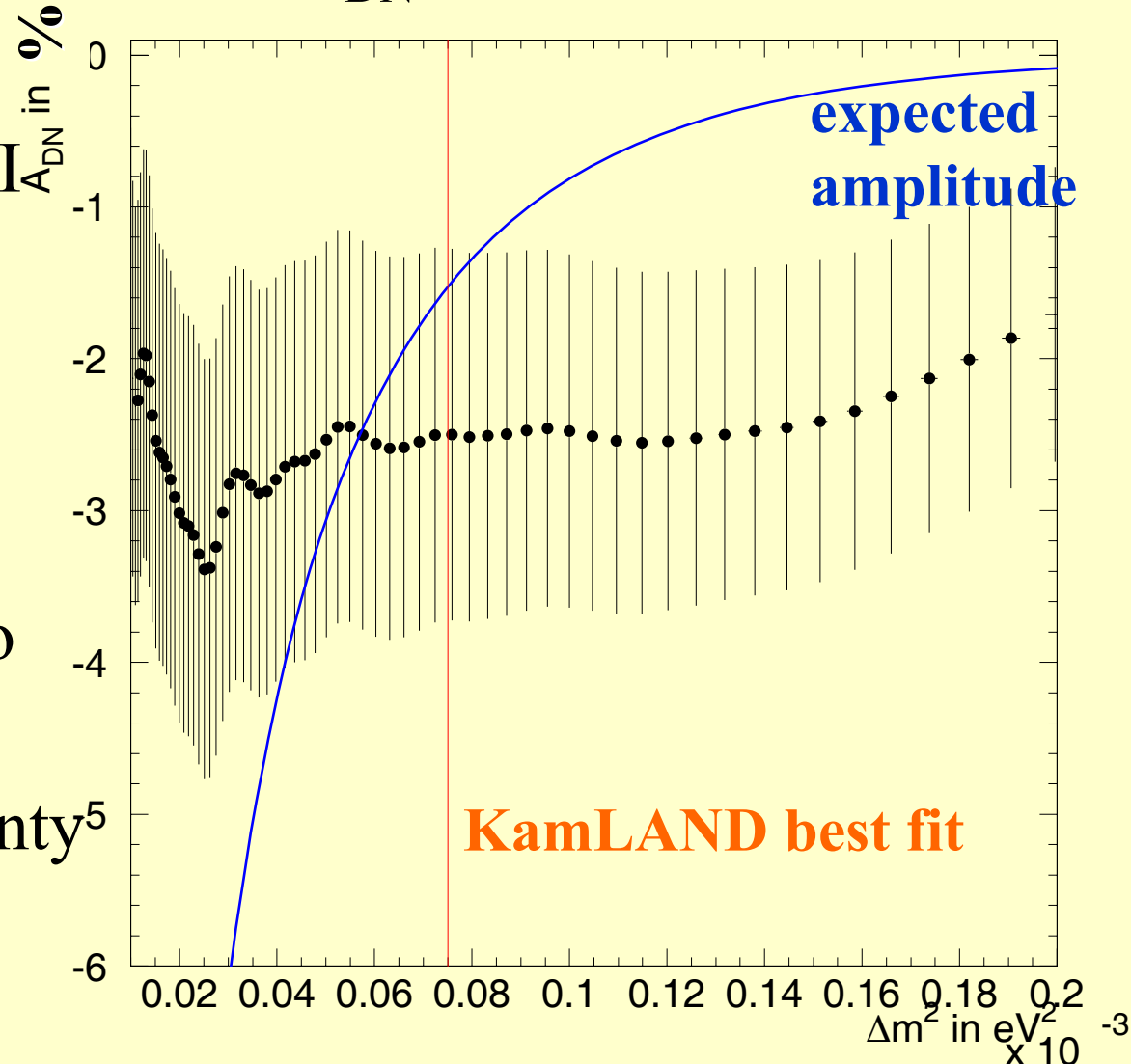


# Day/Night Effect at KamLAND $\Delta m_{12}^2$



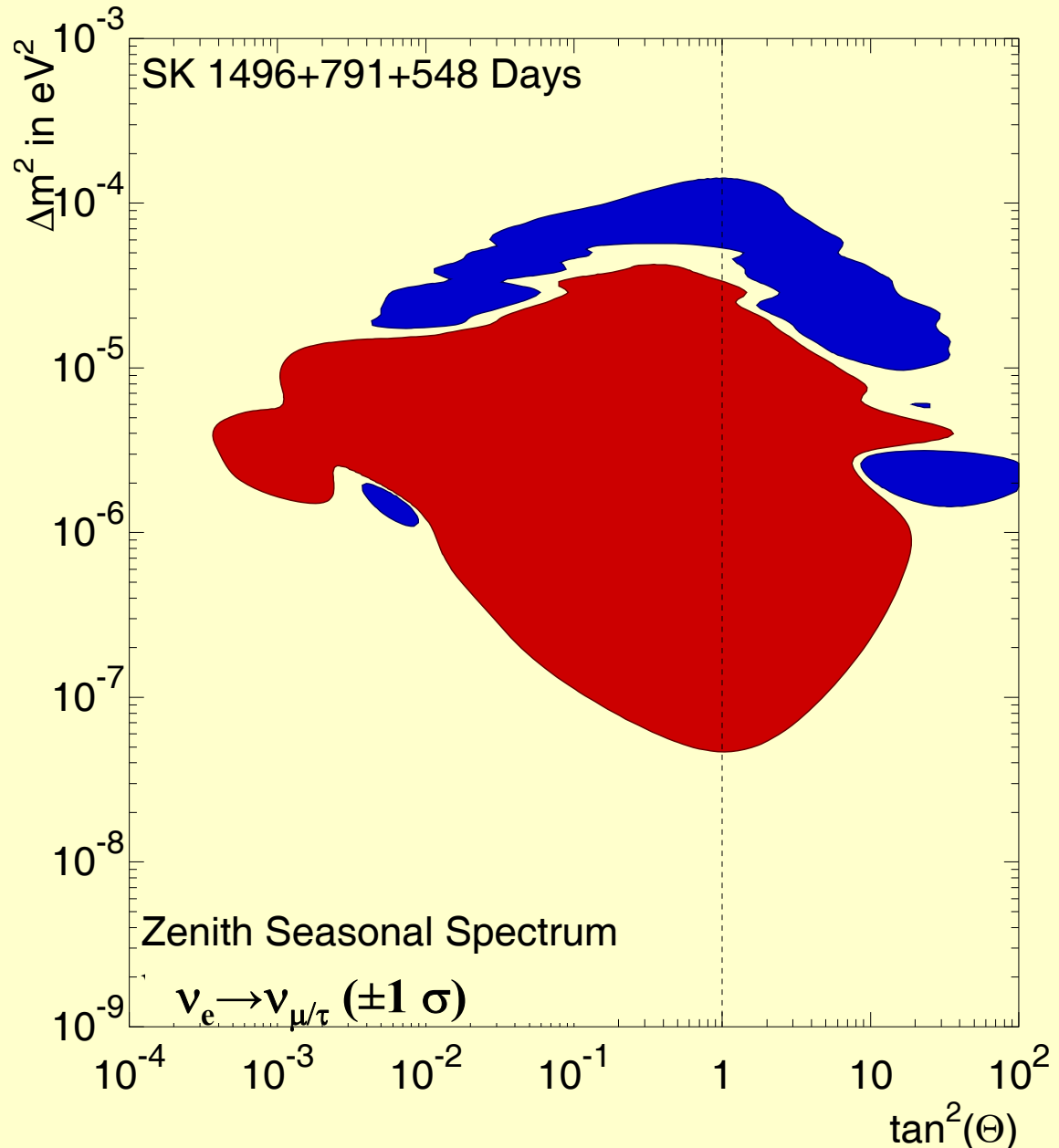
# Fit Day/Night Amplitude to SK Data

- used for SK-I:  $A_{\text{DN}}(\text{I}) = -0.018 \pm 0.016(\text{stat}) \pm 0.013(\text{syst})$
- $A_{\text{DN}}(\text{II}) = -0.036 \pm 0.035(\text{stat})$ ,  $A_{\text{DN}}(\text{III}) = -0.040 \pm 0.025(\text{stat})$
- depends on  $\Delta m^2$
- Combine SK-I/II/III  $A_{\text{DN}}$  in %  
 $-0.026 \pm 0.013(\text{stat})$   
 at KamLAND  $\Delta m^2$
- consistent with expected amplitude
- consistent with zero within  $2\sigma$
- systematic uncertainty<sup>5</sup> under study



# Favored and Disfavored Oscillation Parameters

- in this case, amplitude is not fit; just compared D/N effect to no D/N effect!!!
- blue area has D/N effect favored by at least one  $\sigma$
- red area has D/N disfavored by at least one  $\sigma$





# Conclusions

- SK-III data has already impacted solar neutrino global fits:
  - lower background
  - solar neutrino flux estimate below 5 MeV
  - three flavor analysis
- SK-IV can go lower in threshold: the goal is 4 MeV total recoil electron energy and it seems within reach
- SK solar analysis begins to see oscillation signatures