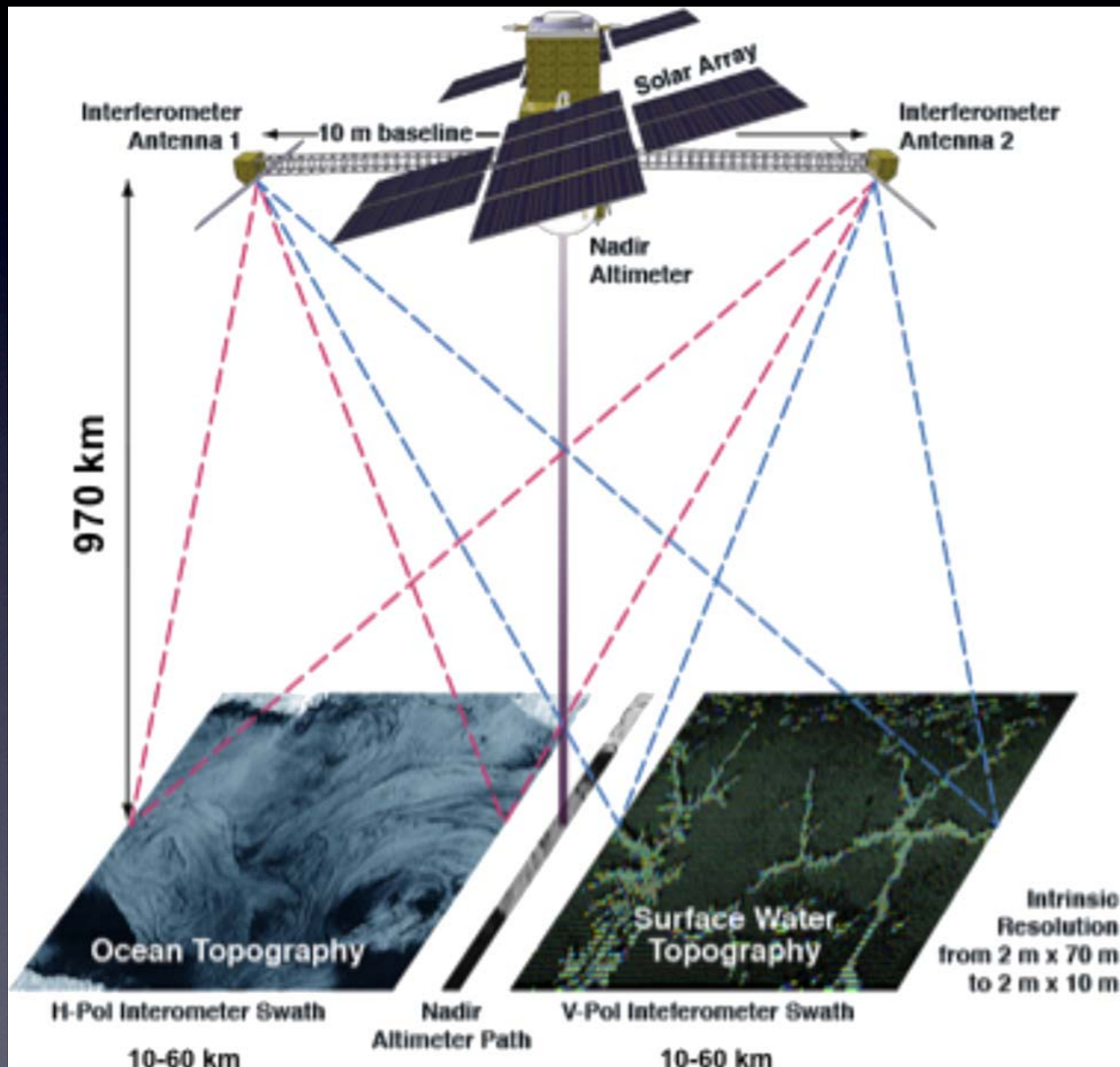


# A Bayesian analysis scheme for estimating river depth and discharge using SWOT measurements

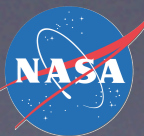


Michael Durand, Kostas  
Andreadis, Doug Alsdorf,  
Greg Baker  
Ohio State University

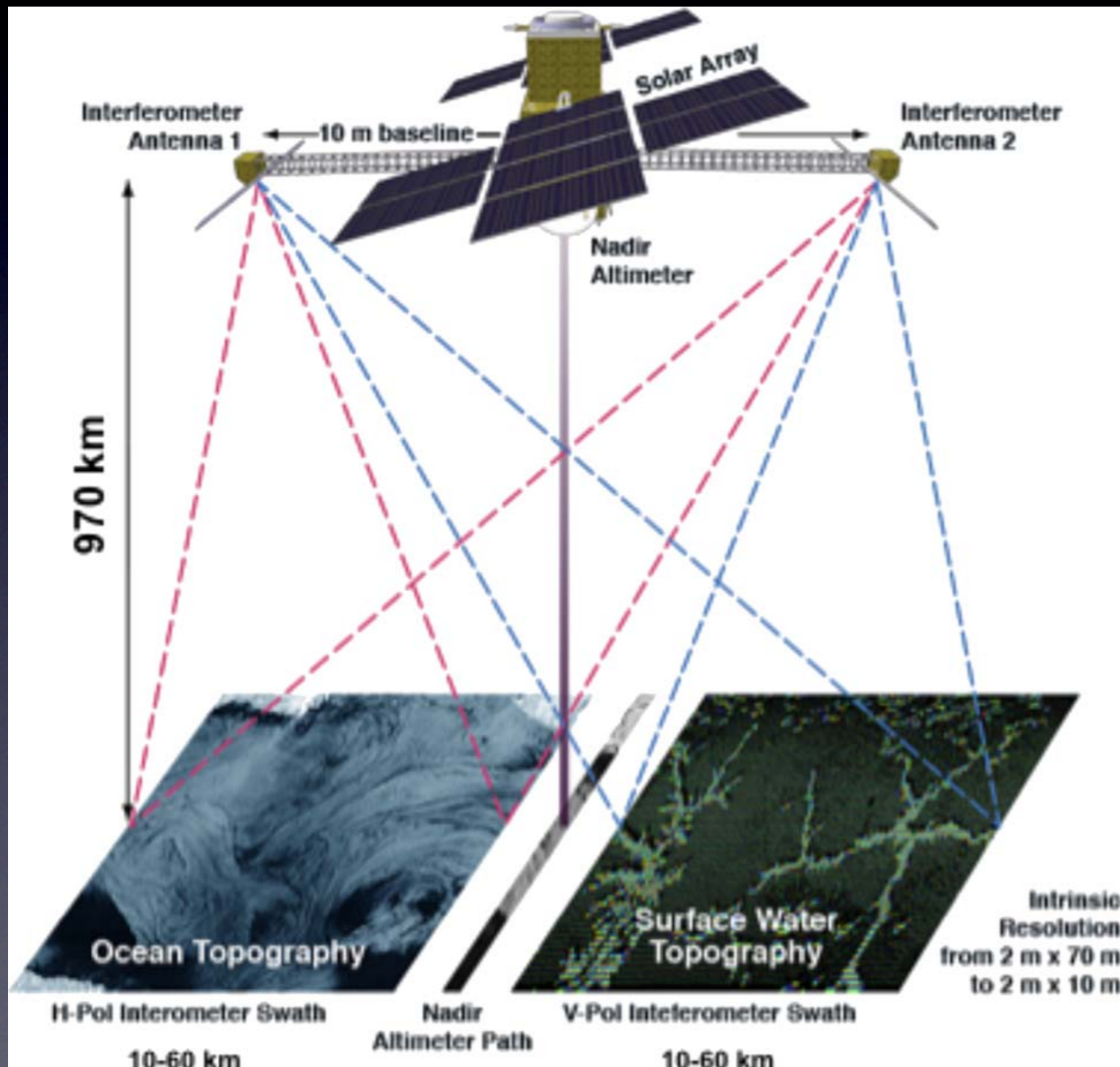
Larry Smith, Matt Mersel  
UCLA

American Meteorological  
Society  
January 25, 2011

Funding: Physical Oceanography

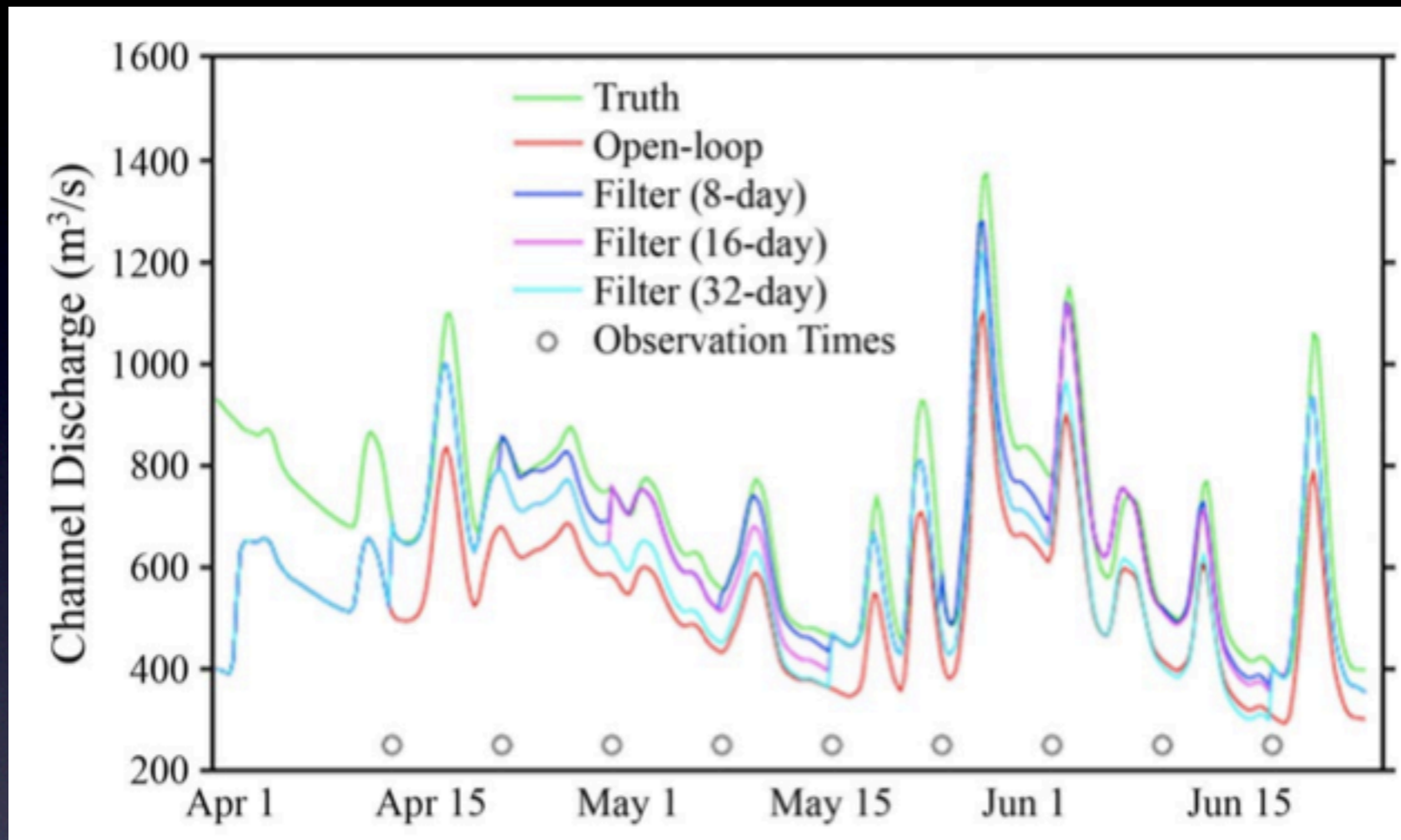


# SWOT measurements of rivers



- Launch date: 2019
- Will measure water elevation via Ka-band radar interferometer
- Spatial resolution: 2m-50m
- Temporal resolution: day - week
- Given water heights, find discharge

# Discharge via data assimilation



Andreadis et al, GRL, 2007

- Hydrology model (e.g. VIC) gives prior discharge
- Hydraulics model (e.g. LISFLOOD-FP) relates discharge to height measurements: wildly expensive

# Doing hydraulics backwards

- Remove hydraulic model (reduce computational expense)
- Simplified mass and momentum conservation: apply to a reach, in between SWOT overpasses

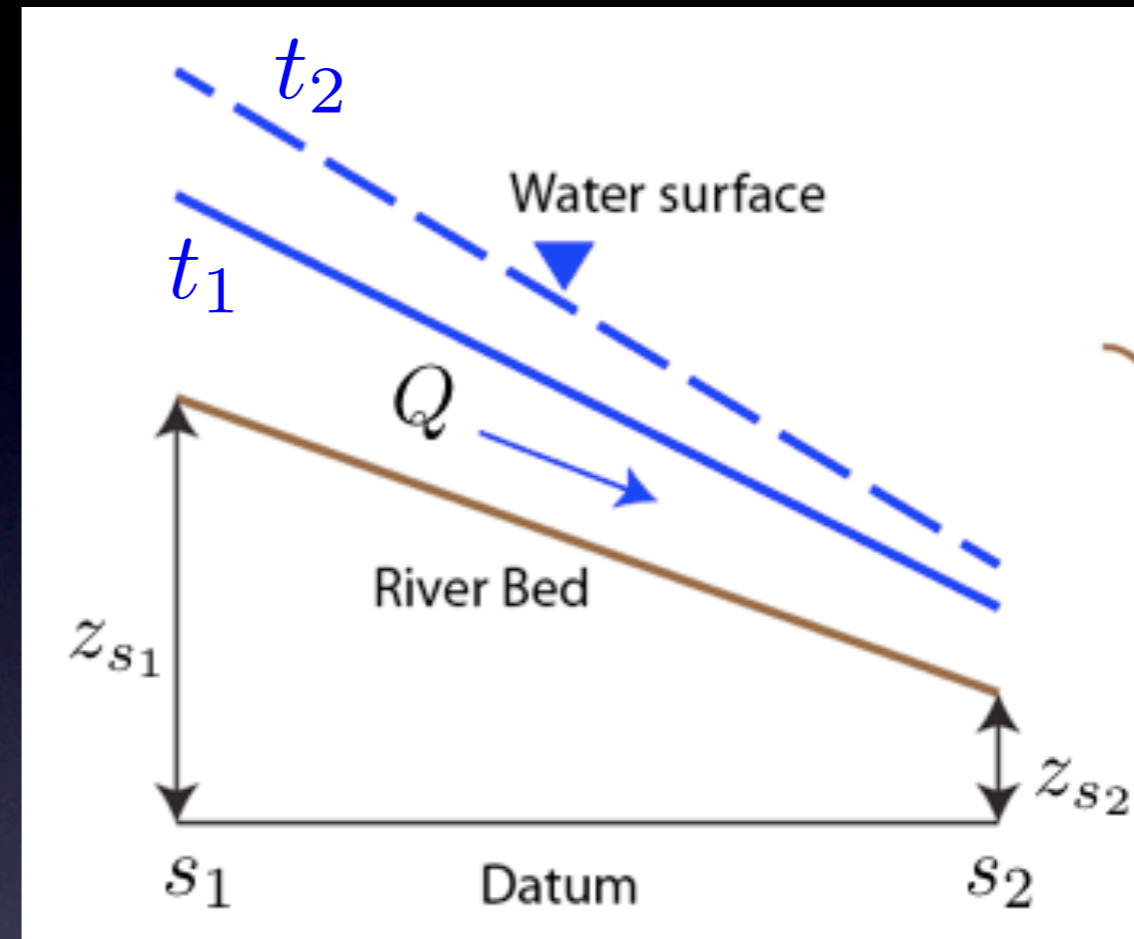
$$\frac{\partial Q}{\partial x} = -T \frac{\partial h}{\partial t} \quad \frac{Q^2}{K^2} = -\frac{\partial h}{\partial x}$$

- Solve using Bayesian Metropolis MCMC algorithm

# Conservation of mass

$$\frac{\partial Q}{\partial x} + T \frac{\partial h}{\partial t} = 0$$

Apply to a reach for period  $\tau_1$  between overpasses  $t_1, t_2$



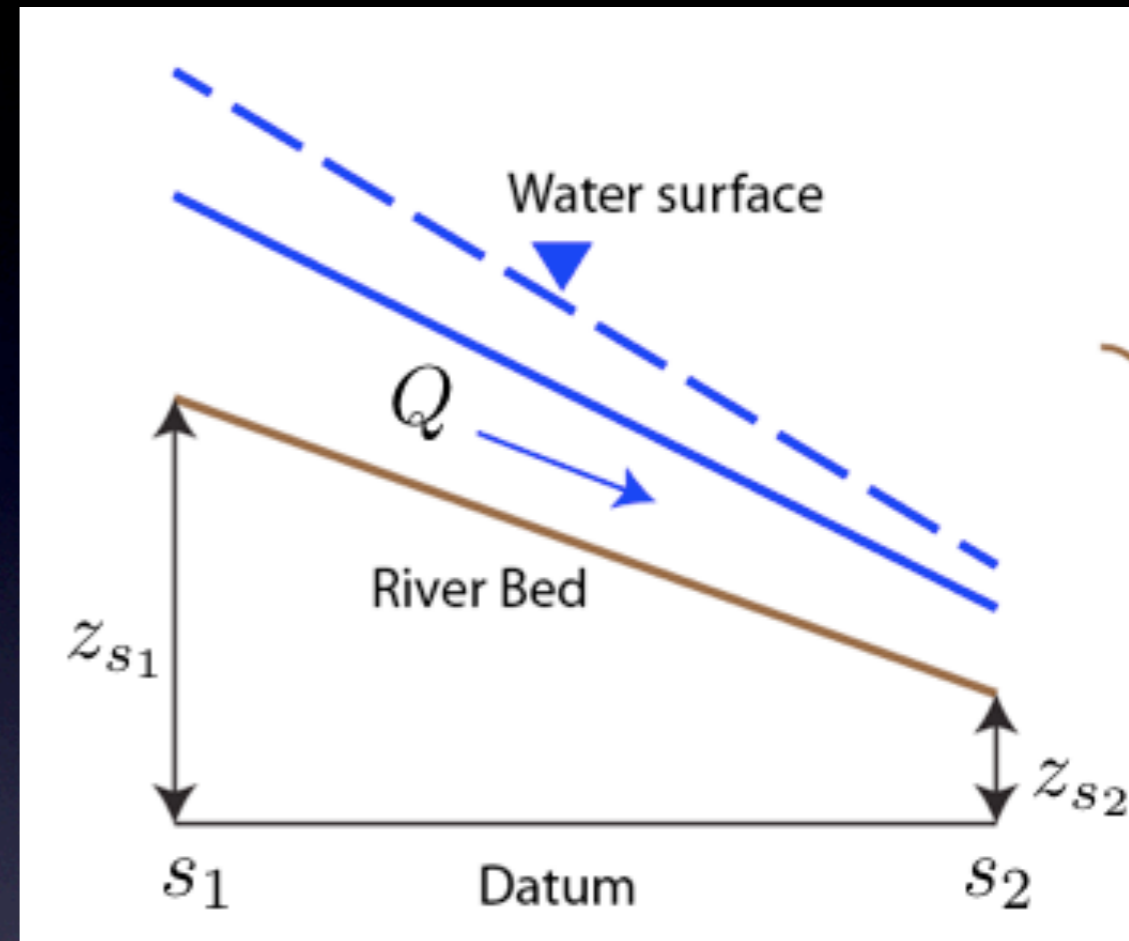
$$\bar{Q}_{s_1} - \bar{Q}_{s_2} = \frac{L}{2\tau_1} (\bar{T}_t + \bar{T}_{t+1}) (\bar{h}_{t_2} - \bar{h}_{t_1})$$

This equation is exact for the average quantities

# Conservation of momentum

$$\frac{Q^2}{K^2} = -\frac{\partial h}{\partial x}$$

$$K = \frac{1}{n} (A_{base} + \delta A)^{5/3} T^{2/3}$$

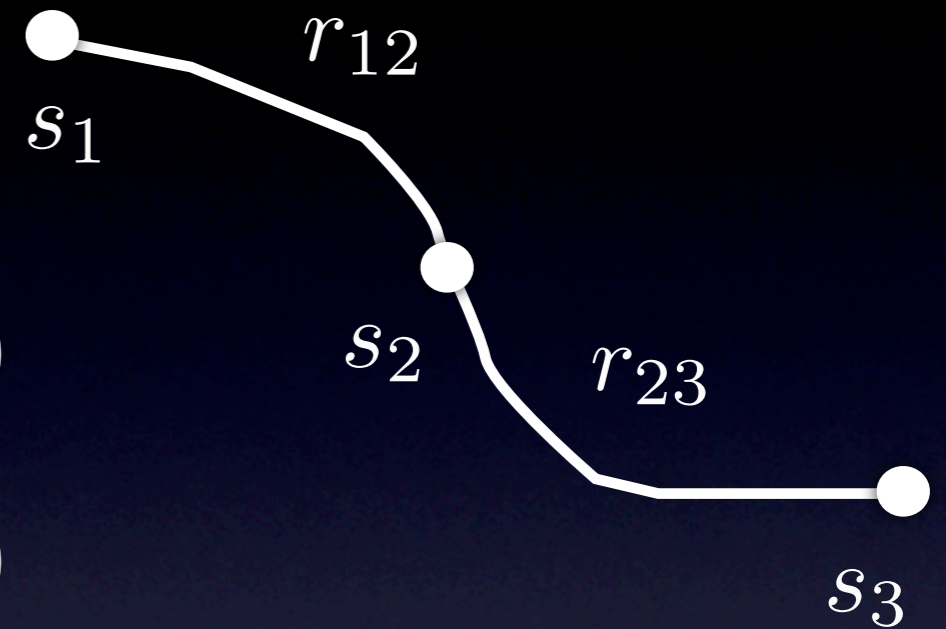


Two time-invariant unknowns per reach

Apply between two overpasses for one reach:

$$\overline{Q}_{s_1} + \overline{Q}_{s_2} = 2\overline{K} \left( -\frac{\partial h}{\partial x} \right)^{1/2}$$

# Application to two reaches



At  $t_2$  :

$$\bar{Q}_{t_{12}s_1} - \bar{Q}_{t_{12}s_2} = L_1 \tau_1^{-1} \bar{T}_{r_1} (h_{t_2} - h_{t_1})$$

$$\bar{Q}_{t_{12}s_2} - \bar{Q}_{t_{12}s_3} = L_2 \tau_1^{-1} \bar{T}_{r_2} (h_{t_2} - h_{t_1})$$

$$\bar{Q}_{t_{12},s_1} + \bar{Q}_{t_{12},s_2} = 2\bar{K}_{r_{12}} \left( \overline{\frac{\partial h}{\partial x}} \Big|_{r_{12}} \right)^{1/2}$$

$$\bar{Q}_{t_{12},s_2} + \bar{Q}_{t_{12},s_3} = 2\bar{K}_{r_{23}} \left( \overline{\frac{\partial h}{\partial x}} \Big|_{r_{23}} \right)^{1/2}$$

Write two equations per reach, four total

After four overpasses, more equations than unknowns

# A Bayesian approach

Posterior proportional to likelihood times prior:

$$p(y|z) \propto f(z|y)\pi(y)$$

$y$  Unknowns: Discharge, bathymetry, roughness

$z$  Observations: Water heights

1. Generate a new candidate  $y_{i+1}$

2. Accept candidate with probability  $r = \frac{f(z|y_{i+1})\pi(y_{i+1})}{f(z|y_i)\pi(y_i)}$

Using Gaussians for both:

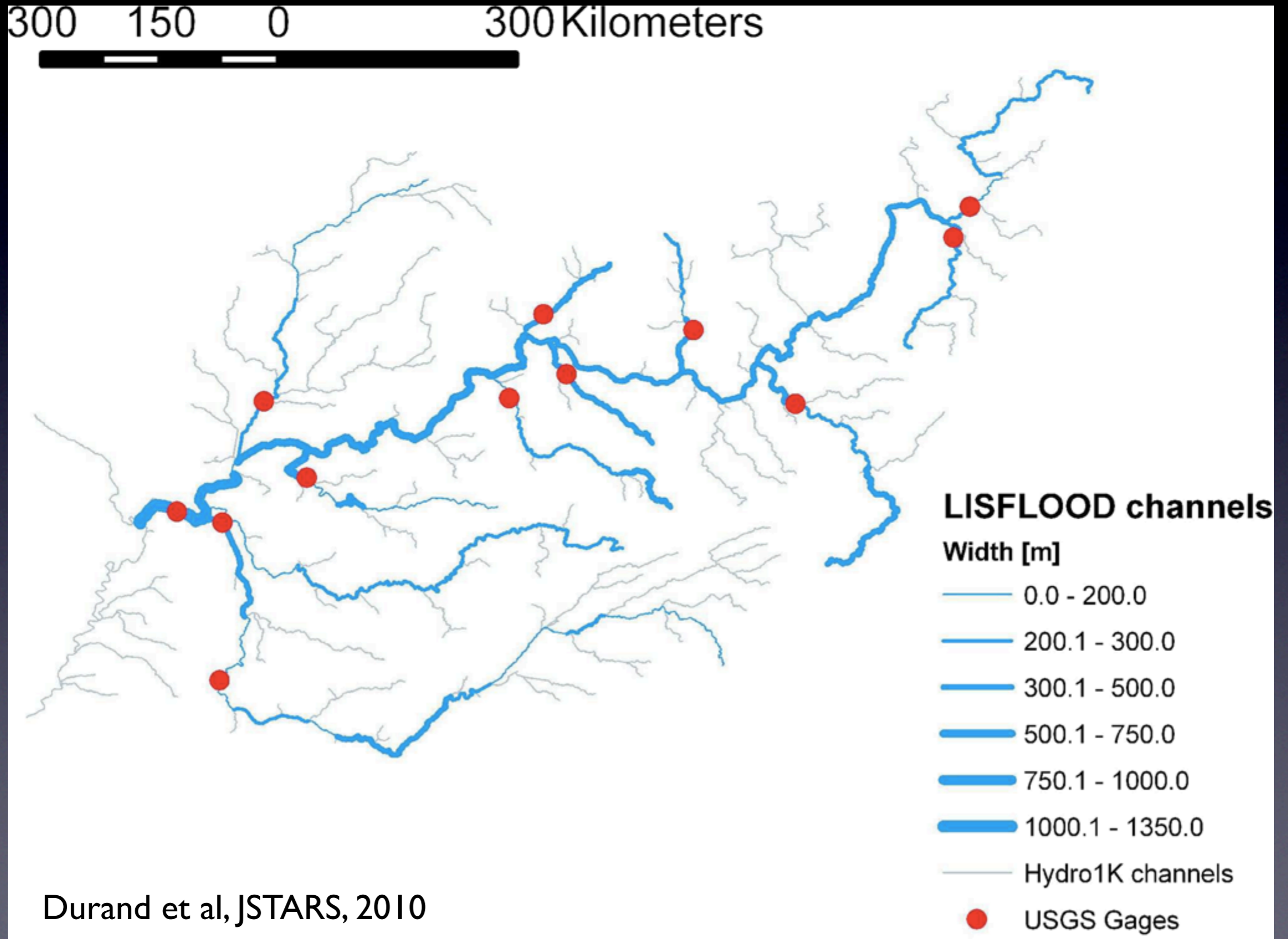
$$\log f(z|y) \propto -\left(\frac{Q^2}{K^2} - \frac{\partial h}{\partial x}\right)C^{-1}\left(\frac{Q^2}{K^2} - \frac{\partial h}{\partial x}\right)^T \dots$$

$$\dots - \left(\frac{\delta Q}{LT} - \frac{\partial h}{\partial t}\right)C_2^{-1}\left(\frac{\delta Q}{LT} - \frac{\partial h}{\partial t}\right)^T$$

$$\pi(Q) \propto -(Q - \bar{Q})C_Q^{-1}(Q - \bar{Q})$$



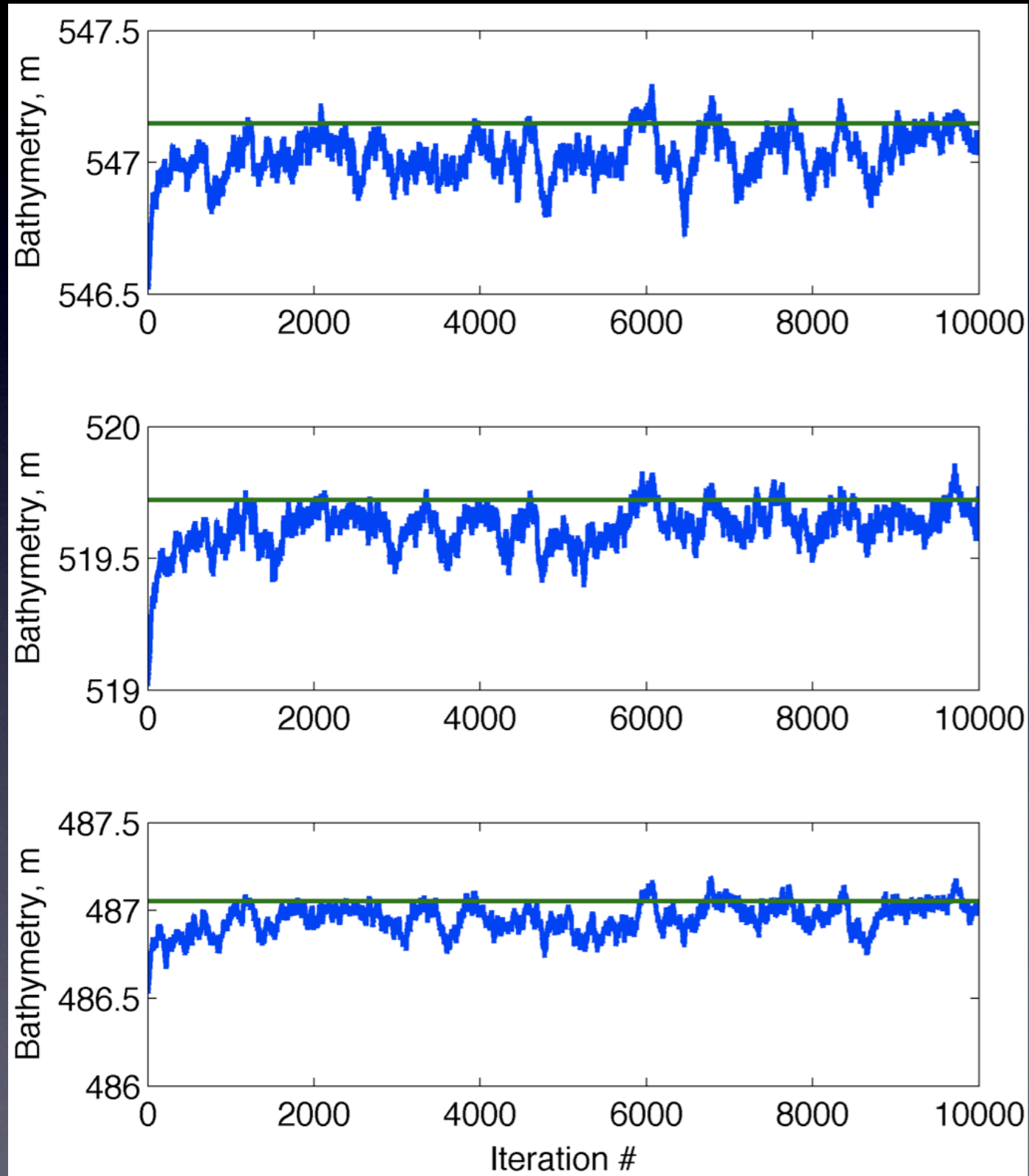
# Testing the algorithm



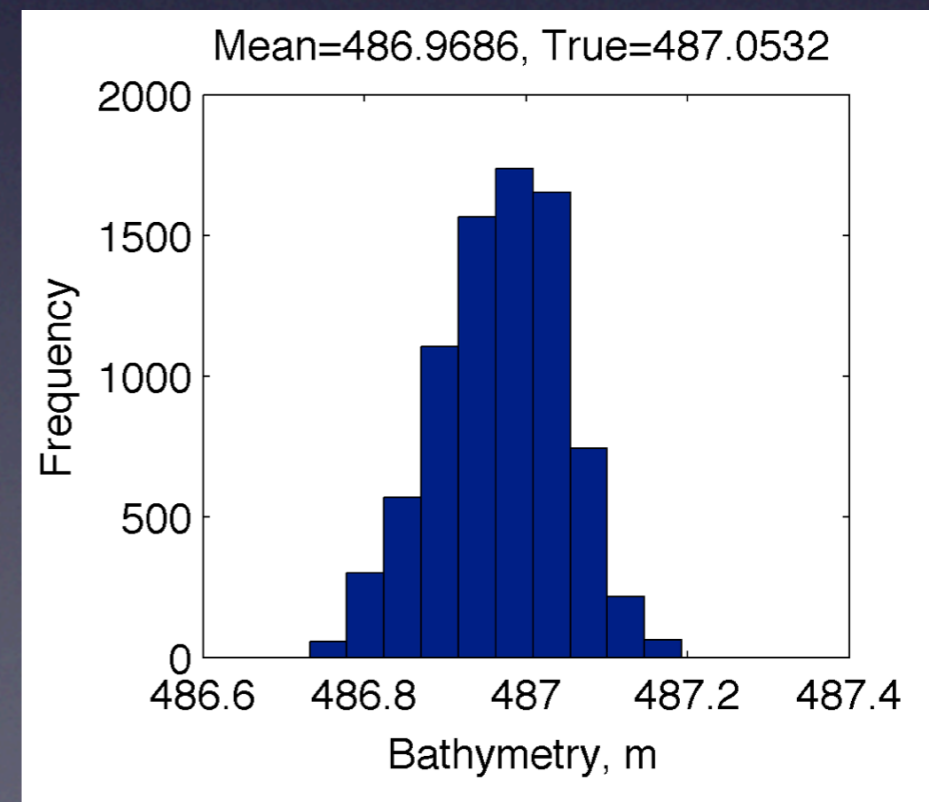
# OSSE setup

- Generate “true” water surface heights using hydraulic model and true  $Q$ ,  $z$ ,  $n$
- Corrupt true water surface heights using white noise (get synthetic measurements)
- Estimate  $Q$ ,  $z$ ,  $n$  for three reach system of Kanawha River using ten observations
- Reaches are 15 km in length
- Chains are 10,000 iterations, burned in after 2,000 iterations

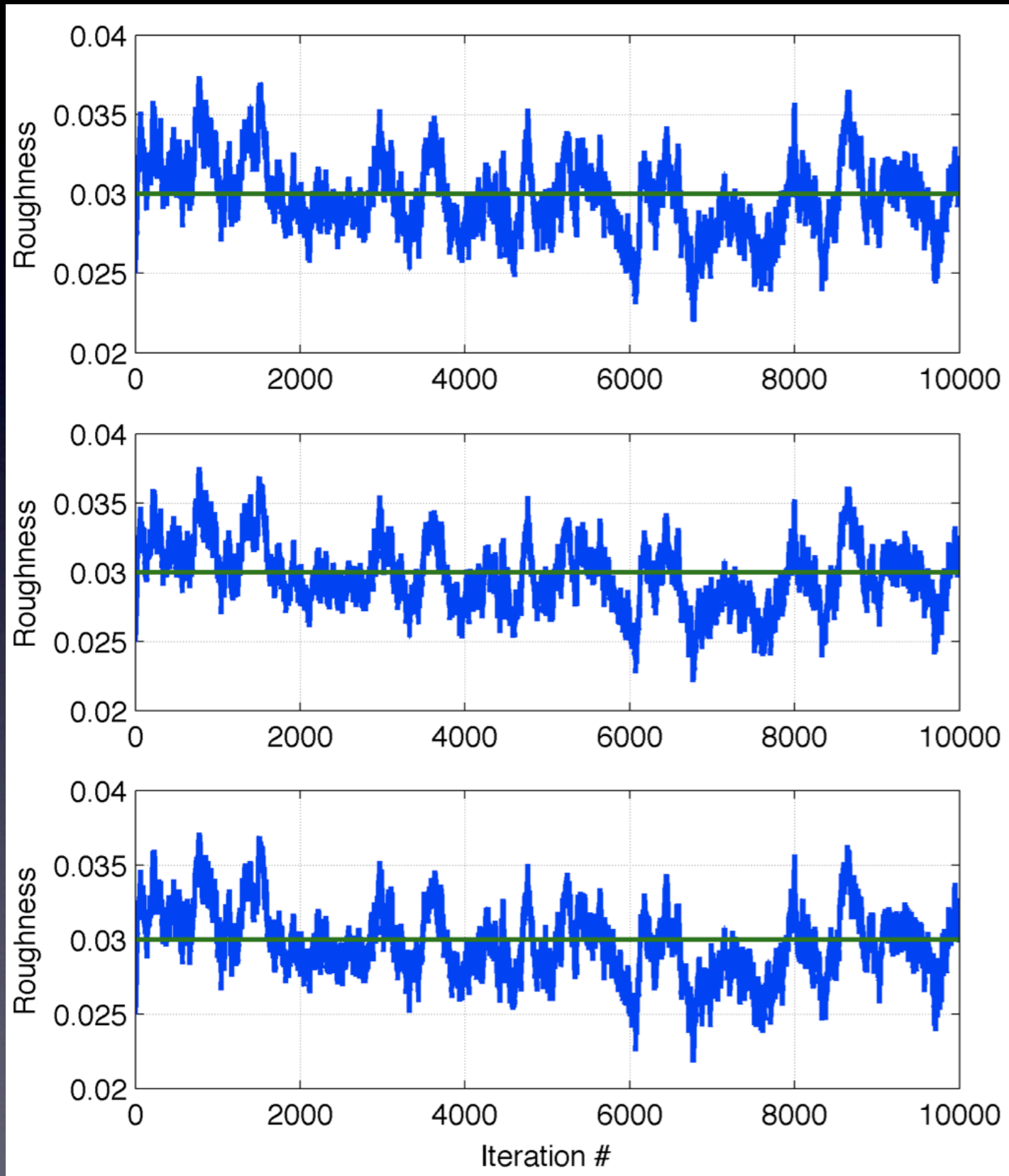
# Results: Bathymetry



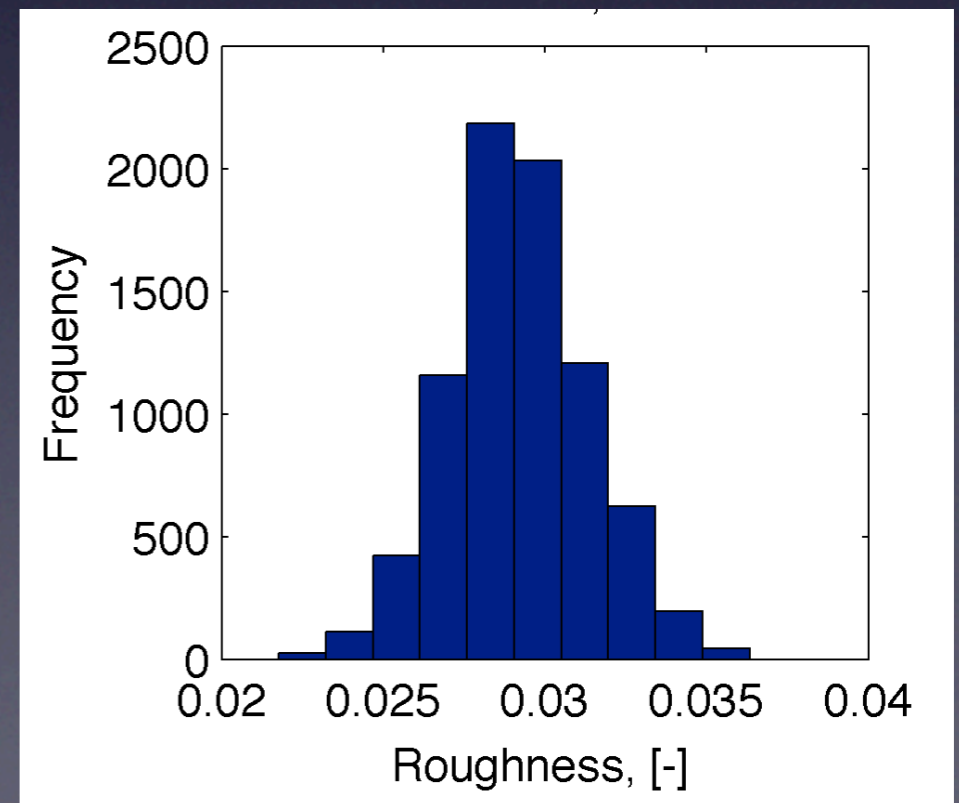
- Prior and first guess: ~50 cm too low
- RMS error: 9.7 cm
- Posterior standard deviation: 7.7 cm



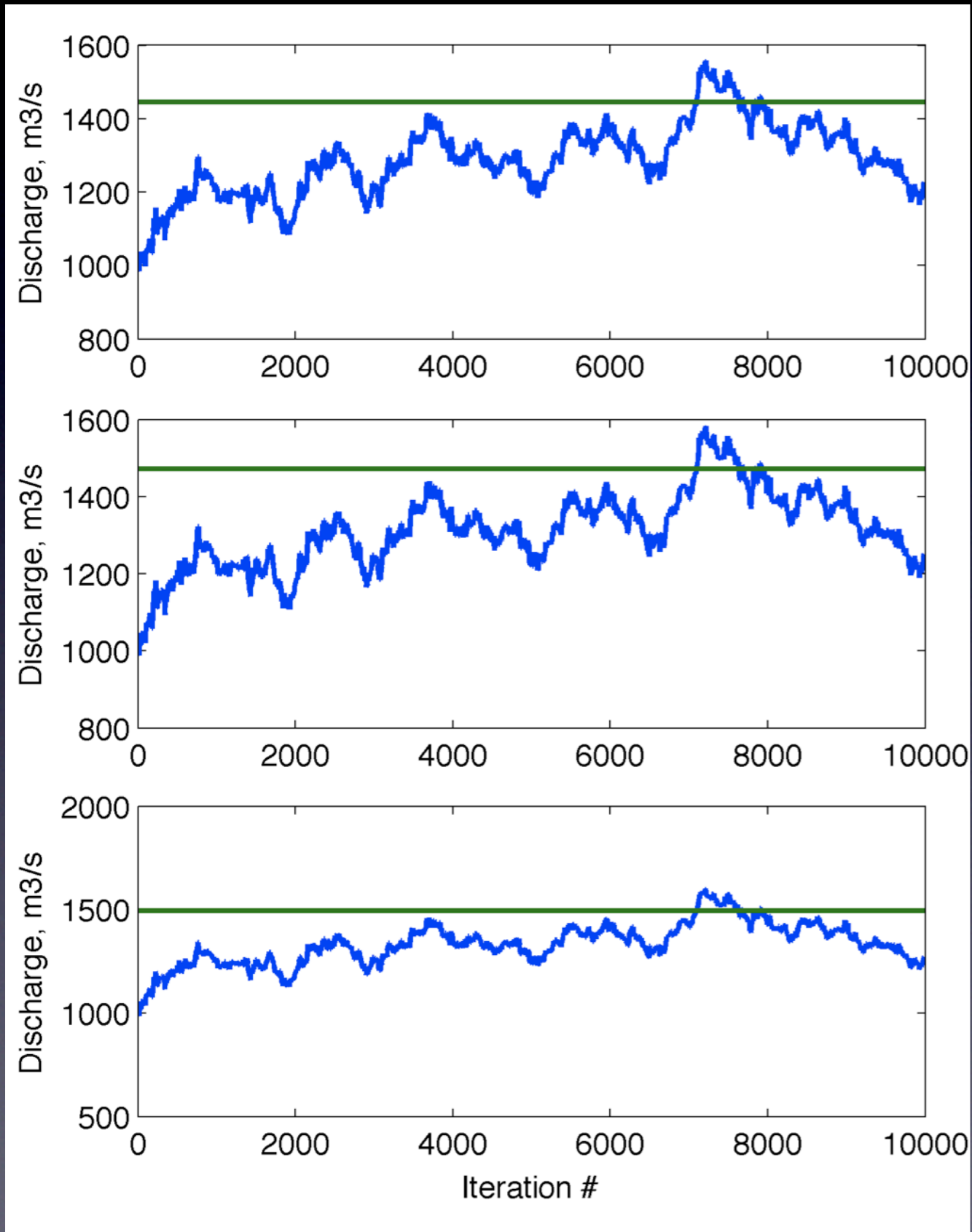
# Results: Roughness



- Prior and first guess: 0.025, 17% low
- RMS: 0.00077 (2.5%)
- Posterior standard deviation: 0.0021 (7%)



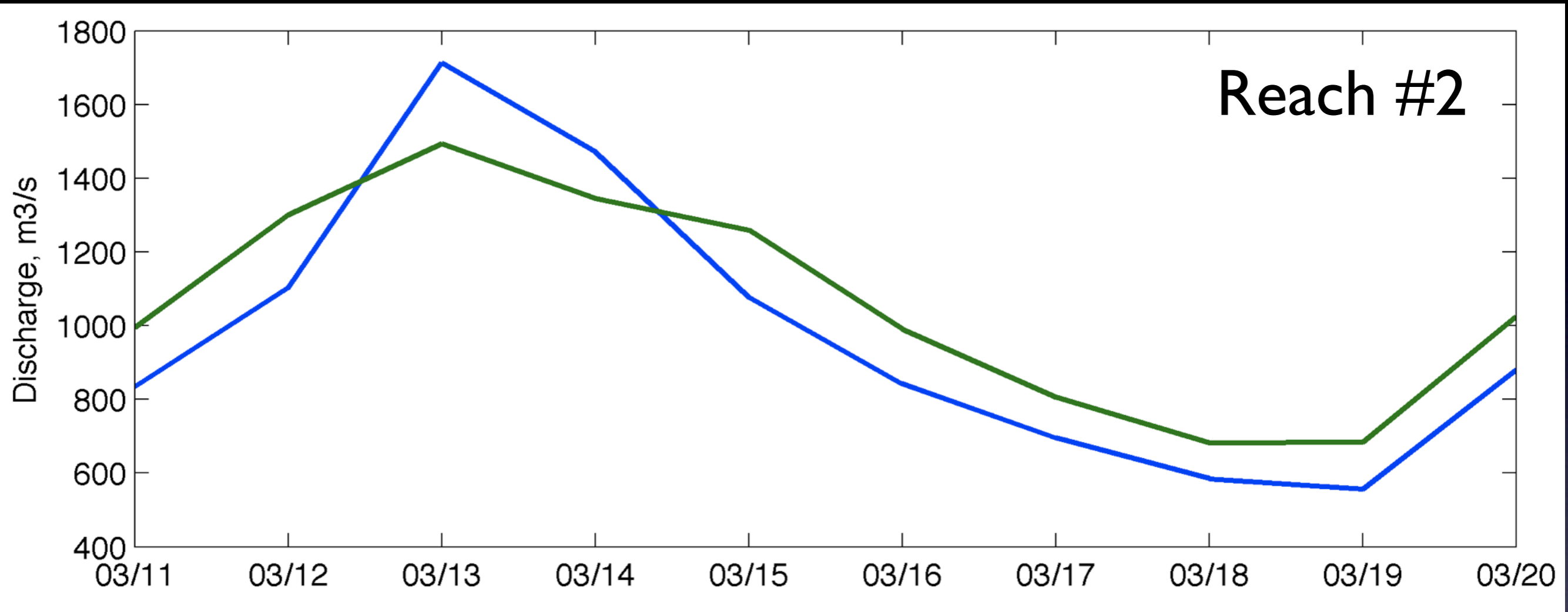
# Results: Discharge



*Between March 14-15:*

- Prior and first guess:  $Q=1000 \text{ m}^3/\text{s}$
- Bias =  $-127 \text{ m}^3/\text{s}$  ( $\sim 8\%$ )
- Standard deviation =  $79 \text{ m}^3/\text{s}$

# Results: Discharge



- Prior and first guess:  $Q=1000$  m<sup>3</sup>/s
- RMS = 156 m<sup>3</sup>/s (16.9%)
- Standard deviation = 94 m<sup>3</sup>/s

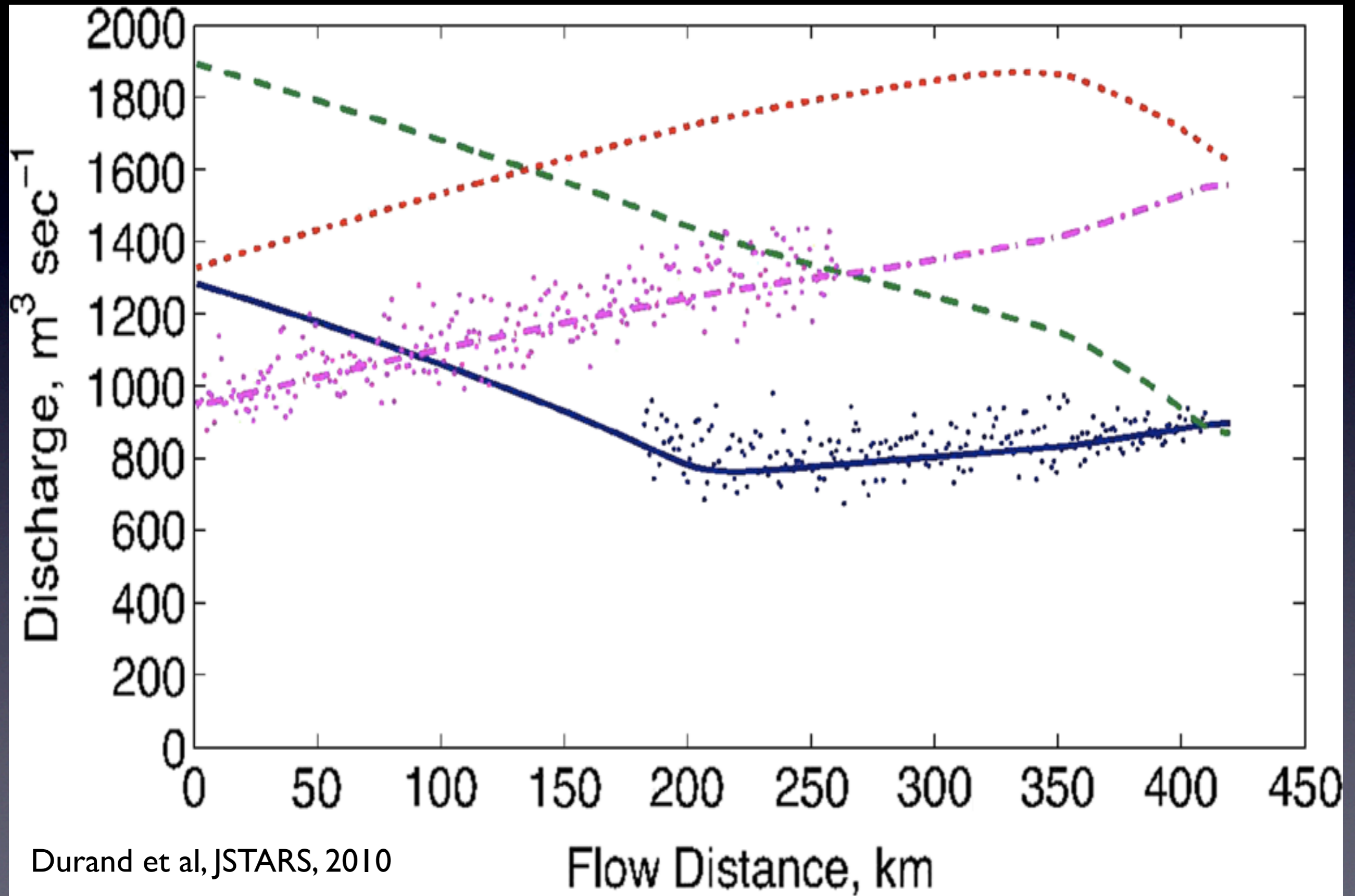
# Conclusions

- Estimation of discharge to within 17 % RMS
- Simultaneous estimation of roughness, bathymetry
- No hydraulic model used
- Caveat: SWOT spatio-temporal sampling and true error structure not considered
- Improvement: Include more prior information on discharge

# Extra slides



# Trading time for space with SWOT



# Checking diffusive assumption

After solution is obtained, calculate  $V = Q/A$

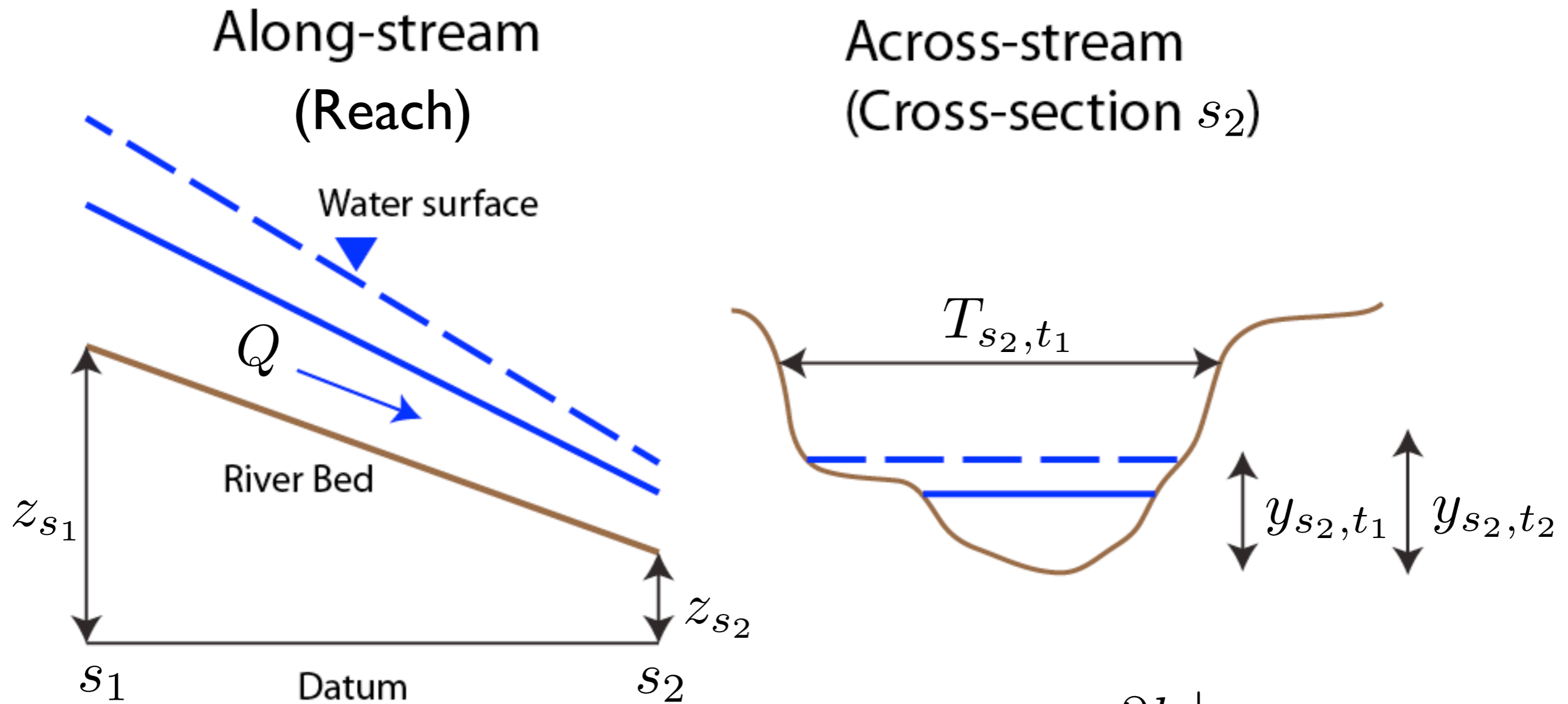
Linear momentum equation:

$$\frac{\partial y}{\partial x} + \frac{V}{g} \frac{\partial V}{\partial x} + \frac{1}{g} \frac{\partial V}{\partial t} = S_0 - S_f$$

Calculate magnitude of momentum terms, verify assumption:

$$\frac{V}{g} \frac{\partial V}{\partial x} \quad \frac{1}{g} \frac{\partial V}{\partial t}$$

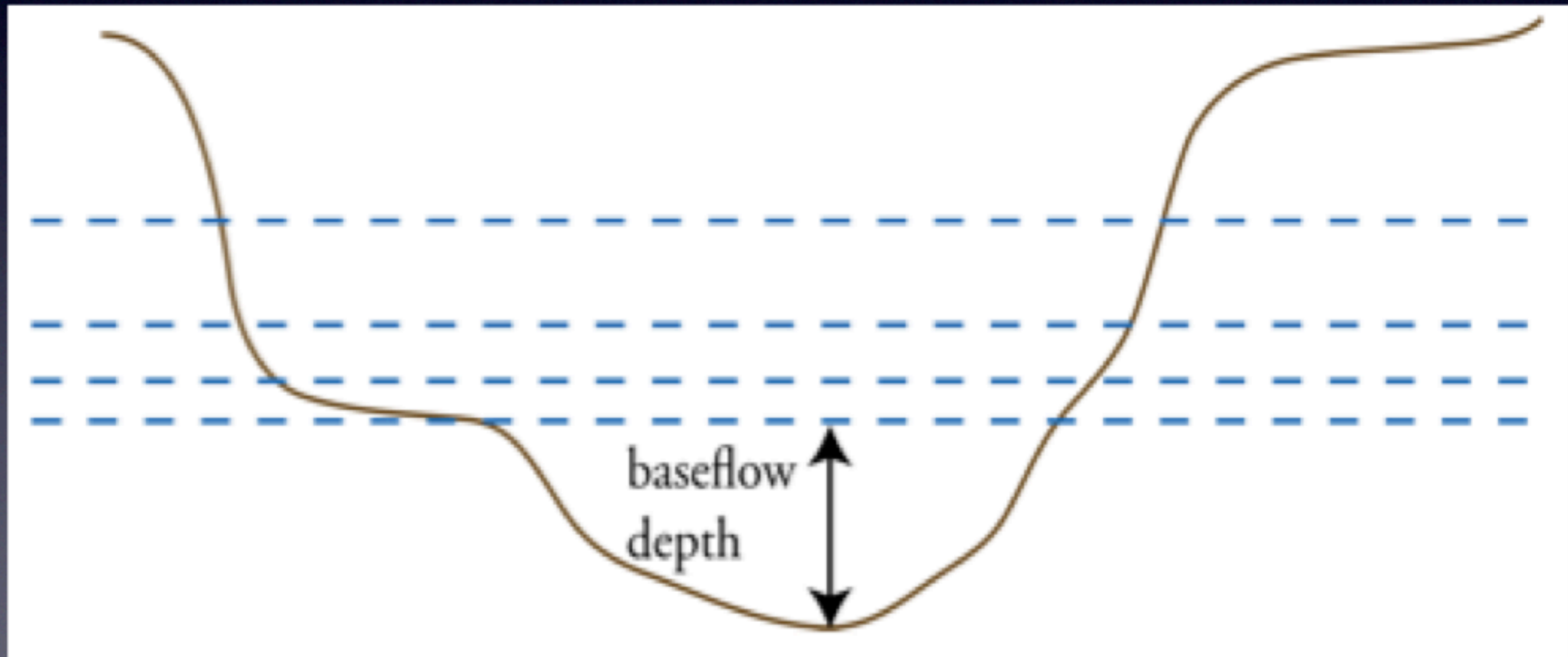
# Discharge and river cross sections



$T$	Top width	$y_{s,t}$	Water depth	$\left. \frac{\partial h}{\partial x} \right _t$	Water slope
$z_{s_1}, z_{s_2}$	Bed elevation at cross-sections	$h = z + y$	Water elevation	$T \left. \frac{\partial h}{\partial t} \right _s$	River storage change
$Q$	River discharge	$V = Q/A$	Flow velocity		

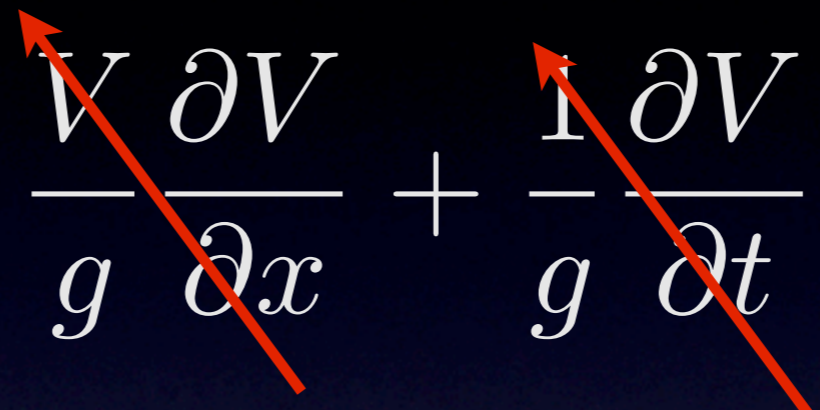
# The depth problem

SWOT does not observe depth below the lowest height measurement



# Assumptions for a new algorithm

Diffusion  
Wave  
Analogy

$$\frac{\partial y}{\partial x} + \frac{V}{g} \frac{\partial V}{\partial x} + \frac{1}{g} \frac{\partial V}{\partial t} = S_0 - S_f$$


Apply for a reach without lateral inflows

Wetted  
Perimeter

$$P \approx T$$

Stationary  
Channel

$$\frac{\partial z}{\partial t} = 0$$

$$\frac{\partial n}{\partial t} = 0$$

# Conservation laws

$$\frac{\partial Q}{\partial x} + T \frac{\partial h}{\partial t} = 0 \quad \text{Mass}$$

$$\frac{\partial y}{\partial x} + \frac{V}{g} \frac{\partial V}{\partial x} + \frac{1}{g} \frac{\partial V}{\partial t} = S_0 - S_f \quad \text{Momentum}$$

$$S_f = Q^2 / K^2$$

Unknowns:

$$K = \frac{1}{n} A^{5/3} P^{2/3}$$

$$Q, y, A, V, n, P, K, S_0$$

$$Q = AV$$

# Unsteady algorithm: Conveyance

Apply to a reach  $r_{12}$  from  $s_1, s_2$  averaged from  $t_1, t_2$

$$\bar{Q}_{s_1} + \bar{Q}_{s_2} = 2\bar{K}_{r_{12}} \left( \frac{\partial h}{\partial x} \right)^{1/2}$$

$$\bar{K}_r = \frac{K_{r,t_1} + K_{r,t_2}}{2}$$

$$K_{r,t} = \frac{1}{n_r} (A_{base,r} + \delta A_{r,t})^{5/3} T_{r,t}^{2/3}$$

Two time-invariant unknowns per reach

# Application to a single reach

At  $t_2$  two equations, four unknowns

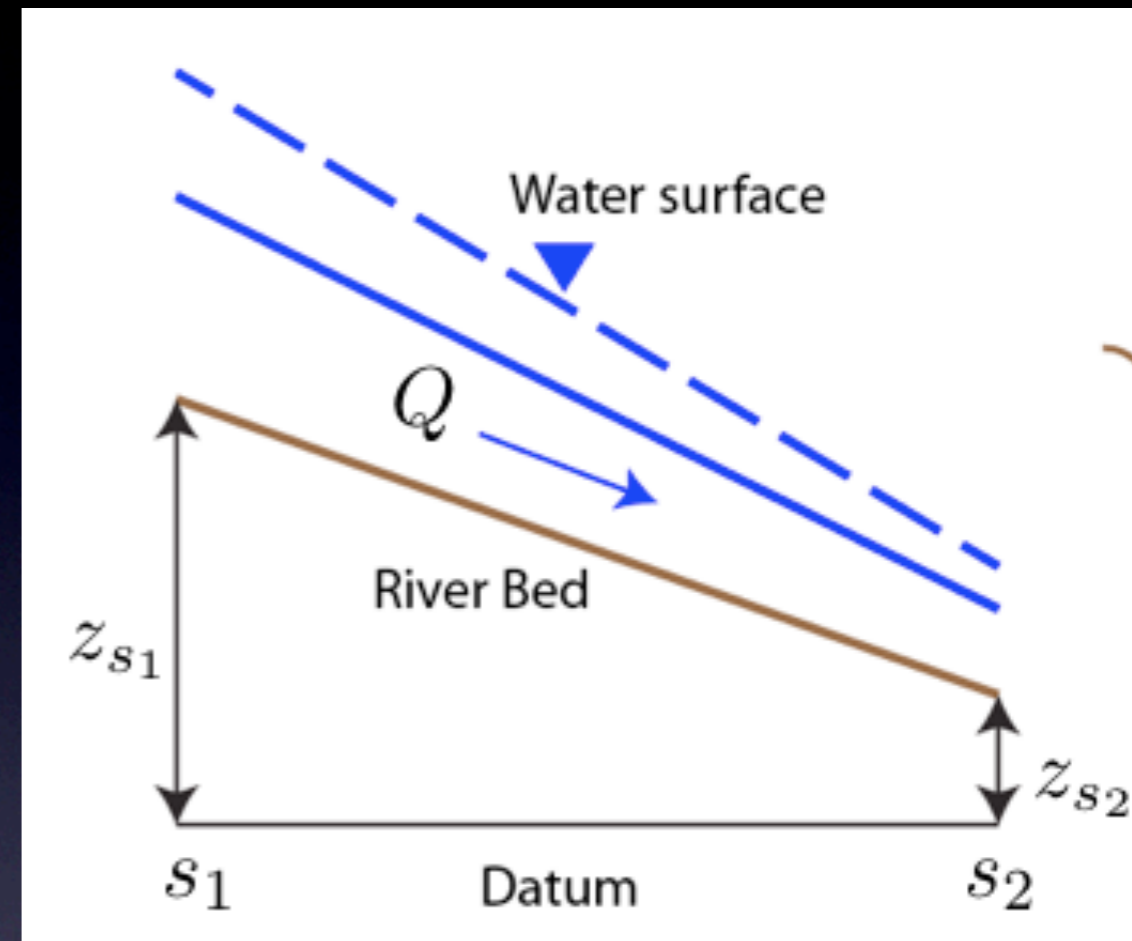
$$\bar{Q}_{t_2 s_1} - \bar{Q}_{t_2 s_2} = L\bar{T} \left( \frac{h_{t_2} - h_{t_1}}{\tau_1} \right)^{1/2}$$

$$\bar{Q}_{t_2 s_1} + \bar{Q}_{t_2 s_2} = 2\bar{K}_r \left( \frac{\partial h}{\partial x} \right)$$

At  $t_3$  four equations, six unknowns

$$\bar{Q}_{t_3 s_1} - \bar{Q}_{t_3 s_2} = L\bar{T} \left( \frac{h_{t_3} - h_{t_2}}{\tau_2} \right)^{1/2}$$

$$\bar{Q}_{t_3 s_1} + \bar{Q}_{t_3 s_2} = 2\bar{K}_r \left( \frac{\partial h}{\partial x} \right)$$



At  $t_3$  six equations, eight unknowns...



# Application to two reaches

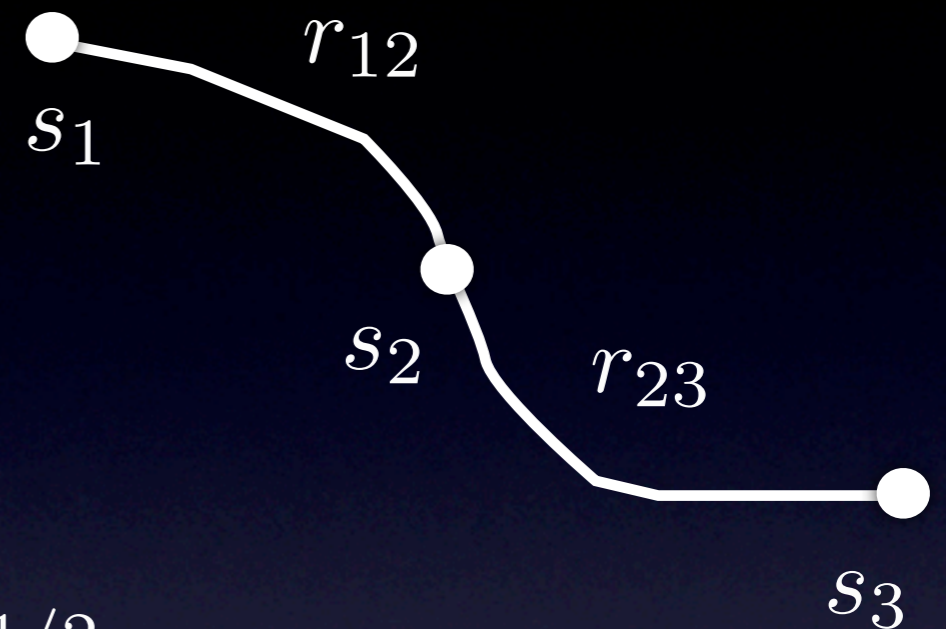
At  $t_3$  add these equations:

$$\bar{Q}_{t_{23}s_1} - \bar{Q}_{t_{23}s_2} = L_1 \tau_2^{-1} \bar{T}_{r_1} (h_{t_3} - h_{t_2})$$

$$\bar{Q}_{t_{23}s_2} - \bar{Q}_{t_{23}s_3} = L_2 \tau_1^{-1} \bar{T}_{r_2} (h_{t_3} - h_{t_2})$$

$$\bar{Q}_{t_{23},s_1} + \bar{Q}_{t_{23},s_2} = 2\bar{K}_{r_{12}} \left( \overline{\frac{\partial h}{\partial x}} \Big|_{r_{12}} \right)^{1/2}$$

$$\bar{Q}_{t_{23},s_2} + \bar{Q}_{t_{23},s_3} = 2\bar{K}_{r_{23}} \left( \overline{\frac{\partial h}{\partial x}} \Big|_{r_{23}} \right)^{1/2}$$



We now have eight equations

We have six discharge unknowns but the same two **time-invariant** conveyance unknowns per reach: ten total