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Evolution of oceaninc and atmospheric anomalies

# The evolution of oceanic and atmospheric anomalies in the northeast Pacific during the El Niño and La Niña events of 1995–2001

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#### Abstract

From late 1995 through early 2001, three major interannual climate events occurred in the tropical Pacific; the 1995-55 97 La Niña (LN), 1997-98 El Niño (EN), and 1998-2001 LN. We analyze atmospheric and upper oceanic anomalies 56 in the northeast Pacific (NEP) during these events, and compare them to anomalies both elsewhere in the north and 57 tropical Pacific, and to typical EN and LN anomaly patterns. The atmospheric and oceanic anomalies varied strongly 58 on intraseasonal and interannual scales. During the 1995-97 LN and 1997-98 EN, the Northeast Pacific was dominated 59 by negative SLP and cyclonic wind anomalies, and by upper ocean temperature and sea surface height (SSH) anomalies. 60 The latter were positive along the North American west coast and in the NEP thermal anomaly pool (between Hawaii, 61 Vancouver Island, and Baja California), and negative in the central north Pacific. This atmospheric/oceanic anomaly 62 pattern is typical of EN. An eastward shift in the atmospheric teleconnection from east Asia created EN-like anomalies 63 in the NEP during the 1995-97 LN, well before the 1997-98 EN had begun. The persistence of negative sea-level 64 pressure (SLP) and cyclonic wind anomalies in the NEP during the 1997-98 EN intensified pre-existing upper oceanic 65 anomalies. Atmospheric anomalies shifted eastward during late 1996-early 1998, leading to a similar onshore shift of 66 oceanic anomalies. This produced exceptionally strong positive upper ocean temperature and SSH anomalies along the 67 west coast during the 1997-98 EN, and explains the unusual coastal occurrences of several species of large pelagic 68 warm-water fishes. The growth and eastward shift of these pre-existing anomalies does not appear to have been linked 69 to tropical Pacific EN anomalies until late 1997, when a clear atmospheric teleconnection between the two regions 70 developed. Prior to this, remote atmospheric impacts on the NEP were primarily from east Asia. As the 1998–2001 71 LN developed, NEP anomalies began reversing toward the typical LN pattern. This led to predominantly negative SLP 72 and cyclonic wind anomalies in the NEP, and upper ocean temperature and SSH anomalies that were mainly negative 73 along the west coast and positive in the central north Pacific. The persistence of these anomalies into mid-2001, and 74 a number of concurrent biological changes in the NEP, suggest that a decadal climate shift may have occurred in 75 late 1998. 76

During 1995–2001, NEP oceanic anomalies tracked the overlying atmospheric anomalies, as indicated by the mainte-

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nance of a characteristic spatial relationship between these anomalies. In particular, wind stress curl and SSH anomalies
 in the NEP maintained an inverse relationship that strengthened and shifted eastward toward the west coast during late
 1996–early 1998. This consistent relationship indicates that anomalous Ekman transport driven by regional atmospheric
 forcing was an important contributor to temperature and SSH anomalies in the NEP and CCS during the 1997–98 EN.
 Other studies have shown that coastal propagations originating from the tropical Pacific also may have contributed to
 coastal NEP anomalies during this EN. Our results indicate that at least some of this coastal anomaly signal may have
 been generated by regional atmospheric forcing within the NEP. © 2002 Published by Elsevier Science Ltd.

85 Keywords: Atmospheric circulation; Climate; El Niño phenomena; Eastern boundary currents; Upwelling

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#### 152 **1. Introduction**

Aside from the seasonal cycle, interannual fluctuations—associated most notably with tropical El Niño (EN) and La Niña (LN) events—are the strongest and most familiar signals of natural global variability. EN/LN events have pronounced regional effects on the physical, chemical, and biological nature of the northeast Pacific (NEP), including the California Current System (CCS). A particularly compelling series of articles in the 1960 California Cooperative Oceanic Fisheries Investigations (CalCOFI) Report described the impacts of the 1957–58 EN on the CCS (Sette & Isaacs, 1960). This arguably was the first publication to define EN as a global event, and to associate this tropical phenomenon to changes in extratropical

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ecosystems. Large anomalies in the CCS have been observed in subsequent EN years as well (Enfield & Allen, 1980; Chelton & Davis, 1982; Wooster & Fluharty, 1985; Simpson, 1992; Lynn, Schwing, & Hayward, 1995; Lynn et al., 1998). The distribution, abundance, and reproductive success of many marine
 populations and ecosystem structure shifted during these events (Chelton, Bernal, & McGowan, 1982; Pearcy & Schoener, 1987; Schwing & Ralston, 1995 and adjoining papers; Chavez, 1996; Lynn et al., 1998; Kudela & Chavez, 2000).

Despite our long-standing awareness of EN/LN events and their remote impacts, our understanding of 166 the processes that initiate and terminate them is incomplete. The evolution of the tropical Pacific signals 167 of the 1997–98 EN, by many measures the strongest EN on record, was poorly predicted by forecast models 168 (McPhaden, 1999; Barnston, Glantz, & He, 1999). The ability to understand and predict the impacts of 169 EN events in the CCS requires a knowledge of anomalous conditions throughout the Pacific Ocean and 170 global atmosphere, and an understanding of EN/LN processes and teleconnection mechanisms on basin 171 and global scales. Furthermore the relative importance and timing of the mechanisms by which EN/LN 172 influence the extratropical oceans is poorly understood. Extratropical north Pacific anomalies similar to 173 those occurring typically during EN have been observed during non-EN periods (Emery & Hamilton, 1985; 174 Mysak, 1986). They may even be a precursor to the development of EN events in the tropical Pacific 175 (Namias, 1976; Emery & Hamilton, 1985; White & Tabata, 1987). 176

Another key issue is the degree to which anomalies in the CCS during EN/LNs-and the extratropics in general—are the result of regional atmospheric forcing (which may be part of teleconnections from the 178 tropical Pacific) versus anomalies propagating through the ocean. A number of studies indicate EN equa-179 torial ocean signals propagate along the eastern boundary and the US west coast (Enfield & Allen, 1980; 180 Chelton & Davis, 1982; Clarke & Van Gorder, 1994; Meyers, Melsom, Mitchum, & O'Brien, 1998; Strub & 181 James, in press). Other studies suggest that low-frequency coastal-trapped wave energy cannot propagate 6 182 effectively into the CCS (Baumgartner & Christensen, 1985; Mysak, 1986; McAlpin, 1995; Clarke & 183 Lebedev, 1999). Still others indicate the EN signature in the CCS is mostly caused by local and regional 184 Ekman processes (Emery & Hamilton, 1985; Mysak, 1986; Simpson, 1992; Murphree & Reynolds, 1995; 185 Miller, White, & Cayan, 1997). 186

In this paper we describe the temporal evolution of the coastal environment within the central CCS, and the regional (NEP) and large-scale atmospheric and oceanic fields as they evolved through the EN and LN events of 1995–2001. We track the development of three major events; the 1995–97 LN, the 1997–98 EN, and the LN that began in 1998 and has continued into 2001. Large atmospheric and oceanic anomalies occurred in the CCS and throughout the north Pacific during these events. The differences between them and typical EN/LN anomalies are described. Finally, we discuss some mechanisms that appear to have contributed to these unusual conditions.

The focus of this study is on anomalies in the CCS, and in the NEP generally, during the 1997–98 EN 194 event. However, NEP anomalies that were established a priori were critical to the development of some 195 dramatic anomalies during this event. Conditions in the north Pacific during the 1995-97 LN were unusual 196 in the context of a typical LN state. They set the stage for alterations in the extratropical ocean associated 197 with the development of a very strong EN in early 1997, and may have contributed to the rapid growth 198 of this event. The unusually rapid and large transition from EN to LN in 1998 also merits discussion, 199 particularly because of the dramatic biological shifts in the CCS associated with it (Schwing, Moore, 200 Ralston, & Sakuma, 2000). 201

We use the following geographical definitions to highlight regional differences in oceanic and atmospheric fields during EN and LN events. The tropical Pacific is the region between southeast Asia and the Americas, from 10°S to 10°N. This region includes the western tropical Pacific, which lies east of the dateline, the central tropical Pacific between the dateline and 140°W, and the eastern tropical Pacific, east of 140°W. The central north Pacific (CNP) extends from 20°N to 50°N, and 165°E to 155°W. The NEP

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lies north of 20°N and east of 155°W, roughly north and east of Hawaii. The CCS is between about 25°N and 45°N, and within about 1000 km of the North American west coast.

We also focus on three spatial scales to describe the processes and mechanisms that may influence oceanic variability in the NEP:

 Local processes occur over scales of tens of kilometers. Important examples in the NEP are air-sea heat fluxes, turbulent mixing, and coastal upwelling and downwelling. Vertical fluxes of mass, momentum, and energy tend to be especially important for local processes.

- 2. Regional processes that occur over scales of hundreds of kilometers. These include processes related to wind stress curl (e.g., open-ocean Ekman pumping).
- 3. Remote processes that operate over thousands of kilometers. Important examples for this study include atmospheric teleconnections and low-frequency internal wave propagations through the atmosphere and ocean.

All of these processes operate over a wide range of temporal scales. However, smaller (larger) spatialscale processes tend to have shorter (longer) temporal scales. The principal time scales of focus here are intra-seasonal (1–4 months) and interannual (>12 months), which, as will be seen, are the important scales of variability in the CCS during EN/LN events.

### 226 **2. Data and methods**

#### $\frac{5}{827}$ 2.1. Coastal time series off central California

Time series representing conditions in the CCS were created from two sources. Wind and sea surface 228 temperature (SST) observations off central California (35–39°N) were obtained from NOAA National Data 229 Buoy Center (NDBC) buoys (www.ndbc.noaa.gov/). Dorman and Winant (1995) have shown that winds 230 in this region are highly coherent. To cover frequent data gaps in recent years, composite daily time series 231 of alongshore wind and SST were created from all available buoy observations in this region (Table 1). 232 Coastal sea level data were obtained from the University of Hawaii Sea Level Center 233 (http://uhslc.soest.hawaii.edu/). The sea level series used here is a composite of daily de-tided data from 234 Crescent City and Fort Point (San Francisco), California. Sea level data were not adjusted for atmospheric 234 pressure effects. Daily climatologies of these coastal time series were determined by an annual and semi-236 annual fit to each long-term (1981-99) composite series. These were subtracted from the series to produce 237 daily anomalies. The daily series have been 30-day smoothed to remove short-term (synoptic) variability. 238 The wind series was 90-day smoothed to highlight interannual variability. 239

1225 Table 1

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NDBC buoys used in the creation of the composite wind and SST time series. Angle denotes the positive direction of alongshore
 wind component used for each series, based on the maximum variance

1241		_	_
1245	Buoy	Position	Angle (N°)
1252	Bodega (46013)	38.2°N 123.3°W	312
1256	San Francisco (46026)	37.7°N 122.8°W	309
1260	Santa Cruz (46012)	37.4°N 122.7°W	332
1264	Monterey (46042)	36.7°N 122.4°W	328
1268	Cape San Martin (46028)	35.7°N 121.9°W	321
1272	Santa Maria (46011)	34.9°N 120.9°W	325
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#### 2.2. Pacific atmospheric and oceanic anomaly fields

Large-scale anomalies are summarized in maps of NCEP reanalysis fields (Kalnay et al., 1996) from the NOAA–CIRES Climate Diagnostics Center (www.cdc.noaa.gov/). These maps illustrate the evolution of EN and LN events that affected the NEP. The reanalysis fields described here are monthly gridded (roughly  $2 \times 2^{\circ}$ ) anomaly fields of SST, SLP, 850 hPa wind velocity, and 200 hPa geopotential height. The 850 hPa winds describe the low-level (surface to lower tropospheric) wind field. The 200 hPa heights are used to determine upper-level (upper tropospheric) atmospheric circulations and identify atmospheric teleconnections. The base period for computing the NCEP reanalysis field anomalies is 1968–96.

Subsurface ocean temperature anomaly maps are based on the Global Temperature-Salinity Profile Pro-248 gram (GTSPP) data base (www.nodc.noaa.gov/GTSPP/gtspp-home.html). Temperature data were monthly 249 averaged on a 1° spatial grid and interpolated vertically at 19 standard depths, including the 100 m level 250 shown in this paper. Anomalies were computed by subtracting the 1° monthly climatologies (base period 251 1945-96) of the World Ocean Database 1998 (Levitus et al., 1998) from the gridded observations. Because 252 subsurface data are sparse, the anomalies were averaged into  $5 \times 5^{\circ}$  spatial boxes for mapping. The median 253 number of observations in the boxes on these maps is about five. White areas denote no data for the 254 period shown. 255

Sea surface height (SSH) anomalies were provided by the NOAA Laboratory for Satellite Altimetry (http://ibis.grdl.noaa.gov/SAT/), based on data from the joint NASA/CNES TOPEX/Poseidon satellite altimeter. SSH deviations were averaged by month in 4° longitude x 1° latitude cells to construct regular grids of SSH deviation relative to 1993–95. Their anomalies were computed by removing the annual and semiannual harmonics. The anomaly maps (Fig. 1) are composites from a series of months that represent the patterns characteristic of various time periods or climate events, as described in detail below and in Table 2.

The comparison of climatologies and anomalies involves a variety of data sets and types with different base periods. Our analysis of this issue indicates that the results described here are not significantly altered by changing the base period. The magnitude of anomalies during EN/LN is quite large, and the patterns

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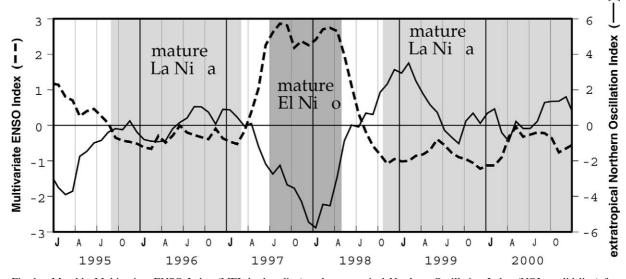


Fig. 1. Monthly Multivariate ENSO Index (MEI, broken line) and extratropical Northern Oscillation Index (NOIx, solid line) for January 1995–January 2001. Shading denotes mature EN and LN periods.

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Table 2

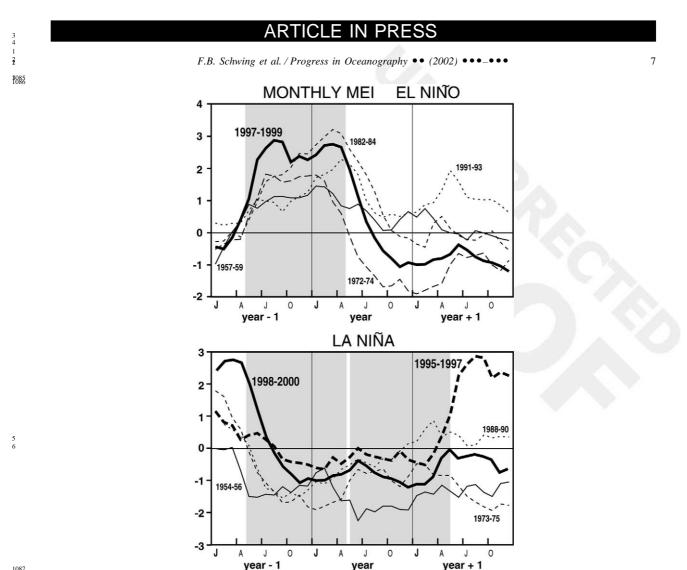
Phases of La Niña and El Niño, 1995-2000, based on the Multivariate ENSO Index (MEI) (Fig. 1)

		Period
1995–19	997 LN	
1	Mature, but weak to moderately strong	9/95-2/97
1997–19	998 EN	
2	Very rapid growth, from neutral to strong	3/97-6/97
3a	Mature, very strong	7/97–9/97
b	Mature, very strong but slightly weaker	10/97-12/97
c	Mature, very strong	1/98-3/98
4a	Rapid decay, from strong to neutral	4/98-7/98
1998–2001 LN		
4b	Rapid growth, from neutral to strong	8/98-10/98
5a	Mature, strong	11/98–3/99
b	Mature, weak to moderately strong	4/99–9/99
с	Mature, moderately strong	10/99-3/00

are qualitatively the same regardless of the climatological period. Beyond the data gridding described 266 above, anomaly fields were not smoothed for plotting. 267

#### 2.3. Defining El Niño and La Niña periods 268

A number of indices have been developed to quantify the magnitude and timing of climate events, 5 269 including EN/LN. We use the Multivariate ENSO Index or MEI (Wolter & Timlin, 1998) as an index of 270 EN/LN conditions in the tropical Pacific, and to define the characteristic periods of these events since 1995 271 (Table 2). The MEI is based on six well-correlated observed variables in the tropical Pacific: SST, atmos-272 pheric sea level pressure (SLP), zonal and meridional surface winds, surface air temperature, and total 273 cloudiness. Details on the computation of the MEI are available at: www.cdc.noaa.gov/~kew/MEI/mei.html. 274 Fig. 1 shows the evolution of the MEI since 1995. Positive (Negative) MEI values represent EN (LN) 275 periods and identify three recent events; the 1995–97 LN, the 1997–98 EN, and the 1998–2001 LN. These 276 events were separated by relatively rapid transitions, which are represented by sharp changes in the magni-277 tude and sign of the MEI. The sequence of these events is given in Table 2. The time periods of EN/LN 2.78 events based on other criteria (e.g., Trenberth, 1997) are very similar to those based on the MEI. Fig. 2 279 compares these recent events to past strong events. EN and LN typically develop and decay in the boreal 280 spring. However the 1997-98 EN and 1998-2001 LN developed at a rate unprecedented in recent history. 281 On interannual time scales, SLP variations over the NEP are out of phase with those over the western 282 tropical Pacific; this led to the development of the extratropical Northern Oscillation Index or NOIx 283 (Schwing, Murphree, & Green, in press), a climate index similar to its tropical equivalent, the Southern 284 Oscillation Index. The NOIx is computed from the difference between SLP anomalies of the North Pacific 285 High (35°N, 135°W) and Darwin, Australia (10°S, 130°E). It is primarily an index of the north Pacific 286 Hadley–Walker circulation, including trade winds and subtropical jet streams, induced by a variety of 287 climate phenomena. It is significantly correlated with interannual variability in a number of atmospheric 288 and oceanic fields in the NEP (Schwing et al., in press). The NOIx is available at: http://www.pfeg.noaa.gov. 280 The NOIx since 1995 is shown in Fig. 1 along with the MEI. The NOIx is generally negative (positive) during EN (LN), and negatively correlated with the MEI. The close correspondence between these two 291 independent climate indices shows that the atmosphere over the NEP fluctuates with, and thus is likely 292 coupled with, atmospheric and oceanic conditions in the tropical Pacific. The evolution of recent EN/LN, 293 as defined by the MEI, NOIx, and other indices, is apparent in a number of atmospheric and oceanic fields 294



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Fig. 2. MEI comparing 1997–98 EN to previous ENs (upper panel). MEI comparing 1995–97 and 1998–2000 LN to previous LNs (lower panel). Thick white vertical line in lower panel is not meant to represent separate LN events, but separates two 12-month periods within the individual LN events.

throughout the Pacific. As we show in section 3, the 1997–98 EN and 1998–2001 LN events were charac terized by distinct atmospheric and oceanic anomaly patterns that imply a connection between the tropical
 and extratropical Pacific.

#### 298 **3. Results**

<sup>299</sup> 3.1. Typical El Niño and La Niña anomalies in the Pacific

Based on ten moderately to very strong EN (LN) events during 1960–1995 (Table 3, the major anomalies that typically develop in the Pacific during EN (LN) events are described in Fig. 3 and /LINK) by composite anomaly patterns for SST, 100 m ocean temperature, 200 hPa geopotential height, SLP, and 850 hPa wind

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Table 3 1369 Periods used to create November-February composite El Niño and La Niña anomaly fields in Figs. 3 and 4 1370 1378 1381 1384 El Niño La Niña 1390 1393 1965-66 1961-62 1396 1972-73 1962-63 1399 1976-77 1964-65 1402 1977 - 781970-71 1405 1979-80 1971-72 1408 1982-83 1973-74 1411 1986-87 1974-75 1414 1417 1987-88 1975-76 1991-92 1984 - 851420 1992-93 1988-89 1423 1426

during November–February,). Boreal winter anomalies are shown because this is when EN/LN events are typically in their mature phase.

SST anomalies (SSTAs) in the north Pacific during EN (LN) are: (1) cool (warm) in the western tropical 306 Pacific; (2) warm (cool) in the central and eastern tropical Pacific; (3) cool (warm) in the CNP; and (4) 307 warm (cool) in the NEP (Figs. 3a and 4a). Stronger SSTAs in the eastern tropical Pacific and NEP are 308 separated by weaker SSTAs off southern Mexico. Pacific SSTAs are approximately about the equator. 309 Subsurface temperature anomaly patterns (Figs. 3b and 4b) are similar to the SSTAs, with a few modifi-210 cations. Western tropical Pacific subsurface anomalies are more extreme, reflecting shoaling (deepening) 311 of the thermocline in the western Pacific warm pool during EN (LN). Subsurface anomalies during the 312 mature phase of EN give a stronger impression than SSTAs of an equatorial connection to the North 313 American coast. 314

In the EN (LN) composite, tropical Pacific low-level wind anomalies are eastward (westward), indicating weak (strong) trade winds, and strong wind convergence (divergence) in the central and eastern tropical Pacific (Figs. 3d and 4d; cf. Rassmusson & Carpenter, 1982). The eastward (westward) zonal wind anomalies along the equator lead to anomalous equatorial downwelling and eastward (westward) currents which contribute to positive (negative) SSTA anomalies in the central and eastern tropical Pacific in the EN (LN) composites (Fig. 3a,b and 4a,b; Philander, 1990; McPhaden, 1999).

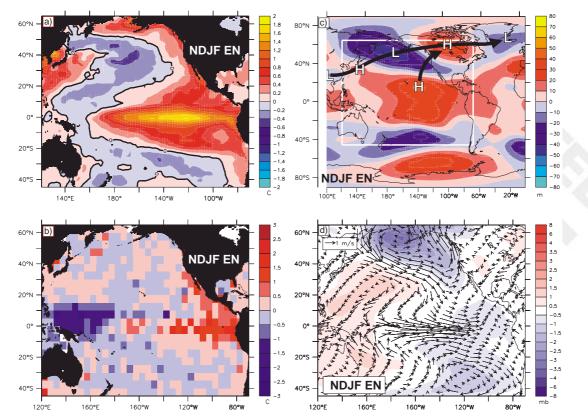
The trade wind anomalies are also part of coherent extratropical wind anomalies that emanate out of 321 high pressure systems in the eastern regions of the north and south Pacific. These atmospheric anomalies 322 are roughly about the equator. For example, Fig. 3d(4d) shows that large cyclonic (anticyclonic) surface 323 wind anomalies during EN (LN) in the NEP and the southeastern Pacific (centered near 35°S, 120°W) are 324 associated with negative (positive) SLPA centers and weaker (enhanced) trade winds. A common tropical 325 Pacific forcing source may initiate this symmetry, perhaps via variations of the north and south Pacific 326 branches of the Hadley-Walker circulation (cf. Bjerknes, 1969; Rassmusson & Carpenter, 1982; Schwing 327 et al., in press). 328

Over the CNP and NEP, negative (positive) SLPAs correspond to a stronger (weaker) Aleutian Low and weaker (stronger) North Pacific High during EN (LN). These SLPAs result in anomalous forcing of the upper ocean with, for example, cyclonic (counter-clockwise in the northern hemisphere) wind stress anomalies over the NEP during EN, including poleward (downwelling-favorable) winds along the west coast of North America (Fig. 3d), and the opposite forcing anomalies in LN (Fig. 4d).

<sup>334</sup> During EN/LN, SLPAs and SSTAs in the CNP and NEP have a 'characteristic spatial relationship' (Figs. <sup>335</sup> 3a,d and 4a,d). Specifically, the center of the negative (positive) SLPA in the CNP and NEP tends to be



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Fig. 3. Composite EN anomalies during November-February, based on the EN events given in Table 3Anomalies of: (a) SST (°C); 1100 (b) ocean temperature (°C) at 100 m depth; (c) geopotential height (m) at 200 hPa; and (d) sea level atmospheric pressure (SLP, in 1101 hPa) and wind velocity (m/s) at 850 hPa. Wind anomalies indicate lower atmospheric anomalies, with vector scale shown in upper-1102 left box. Warm (cool) colors indicate positive (negative) temperature, height, and SLP anomalies, with scales shown to right of 1103 panels. In panel (c): H (L) denotes center of a positive (negative) height anomaly in anomalous wave trains that affect the NEP; 1104 arrows show schematically the propagation of anomalous planetary wave energy through the anomalous wave trains that affected the 1105 NEP, based on analyses of quasi-geostrophic wave activity flux vectors (Plumb, 1985); and the box denotes region covered by 1106 other panels. 1108

<sup>336</sup> bounded to the north and east by positive (negative) SSTAs, and to the south and west by negative (positive)
 <sup>337</sup> SSTAs. Similar spatial relationships between SLPAs and SSTAs were found during the different phases
 <sup>338</sup> of the EN and LN events of 1995–2001 (see section 3.3). Namias, Yuan, and Cayan (1988) found a similar
 <sup>340</sup> pattern and relationship between monthly 700 mb height and SST anomalies for 1947–86. Our analyses
 <sup>341</sup> low-level wind anomalies.

The mechanisms by which extratropical oceanic anomalies are generated by regional wind anomalies including Ekman processes, geostrophic advection, surface heat fluxes, and mixing—have been addressed in a number of studies (e.g., Cayan, 1992; Miller, Cayan, Barnett, Graham, & Oberhuber, 1994; Miller & Schneider, 2000), but the relative importance of each has not yet been well determined. All may contribute

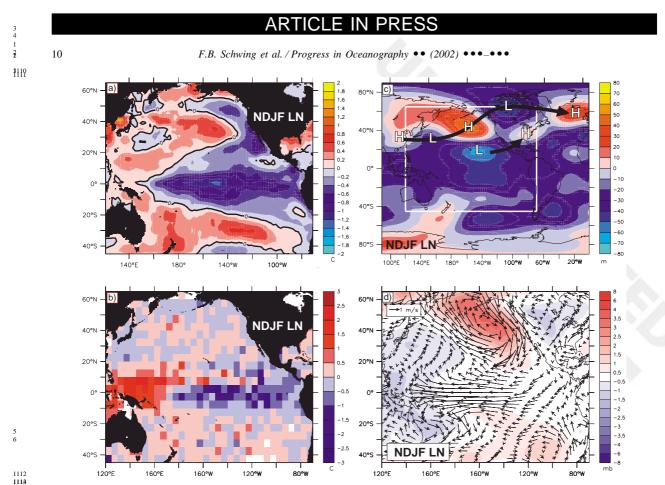


Fig. 4. As for Fig. 1, for composite of past La Niña events given in Table 3.

to the characteristic SLPA–SSTA relationships shown in Figs. 3 and 4. In section 3.4, we discuss the possible importance of Ekman processes in explaining a number of the NEP upper oceanic anomalies that occurred during 1995–2001.

Extratropical atmospheric anomalies are equivalent barotropic (i.e. the anomalies are qualitatively similar from the upper troposphere to the surface) (Figs. 3c,d and 4c,d)). For example, in the EN (LN) composites, the 200 hPa and SLP anomaly fields are positive (negative) over the western subtropical north Pacific, negative (positive) over most of the CNP and NEP, and positive (negative) over North America (Figs. 3c,d and 4c,d).

Two main mechanisms have been proposed for the remote forcing of oceanic anomalies in the NEP during tropical EN/LN: (1) atmospheric teleconnections involving wave trains from the western and central tropical Pacific (Horel & Wallace, 1981; Emery & Hamilton, 1985; Mysak, 1986; Simpson, 1992; Murphree & Reynolds, 1995); and (2) oceanic poleward propagating coastal-trapped waves (Enfield & Allen, 1980; Chelton & Davis, 1982; Clarke & Van Gorder, 1994; Meyers, Melsom, Mitchum, & O'Brien, 1998), principally baroclinic Kelvin waves.

Evidence for atmospheric teleconnections into the NEP during EN/LN is clear in the composite geopotential height anomalies (Figs. 3c and 4c). These teleconnections are represented by patterns of alternating positive (H) and negative (L) 200 hPa height anomalies, which represent anomalous wave trains that are typical in November–February during EN/LN. For example, during EN an anomalous wave train originating in the central tropical Pacific is revealed by a positive height anomaly centered near Hawaii, a negative over the CNP–NEP, a positive over Canada, and a negative near Iceland (Fig. 3c). A comparable but

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oppositely signed anomalous wave train pattern occurs during LN (Fig. 4c). These patterns are similar to the Pacific/North American (PNA) pattern, a familiar example of an anomalous wave train associated with positive (negative) tropospheric heating anomalies in the central and eastern tropical Pacific during EN (LN) (Wallace & Gutzler, 1981; Horel & Wallace, 1981; Peixoto & Oort, 1992).

Figs. 3c and 4c also show anomalous wave trains emanating from east Asia and arching over the NEP 370 and North America. For example, during LN (Fig. 4c) an anomalous wave train is indicated by a positive 371 height anomaly over eastern China, a negative southeast of Japan, a positive over the CNP-NEP, a negative 372 over Canada, and a positive near Iceland. Similar anomalous wave trains have been identified in several 373 previous studies, and are associated with tropospheric heating anomalies in the southeast Asia-western 374 tropical Pacific region (e.g., Nitta, 1987; Ford, 2000). An analogous but oppositely signed anomaly pattern 375 occurs during EN (Fig. 3c). The arrows in Figs. 3c and 4c indicate the anomalous wave trains originating 376 near Hawaii and near east Asia intersect and interfere constructively over the NEP, North America, and 377 the north Atlantic. In these extratropical regions, the impacts of EN/LN resulting from atmospheric telecon-378 nections tend to originate from both the central tropical Pacific and east Asia. These anomalous wave trains 379 and their ro?section 3.5. 380

In analyzing EN and LN events and their impacts, especially in the NEP, three fundamental points must 381 be considered. First, EN/LN are tropical phenomena but have extratropical impacts. Second, anomalies 382 that appear to be caused by EN/LN can be produced by other phenomena and processes. Third, because 383 of the slow response of the ocean (principally because of its thermal and mechanical inertia) to atmospheric 384 change, the oceanic impacts of EN/LN events can linger long after the atmospheric processes that caused 385 them have dissipated. Attribution of extratropical anomalies to EN/LN is a complex and difficult issue. A 386 clear mechanistic connection to the tropical Pacific should be shown before ascribing extratropical anomal-387 ies to tropical EN or LN events. 5 288

#### 389 3.2. Coastal conditions off central California, 1995–99

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The time series in Fig. 5 describe the temporal evolution of anomalous wind forcing over the central CCS (35°39°N) 1995–99, and its relationship to coastal SST and coastal sea level (CSL) anomalies. They reflect extremes that may have been linked to regional-, basin-, and global-scale anomalies. These seasonally adjusted coastal series varied on two primary scales; interannual (>12 months) and intraseasonal (1–4 months).

On interannual scales, central California coastal wind anomalies (Fig. 5a) were generally positive (downwelling- or weaker upwelling-favorable) during the 1997-98 EN. SST and CSL anomalies (Fig. 5b,c) 396 also were positive, and rose rapidly as EN reached maturity. Between April and July 1997, a subsurface 397 intrusion of anomalously warm saline water appeared in the Southern California Bight in association with 398 a very strong California Undercurrent (Lynn & Bograd, 2002). Warm saline poleward coastal flow was 399 observed in late July off central California (Collins et al., 2002) and in September off southern California 400 (Lynn & Bograd, 2002) and Oregon (Huyer, Smith, & Fleischbein, 2002). This broad coastal anomaly 401 may have contributed to the increasing SST and CSL shown in Fig. 5. Central California SST anomalies 402 were greatest in autumn 1997, as much as 6 °C above the seasonal mean at some locations. CSL anomalies 403 continued to rise in late 1997 and early 1998 after SST anomalies began falling, and peaked in February 404 1998. By April 1998 SST and CSL had declined to normal levels. 404

In contrast to the coastal anomalies during EN, the 1995–97 LN featured predominantly southward (upwelling-favorable) wind anomalies in the CCS (Fig. 5a), and corresponding negative SST and CSL anomalies (Fig. 5b,c). Southward coastal wind anomalies dominated again in the 1998–99 LN, coinciding with a dramatic shift in spring 1998 from a strong poleward countercurrent to a strong southward flow (Hayward et al., 1999). SST and CSL anomalies became negative in late 1998, and declined even further

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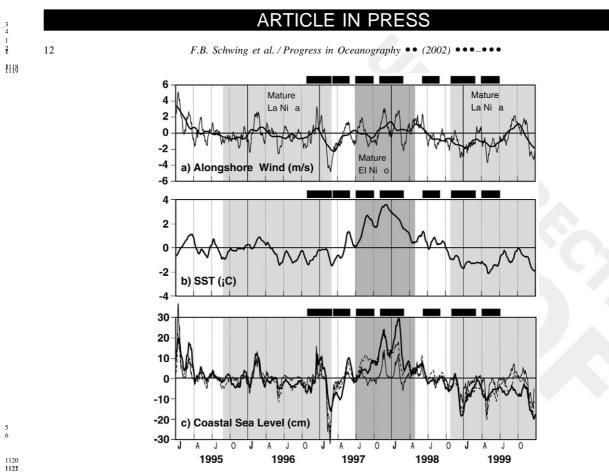


Fig. 5. Daily time series of regional composite anomalies of: (a) alongshore (positive poleward) component of NDBC coastal buoy wind; (b) NDBC coastal buoy SST; and (c) coastal sea level along central California, 1995–99. Locations used to create composite series shown in Table 1. Gray shading denotes mature La Niña and El Niño periods. Black bars are periods of composite maps. Bold line in panel a is 90-day running mean of daily wind anomalies (fine line). Bold solid line in panel (c) is CSL time series. Thin solid line is CSL estimated from wind 'stress' (daily wind anomaly squared) alone. Dashed line is CSL estimated from stress and SST anomalies.

in April–May 1999 off much of California and Baja California. SST anomalies were as much as 3–4 °C below normal during the 1999 upwelling season. CSL was unseasonably low during 1999.

<sup>413</sup> During these LN and EN events, anomalous intraseasonal southward (northward) wind events, corre-<sup>414</sup> sponding with negative (positive) SST and CSL anomalies, tended to mask interannual variability. Strong <sup>415</sup> northward wind anomalies (Fig. 5a) in February 1996 and December 1996–January 1997, during the 1995– <sup>416</sup> 97 LN, led to increased positive SST and CSL anomalies (Fig. 5b,c). Similar winter events occurred during <sup>417</sup> the 1994–95 EN in January and March 1995, and the 1997–98 EN in November 1997 and February 1998. <sup>418</sup> These northward wind anomalies occurred during periods of intense winter storm activity.

Following the storms and downwelling of January 1997, a very strong intraseasonal upwelling (negative 419 wind anomaly) event in February, coinciding with the demise of the 1995–97 LN, lowered SST and CSL. 420 Downwelling wind anomalies preceded warming events during EN in May and August 1997. These events 421 were apparent as positive sea level anomalies, which Strub and James (in press) interpreted as the extratrop-422 ical expression of equatorial Kelvin waves. They were followed by anomalously strong upwelling winds 423 that temporarily lowered SST and CSL anomalies off central California. Rising (Declining) surface salinity 424 (not shown) accompanied most of the intraseasonal upwelling (downwelling) wind anomaly events shown 425 in Fig. 5. The May wind event, at the onset of the 1997–98 EN, helped initiate positive SST and CSL 426

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anomalies that remained for several months. It was part of a large-scale extratropical anomaly that may have contributed significantly to the rapid intensification of tropical EN conditions. Downwelling wind anomalies accompanied the two highest CSL events in November 1997 and February 1998.

A series of strong upwelling wind events during late 1998 and the first half of 1999, coinciding with
 the development of LN, contributed to dramatic cooling and large CSL declines. Two particularly strong
 upwelling events in early May and June 1999 led to seasonal SSTs and CSLs that were among the lowest
 in over 50 years (Schwing & Moore, 2000; Schwing et al., 2000). Thus, local wind anomalies on interannual
 and intraseasonal scales appear to have contributed strongly to major variations of CCS SST and CSL
 during recent EN and LN events.

#### 436 3.3. Large-scale oceanic and atmospheric climate patterns

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We now examine the large-scale context in which these conditions in the CCS evolved. In the following
sections, the basin- to global-scale anomalies that influenced the NEP are described for the major phases
of EN and LN during 1995–2001 (Table 2), based on the MEI and NOIx (Fig. 1). We highlight the anomaly
patterns in the NEP and CCS, and their possible connections to larger scale anomalies.

#### 3.3.1. The 1995–97 La Niña: September 1995 – February 1997

Weak to moderate LN conditions appeared in the tropical Pacific in about September 1995 and continued 442 through February 1997 (Table 2, Figs. 1 and 2). The north Pacific during this time was dominated by a 443 horseshoe-shaped region of positive SSTAs surrounding negative SSTAs in the central and western basin 444 (Fig. 6a). From the NEP, positive SSTAs extended zonally across the subarctic north Pacific, and southwest-445 ward into the western tropical Pacific, approximately along the main path of the trade winds. An area of 5 <del>\$</del>46 strong positive SSTAs was centered in the closed (eastern) end of the horseshoe, between Hawaii, Van-447 couver Island, and Baja California. This feature, which we term the NEP thermal anomaly pool, persisted 448 well into the 1997–98 EN, and appears to have been important in the unusual development and strength 449 of oceanic anomalies in the NEP during that event. The coastal Gulf of Alaska was cooler than normal. 450 Pacific SSTAs were roughly about the equator, with positive SSTAs extending from the mid-latitude sou-451 theast Pacific northwestward to the western tropical Pacific. 452

Subsurface temperature anomalies (Fig. 6b) during the 1995–97 LN displayed a pattern very similar to
 the SSTAs. They were positive in the western tropical Pacific, in much of the NEP, and across the subarctic
 north Pacific. Subsurface temperature anomalies were negative in the eastern tropical Pacific and CNP.
 High (Low) SSH anomalies (SSHAs) in the extratropical north and south Pacific (Fig. 6c) corresponded
 roughly with warm (cool) anomalies.

The overall upper oceanic anomaly pattern in the north and south Pacific resembled the typical LN state (cf. Figs. 4 and 6). However the 1995–97 LN pattern in the north Pacific was relocated about 25° longitude east of the composite LN pattern. For example, a band of positive SSTAs extending between the western tropical Pacific and the extratropical north Pacific was shifted significantly further east in 1995–97 (cf. Figs. 4a and 6a). This led to positive temperature anomalies in most of the NEP, where SSTAs during LN events typically are negative. Similar eastward relocations of the typical SSTA patterns occurred into the CNP and the Gulf of Alaska.

Anomalously cyclonic large-scale winds over much of the north Pacific contributed to unusually weak north Pacific trade winds (Fig. 6d), plus unusually strong onshore flow and heavy precipitation in the Pacific Northwest during the winters of 1995–96 and 1996–97. South Pacific wind anomalies were similar spatially to the composite LN, with unseasonably strong trade winds emanating out of the southeast Pacific and connecting to anomalously westward equatorial winds west of the dateline (cf. Figs. 4d and 6d).

The eastward shift in north Pacific oceanic anomaly patterns, compared to typical LN events, corresponds with a similar relocation in atmospheric forcing. In particular, the negative SLPA centered southeast of

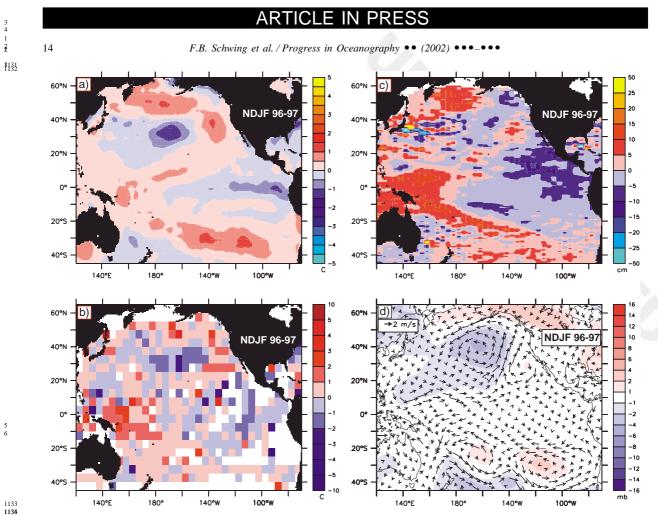
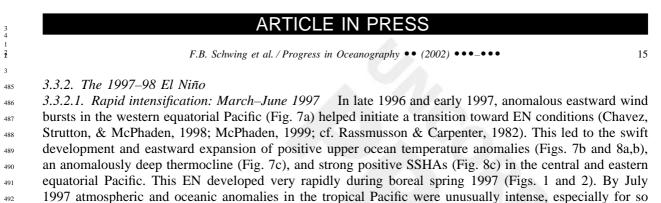


Fig. 6. Pacific Ocean anomaly fields for November 1996-February 1997 of: (a) SST (°C); (b) ocean temperature (°C) at 100 m 1136 depth; (c) sea surface height (SSH) (cm); and (d) SLP (hPa) and wind velocity (m/s) at 850 hPa. Wind anomalies indicate lower 1137 atmospheric anomalies, with vector scale shown in upper left box. Warm (cool) colors indicate positive (negative) temperature, SSH, 1138 and SLP anomalies, with scales shown to right of panels. 1139

Japan in the composite LN was shifted eastward into the CNP, and the positive SLPA centered typically 472 in the CNP was located further to the east near North America (cf. Figs. 4d and 6d). Despite these eastward 473 shifts, the SSTAs surrounding the negative SLPA in the CNP and NEP showed the characteristic spatial 474 relationship described in section 3.1 (cf. Figs. 3, 4 and 6). 475

North Pacific SSTAs during the 1995-97 LN were similar visually to the positive SSTAs that are typical 476 of the mature phase of EN events (cf. Figs. 3a and 6a). Positive SSTAs in spring-summer 1997 may be 477 incorrectly characterized as the early impacts of the 1997-98 EN event, when in fact they were the lingering 478 effects of the unusual 1995-97 LN. The persistence of these SSTAs was related to a continuation during 470 the 1997–98 EN of the anomalously cyclonic low-level winds that dominated the CNP and NEP during 480 the 1995–97 LN, as described in section 3.3.2. Possible mechanisms linking SLP and low-level wind 481 anomalies to the SSTAs during the 1995-97 LN will be discussed in section 3.4. We also will show 482 evidence that the eastward shift of surface atmospheric anomalies was related to an eastward relocation 483 of anomalous wave trains and teleconnections that affected the north Pacific during this event (section 3.5). 484



<sup>493</sup> early in the calendar year (Figs. 2 and 8).

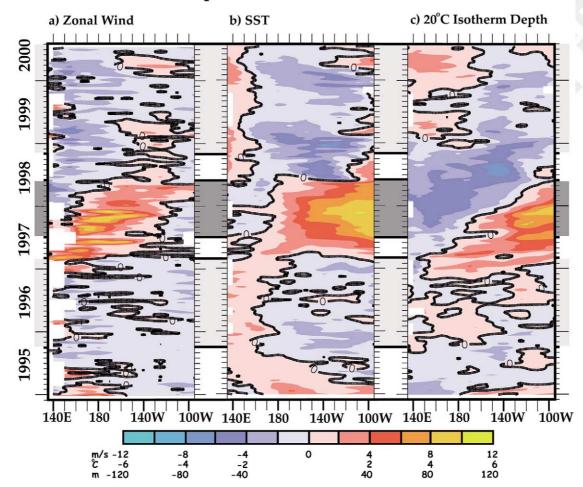
South Pacific oceanic anomalies shifted to a typical EN pattern during March–May 1997 (cf. Fig. 3a,b,

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## **Equatorial Pacific Anomalies**

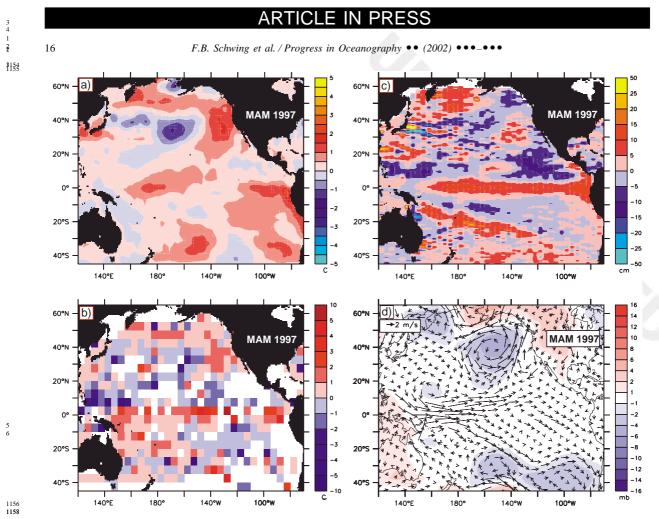


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Fig. 7. Time/longitude plots of equatorial (2°N–2°S) anomalies of: (a) surface zonal wind (m/s); (b) SST (°C); and (c) 20 °C isotherm depth (m), January 1995–July 2000. Analyses based on 5-day averages of moored time series data from the TAO/TRITON array. Warm colors indicate eastward wind, warm SST, and deep thermocline anomalies. The 20 °C isotherm represents the approximate depth of the main thermocline, so a positive (negative) anomaly in the depth of this isotherm corresponds to a deeper (shallower) thermocline and a warmer (cooler) upper ocean. Analyses provided by Michael J. McPhaden (NOAA/PMEL).

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Fig. 8. As for Fig. 6, for March-May 1997.

<sup>495</sup> 8a,b). In the extratropical north Pacific, upper ocean temperature and SSH anomalies during spring 1997
<sup>496</sup> remained similar to those during most of the 1995–97 LN, but were stronger and centered further to the
<sup>497</sup> east (cf. Fig. 6a–c, 8a–c). In particular, positive oceanic anomalies were much stronger in the NEP, and
<sup>498</sup> more extensive along the west coast. By early June 1997, NEP SSTAs ranged from +0.5 °C to more than
<sup>499</sup> +4.0 °C.

Spring 1997 atmospheric anomalies were reflected in the North Pacific High. Normally during the boreal 500 spring, the High migrates to the northwest from its winter position off Baja California, and expands and 501 strengthens to the west. This development was unusually weak in 1997, leading to negative SLP and 502 cyclonic wind anomalies over much of the CNP and NEP (Fig. 8d). The effects of these atmospheric 503 anomalies were very pronounced in the CCS, where alongshore wind, SST, and CSL anomalies became 504 positive during spring 1997 (Fig. 5). Changes in the CCS were especially rapid during May 1997, when 505 the CNP and NEP atmospheric anomalies summarized in Fig. 8d were even more pronounced and further 506 to the northeast. 507

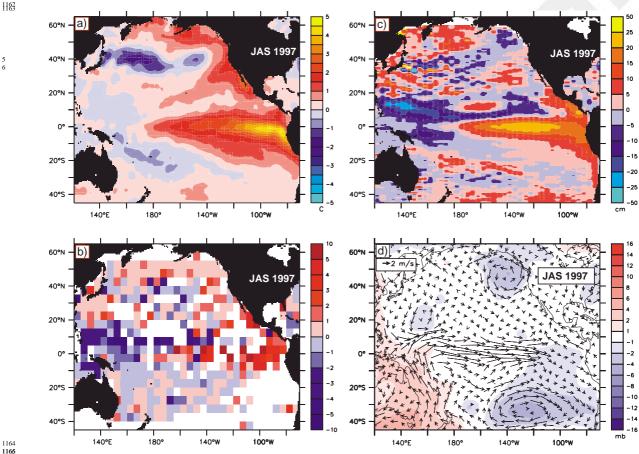
Like SSTAs, SLP and wind anomalies in the CNP and NEP were similar in winter 1996–97 and spring 1997, with spring anomalies stronger and occurring further to the northeast (cf. Figs. 6d and 8d). SSTAs were positive (negative) to the east and north (west and south) of the negative SLPA in the CNP and NEP in both seasons (cf. Fig. 6a,d, 8a,d), the characteristic spatial relationship described for EN in section 3.1. This correspondence suggests that the intensification and shifting of NEP oceanic anomalies in the early

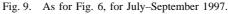
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phase of the 1997-98 EN (i.e., spring 1997) was caused by similar changes in the overlying atmospheric anomalies.

By spring 1997, the highest temperature and SSH anomalies were closer to the North American coast 515 than during the preceding winter, but were still not part of a coastally confined feature (cf. Fig. 6a-c, 8a-c). 516 The rapid development of coastal oceanic anomalies was consistent with the evolution of NEP atmospheric 517 anomalies. There were no clear indications that the intensification of oceanic anomalies in the NEP thermal 518 anomaly pool was related to those in the eastern tropical Pacific (Fig. 8a-c). These observations suggest 519 that major warming patterns over much of the NEP and CCS during spring 1997 were not linked directly 520 by oceanic teleconnection to the simultaneous warming in the central and eastern tropical Pacific. Instead, 521 the warming in the NEP appears to have been largely the result of pre-existing positive temperature anomal-522 ies and changes in the overlying regional atmospheric forcing (see section 3.4 for more detail). 523

3.3.2.2. Mature El Niño: July 1997 – March 1998 By August-September 1997, the 1997-98 EN had 525 reached a very intense initial peak (Figs. 1 and 2). Negative upper ocean temperature and SSH anomalies 526 had intensified in the western tropical Pacific (Fig. 9a-c). In the central and eastern tropical Pacific, this 527 was a period of very warm SSTs and an anomalously deep thermocline, related to strongly eastward low-528 level wind anomalies that were convergent in the eastern tropical Pacific (Fig. 7). Strongly positive SSTAs 529





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extended along the equator east from the dateline, and poleward from the equator to northern Chile and Nicaragua (Fig. 9a).

Ocean temperature and SSH anomalies were positive along most of the North American west coast (Fig. 9a–c). Positive (Negative) SSTAs in the NEP thermal anomaly pool (CNP) were centered further to the northeast than during the preceding spring. Surveys off Oregon found a similar onshore shift in the warmest surface anomalies from July to September (Huyer, Smith, & Fleischbein, 2002).

The development of positive SSTAs in the NEP thermal anomaly pool and exceptionally warm coastal 536 temperatures had important ecological implications. Pearcy (2002) observed that the exotic marine species 537 sighted in the CCS in the early phase of the 1997-98 EN were very different from those occurring during 538 the 1982–83 EN. The 1997–98 assemblage was comprised of large pelagic fish; warm-water oceanic species 539 that are strong migrators. The positive thermal anomaly pool represented an eastward expansion of the 540 normal habitat of these fish, extending their range into coastal waters. The expatriot species reported later 541 in 1998 were coastal demersal animals that had probably relied on enhanced coastal currents for their 542 transport northwards (Pearcy, 2002). These are similar to the types of exotic animals, which were seen 543 during the 1982-83 event (cf., Simpson, 1992). Subsurface temperature and SSH anomaly patterns were 544 similar to SSTAs, but positive anomalies extended poleward from the equator to the Gulf of California 545 (Fig. 9b,c). Subsurface anomalies during boreal summer 1997 were consistent with a continuous coastal 546 oceanic teleconnection from the eastern tropical Pacific into the NEP, but do not resolve it clearly. 547

Negative SLP and cyclonic wind anomalies remained over much of the northern NEP during July-548 September 1997, as they had during the preceding winter and spring. However, these anomalies had con-549 tinued their apparent eastward migration since the previous winter (cf. Figs. 6d, 8d and 9d). The character-550 istic spatial relationship between the SLPA and surrounding SSTAs seen in the 1995–97 LN and spring 551 1997 remained intact (see section 3.1; cf. panels a and d of Figs. 3, 6 and 8). In particular, cyclonic wind 5 552 anomalies over the NEP may have caused anomalous onshore Ekman transports, coastal downwelling, and 553 positive coastal SSHAs (Fig. 5b,c, 9a-c). The latter may have led to positive geostrophic temperature 554 advection, reinforcing anomalously warm SSTs along the US west coast. This was occurring at a time 555 when west coast SSHAs suggested an oceanic teleconnection with the eastern tropical Pacific (Strub & 556 James, in press). 557

In early 1998, EN reached a second peak in intensity (Figs. 1 and 2). Pacific atmospheric and upper 558 oceanic anomaly fields closely resembled the composite mature EN patterns (cf. Figs. 3 and 10). The 559 equatorial Pacific had eastward wind anomalies that extended from the dateline to South America (Fig. 560 7a), and an unusually shallow (deep) thermocline in the western (eastern) equatorial Pacific (Fig. 7c). 561 Positive upper oceanic anomalies were weaker in the NEP thermal anomaly pool (Fig. 10a-c), but stronger 562 in the CCS and more closely confined to the coast (Figs. 5b and 10a-c). Negative oceanic anomalies in 563 the CNP were more coherent spatially and centered further to the east than during July-September 1997 564 (cf. Fig. 9a-c, 10a-c). In the Gulf of Alaska, positive ocean temperature anomalies were weaker, and SSH 565 anomalies were more negative (Figs. 5c and 10c). 566

The continuing intensification and eastward shift of upper oceanic anomalies in the north Pacific was 567 consistent with the corresponding changes in atmospheric SLP and wind anomalies (Fig. 10d). In particular, 568 from boreal summer 1997 to winter 1997-98, negative SLP and cyclonic wind anomalies in the CNP and 569 NEP strengthened and expanded to the east and south over western North America (cf. Figs. 9d and 10d). 570 These anomalies represented a deeper Aleutian Low that extended further to the southeast than during the 571 typical winter (cf. Hartmann, 1994). The corresponding winter storm activity was unusually intense, with 572 heavy precipitation along the west coast of North America, especially during February 1998. Anomalies 573 during winter 1997–98 also were shifted eastward with respect to the composite EN anomalies (cf. Figs. 574 3 and 10). For example, the negative SLPA centered over the Bering Sea in the EN composite was just 575 south of the Gulf of Alaska during winter 1997–98 (cf. Figs. 3d and 10d). This is consistent with the CNP 576 and NEP SSTAs being more closely confined to the west coast during winter 1997–98 than in the composite 577

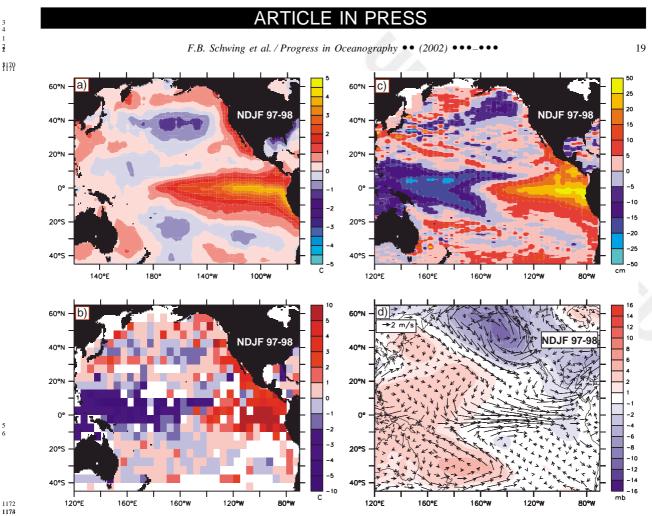


Fig. 10. As for Fig. 6, for November 1997-February 1998.

(cf. Figs. 3a and 10a). As in the preceding twelve months, atmospheric anomalies in the CNP and NEP
 appear to have been closely linked with the position of the underlying oceanic anomalies during the mature
 phase of the 1997–98 EN.

*3.3.2.3. Transition toward La Niña: April – July 1998* Pacific upper ocean temperature anomalies during
 March–April 1998 were still characteristic of EN conditions. Positive anomalies (+2–4 °C) extended along
 the equator from the dateline to South America (Fig. 7b). North and South American coastal SSTs remained
 unseasonably warm (+1–3 °C anomalies) while negative (-1–3 °C) anomalies persisted in the CNP and
 central south Pacific (Hayward et al., 1999).

However the intensification of anomalously cool subsurface temperatures in the equatorial Pacific in 587 boreal spring 1998 (Fig. 7c) indicated a continuing transition away from EN conditions that began in late 588 1997. In May-June 1998, westward wind and negative SST anomalies were returning to the central and 589 eastern tropical Pacific (Fig. 7a,b). Two distinct regions of negative SST and SSH anomalies developed 59( in spring 1998; in the western and central tropical Pacific and in the NEP thermal anomaly pool. By July, 591 the transition toward LN conditions was well established, with positive (negative) upper ocean temperature 592 and SSH anomalies developing in the far western (central and eastern) tropical Pacific (Fig. 7b,c, 11a-c). 593 SLP and trade wind anomalies were positive in both the extratropical north and south Pacific (Fig. 11d), 594 a precursor to the developing LN (Rasmusson & Carpenter, 1982). 595

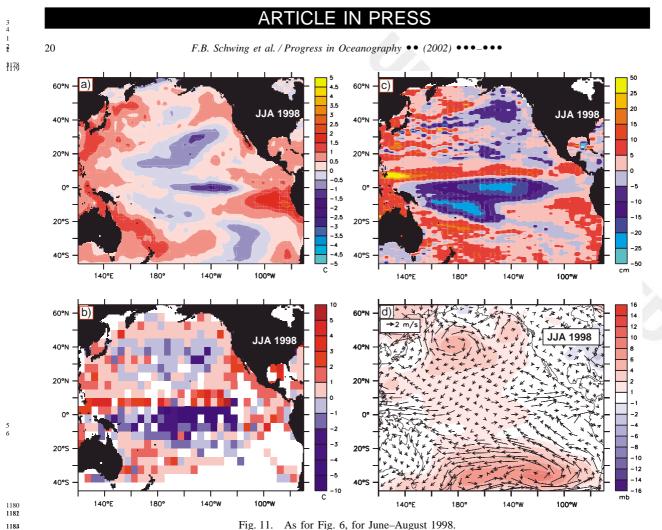
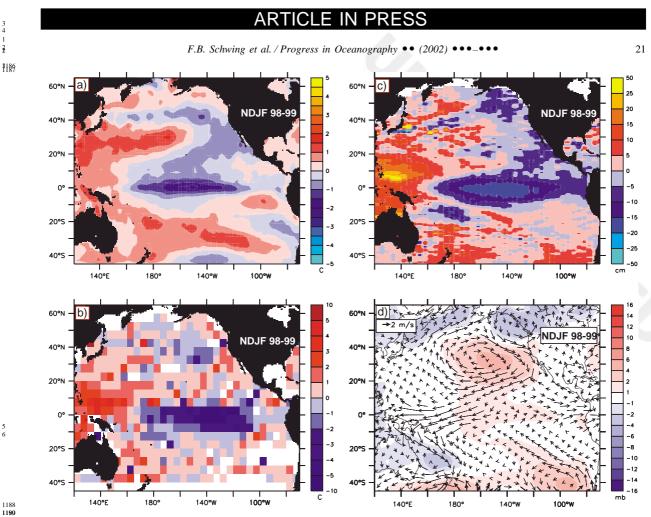


Fig. 11. As for Fig. 6, for June-August 1998.

An unseasonably strong North Pacific High developed and persisted in summer 1998, and SLPAs in the 596 NEP and CNP became positive (Fig. 11). Along the North American west coast, positive SLPAs corre-597 sponded to negative low-level wind anomalies that favored greater coastal upwelling and a trend toward 598 negative coastal SST and SSH anomalies (Fig. 5a-c; cf. Figs. 10c and 11c). The underlying oceanic anomaly 599 fields also changed, in a manner consistent with the characteristic spatial relationship between atmospheric 600 and oceanic anomalies (see section 3.1). 601

#### 3.3.3. The 1998-2001 La Niña 602

3.3.3.1. Rapid Intensification: August – October 1998 The MEI and NOIx changed signs in August 603 1998, indicating a shift toward LN conditions (Fig. 1). Equatorial zonal wind anomalies were divergent 604 over the central tropical Pacific (Figs. 7a and 11d), cf. convergent wind anomalies in the 1997-98 EN. This contributed to decreases in upper ocean temperature, thermocline depth, and SSH in the central and 606 eastern tropical Pacific (Figs. 7c and 11a-c). A shift to strong equatorward winds in early boreal autumn 607 helped produce lower than normal SSTs and SSHs in the CCS (Fig. 5a-c). However subsurface tempera-608 tures remained above normal, indicating a gradually shoaling but anomalously deep thermocline along the 609 North American west coast (cf. Figs. 10b, 11b and 12b). 610



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Fig. 12. As for Fig. 6, for November 1998-February 1999.

3.3.3.2. Mature La Niña: November 1998 – early 2001 By boreal winter 1998–99, atmospheric and 612 oceanic anomalies indicated a mature LN had developed in the tropical Pacific (Figs. 1 and 2), with impacts 613 that extended well into the extratropical north and south Pacific (Fig. 12). SSTs more than 2 °C below 614 normal occurred in much of the central tropical Pacific (Figs. 7b and 12a). Divergent low-level winds in 615 the tropical Pacific west (east) of the dateline (Figs. 7a and 12d) led to an unusually deep (shallow) thermoc-616 line and positive (negative) subsurface temperature anomalies (cf. Figs. 7c and 12b). 617

Negative SSTAs stretched roughly along the axis of the trade winds from the central tropical Pacific to 618 California and northern Mexico, and into the NEP thermal anomaly pool (Fig. 12a), although the underlying 619 temperature anomalies were positive (Fig. 12b). SST and SSH anomalies in most of the CNP and NEP 620 were negative (Fig. 12a.c). However positive temperature and SSH anomalies had intensified and expanded 621 over a broad region from the western subtropical Pacific into the CNP north of Hawaii. 622

SLPAs over most of the CNP and NEP were positive, with anomalously strong trade winds extending 623 from the west coast of North America into the western tropical Pacific (Fig. 12d). The characteristic spatial 624 relationship between SLPAs and SSTAs was again present. Despite strong upwelling-favorable wind anom-625 alies in the CCS, positive coastal subsurface temperature anomalies persisted but were diminishing. SLP 626 and wind anomalies in the south Pacific were similar to those in the north Pacific, with a strong South 627 Pacific High and trade winds. 628

Upper ocean temperature anomalies in most of the north Pacific had intensified further by May-June

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1999 (Figs. 5b and 13a,b), particularly in the CCS where SSTs were as much as 3-4 °C below normal. In much of the CNP and NEP, SSTAs were roughly opposite those during the 1997–98 EN (cf. Figs. 8a, 9a, 10a and 13a). Positive subsurface temperature anomalies were seen along the west coast and in the NEP thermal anomaly pool, with negative anomalies to the west (cf. Figs. 10b and 13b). This anomaly pattern can be traced back prior to the 1997-98 EN, when these features were qualitatively similar but located further west (cf. panel b of Figs. 6 and 8–13). The broad zonal region of positive upper ocean temperatures and SSHAs centered at about 30-N in the western north Pacific continued its eastward expansion into the NEP (cf. Fig. 12a-c, 13a-c). In most of the north and south Pacific, SSHAs were positive (negative) where SSTAs were positive (negative) (Fig. 13c).

A well-developed North Pacific High during boreal spring 1999 produced higher than normal SLP and 639 strong anticyclonic winds over the NEP, and anomalously strong southward (upwelling-favorable) coastal 640 winds (Figs. 5b and 13d). SLPA and SSTA patterns were similar to those in the LN composite (cf. Fig. 641 4a,d, 12a,d). As in the 1995-97 LN and the 1997-98 EN, the characteristic spatial relationship between 642 atmospheric and oceanic anomaly patterns indicate that SLP and low-level wind anomalies in the CNP 643 and NEP may have contributed to the underlying upper oceanic anomalies during the 1998-2001 LN. We 644 will discuss one possible mechanism for this relationship in the following section. 645

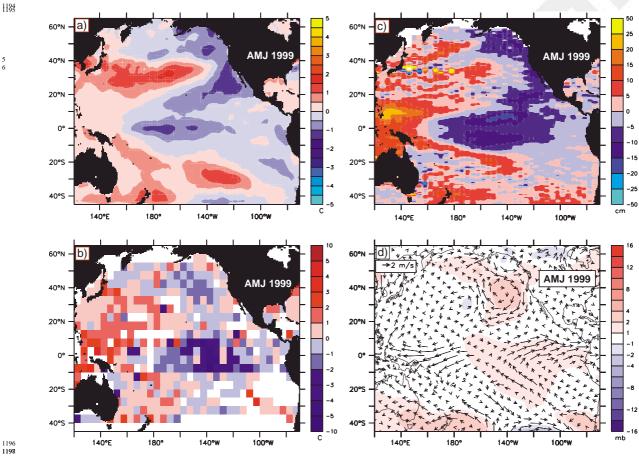


Fig. 13. As for Fig. 6, for April-June 1999.

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#### 3.4. Wind stress curl anomalies in the NEP

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As seen throughout section 3.3, the recurring characteristic spatial relationship between atmospheric and oceanic anomalies in the CNP and NEP indicates that anomalous surface Ekman transports may have contributed to the development of oceanic anomalies. Anomalously positive (negative) wind stress curl should produce anomalous horizontal divergence (convergence) in surface Ekman transport and a shoaling (depression) of SSH and isopycnal surfaces via Ekman pumping. To test this idea, we compared the curl of the wind stress anomalies (shown as the anomaly of the mean of the daily curl fields) and SSHAs during key phases of the EN and LN events of 1995–2001.

During much of the 1995–97 LN (e.g., November 1996–February, 1997), positive curl anomalies overlaid 654 negative SSHAs in most of the western and northeastern NEP and negative curl anomalies overlaid positive 655 SSHAs in the NEP thermal anomaly pool (Fig. 14a). A smaller region of positive curl anomalies and 656 negative SSHAs occurred in the southeastern NEP and coastally from Mexico to Oregon. Negative curl 65 anomalies southwest of Alaska corresponded with another region of positive SSHA. The inverse spatial 658 association between curl and SSH anomalies over most of the NEP suggests that anomalous Ekman pro-659 cesses were important during the 1995–97 LN in creating SSHAs, and more generally the thermal structure 660 of the upper ocean in this region. 661

The same inverse association occurred over the NEP during the onset of the 1997–98 EN (March-May, 662 1997) (Fig. 14b). The main curl and SSH anomaly features were similar to those during the preceding 663 winter, but stronger and located further to the east. Negative curl anomalies now covered the CCS north 664 of Pt. Conception, and positive SSHAs were more prevalent in this region. The onshore shift of these 665 anomalies may have contributed, through anomalous Ekman processes, to the dramatic rise of SST and 5 (666 CSL anomalies in the CCS during early 1997 (Fig. 5). The strengthening and eastward shift of wind stress 667 curl anomalies were related to larger scale changes, as indicated by the dramatic rise in the NOIx during 668 this period (Fig. 1) and the evolution of low-level atmospheric fields over the north Pacific (cf. Figs. 6d 669 and 8d). 670

During the mature phase of EN (e.g. November 1997-February, 1998), wind curl and SSH anomalies 671 still coincided spatially over most of the NEP (Fig. 14c). The major region of positive curl and negative 672 SSH anomalies had shifted to the northeast. These anomalies were much stronger than in the preceding 673 12 months (cf. Fig. 14a-c), consistent with the very strong SLPAs at this time (Fig. 10d). The negative 674 curl anomalies that had previously been sitting southeast of the positive curl center became compressed 675 to the south and east, and covered much of the CCS. The corresponding positive SSHAs during late 1997– 676 early 1998 extended along the entire west coast, under this negative curl anomaly. This correspondence indicates that anomalous Ekman processes in both the open ocean and the coastal NEP contributed to the 678 unusually high coastal SSHs and CSLs at this time (Figs. 5c, 9c, 10c and 14c). In the mature phase of 679 the 1997–98 EN, this buildup of SSHAs along the west coast would have added to any existing positive 680 SSHAs resulting from coastal-wave activity, or even possibly misinterpreted as the remotely generated 681 signal of a downwelling Kelvin wave. 682

Several previous studies have indicated that regional atmospheric forcing in the NEP is a major factor 683 in producing oceanic anomalies during EN and LN events (e.g. Mysak, 1986; Simpson, 1992; Cayan, 1992; 684 Miller et al., 1994). The characteristic spatial relationship between wind curl and SSH anomalies in the 684 NEP (Fig. 14) and between anomalies in SLP, low-level winds, and upper ocean temperature (Figs. 6 and 686 8-13) indicate that anomalous Ekman transports may have been important factors in developing oceanic anomalies. Specifically, temperature advection resulting from vertical and horizontal Ekman transport 688 appears to have contributed to upper ocean temperature anomalies in the CNP and NEP. A geostrophic 689 circulation will develop to balance the evolving Ekman mass transport, further redistributing temperature 690 anomalies. Other regional atmosphere-ocean interaction mechanisms (e.g., sensible and latent heat flux, 691

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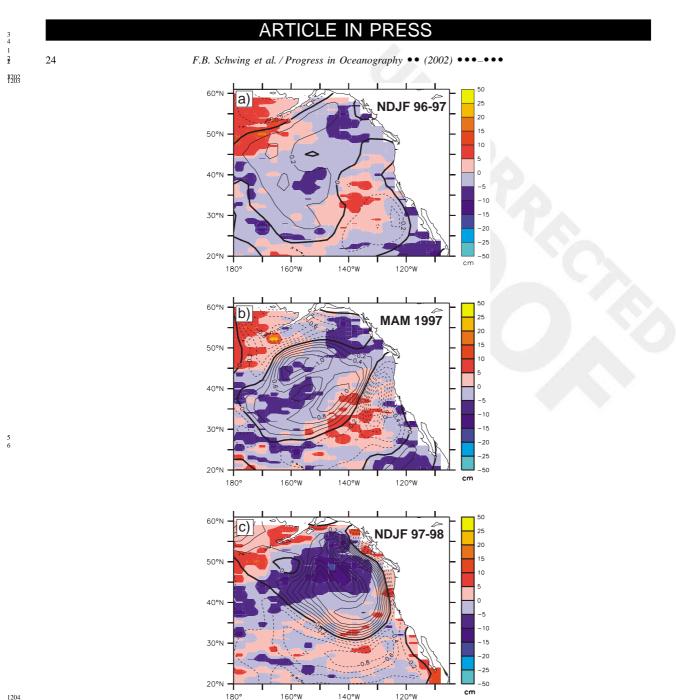




Fig. 14. SSHAs and wind stress curl anomalies for the NEP for: \*(a) November 1996–February 1997; (b) March–May 1997; and c) November 1997–February 1998. Contours denote magnitude of curl anomaly. Contour interval is  $0.2 \times 10^{-7}$  Pa/m. Dashed contours denote negative curl anomaly. Warm (cool) colors indicate higher (lower) than normal SSHs, with scale shown at right.

mixing) may have contributed to these oceanic anomalies as well (e.g., Cayan, 1992; Miller et al., 1994). The relative importance of these mechanisms are being investigated in ongoing studies.

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#### 3.5. Atmospheric teleconnections during 1995–2001

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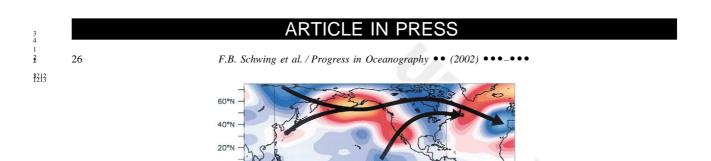
As discussed in section 3.3, upper tropospheric anomalies are good indicators of atmospheric teleconnections that force the NEP remotely. In this section, 200 hPa geopotential height anomalies are analyzed to define the role of these teleconnections in the production of the surface atmospheric and upper oceanic anomalies described in the previous sections.

As in the LN composite, upper tropospheric height anomalies over the north Pacific, North America, 699 and the north Atlantic in January-March 1996 were part of a series of anomalous wave trains emanating 700 from east Asia and the central tropical Pacific (cf. Figs. 4c and 15a). This indicates the strong height 701 anomaly over the CNP–NEP in early 1996 had a remote origin similar to that in other LN events. However 702 positive height anomalies over the CNP-NEP were shifted to the north and east during winter 1995-96, 703 relative to the composite LN, roughly straddling the west coast of North America. The strongest positive 704 height anomalies were centered near southern Alaska and southern California, with weaker negative anomalies north and southeast of Hawaii. The corresponding SLP and lower tropospheric wind anomalies (not 706 shown) were qualitatively similar, with anticyclonic (downwelling-favorable) wind anomalies over the NEP 707 and along most of the west coast. These west coast anomalies were consistent with the development of 708 positive SSTAs in the NEP, especially in the NEP thermal anomaly pool, during the first boreal winter of 709 the 1995-97 LN. 710

Upper tropospheric height anomalies in the extratropical north Pacific during December 1996–January 711 1997 (Fig. 15b) were unlike those in the LN composite, perhaps because LN was especially weak at this 712 time. However, as in the first winter of this LN event, positive (negative) height anomalies were centered 713 near southern California (north of Hawaii) (cf. Fig. 15a,b). These height anomalies had counterparts in the 3 714 lower atmosphere. In particular, the negative height anomaly from north of Hawaii to western Canada (Fig. 715 15b) was matched by negative SLP and cyclonic wind anomalies (Fig. 6d). These appear to have contributed 716 to upper ocean temperature and SSH anomalies in the CNP-NEP (cf. Fig. 6a-c, 14a). As in winter 1995-717 96, the origins of the CNP–NEP anomalies may be traced back to an anomalous wave train that emanated 718 from east Asia. No anomalous wave train originated from the central tropical Pacific, because of the weak 719 LN state. 720

During July-September 1997, the 200 hPa height anomalies revealed a series of zonal anomalous wave 721 trains emanating from east Asia and extending into the NEP (Fig. 15c). Such zonal height anomalies are 722 a common response to anomalous tropospheric heating in southeast Asia and the western tropical Pacific, 723 and tend to be especially clear during June–December (Nitta, 1987; Ford, 2000). The anomalous wave 724 train pattern over the NEP, North America, and the north Atlantic during August-September 1997 (Fig. 725 15c) is similar to the typical boreal summer-early winter EN pattern (Ford, 2000). An anomalous wave 726 train from the central tropical Pacific into the NEP was not evident during this period. This is not surprising, 727 since such teleconnections tend to be weak outside of the boreal winter (Horel & Wallace, 1981; Hoskins & 728 Karoly, 1981). As in the preceding two winters, upper tropospheric and low level anomalies corresponded 729 closely, especially the negative 200 hPa height anomalies and the underlying negative SLP and cyclonic 730 wind anomalies in the northern NEP (cf. Figs. 9d and 15c). This correspondence indicates teleconnections 731 from east Asia contributed to atmospheric anomalies in the NEP during summer 1997, and probably to 732 the underlying oceanic anomalies (see sections 3.3 and 3.4). 733

Despite strong EN conditions in the equatorial Pacific since boreal spring 1997, a clear atmospheric teleconnection from the central tropical Pacific to the NEP did not develop until late 1997. In November 1997–February 1998, the 200 hPa height anomalies were very similar to the composite EN patterns (cf. Figs. 3c and 15d). An anomalous wave train from east Asia into the NEP was especially clear. A separate anomalous wave train from the central tropical Pacific, a feature typical of the mature phase of EN during the boreal winter (Fig. 3c), interfered constructively with the east Asian wave train over North America



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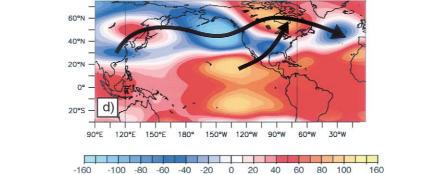
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Fig. 15. Anomalous 200-hPa geopotential heights (m) for: (a) January-March 1996; (b) December 1996-January 1997; (c) August-1217 September 1997; and (d) November 1997-February 1998. Warm (cool) colors indicate higher (lower) than normal heights, with scale 1218 shown at bottom. Arrows show schematically the propagation of anomalous planetary wave energy through the anomalous wave 1219 trains that affected the NEP, based on analyses of quasi-geostrophic wave activity flux vectors (Plumb, 1985). Vertical lines at 120°E 1220 and 70°W denote zonal extent of Pacific region shown in previous figures. 1222

and the north Atlantic. The strong SLP and surface wind anomalies that developed in the NEP during 740 winter 1997-98 (Fig. 10d) were surface expressions of EN-induced atmospheric teleconnections. 741

Upper tropospheric height anomalies during much of the 1998-2001 LN (not shown) also corresponded 742 to the major SLP and surface wind anomalies in the CNP and NEP. Throughout 1995-2001, the major 743

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<sup>744</sup> surface atmospheric anomalies in the extratropical north Pacific were part of anomalous tropospheric wave
 <sup>745</sup> trains, principally from east Asia. The impacts of these wave trains were likely important in the evolution
 <sup>746</sup> of the upper oceanic anomalies in the NEP during this period, through their influence on surface atmospheric processes.

#### 748 **4. Discussion**

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Tropical and extratropical Pacific anomalies during 1995-2001 varied strongly on intraseasonal and 749 interannual scales (Figs. 3, 4, 5 and 7). During late 1996-early 1998, equatorial internal Kelvin waves 750 were forced by intraseasonal eastward wind bursts in the western tropical Pacific (Fig. 7, also see 751 McPhaden, 1999; Chavez et al., 1999), which are associated with 30-60 day Madden-Julian Oscillations 752 (Madden & Julian, 1971). The downwelling phases of two prominent waves, generated in late December 753 1996 and early March 1997, reached South America in March and May 1997, respectively. Other downwel-754 ling Kelvin waves can be seen throughout 1997, each associated with an eastward wind burst (Fig. 7a,c). 755 These waves may have propagated along the eastern boundary as coastal Kelvin waves, and may have 756 been responsible for much of the positive sea level anomalies in the CCS in late spring and autumn-winter 757 1997-98 (Ryan & Noble, 2002; Strub & James, in press). 758

Collectively these intraseasonal equatorial waves contributed to the interannual buildup of CSL and 759 deepening thermocline at the equatorial eastern boundary during the latter half of 1997 (Figs. 7c and 8-760 10), which may have generated a quasi-geostrophic poleward flow into the NEP (cf., McAlpin, 1995; 761 Clarke & Lebedev, 1999). Other equatorial thermocline anomalies tracked the eastward movement of anom-762 alous interannual zonal winds during late 1996-early 1998 (Fig. 7a,c), taking about 18 months to cross 263 the Pacific. A similar slow-moving signal has been seen in previous EN events (cf. Fig. 4 in Enfield, 1987). 764 White and Cayan (2000) found interannual global tropical SST and SLP signals that propagate eastward 765 out of phase at a similar speed. They suggest this interannual mechanism is tied to global decadal variability 766 and has modulated past EN/LN events, and emphasize that it is very different from the mechanism tradition-767 ally credited for EN/LN variability. 768

Interannual variability in the NEP during the 1997-98 EN was distinguished by large regional- and 769 basin-scale anomalies in low-level wind, upper ocean temperature, and sea level that developed during the 770 1995-97 LN and persisted through early 1998 (Figs. 5 and 8-10). Interannual anomalies in the coastal 771 NEP probably were the result of the combined effects of regional atmospheric and remote oceanic forcing. 772 Regional atmospheric forcing included: (1) persistent negative SLP and cyclonic wind anomalies in the 773 CNP-NEP from late 1996-early 1998, with especially intense anomalies in winter 1997-98 (Fig. 10); and 774 (2) atmospheric anomalies over the open ocean that shifted onshore from winter 1996–97 through winter 775 1997–98 (Figs. 5–6 and 8–10). Remote oceanic forcing included: (1) generation of coastal Kelvin waves 776 by persistent eastward winds in the tropical Pacific and poleward winds in the NEP (Figs. 5-10; also 777 McPhaden, 1999; Ryan & Noble, 2002; Strub & James, in press); and (2) accumulation of mass and energy 778 along the west coast as coastal Kelvin waves generated westward propagating Rossby waves (Pares-779 Sierra & O'Brien, 1989; Clarke & Van Gorder, 1994; McAlpin, 1995). 780

A major focus of this study is the degree to which atmospheric and oceanic anomalies in the NEP during 1997–98 were related to the 1997–98 EN event. Our analyses indicate that these anomalies did not have a clear and direct connection to tropical Pacific anomalies until boreal autumn 1997. NEP oceanic anomalies in the early phase of EN were primarily the result of: (1) pre-existing oceanic anomalies generated during the 1995–97 LN by regional atmospheric anomalies (Figs. 5–6, 14a and 15a,b); and (2) the modification of oceanic anomalies during this phase of EN by regional atmospheric anomalies that were not tied directly to the EN event (Figs. 5, 8–9, 14b and 15c).

<sup>788</sup> During July–September 1997, the major atmospheric anomalies in the NEP were linked to anomalous

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extratropical atmospheric wave trains from East Asia (Fig. 15c). These wave trains are a product of EN-789 related anomalies in atmospheric convection in the western tropical Pacific, and are typical during EN (Ford, 790 2000). Thus atmospheric anomalies in the NEP during July–September may have been linked indirectly to 791 the 1997–98 EN via atmospheric teleconnections from the tropics. North Pacific anomalies during previous 792 EN events have not always been related in obvious ways to tropical extremes, and actually may have been 793 precursors to EN (Namias, 1976; Emery & Hamilton, 1985; White & Tabata, 1987; Simpson, 1992). During 794 November 1997-April 1998, atmospheric wave trains from both East Asia and the central tropical Pacific 795 were important in generating atmospheric anomalies in the NEP (Figs. 5, 10 and 15d). These atmospheric 796 anomalies were a major factor in the development of the NEP oceanic anomalies during the second half 797 of the 1997–98 EN. 798

<sup>799</sup> A second focus of this study is to define the relative contribution of regional atmospheric forcing and <sup>800</sup> remotely forced oceanic propagations to the evolution of NEP anomalies during the 1997–98 EN. To clarify <sup>801</sup> the possible sources and mechanisms of forcing in the CCS, we applied a stepwise linear model using the <sup>802</sup> central California coastal time series for 1995-1999 (Fig. 5). Anomalous CSL is the dependent variable, <sup>803</sup> and buoy alongshore wind 'stress' (the daily wind anomaly squared, sign preserved) and SST anomalies <sup>804</sup> are the independent variables. We entered stress into the model first, then SST after pre-whitening it by <sup>805</sup> removing the partial correlation with stress ( $r^2 = 0.14$ ).

$$CSL(t) = \alpha + \beta_1 \cdot stress(t) + \beta_2 \cdot SST(t).$$

The thin solid line in Fig. 5c is the modeled CSL anomaly using wind alone ( $r^2 = 0.44$ ); the dashed 808 line incorporates wind and SST ( $r^2 = 0.79$ ). From the time series, it is clear that local wind forcing is an 809 important contributor to intraseasonal perturbations in CSL (e.g. strong poleward wind anomalies in May, 810 July, and November 1997, and February, 1998). However, wind anomalies do not track the interannual 811 rise and fall of CSL anomalies from early August 1997 to mid-April 1998. Local winds also underestimate 812 the large CSL rise during the May 1997 downwelling event. The model response to SST may represent 813 thermal and dynamical effects associated with larger scale (regional) variations in the wind (e.g., curl) 814 and related Ekman and geostrophic transports, coastal-trapped waves, and in-situ warming, primarily on 815 interannual scales. 816

Throughout the study period, atmospheric and oceanic anomalies in the CNP–NEP featured a recurring characteristic spatial relationship, and regional atmospheric forcing appears to have been a major factor in creating the underlying oceanic anomalies. The main evidence for this comes from all phases of the 1995– 97 LN, 1997–98 EN, and 1998–2001 LN events.

Atmospheric and upper oceanic anomalies in the CNP–NEP had a characteristic spatial relationship. When this region was dominated by negative (positive) SLP and cyclonic (anticyclonic) low-level wind anomalies, SSTAs were positive (negative) east and north, and negative (positive) west and south of the SLPA center (Figs. 6, 8, 10 and 12). This relationship is typical during EN and LN events (Figs. 3 and 4), and has been identified previously as a persistent pattern in the north Pacific (Namias et al., 1988).

Changes in upper oceanic anomalies corresponded to changes in atmospheric anomalies, as evident in
 their location, shape, extent, and strength (Figs. 6 and 8–10). The evolution of oceanic anomalies was too
 fast to be explained by lateral oceanic advection of temperature anomalies.

Wind stress curl and SSH anomalies indicate that regional atmospheric forcing anomalies were responsible for much of the upper oceanic anomalies in the NEP (Fig. 14).

Major atmospheric anomalies over the NEP were part of atmospheric teleconnections from remote regions (Fig. 15), indicating atmospheric anomalies were not primarily the result of underlying oceanic anomalies.

There has been considerable debate about the source of anomalous west coast CSL signals during EN, and specifically on the ability of coastal Kelvin waves to propagate from the equator to the CCS. Analyses of CSL variations from prior EN events identified poleward propagations along the North American west

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coast (Enfield & Allen, 1980; Chelton & Davis, 1982; Meyers et al., 1998), although the range of observed
 phase speeds (35–300 km/day) is quite large. Theoretical speeds fall at the lower end of this range (Clarke &
 Van Gorder, 1994; McAlpin, 1995).

Coastal propagations, possibly originating in the equatorial Pacific (e.g., Fig. 7c), appear to have been a factor in generating oceanic anomalies in the coastal NEP during the 1997–98 EN event (Ryan & Noble, 841 2002; Strub & James, in press). Positive anomalies of upper ocean currents, CSL, temperature, and salinity 842 observed in the CCS (Collins et al., 2002; Huyer et al., 2002; Lynn et al., 1998; Lynn & Bograd, 2002; 843 Ryan & Noble, 2002; Strub & James, in press) support the idea of a large-scale anomalous poleward flow 844 from about May 1997 through February 1998. This flow would be consistent with the downwelling phase 845 of an internal Kelvin wave. Yet the sources of and mechanisms responsible for these anomalies are not 846 clear. Two mechanisms may explain how tropical EN signals could influence the NEP via an oceanic 847 teleconnection along the eastern boundary. Both favor interannual over higher frequency variations in 848 the CCS. 849

A number of studies have determined that coastal wave energy cannot propagate effectively from the 850 tropics to the mid-latitudes (Baumgartner & Christensen, 1985; Mysak, 1986; Spillane, Enfield, & Allen, 851 1987; McAlpin, 1995; Clarke & Lebedev, 1999). Cane and Sarachik (1977) defined a 'critical latitude' 852 that is a function of frequency and coastal orientation. Poleward of this latitude, Kelvin waves are coastal-853 trapped as a poleward subsurface jet (Clarke & Van Gorder, 1994; McAlpin, 1995), and local wind forcing 854 will dominate CSL variability. This latitude is roughly central California (ca. 35–40°) for interannual per-855 iods, but confined to within about 20— of the equator on intraseasonal scales. Thus interannual Kelvin 856 waves are more likely to be detected in west coast CSL anomalies. 857

At very low frequencies, coastal waves are quasi-steady and the flow along an eastern boundary must be nearly geostrophic (McAlpin, 1995; Clarke & Lebedev, 1999). During EN, this quasi-geostrophic flow will transport warmer, more saline water poleward on interannual scales (cf. Collins et al., 2002; Huyer et al., 2002; Lynn & Bograd, 2002). CSL will increase (e.g., Fig. 5) in geostrophic balance with this largescale, low-frequency anomaly in California Current transport. However surface anomalies on shorter time scales may be more controlled by local and regional wind processes.

Coastal Kelvin waves are scattered into Rossby and coastal-trapped wave modes as they propagate poleward (Pares-Sierra & O'Brien, 1989; Clarke & Van Gorder, 1994; McAlpin, 1995). Internal Rossby waves move slowly westward (about 5 km/day at mid-latitudes), and create a coastal field much wider than the Kelvin wave decay scale that is apparent months after its generation. Such a Rossby wave signal may have been part of the SSHA fields during 1997 (Strub & James, in press), and the CCS dynamic height fields of past EN events (Simpson, 1992; Lynn et al., 1995). It may have been manifested as the interannual rise and fall of central California CSL and SST as well (Fig. 5c; also Ryan & Noble, 2002).

Miller et al. (1997) proposed an alternate mechanism for generating extratropical SSHAs during EN. 871 Their simulations indicate that large-scale thermocline adjustments in the interior of the north Pacific, 872 forced by wind stress curl and heat flux anomalies, excite compensatory westward-propagating baroclinic 873 waves near the coast. Forcing from the tropical ocean contributes only weakly to extratropical thermocline 874 anomalies. This model can account for observed west coast sea level and thermocline anomalies, and the 875 discrepancy in phase speed between observations and theoretical scattered Rossby waves. Coastal NEP 876 anomalies were affected by onshore shifts of open oceanic anomalies during summer-autumn 1997, as 877 atmospheric anomalies shifted onshore (Figs. 9, 10 and 14c), in a manner consistent with Miller et al. 878 (1997). This coincided with the arrival of coastal propagations from the tropical Pacific (cf. Ryan & Noble, 879 2002; Strub & James, in press). 880

Since late 1998, Pacific atmospheric and oceanic anomaly fields have displayed a typical LN pattern
 (cf. Figs. 2 and 12–13). As of April 2001, the MEI had remained negative for 33 consecutive months, the
 longest continuous negative phase since 1973–76 (Figs. 1 and 2). It weakened considerably in early 1999
 and 2000, only to be followed by revived LN conditions. These persistent anomalies may be the early sign

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of a decadal climate shift. The most familiar decadal shift in the north Pacific occurred about 1977 (cf. 885 Trenberth & Hurrell, 1994; Mantua, Hare, Zhang, Wallace, & Francis, 1997; Parrish, Schwing, & Mend-886 elssohn, 2000). Another significant shift occurred around 1990 (Hare & Mantua, 2000; McFarlane, King, & 887 Beamish, 2000). Minobe (1999) has identified an interdecadal oscillation in the Aleutian Low as a likely indicator of climate shifts, and suggested a phase reversal could occur as early as 1999–2000. A number 889 of coincident biological changes along the west coast indicate major shifts in long-term ecosystem patterns 890 since 1998 (Schwing & Moore, 2000; Schwing et al., 2000). Most of these are consistent with those 891 identified with historical cooler conditions in the NEP. The large physical and biological shifts in recent 892 years demonstrate that the large-scale climate, and ecological conditions in the coastal NEP, can change 893 swiftly and dramatically. 894

#### <sup>895</sup> 5. Summary

Throughout 1995-2001, tropical and extratropical Pacific anomalies in SLP, low-level wind, upper ocean 896 temperature, and SSH varied strongly on intraseasonal and interannual scales. Atmospheric and oceanic 897 anomalies in the NEP featured a recurring characteristic spatial relationship, and regional atmospheric 898 forcing appears to have been a major factor in generating the underlying oceanic anomalies. During the 899 1995-97 LN and 1997-98 EN, the NEP was dominated by negative SLP and cyclonic wind anomalies. 900 Upper ocean temperature anomalies were positive along the west coast of North America, and negative in 901 the central north Pacific. A negative regional wind stress curl anomaly, conducive to reduced Ekman 902 pumping in the proximity of the NEP thermal anomaly pool, was an important factor in establishing and 903 strengthening the upper oceanic anomalies that persisted well into the 1997–98 EN. 904

North Pacific anomalies during the 1995–97 LN resembled the typical LN pattern, shifted to the east. 805 This eastward relocation was related to a similar shift in the atmospheric teleconnection out of east Asia. 906 During the early phase of the 1997–98 EN, the highest temperature and SSH anomalies were offshore in 907 the NEP thermal anomaly pool. An unusually weak North Pacific High associated with anomalous wave 908 trains out of east Asia resulted in anomalous cyclonic atmospheric circulation. This led to weak upwelling-909 favorable wind stress along the coast and an intensification of negative Ekman pumping anomalies offshore. 910 Summer 1997 was marked by a gradual eastward shift in the atmospheric anomaly pattern, and a corre-911 sponding onshore evolution of the strongest oceanic anomalies. 912

The processes responsible for NEP oceanic anomalies differed in the early and latter stages of the 1997– 913 98 EN. NEP anomalies did not display a clear and direct connection to equatorial Pacific anomalies until 914 late 1997. Prior to November 1997, upper oceanic conditions were controlled by local atmospheric forcing 915 anomalies that were teleconnectioned to east Asia; a teleconnection from the central tropical Pacific was 916 not evident. Beginning in November, anomalous atmospheric wave trains from east Asia and the central 917 tropical Pacific combined to generate anomalies in the NEP. An interannual increase in sea level during 918 the mature phase of EN appeared to be the joint effect of regional atmospheric forcing and remote coastal 919 oceanic propagations. The two distinct types of exotic species visiting the CCS in 1997 and 1998 (Pearcy, 920 2002) were consistent with this change in forcing. 921

The concurrent development of intraseasonal equatorial sea level and extratropical wind anomalies during 922 the 1997–98 EN makes it difficult to separate their influence on the CCS. One complicating factor is the 923 teleconnection between tropical and extratropical atmospheric anomalies. Equatorial wind anomalies initiate 924 separate atmospheric and oceanic teleconnections; both ultimately impact extratropical coastal ocean con-925 ditions. It may be impossible to determine the relative contribution from each path, especially since many 926 of the intermediate signals are correlated. Nevertheless, it is reasonable to say that the observed anomalies 927 in the CCS during the 1997–98 EN were the result of a complex blend of local atmospheric forcing, some 928 of which was teleconnected from the tropical Pacific and east Asia, and remotely forced oceanic signals 929 along the eastern boundary. 930

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