



## FEDERAL ELECTION COMMISSION

1325 K STREET N.W.  
WASHINGTON, D.C. 20463

### REPORT OF THE AUDIT DIVISION ON THE NORMA BARTLE FOR CONGRESS COMMITTEE

#### I. Background

##### A. Overview

This report is based upon an audit of the Norma Bartle for Congress Committee ("the Committee") undertaken by the Audit Division of the Federal Election Commission in accordance with the Commission's audit policy to determine whether there has been compliance with the provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The audit was conducted pursuant to Section 438(a)(8) of Title 2 of the United States Code which directs the Commission to make from time to time audits and field investigations with respect to reports and statements filed under the provisions of this Act.

The Committee registered with the Federal Election Commission on April 16, 1976, as the principal campaign committee designated by Mrs. Norma A. Bartle, Candidate for the U.S. House of Representatives from the 30th District of New York. The Committee maintained its headquarters in Oswego, New York.

The audit covered the period April 1, 1976 through the latest report filed by the Committee on February 4, 1977. During this period the Committee reported \$-0- in beginning cash, total receipts of \$44,787.31, total expenditures of \$44,776.51 and ending cash of \$10.00. 1/

This audit report is based on documents and working papers supporting each of the factual statements. They form part of the record upon which the Commission based its decisions on the matters in the report and were available to Commissioners and appropriate staff for review.

1/ insignificant difference, (\$.80)



B. Key Personnel

The principal officers of the Committee during the period covered by the audit were Mrs. Norma A. Bartle, Chairperson, and Mr. William J. Maroney, Treasurer.

C. Scope

The audit included such tests as verification of total reported receipts and expenditures and individual transactions; review of required supporting documentation; analysis of Committee debts and obligations; and, such other audit procedures as deemed necessary under the circumstances.

II. Auditor's Statement

It is the opinion of the Audit staff, based upon examination of the reports and statements filed and the records presented, that, except for the deficiencies noted below, the reports and statements of the Norma Bartle for Congress Committee fairly present the financial activities of the Committee for the period covered by the audit. Further, except as noted below, no material problems in complying with the Federal Election Campaign Act were discovered during the course of the audit.

A. Itemization of Aggregate Expenditures

Section 434(b)(9) and (10) of Title 2 of the United States Code states in part that each report shall disclose the identification of each person to whom expenditures have been made by such committee within the calendar year in excess of \$100, or in an aggregate amount which exceeds \$100.

During the course of the audit it was determined that the Committee failed to itemize 16 expenditures <sup>2/</sup> (13% of the total expenditures requiring itemization) which in the aggregate exceed \$100. These items totaled \$1,500.06, representing 3.8% of the dollar value of all expenditures requiring itemization.

Recommendation

Since the Committee filed an amended report on January 6, 1978 disclosing the required information, it is our recommendation that no action be taken.

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<sup>2/</sup> Fourteen of the above expenditures represent disbursements for payroll to one (1) individual.

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B. Itemization of Transfers Received

Section 434(b)(4) of Title 2 of the United States Code requires in part that a committee disclose the name and address of each political committee from which the reporting committee received any transfers of funds, together with the amounts and dates of all transfers.

During the course of the audit it was determined that 12 transfers (22% of the 54 transfers received) from political committees were improperly reported as unitemized contributions. These items totaled \$757.50, representing 2.6% of the dollar value of all transfers requiring itemization.

Recommendation

Since the Committee filed an amended report on January 6, 1978 disclosing the required information, it is our recommendation that no action be taken.

C. Other Matters

Presented below are other matters noted during the audit for which the staff recommends no further action be taken.

a) It was determined that one (1) in-kind contribution had been received and reported from another committee, but had not been identified as non-cash on the Committee reports.

b) It was determined that six (6) in-kind contributions had been received, but were incorrectly identified as loans and loan repayments of same rather than in-kind receipts and expenditures. The Committee records indicated no monies had been received or disbursements made in the above circumstances.

c) Our review of Committee contribution records indicated that contributions were included in two (2) deposits (\$500.00 and \$2,097.00 respectively) made directly to the Committee savings account rather than deposited into the Committee's checking account.

d) It was also determined that a \$500.00 loan repayment was made from a source other than by check drawn on the Committee's checking account. The Treasurer advised that the source of repayment was cash received from a fundraising dinner.

The Committee was advised of the provisions of the Act and its regulations regarding all of the matters noted above and has agreed to abide by those provisions in the future.

CONGRESSIONAL AUDIT REPORT

Candidate/Committee: NORMA BARTLE/NORMA BARTLE FOR CONGRESS

State: NEW YORK

District: 30

Location: PLATTSBURGH, WATERTOWN

Political Party Affiliation: DEMOCRAT

Major Opponent(s): ROBERT McEWEN (R)

Other Candidates Audited: McEWEN

Votes Cast: General Election - McEWEN 95,564 BARTLE 75,951

Primary Election - NONE

Total Receipts for Period: \$44,787.31

Total Expenditures for Period: \$44,776.51

First Elected (if applicable): N/A

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FEC  
DOCUMENT  
SEPARATOR