

Principal Investigator's Agreement

Version 3.3

10/17/11

INSTRUCTIONS

The Oak Ridge Leadership Computing Facility (OLCF) must have a signed copy of this form on file from the project's principal investigator(s) (PI) before any accounts for the project will be processed. Please submit the completed form by faxing it to 865-241-4011 or by email to accounts@ccs.ornl.gov. Direct any questions to accounts@ccs.ornl.gov.

The use of the high-performance computers managed by the OLCF at ORNL is highly controlled and subject to export control restrictions. As PI of the project, you agree to the following responsibilities relating to the control of these systems:

1. The PI agrees to submit an online application on the web site providing a complete description of the research to be conducted on OLCF systems.
2. The project description will be reviewed for export control requirements. The departments of State and Commerce are the primary sources for export control requirements. Specific regulatory references can be found at Export Administration Regulations database and/or US State Department - Policy - Directorate of Defense Trade Controls. If the project is export controlled, the PI agrees to work with ORNL's Export Control Group and the OLCF to ensure compliance with all the export control guidelines. The specific actions required for compliance will vary by project and will be provided to the PI if the project is deemed export controlled.
3. The PI agrees to ensure that all work performed on OLCF system is within the scope of the project as approved. The PI further agrees to report any changes in scope to the OLCF so that ORNL may reassess any export control concerns.
4. The PI is responsible for ensuring that all project members who will be accessing OLCF systems complete an online account application on the web site. The application will be forwarded to the PI for authorization. Applicants who appear on a restricted foreign country listing in Section 15 CFR 740.7 License Exceptions for Computers are denied access based on US Foreign Policy. The countries cited are Cuba, Iran, North Korea, Sudan, and Syria.
5. The PI agrees to report to the OLCF when a project member leaves the project to ensure the timely disabling of that member's account. Participants who have not accessed an OLCF computing resource in at least 1 year will be disabled and will need to reapply to regain access to their account. All participants must reapply annually.
6. The PI agrees to provide regular reports detailing the project's accomplishments. INCITE projects must provide quarterly reports. All other projects must complete a closeout report and other reports as requested. Additionally, at least one person from each project must respond to the annual user survey. Requirements surveys will be sent periodically. Also, the PI agrees to provide copies of publications resulting from work carried out using OLCF resources.
7. The PI agrees to ensure that all publication of research that involved the use of OLCF resources contains the following credit line: *This research used resources of the Oak Ridge Leadership Computing Facility at Oak Ridge National Laboratory, which is supported by the Office of Science of the Department of Energy under Contract DE-AC05-00OR22725.*
8. The PI will inform all project participants of the requirements stated in this agreement to assure compliance.

9. In the event of an audit by the OLCF or another government agency with authority, the PI agrees to make available requested files relevant to access and research being conducted on OLCF systems.

By executing this agreement, the PI certifies that he/she has reviewed the export control requirements in item 2, agrees to comply with all the requirements stated above, and agrees to timely notification of any violations to the Accounts Team at accounts@ccs.ornl.gov. The PI further agrees to identify any proprietary and/or sensitive information that will either be provided to the OLCF or generated during the project. It may be necessary for individuals at the OLCF to provide assistance to the project during its performance. In addition to UT-Battelle employees, some of these individuals may be employees of one or more of our subcontractors, a current list of which is located at the following url: http://www.olcf.ornl.gov/kb_articles/subcontractors/.

Unless the PI objects to allowing one or more of these individuals having access to the proprietary and/or sensitive information and identifies those individuals, the OLCF will allow such individuals access to such information in order to provide needed assistance to the project. These individuals will be obligated to protect from unauthorized disclosure any proprietary and/or sensitive information to which they are provided access.

Please sign and fax the completed document to (865) 241-4011 or email at accounts@ccs.ornl.gov.

Principal Investigator
(Please Print): _____

Citizenship Status
(US Citizen, LPR, Visa) _____

Countries of
Citizenship: _____

Country of Birth: _____

Institution Represented: _____

Project Name: _____

Date: _____

Signature: _____