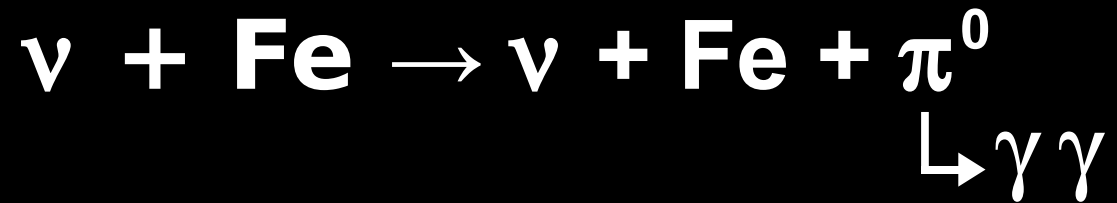


Neutrino Induced Coherent NC(π^0) Production in MINOS



Daniel Cherdack
Tufts University
High Energy Physics

NuInt11

Dehradun, India

March 7th – March 11th, 2011

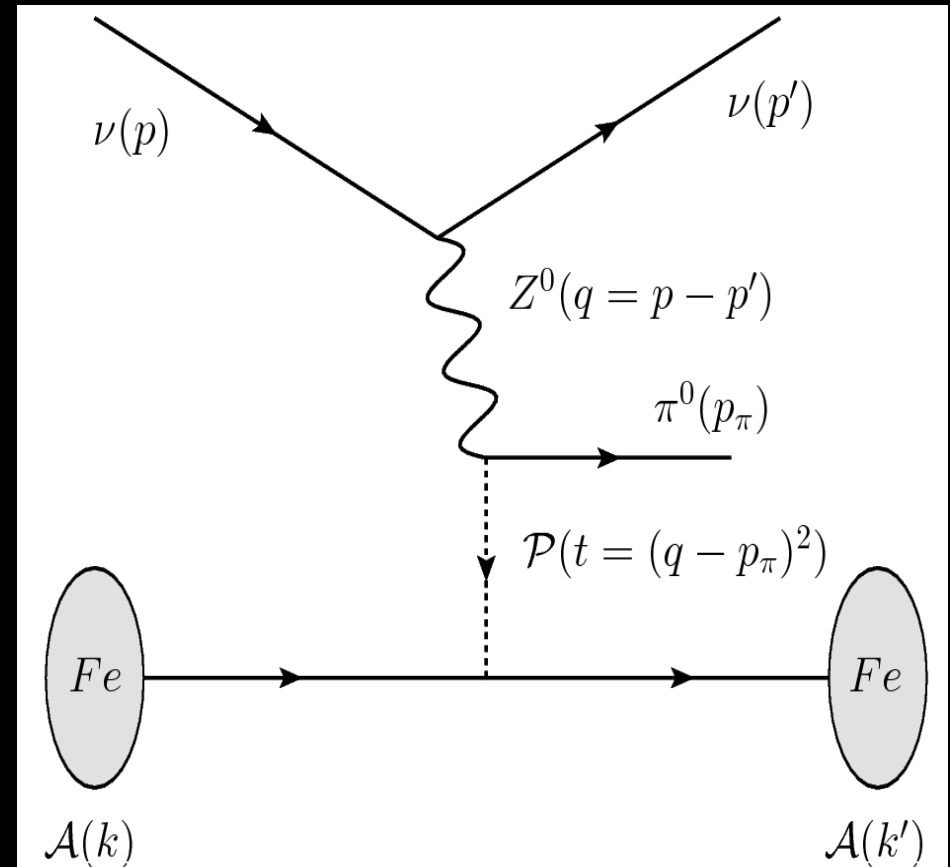


Outline

- **Introduction**
 - Phenomenology
 - The beam and detector
- **Event selection**
 - Coherent NC(π^0) signal
 - Background interactions
 - Support vector machines
 - The selected sample
- **Fitting the background model**
- **Extracting the coherent NC(π^0) event rate**
- **Systematic error studies**
- **Sensitivity studies**
- **Determination of cross sections**

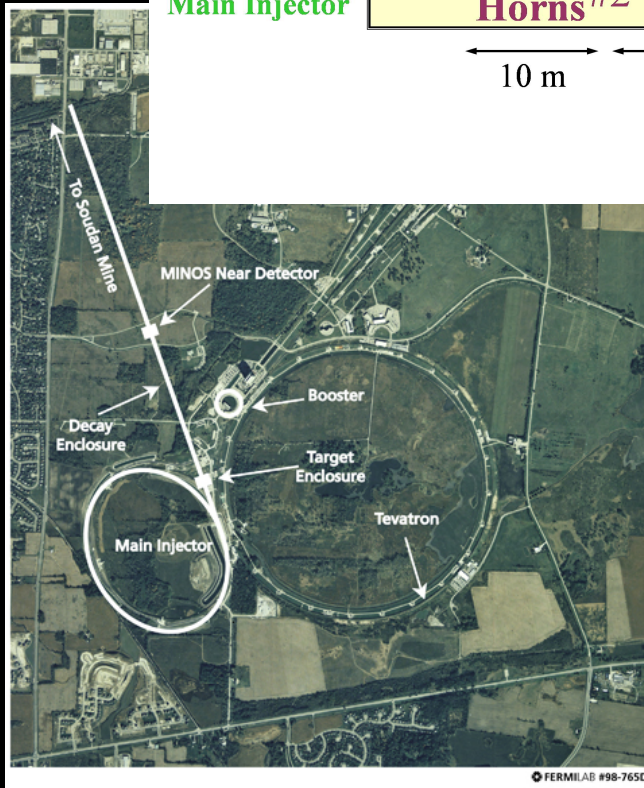
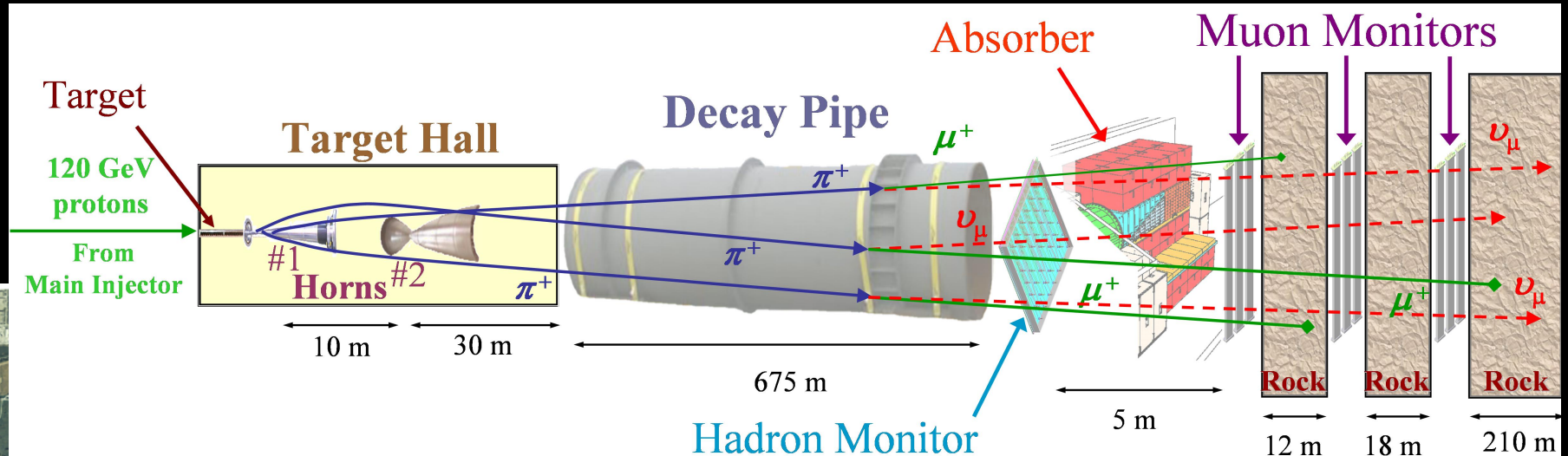
Coherent NC(π^0) Scattering: Phenomenology

- No transfer of quantum numbers
- Small momentum transfer
- Nucleus remains in the ground state
- Single detectable final state reaction product



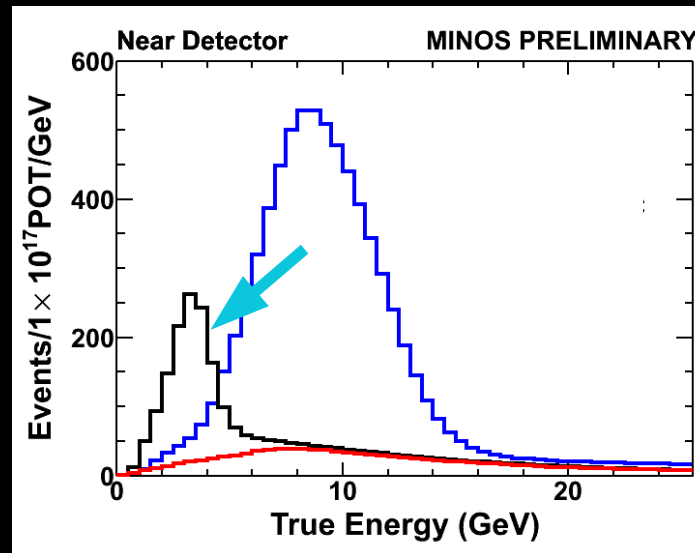
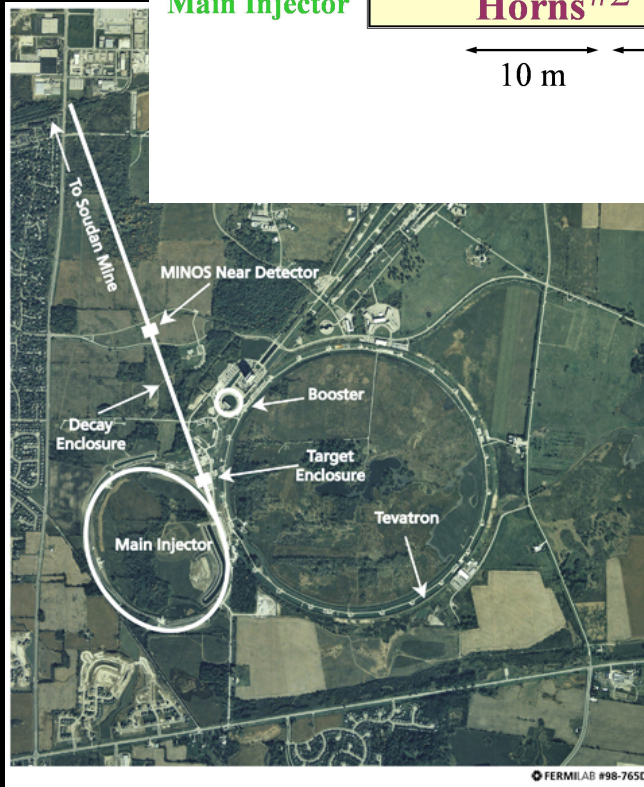
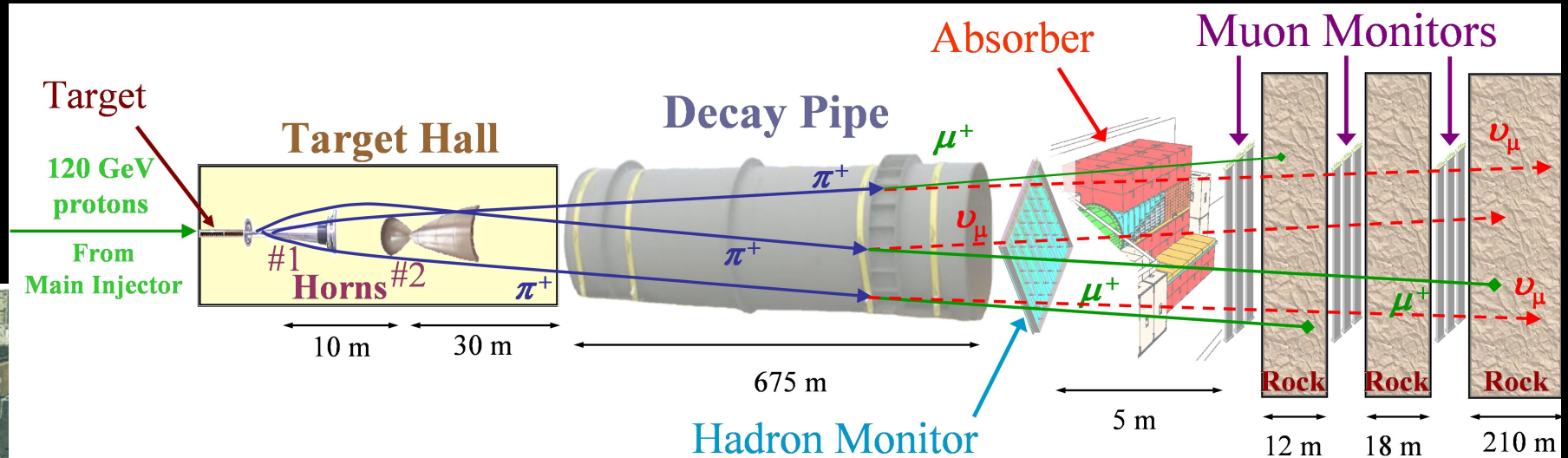
$$\frac{d^2 \sigma(\nu A \rightarrow \nu \pi A)}{dQ^2 dy d|t|} = \frac{G_F^2}{4\pi^2} \frac{(1-y)}{y} \left(\frac{m_A^2}{Q^2 + m_A^2} \right) f_\pi^2 \frac{d\sigma(\pi A \rightarrow \pi A)}{d|t|}$$

The NuMI Beam



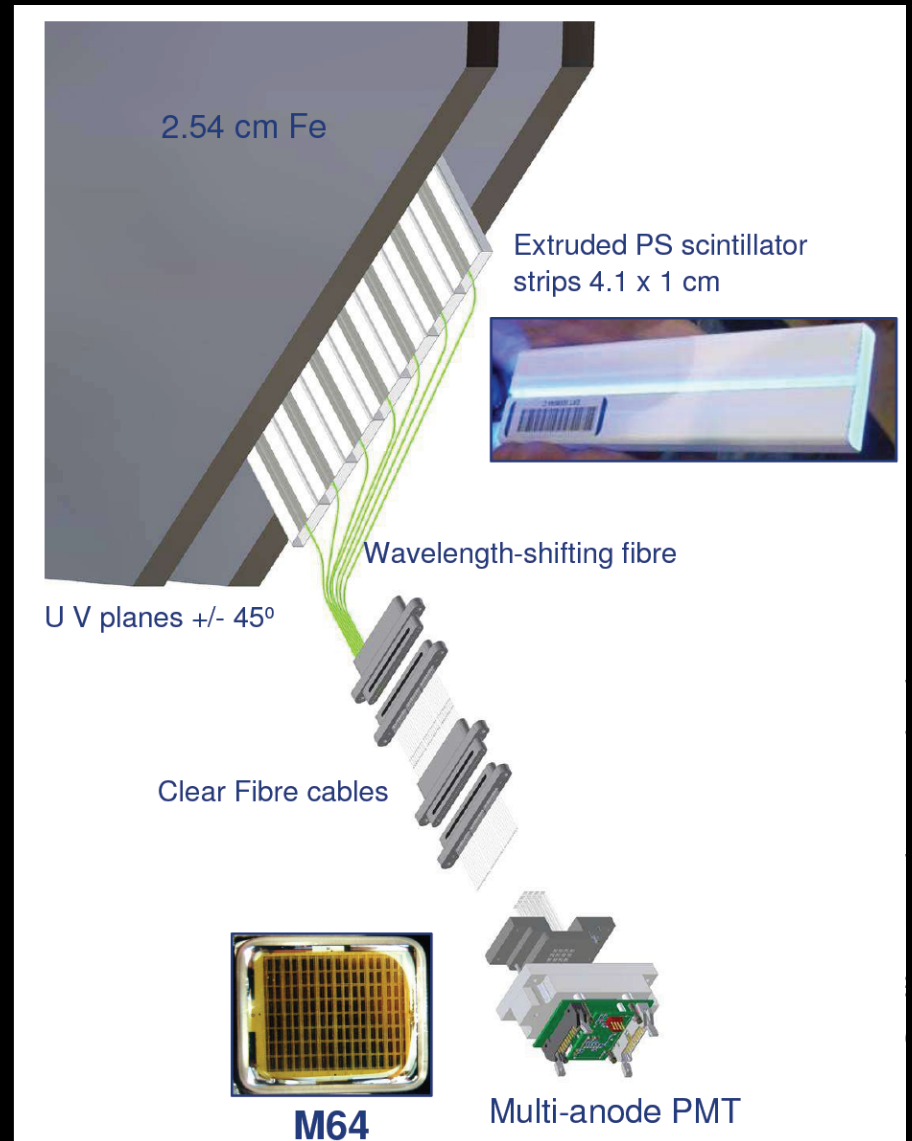
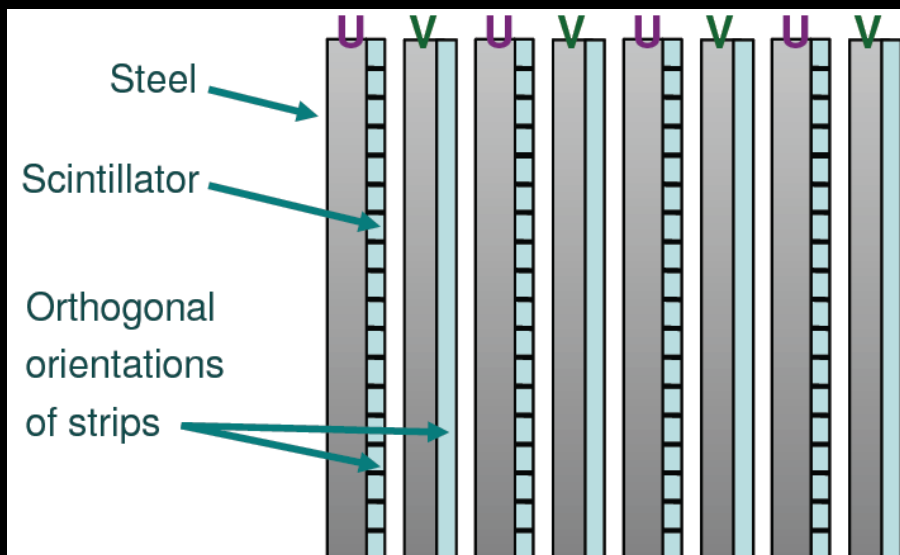
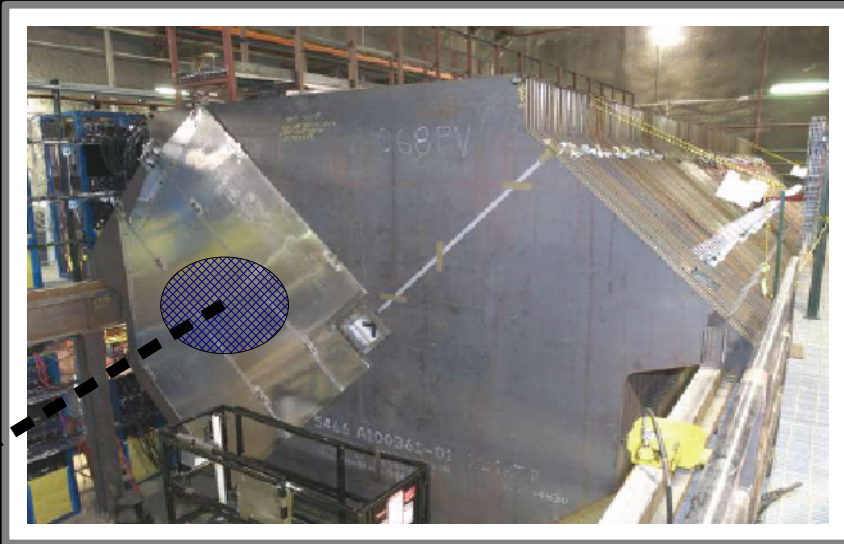
- 120 GeV protons directed to the target
- Protons strike the graphite target; produce π 's and K's
- Hadrons focused using magnetic horns
- Hadrons decay to μ 's and ν_μ 's

The NuMI Beam

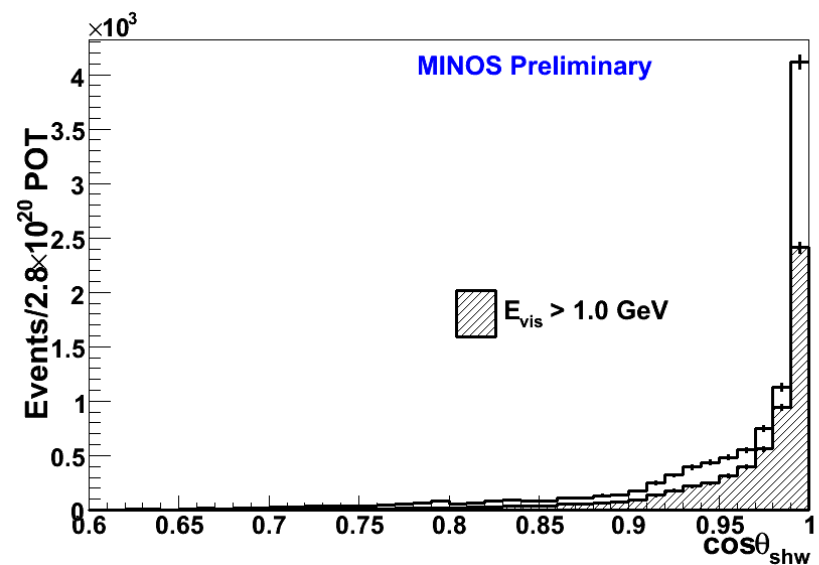
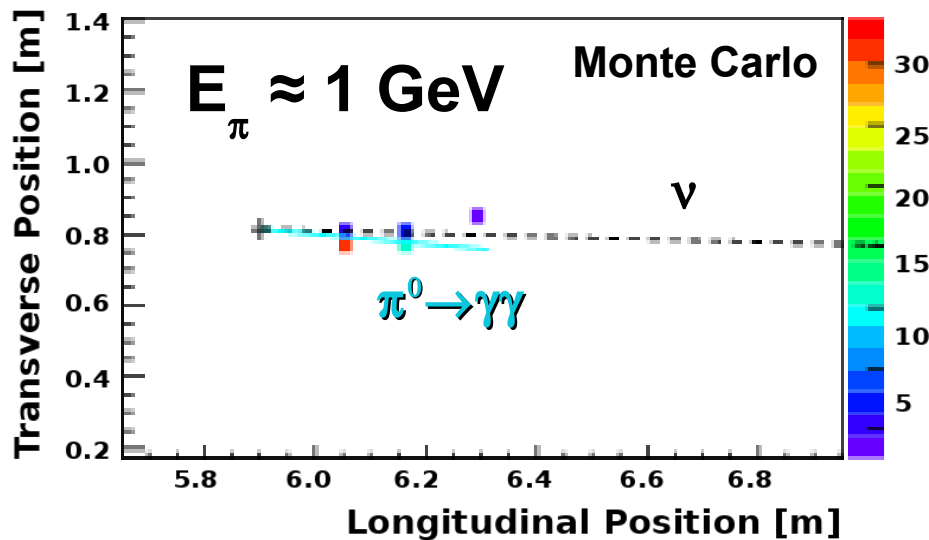
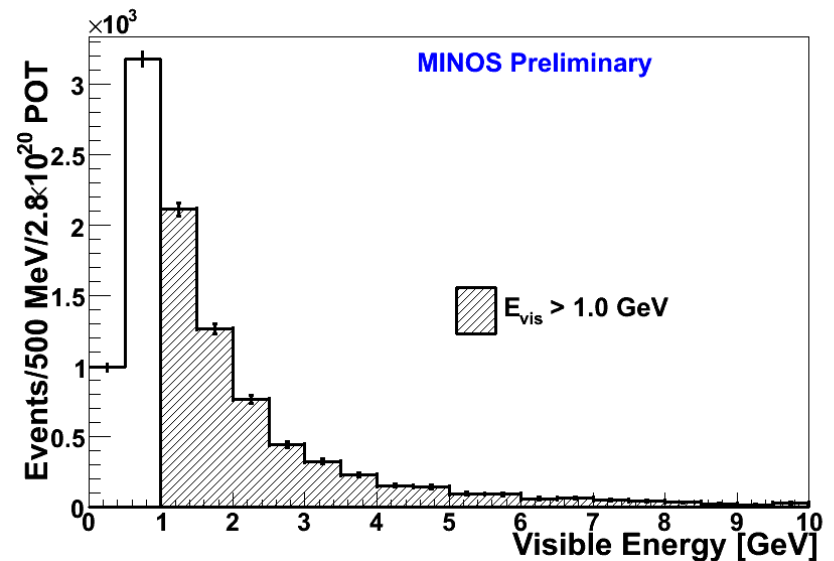
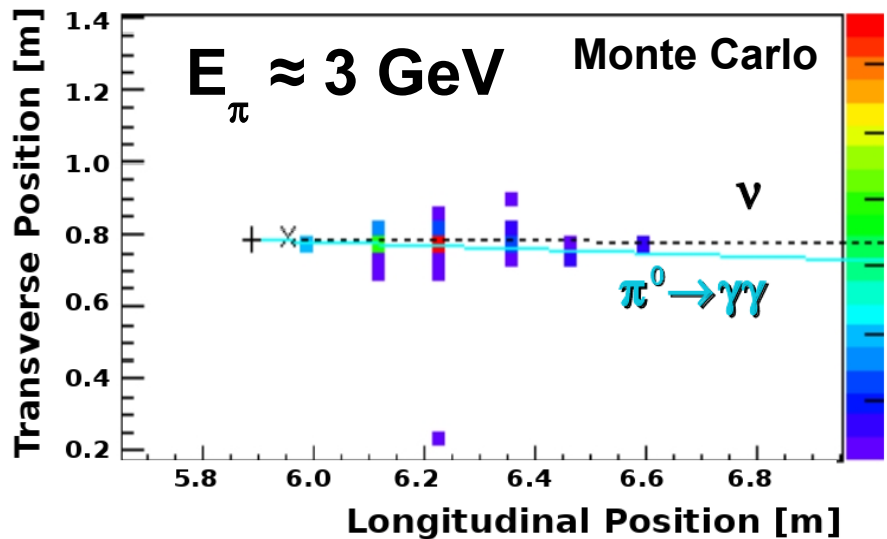


Beam Configurations:
Low Energy
High Energy
Horns Off

The MINOS Near Detector

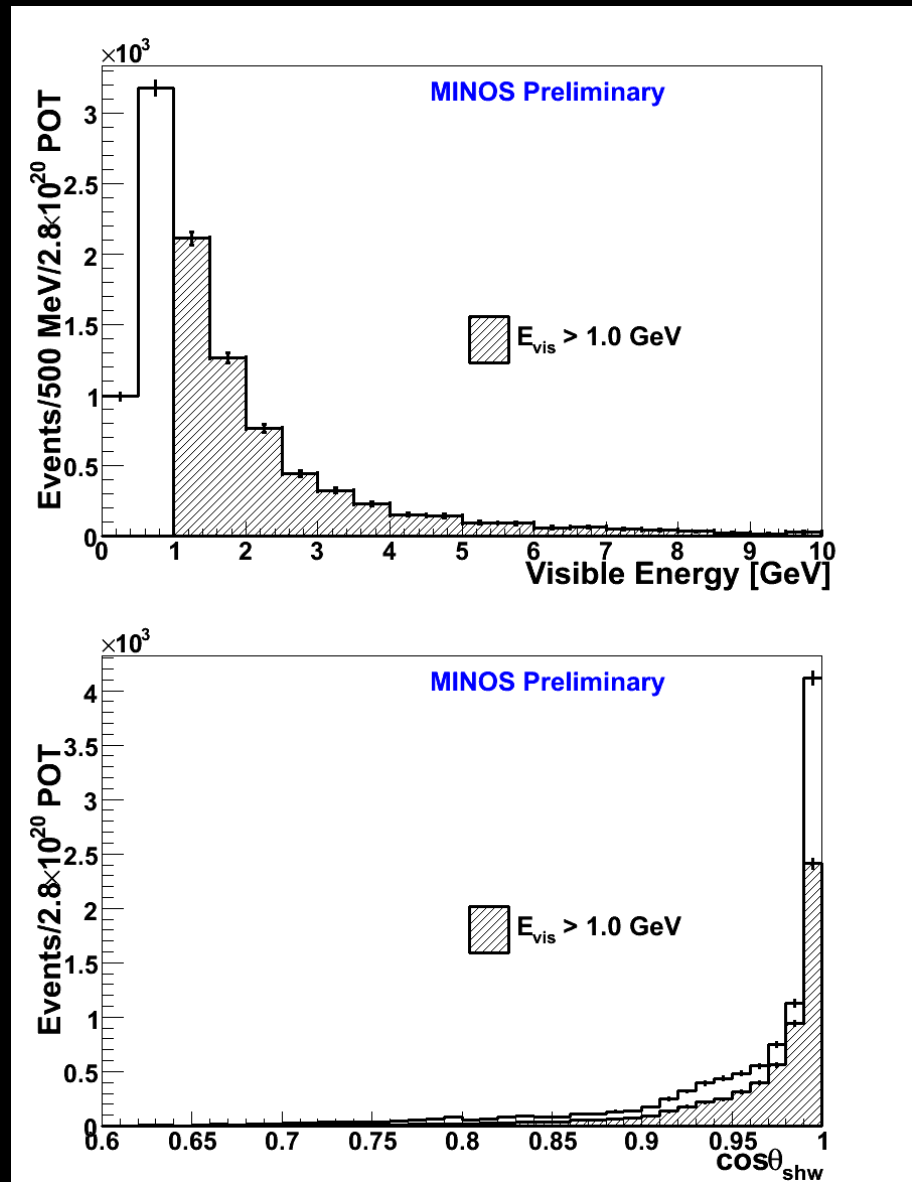


Coherent NC(π^0) Scattering in MINOS

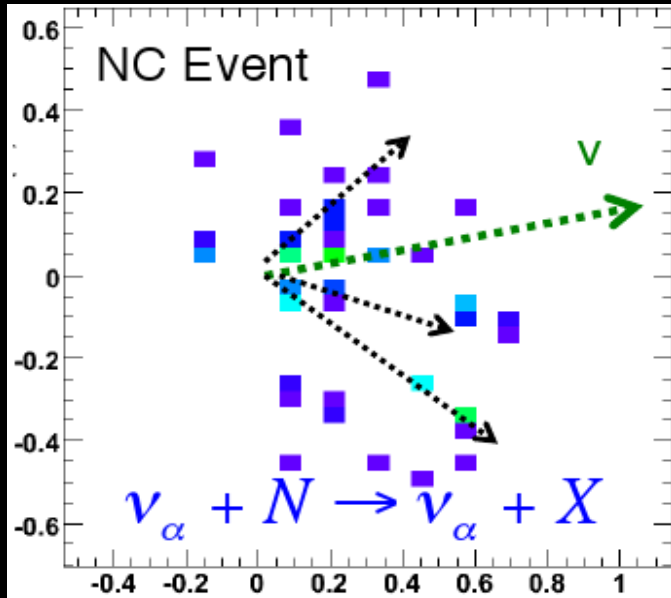


Coherent NC(π^0) Scattering in MINOS

- MC event rates (2.8×10^{20} POT)
 - Roughly 13k coherent NC(π^0)
 - About 1 in 500 events
 - **1044** selected events
- $\pi^0 \rightarrow \gamma\gamma \rightarrow$ single EM shower
 - Most of the energy goes to one γ
 - Two showers overlap (density of steel)



Background Reactions



1,388,311

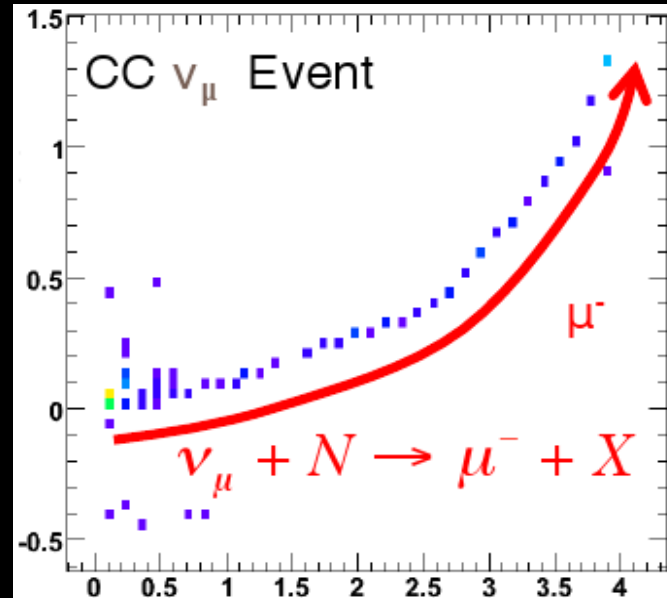
Fiducial Volume
Events

780,960

Pre-selected Events

4,233

Selected Events



4,976,668

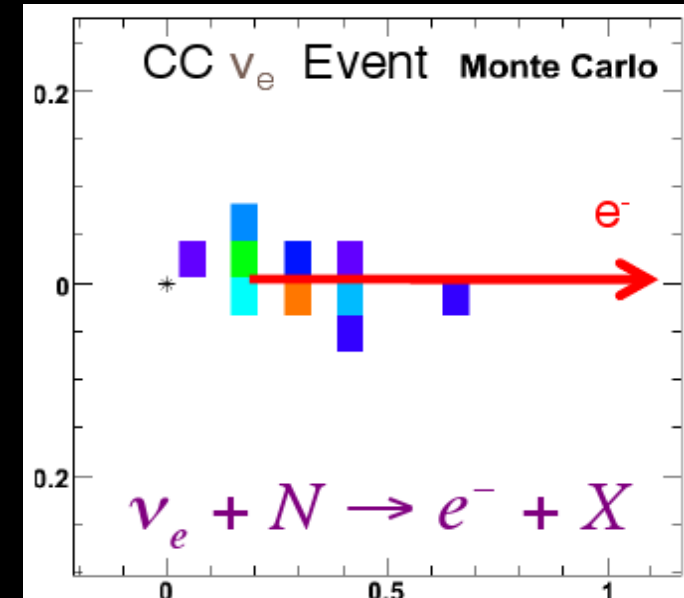
Fiducial Volume
Events

930,761

Pre-selected Events

454

Selected Events



86,178

Fiducial Volume
Events

68,967

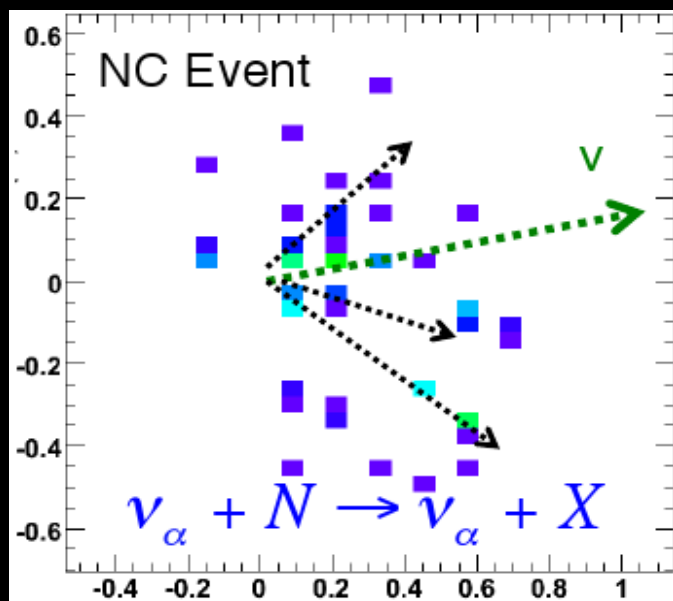
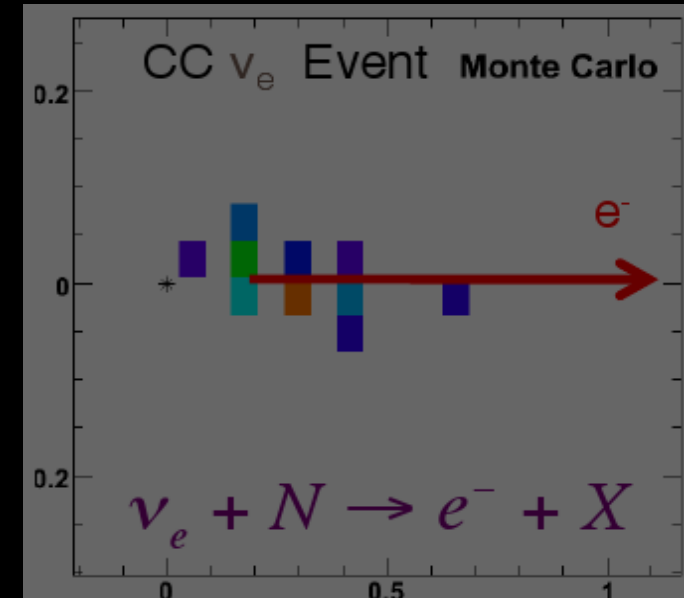
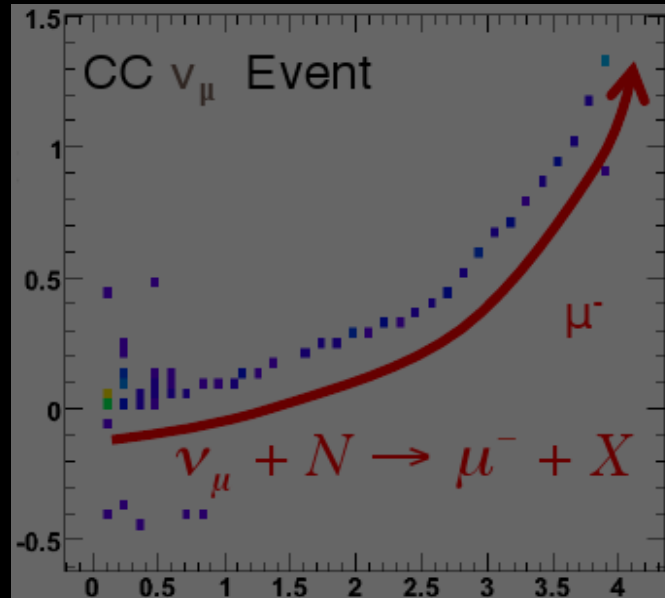
Pre-selected Events

469

Selected Events

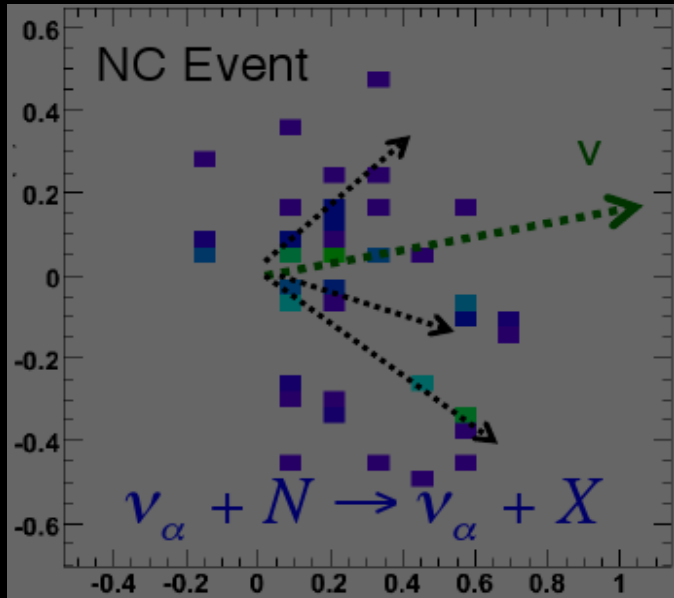
Background Reactions

- No visible leptons
- EM shower dominated
- No additional visible particles

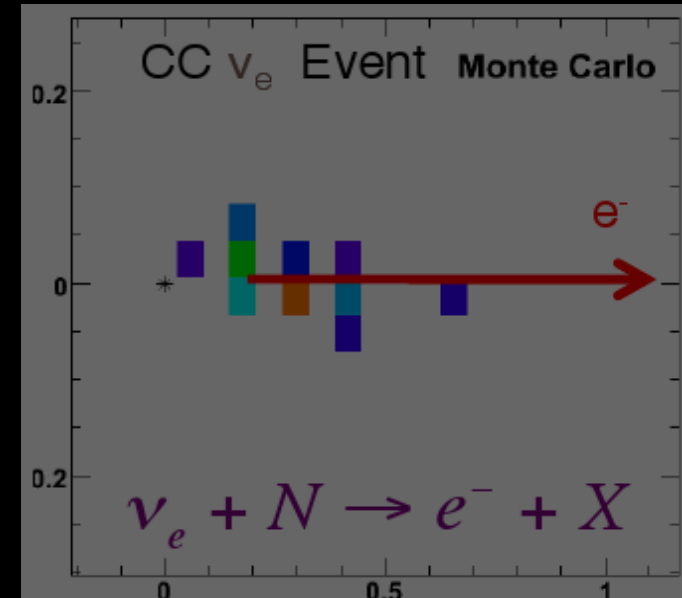


Neutral Current

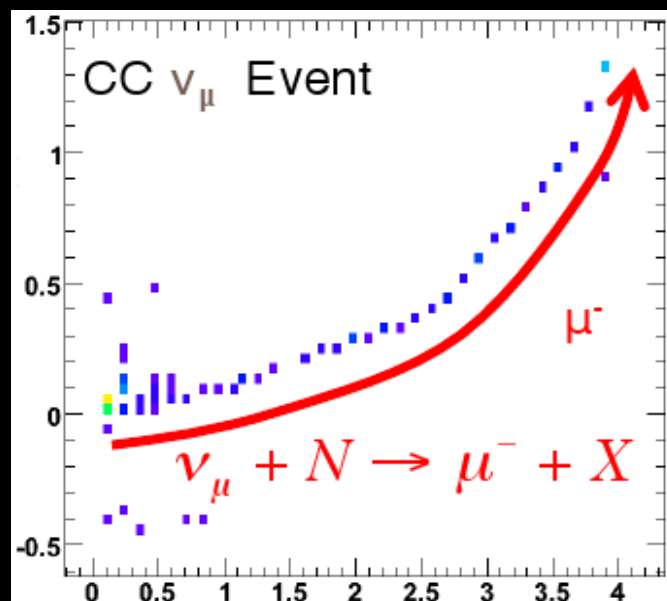
Background Reactions



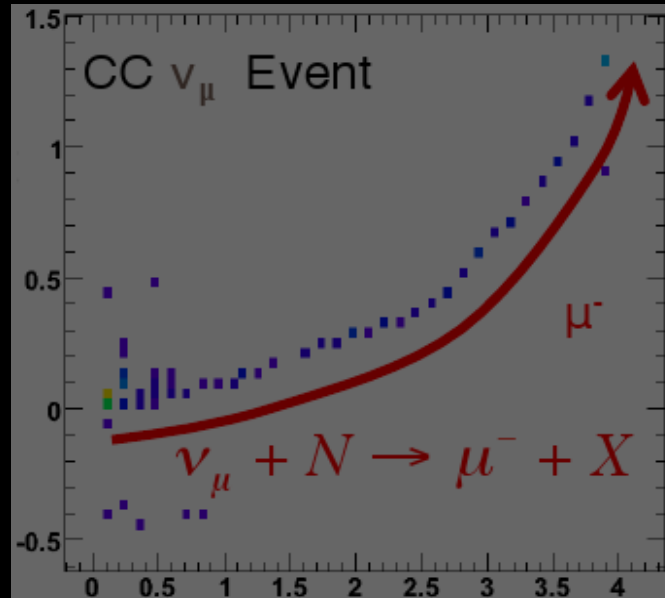
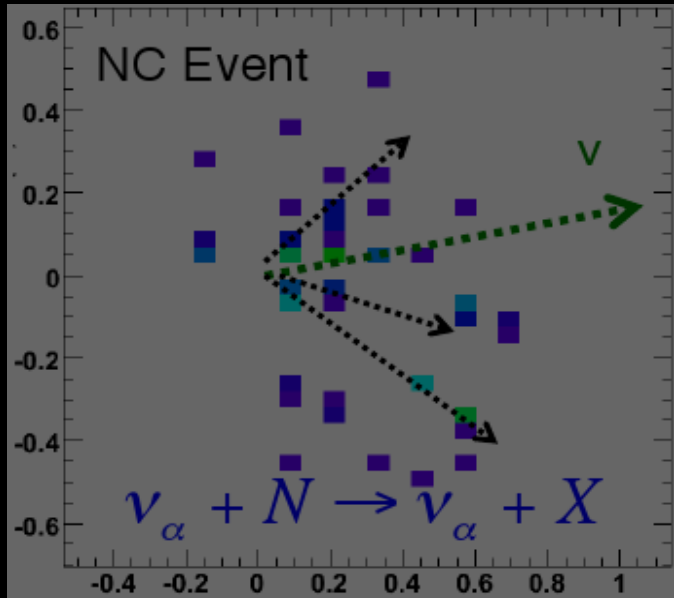
- Long μ tracks easily rejected
- Short μ tracks can mimic NC topologies



Charged
Current - ν_μ

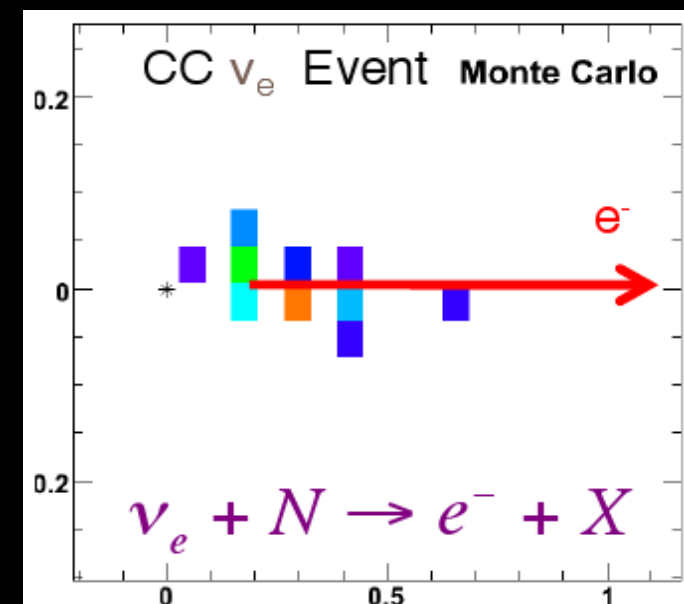


Background Reactions



- Electron produced EM shower
- QE - like topologies

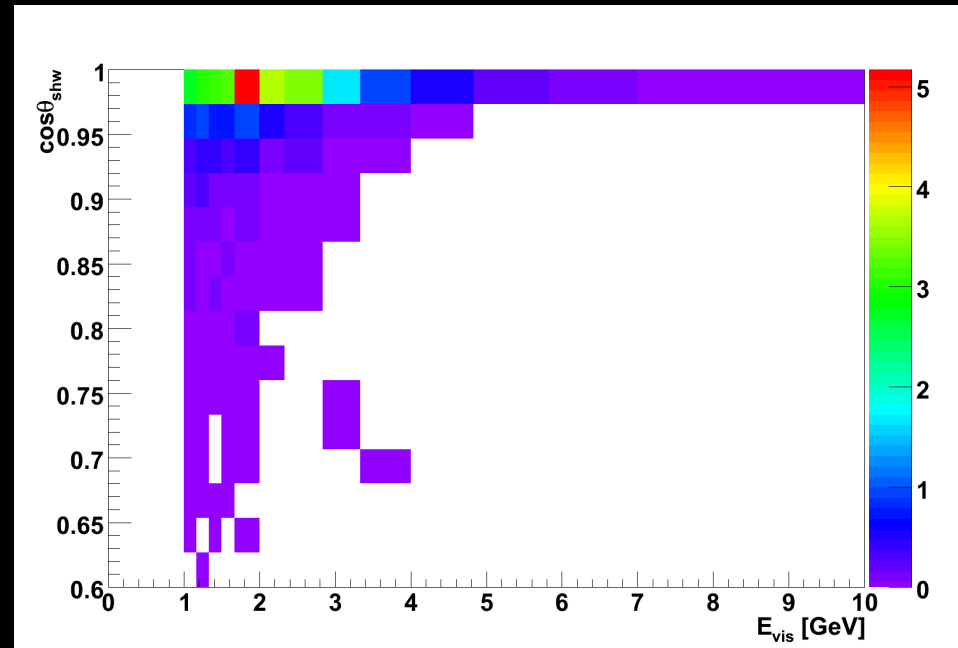
Charged Current - ν_e



$$\nu + e^- \rightarrow \nu + e^-$$

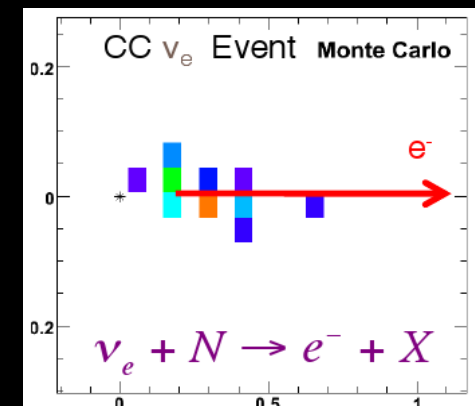
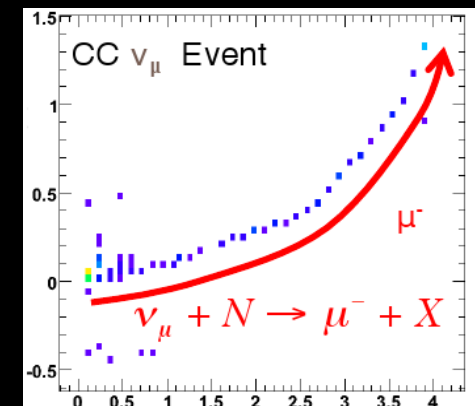
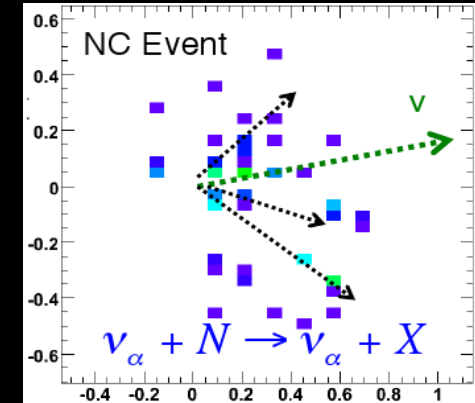
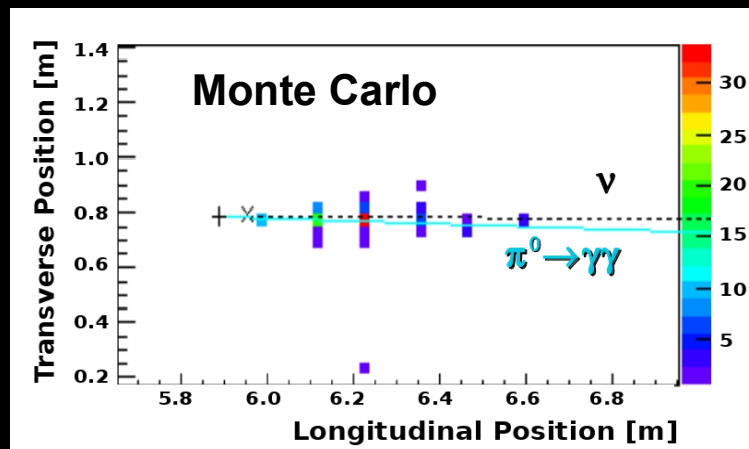
Scattering Backgrounds

- Neutrino – electron scattering not included in the Monte Carlo
- Theoretical cross sections are well constrained
- Special MC samples used to estimate the $\cos\theta_{\text{shw}}$ -vs- E_{vis} distribution
- Subtracted prior to fitting to the data
- Not included in either fit MC or mock data – studies still valid



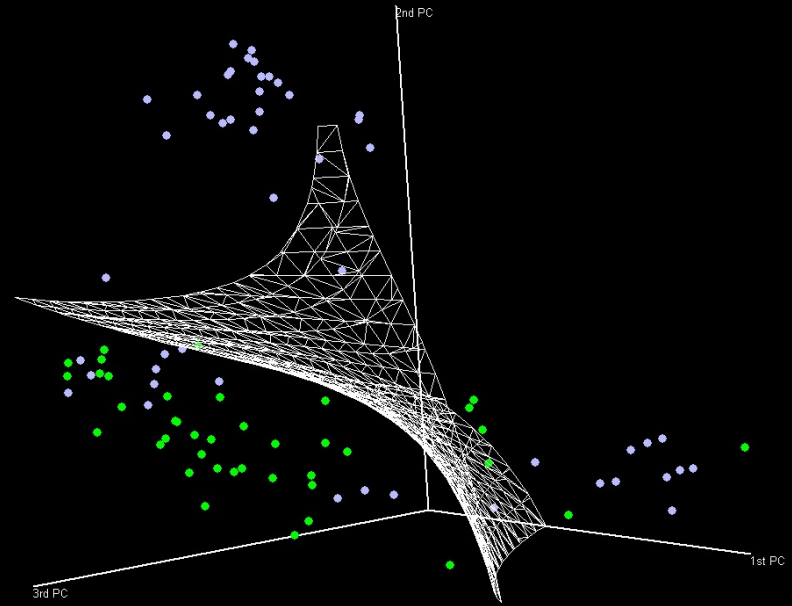
Event Selection: Attribute Categories

- Shower Size
- Shower Shape
- Fits to the energy profiles
- Vertex Activity
- Energy Dispersion
- Track Length and Curvature

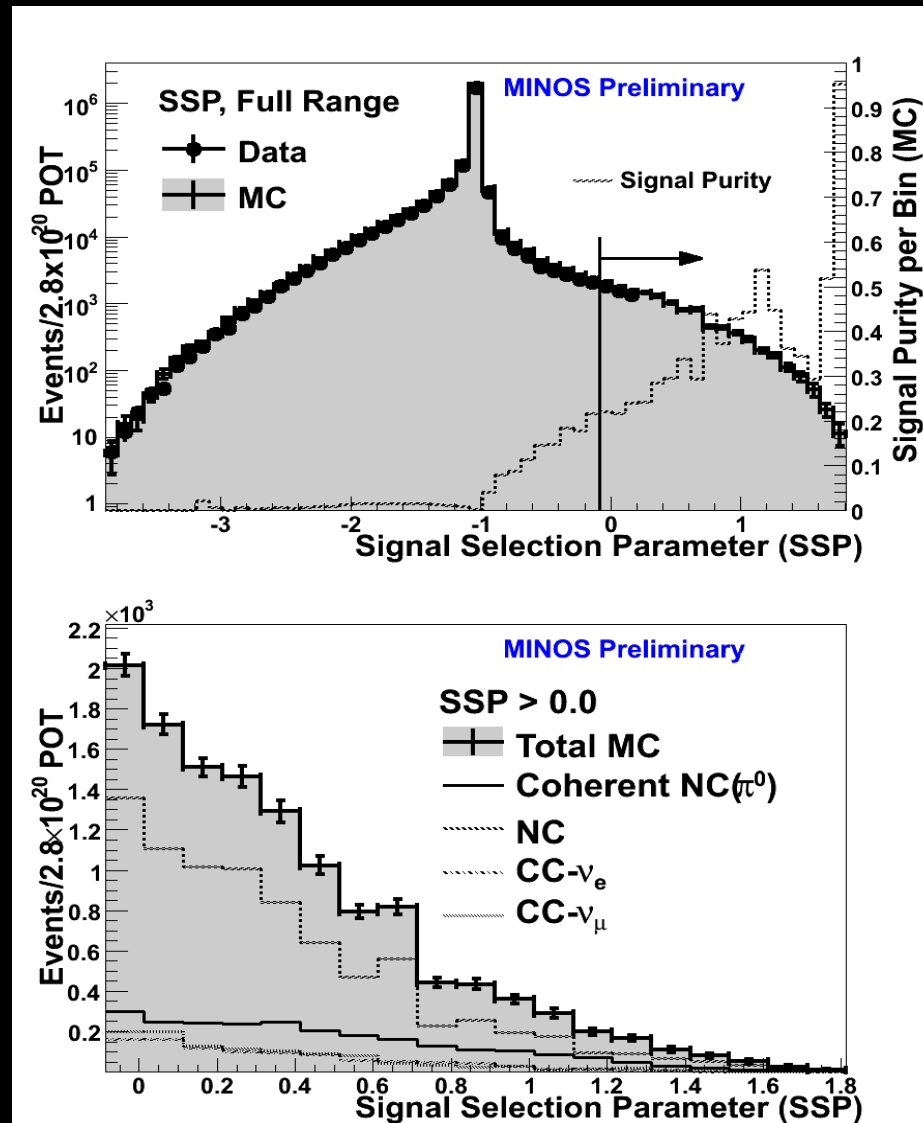


Support Vector Machines

- Multivariate classification algorithm
- Similar to:
 - Neural networks
 - k-Nearest neighbor
- Train based on MC
 - Plot events in attribute space
 - Draw borders between regions of signal and background
- **Input:** attributes for an event
- **Output:** distance to the nearest border
- Output used to select a sample of coherent $\text{NC}(\pi^0)$ events

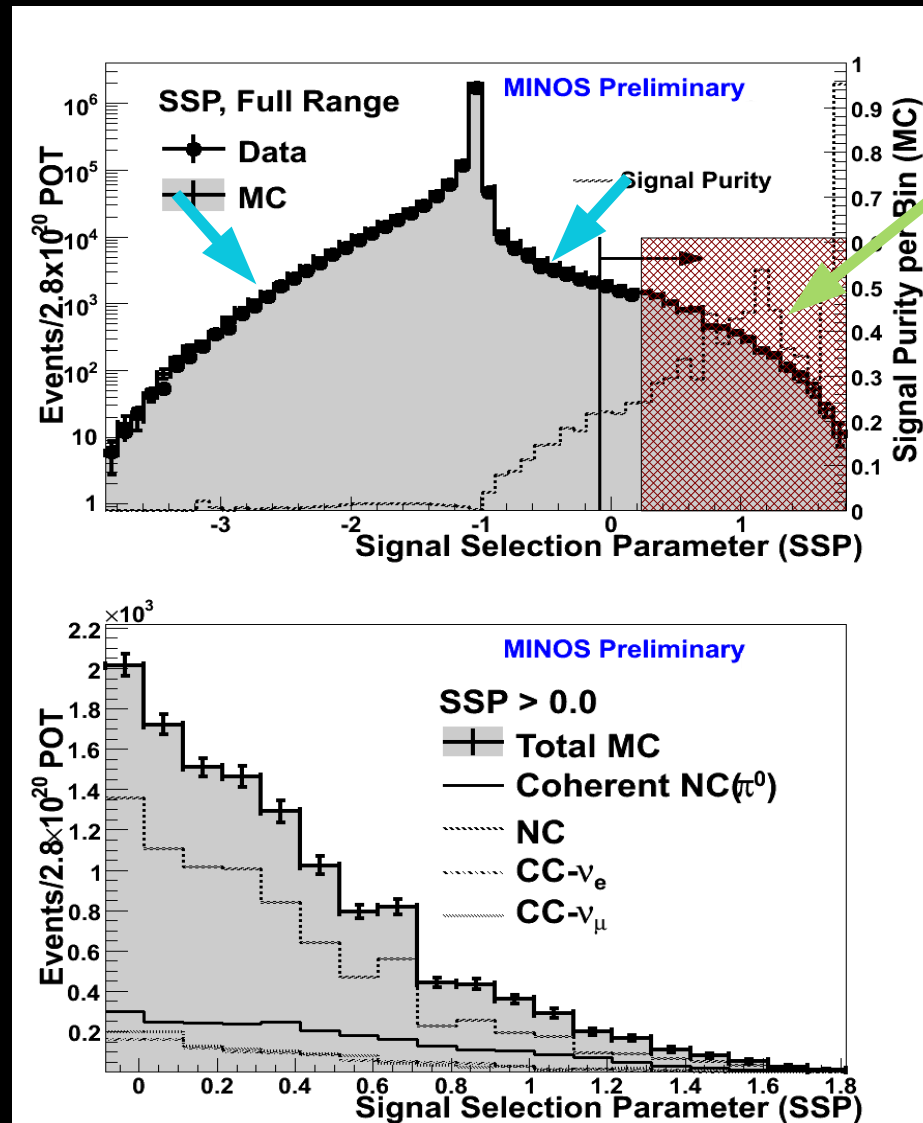


Event Selection: Signal Selection Parameter



Event Selection

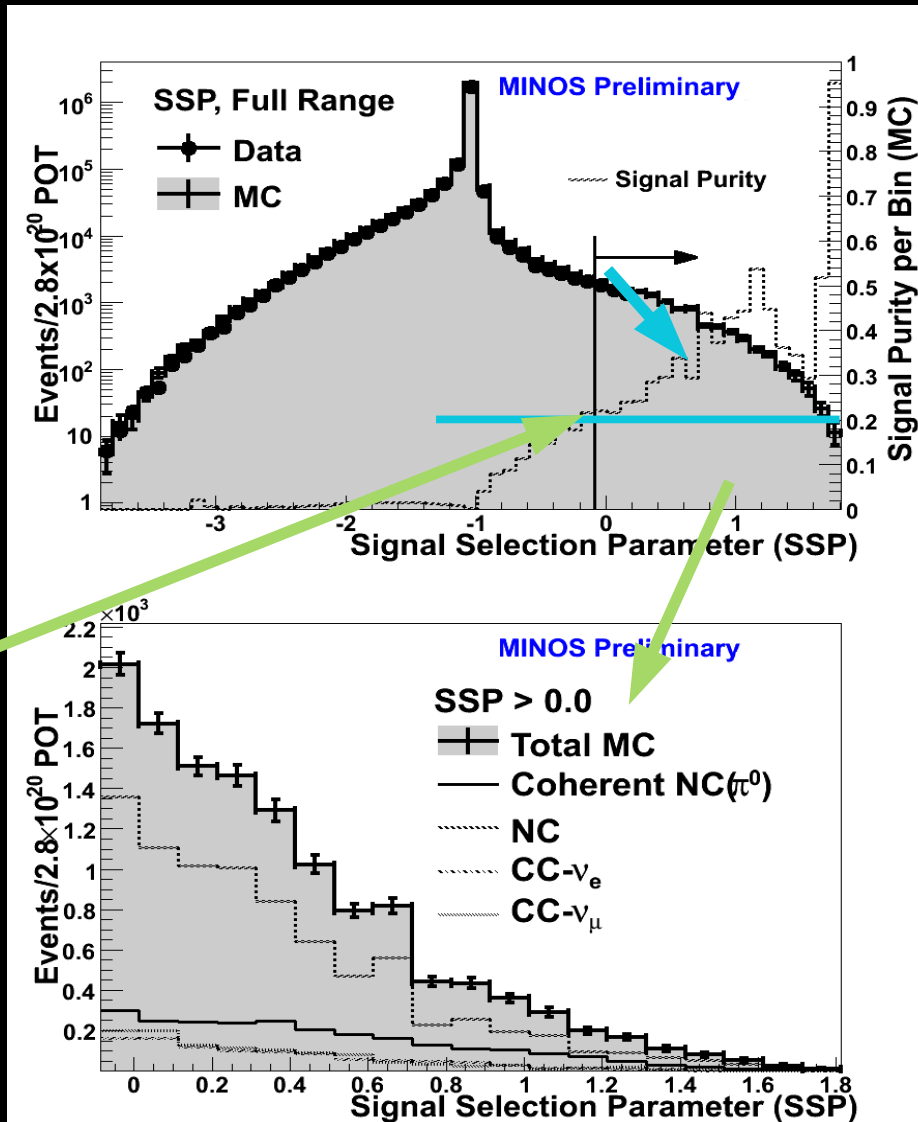
Data and MC are in agreement in the unblinded region



Blinded

Event Selection

Signal purity increases with increases values of the SSP



Blind regions with $\rho > 20\%$

Signal purity (ρ):

$$\rho_i = \frac{N_i^{coh}}{N_i^{coh} + N_i^{bkg}}$$

For SSP bin i

High SSP/High ρ region

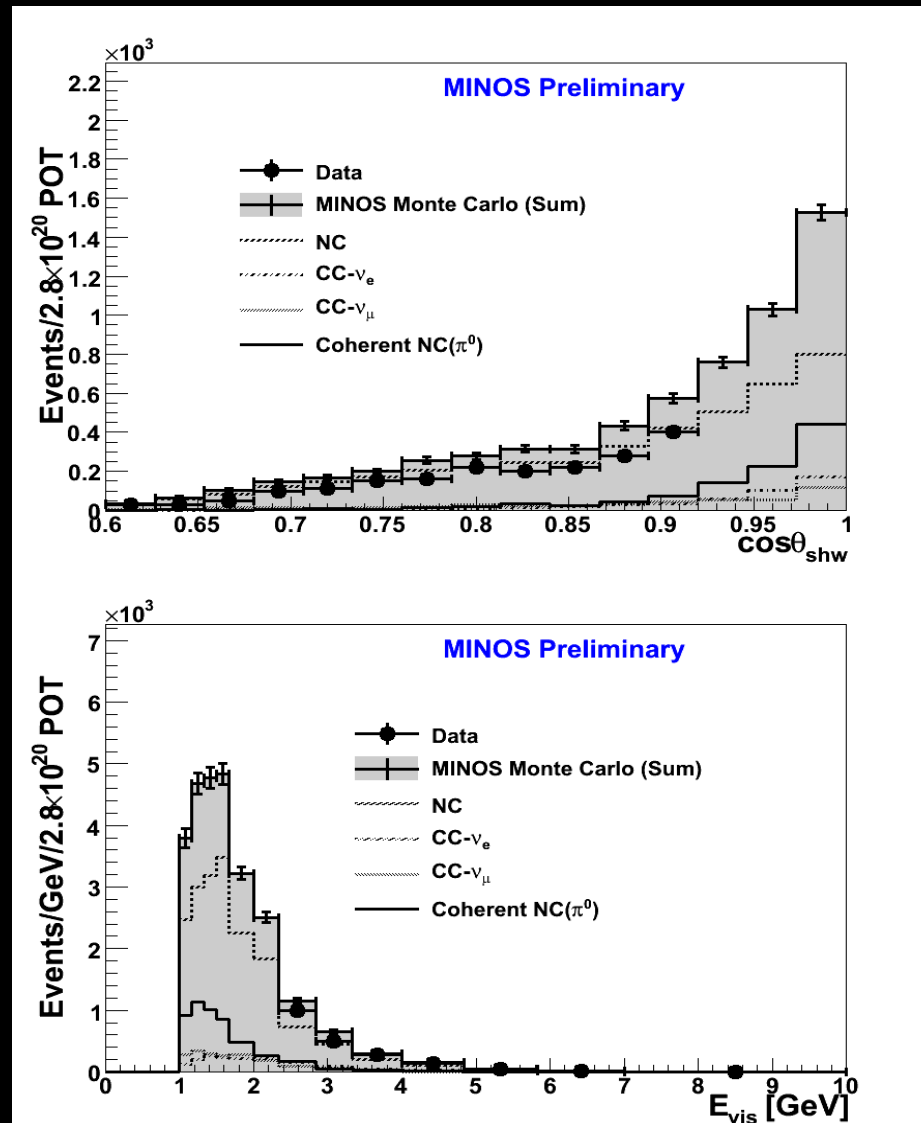
NC – largest bkg

The Selected Sample

Relevant kinematic variables

$\cos \theta_{shw}$

E_{vis}

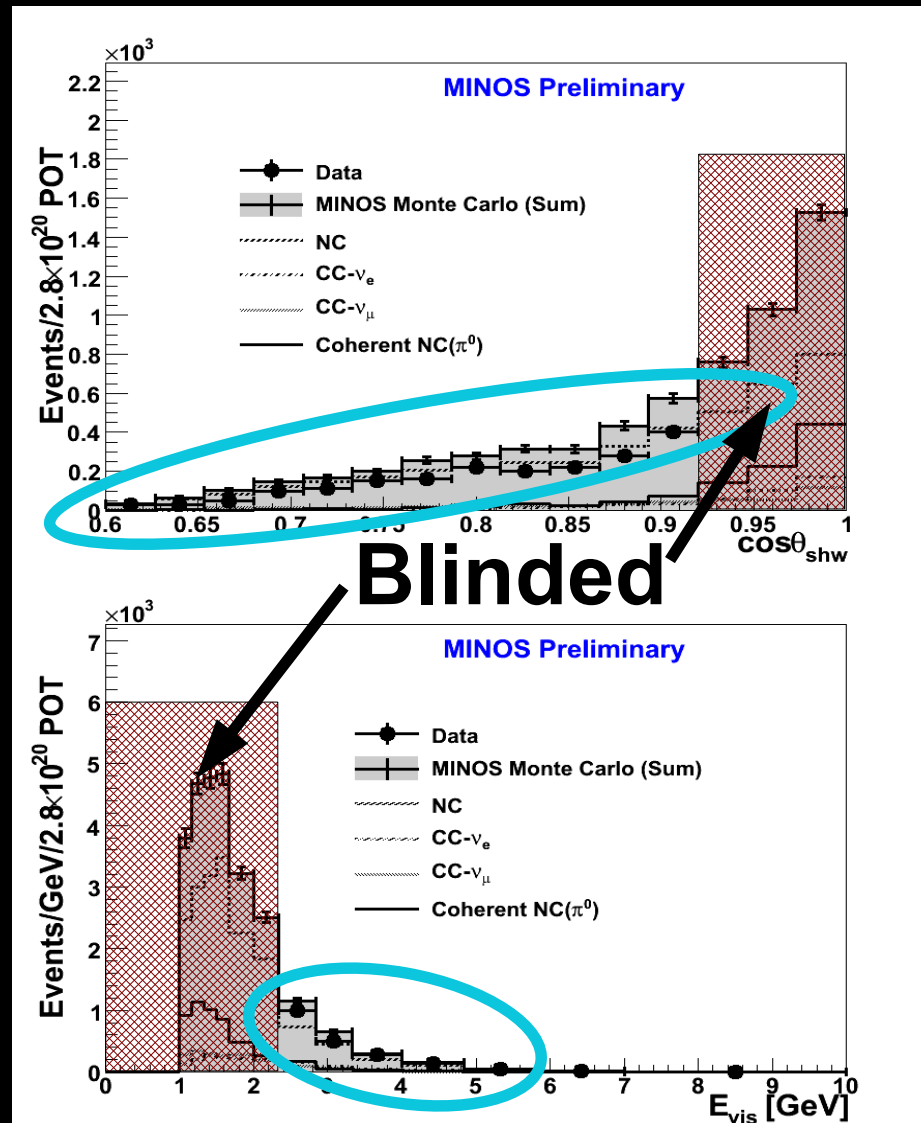


Events
selected
based on
SSP values

The Selected Sample

$\cos \theta_{shw}$

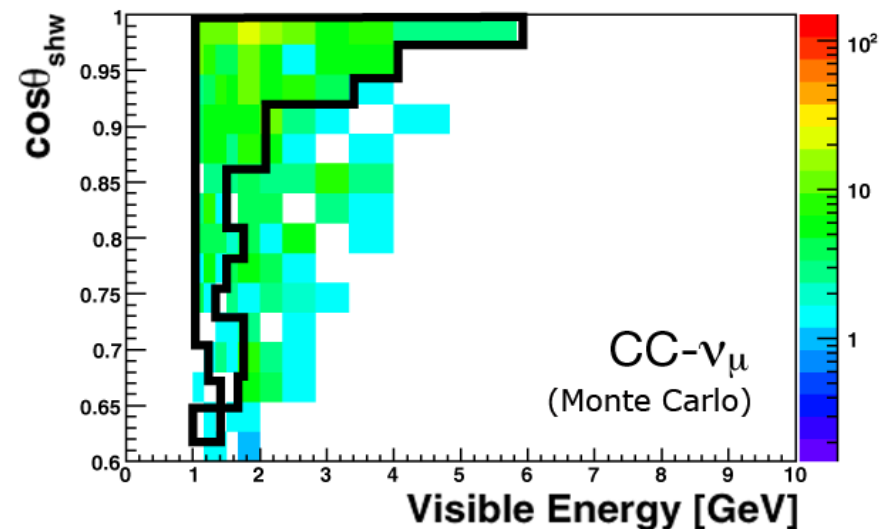
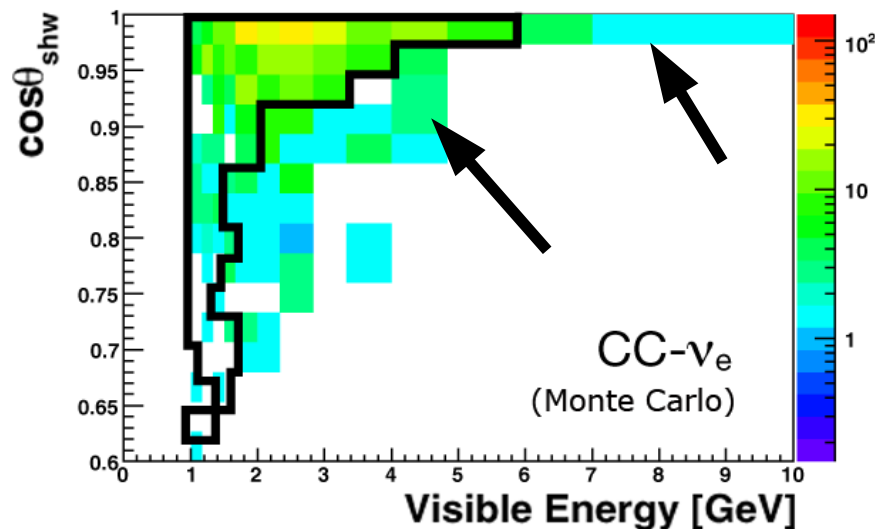
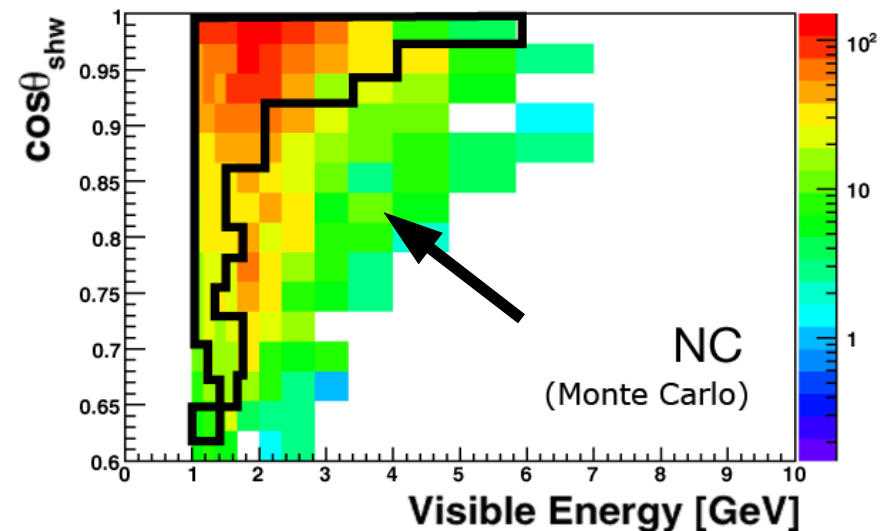
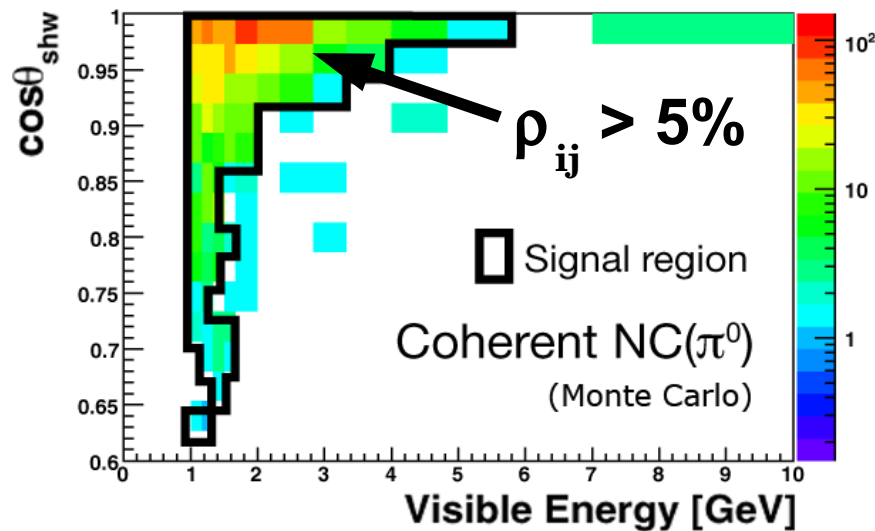
E_{vis}



MC over-estimates the data in the selected sample by 20-30%

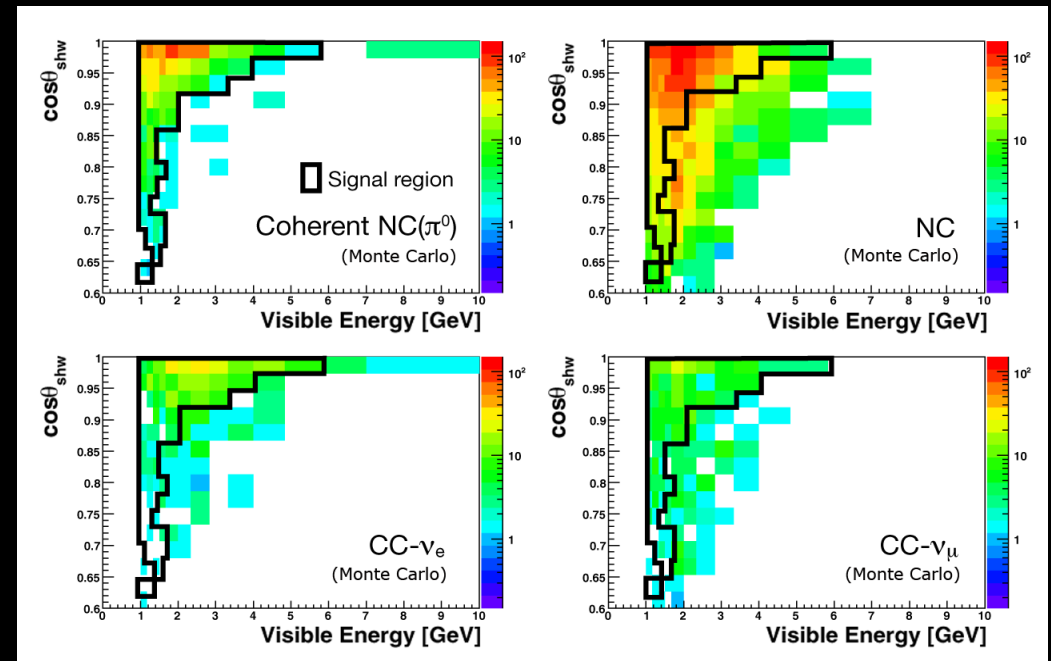
$\cos\theta$ -vs- E_{vis}

Signal and Sideband Regions



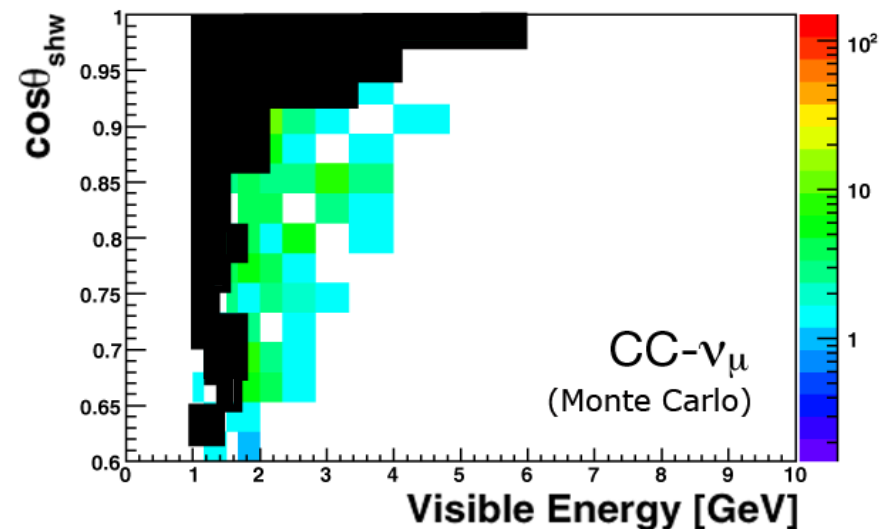
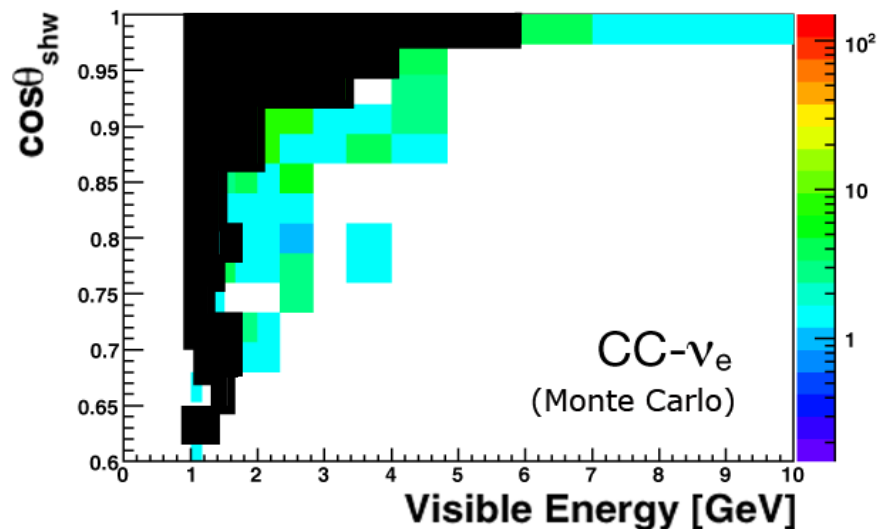
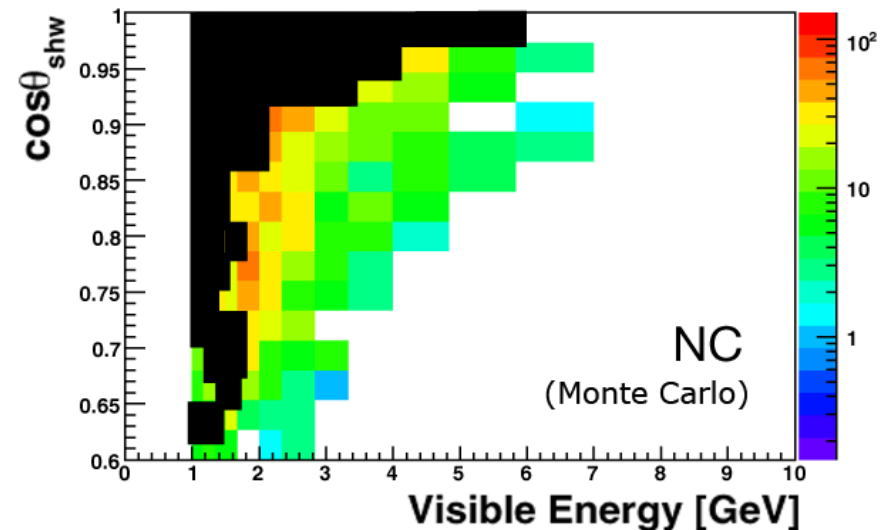
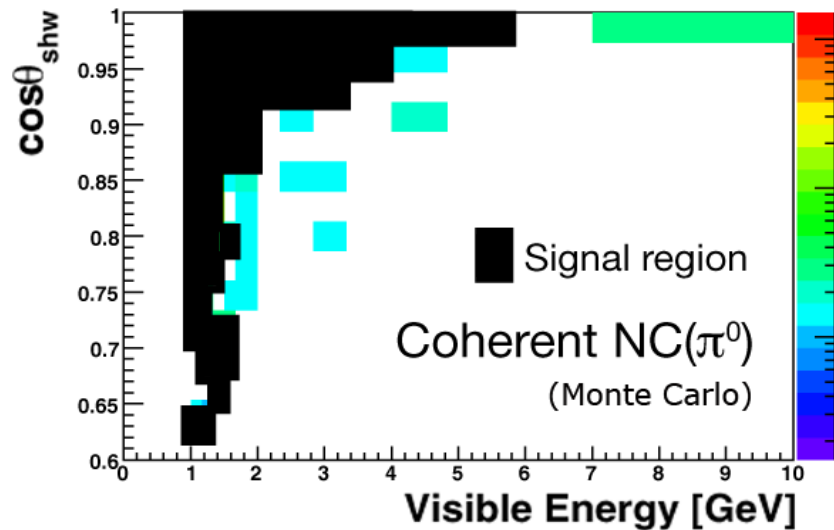
The Fitting Procedure

- Fit MC to the data
- Fit the sideband regions
- Fit Parameters - 5
 - Determined by systematics studies
 - Background template normalizations - 3
 - Background template shapes (systematics) - 2
- Extrapolate backgrounds into the signal region
- Signal = Data - Backgrounds
- Apply acceptance corrections

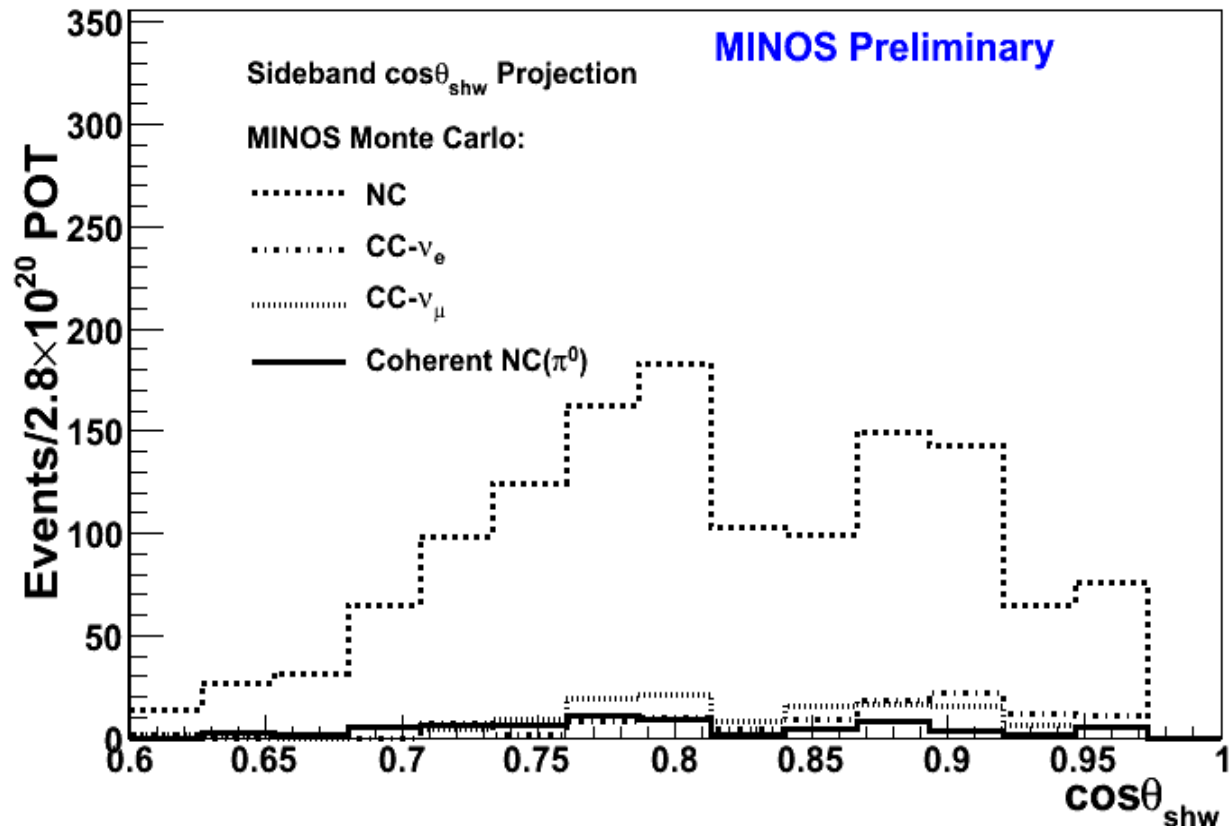
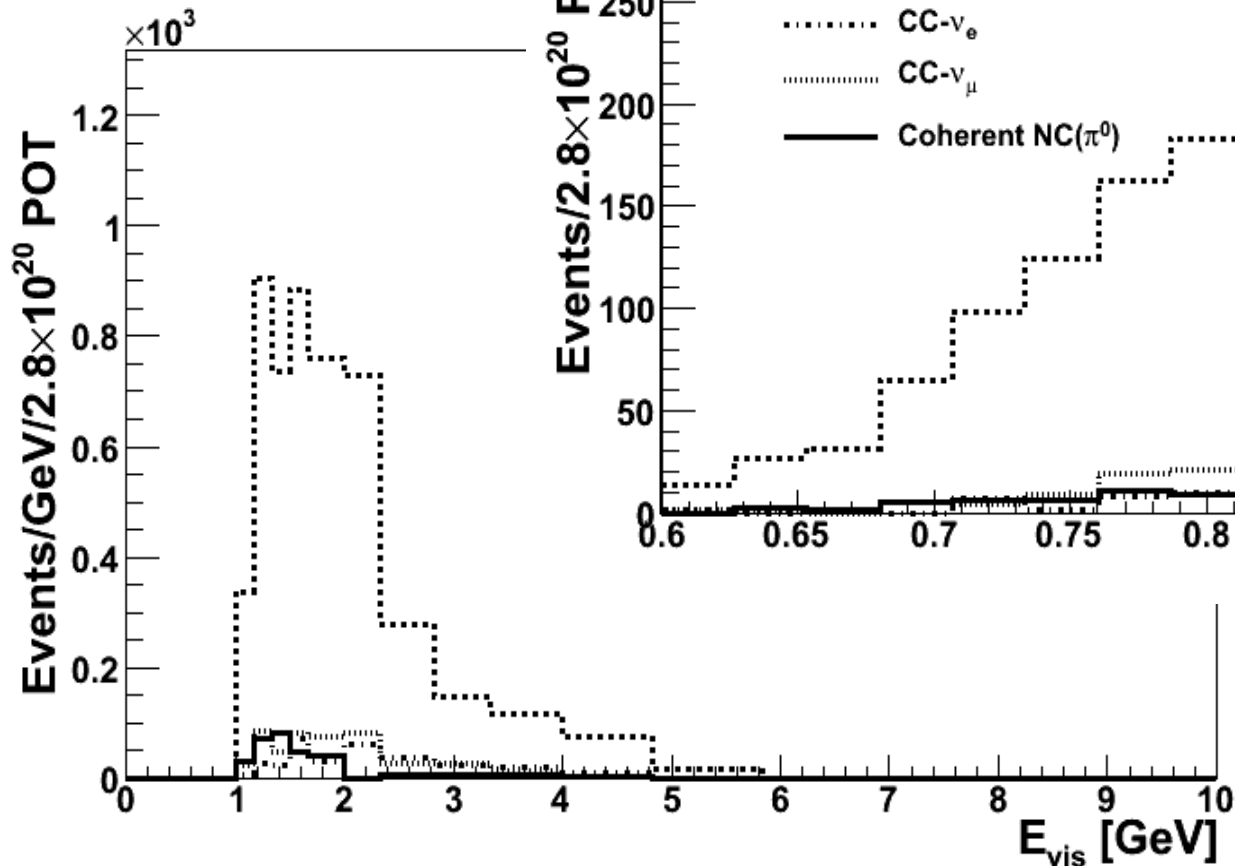


$\cos\theta_{\text{shw}}$ -vs- E_{vis}

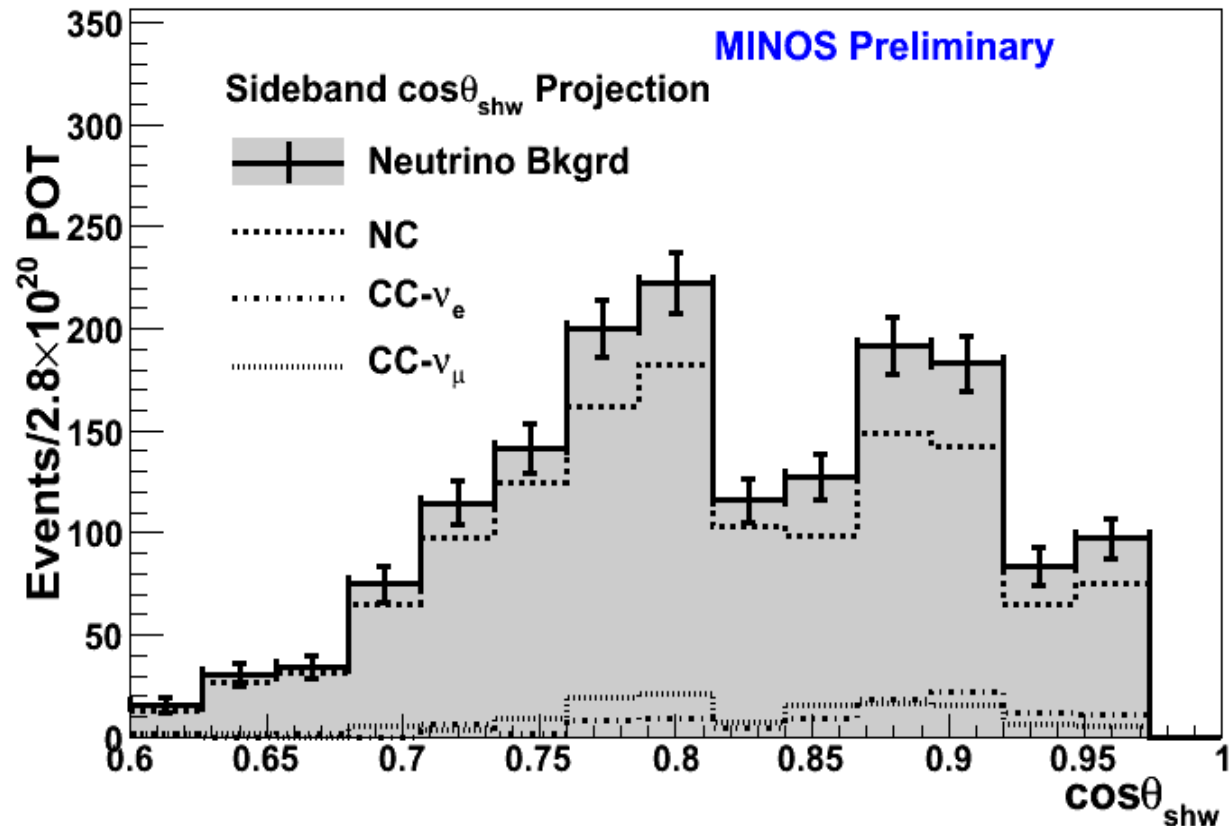
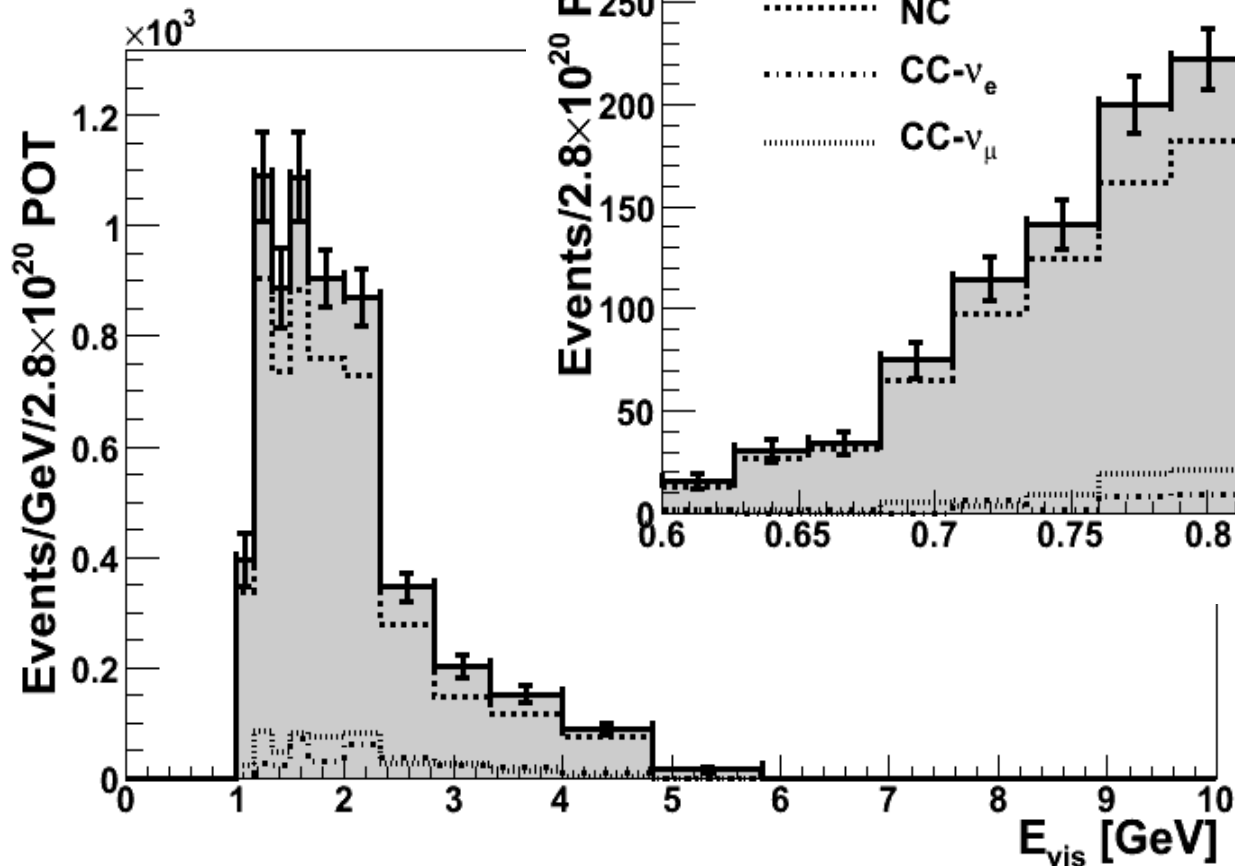
Sideband Region: Projections



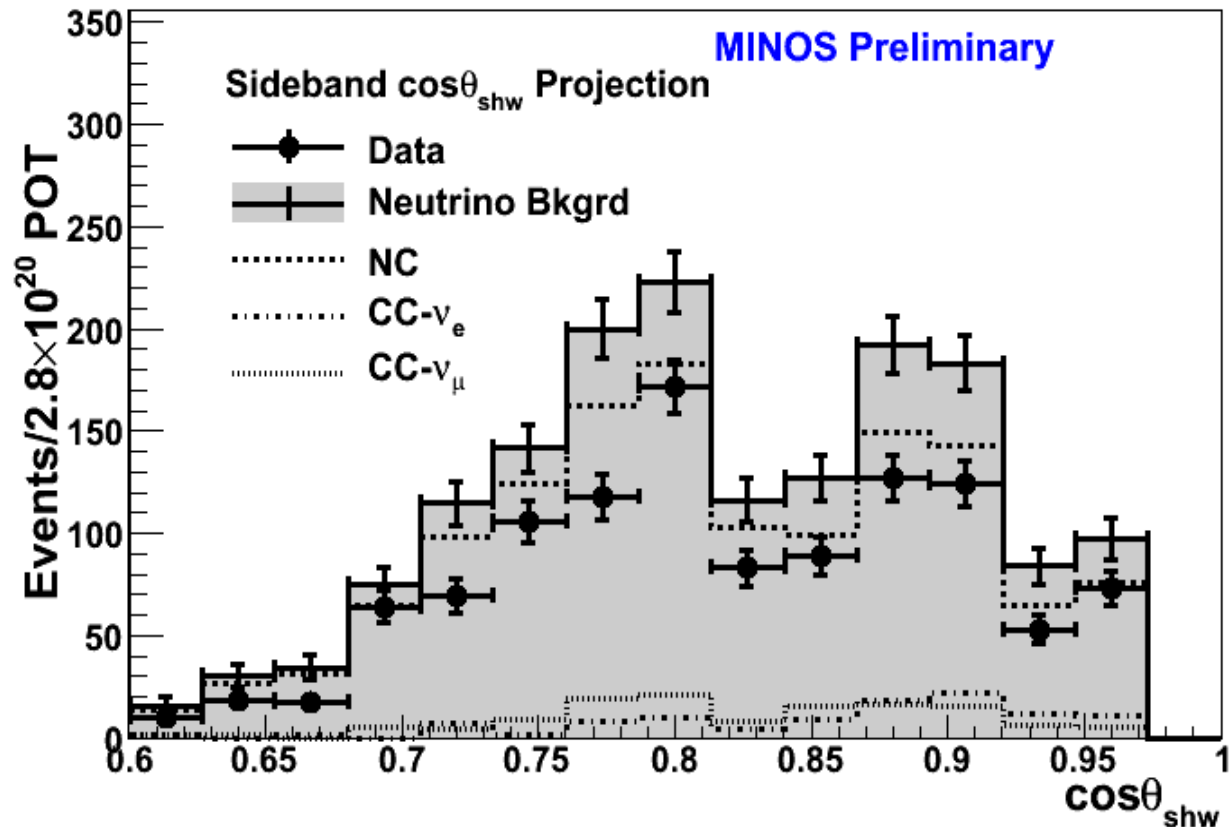
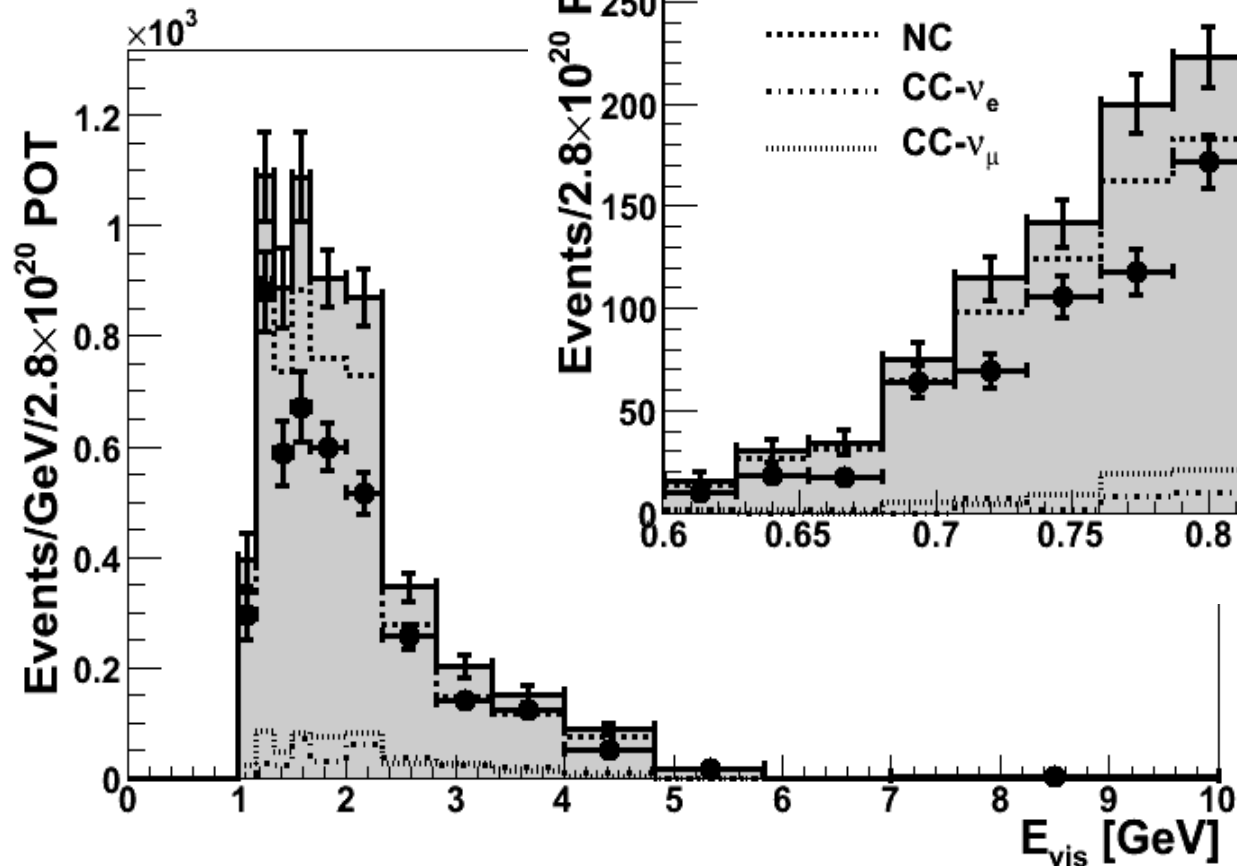
MC Sideband Projections



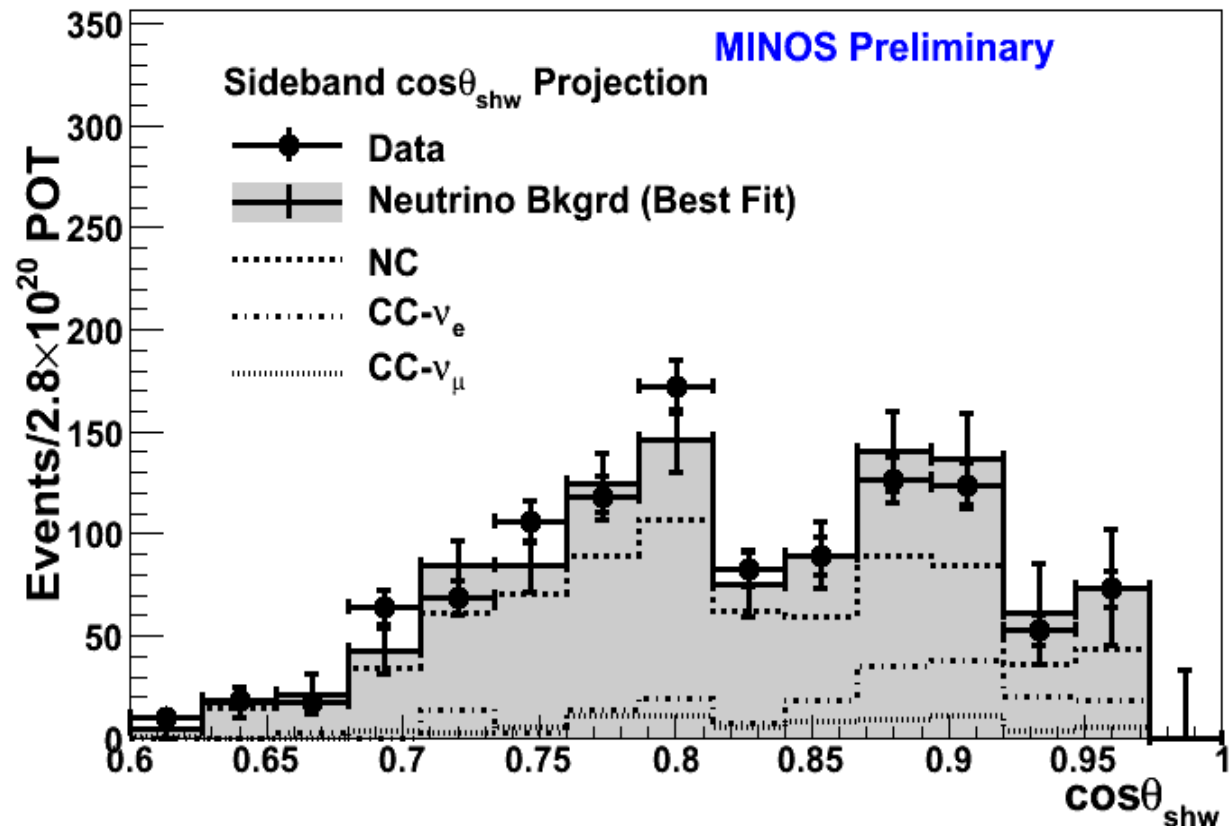
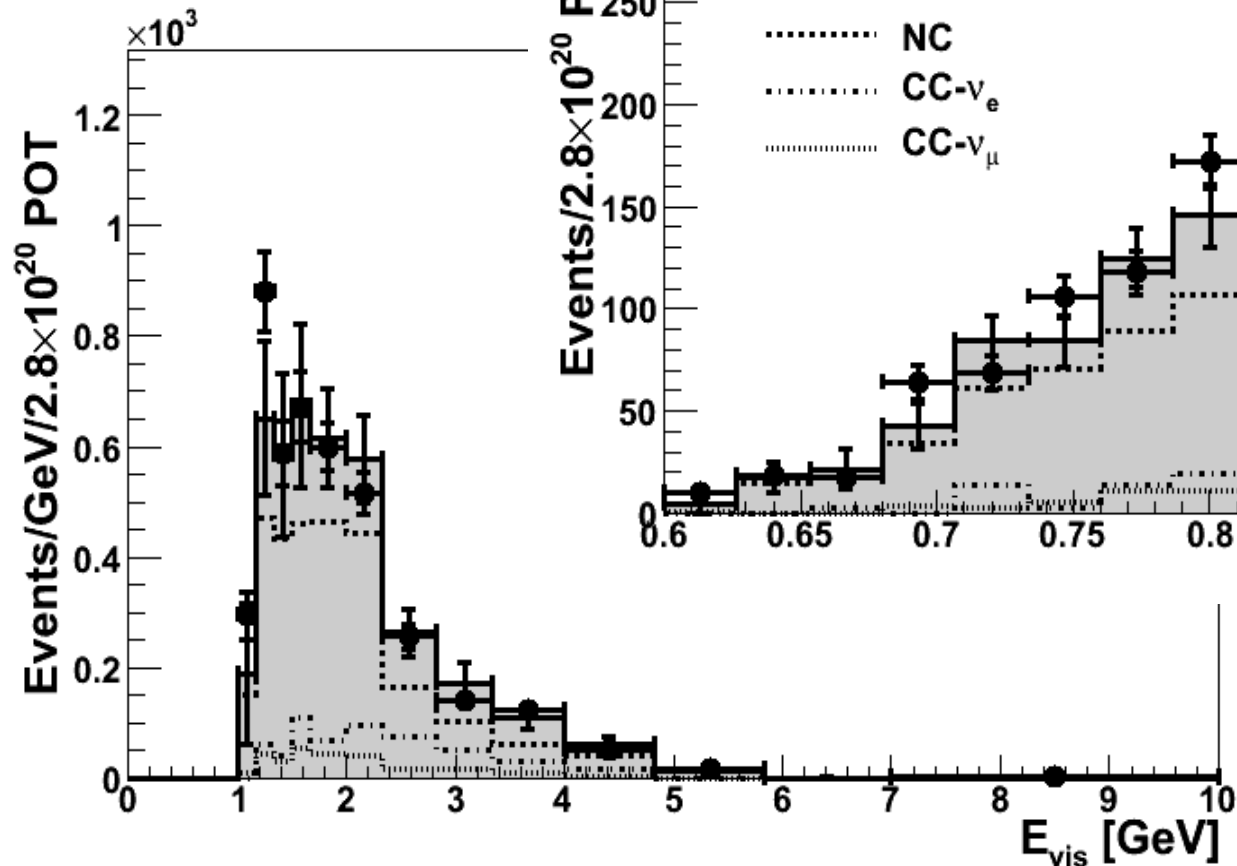
MC Sideband Projections (Sum)



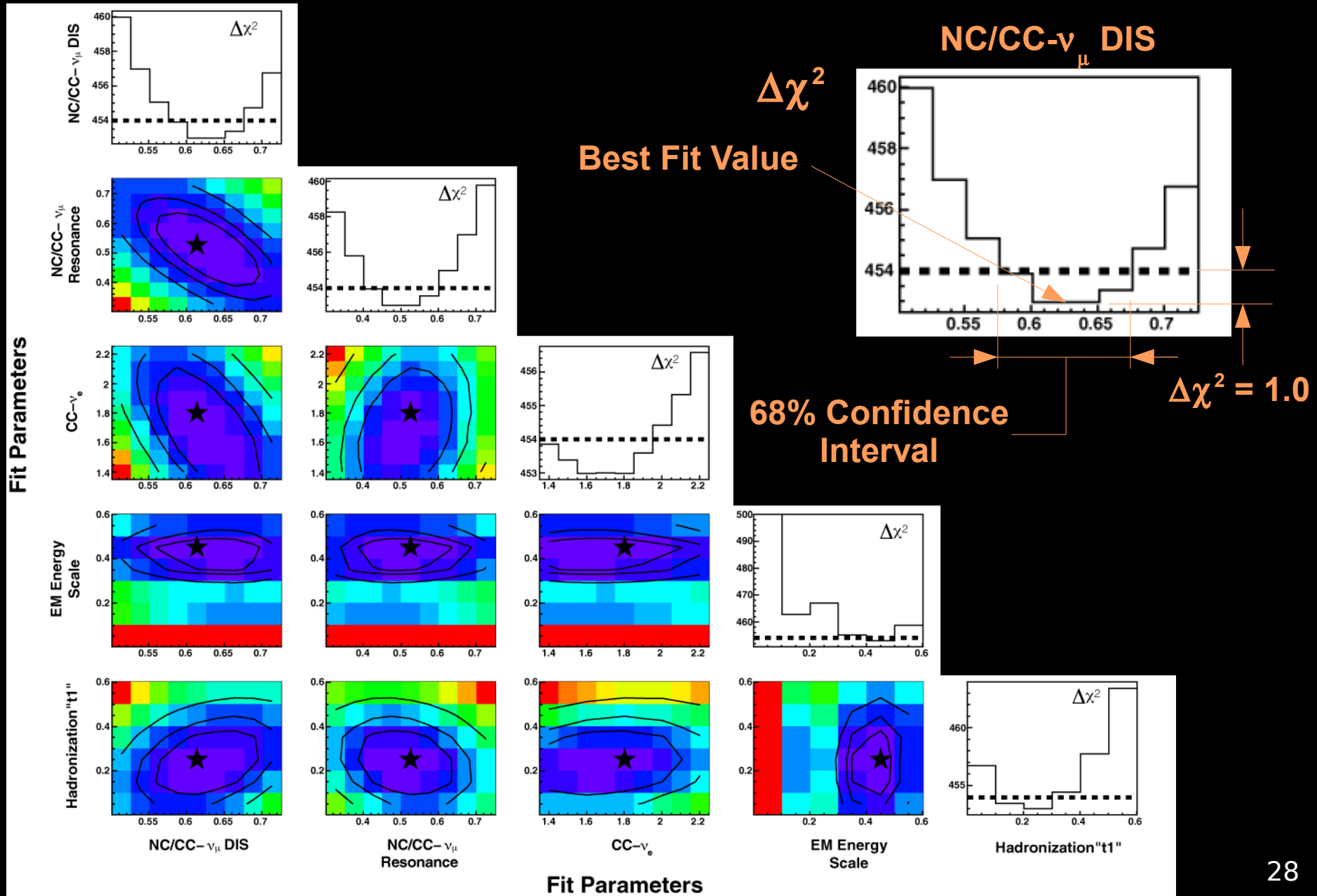
Data and MC in the Sidebands



Sidebands: MC Fit to the Data

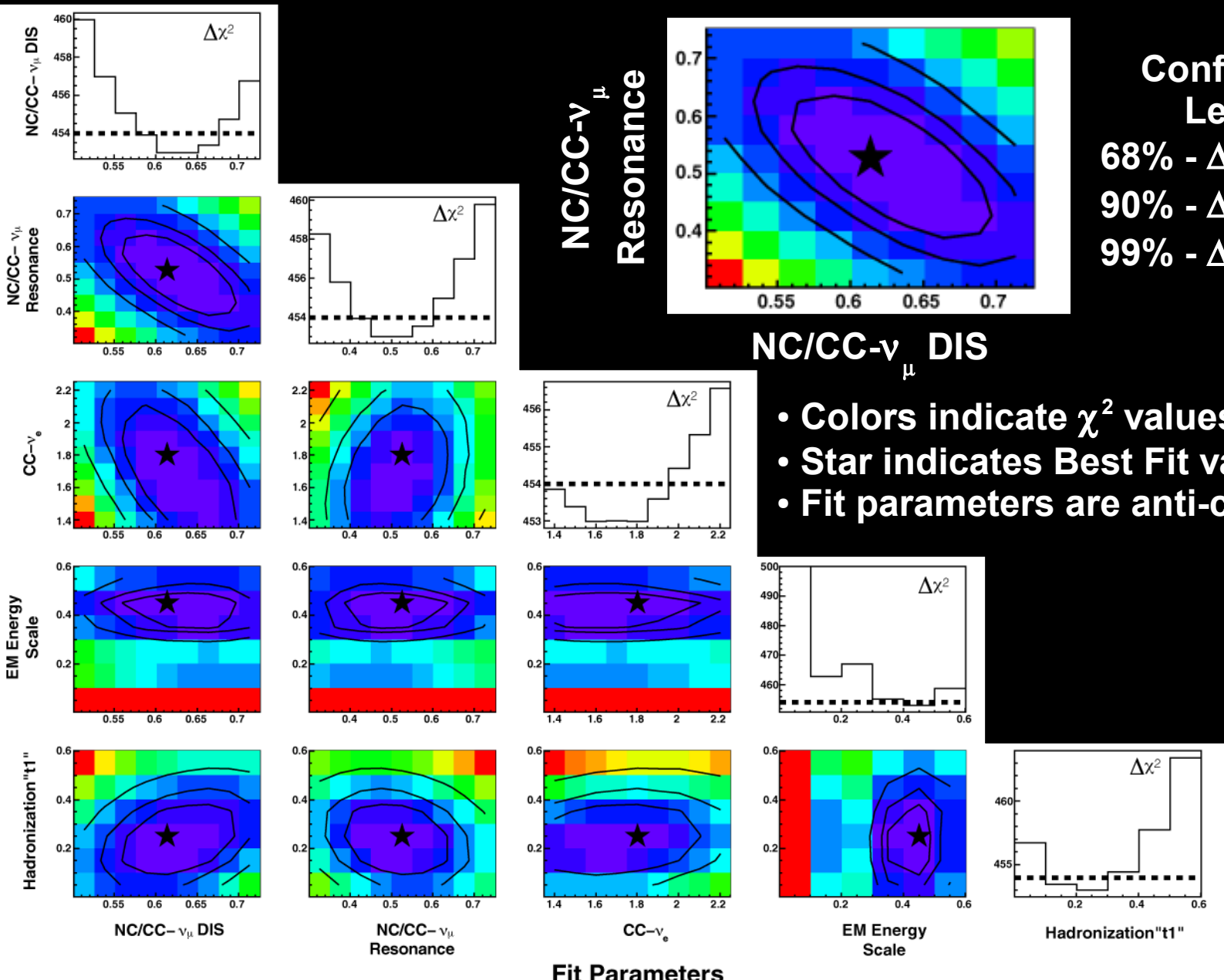


Fit Parameter $\Delta\chi^2$ s



Fit Parameter $\Delta\chi^2$ s

Fit Parameters



NC/CC- ν_{μ}
Resonance

NC/CC- ν_{μ} DIS

Confidence Levels

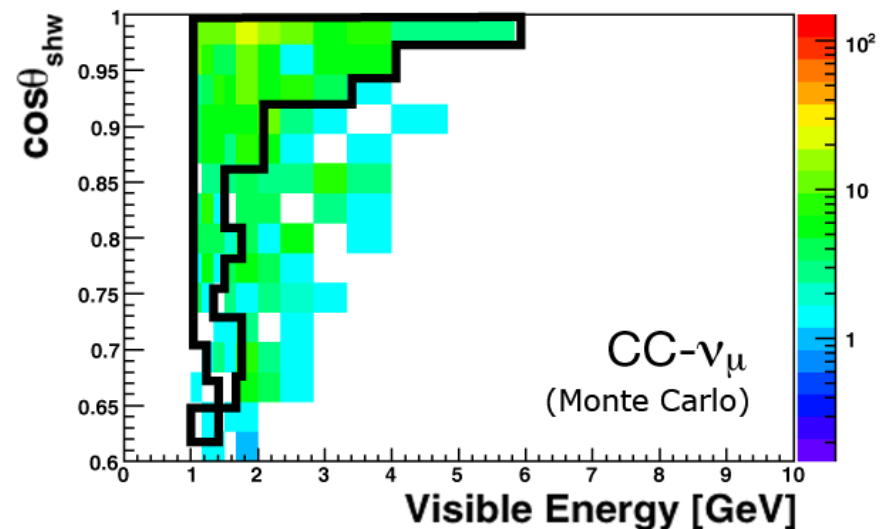
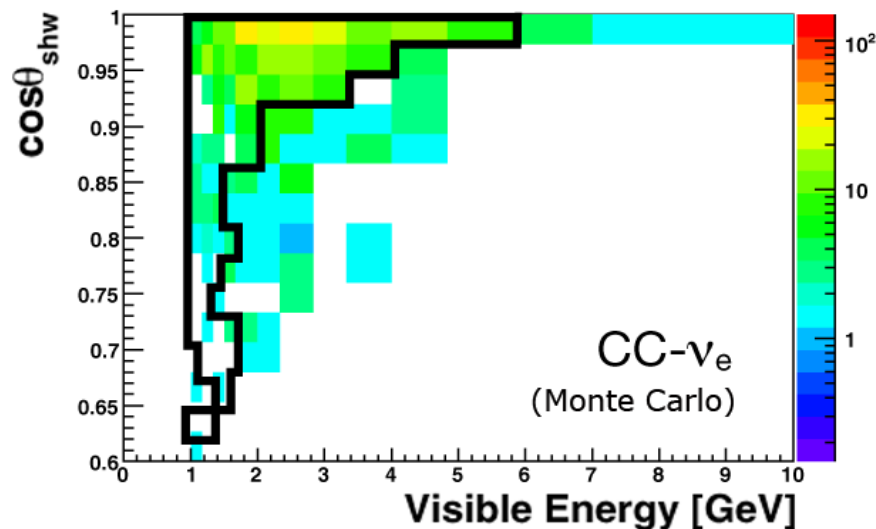
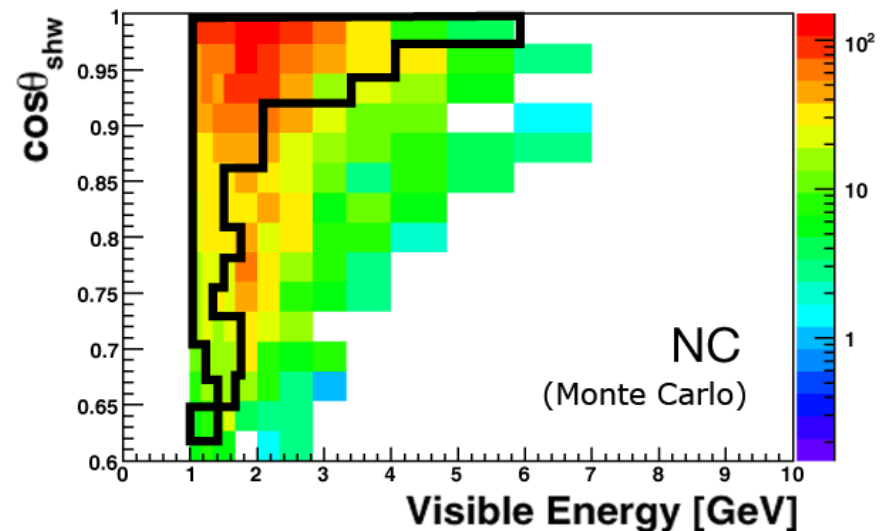
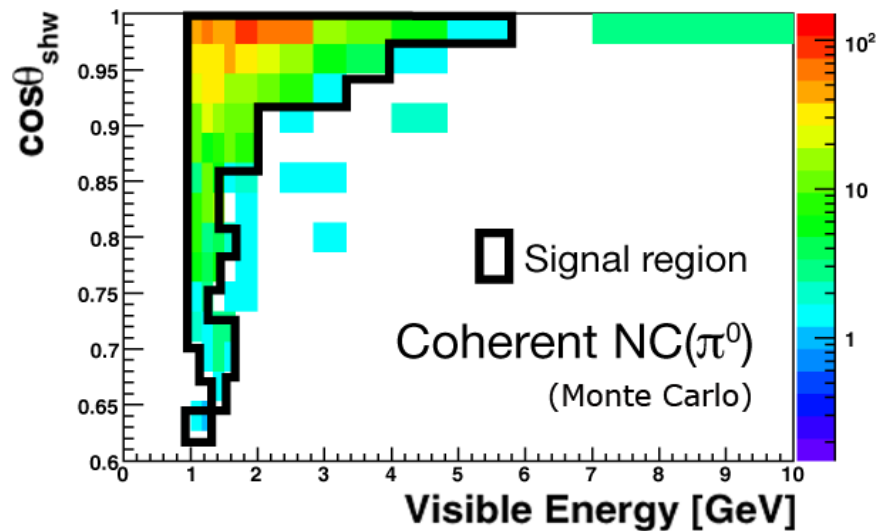
- 68% - $\Delta\chi^2 = 2.30$
- 90% - $\Delta\chi^2 = 4.61$
- 99% - $\Delta\chi^2 = 9.21$

- Colors indicate χ^2 values
- Star indicates Best Fit values
- Fit parameters are anti-correlated

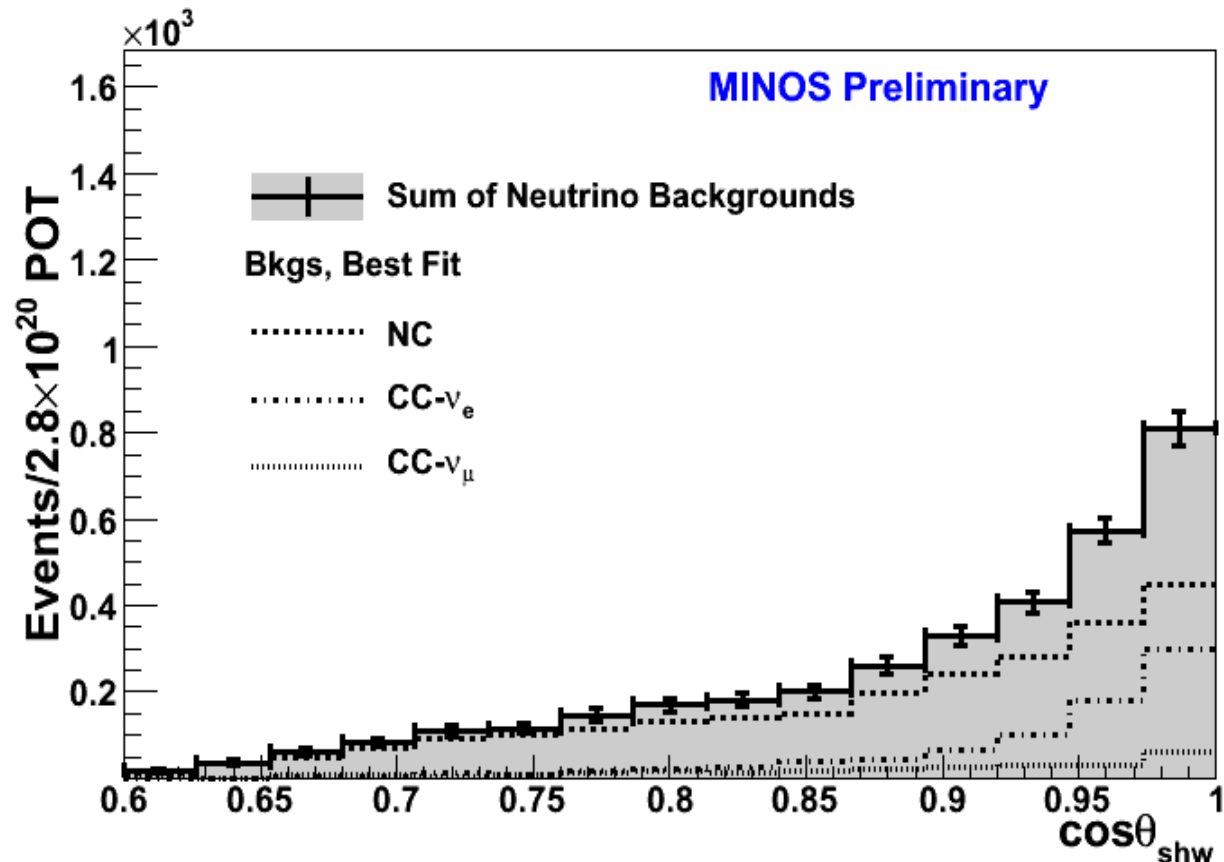
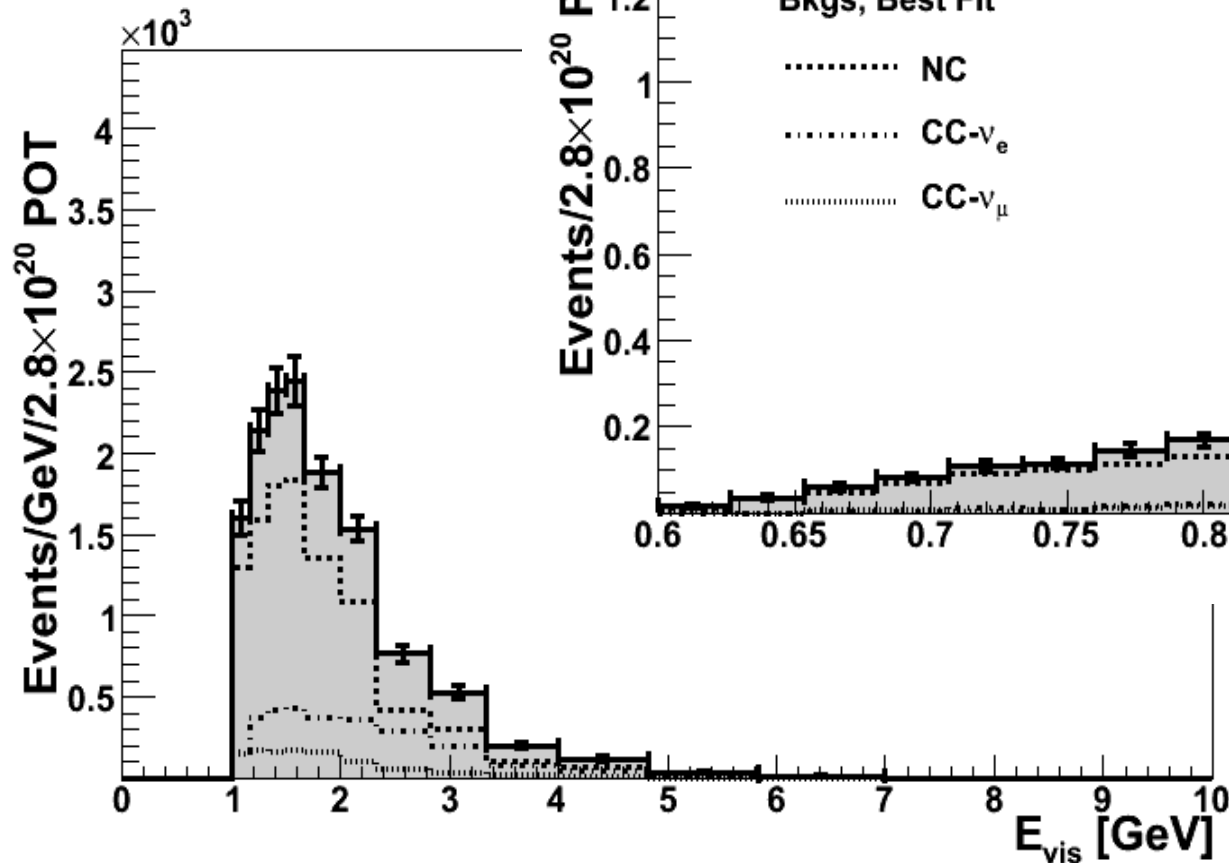
Fit Parameters

$\cos\theta$ -vs- E_{vis}

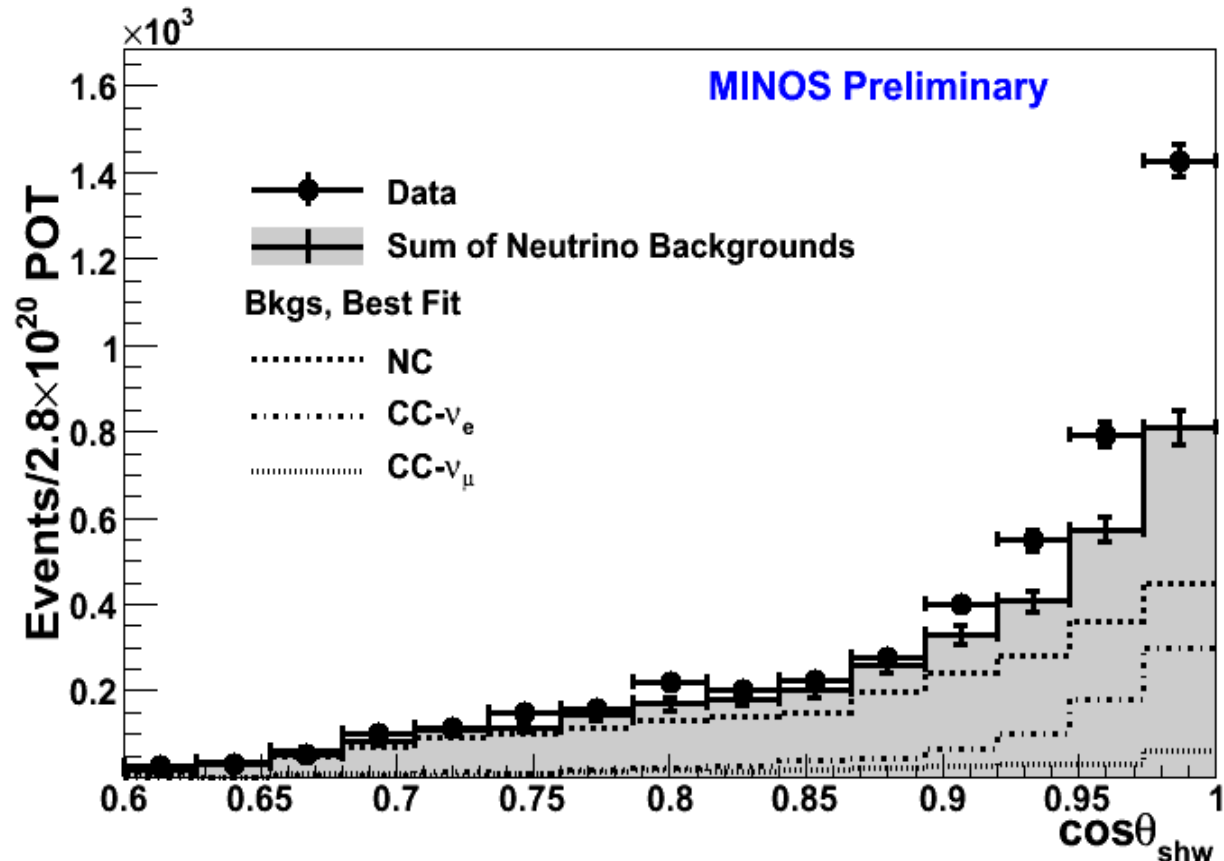
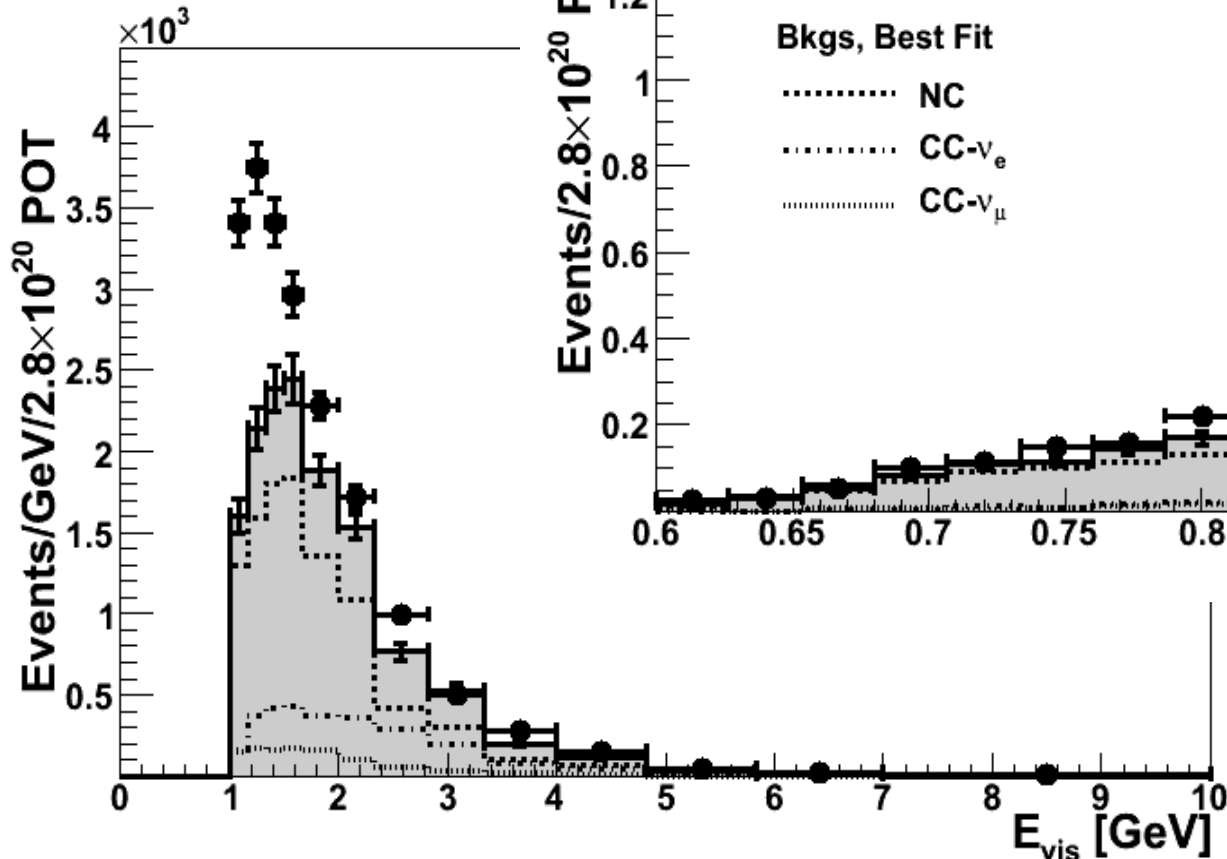
Extrapolate to the Signal Region



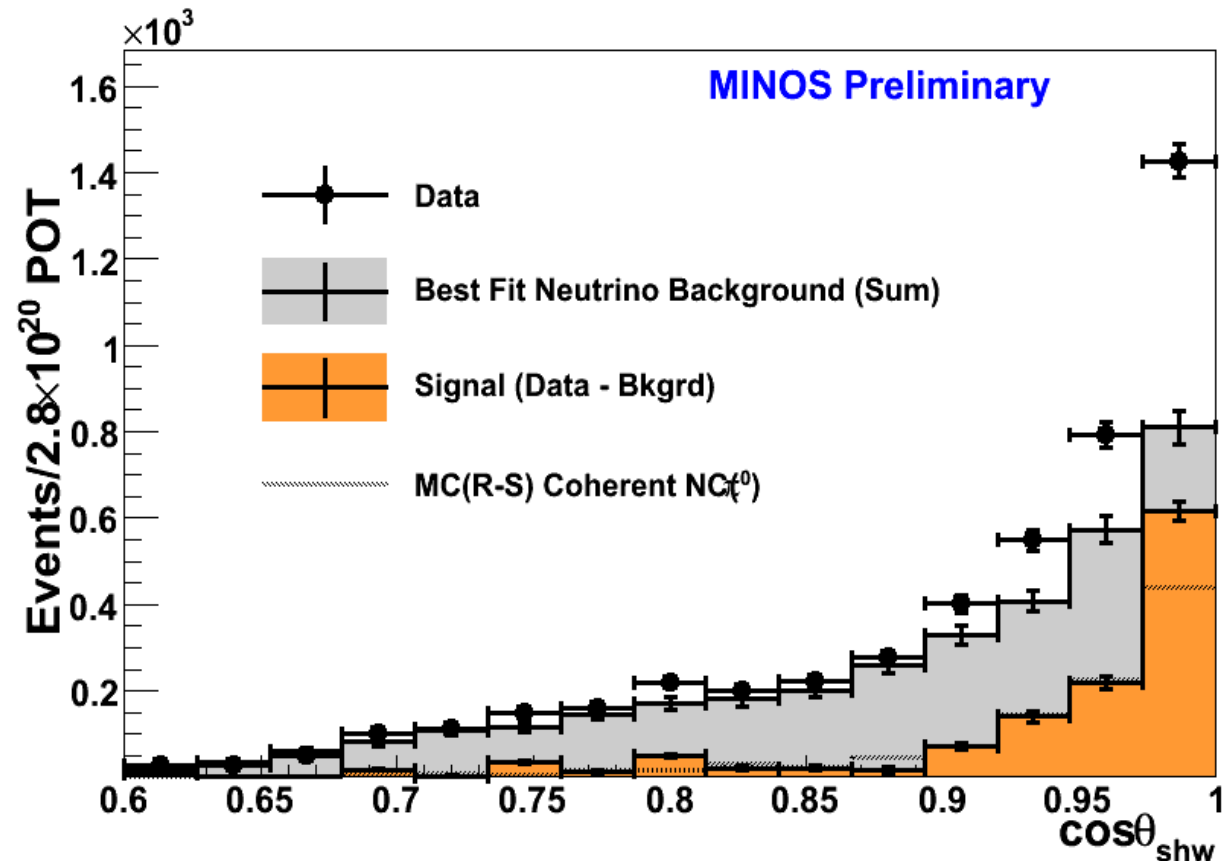
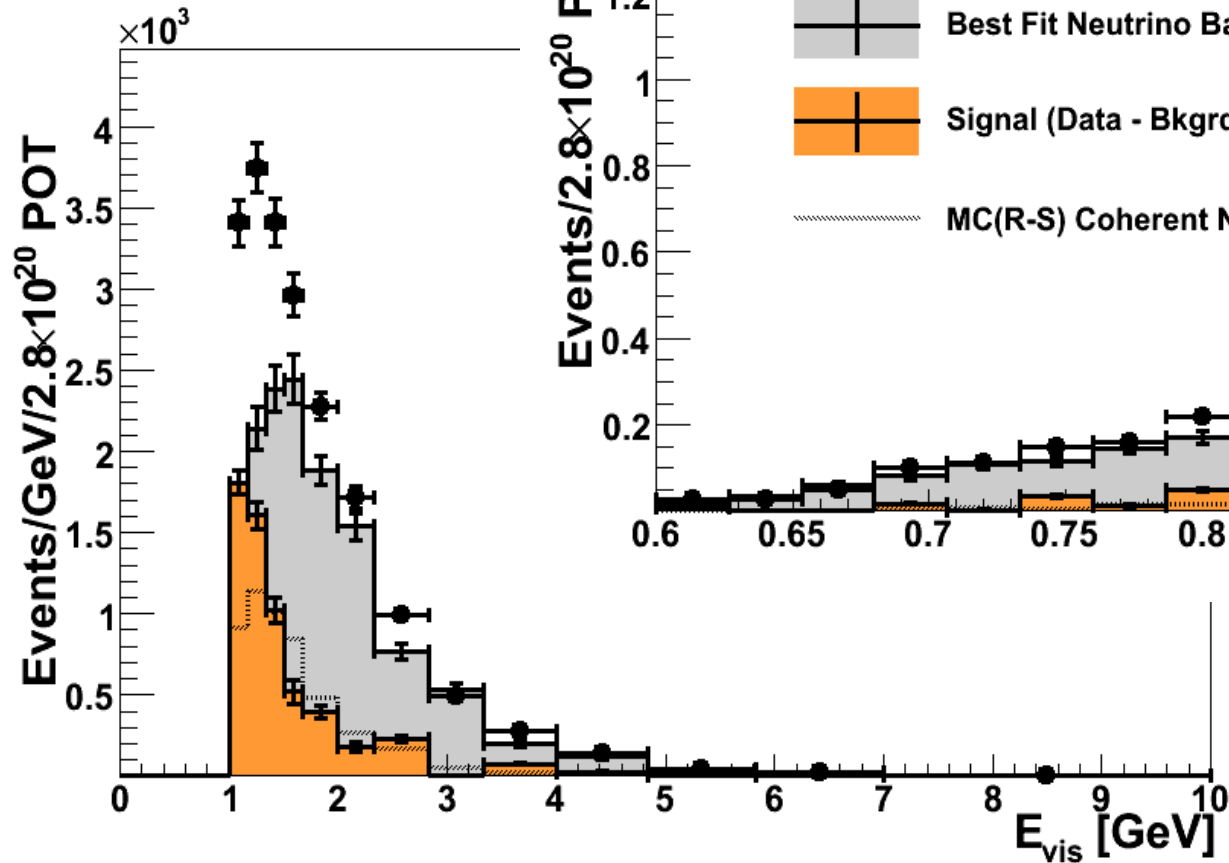
Best-Fit MC Backgrounds: Signal + Sideband



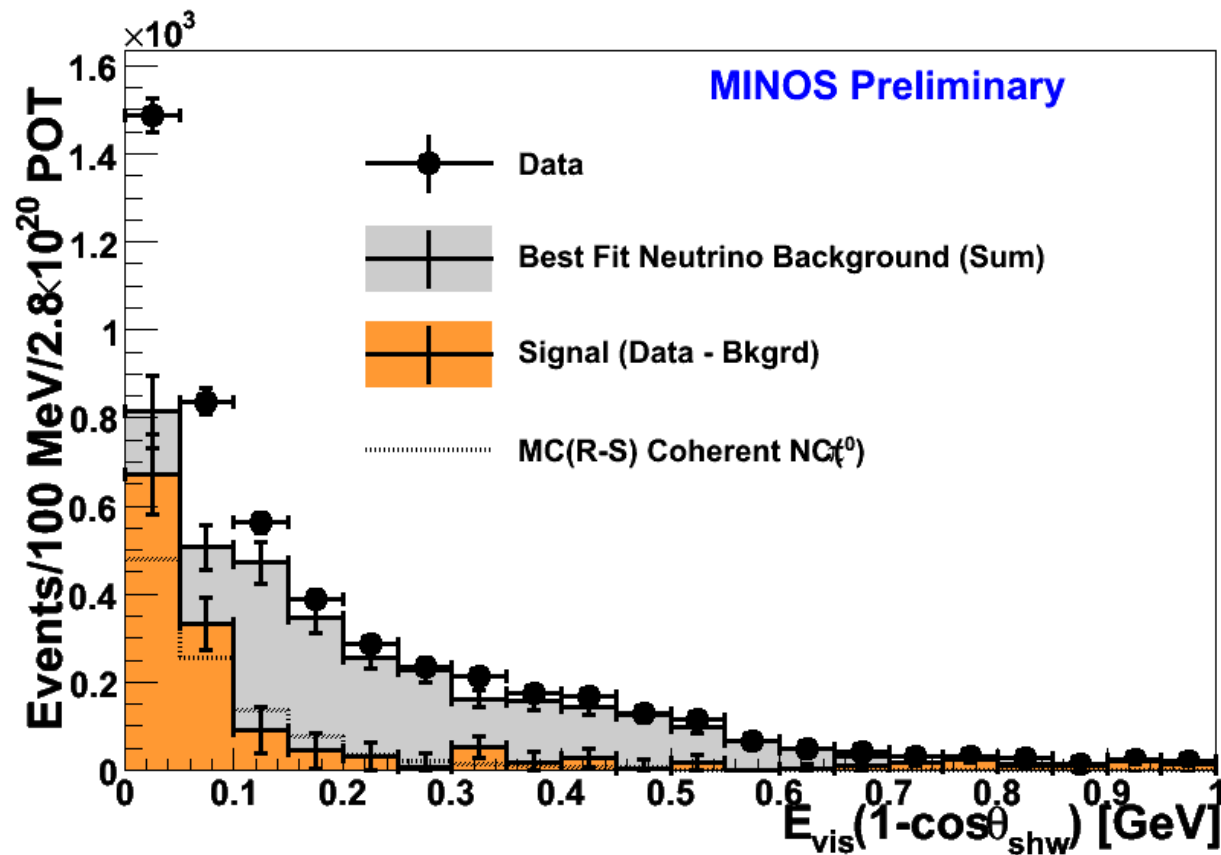
$$(\text{Data}) - (\text{Best-Fit MC}) = \text{Signal}$$



Measured Coherent NC(π^0) Event Rate



Measured Coherent NC(π^0) Event Rate: $\eta \equiv E_{\text{vis}} (1 - \cos\theta_{\text{shw}})$



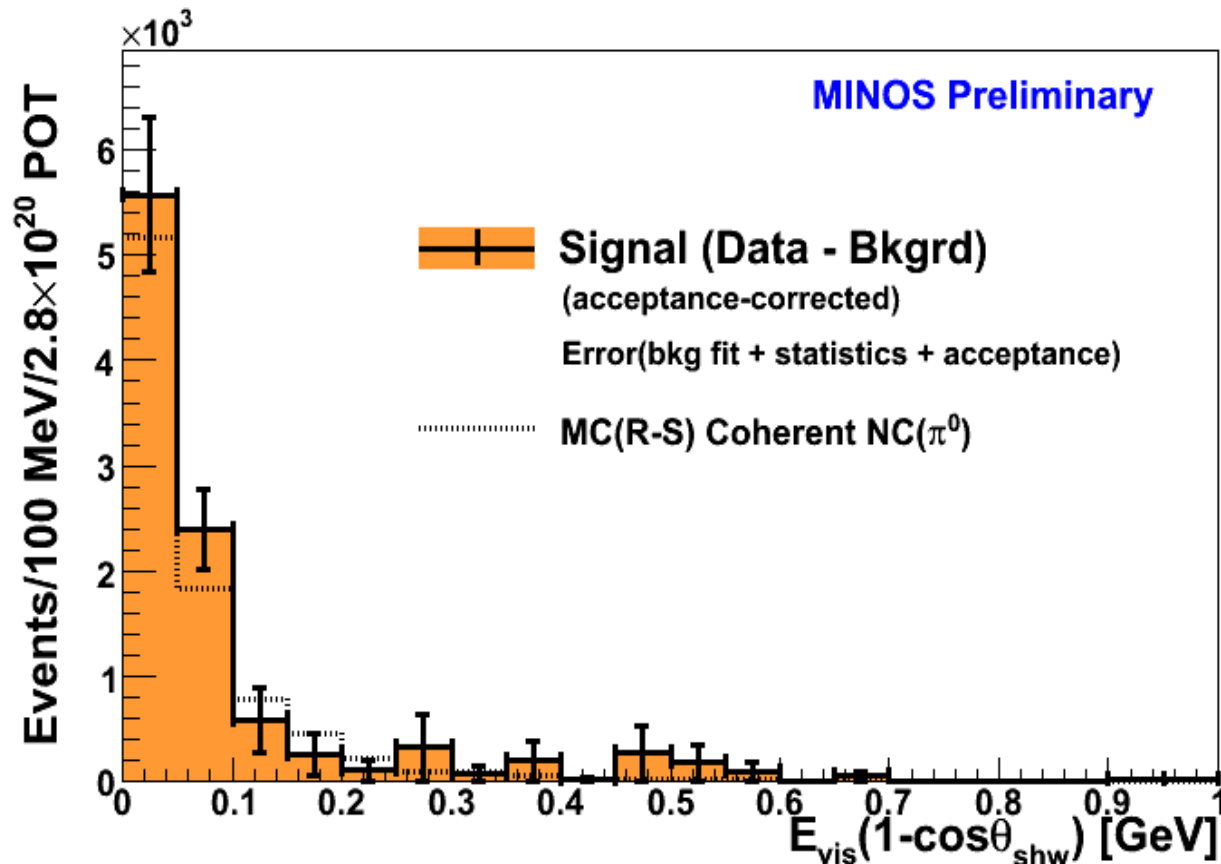
**Selected Coherent
NC(π^0) Event Rate:
1401±401 (29%)**

Error Bars:

- **Fit Errors – $\Delta\chi^2$
68% Confidence
Interval**
- **Statistical Error on
the data and MC**

Excess seen at low values of η

Acceptance Corrected Coherent NC(π^0) Event Rate



Total Coherent
NC(π^0) Event Rate:
9241 \pm 2832 (29%)

Error Bars:

- Fit Errors – $\Delta\chi^2$
68% Confidence Interval
- Statistical Error on the data and MC
- Bin-by-bin
Acceptance
Correction Errors

No acceptance correction for events with $E_{\pi} < 1.0$ GeV

Accounting for Systematic Errors

Sources of Systematic Error

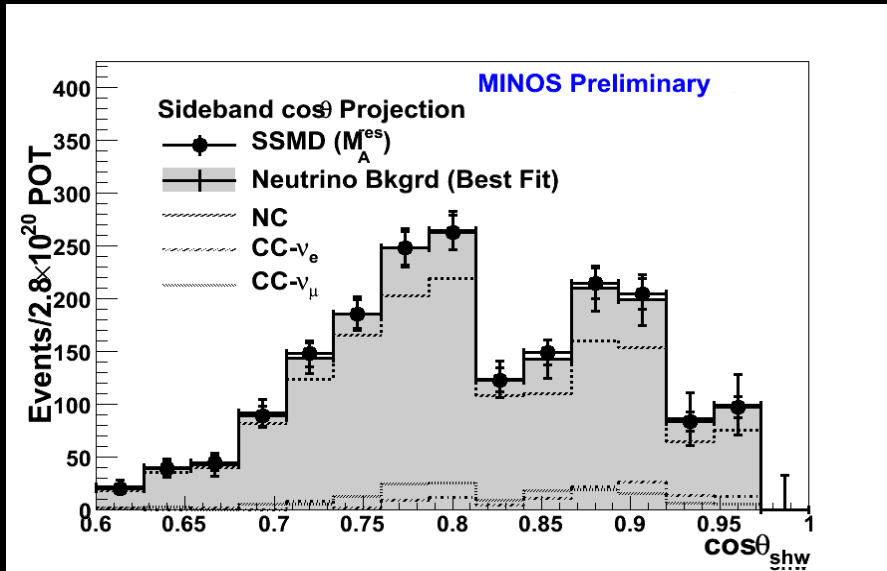
- Hadronization Model
- Cross Section Models
- Intranuclear Rescattering Model
- Detector Calibration
- NuMI ν Flux

Single Systematic Mock Data (SSMD)

- Purpose:
 - Optimize fits
 - Understand systematics
- Method:
 - Use Reweighted MC as data
 - Fit using 3 norm. fit params.
 - Analyze fit results

Single Systematic Mock Data Studies: Two Extremes

Axial Mass (res)

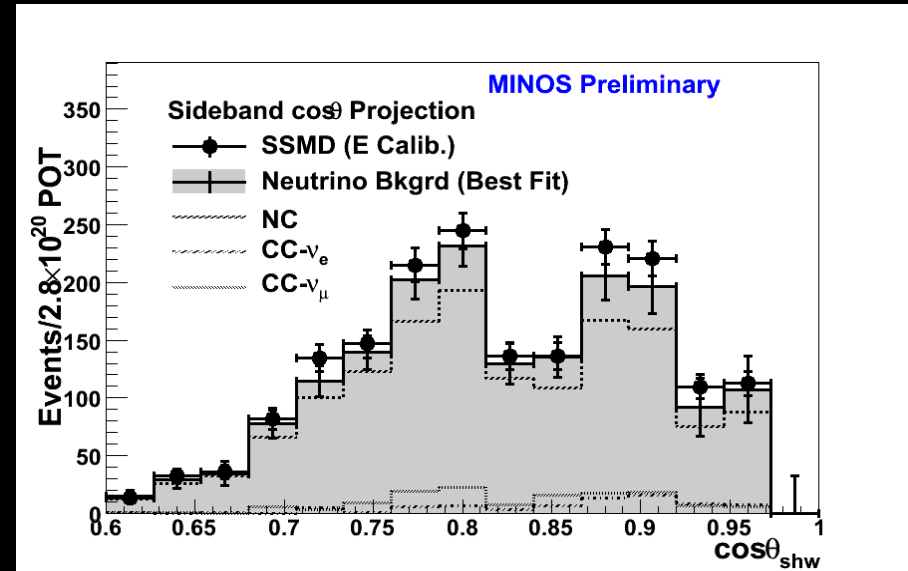


$$\chi^2/ndf = 0.02$$

$$N_{fit} = 6696$$

$$N_{MC} = 7971$$

Changes to $\cos\theta$ -vs- E_{vis} distributions accounted for by background template normalizations.



$$\chi^2/ndf = 3.34$$

$$N_{fit} = 9645$$

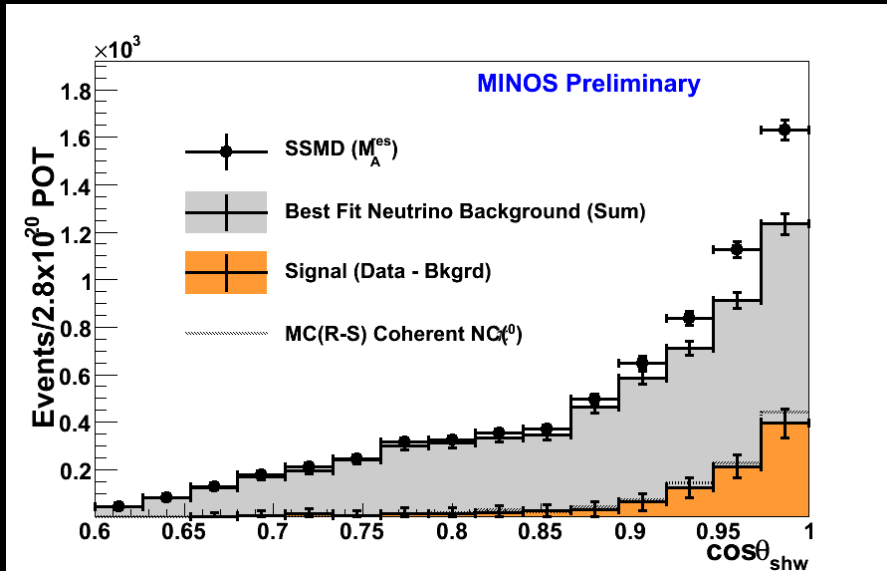
$$N_{MC} = 7971$$

Causes shape changes to the $\cos\theta$ -vs- E_{vis} distributions requires additional fit parameter.

EM Energy Scale

Single Systematic Mock Data Studies: Two Extremes

Axial Mass (res)

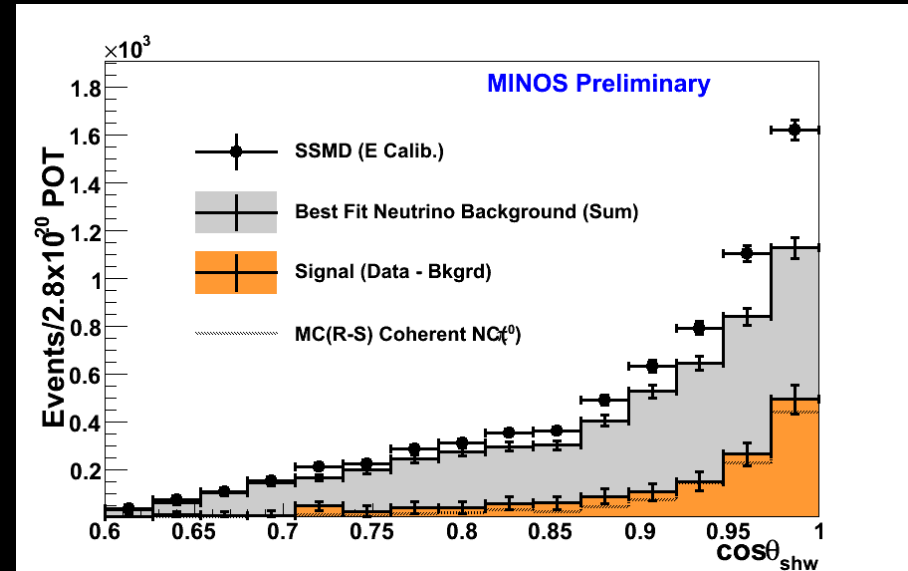


$$\chi^2/ndf = 0.02$$

$$N_{fit} = 6696$$

$$N_{MC} = 7971$$

Changes to $\cos\theta$ -vs- E_{vis} distributions accounted for by background template normalizations.



$$\chi^2/ndf = 3.34$$

$$N_{fit} = 9645$$

$$N_{MC} = 7971$$

Causes shape changes to the $\cos\theta$ -vs- E_{vis} distributions requires additional fit parameter.

EM Energy Scale

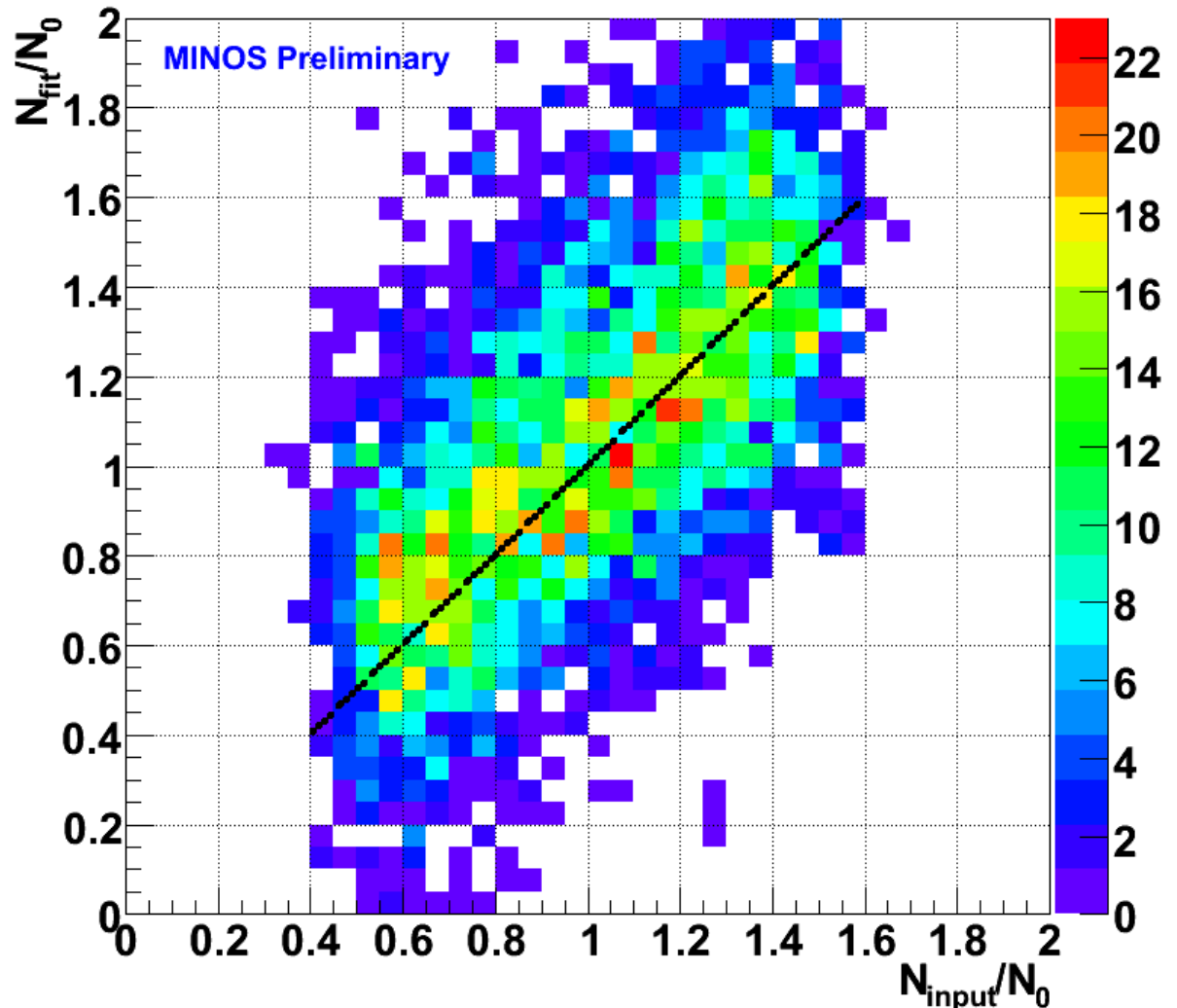
Measurement Sensitivity: Mock Data Studies

Random fluctuations of:

- The coherent $\text{NC}(\pi^0)$ event rate (N_{input})
- 22 systematic error sources
- Bin counts (Poisson statistics)

Measured number of coherent $\text{NC}(\pi^0)$ events (N_{fit})

Coherent $\text{NC}(\pi^0)$ events in the MC (N_0)



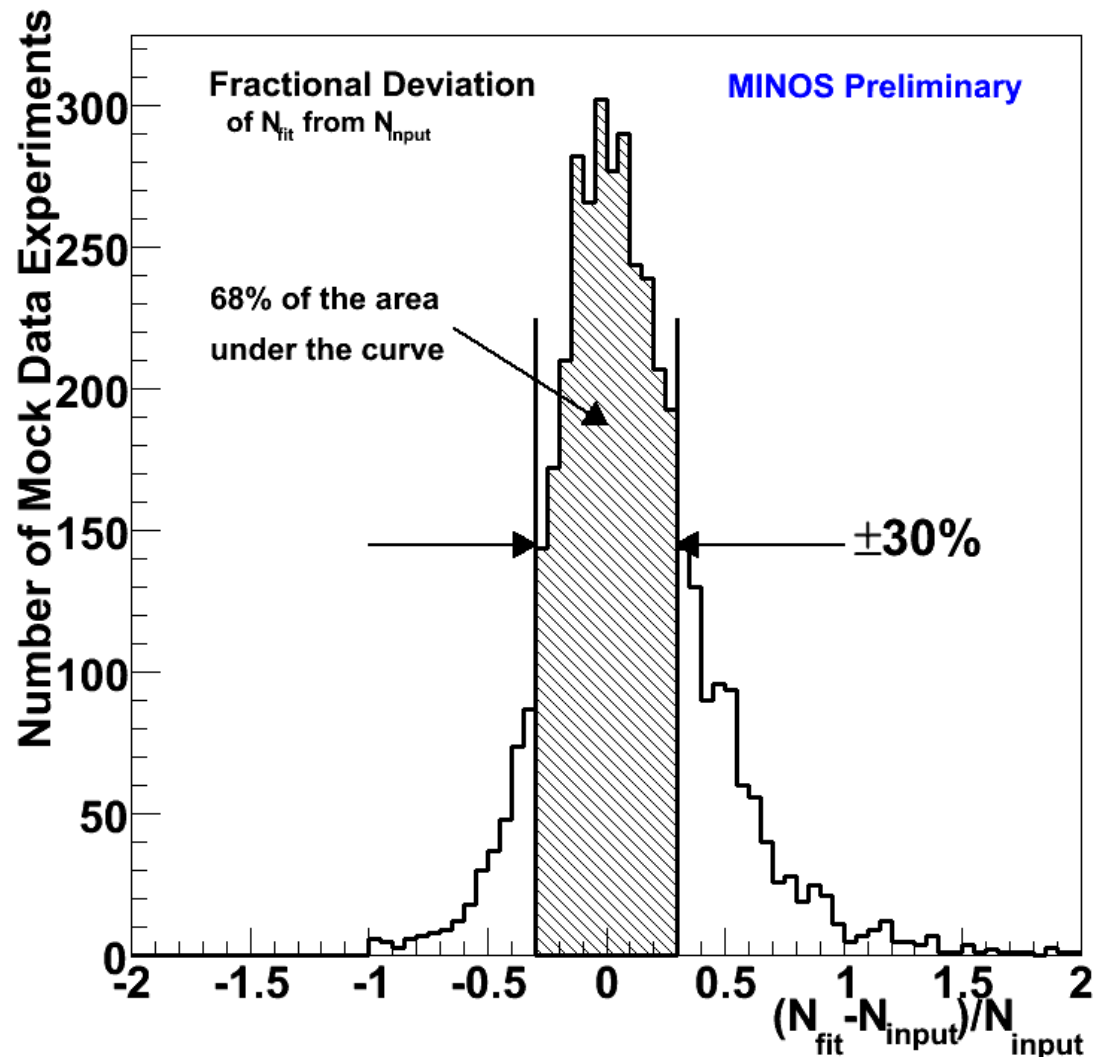
Measurement Sensitivity: Mock Data Studies

Fractional Deviation (f) of N_{fit} from N_{input}

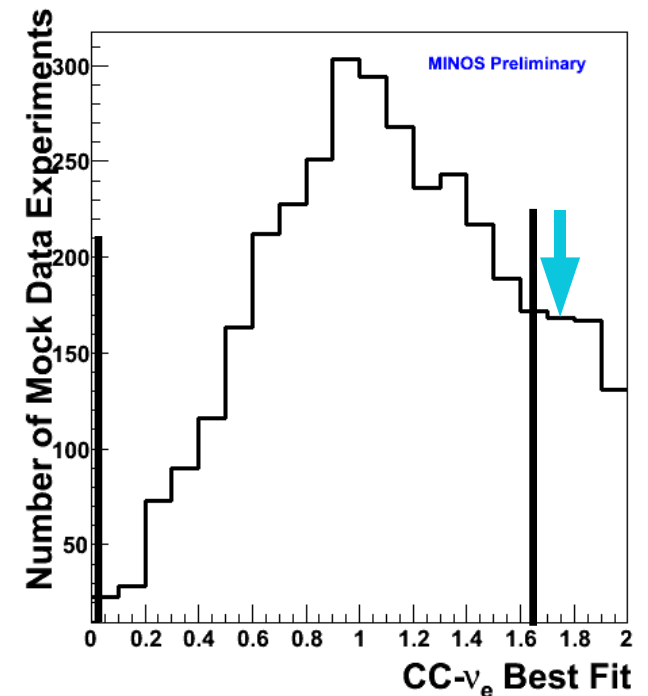
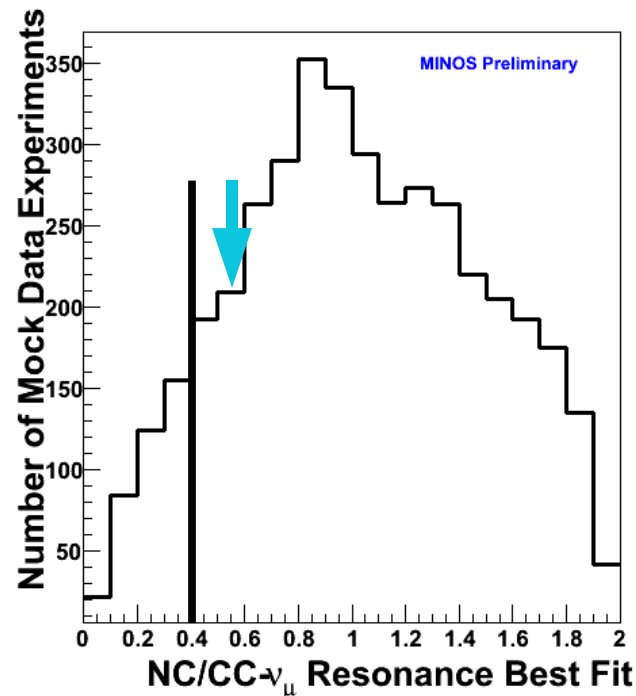
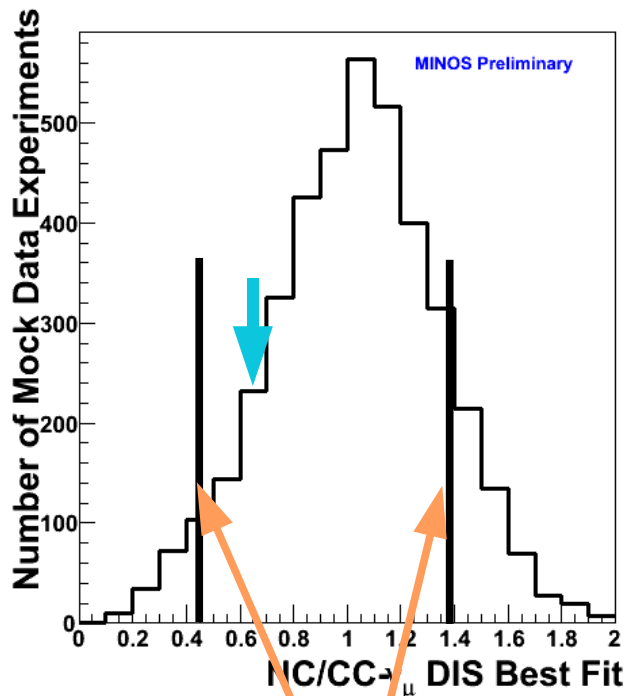
$$f = \frac{N_{\text{fit}} - N_{\text{input}}}{N_{\text{input}}}$$

Width of f used to determine the experimental error from:

- Statistical fluctuations
- Systematic error sources



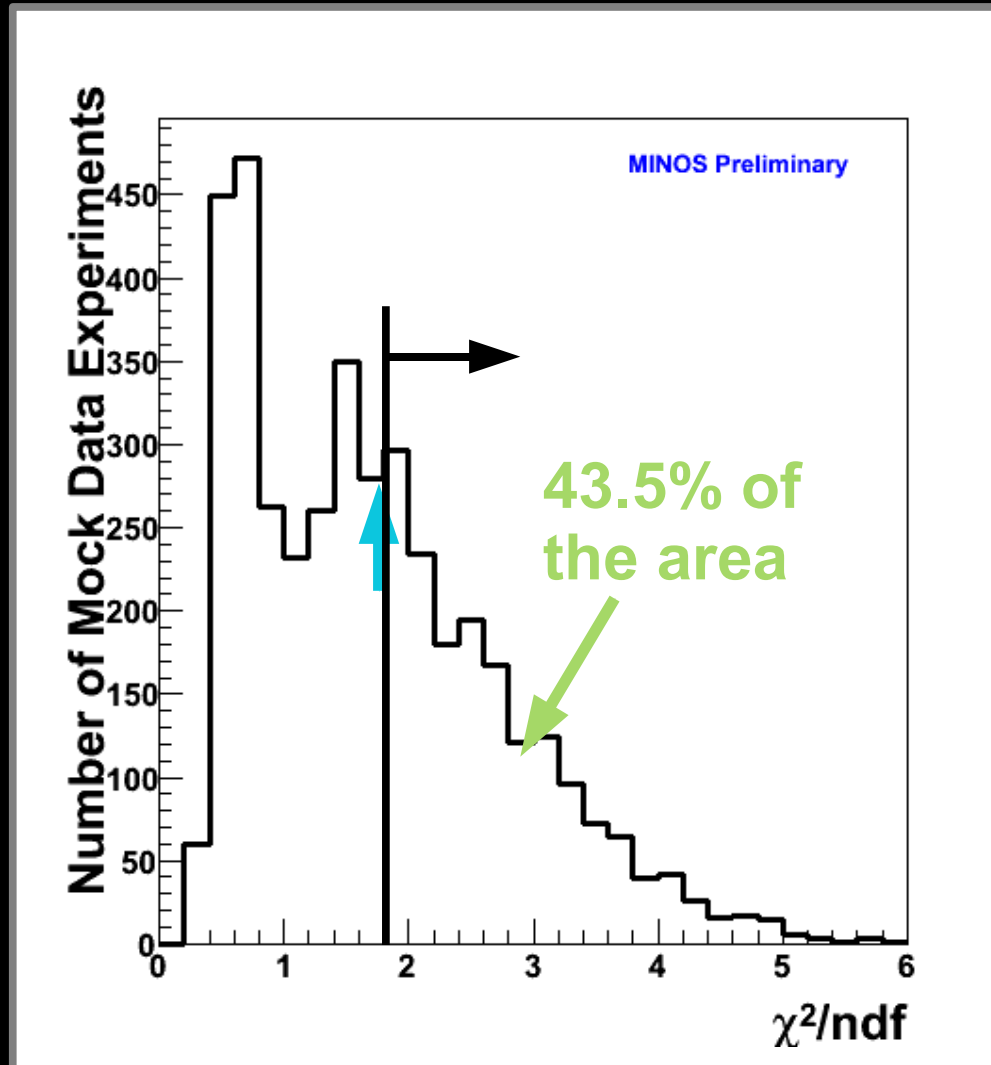
Mock Data Studies



One σ limits on fit parameters

- Based on Systematic Error Studies
- Used in the penalty terms of the fits

Mock Data Studies



The Coherent NC(π^0) Cross Section ($E_\pi > 1.0$ GeV)

- \mathcal{E} = Neutrino exposure [PoT]
- \mathcal{M}_T = Target mass [nuclei]
- Φ = Integrated flux [$\nu/\text{cm}^2/\text{PoT}$]

$$\sigma = \frac{N}{\mathcal{E} \mathcal{M}_T \Phi}$$

- $\mathcal{E} = (2.8 \pm 0.028) \times 10^{20}$ PoT
- $\mathcal{M}_T = (3.57 \pm 0.001) \times 10^{29}$ nuclei
- $\Phi = (2.93 \pm 0.23) \times 10^{-8}$ $\nu/\text{cm}^2/\text{PoT}$

- **Detector Makeup**
 - ~80% Fe^{56}
 - ~20% C^{12}
- **Avg Nucleus = 48**

$$\sigma = \frac{9241}{2.8 \times 3.57 \times 2.93 \times 10^{41}}$$

The Coherent NC(π^0) Cross Section ($E_\pi > 1.0$ GeV)

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- $\Phi = (2.93 \pm 0.23) \times 10^{-8}$ $\nu/\text{cm}^2/\text{PoT}$

- **Detector Makeup**
 - ~80% Fe^{56}
 - ~20% C^{12}
- **Avg Nucleus = 48**

$$\sigma = (31.6 \pm 1.0) \times 10^{-41} \frac{\text{cm}^2}{\text{Nucl.} (A = 48)}$$

The Coherent NC(π^0) Cross Section – on Fe⁵⁶

- NEUGEN3 Cross section ratio
- ~90.6% of events occur on Fe⁵⁶
- Additional 20% uncertainty

- $\mathcal{E} = (2.8 \pm 0.028) \times 10^{20}$ PoT
- $\mathcal{M}_T = (2.89 \pm 0.001) \times 10^{29}$ Fe⁵⁶ nuclei
- $\Phi = (2.93 \pm 0.23) \times 10^{-8}$ v/cm²/PoT

$$\sigma = \frac{N}{\mathcal{E} \mathcal{M}_T \Phi}$$

8372

**Coherent NC(π^0)
Events on Fe⁵⁶**

$$\sigma = (35.3 \pm 12.4) \times 10^{-40} \frac{\text{cm}^2}{\text{Fe}^{56} \text{ Nucleus}}$$

The Coherent NC(π^0) Cross Section - on C¹²

- NEUGEN3 Cross section ratio
- ~9.4% of events occur on C¹²
- Additional 20% uncertainty
- $\mathcal{E} = (2.8 \pm 0.028) \times 10^{20}$ PoT
- $\mathcal{M}_T = (6.57 \pm 0.001) \times 10^{28}$ C¹² nuclei
- $\Phi = (2.93 \pm 0.23) \times 10^{-8}$ v/cm²/PoT

$$\sigma = \frac{N}{\mathcal{E} \mathcal{M}_T \Phi}$$

869

**Coherent NC(π^0)
Events on C¹²**

$$\sigma = (16.1 \pm 8.5) \times 10^{-40} \frac{\text{cm}^2}{\text{C}^{12} \text{ Nucleus}}$$

Cross Section on Fe^{56} and C^{12}

Experiment	A	Minimum π^0 Energy $E_{\pi^0}^{min}$	Number of Coherent NC(π^0) Interactions	Coherent Cross Section σ^{coh}	Total Fractional Uncertainty	Rein-Sehgal (NEUGEN3) Cross Section
	[amu]	[GeV]		[$10^{-40}\text{cm}^2/A$]	[%]	[$10^{-40}\text{cm}^2/A$]
MINOS	48	1.0	9241	31.6 ± 10.5	33.0	26.8
	56		8372 (0.906×9241)	35.3 ± 12.4	35.1	30.3
	12		869 (0.094×9241)	16.1 ± 8.5	52.8	11.2
	48	0.0	16,762 (1.814×9241)	57.3 ± 22.2	38.7	49.5
	56		15,187 (0.906×16762)	64.0 ± 25.9	40.5	55.8
	12		1576 (0.094×16762)	29.2 ± 16.5	56.5	22.0

Fully Acceptance Corrected Coherent NC(π^0) Cross Section

- Monte Carlo correction factor
- 45% of coherent NC(π^0) events have $E_\pi < 1.0$ GeV
- Additional 20% uncertainty
- $\mathcal{E} = (2.8 \pm 0.028) \times 10^{20}$ PoT
- $\mathcal{M}_T = (3.57 \pm 0.001) \times 10^{29}$ nuclei
- $\Phi = (2.93 \pm 0.23) \times 10^{-8}$ v/cm²/PoT

$$\sigma = \frac{N}{\mathcal{E} \mathcal{M}_T \Phi}$$

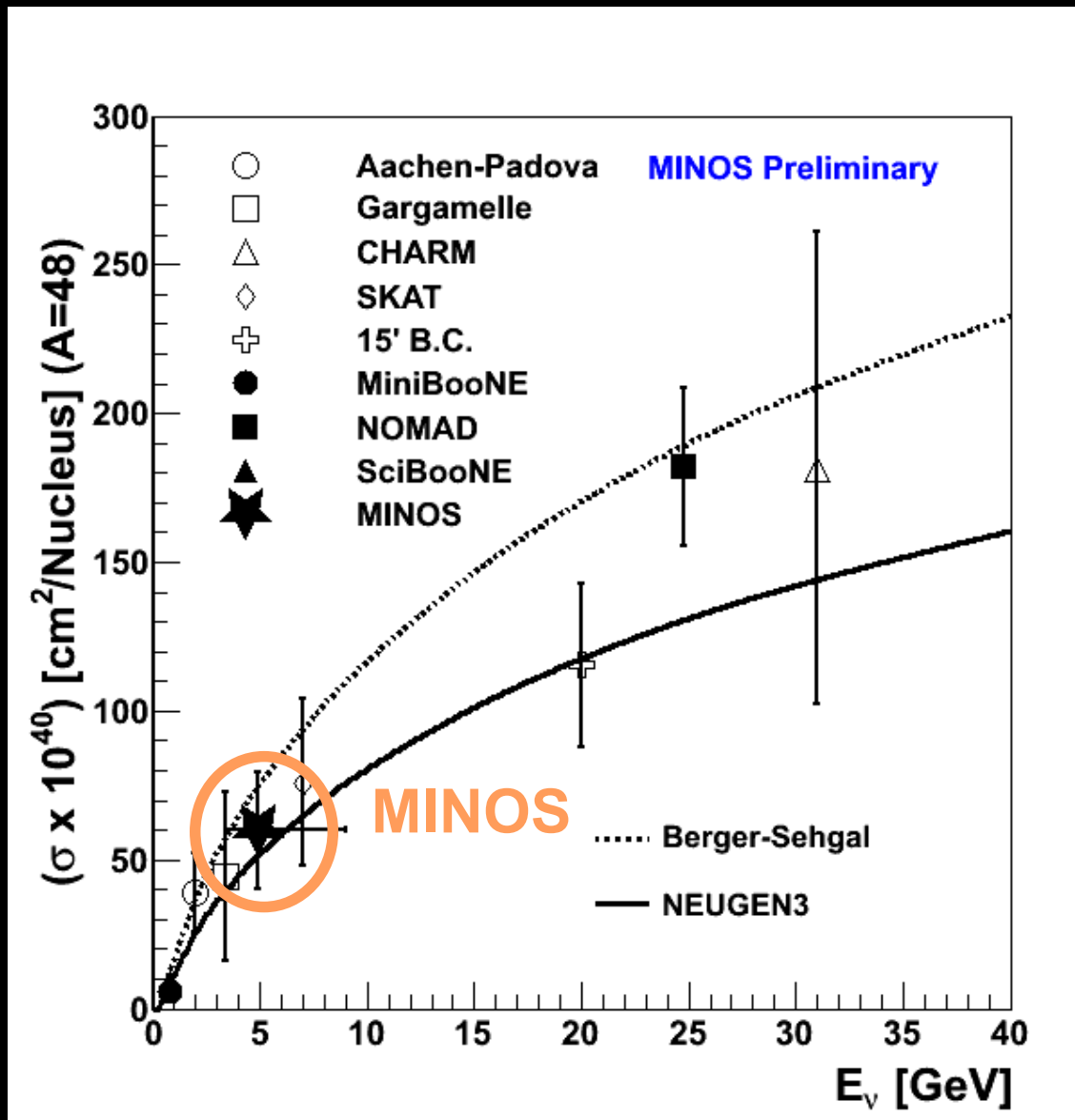
16,762

**Coherent NC(π^0)
Events (A = 48)**

$$\sigma = (57.3 \pm 22.2) \times 10^{-40} \frac{\text{cm}^2}{\text{Nucl. (A=48)}}$$

Cross Section E_ν -Dependence

All results scaled
to $A = 48$ with
NEUGEN3 cross
section ratios



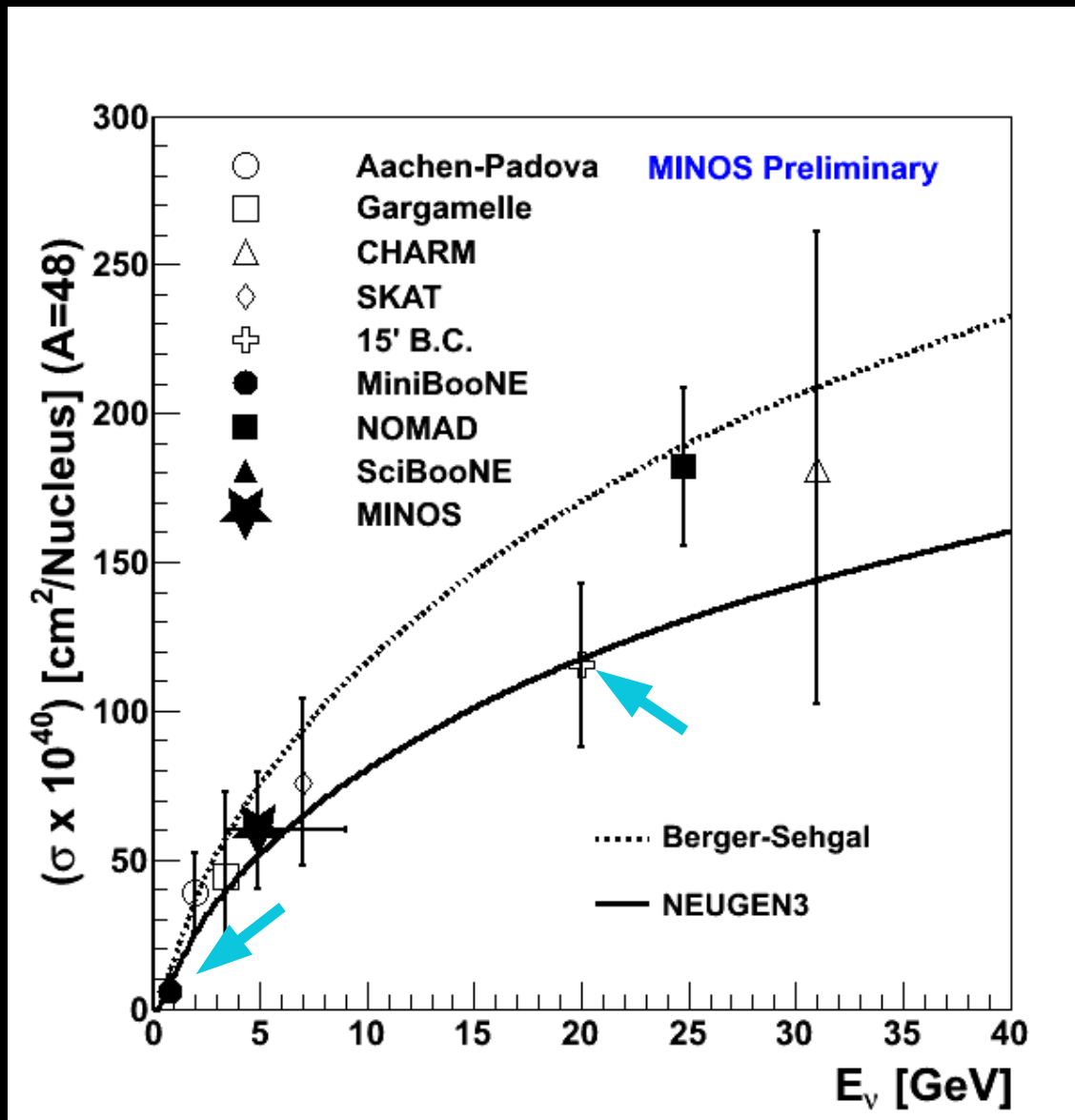
Cross Section E_ν -Dependence

All results scaled to $A = 48$ with NEUGEN3 cross section ratios

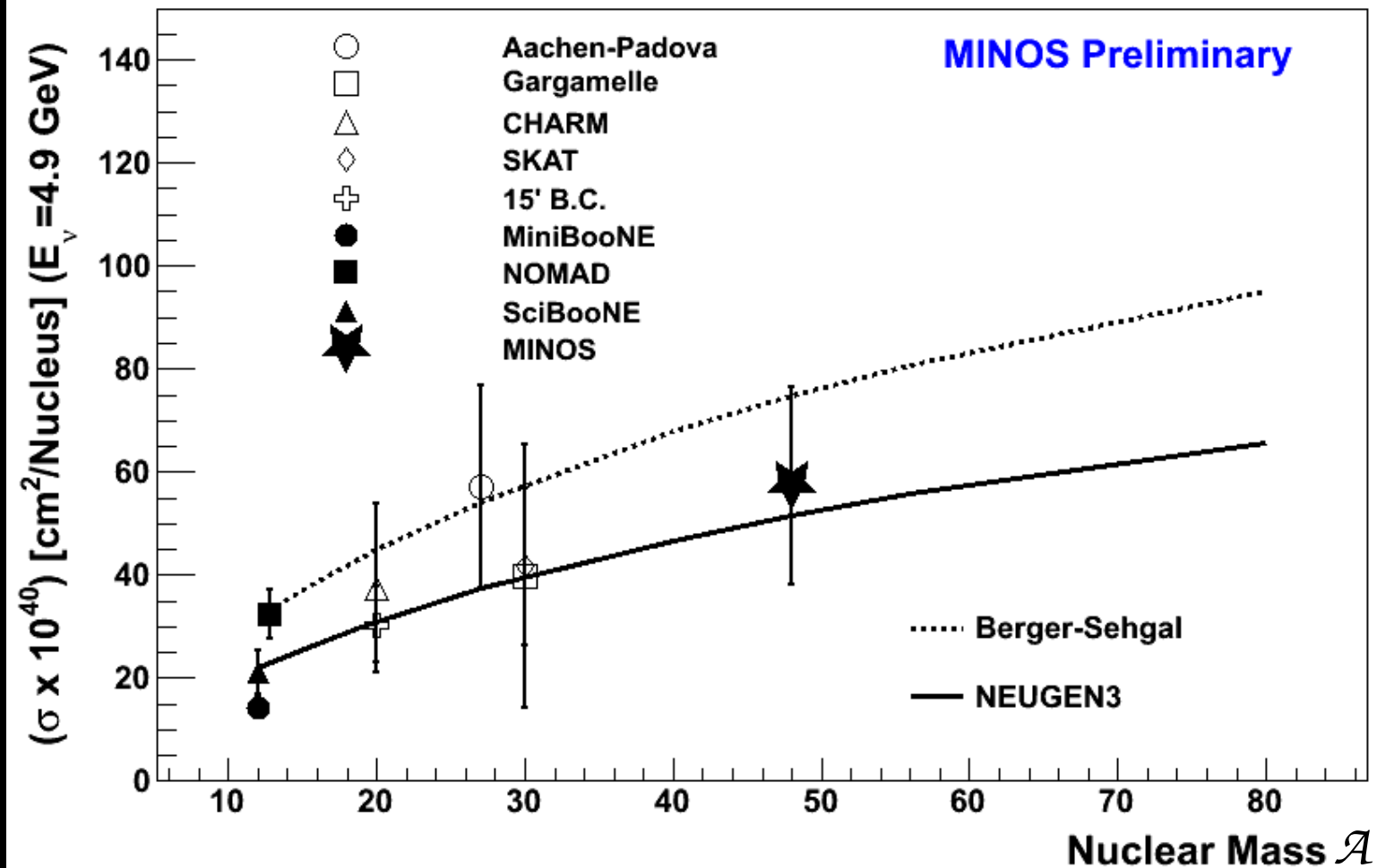
Several results reported relative to the Rein-Sehgal cross section

- 15 ft B.C.
- MiniBooNE
- SciBooNE

Measurements scaled to the NEUGEN3 curve

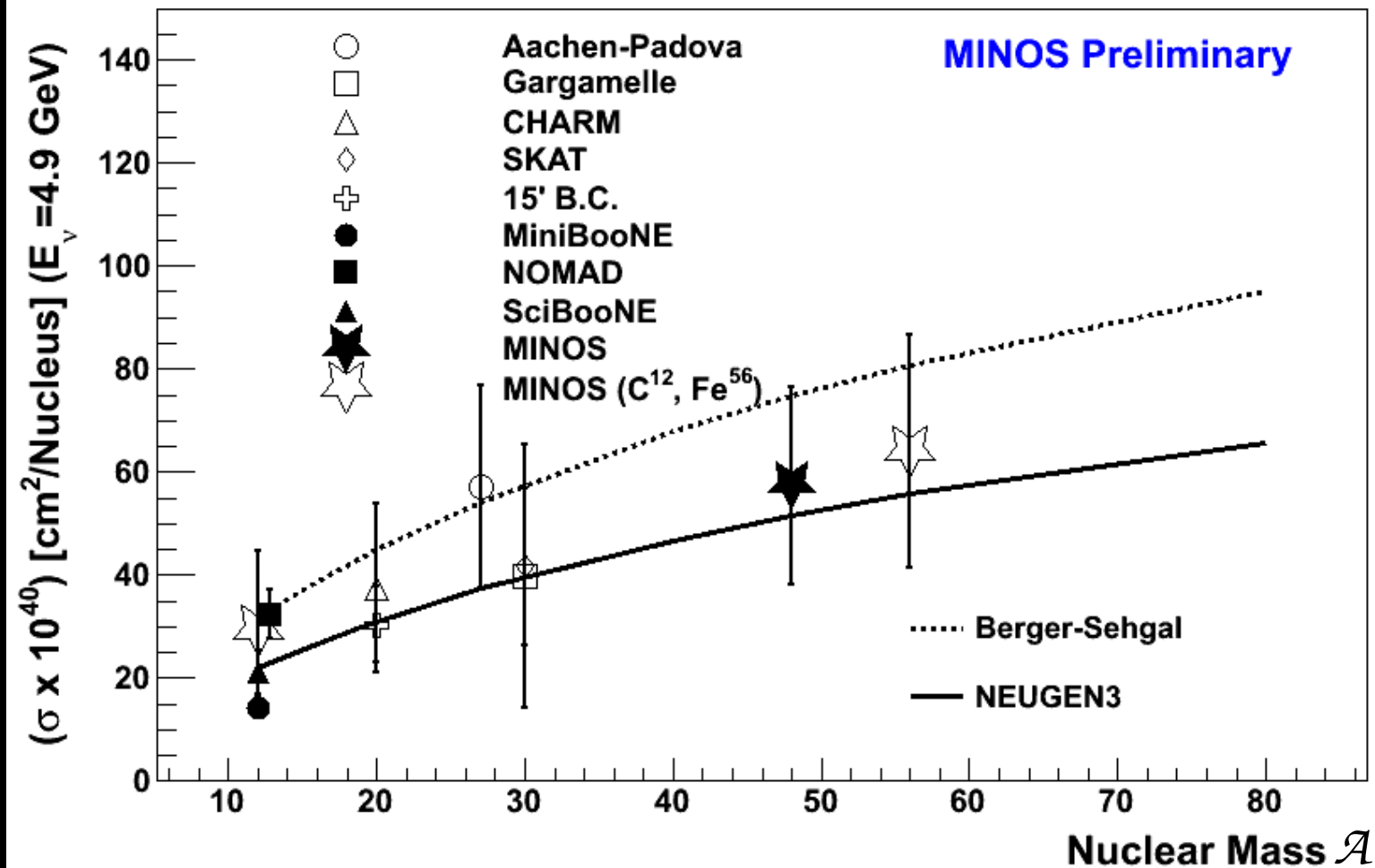


Cross Section \mathcal{A} -Dependence



All results scaled to $E_\nu = 4.9$ GeV

Cross Section \mathcal{A} -Dependence



All results scaled to $E_\nu = 4.9$ GeV

World Coherent NC(π^0) Cross Section Table

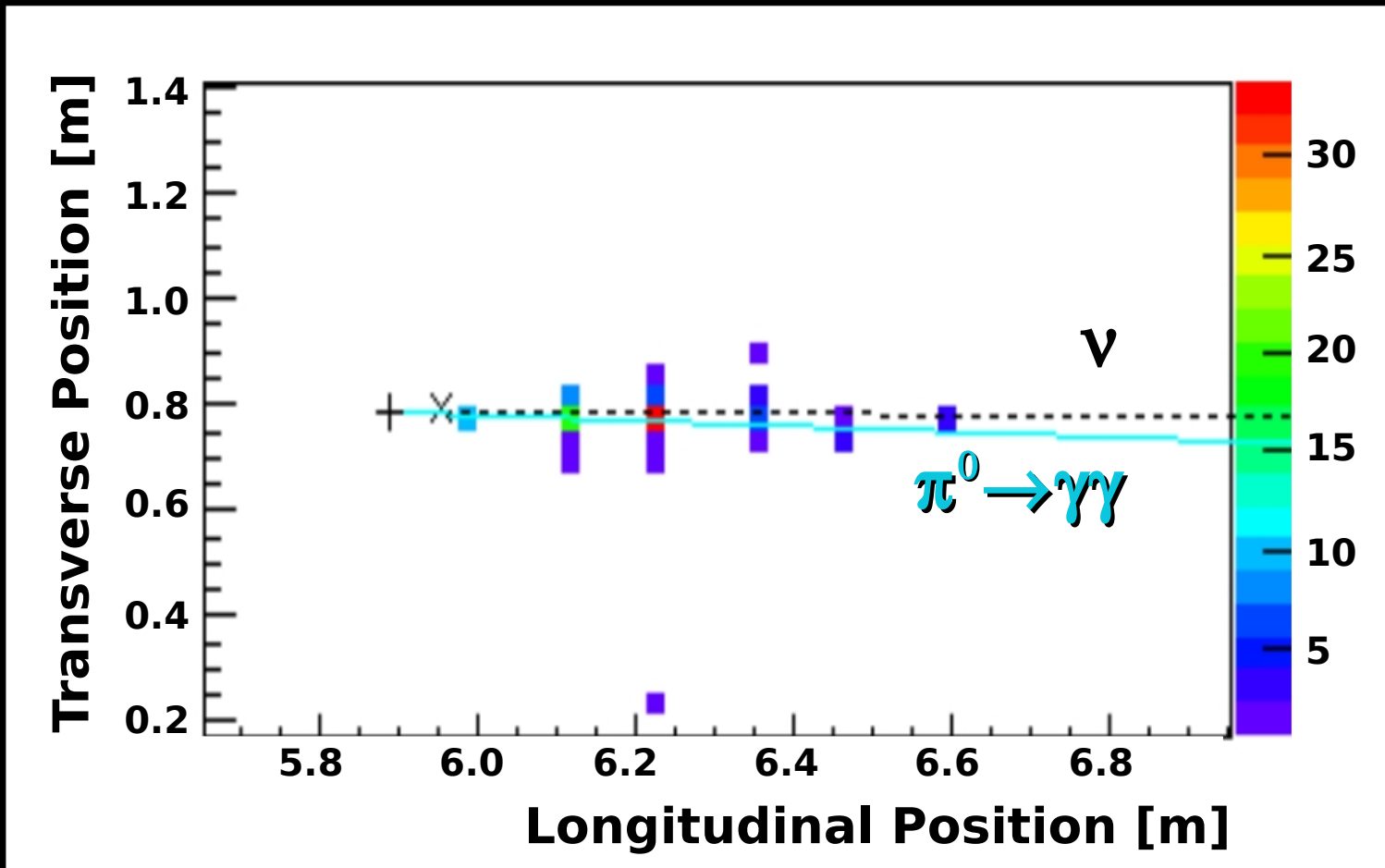
Experiment	Year	Average Neutrino Energy, $\langle E_\nu \rangle$	Average Nucleus A	Minimum π^0 Energy, $E_{\pi^0}^{min}$	Coherent Cross Section, $\sigma^{coh} \nu/(\bar{\nu})$	Rein-Sehgal (NEUGEN3) Cross Section
		[GeV]	[amu]	[GeV]	[$10^{-40} \text{cm}^2/A$]	[$10^{-40} \text{cm}^2/A$]
Aachen-Padova	1983	2	Aluminum 27	0.18	29 ± 10 (25 ± 7)	19.0
Gargamelle	1984	3.5	Freon CF ₃ Br - 30	0.2	31 ± 20 (45 ± 24)	27.7
CHARM	1985	31 24	Marble CaCO ₃ - 20	6.0	96 ± 42 (79 ± 26)	76.2
SKAT	1986	7	Freon CF ₃ Br - 30	0.2	52 ± 19	44.4
15' BC	1986	20	Neon NeH ₂ - 20	2.0	RSx 0.98 ± 0.24	66.0
MiniBooNE	2008	0.8	Mineral Oil C _X H _Y - 12	0.0	RSx 0.65 ± 0.14 RSx 0.65 ± 0.14	4.4
NOMAD	2009	24.8	Carbon+ 12.8	0.5	72.6 ± 10.6	52.1
SciBooNE	2010	0.8	Polystyrene C ₈ H ₈ - 12	0.0	RSx 0.96 ± 0.20	4.4
MINOS	2010	4.9	Iron & Carbon - 48	1.0	57.3 ± 22.2	49.5

Conclusions

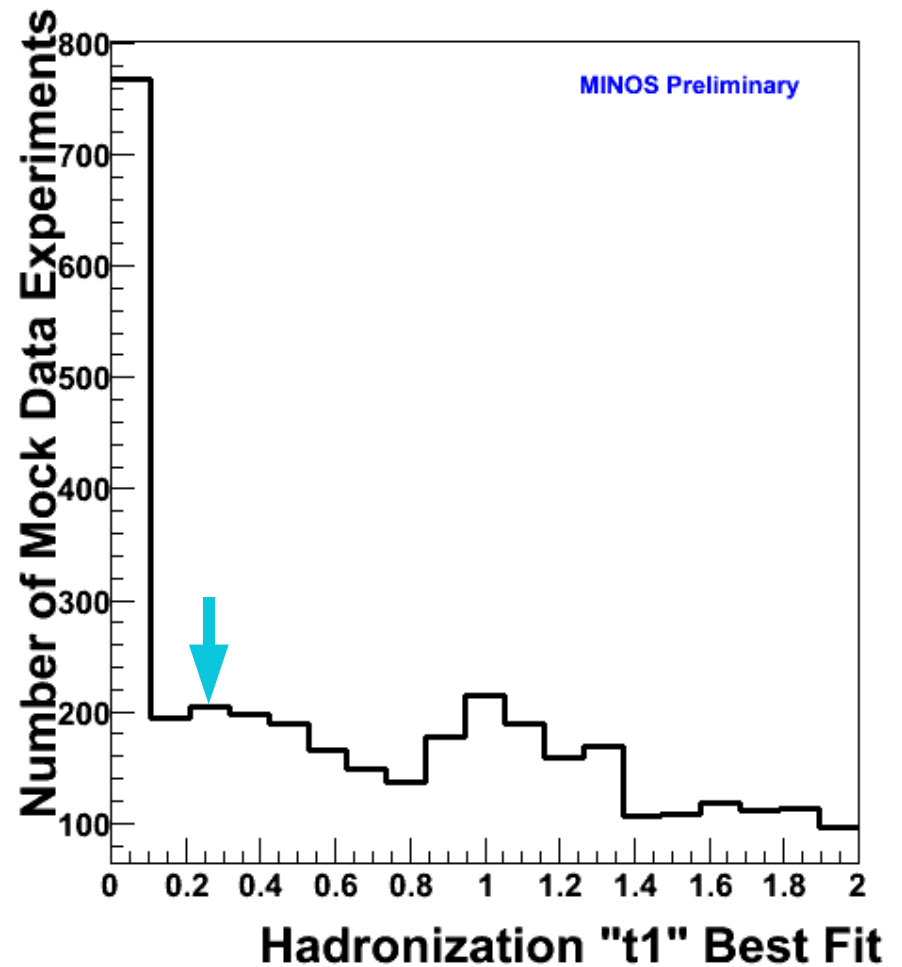
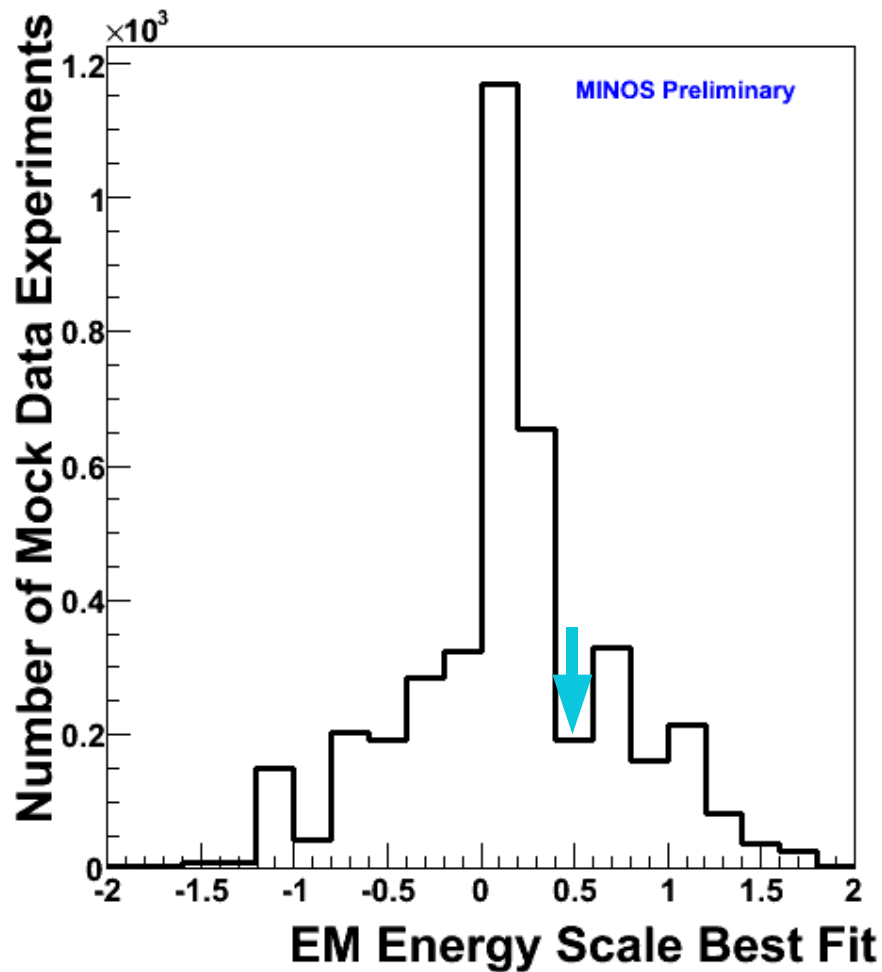
- First measurement of the coherent NC(π^0) scattering on nucleus with an average $A > 30$.
- First evidence for coherent NC(π^0) scattering on iron (Fe^{56}).
- Measurement consistent with the NEUGEN3 prediction and the Berger-Sehgal model.
- Confirmation of the PCAC hypothesis in the relevant kinematic ranges.
- MINOS anti-neutrino data can be used to make a follow-up measurement.

Backup Slides

Coherent NC(π^0) Event



Mock Data Studies



Calculating the Event Fractions

$$N = N_{Fe} + N_C \quad M = M_{Fe} + M_C = 0.8M + 0.2M$$

$$r \equiv \frac{\sigma_{Fe}}{\sigma_C} \quad \sigma_{Fe} = \frac{N_{Fe}}{E M_{Fe} \Phi} \quad \sigma_C = \frac{N_C}{E M_C \Phi}$$

$$r = \left(\frac{N_{Fe}}{E M_{Fe} \Phi} \right) \left(\frac{E M_C \Phi}{N_C} \right) \Rightarrow N_{Fe} = N \left(\frac{M_{Fe} r}{M_C + M_{Fe} r} \right)$$