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FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20463

REPORT OF THE AUDIT DIVISION  
ON THE  
MAINE DEMOCRATIC STATE COMMITTEE

I. Background

A. Overview

This report is based on an audit of the Maine Democratic State Committee ("the Committee"), undertaken by the Audit Division of the Federal Election Commission in accordance with the Commission's audit policy to determine whether there has been compliance with the provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The audit was conducted pursuant to Section 438(b) of Title 2 of the United States Code which states, in part, that the Commission may conduct audits and field investigations of any political committee required to file a report under Section 434 of this title. Prior to conducting any audit under this section, the Commission shall perform an internal review of reports filed by selected committees to determine if the reports filed by a particular committee meet the threshold requirements for substantial compliance with the Act.

The Committee registered with the Comptroller General of the United States on July 31, 1972. The Committee maintains its headquarters in Augusta, Maine. The audit covered the period from January 1, 1980 through December 31, 1980. The Committee is engaged in organizational functions, such as running the state caucuses and state conventions.

This audit report is based on documents and workpapers which support each of its factual statements. They form part of the record upon which the Commission based its decisions on the matters in the report and were available to the Commissioners and appropriate staff for review.

B. Key Personnel

The Treasurer of the Committee during the period covered by the audit was Mr. Samuel Shapiro from January 1, 1980 through February, 1980 and Mr. Thomas Brazier from February, 1980 through December 31, 1980.

C. Scope

The audit included a review of the solicitation material, expenditures and individual transactions; review of required supporting documentation; analysis of Committee debts and obligations; and such other audit procedures as deemed necessary under the circumstances.

II. Audit Finding and Recommendation

It is the opinion of the Audit staff, based upon examination of the reports and statements filed and the records presented, that the Maine Democratic State Committee is not a political committee as defined by Section 431(4)(A) of Title 2 of the United States Code and therefore not subject to the reporting requirements of the Act. This opinion is based on the fact that the Committee had no receipts or expenditures in connection with Federal elections during the period audited.

