

# Excited states and hadron resonances from Lattice QCD

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R. M. Woloshyn

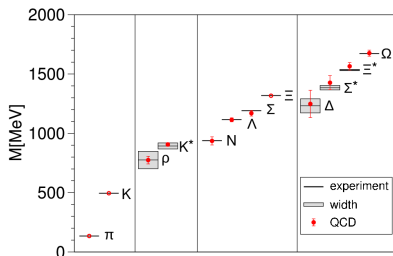
- 1 Introduction & Motivation
- 2 Extraction of excited energy levels
  - Why are excited states difficult?
  - Example results for light-quark mesons and baryons
  - Example results for the low-lying charmonium spectrum
- 3 From excited states to hadron resonances
  - The  $\rho$  resonance - a benchmark calculation
  - $K\pi$  scattering
  - $D\pi$  and  $D^*\pi$  scattering
- 4 Summary & Outlook

# Recent developments

- Light mesons and baryons

Ground states have been calculated with full control of systematic uncertainties

Example from Dürer et al. Science 322 (2008)



- Calculations at the physical pion mass

PACS-CS Phys.Rev. D81 (2010) 074503

BMW Phys.Lett. B701 (2011) 265

MILC arXiv:1212.4768

We will soon see more (RBC,...)

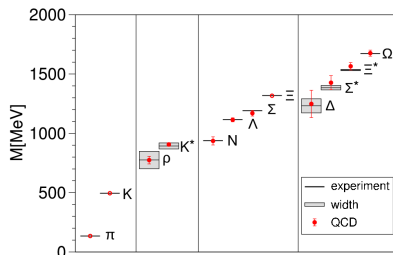
- First dynamical 2+1+1 flavor simulations (ETMC, MILC)

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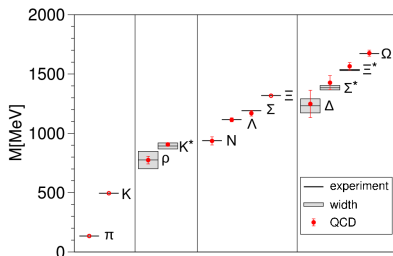
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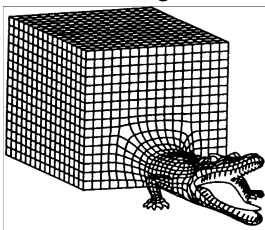
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# Two kinds of progress . . .

- (1) Much progress in controlling systematics for ground states in “gold-plated” channels  
→ Studies with fully controlled systematics at the physical point.
- (2) Qualitative studies provide/implement crucial insights/improvements and pave the way
  - I will present results from exploratory studies so take results with a grain of salt and beware of the dangerous animals of lattice QCD
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# Example of systematics: Extrapolations

- *Continuum limit:*  $a(g, m) \rightarrow 0$ 
  - Need simulations at multiple different lattice spacings
- *Thermodynamic limit:*  $L \rightarrow \infty$  ( $L \cdot a = \text{const.}$ )
  - Hadron physics in a small box  $\rightarrow$  finite volume effects  
Typical volume  $\approx 2.0 \dots 3.5 \text{fm}$
- Calculation at physical quark masses or extrapolation to the *Chiral limit:*  $m \rightarrow m_0$  ( $M_\pi \rightarrow M_{\pi, \text{exp}}$ )
  - Physical u, d quark masses small  $\rightarrow$  Simulation very expensive!
  - Chiral Perturbation Theory ( $\chi$ PT)  $\leftrightarrow$  Lattice QCD



# Many interesting issues

Renewed interest in hadron spectroscopy (in experiment and theory)

- X, Y and Z Charmonium-like states
- light scalar mesons
- $D_s$  spectrum:  $D_{s0}^*(2317) (0^+)$ ,  $D_{s1}(2460)$
- Highly excited light-quark mesons and baryons

In addition puzzling lattice data for

- Roper resonance
- $\Lambda$  baryons, especially  $\Lambda(1405)$

Methods used for excited state spectroscopy interesting with regard to

- Radiative decays
- CP violation in charm
- Puzzles observed in semileptonic B decays

# Example operators

Need: *Interpolating field operator* that creates states with **correct quantum numbers**.

- Example I: Pseudoscalar Mesons with  $J^{PC} = 0^{-+}$

$$O_{\pi}^{(1)} = \bar{u}\gamma_5 d$$

$$O_{\pi}^{(2)} = \bar{u} \overleftrightarrow{D} \gamma_i \gamma_t \gamma_5 d$$

- Example II: Nucleon

$$O_N = \epsilon_{abc} \Gamma_1 u_a (u_b^T \Gamma_2 d_c - d_b^T \Gamma_2 u_c)$$

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- In a QFT they should all be OK; Overlap?

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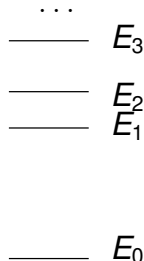
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# The problem with excited states

From the analysis of Euclidean correlators:

$$\langle \hat{O}_2(t) \hat{O}_1(0) \rangle_T \propto \sum_n e^{-tE_n} \langle 0 | \hat{O}_2 | n \rangle \langle n | \hat{O}_1 | 0 \rangle$$

- The whole tower of states contributes
- Ground state is dominant at large  $t$
- Excited states appear as sub-leading exponentials
- Noisy background from limited statistics



- For a single correlator, fit to several exponentials leads to poor results

→ Advanced methods needed for excited states!

# (My) Method of choice: The variational method

Matrix of correlators projected to fixed momentum (will assume 0)

$$C(t)_{ij} = \sum_n e^{-tE_n} \langle 0 | O_i | n \rangle \langle n | O_j^\dagger | 0 \rangle$$

Solve the generalized eigenvalue problem:

$$C(t) \vec{\psi}^{(k)} = \lambda^{(k)}(t) C(t_0) \vec{\psi}^{(k)}$$
$$\lambda^{(k)}(t) \propto e^{-tE_k} \left( 1 + \mathcal{O} \left( e^{-t\Delta E_k} \right) \right)$$

At large time separation: only a single state in each eigenvalue.  
Eigenvectors can serve as a fingerprint.

Michael Nucl. Phys. B259, 58 (1985)

Lüscher and Wolff Nucl. Phys. B339, 222 (1990)

Blossier et al. JHEP 04, 094 (2009)

# Angular momentum (mesons)

- *Reminder:* No unique spin assignment on the lattice.  
Five irreducible representations:

Irrep of $O$	$J$	Spinors in irrep
$A_1$	0,4,...	$1, \gamma_t, \gamma_5, \gamma_t \gamma_5$
$A_2$	3,6,...	
$E$	2,4,5,...	
$T_1$	1,3,4,5,...	$\gamma_i, \gamma_t \gamma_i, \gamma_5 \gamma_i, \gamma_t \gamma_5 \gamma_i$
$T_2$	2,3,4,5,...	

- Classification of interpolator basis by representations
- Unique identification of spin nontrivial

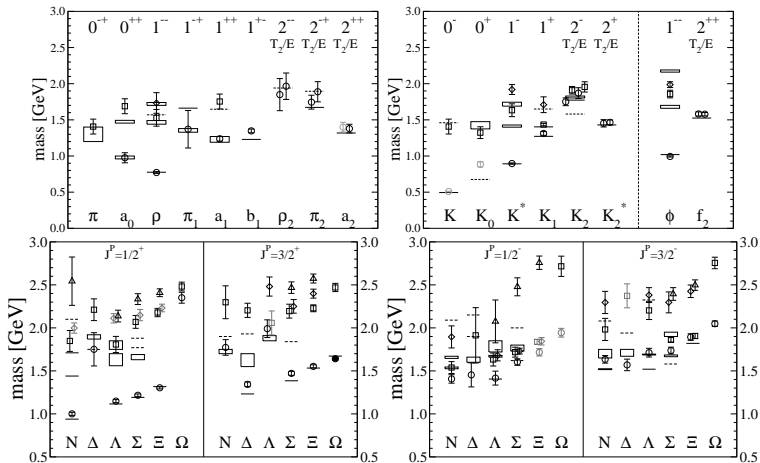
Dudek et al., PRL 103 262001 (2009)

# Light mesons and baryons with CI fermions

- Simulation with 2 mass degenerate dynamic quarks and a heavier (strange) valence quark
- Pion masses ranging from 588MeV to 255MeV
- Scale set by the static quark potential and strange quark mass set by  $\Omega$  baryon
- Errors are statistical only and systematic errors are non-negligible

set	$\beta_{LW}$	$m_0$	configs.	$m_\pi$ [MeV]	$L^3 \times T$ [ $a^4$ ]	$m_\pi L$	$a$ [fm]
A50	4.70	-0.050	200	596(5)	$16^3 \times 32$	6.40	0.1324(11)
A66	4.70	-0.066	200	255(7)	$16^3 \times 32$	2.72	0.1324(11)
B60	4.65	-0.060	300	516(6)	$16^3 \times 32$	5.72	0.1366(15)
B70	4.65	-0.070	200	305(6)	$16^3 \times 32$	3.38	0.1366(15)
C64	4.58	-0.064	200	588(6)	$16^3 \times 32$	6.67	0.1398(14)
C72	4.58	-0.072	200	451(5)	$16^3 \times 32$	5.11	0.1398(14)
C77	4.58	-0.077	300	330(5)	$16^3 \times 32$	3.74	0.1398(14)
LA66	4.70	-0.066	-0.012	97	$24^3 \times 48$	4.08	0.1324(11)
SC77	4.58	-0.077	-0.022	600	$12^3 \times 24$	2.81	0.1398(14)
LC77	4.58	-0.077	-0.022	153	$24^3 \times 48$	5.61	0.1398(14)

# Light mesons and baryons from two flavor QCD

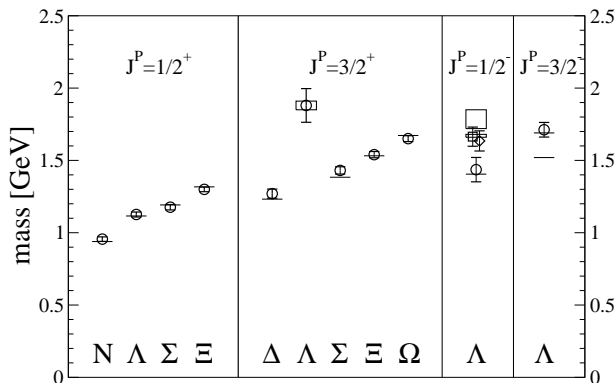


Engel et al. PRD 85 034508 (2012); Engel et al. arXiv:1301.4318;

- Errors are statistical only after chiral extrapolation



# Low lying positive parity: infinite volume extrapolated



- Remaining shortcomings: 2 flavor, badly determined strange quark mass, no continuum extrapolation, ...

# Charmonium spectrum: Charm quark treatment

- We use the *Fermilab method* for the heavy (charm) quark

El-Khadra et al., PRD 55, 3933

- We tune  $\kappa$  for the spin averaged **kinetic mass**  $(M_{\eta_c} + 3M_{J/\psi})/4$  to assume its physical value
- General form for the dispersion relation

Bernard et al. PRD83:034503,2011

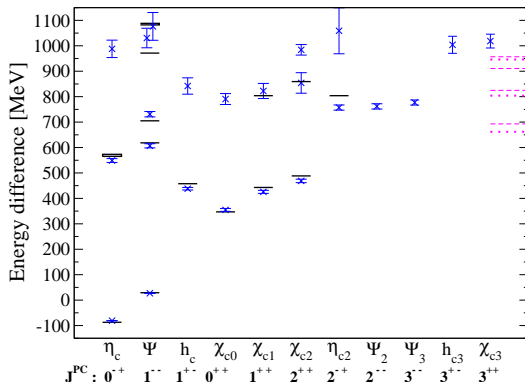
$$E(p) = M_1 + \frac{p^2}{2M_2} - \frac{a^3 W_4}{6} \sum_i p_i^4 - \frac{(p^2)^2}{8M_4^3} + \dots$$

- We compare results from three different fit strategies:
  - 1 Neglect the term with coefficient  $W_4$
  - 2 Fit  $E^2(p)$  and neglect  $(p^2)^2$  term from mismatch of  $M_1$ ,  $M_2$  and  $M_4$

$$E^2(p) \approx M_1^2 + \frac{M_1}{M_2} p^2 - \frac{M_1 a^3 W_4}{3} \sum_i (p_i)^4 \quad (1)$$

- 3 Set either  $M_4 = M_1$  or  $M_4 = M_2$

# Charmonium spectrum using the distillation technique



D. M., S. Prelovsek, R. M. Woloshyn, to appear in PRD;

- For some technical detail see a later slide
- Data from 1 ensemble; Errors statistical + scale setting

# Excited states - some key observations

- In practical calculations  $\bar{q}q$  interpolators couple very weakly to multi-hadron states

McNeile & Michael, Phys. Lett. B 556, 177 (2003); Engel et al. PRD 82, 034505 (2010);  
Bulava et al. PRD 82, 014507(2010); Dudek et al. PRD 82, 034508(2010);

- This is not unlike observations in string breaking studies

Pennanen & Michael hep-lat/0001015; Bernard et al. PRD 64 074509 2001;

- This necessitates the inclusion of hadron-hadron interpolators



- We know: Energy levels  $\neq$  resonance masses  
Naïve expectation: Correct up to  $\mathcal{O}(\Gamma_R(m_\pi))$

# Hadron resonances - experiment

- Most hadrons are resonances under the strong interaction

hadron	$\Gamma$ [MeV]	hadron	$\Gamma$ [MeV]	hadron	$\Gamma$ [MeV]
$b_1(1235)$	$142 \pm 9$	$K^*(1410)$	$232 \pm 31$	$D_0^*(2400)$	$267 \pm 40$
$a_1(1260)$	$250 - 600$	$K_0^*(1430)$	$270 \pm 80$	$D_1(2430)$	$384 \pm^{130}_{110}$

- Widths and branching fractions often known poorly
- Experiment data is analyzed with a partial wave analysis
- Elastic scattering: Scattering amplitudes  $T_l$  and related phases  $\delta_l$ :

$$T_l = \sin \delta_l e^{i\delta_l} = \frac{e^{2i\delta_l} - 1}{2i}$$

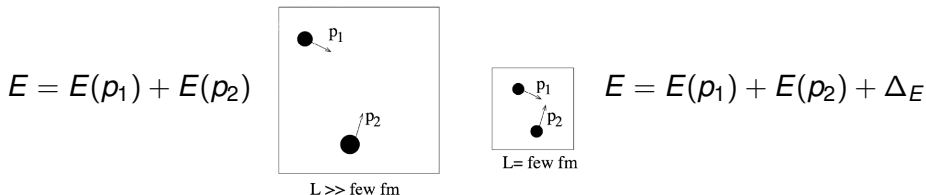
- Near a single relativistic Breit-Wigner shaped resonance

$$T_l = \frac{-\sqrt{s}\Gamma(s)}{s - s_R + i\sqrt{s}\Gamma(s)}$$

with resonance position  $s_R = m_R^2$  and decay width  $\Gamma$

# The Lüscher method for elastic scattering

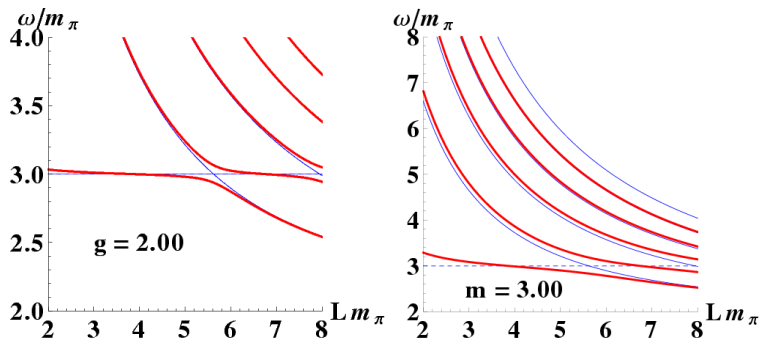
M. Lüscher Commun. Math. Phys. 105 (1986) 153; Nucl. Phys. B 354 (1991) 531; Nucl. Phys. B 364 (1991) 237.



$$E_n(L) \xrightarrow{(2)} \delta_l \xrightarrow{(3)} m_R; \Gamma_R \text{ or coupling } g$$

- (1) Extract energy levels  $E_n(L)$  in a finite box
- (2) The Lüscher formula relates this spectrum to the phase shift of the continuum scattering amplitude
- (3) Extract resonance parameters with some degree of modeling/approximation

# Energy levels in a box - an illustration



animations by C. B. Lang and DM

- **Left:** Expectations for  $\rho$ -like resonance at varying coupling  $g_{\rho\pi\pi}$
- **Right:** Expectations for  $\rho$ -like resonance with physical  $g_{\rho\pi\pi}$  and varying mass

- Lüscher method

- Rest-frame calculation in multiple spatial volumes  $L^3$

M. Lüscher Commun. Math. Phys. 105 (1986) 153; Nucl. Phys. B 354 (1991) 531;  
Nucl. Phys. B 364 (1991) 237.

- Moving frames for equal mass hadrons  $m_{h1} = m_{h2}$

Rummukainen, Gottlieb, Nucl. Phys. B 450, 397 (1995);  
Kim, Sachrajda, Sharpe, Nucl. Phys. B 727, 218 (2005);  
Feng, Jansen, Renner, PoS LAT2010 104 (2010);  
Dudek, Edwards, Thomas, arXiv:1203.6041.

- Moving frames for  $m_{h1} \neq m_{h2}$ : Even and odd / mix

Fu, PRD 85 014506 (2012); Döring et al. arXiv:1205.4838;  
Göckeler et al. arXiv:1206.4141; Leskovec, Prelovsek, PRD 85 114507 (2012);

- Calculations in multiple asymmetric boxes i.e.  $L^2 \times L_Z$

- Alternative approaches

- Histogram method

Bernard, Lage, Meißner, Rusetsky, JHEP 0808 (2008) 024

- Correlator method

Meißner, Polejaeva, Rusetsky, Nucl. Phys. B 846,1 (2011)



# Meson - meson scattering and hadron resonances

- $N_f = 2$  flavors of nHYP smeared Wilson-clover quarks

$N_L^3 \times N_T$	$\kappa_I$	$\beta$	$a[\text{fm}]$	$L[\text{fm}]$	#configs	$m_\pi[\text{MeV}]$	$m_K[\text{MeV}]$
$16^3 \times 32$	0.1283	7.1	0.1239(13)	1.98	280/279	266(3)(3)	552(2)(6)

Gauge ensemble from Hasenfratz et al. PRD 78 054511 (2008)

Hasenfratz et al. PRD 78 014515 (2008)

- Basis of several  $\bar{q}q$  and meson-meson interpolators

- Three separate studies

- Coupled channel analysis of the  $\rho$  meson decay

Lang, DM, Prelovsek, Vidmar, PRD 84 054503 (2011)

- $K\pi$  scattering for isospin  $\frac{1}{2}$  and  $\frac{3}{2}$  in s-wave and p-wave

Lang, Leskovec, DM, Prelovsek, PRD 86 054508 (2012)

- $D$  mesons including  $D\pi$  and  $D^*\pi$  with relativistic charm quarks

DM, Prelovsek, Woloshyn - accepted by PRD

# The “Distillation” method

Peardon et al. PRD 80, 054506 (2009)  
Morningstar et al. PRD 83, 114505 (2011)

- Idea: Construct separable quark smearing operator using low modes of the 3D lattice Laplacian
- Spectral decomposition for an  $N \times N$  matrix:

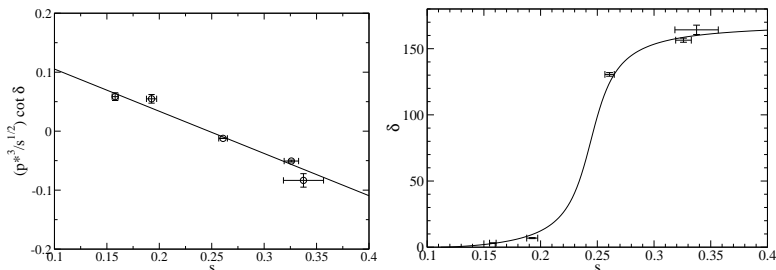
$$f(A) = \sum_{k=1}^N f(\lambda^{(k)}) v^{(k)} v^{(k)\dagger}. \quad (2)$$

With  $f(\nabla^2) = \Theta(\sigma_s^2 + \nabla^2)$  (Laplacian-Heavyside (LapH) smearing):

$$q_s \equiv \sum_{k=1}^N \Theta(\sigma_s^2 + \lambda^{(k)}) v^{(k)} v^{(k)\dagger} q = \sum_{k=1}^{N_v} v^{(k)} v^{(k)\dagger} q. \quad (3)$$

- Advantages: momentum projection at source; large basis possible
- Disadvantages: expensive; unfavorable volume scaling
- Stochastic approach (mostly) eliminates bad scaling

# The $\rho$ resonance - a benchmark calculation



From Lang, DM, Prelovsek, Vidmar, PRD 84 054503 (2011)

- We extract  $g_{\rho\pi\pi}$  rather than  $\Gamma$

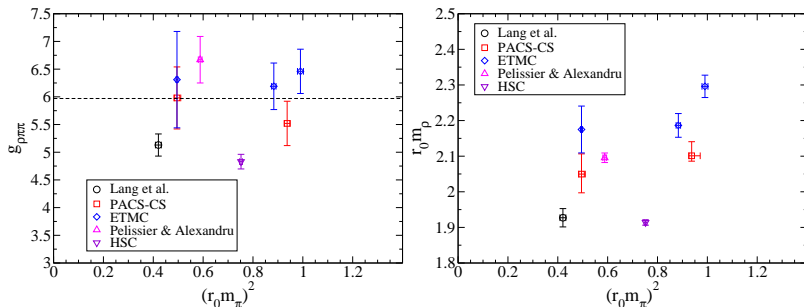
$$\Gamma(s) = \frac{p^{*3}}{s} \frac{g_{\rho\pi\pi}^2}{6\pi}$$

- Results for  $m_\pi = 266(3)(3)\text{MeV}$

$$g_{\rho\pi\pi} = 5.13(20) \quad m_\rho = 792(7)(8) \text{ MeV}$$

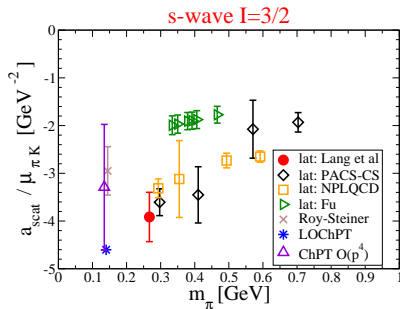
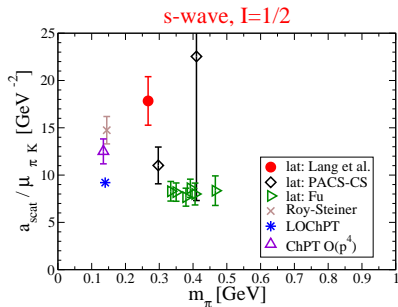
# The $\rho$ resonance - comparing results

- To compare the masses I use the values for  $\frac{r_0}{a}$  for each ensemble



- Simulations differ in several respects - time will tell

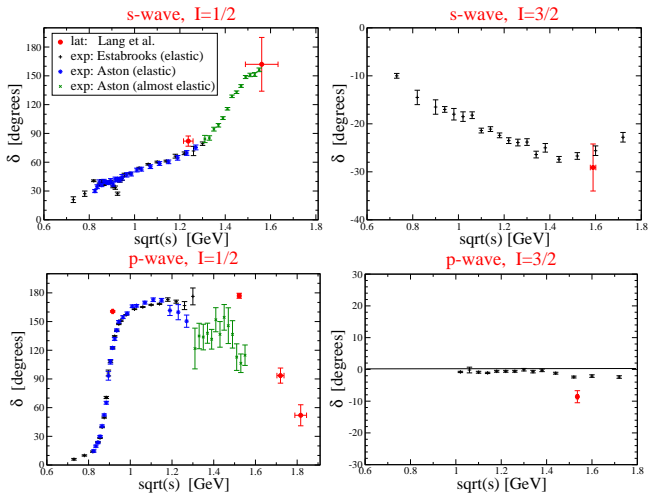
# $K\pi$ scattering for isospin $\frac{1}{2}$ and $\frac{3}{2}$ - scattering length



Lang, Leskovec, DM, Prelovsek, PRD 86 054508 (2012)

- $\frac{a_0^I}{\mu_{K\pi}}$  independent of  $m_{K,\pi}$  in LOChPT

# $K\pi$ scattering for isospin $\frac{1}{2}$ and $\frac{3}{2}$ - phase shift data

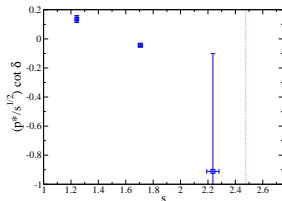


Lang, Leskovec, DM, Prelovsek, PRD 86 054508 (2012)

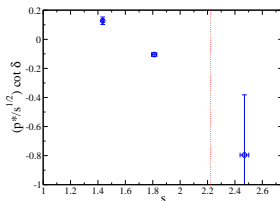
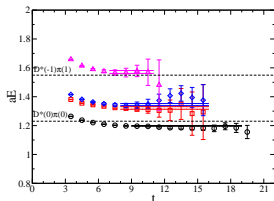
# $D_\pi$ and $D^*\pi$ scattering

DM, Prelovsek, Woloshyn - accepted by PRD

- In the  $J^P = 0^+$   $D_0^*$  channel we extract three levels



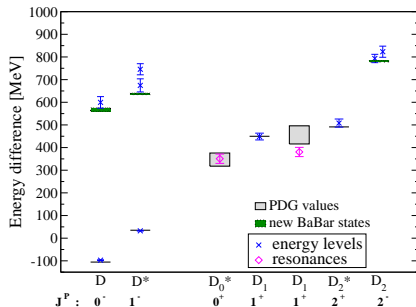
- For the  $J^P = 1^+$  channel there are two resonances  $D_1(2420)$  and  $D_1(2430)$



# $D_\pi$ and $D^*\pi$ scattering

DM, Prelovsek, Woloshyn - accepted by PRD

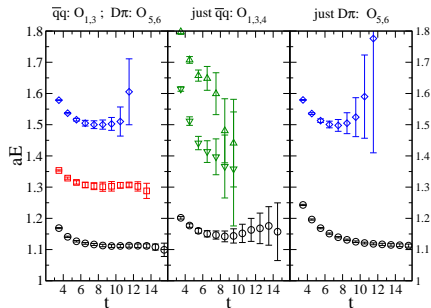
- Motivated by the heavy quark limit, We assume one state is given by the naive energy level and fit the remaining data to obtain



	$D_0^*(2400)$	$D_1(2430)$
$g^{lat}$ [GeV]	$2.55 \pm 0.21$	$2.01 \pm 0.15$
$g^{exp}$ [GeV]	$\leq 1.92 \pm 0.14$	$\leq 2.50 \pm 0.40$

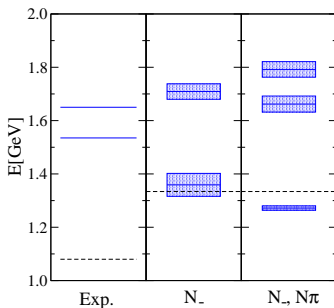


# Comparing results with and without explicit scattering states



DM, Prelovsek, Woloshyn -  
accepted by PRD

- D meson spectrum in the  $D_0^*$  channel
- Similar observation also by Dudek, Edwards, Thomas, arXiv:1212.0830
- Negative parity Nucleon spectrum



C. B. Lang and V. Verduci,  
arXiv:1212.5055

# Conclusions

- Studying (some) QCD resonances from first principles in fully dynamic simulations is becoming possible
- It seems necessary to include explicit multi-particle states to get good overlap and to disentangle these contributions
- Overall, studies of QCD resonances are still in their infancy  
→ So far: Exploratory studies in small volumes
- The standard Lüscher method is restricted to the elastic case
- Extensions are not well developed or require modeling, although some progress has been made
- Looking at experiment, some interesting states can be studied but even low lying states can be quite difficult  
→ There is much work ahead!