



21<sup>st</sup> International Symposium  
on Human Identification  
October 11-14, 2010, San Antonio, TX



# Present and Future Trends for Analyzing Challenged Samples

Michael Coble, Becky Hill, Erica Butts,  
Peter Vallone and John Butler

National Institute of Standards and Technology

21st International Symposium on Human Identification

October 14, 2010

# Disclaimer

**NIST Funding: Interagency Agreement 2008-DN-R-121 between the [National Institute of Justice](#) and NIST Office of Law Enforcement Standards**

**NIST Disclaimer:** Certain commercial equipment, instruments and materials are identified in order to specify experimental procedures as completely as possible. In no case does such identification imply a recommendation or endorsement by the National Institute of Standards and Technology nor does it imply that any of the materials, instruments or equipment identified are necessarily the best available for the purpose.

**Points of view are mine** and do not necessarily represent the official position or policies of the US Department of Justice or the National Institute of Standards and Technology.

# Sample Throughput



## TECHNICAL NOTE

David Sweet,<sup>1</sup> D.M.D, Ph.D.; Miguel Lorente,<sup>2</sup> M.D., Ph.D.; José A. Lorente,<sup>2</sup> M.D., Ph.D.; Aurora Valenzuela,<sup>2</sup> M.D., Ph.D., B.D.S.; and Enrique Villanueva,<sup>2</sup> M.D., Ph.D.

### An Improved Method to Recover Saliva from Human Skin: The Double Swab Technique

**REFERENCE:** Sweet D, Lorente M, Lorente JA, Valenzuela A, Villanueva E. An improved method to recover saliva from human skin: The double swab technique. *J Forensic Sci* 1997;42(2): 320–322.

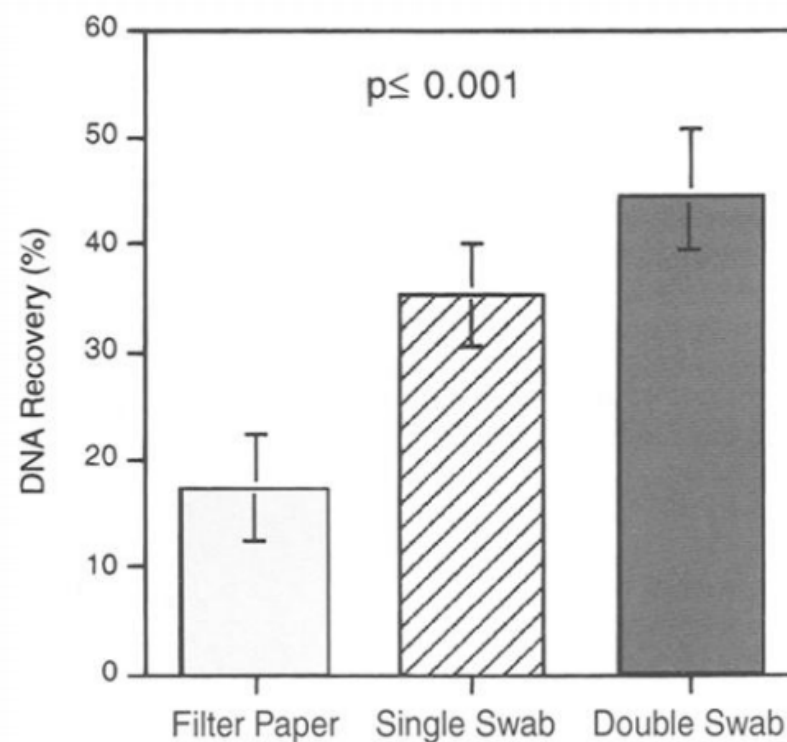
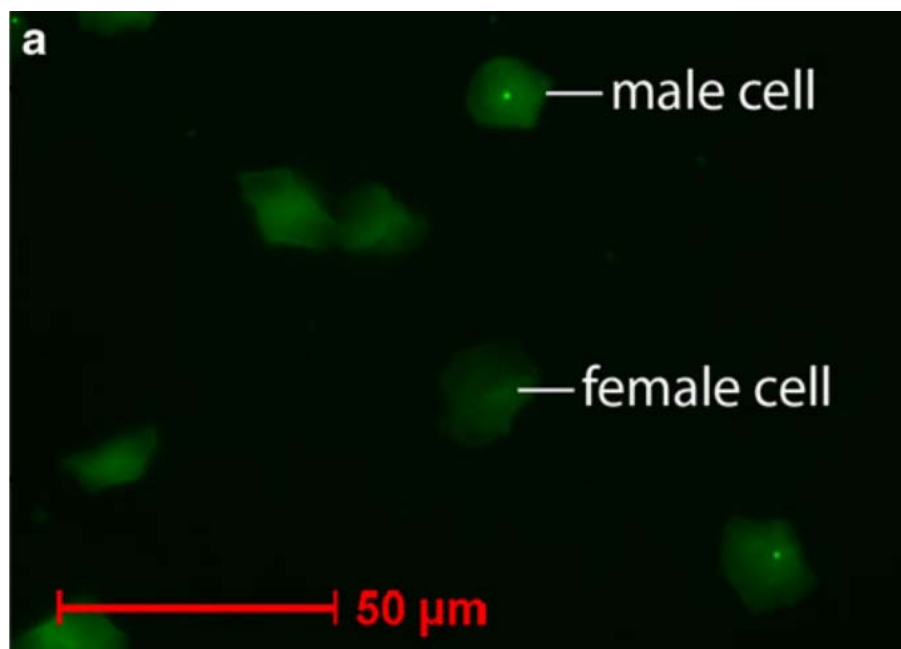


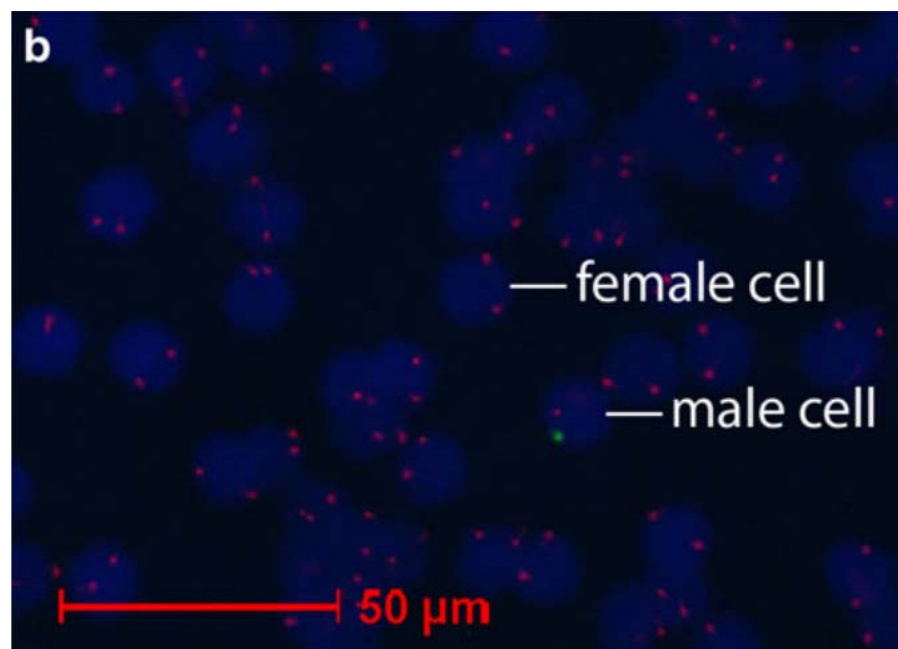
FIG. 1—Comparison of the different methods to recover DNA from skin.

# Laser capture microdissection in forensic research: a review

Mado Vandewoestyne • Dieter Deforce

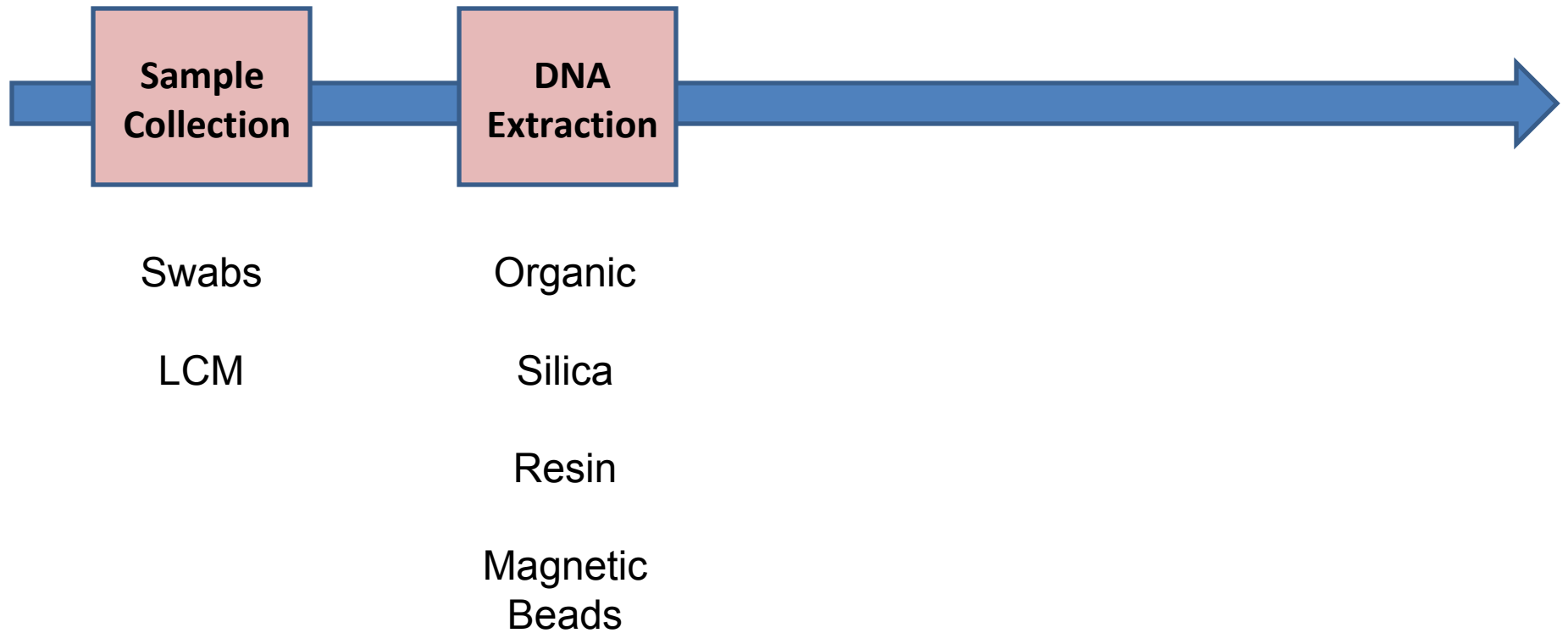


Buccal Cells



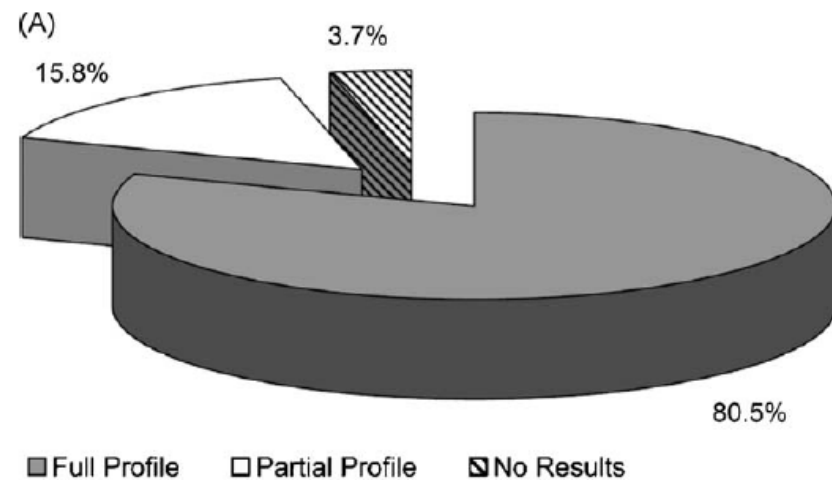
Lymphocytes

# Sample Throughput



# Extraction Efficiency

- Defined using several different methods
  - Full vs. Partial STR Profiles
  - Number of loci successfully genotyped
  - Pass/Fail System

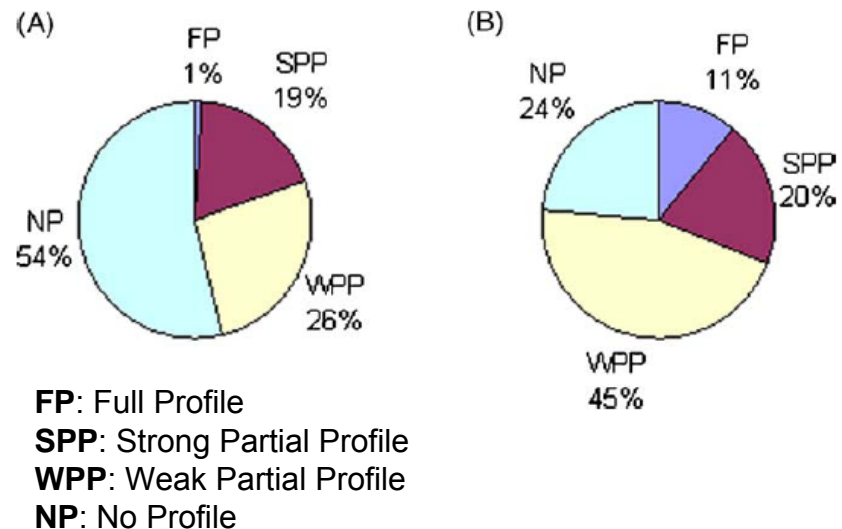


M. Stangegaard et al. "Automated extraction of DNA from reference samples from various types of biological materials on the Qiagen BioRobot EZ1 Workstation." *Forensic Science International: Genetics Supplement Series 2* (2009) 69–70

E. Milne et al. "Buccal DNA Collection: Comparison of Buccal Swabs with FTA Cards." *Cancer Epidemiol Biomarkers Prev* 2006;15(4). April 2006

# Typical Definition of Extraction Efficiency

- The number of observed full STR profiles
- Divided into three categories:
  1. Full Profile
  2. Partial Profile
  3. No Profile





# Typical Definition of Extraction Efficiency

- Recovery compared to another method of extraction (often organic)
- The comparison can be of STR loci recovered or by quantitation using real-time PCR methods
- This is a relative efficiency (practical use)

# Limitations of Current Efficiency Metrics

- Measures end point - efficiency of STR genotyping
- Does not reflect the true efficiency of the extraction process
- Does not account for the initial amount DNA present in the sample
  - However, in case work samples the true amount of starting material is unknown

# True Extraction Efficiency

- The ratio of the **amount of DNA recovered (quantity)** to the **original amount of DNA (known)** after extraction
- This offers the ability to evaluate the true efficiency of the extraction
- The original amount needs to be known

# Testing True Extraction Efficiency

Placing a **known amount** of DNA into the extraction process and determine the amount recovered

- 3 sources of DNA
- 4 extraction methods
- Quantified with real-time PCR

# Sources of DNA

## 1. Highly characterized extracted DNA

- Known quant value: 52.44 ng/ $\mu$ L

## 2. Primary human cell lines\*

- MCF 10A: Human epithelial
- Number of cells determined through flow cytometry

## 3. Whole blood\*

- Assumed white blood cell count of 4.0 million WBC/mL

\*Assume 6 pg of DNA per cell

# Qiagen EZ1 Advanced

EZ1 Advanced uses magnetic separation and multiple washes to purify DNA

- Swabs & Stains: G2 Buffer and Proteinase K added to sample
- Incubated at 56°C for 15 minutes then 95°C for 5 minutes
  - Vortex periodically through incubation (~every 5 minutes)
- Blood: Total sample volume brought up to 200 µL with G2 Buffer



# Modified Salt Out

- Manual extraction process
- Involves a Proteinase K digest
- Saturated Ammonium Acetate solution to separate DNA from other proteins
- Absolute Ethanol wash to precipitate DNA
- Rehydrated with 100  $\mu$ L TE





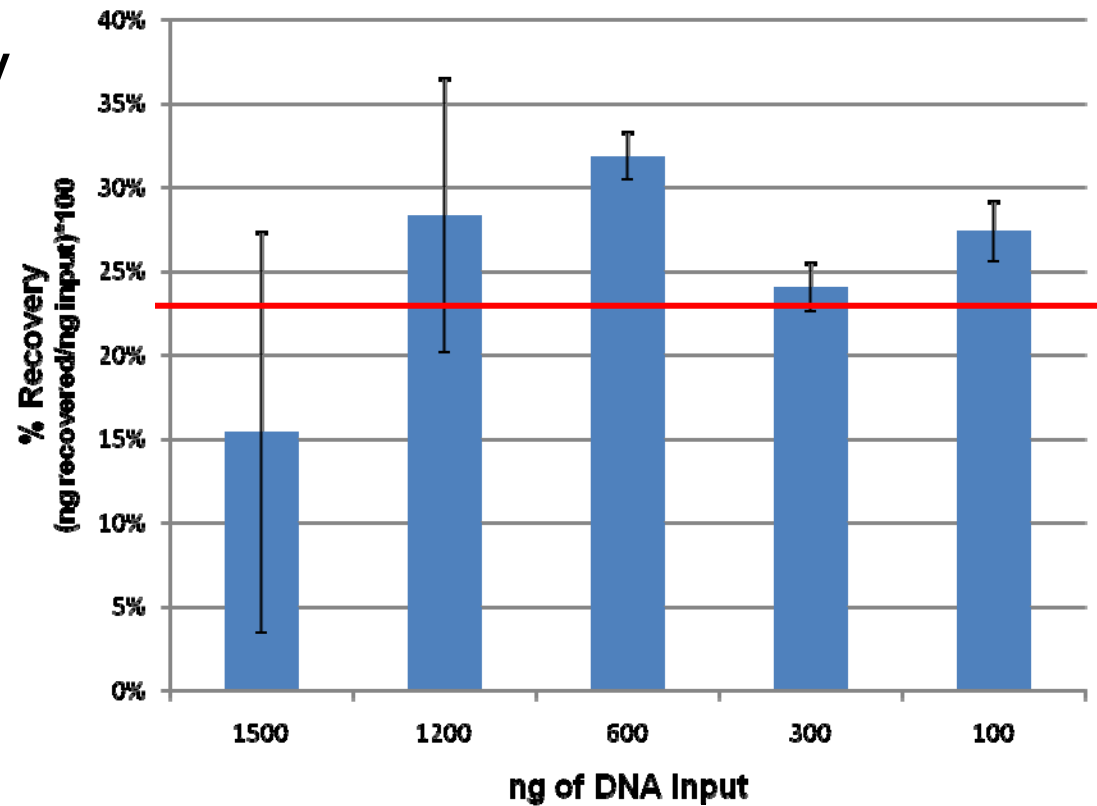


# Extracted DNA Samples

- Varying amounts added to sterile swab (n=18 per quantity)
  - 1500 ng, 1200 ng, 600 ng, 300 ng, 100 ng
- Swabbing method using a Teflon tube
  - Simulated buccal swab being taken
- Allowed sample to dry in hood overnight

# Extracted DNA Efficiency

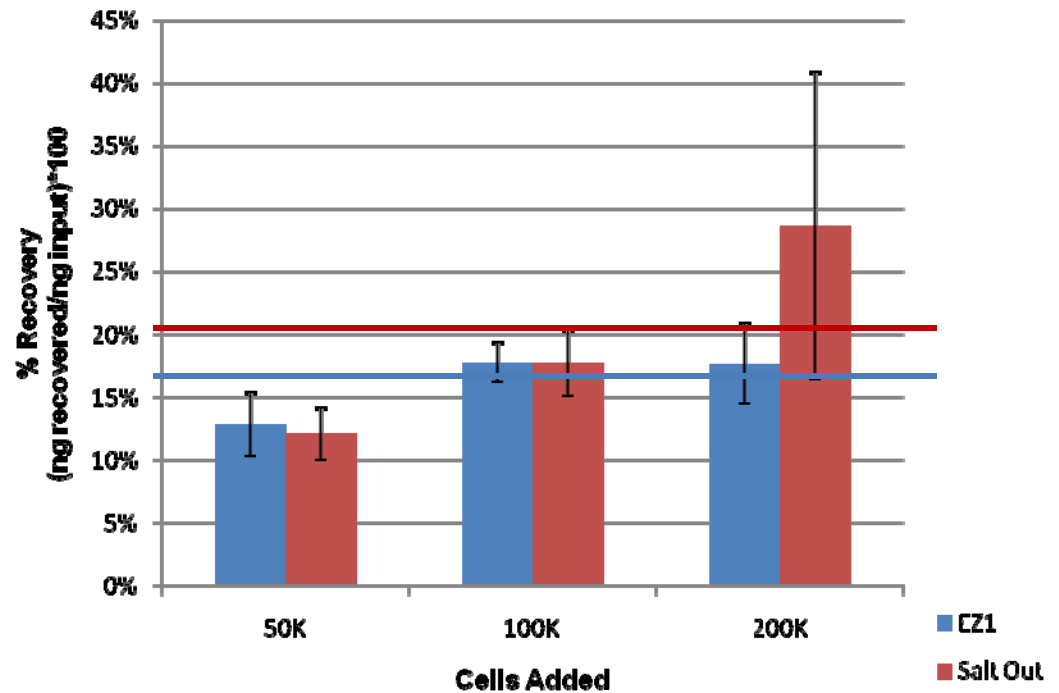
- Extraction with EZ1 from swabs
- n=18 per quantity



# Extracted Cell Line Efficiency

Swabbed 100  $\mu$ L of a solution containing human epithelial cells in a Teflon tube (n=12 per quantity)

- 50,000 cells (300 ng)
- 100,000 cells (600 ng)
- 200,000 cells (1200 ng)



# Blood Extraction Efficiency

- Seven volumes of whole blood tested (n=2 per volume)\*
  - 200  $\mu\text{L}$ , 100  $\mu\text{L}$ , 50  $\mu\text{L}$ , 20  $\mu\text{L}$ , 10  $\mu\text{L}$ , 5  $\mu\text{L}$ , 1  $\mu\text{L}$
  - Ranges from 4800 ng to 24 ng of DNA
- **Liquid blood** extracted without incubation
  - For EZ1 brought to a total volume of 200  $\mu\text{L}$  with G2 Buffer
- **For blood stains:**
  - Blood spotted directly onto Whatman 903 paper
  - Cut into small pieces and placed into extraction tube

\*Assuming 4.0 million WBC/mL and 6 pg of DNA per cell

n=2 per volume

# Liquid Blood Extraction

## EZ1 Extraction

<u>μL Blood</u>	<u>ng DNA</u>	<u>% Recovery*</u>
1	0.7	2.8%
5	30.9	25.7%
10	49.7	20.7%
20	108.3	22.6%
50	160.5	13.4%
100	133.5	5.6%
200	55.8	1.2%

## Salt Out Extraction

<u>μL Blood</u>	<u>ng DNA</u>	<u>% Recovery*</u>
1	0.1	0.1%
5	1.0	0.8%
10	4.4	1.6%
20	58.5	12.2%
50	78.0	6.5%
100	11.6	0.5%
200	0.5	0.1%

\*Assuming 4.0 million WBC/mL

n=2 per volume

# Blood Stain Extraction

## EZ1 Extraction

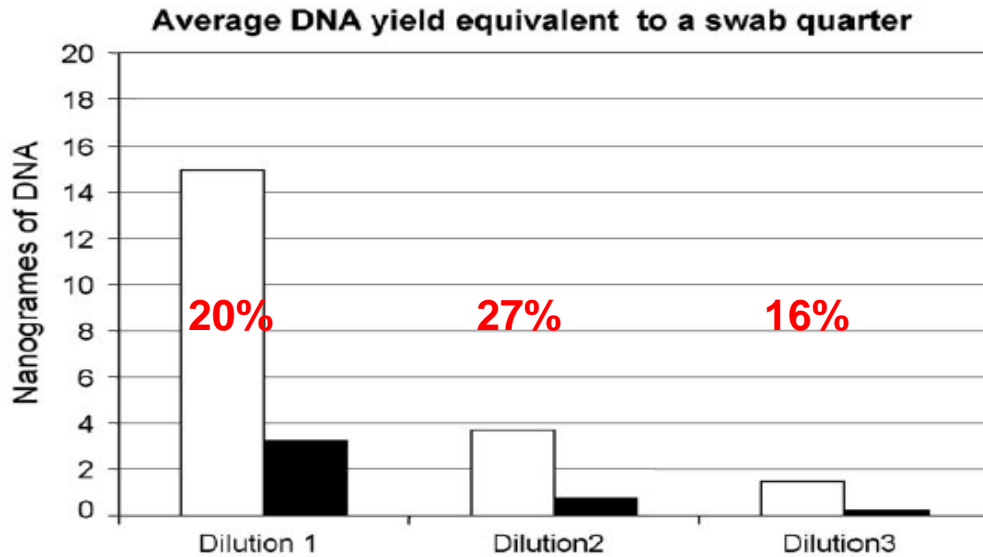
<u>μL Blood</u>	<u>ng DNA</u>	<u>% Recovery*</u>
1	1.9	8.0%
5	20.4	17.0%
10	47.0	19.6%
20	124.5	26.0%
50	292.0	24.3%
100	463.0	19.3%
200	347.5	7.2%

## Salt Out Extraction

<u>μL Blood</u>	<u>ng DNA</u>	<u>% Recovery*</u>
1	0.2	1.0%
5	1.4	1.1%
10	3.1	1.3%
20	6.3	1.3%
50	3.4	0.3%
100	486.0	20.3%
200	559.0	11.7%

\*Assuming 4.0 million WBC/mL

# Extraction Efficiency Results in the Literature



A. Colussi et al. "Efficiency of DNA IQ System in recovering semen from cotton swab." Forensic Science International: Genetics Supplement Series 2 (2009) 87-88.

Fig. 1. The mean DNA input used to embed one quarter of swab (in white) is compared with the mean DNA yield recovered from the quarters of swab (in black).

R. Kishore et al. "Optimization of DNA Extraction from Low-Yield and Degraded Samples Using the BioRobot EZ1 ad BioRobot M48." J Forensic Sci, September 2006, Vol. 51, No 5.

Liquid Blood Dilutions	Volume of Liquid Blood Extracted (µL)	BioRobot <sup>®</sup> EZ1, DNA (ng)	BioRobot <sup>®</sup> EZ1 with cRNA, DNA (ng)	Organic Extraction, DNA (ng)
1:10	0.1	8.025	10.000	7.900
1:50	0.02	0.213	2.250	1.840
1:250	0.004	0.050	0.260	0.263
1:1250	0.0008	0.000	0.040	0.038
1:2500	0.0004	0.000	0.013	0.000

33%

33%

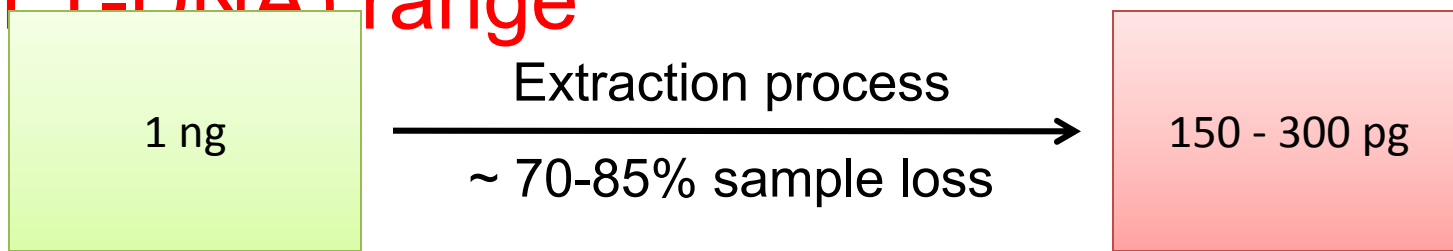
# Summary of True Extraction Efficiency

- Literature studies: 16-33% true extraction efficiency
- **Loss of about 70-85%** of initial sample during the extraction process
- Loss is independent of extraction method or source of DNA (i.e. blood, cells, previously extracted)



# The Net Effect...

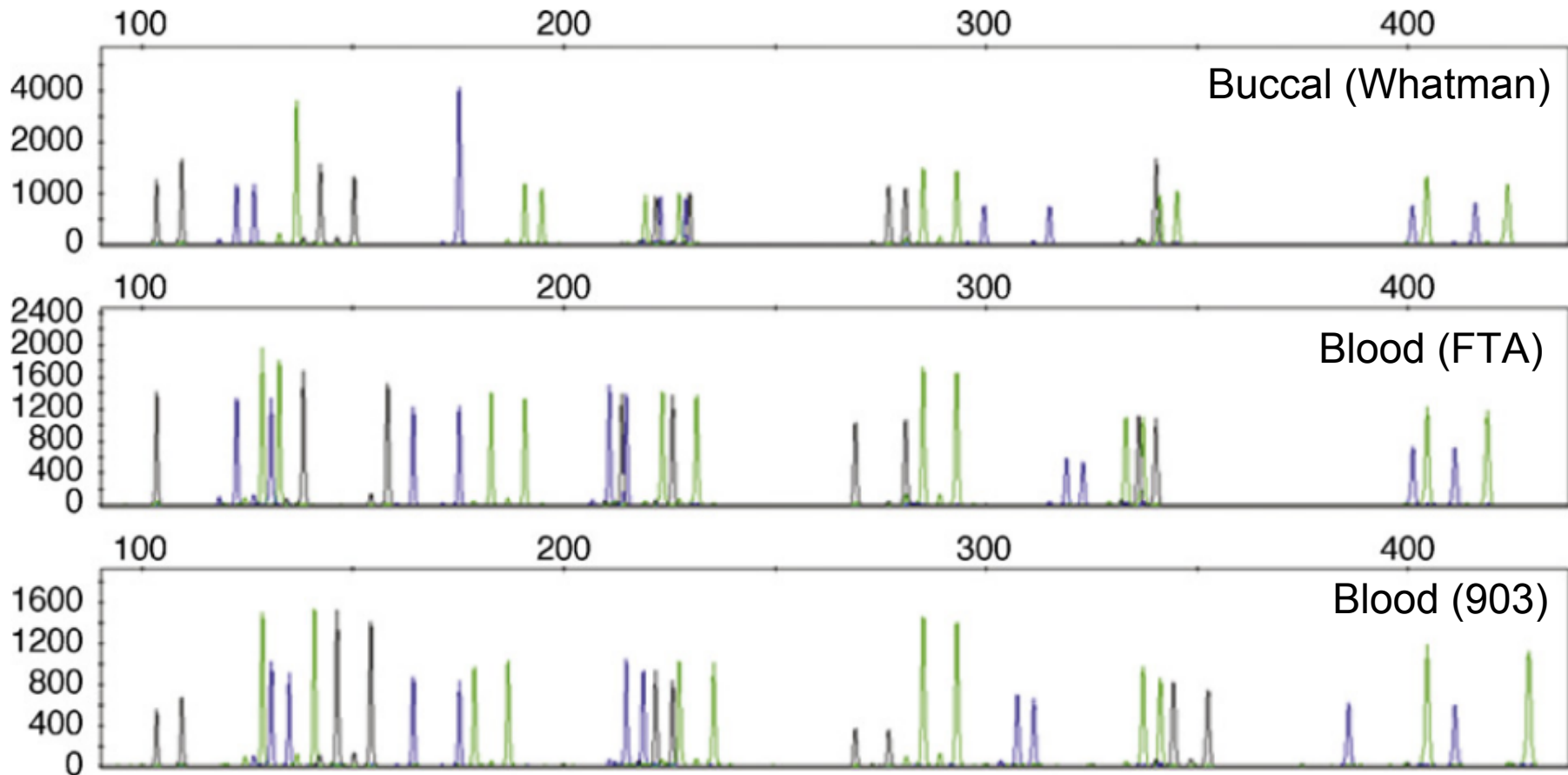
- A majority of sample is lost during extraction
  - Minimal impact on reference samples
  - Enough DNA is recovered for an STR profile
- Low extraction efficiency could lower sample quantity into the Low Template DNA (LT-DNA) range



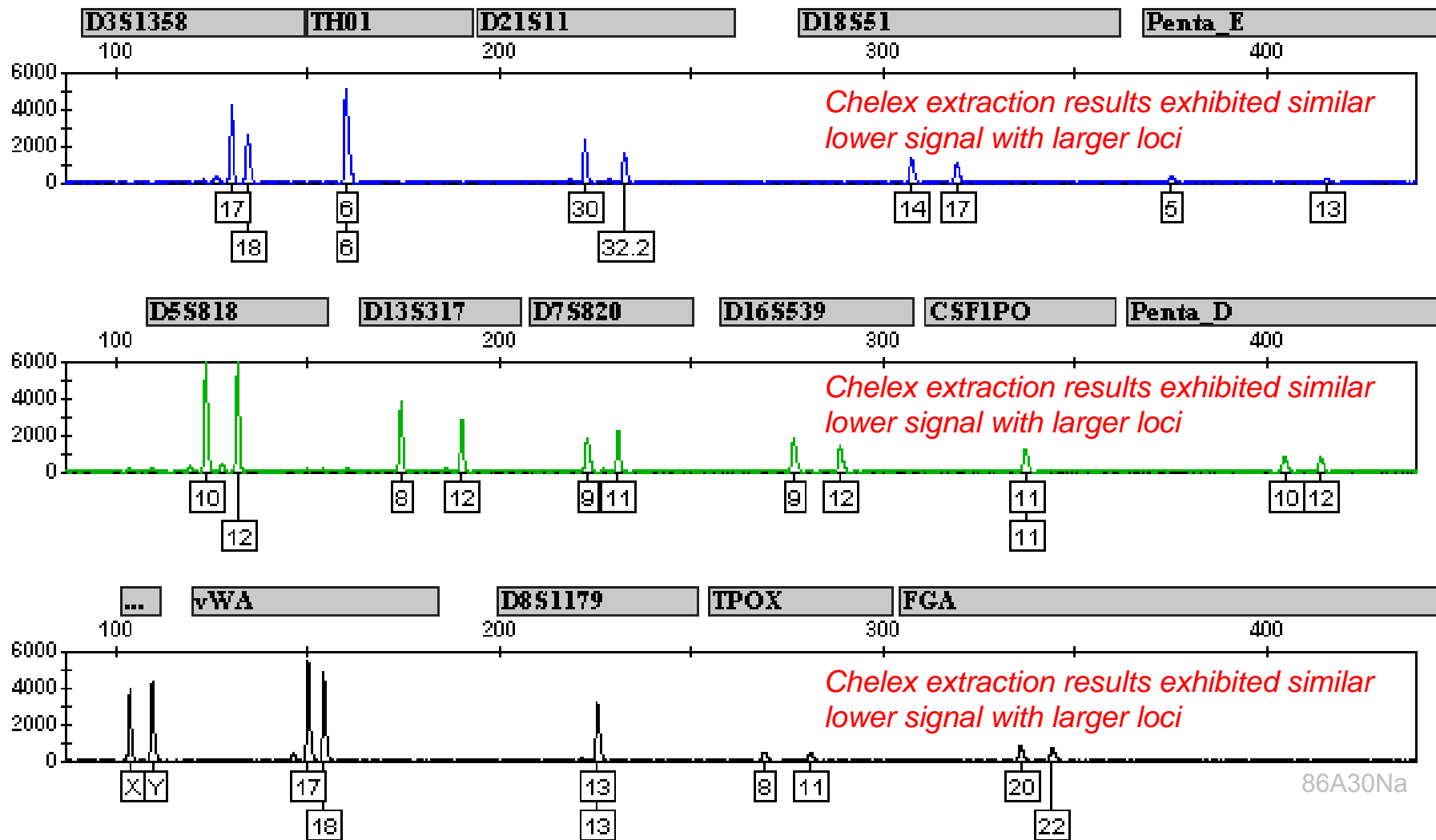
# Developmental validation of the PowerPlex<sup>®</sup> 16 HS System: An improved 16-locus fluorescent STR multiplex

Martin G. Ensenberger<sup>a,\*</sup>, Jonelle Thompson<sup>a</sup>, Becky Hill<sup>b</sup>, Kristen Homick<sup>c</sup>, Veronica Kearney<sup>d</sup>, Kathleen A. Mayntz-Press<sup>e</sup>, Paul Mazur<sup>c</sup>, Amy McGuckian<sup>f</sup>, Jelena Myers<sup>d</sup>, Kelli Raley<sup>e</sup>, Stewart G. Raley<sup>e</sup>, Robin Rothove<sup>g</sup>, Jonathan Wilson<sup>h</sup>, Doug Wiczorek<sup>a</sup>, Patricia M. Fulmer<sup>a</sup>, Douglas R. Storts<sup>a</sup>, Benjamin E. Krenke<sup>a</sup>

*FSI-Genetics* 4 (2010) 257–264.



# Direct PCR (no DNA extraction) using PP16 HS from a 23 year old blood stain (room temperature storage)



**1.2 mm punch (untreated blood stain card S&S 903) and PP16 HS (28 cycles)**

# Bone extraction

# Extraction of Skeletal Remains

Use 2.0-2.5g of powdered bone

Incubated overnight in 3mL extraction buffer:

10mM Tris, pH 8.0,

100mM NaCl, 50mM EDTA, pH 8.0,

0.5% SDS

+100ul of proteinase K

Purified using PCIA and butanol washes

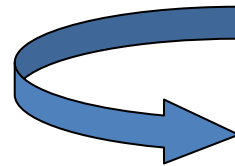
Concentrated in a centrifugal filtration column



# Deminerlization protocol (I)



- EDTA 0.5M, pH 8.5
- Detergent
- Proteinase K
- 1g powder



15ml extraction  
buffer

- Organic extraction (phenol-chloroform)
- Concentration and washes in Centricons.



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)



Forensic Science International: Genetics 1 (2007) 191–195



[www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)

Short communication

## High efficiency DNA extraction from bone by total demineralization<sup>☆</sup>

Odile M. Loreille<sup>\*</sup>, Toni M. Diegoli, Jodi A. Irwin, Michael D. Coble, Thomas J. Parsons<sup>1</sup>

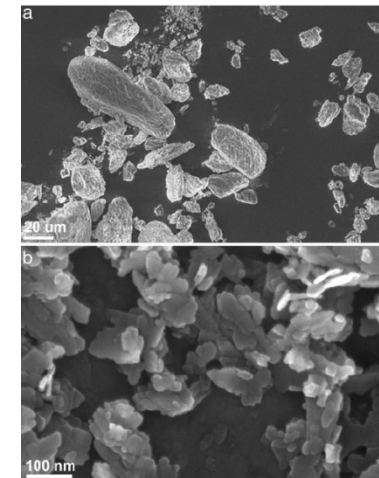
*Armed Forces DNA Identification Laboratory, 1413 Research Blvd., Bldg. 101, Rockville, MD 20850, United States*

Received 24 January 2007; accepted 3 February 2007



# Better quality DNA ?

Sample	Extraction	Bone Powder	Real-time Data
A	Demin.	0.2	2.83
	Casework	1.96	0.16
		1.65	0.16
B	Demin.	0.2	28.56
	Casework	2.02	0.34
		1.29	1.33
C	Demin.	0.2	5.95
	Casework	1.93	0.18
		2.16	0.33
D	Demin.	0.2	31.42
	Casework	2.04	1.19



Salamon et al

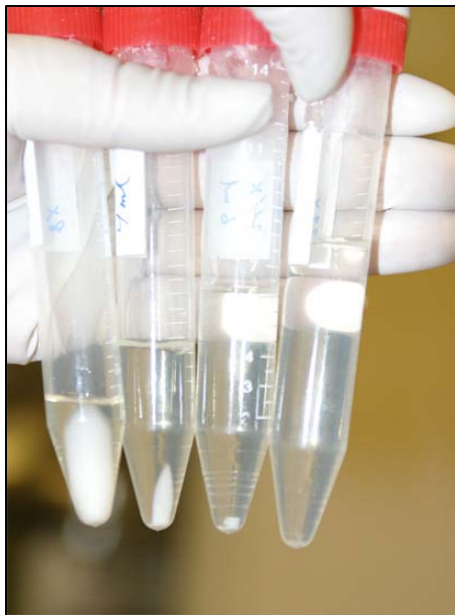


# Demin I - Limitations

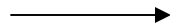
- Loss of DNA during the Phenol-Chloroform extraction stage (toxicity).
- The Phenol-Chloroform stage and centricon spins are time consuming.
- Centricons are now extinct.



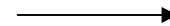
# Demineralization Protocol II



Demin. Buffer



Ultra 4



Qiagen  
Mini Elute

## Volume reduction solid phase extraction of DNA from dilute, large-volume biological samples

Carmen R. Reedy<sup>a</sup>, Joan M. Bienvenue<sup>d</sup>, Lisa Coletta<sup>a</sup>, Briony C. Strachan<sup>a</sup>, Naila Bhatni<sup>d</sup>, Susan Greenspoon<sup>e</sup>, James P. Landers<sup>a,b,c,\*</sup>

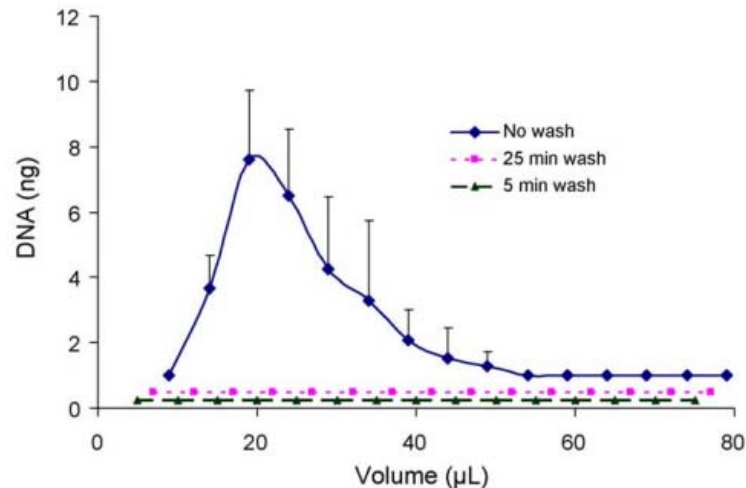
<sup>a</sup>Department of Chemistry, University of Virginia, Charlottesville, VA 22904, United States

<sup>b</sup>Department of Mechanical Engineering, University of Virginia, Charlottesville, VA 22904, United States

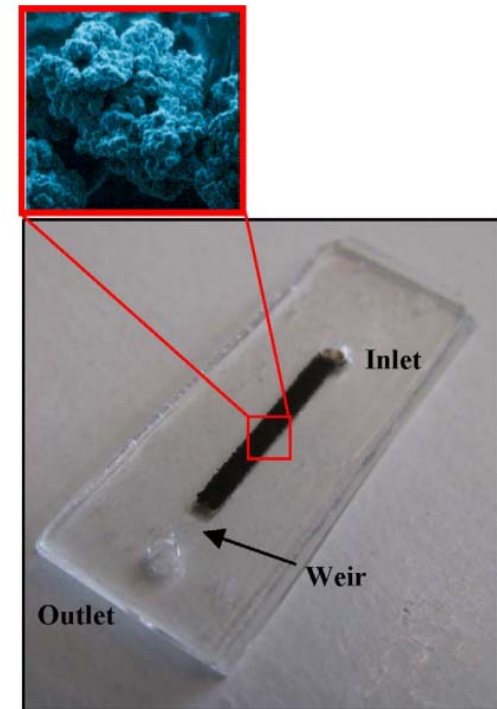
<sup>c</sup>Department of Pathology, University of Virginia Health Science Center, Charlottesville, VA 22908, United States

<sup>d</sup>Armed Forces DNA Identification Laboratory, Rockville, MD 20850, United States

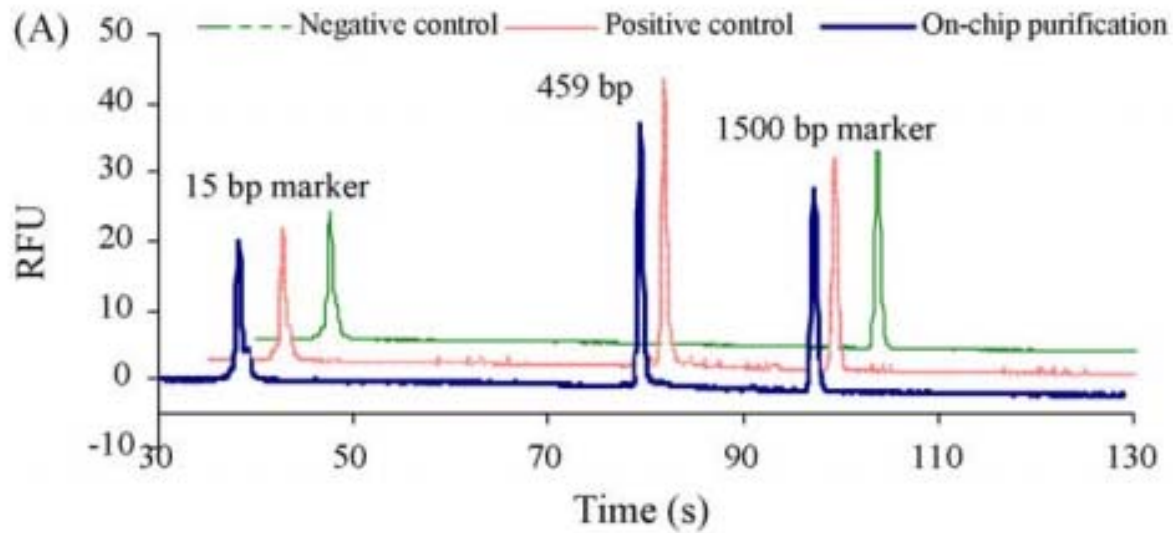
<sup>e</sup>Virginia Department of Forensic Science, Richmond, VA 23219, United States



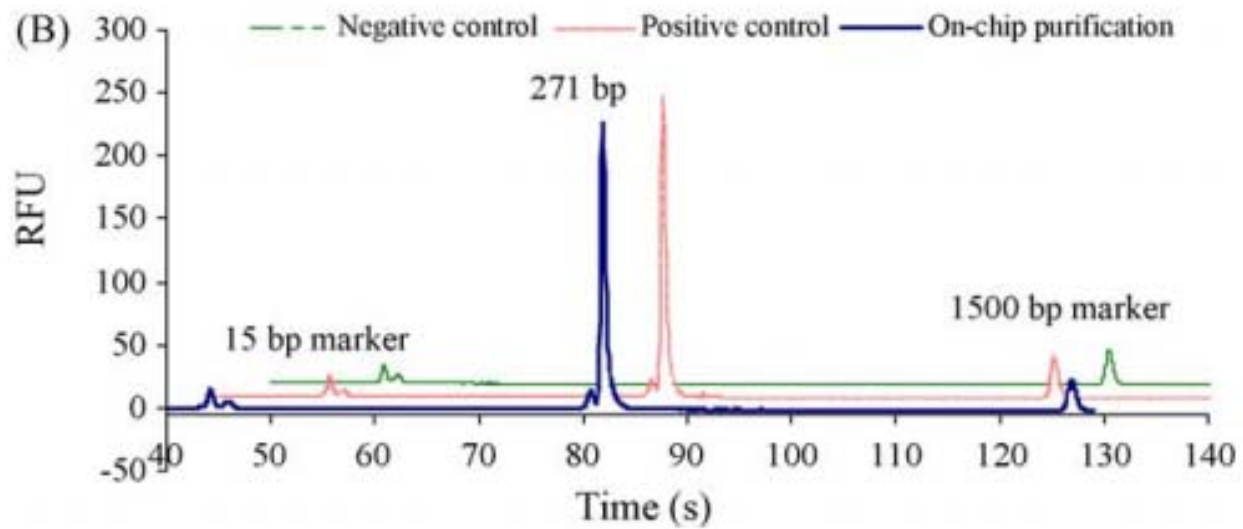
**Fig. 3.** Elution profiles representing optimization of time necessary for 80% IPA wash step during vrSPE extraction procedure for dilute whole blood samples. No 80% IPA wash step was found to be the optimal wash time providing the greatest quantity of recovered DNA.



**Fig. 1.** vrSPE device (1 cm to weir, 1 mm line width, 200 µm deep, 20 µm weir depth) packed with MagneSil™ solid phase. SEM image of a MagneSil™ particle is shown enlarged [22].

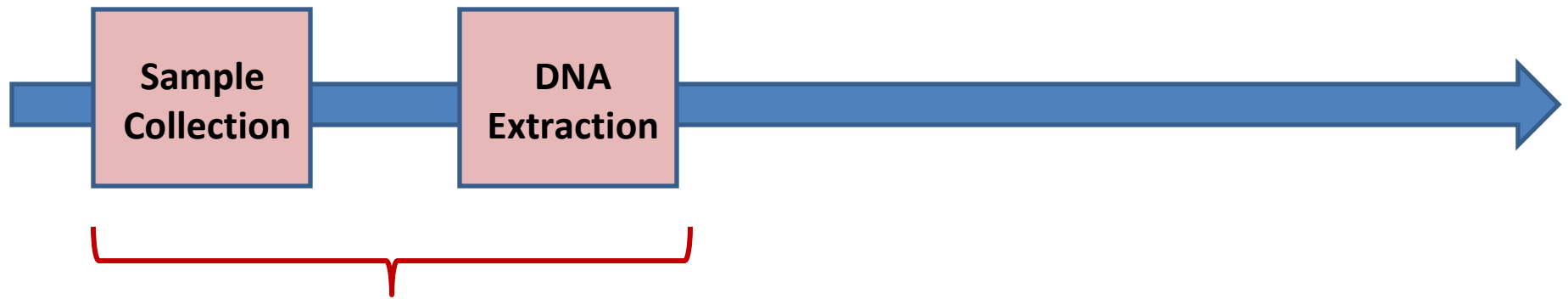


Closed system to reduce contamination

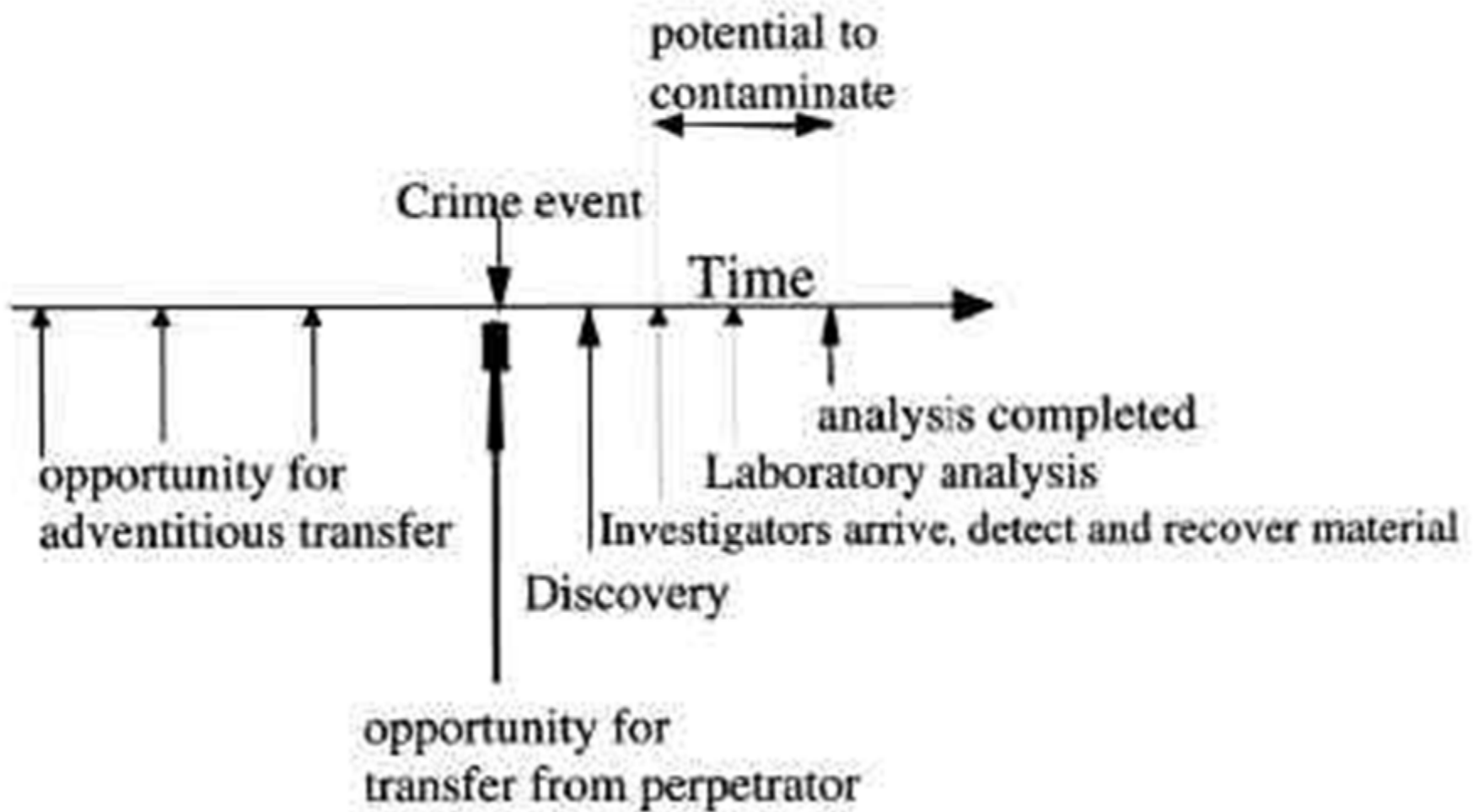


**Fig. 6.** Electropherograms indicating successful amplification of a portion from the HV1 region of the mtDNA genome (HVI primers from VDFS and MPS 2B or PSII primers from AFDIL) after on-chip vrSPE of mtDNA from (A) dilute whole blood and (B) a degraded blood stain.

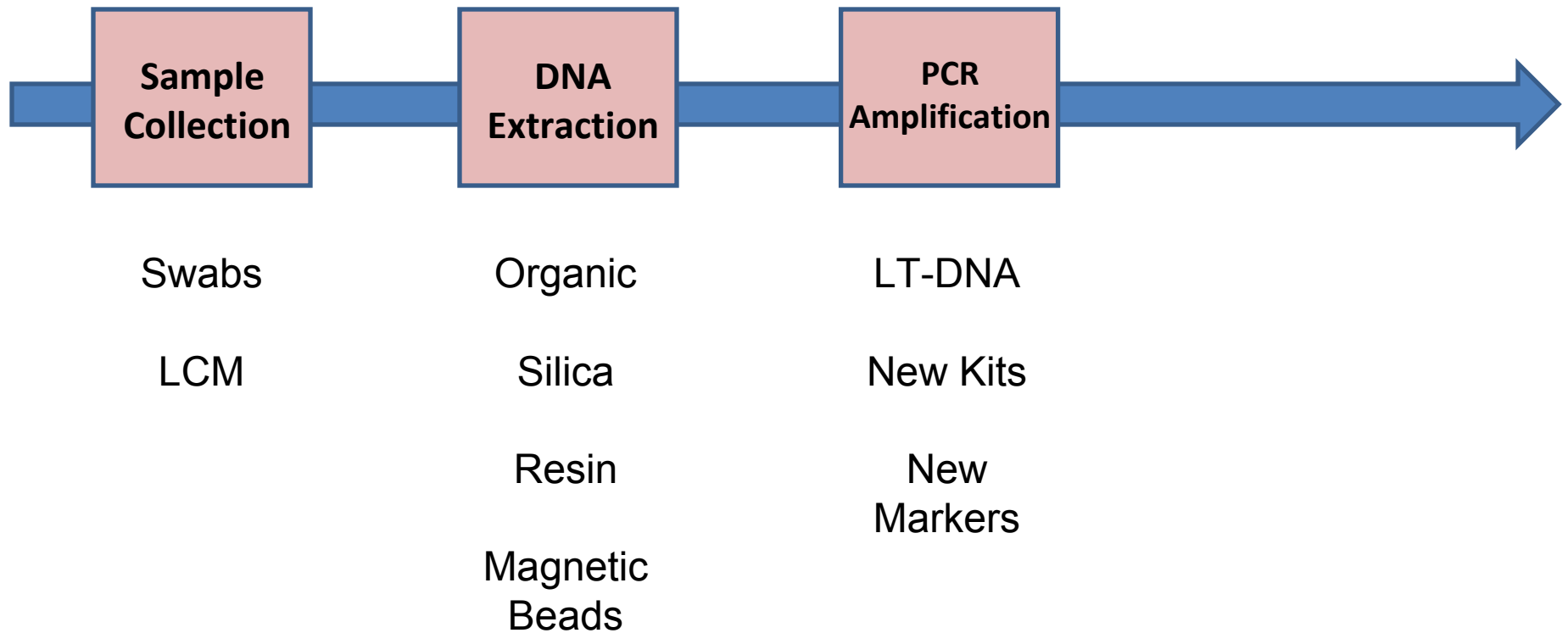
# Sample Throughput



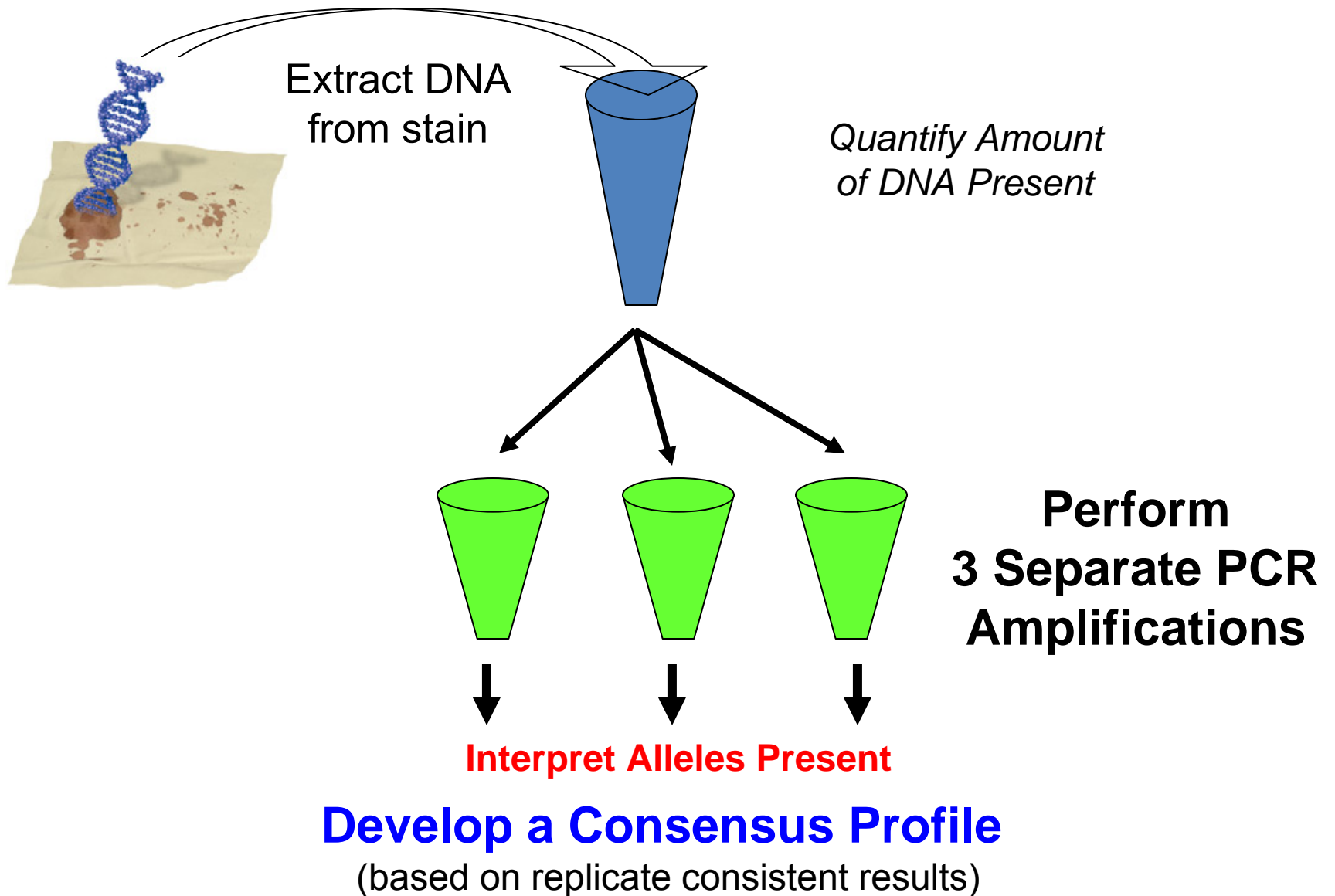
Sensitivity to  
Contamination



# Sample Throughput



# Typical LT-DNA Analysis Procedure

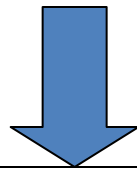




# Comparison of Approaches

## Replicate Amplification with Consensus Profile

Low amount of DNA examined



*Stochastic  
effects*

**Amplification #1**  
**Amplification #2**  
Amplification #3

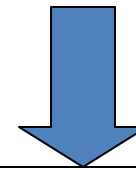
**Consensus Profile Developed**  
(from repeated alleles observed)

**Interpretation Rules Applied**  
(based on validation experience)  
e.g., specific loci may dropout more

**Result can be and usually is  
Reliable & Reproducible**

## Single Amplification

Low amount of DNA examined



*Stochastic  
effects*

**Amplification #1**  
**(only a single test)**

**Result can be  
Unreliable**

**Individual results may vary but a  
consensus profile is reproducible**  
(based on our experience with sensitivity  
studies and replicate amplifications)

**What "LCN Labs" Are Doing**

# Consensus Profiles

G Model  
FSIGEN-613; No. of Pages 13

ARTICLE IN PRESS

Forensic Science International: Genetics xxx (2010) xxx–xxx



ELSEVIER

Contents lists available at ScienceDirect

Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)



Forensic population genetics—original research

Low template STR typing: Effect of replicate number and consensus method on genotyping reliability and DNA database search results

Corina C.G. Benschop<sup>a</sup>, Cornelis P. van der Beek<sup>b</sup>, Hugo C. Meiland<sup>c</sup>, Ankie G.M. van Gorp<sup>a</sup>,  
Antoinette A. Westen<sup>a</sup>, Titia Sijen<sup>a,\*</sup>

<sup>a</sup> Netherlands Forensic Institute, Department of Human Biological Traces, P.O. Box 24044, 2490 AA The Hague, The Netherlands

<sup>b</sup> Netherlands Forensic Institute, Department Dutch DNA database, P.O. Box 24044, 2490 AA The Hague, The Netherlands

<sup>c</sup> Leiden Institute of Advanced Computer Science, Leiden University, Niels Bohrweg 1, 2333 CA Leiden, The Netherlands

**Table 1**

Consensus profiles are generated using varying numbers of PCR replicates ( $n=2$  to  $n=6$ ) with a variable level of requested reproducibility ( $x=1$  to  $x=5$ ). For two LT methods (9 kV and 28 + 6 cycles) the twenty-three single donor samples result in 6578 consensus profiles and the five two-person mixtures result in 1430 consensus profiles.

Level of requested reproducibility ( $x$ )	Number of PCR amplifications ( $n$ )				
	$n=2$	$n=3$	$n=4$	$n=5$	$n=6$
Composite	$x=1$	$x=1$	$x=1$	$x=1$	$x=1$
$n-1^a$	$x=2$	$x=2$	$x=3$	$x=4$	$x=5$
$n/2^{a,b}$	$x=2$	$x=2$	$x=2$	$x=3$	$x=3$
$2\times$	$x=2$	$x=2$	$x=2$	$x=2$	$x=2$
# of methods for each ( $n$ )	2	2	3	4	4
# of combinations out of 6 replicates	15	20	15	6	1
# of consensus profiles per sample	30	40	45	24	4
Total # of consensus profiles per sample per LT method	143				

<sup>a</sup> Since these methods are based on reproducibility to include an allele, the minimum number for  $x$  is two.

<sup>b</sup> Rounded up (e.g. for three replicates  $n/2$  becomes two).

**Composite**

10  
12  

---

10,12

**n - 1**

10 15  
10  
10 15  
15  


---

10,15  
10, F

**n / 2**

10 15  
10  
15  
12  

---

10,15  


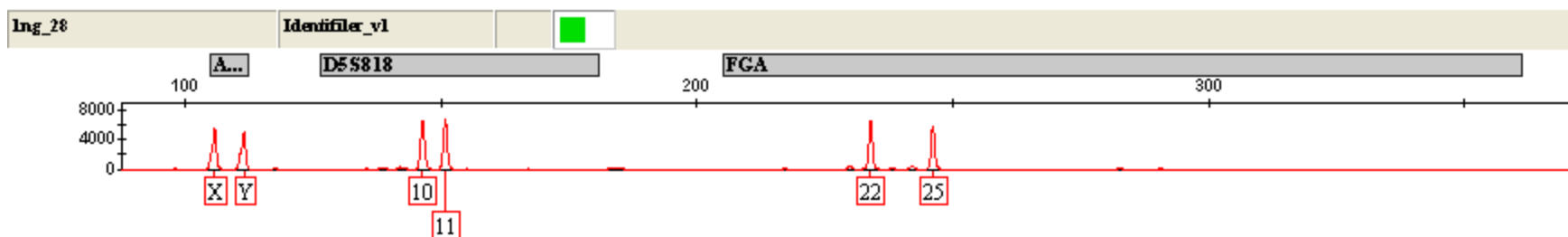
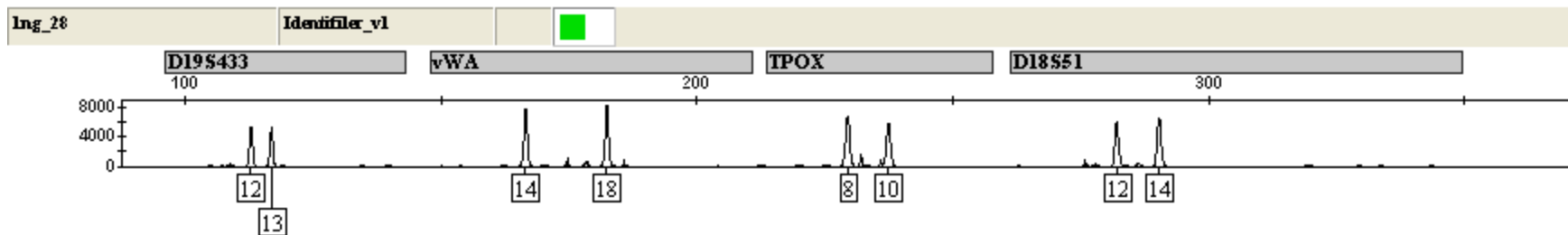
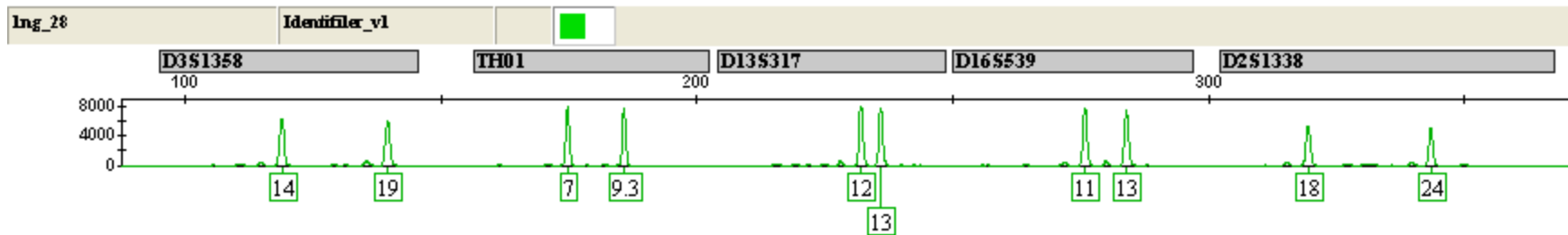
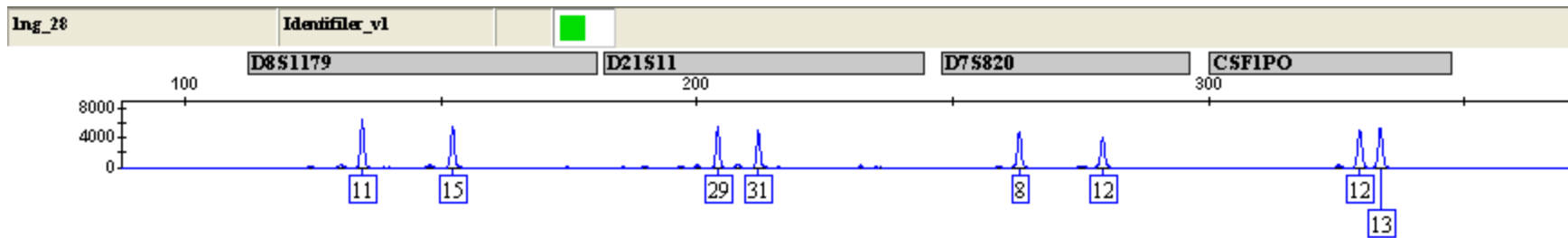
**2X**

10 15  
10  
15  
9 12  

---

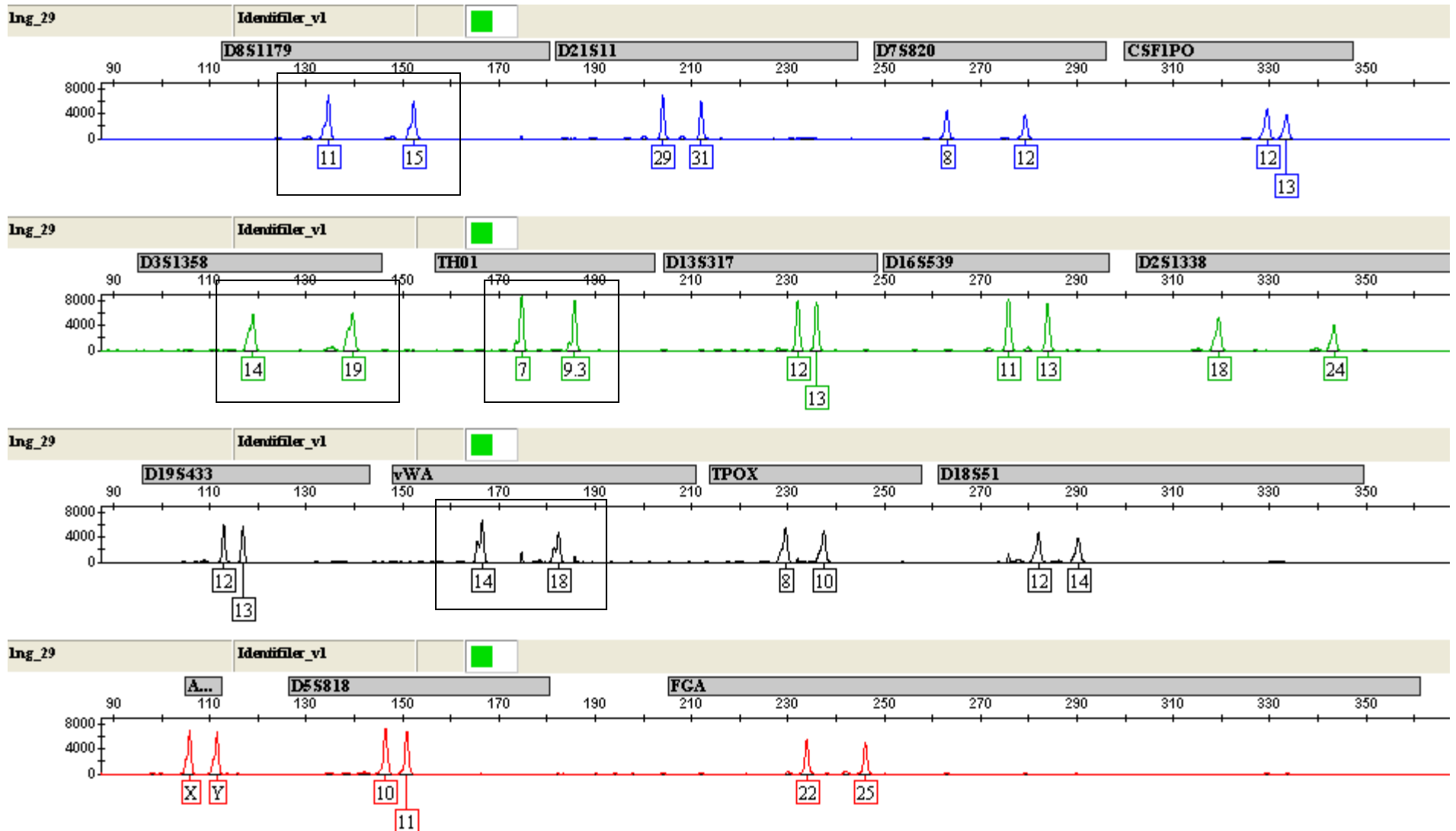
10,15

# Identifiler Plus with 1 ng, 28 cycles (Standard Protocol)



Peak Heights <8000 RFUs, no adenylation issues, well balanced peak height ratios

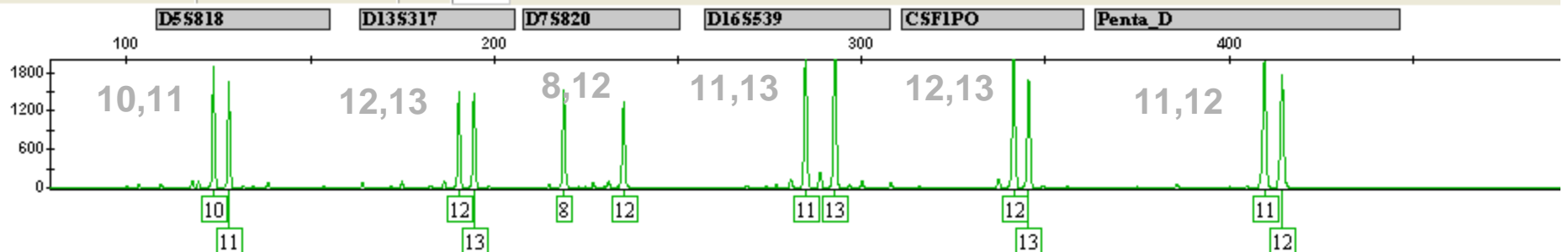
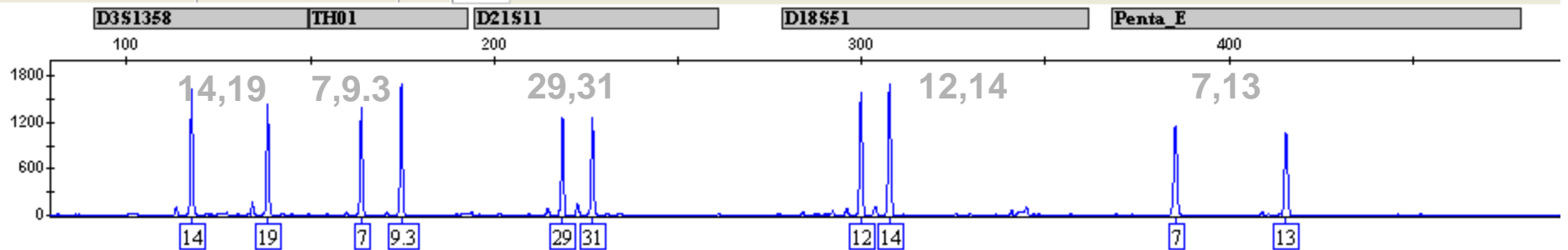
# Identifiler Plus with 1 ng, 29 cycles



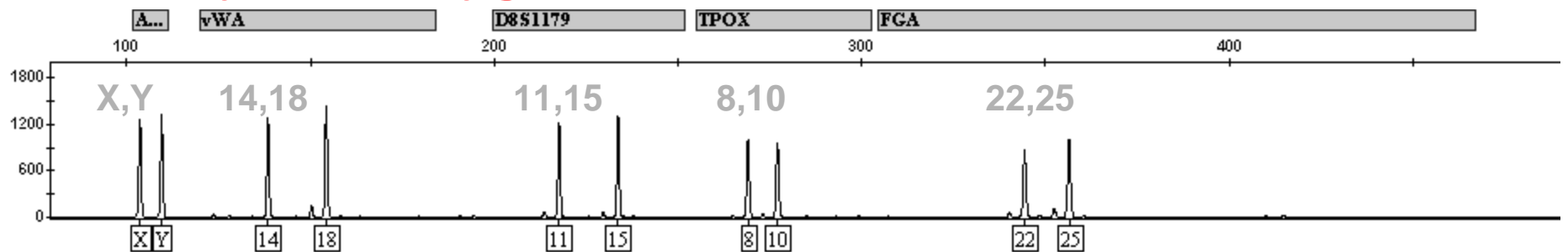
Peak Heights <9000 RFUs, incomplete adenylation, bleed through

# PowerPlex 16 HS (½ Reaction) 1 ng @ 30 cycles

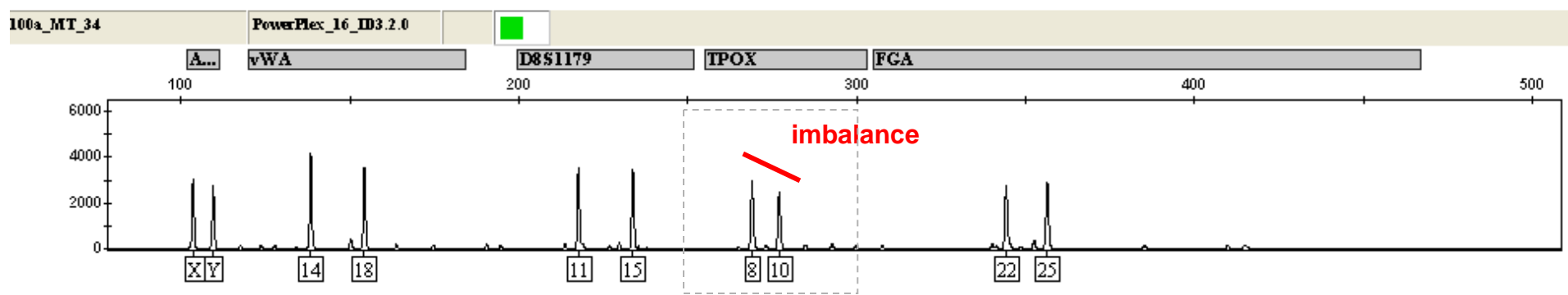
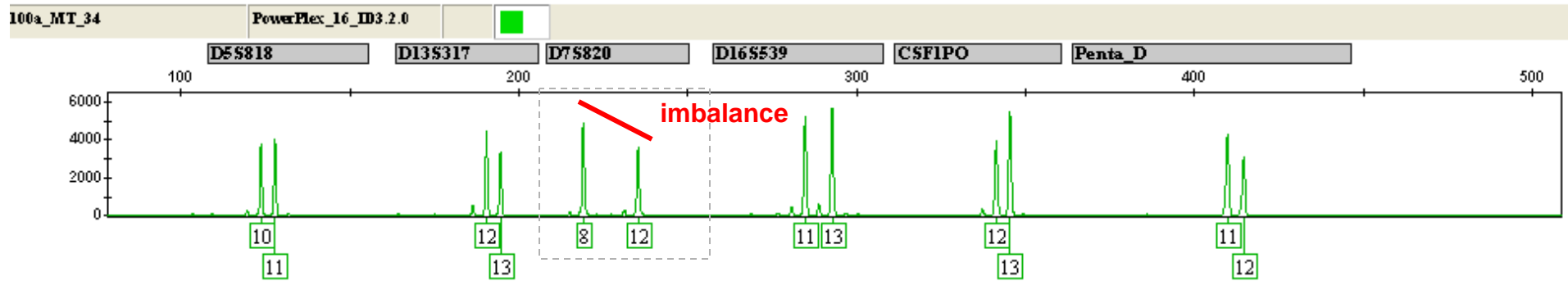
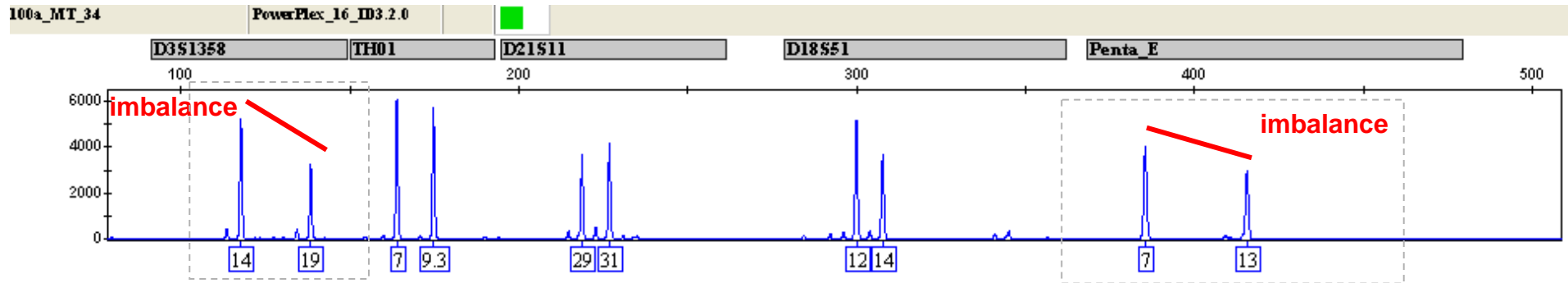
High signal, balanced peak heights (>0.80), no artifacts, low stutter



**A Fully Heterozygous Sample (2 alleles for each locus)**

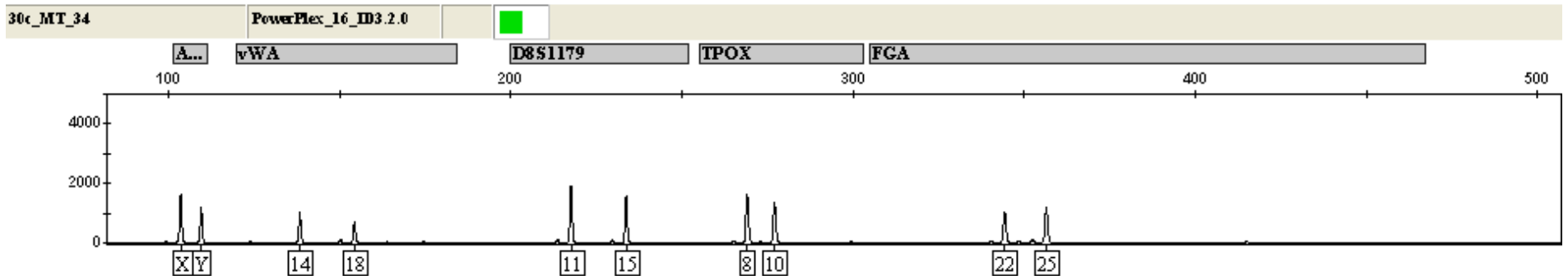
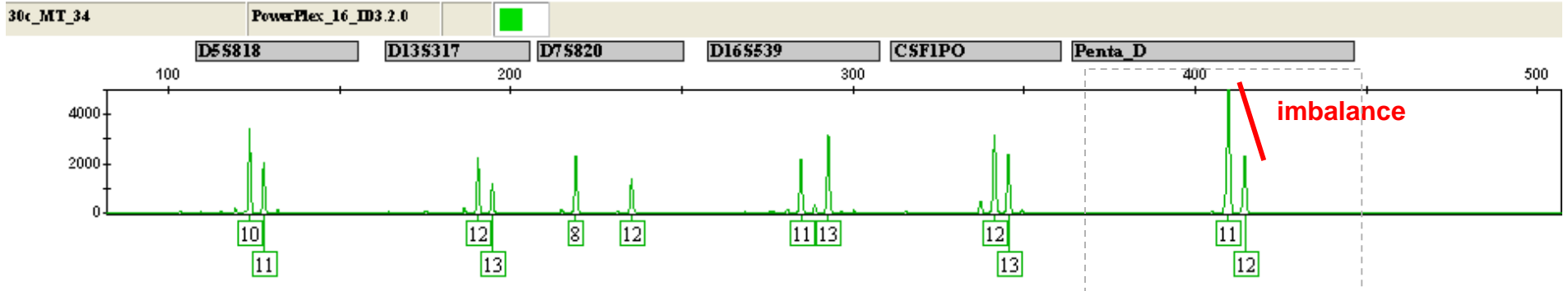
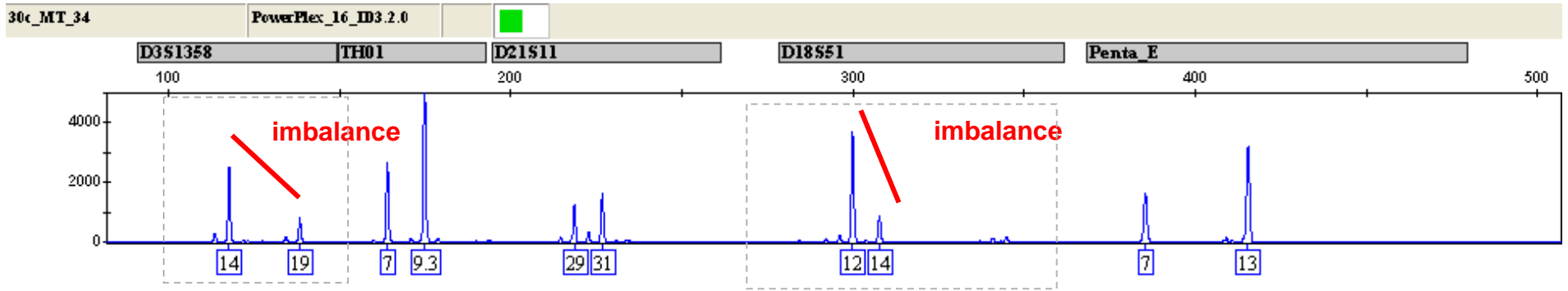


# PowerPlex 16 HS, 100 pg @ 34 cycles, 1/2 Reaction



**\*No drop-out, slight peak height imbalance, full profiles in all replicates**

# PowerPlex 16 HS, 30 pg @ 34 cycles, 1/2 Reaction



**\*No allelic drop-out in replicates, significant peak height imbalance**





# Insertion/Deletion Assay

- Like SNPs, vary widely throughout the genome.
- Amplicons can be made small for degraded and challenged samples.
- No Stutter.
- Useful for complex paternity cases.



# DIPplex

**Table 1. Discrimination power of DIPs, STRs and SNPs**

	<b>Loci</b>	<b>CPE/Trio *</b>	<b>CPI **</b>	<b>Population</b>
Mentype® DIPplex	30 DIPs	0.9980	$2.83 \times 10^{-13}$	German
AmpFISTR Minifiler	8 STRs	0.99976	$8.21 \times 10^{-11}$	US Caucasian
AmpFISTR SEfiler	11 STRs	0.999998	$7.46 \times 10^{-14}$	US Caucasian
Powerplex 16	15 STRs	0.9999994	$5.46 \times 10^{-18}$	US Caucasian
Sanchez et al. 2006	52 SNPs	0.9998	$5.00 \times 10^{-21}$	European

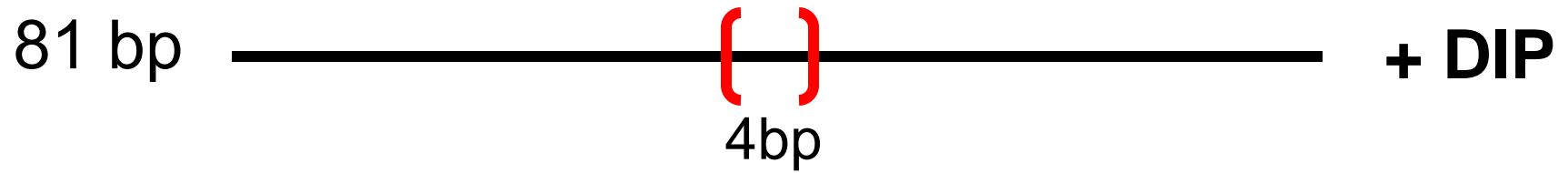
\*combined probability of paternity exclusion, \*\*combined probability of identity

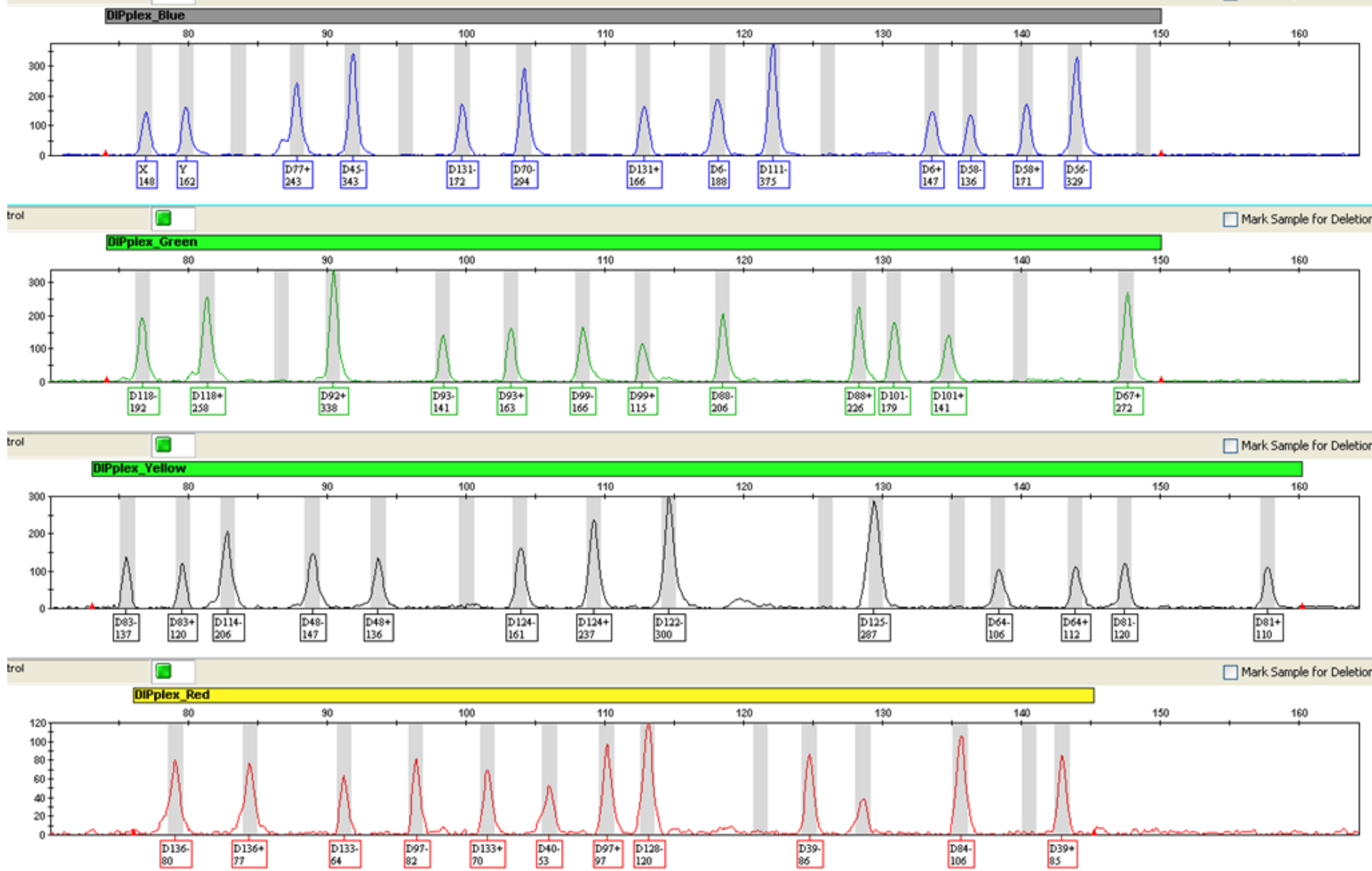
### Investigator DIPplex Kit

**For multiplex amplification of 30 deletion/insertion polymorphisms (also known as INDELs) plus Amelogenin**

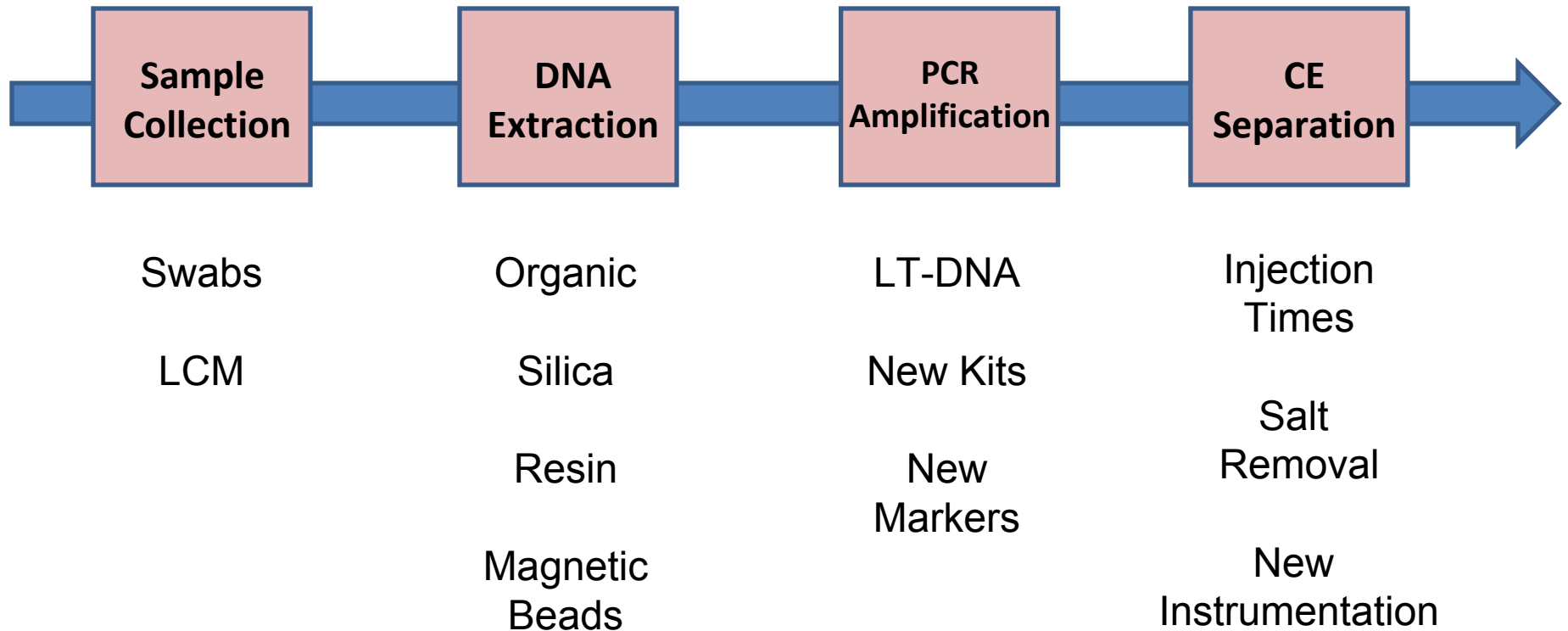
- Unique kit for amplification of deletion/insertion polymorphisms (DIPs)
- Highly suited for degraded DNA samples
- Easy interpretation with dedicated freeware DIPSorter
- Highly sensitive and no overlapping allelic ranges within a panel
- Stutter peaks are not generated during analysis

<u>Marker/Green</u>	<u>-DIP [bp]*</u>	<u>+DIP [bp]*</u>
HLD118	77	81





# Sample Throughput

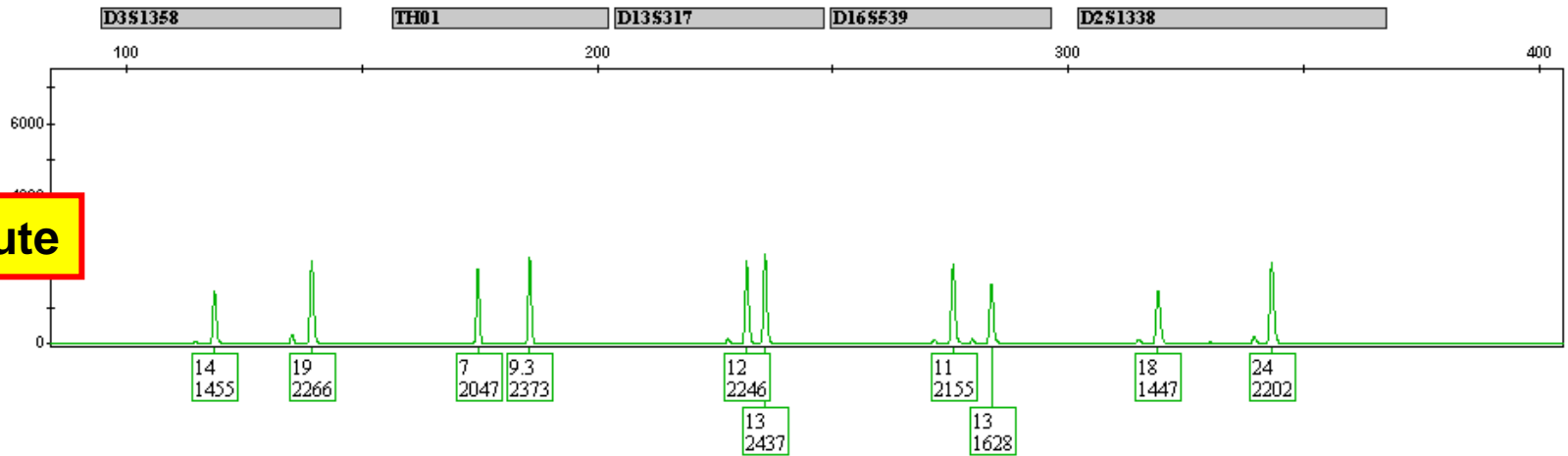


# MinElute PCR Purification Kit

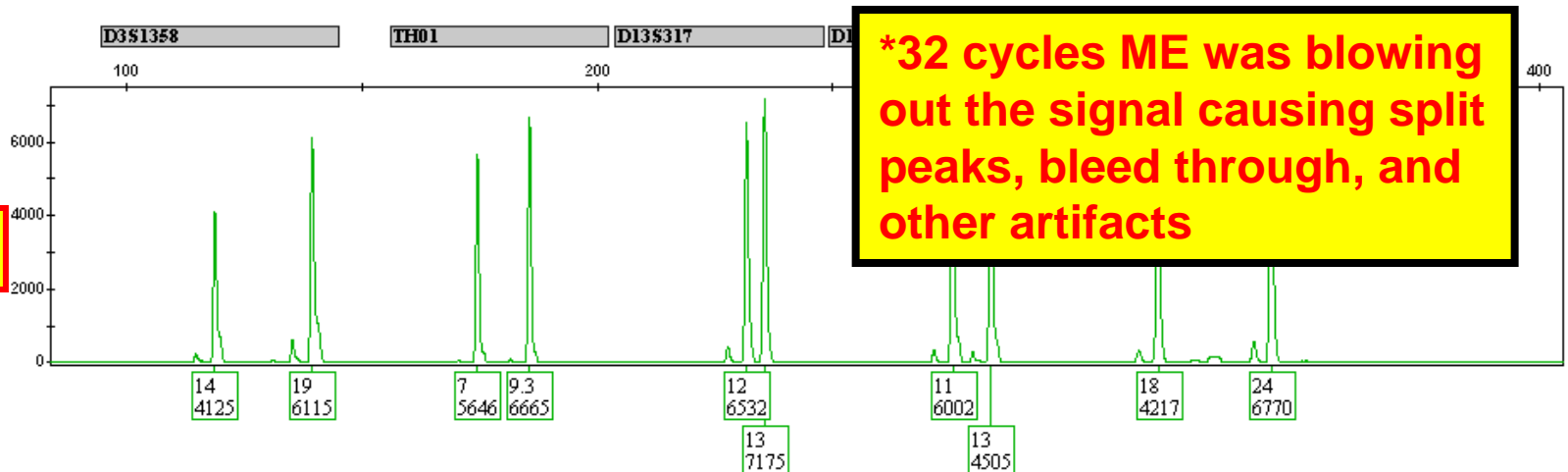
Identifiler Plus, 29 cycles, 100 pg

\*96 well plates with vacuum protocol used

No MinElute



MinElute



\*32 cycles ME was blowing out the signal causing split peaks, bleed through, and other artifacts

Signal Improvement: ~64%      ~64%      ~66%      ~64%      ~67%

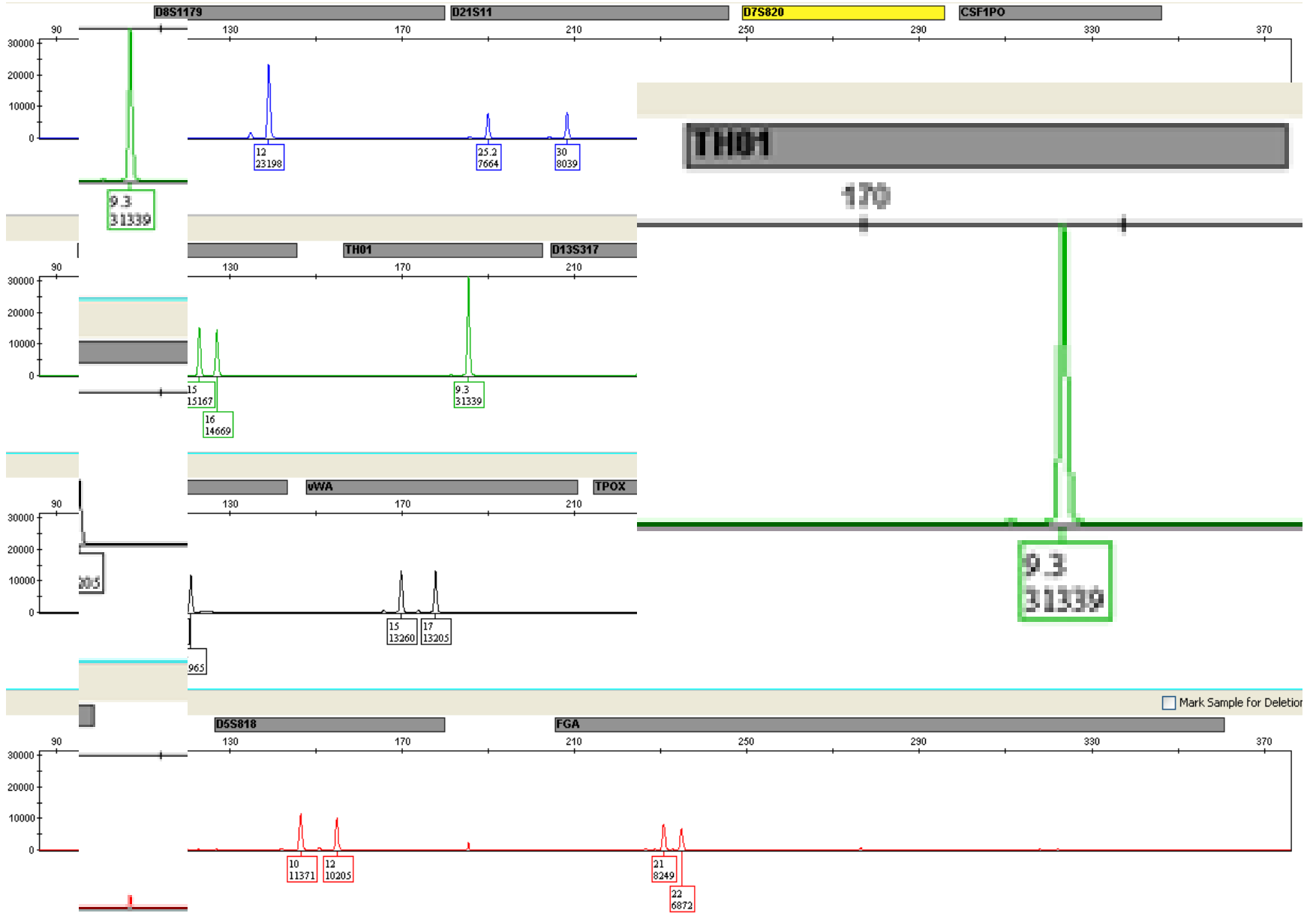
Identifiler Plus data on the 3500x/  
Genetic Analyzer (@ AFDIL)



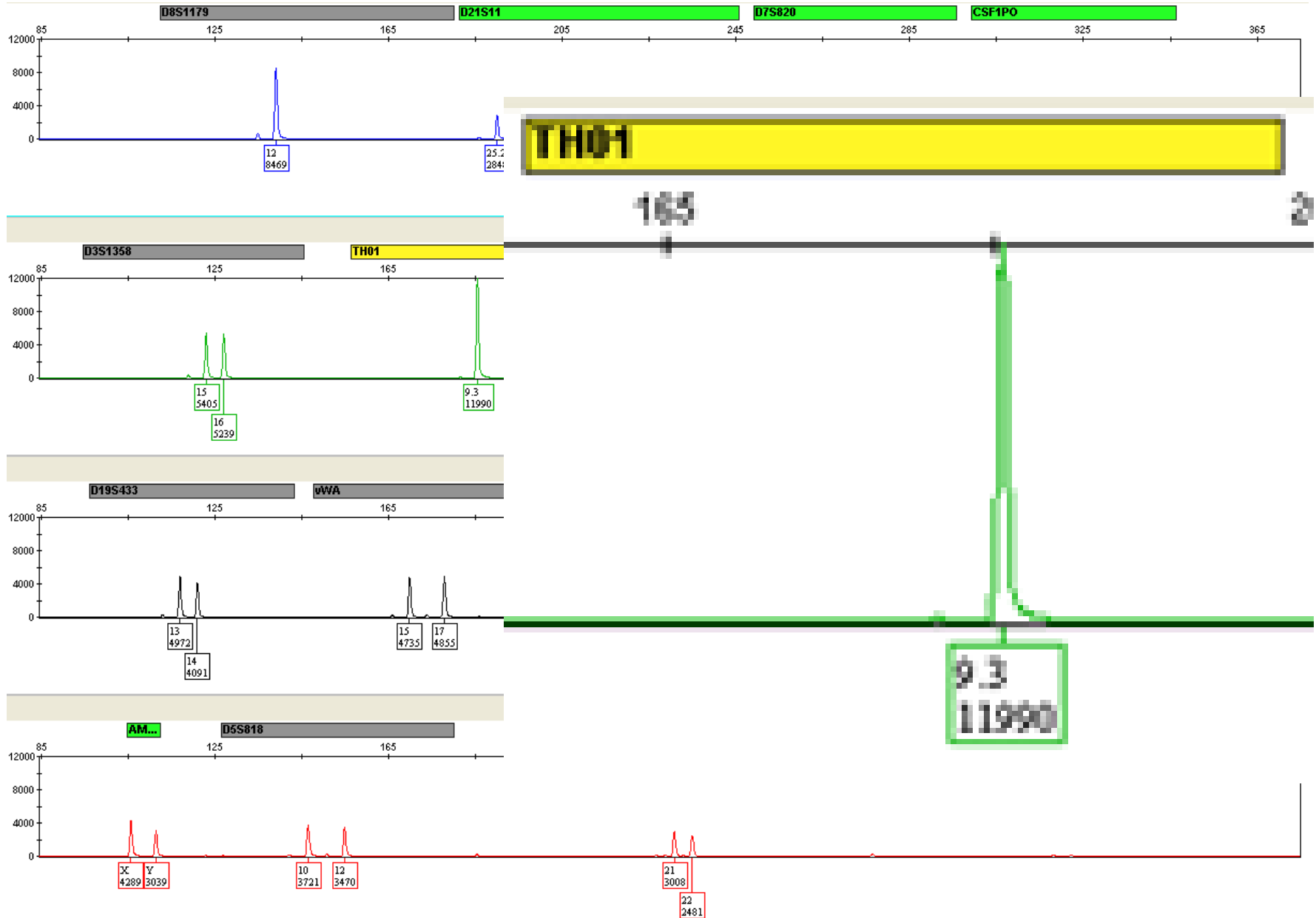
# 3500x/ – 24 Capillary Array



# 3500xl Default Injection, GS 500



# 3500xl Low Injection, GS 500



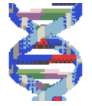
# Conclusions

- Improvements in sample collection, extraction, amplification and separation are growing exponentially!
- With new kits and instrumentation, the sensitivity of detecting very low levels of DNA (authentic or not?) is improving. There should be caution that proper controls and interpretation guidelines are reflected in the analysis of the data.



# The NIST Human Identity Project Team

(Forensic DNA & DNA Biometrics)



Funding from the **National Institute of Justice (NIJ)** through the NIST Office of Law Enforcement Standards and the **FBI S&T Branch** through the NIST Information Access Division

*...Bringing traceability and technology to the scales of justice...*



John  
Butler

*Project Leader,  
Forensic DNA*



Becky  
Hill



Pete  
Vallone

*Project Leader,  
DNA Biometrics*



Erica  
Butts

AFDIL  
Odile Loreille  
Research Section  
Suni Edson

Promega  
Carol Bingham

LT-DNA Testing  
3500 testing

DNA Collection  
and Extraction  
DipPlex Markers

[michael.coble@nist.gov](mailto:michael.coble@nist.gov)

301-975-4330

# Questions?

