Lynda Mallow / Dale Hamby
FOCI Analytic Division
Industrial Policy & Programs

Mission

- Created in June 2008 to ensure all available data is analyzed and policies applied when identifying and mitigating FOCI in the NISP
  - Products and processes created as part of DSS response to July 2005 GAO Report, *DOD Cannot Ensure Its Oversight of Contractors Under Foreign Influence is Sufficient*
  - FAD currently consists of 13 FTEs

FOCI Analytic Division

Created Repository For FOCI Data

Data Points

- Cage/name

- Complete mitigation information

- Identification and type of FOCI

- NAICS/technology

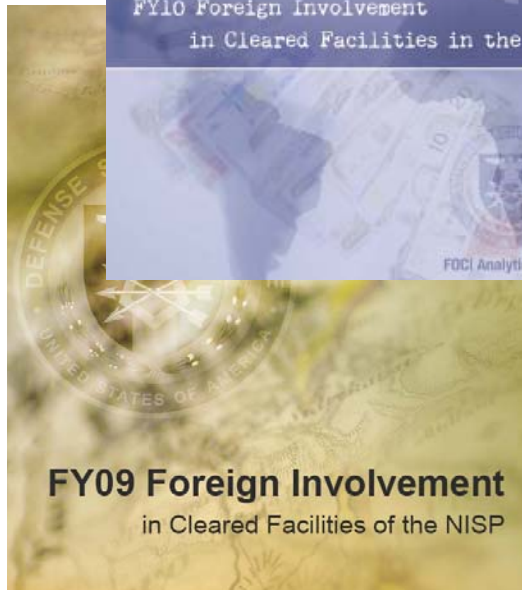## Yearly FOCI Document Prepared

FY10 Foreign Involvement
in Cleared Facilities in the NISP

FOCI Analytic Division

FY09 Foreign Involvement
in Cleared Facilities of the NISP

FAD prepares a yearly compilation of data

- **Internal and external consumption**

- **Different purpose and audience from CI Trends**
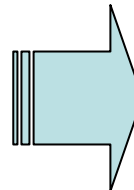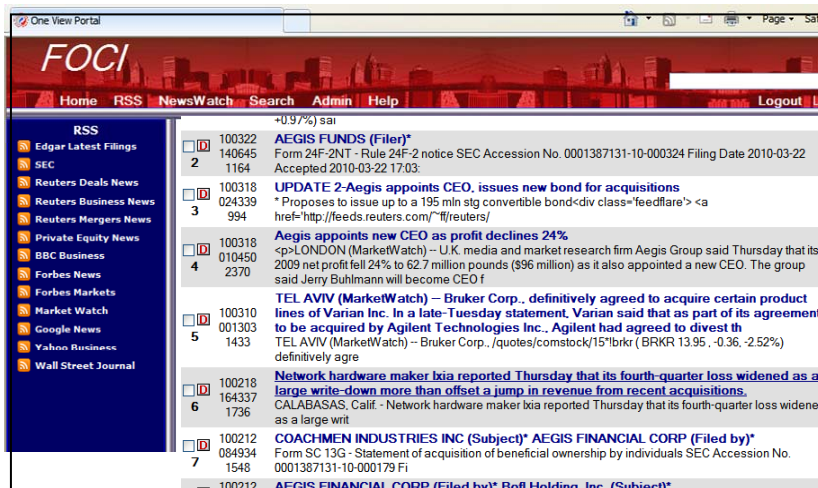
Monitoring NISP Facilities

- Created a Portal which continually runs a search of the names of all NISP facilities against various RSS feeds from business news sites

- Findings are analyzed and material changes are reported in *NISP in the NEWS*

- Published on a weekly basis and sent to all DSS Regional Offices for their cognizance; used to provide oversight of NISP facilities

Identification and Analysis of FOCI

Modified Process – Now Reviewing all SF328s

When a Field Office Chief determines an e-FCL package is complete, the FAD is notified to conduct a review of the SF328 to ensure all FOCI has been properly reported.

Beta Test → **Phased in Roll Out** → **Full Implementation**

(May 2010) **(June 2010 – January 2011)** **(February 2011)**

Reviewing all Completed e-FCL Packages
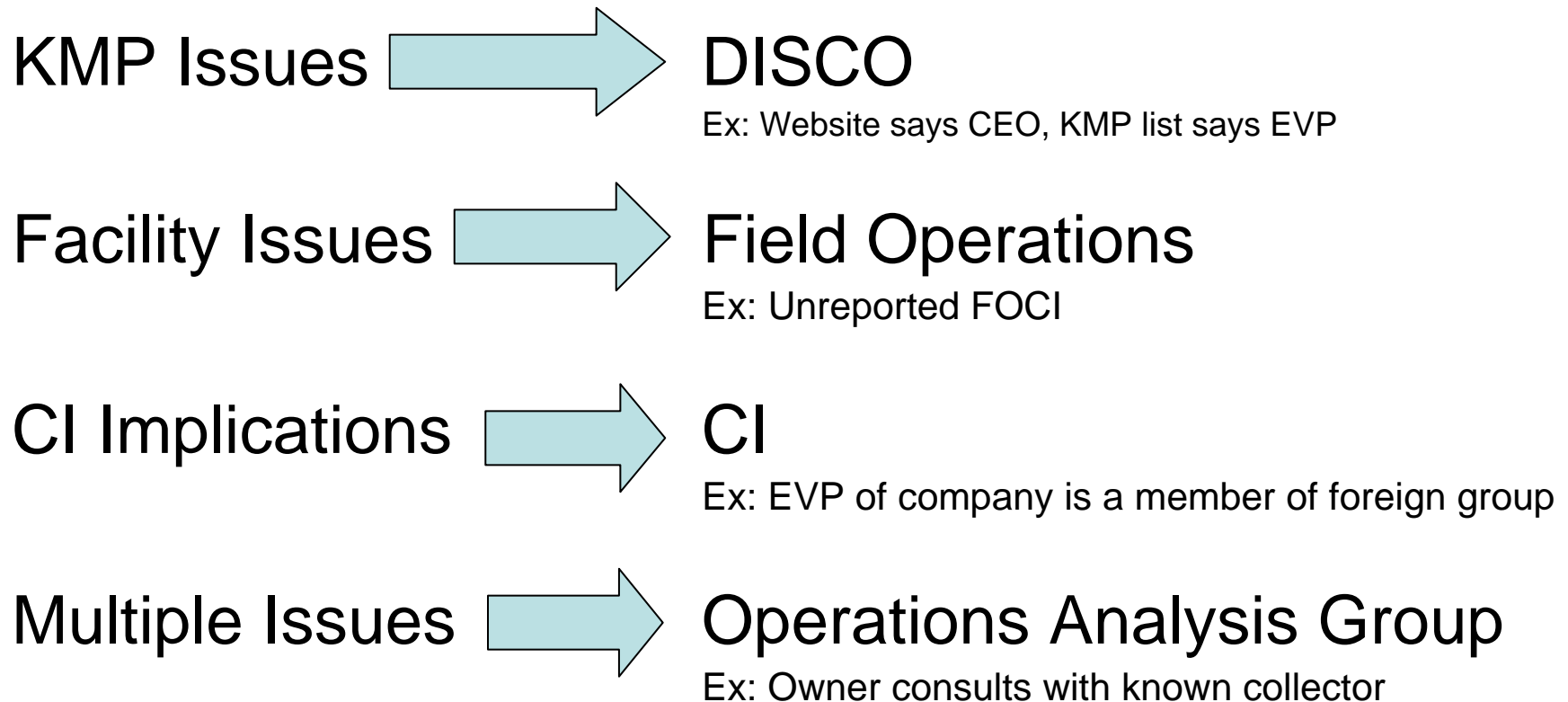
Reviewing approximately 250 packages/month

- Approximately 150 comprehensive FOCI Assessments written monthly; distributed to Field Operations and CI

- Facilities analyzed before package receives final clearance approval

  - Packages for facilities already in NISP are also reviewed to ensure proper reporting

Implications?

Internal Collaboration of FAD Findings

KMP Issues ➡ DISCO

Ex: Website says CEO, KMP list says EVP

Facility Issues ➡ Field Operations

Ex: Unreported FOCI

CI Implications ➡ CI

Ex: EVP of company is a member of foreign group

Multiple Issues ➡ Operations Analysis Group

Ex: Owner consults with known collector

External Collaboration of FAD Findings

NID Decisions ➡ Multiple Government Entities

SCRM Issues ➡ Multiple Government Entities

CI Issues ➡ Multiple Investigative Entities

What does it mean to you?