

<p style="text-align: center;">SUMMARY OF MAJOR CHANGES TO DOD 7000.14-R, VOLUME 2B, CHAPTER 12 “DEFENSE HEALTH PROGRAM ”</p> <p style="text-align: center;">All changes are denoted by blue font</p> <p style="text-align: center;">Substantive revisions are denoted by a * preceding the section, Paragraph, table or figure that includes the revision</p> <p style="text-align: center;">Hyperlinks are denoted by <u>underlined, bold, italic, blue font</u></p>		
PARA	EXPLANATION OF CHANGE/REVISION	PURPOSE
Various	Formatting changes	Update
120302	Changed printed and electronic submission requirements	Update
1204	Updated headers for PB-11, PB-11A, and PB-11B	Update
1204	Updated and added Program Elements for O&M and RDT&E	Update

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DEFENSE HEALTH PROGRAM

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CHAPTER 12

DEFENSE HEALTH PROGRAM1201 GENERAL120101. Purpose

A. This chapter provides instructions applicable to budget formulation and congressional justification for the Defense Health Program (DHP).

B. The following appropriations are covered as subsections of the DHP appropriation:

1. Operation and Maintenance (O&M)
2. Procurement
3. Research, Development, Test and Evaluation (RDT&E)

120102. Submission Requirements

General guidance with regard to submission requirements is presented in [Volume 2A, Chapter 1](#). This chapter covers specific backup material requirements for the above accounts. The Assistant Secretary of Defense (Health Affairs) (ASD(HA)) should also consult all of the other chapters of this Regulation for exhibit requirements that are not specifically addressed in this chapter including [Volume 2B, Chapter 19](#) – Other Special Analyses. The ASD(HA) is also responsible for providing data to update the Comptroller Information System (CIS) and the Future Years Defense Program (FYDP) automated systems for the DHP appropriation. The Military Components must reconcile all DHP personnel data with, and submit all proposed military and civilian medical personnel changes to the CIS and FYDP through the TRICARE Management Activity Program Budget and Execution Directorate before these changes are accepted in these automated systems. (See [Volume 2A, Chapter 1](#) and [Volume 2B, Chapter 19](#), of this Regulation.)

120103. Preparation of Material

General guidance with regard to format and preparation of material is presented in Volume 2A, Chapter 1. Volume 2B, Chapter 12 provides additional specific guidance with regard to the back-up material required for the DHP. (See [Volume 2A, Chapter 1](#), of this Regulation.)

120104. References

Volume 2A, Chapter 3 provides guidance related to O&M costs, Volume 2B,

Chapter 4 provides guidance and formats related to Procurement appropriations, and Volume 2B, Chapter 5 provides guidance and formats related to RDT&E appropriations. (See [Volume 2A, Chapter 3](#) and [Volume 2B, Chapter 4](#), of this Regulation.)

1202 PROGRAM AND BUDGET REVIEW SUBMISSION

120201. Purpose

This Section provides guidance for preparation and submission of budget estimates for the DHP. The budget estimates consist of all O&M, procurement, and RDT&E resources that support the medical activities that were consolidated into the DHP.

120202. Submission Requirements

A. The ASD (HA) is responsible for submitting all exhibits required for the O&M, procurement, and RDT&E appropriations as specified in Volume 2A, Chapter 3, Volume 2B, Chapters 4, and 5 respectively. The ASD (HA) is also responsible for submitting exhibit requirements specified in the other chapters of this Regulation including [Volume 2B, Chapter 19](#) – Other Special Analyses. (See [Volume 2A, Chapter 3](#) and [Volume 2B, Chapter 4, 5, and 19](#), of this Regulation).

B. In addition, the Cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the Under Secretary of Defense (Comptroller) (OUSD(C)) concurrent with the program and budget review submission. Formats for these exhibits are provided at the end of this chapter. Two printed copies and one electronic copy of each exhibit should be provided to the OUSD(C) Program/Budget (P/B) Military Personnel & Construction Directorate, Room 3C654, Washington, DC 20301-1100.

C. For all updates of the OUSD(C) automated budget system databases, DoD Components must reconcile all DHP personnel data with, and submit all proposed military and civilian medical personnel changes through the TRICARE Management Activity Program Budget and Execution Directorate.

1203 CONGRESSIONAL JUSTIFICATION/PRESENTATION

120301. Purpose. This section presents the exhibit requirements for submission to Congress. Examples of budget exhibits can be found in Section 1204.

120302. Submission Requirements

A. The ASD (HA) is responsible for submitting all exhibits required for the O&M, procurement, and RDT&E appropriation as specified in Volume 2A, Chapter 3, Volume 2B, Chapters 4, and 5 respectively. The ASD (HA) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including [Volume 2B, Chapter 19](#)

– Other Special Analyses. (See [Volume 2A, Chapter 3](#) and [Volume 2B, Chapter 4, 5](#), and [19](#), of this Regulation)

B. In addition, the cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the OUSD (C) for the DHP. Formats for these exhibits are provided at the end of this chapter. Two printed copies *and* one electronic copy of each exhibit should be provided to the OUSD (C), P/B, Military Personnel Construction Directorate, Room 3C654, the Pentagon.

C. Two printed copies *and* one electronic copy of the PBA-9 (Medical Programs Exhibit for the O&M Overview) will be due to the OUSD(C), P/B, Military Personnel & Construction Directorate, Room 3C654, the Pentagon at the time that the President’s budget exhibits are due. The required format for the PBA-9 is provided at Volume 2A, Chapter 3, Section 0304 (See [Volume 2A, Chapter 3](#), section 0304 of this Regulation).

D. The OP-5 exhibit and other supporting exhibits will be prepared for each of the following activities. Meaningful performance criteria should be displayed for each activity.

- In-House Care
- Private Sector Care
- Consolidated Health Support
- Information Management
- Management Activities
- Education and Training
- Base Operations/Communications

E. The ASD(HA) will prepare the DHP Volume 1 (Justification of Estimates), Volume 2 (Data Book), and Volume 3 (Additional Accompanying Exhibits). **Forty** printed copies and **five** electronic storage media copies of Volumes 1 and 2 and ten printed copies and three electronic storage medical copies of all three volumes and other required exhibits should be provided to the OUSD (C), P/B, Military Personnel & Construction Directorate, Room 3C654, the Pentagon.

1204 DEFENSE HEALTH PROGRAM SUBMISSION FORMATS

120401. Purpose

The formats provided on the following pages reflect guidance presented in previous sections of this chapter. Unless modified in a submission budget call, the formats should be followed.

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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

	(Dollars in Thousands)						
	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY</u>	<u>PY-CY Change</u>		<u>CY-BY Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>IN-HOUSE CARE</u>							
0807700HP							
Hospitals & Medical Clinics – CONUS							
0807900HP							
Hospitals & Medical Clinics – OCONUS							
0807701HP							
Pharmaceuticals, CONUS							
0807901HP							
Pharmaceuticals, OCONUS							
0807715HP							
Dental Care Activities – CONUS							
0807915HP							
Dental Care Activities – OCONUS							
Subtotal In-House Care							
<u>PRIVATE SECTOR CARE</u>							
0807702HP							
Pharmaceuticals – Purchased							
Health Care							
0807703HP							
Pharmaceuticals – National Retail Rx							
0807723HP							
TRICARE Managed Care							
Support (MCS) Contracts							
0807738HP							
MTF Enrollees – Purchased Care							
0807741HP							
Dental – Purchased Care							
0807742HP							
Uniformed Services Family							
Health Program (USFHP)							
0807743HP							
Supplemental Care – Health Care							
0807745HP							
Supplemental Care – Dental							
0807747HP							
Continuing Health Education/ Capitalization of Asset Program (CHE/CAP)							
0807749HP							
Overseas Purchased Health Care							
0807751HP							
Miscellaneous Purchased Health Care							
0807752HP							
Miscellaneous Support Activities							

Subtotal Private Sector Care

Exhibit PB-11 Defense Health Program Funding Summary

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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

(Dollars in Thousands)

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY</u>	<u>PY-CY Change</u>		<u>CY-BY Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>CONSOLIDATED HEALTH SUPPORT</u>							
0801720HP	Examining Activities						
0807714HP	Other Health Activities						
0807705HP	Military Public/Occupational Health						
0807760HP	Veterinary Services						
0807724HP	Military Unique Requirements						
	– Other Medical						
0807725HP	Aeromedical Evacuation System						
0807730HP	Service Support to Other Health						
	Activities – TRANSCOM						
0807785HP	Armed Forces Institute of Pathology (AFIP)						
0807786HP	Joint Pathology Center						
Subtotal Consolidated Health Support							
<u>INFORMATION MANAGEMENT</u>							
0807781HP	DHP Service Medical Information						
	Management/Information Technology						
0807783DHP	Information Management/						
	Information Technology Support Programs						
0807793HP	MHS Tri-Service Information						
	Management/Information Technology						
Subtotal Information Management							
<u>MANAGEMENT ACTIVITIES</u>							
0807798HP	Management Headquarters						
0807709HP	TRICARE Management Activity						
0901200HP	BMMP Domain Management & System Integration						
Subtotal Management Activities							

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

(Dollars in Thousands)

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY</u> <u>Estimate</u>	<u>PY-CY Change</u>		<u>CY-BY Change</u>	
				<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>EDUCATION AND TRAINING</u>							
0806722HP Armed Forces Health Professions Scholarship Program							
0806721HP Uniformed Services University of the Health Sciences							
0806761HP Other Education and Training							
Subtotal Education and Training							
<u>BASE OPERATIONS/COMMUNICATIONS</u>							
0806276HP Facilities Restoration and Modernization – CONUS							
0886376HP Facilities Restoration and Modernization – OCONUS							
0806278HP Facilities Sustainment – CONUS							
0806378HP Facilities Sustainment – OCONUS							
0807779HP Facilities Operations – Health Care – CONUS							
0807979HP Facilities Operations – Health Care – OCONUS							
0807795HP Base Communications – CONUS							
0807995HP Base Communications – OCONUS							
0807796HP Base Operations – CONUS							
0807996HP Base Operations – OCONUS							
0807753HP Environmental Conservation							
0807754HP Pollution Prevention							
0807756HP Environmental Compliance							
0807790HP Visual Information Systems							
0808093HP Demolition/Disposal of Excess Facilities							
Subtotal Base Ops/Comm							
Subtotal DHP Operation and Maintenance							

Exhibit PB-11 Defense Health Program Funding Summary
(Page 3 of 5)

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

(Dollars in Thousands)

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY</u> <u>Estimate</u>	<u>PY-CY Change</u>		<u>CY-BY Change</u>	
				<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
Procurement (PE 0807720HP and PE 0807721HP)							
Dental Equipment							
Food Service, Preventive Medicine, Pharmacy Equipment							
Medical Information System Equipment							
Medical Patient Care Administrative Equip							
Medical/Surgical Equipment							
Other Equipment							
Pathology/Lab Equipment							
Radiographic Equipment							
Subtotal Procurement							
Research, Development, Test and Evaluation							
0601101HP In-House Laboratory Independent Research (ILIR)							
0601117HP Basic Operational Medical Research Sciences							
0602115HP Biomedical Technology							
0602787HP Medical Technology (AFRRI)							
0603002HP Medical Advanced Technology (AFRRI)							
0603115HP Medical Development							
0604110HP Medical Products Support and Advanced Concept Development							
0605013HP Information Technology Development							
0605145HP Medical Products and Support Systems Development							
0605502HP Small Business Innovative Research (SBIR) Program							
0606105HP Medical Program-Wide Activities							
0607100HP Medical Products and Capabilities Enhancement Activities							
Subtotal RDT&E							
Total Defense Health Program							

Submitting Components should refer to the FYDP Structure Management (FSM) System as described in section 010702 of Chapter 1 of this volume to ensure that Health program FYDP program elements shown on this format are current.

Exhibit PB-11 Defense Health Program Funding Summary
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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

(Dollars in Thousands)

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY</u>	<u>PY-CY Change</u>	<u>CY-BY Change</u>
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u> <u>(%)</u>	<u>\$</u> <u>(%)</u>
<u>SPECIAL INTEREST ITEMS</u>					
Medicare Eligible Accrual Fund					
Total Medicare Eligible Accrual Fund					
Direct Care					
Private Sector Care					
Military Personnel Accounts					
 RDT&E					
Information Technology (IT) Development					
TMA (Central IT)					
Services (Non-Central IT)					
 All Other RDT&E Programs					
Congressionally Directed Programs					
Baseline Programs					

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM
Personnel Summary**

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY-BY Estimate</u>		<u>CY-BY Change</u>	
<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>
<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>

Active Military – Assigned to DHP

Army Total

Officers
Enlisted

Navy Total

Officers
Enlisted

Air Force Total

Officers
Enlisted

Total Active Duty

Officers
Enlisted

Active Military - Non DHP Medical

Army Total

Officers
Enlisted

Navy Total

Officers
Enlisted

Air Force Total

Officers
Enlisted

Total Active Duty

Officers
Enlisted

DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM

Personnel Summary

	<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY- BY Estimate</u>		<u>CY-BY Change</u>	
	<u>End</u>		<u>End</u>		<u>End</u>		<u>End</u>	
	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>
I. Civilian Personnel - US Direct Hire								
Army								
Navy								
Air Force								
TRICARE Management Activity								
Total								
II. Civilian Personnel - Foreign National Direct Hire								
Army								
Navy								
Air Force								
TRICARE Management Activity								
Total								
III. Civilian Personnel - Foreign National Indirect Hire								
Army								
Navy								
Air Force								
TRICARE Management Activity								
Total								
IV. Total Civilian Personnel								
Army								
Navy								
Air Force								
TRICARE Management Activity								
Total <u>1/</u>								
V. Summary Civilian Personnel								
U.S. Direct Hire								
Foreign National Direct Hire								
Foreign National Indirect Hire								
Total, Civilians <u>1/</u>								

1/ Includes reimbursable civilians - memo

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY- BY Estimate</u>		<u>CY-BY Change</u>	
<u>End</u>		<u>End</u>		<u>End</u>		<u>End</u>	
<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>

SPECIAL INTEREST MANPOWER (End Strength)

TRICARE Regional Offices (TRO):

Military FTE's
Civilian FTE's

TRICARE Management Activity (PE 0807798)

Military FTE's
Civilian FTE's

Army Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's

Navy Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's

Air Force Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's

**DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data**

FY PY	FY CY	FY BY	CY-BY
Actual	Estimate	Estimate	Change

Population - Average Eligible Beneficiaries CONUS

- Active Duty
- Active Duty Dependents
- CHAMPUS Eligible Retirees
- CHAMPUS Eligible Dependents of Retirees
- Subtotal CHAMPUS Eligibles
- Medicare Eligible Beneficiaries
- Total Average Eligible Beneficiaries

Population - Average Eligible Beneficiaries OCONUS

- Active Duty
- Active Duty Dependents
- CHAMPUS Eligible Retirees
- CHAMPUS Eligible Dependents of Retirees
- Subtotal CHAMPUS Eligibles
- Medicare Eligible Beneficiaries
- Total Average Eligible Beneficiaries

Population - Average Eligible Beneficiaries Worldwide

- Active Duty
- Active Duty Dependents
- CHAMPUS Eligible Retirees
- CHAMPUS Eligible Dependents of Retirees
- Subtotal CHAMPUS Eligibles
- Medicare Eligible Beneficiaries
- Active Duty Family Members
- Guard/Reserve Family Members
- Retirees
- Family Members of Retirees
- Survivor
- Other
- Total Medicare Eligibles
- Total Average Eligible Beneficiaries

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY</u> <u>Estimate</u>	<u>CY-BY</u> <u>Change</u>
<u>Enrollees - Direct Care</u>				
TRICARE Region – North				
TRICARE Region – South				
TRICARE Region – West				
TRICARE Region – Europe				
TRICARE Region – Pacific				
Alaska				
Sub-Total CONUS Regions				
Sub-Total OCONUS Regions				
Total Direct Care Enrollees				
<u>Enrollees (Managed Care Support Contract)</u>				
TRICARE Region – North				
TRICARE Region –South				
TRICARE Region – West ¹				
Total MCS Contracts				
<u>Infrastructure</u>				
Operating Beds				
Inpatient Facilities				
Medical Clinics				
Dental Clinics				
Veterinary Clinics				

¹ Alaska in the West Contract is non-underwritten therefore not included in the total.

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY</u>	<u>CY-BY</u>
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>

Direct Care System Workload (from MEPRS)

- Inpatient Admissions
- Occupied Bed Days
- Inpatient Relative Weighted Product (RWPs)
- Average Length of Stays (Bed Days/Disposition)
- Total Ambulatory Visits
- Outpatient Relative Value Units (RVUs)
- Pharmacy – Military Treatment Facilities Worldwide
 - Number of Scripts
 - Number of Users

Dental Workload (Dental Weighted Values (DWVs))

- CONUS
 - OCONUS
 - Total DWVs

- CONUS
 - Active Duty
 - Non-Active Duty
 - Total CONUS

- OCONUS
 - Active Duty
 - Non-Active Duty
 - Total OCONUS

**DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data**

FY PY	FY CY	FY BY	CY-BY
Actual	Estimate	Estimate	Change

Private Sector Workload

Managed Care Support Contracts (TRICARE Prime)

- Inpatient Admissions
- Inpatient Relative Weighted Product (RWPs)
- Outpatient Visits
- Outpatient Relative Value Units (RVUs)

TRICARE Extra/Standard

- Inpatient Admissions
- Inpatient Relative Weighted Product (RWPs)
- Outpatient Visits
- Outpatient Relative Value Units (RVUs)

Overseas CHAMPUS

- Inpatient Admissions
- Inpatient Relative Weighted Product (RWPs)
- Outpatient Visits
- Outpatient Relative Value Units (RVUs)

Pharmacy – **Purchased Care**

- Retail:
 - Number of Scripts
 - Number of Users
- Mail Order:
 - Number of Scripts
 - Number of Users

**DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data**

FY PY
Actual

FY CY
Estimate

FY BY
Estimate

CY-BY
Change

TRICARE Dental Program

Enrollment - Single Plan

Enrollement – Family Plan

Enrollment - Survivor Single Plan

Enrollment - Survivor Family Plan

NOTE: TRICARE Dental Program reporting methodology changed for FY 2010 and is not comparable to previous submissions

Uniformed Services Family Health Plan

Enrollees (Non-Medicare eligible DoD only)

Enrollees (Medicare eligible DoD Only)