

# Representation of cloud-aerosol-precipitation interactions in global climate models

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ASR cloud-aerosol-precipitation working  
group

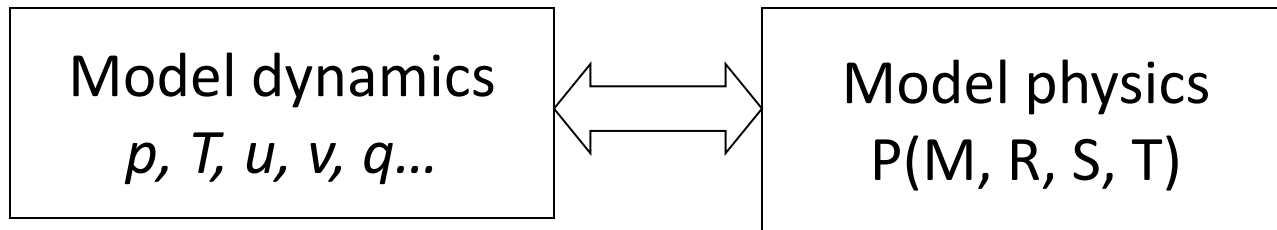
October 13, 2010

# My task:

- To provide a broader context for the cloud-aerosol-precipitation interactions research that is conducted within ASR.
- To provide a perspective on the larger picture of how parameterizations have evolved over time,
- Aspects of parameterizations that are the real pressure points in predicting climate change
- How these activities relate to observational data.
- Perspectives on the way forward

# How are aerosol/cloud interactions constructed in GCMs?

## Components



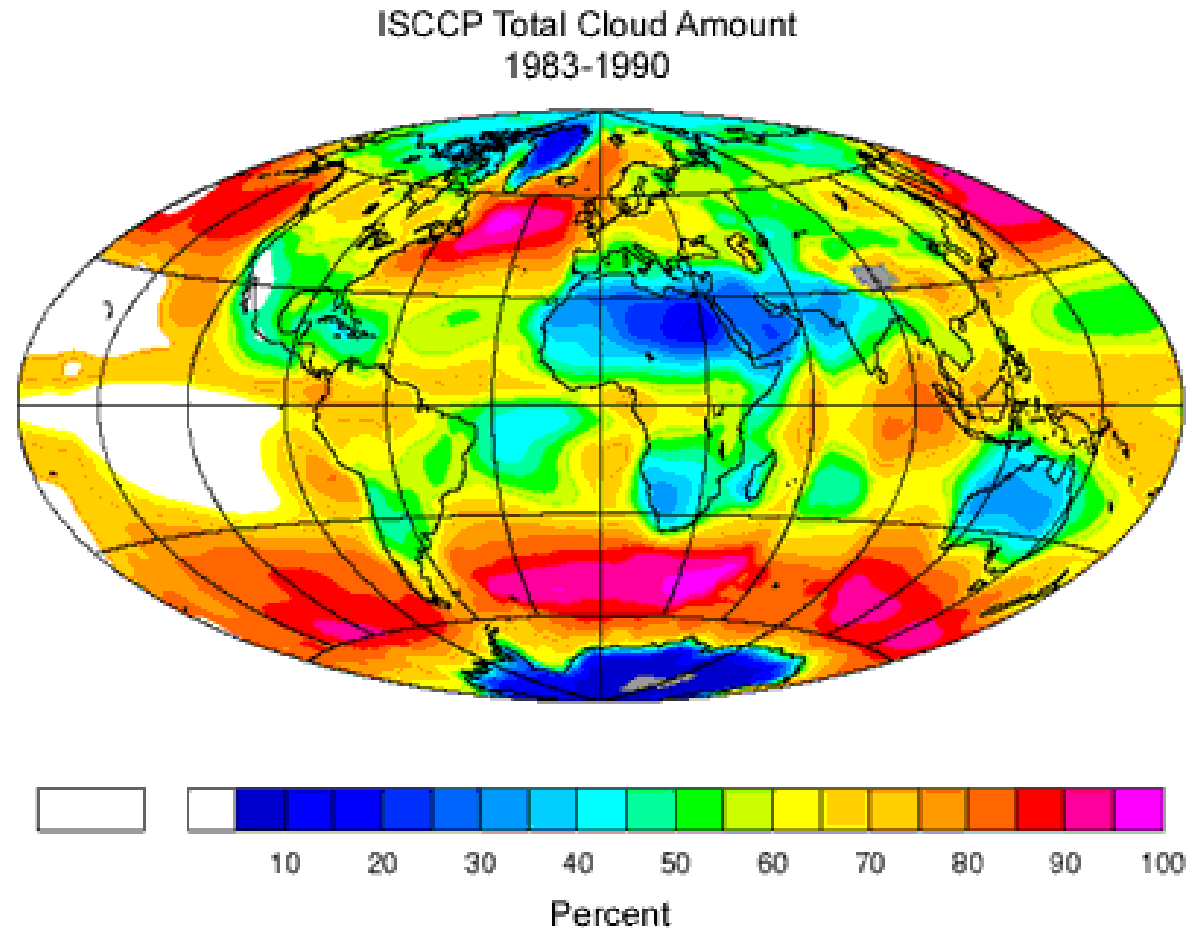
**Parameterization:** The method of replacing processes that are too small-scale or complex to be physically represented in the model by a simplified process.

**Prognostic variables:** A variable that a GCM predicts by integration of a physical equation, typically vorticity, divergence, temperature, surface pressure, and water vapor concentration.

**Diagnostic variables:** A variable that is derived after the prognostic variables have been calculated. Further quantities are then computed via parameterizations.

# Probably the single most important aspect examined after changing parameterizations has been how clouds/aerosols affect the radiation budget

- Global cloud cover: 60%
- Cloud reflection of solar flux is about  $60 \text{ W/m}^2$ ; absorption of longwave radiation about  $30 \text{ W/m}^2$
- Aerosol reflection is about  $2 \text{ W/m}^2$

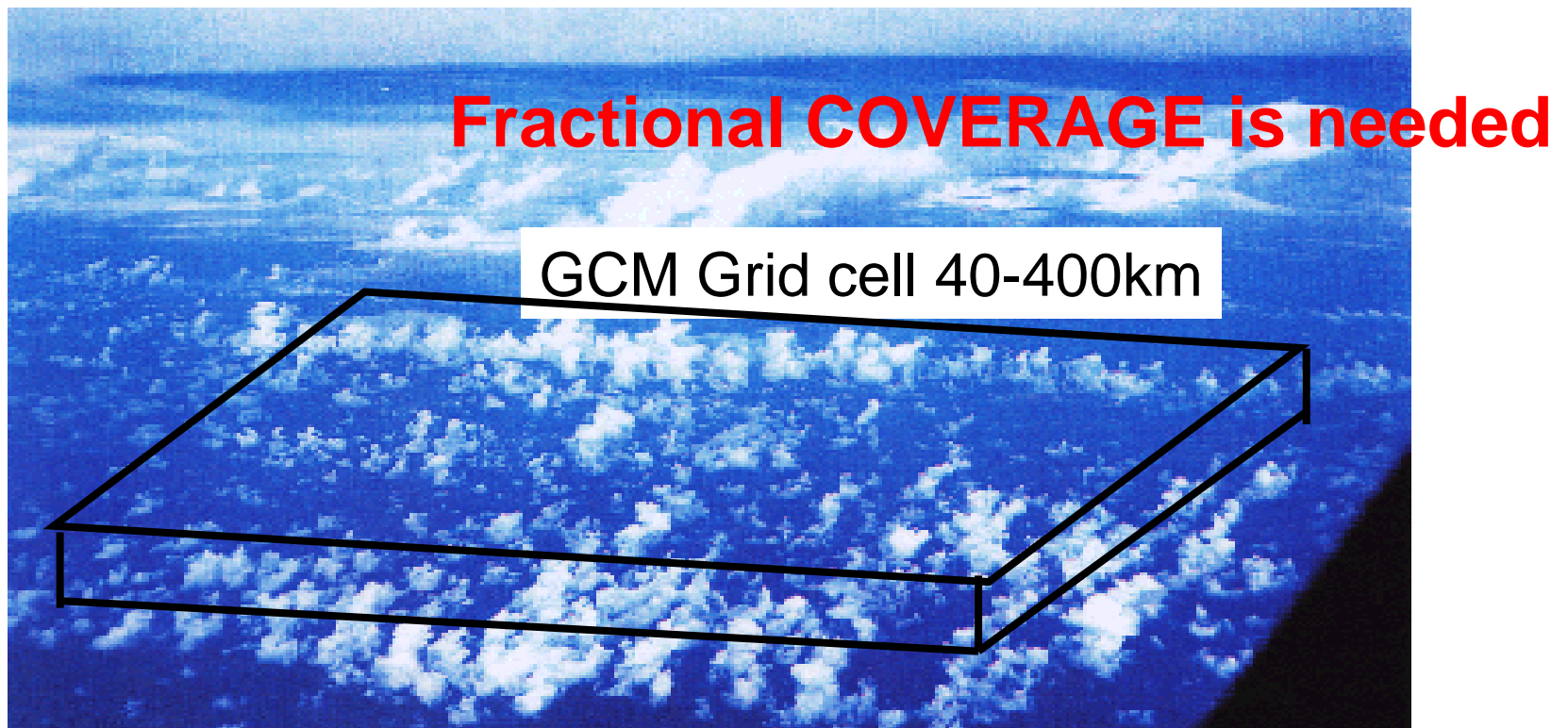


# Precipitation effects of aerosols (CRM model results)

- Decreased precipitation in warm clouds
- Increased precipitation in convective clouds (Zhang et al., 2005, Lee et al., 2010)
- Decreased precipitation from shallow cumuli mixed phase clouds (Phillips et al., 2002, Khain et al., 2005)

# Clouds in GCM - What are the problems ?

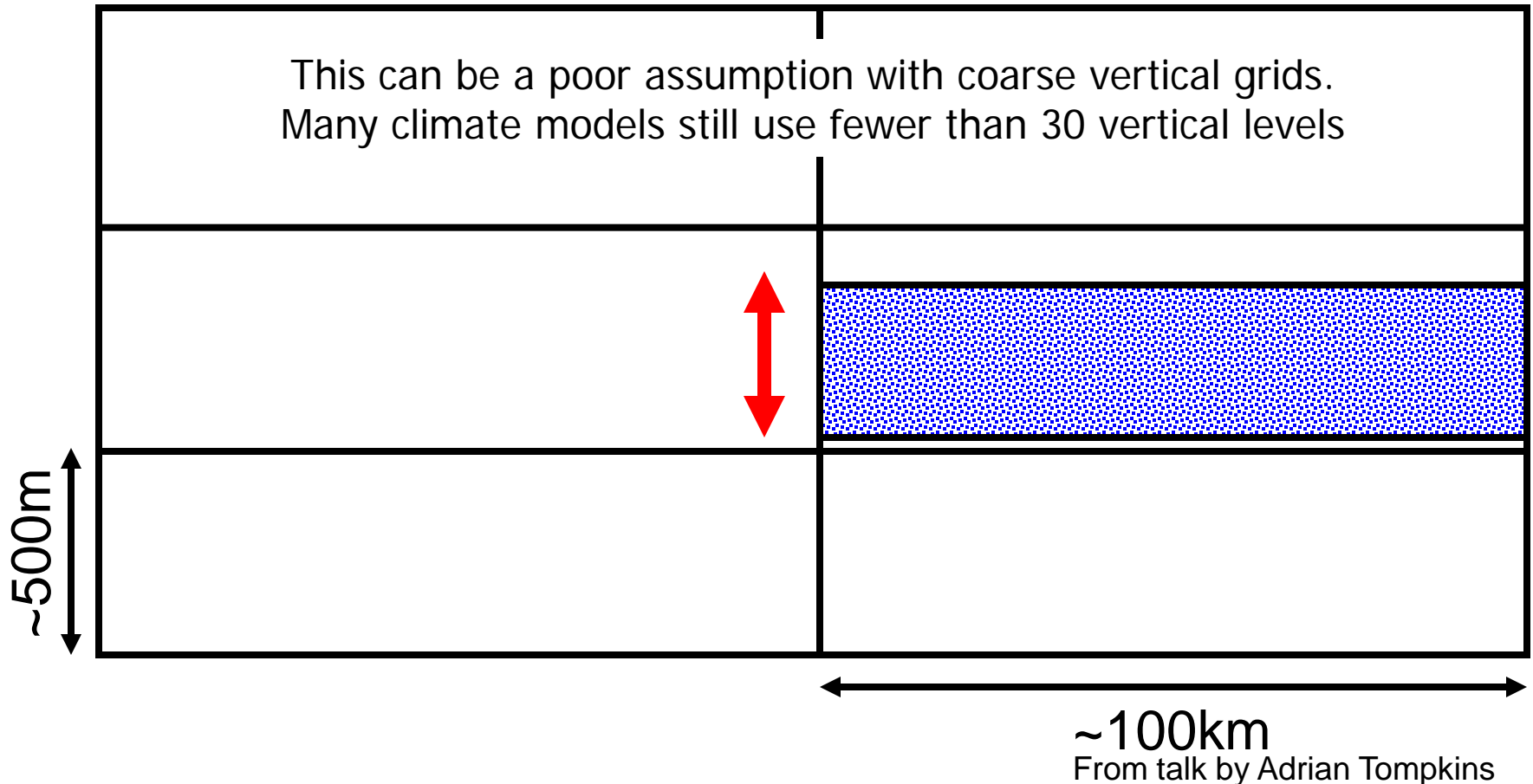
Many of the observed clouds and especially the processes within them are **subgrid-scale processes** (both horizontally and vertically)



# Issues of Parameterization

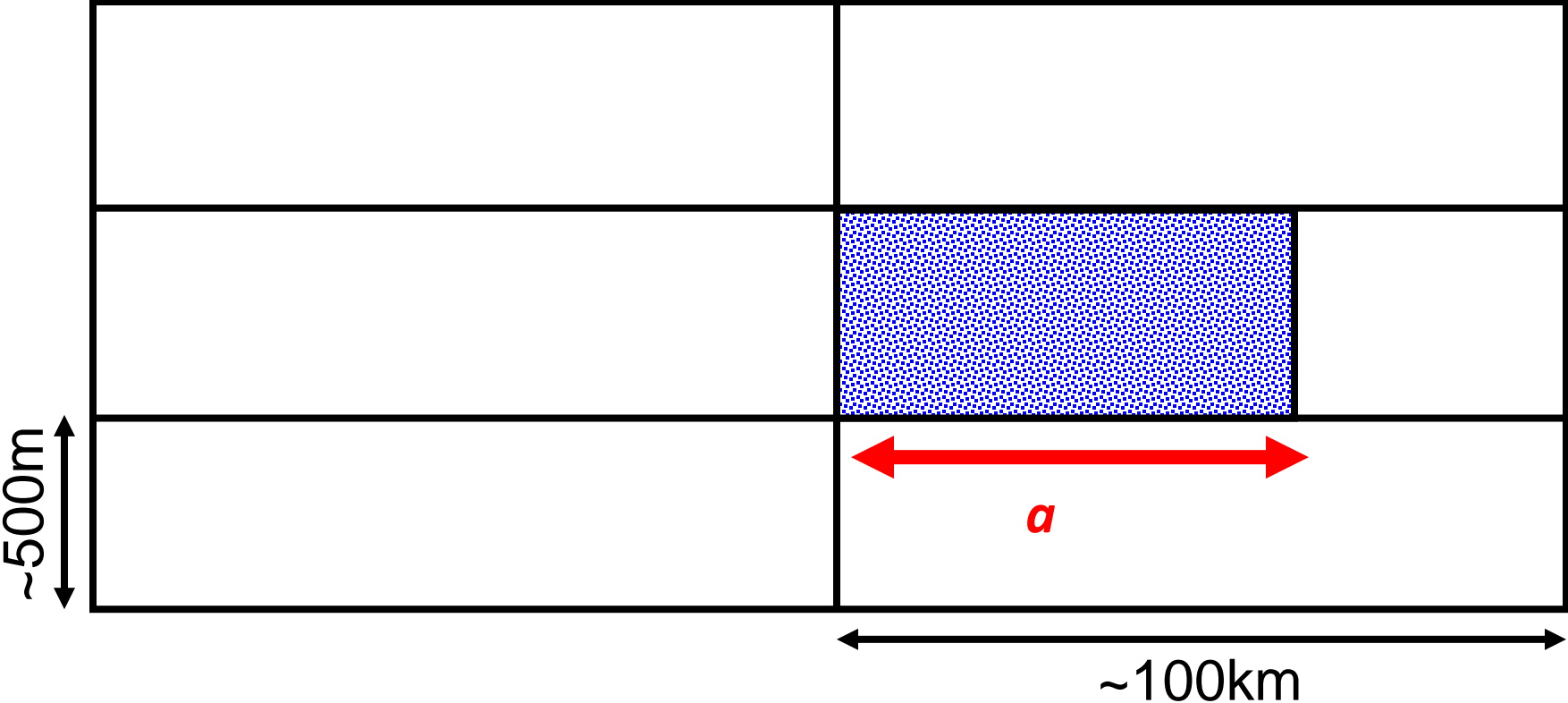
## VERTICAL COVERAGE

Most models assume that this is 1



# Issues of Parameterization

HORIZONTAL COVERAGE,  $a$

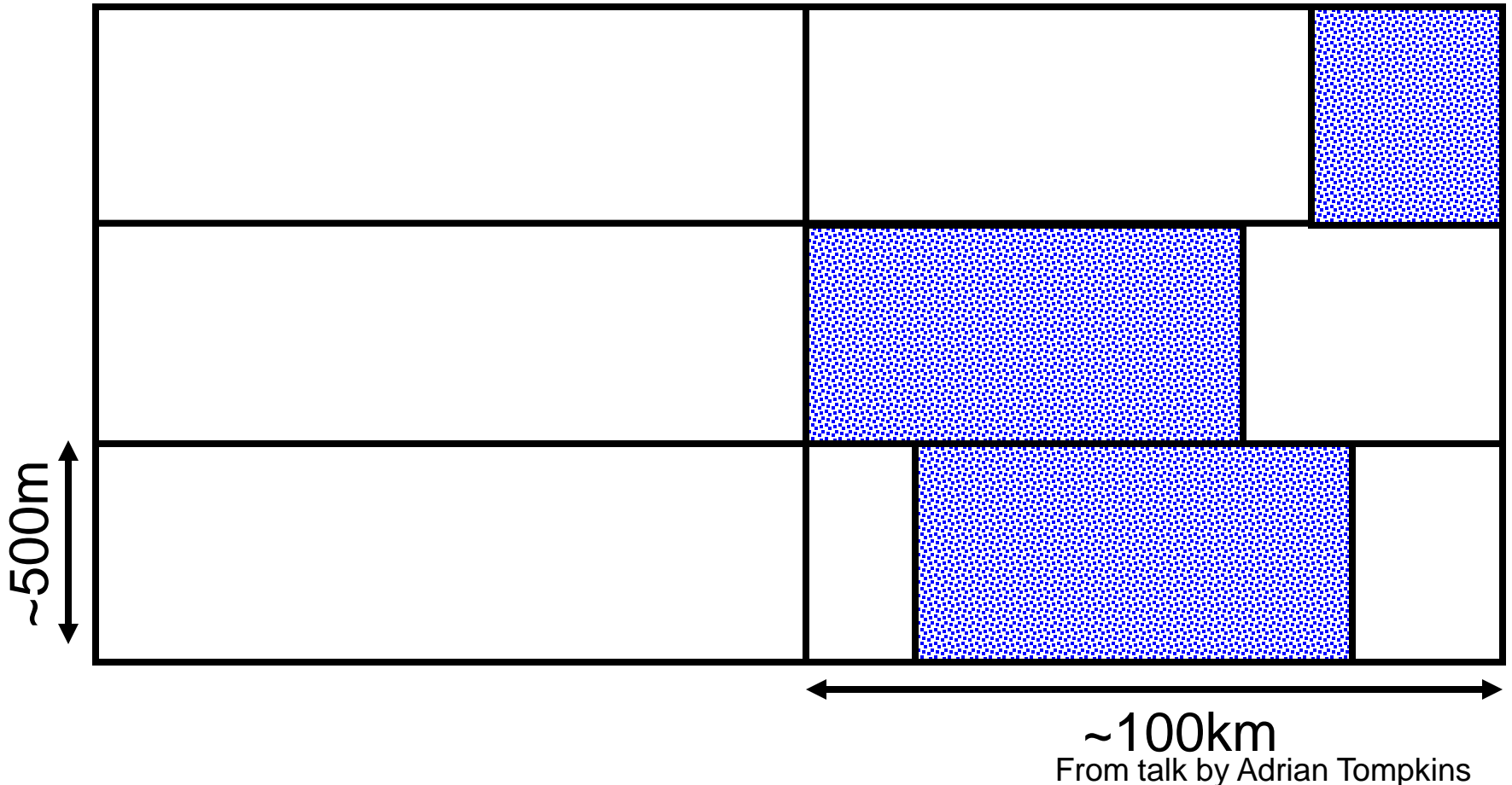




# Issues of Parameterization

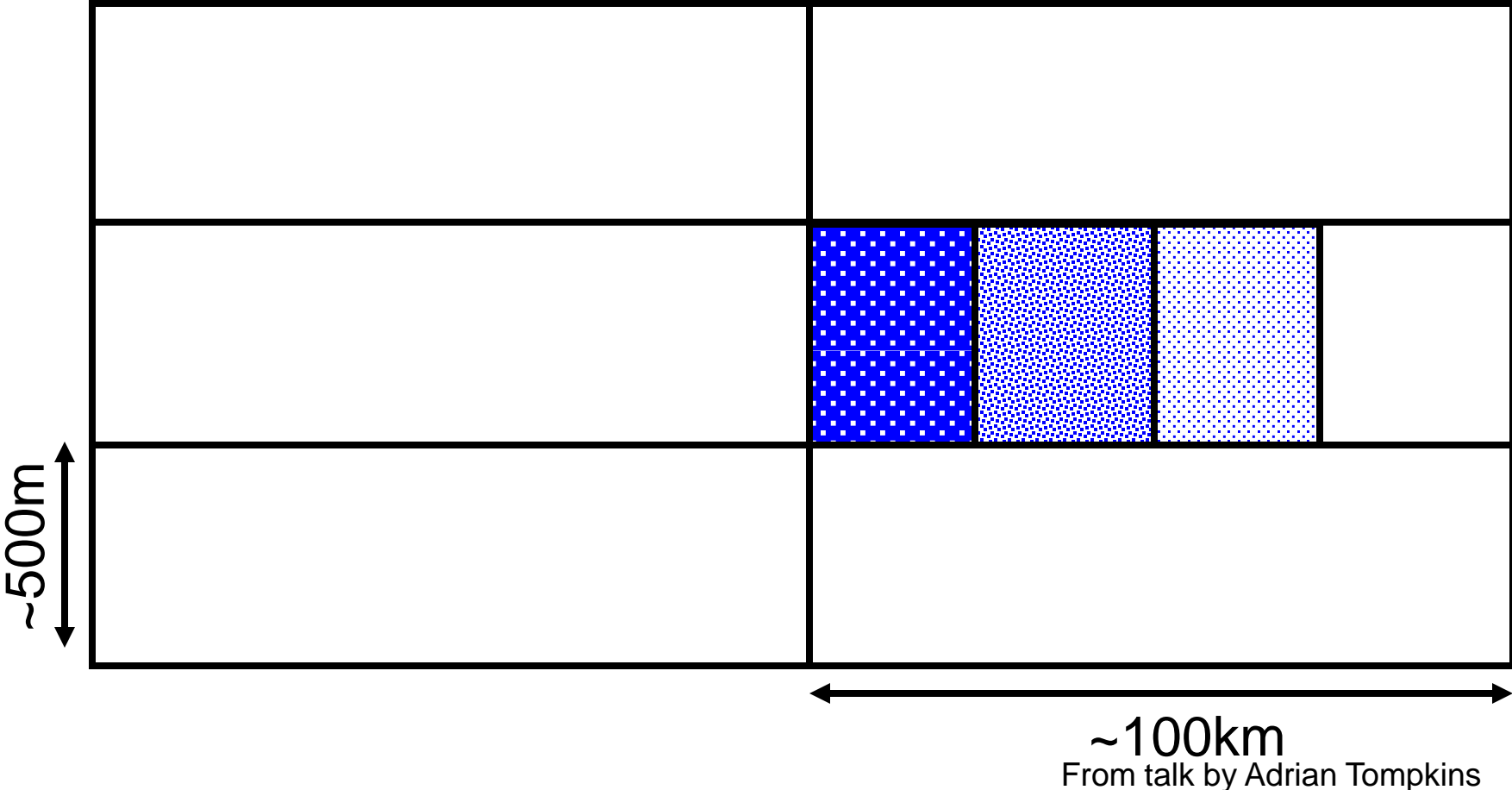
## Vertical Overlap of clouds

Important for Radiation and Microphysics Interaction



# Issues of Parameterization

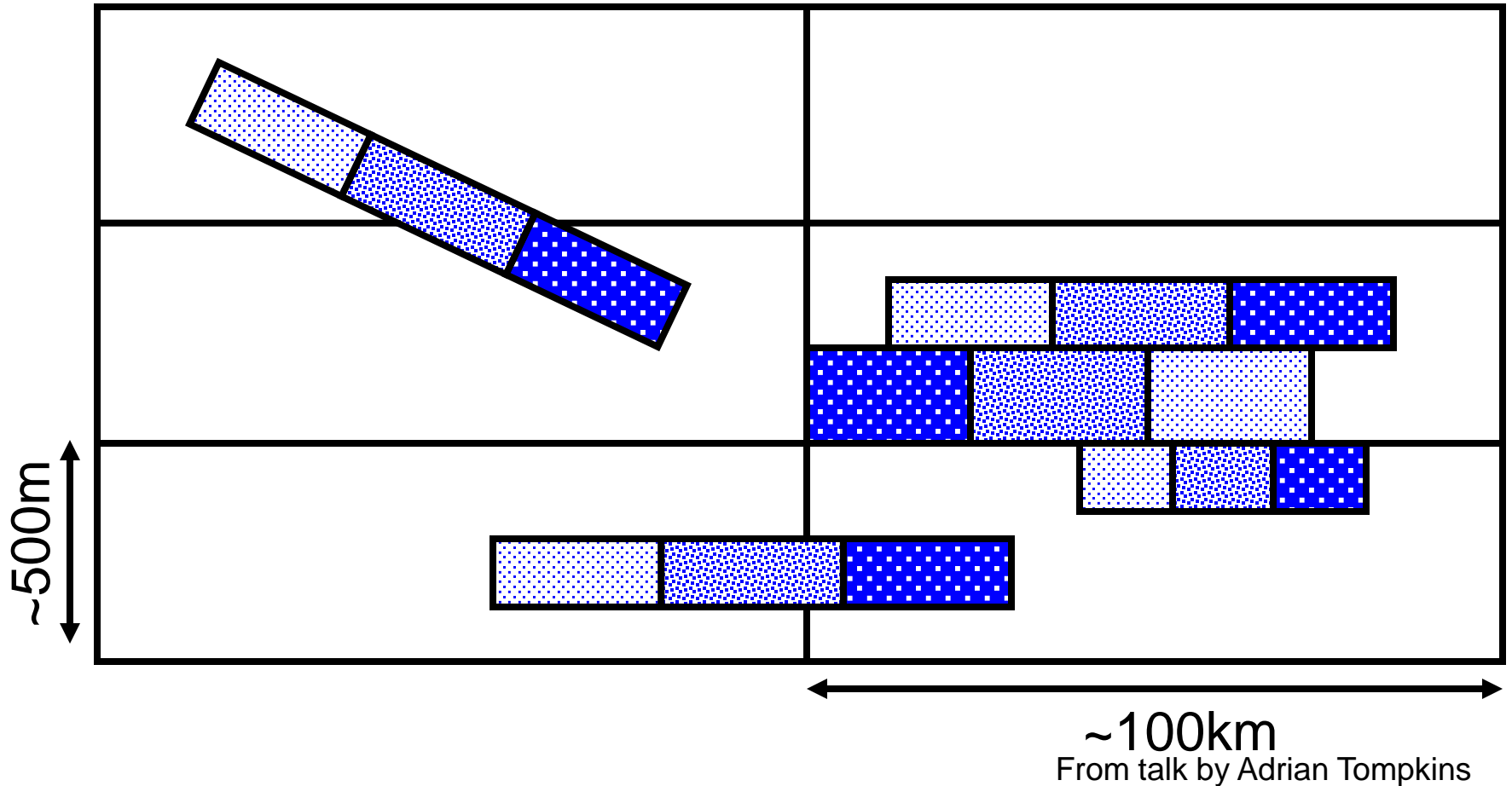
In cloud inhomogeneity



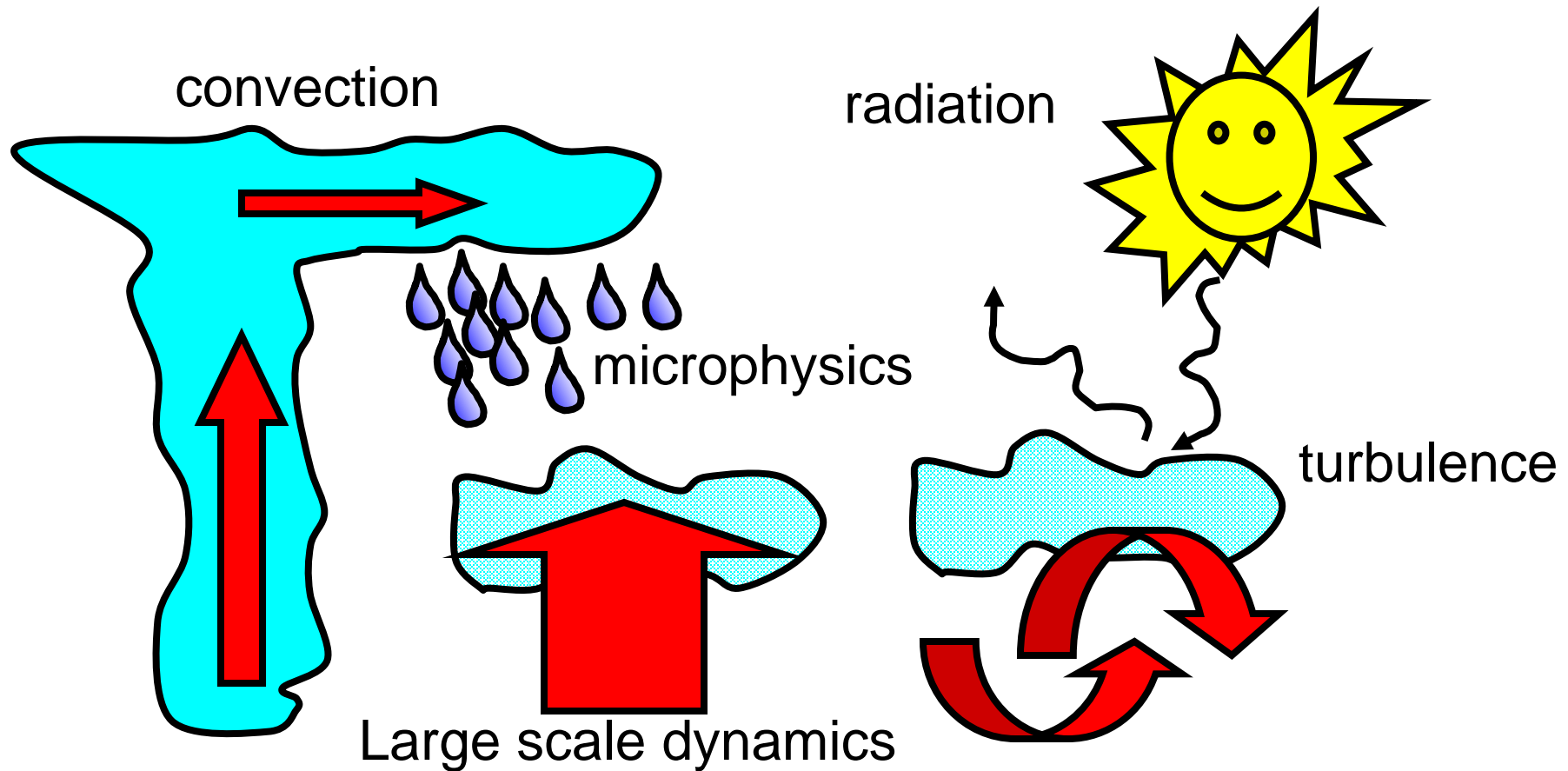
~100km  
From talk by Adrian Tompkins

# Issues of Parameterization

Just these issues can become very complex!!!



# Clouds in GCM - What are the problems ?



Clouds are the result of **complex interactions** between a large number of processes

# Clouds in GCM – what are problems?

- Cloud processes in GCM are subgrid-scale processes due to the coarse resolution of GCM. This problem will remain until resolutions of order 100 m are reached.
- In each grid, in addition to the condensate water mass, cloud fraction is needed to describe the cloud processes, and this raises additional issues in GCMs (overlap, heterogeneity, radiation interactions, turbulence interactions,...).
- Many other subgrid-scale processes, are also parameterized in GCMs and have strong interactions with cloud processes, which make the cloud parameterization more complicated.

# How are clouds in GCMs treated?

## Main variables:

Cloud fraction,  $a$  - refers to horizontal cover since cloud fills vertical

Cloud condensate mass (cloud water and/or ice),  $q_l, q_i$ .

## Diagnostic approach

$$a = f_1\left(\Phi_1 \dots \Phi_n, \frac{\partial \Phi_1}{\partial t} \dots \frac{\partial \Phi_n}{\partial t}, \dots\right) \quad q_l = f_2\left(\Phi_1 \dots \Phi_n, \frac{\partial \Phi_1}{\partial t} \dots \frac{\partial \Phi_n}{\partial t}, \dots\right)$$

## Prognostic approach

$$\frac{\partial a}{\partial t} = A(a) + S(a) - D(a) \quad \frac{\partial q_l}{\partial t} = A(q_l) + S(q_l) - D(q_l)$$


**NOT DISTINCT - CAN HAVE MIXTURE OF APPROACHES**

# How are aerosols in GCMs treated?

## Main variables:

Aerosol types: sulfate, organic, black carbon, nitrate, ammonium, sea salt, dust

## Diagnostic approach:

Assumed aerosol size distribution, with some size representation for dust, sea salt (external mix); Assumed gas phase concentrations

## Prognostic approach

Predict modes for sulfate, organic aerosol; Predict condensation on other aerosol types coagulation of aerosol types; Fully coupled with prediction of gas phase chemistry

# Cloud/Aerosol Schemes - A Brief History



Condensation  
(non-convective)

$$q_v > q_s$$

Radiation effects

Prescribed zonal mean albedo and emissivity

Microphysics

none

Aerosols

Simple fixed



# Cloud/Aerosol Schemes - A Brief History

|                                  | 60s   | 70s  |
|----------------------------------|---|--|
| Condensation<br>(non-convective) | $q_v > q_s$                                 | $q_v > q_s$  |
| Radiation effects                | Prescribed zonal mean albedo and emissivity | a diagnostic [usually $f(\text{RH})$ ]<br>$q_l$ prescribed |
| Microphysics                     | none  | none   |
| Aerosols                         | Simple fixed                                | Simple fixed   |

# Cloud/Aerosol Schemes - A Brief History

|                                  | 60s   | 70s  | 80s                              |
|----------------------------------|---|--|----------------------------------|
| Condensation<br>(non-convective) | $q_v > q_s$                                 | $q_v > q_s$                                      | $q_l$ prognostic<br>a diagnostic |
| Radiation effects                | Prescribed zonal mean albedo and emissivity | a diagnostic [usually f(RH)]<br>$q_l$ prescribed | a = as cloud scheme              |
| Microphysics                     | none  | none   | Simple bulk microphysics         |
| Aerosols                         | Simple fixed                                | Simple fixed                                     | Bulk for some types              |

# Cloud/Aerosol Schemes - A Brief History

|                                  | 60s   | 70s   | 80s                              | 90s-   |
|----------------------------------|---|---|----------------------------------|--|
| Condensation<br>(non-convective) | $q_v > q_s$                                 | $q_v > q_s$   | $q_l$ prognostic<br>a diagnostic | $q_l$ prognostic<br>a prognostic<br>(directly or indirectly) |
| Radiation effects                | Prescribed zonal mean albedo and emissivity | a diagnostic [usually $f(RH)$ ]<br>$q_l$ prescribed | a = as cloud scheme              | a = as cloud scheme  |
| Microphysics                     | none  | none  | Simple bulk microphysics         | Complex bulk microphysics (ice)                              |
| Aerosols                         | Simple fixed                                | Simple fixed  | Bulk for some types              | Bulk for all types   |

# Cloud/Aerosol Schemes - A Brief History

|                                  | 60s   | 70s  | 80s                                | 90s-   | 00s-   |
|----------------------------------|---|--|------------------------------------|--|--|
| Condensation<br>(non-convective) | $q_v > q_s$                                 | $q_v > q_s$  | $q_l$ prognostic<br>$a$ diagnostic | $q_l$ prognostic<br>$a$ prognostic<br>(directly or indirectly) | $q_l$ prognostic<br>$a$ prognostic<br>(directly) |
| Radiation effects                | Prescribed zonal mean albedo and emissivity | $a$ diagnostic [usually f(RH)]<br>$q_l$ prescribed | $a$ = as cloud scheme              | $a$ = as cloud scheme  | $a, q_l$ = as cloud scheme                       |
| Microphysics                     | none  | none   | Simple bulk microphysics           | Complex bulk microphysics (ice)                                | Double moment                                    |
| Aerosols                         | Simple fixed                                | Simple fixed                                       | Bulk for some types                | Bulk for all types: simple chemistry                           | Modal with complete chemistry                    |

# Parameterization pressure points for predicting climate change

Some 'parameterization pressure points' for predicting climate change:  
Focus: Cloud/aerosol parameterization

- Treatment of turbulence/entrainment
- Treatment of supersaturation
- Treatment of cloud microphysics
- Treatment of aerosol/ice interactions

Cloud fraction:  
CAM2

High thick clouds  
High thin cirrus  
Low thick clouds:  
**Too large**

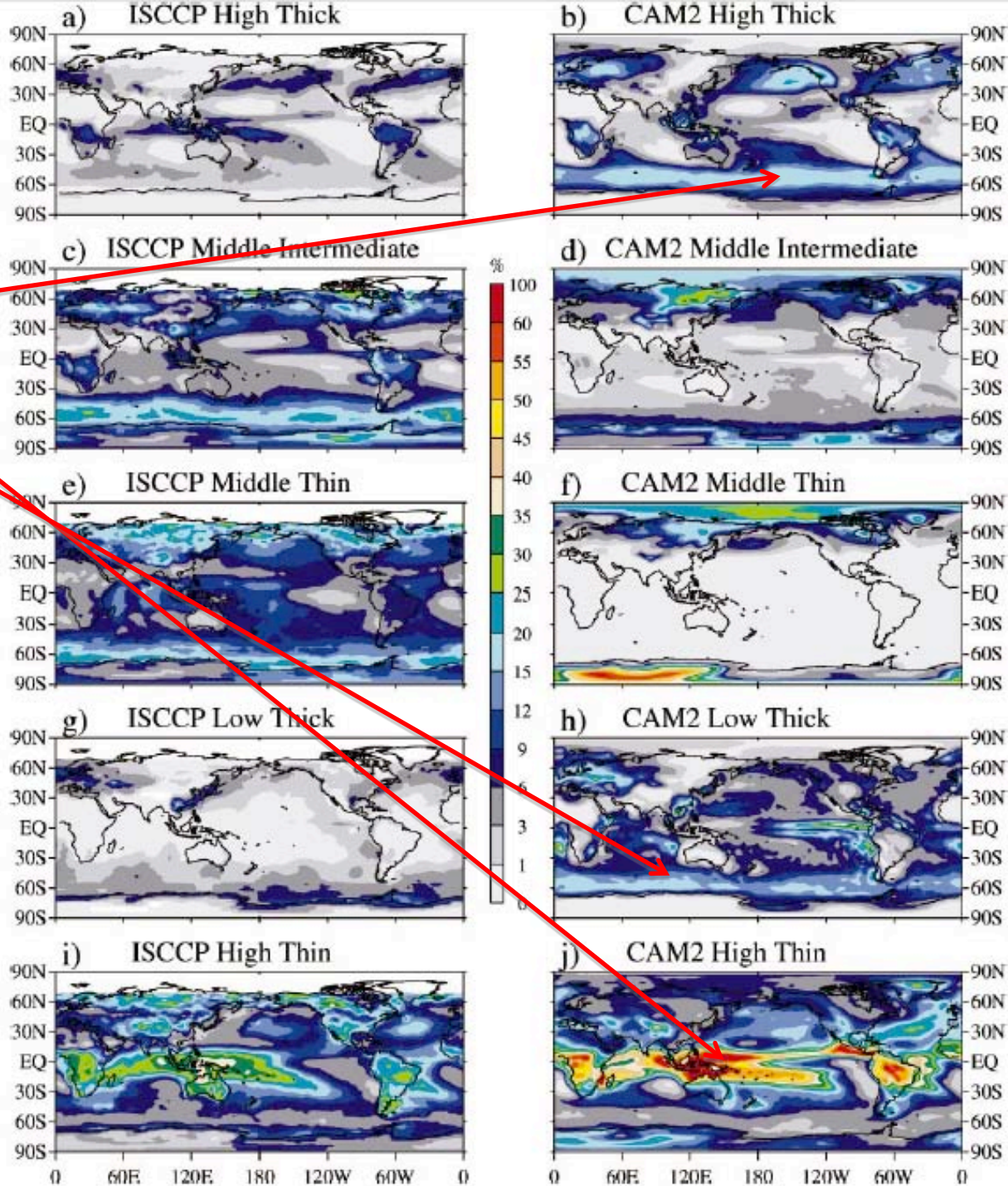
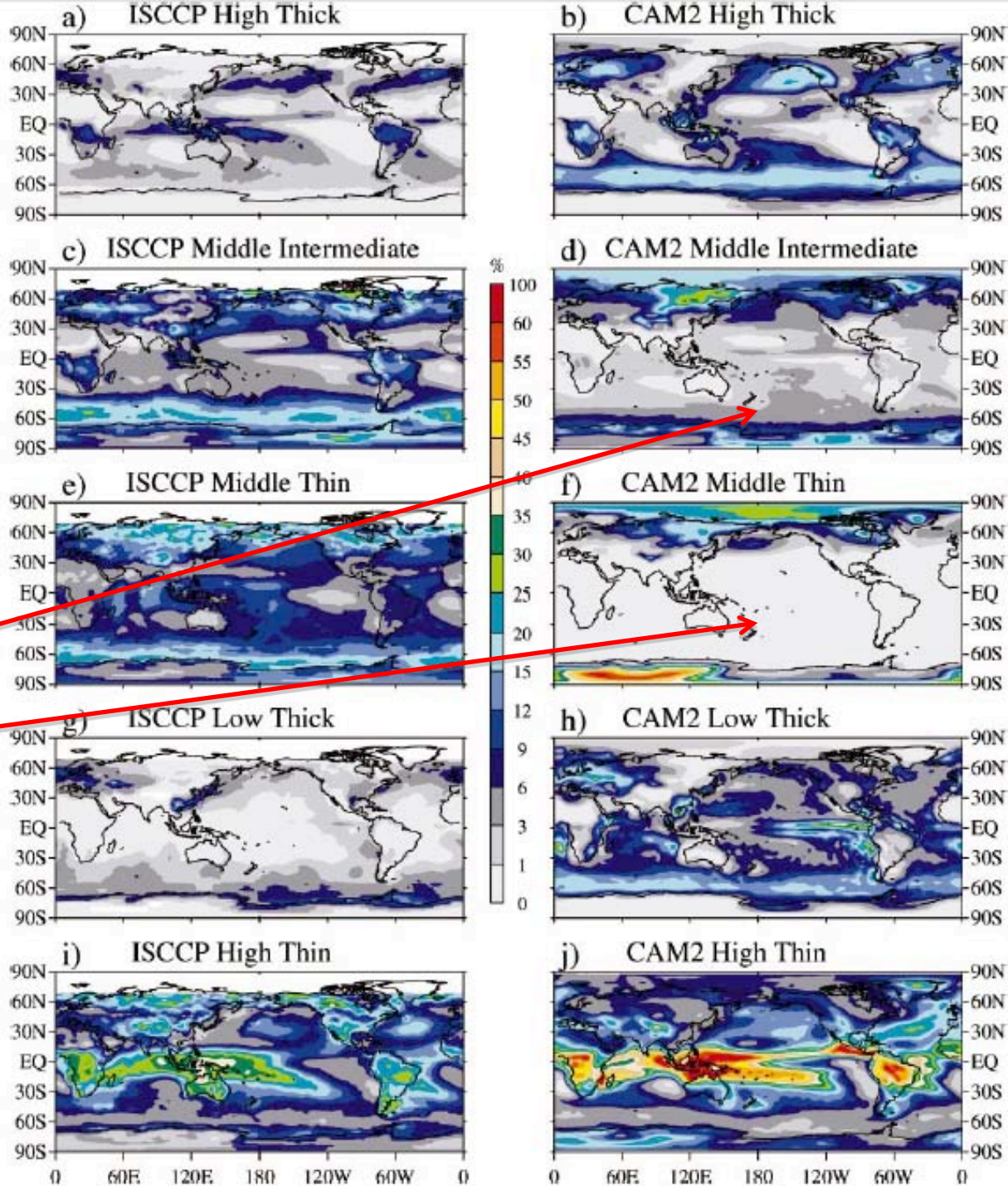


Fig 11 in Lin and Zhang  
2004

Cloud fraction:  
CAM2

High thick clouds  
High thin cirrus  
Low thick clouds:  
**Too large**

Middle clouds with  
intermediate  
and thin optical  
depth: **Too small**





# ISCCP

# CAM3-Impact Diagnostic Aerosol No.

# CAM3-Impact Modal

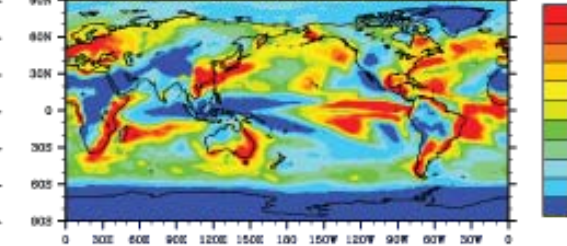
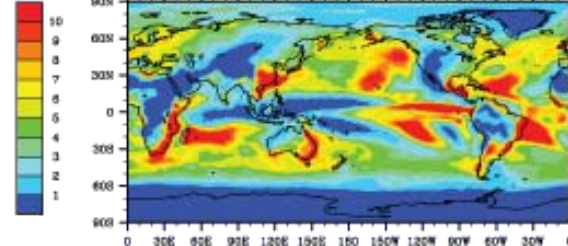
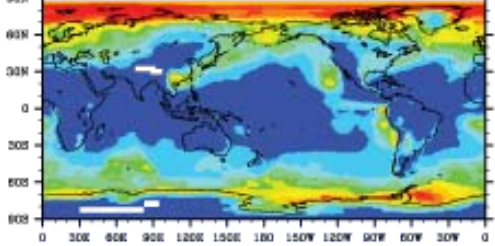
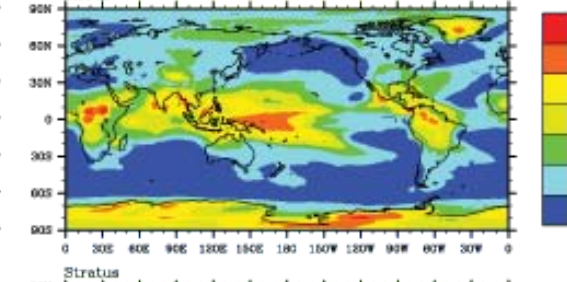
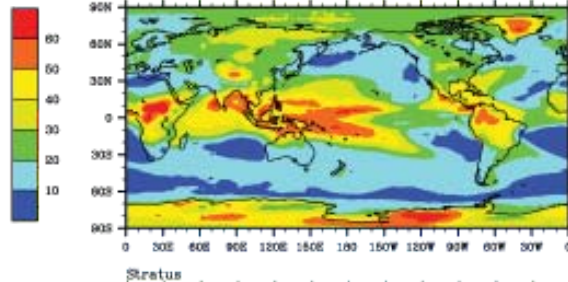
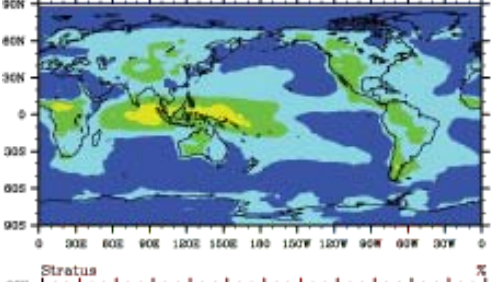
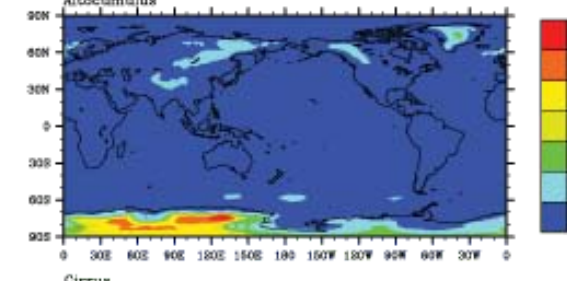
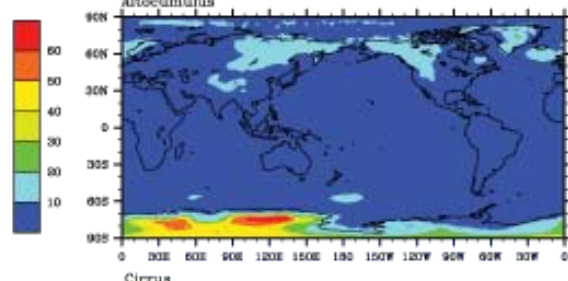
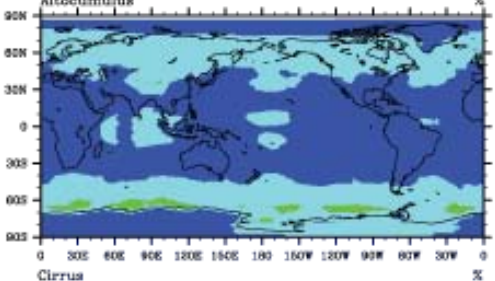
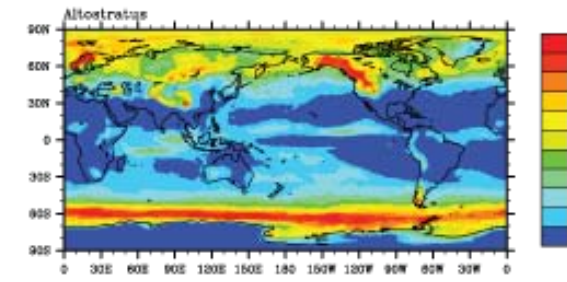
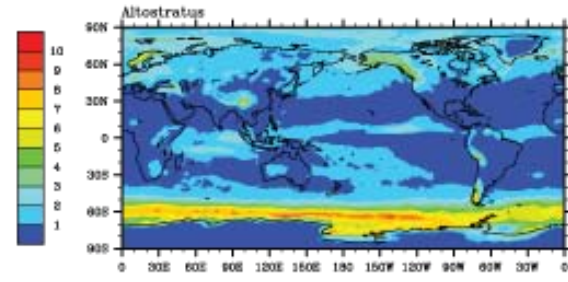
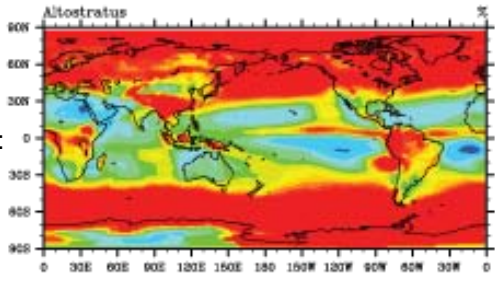
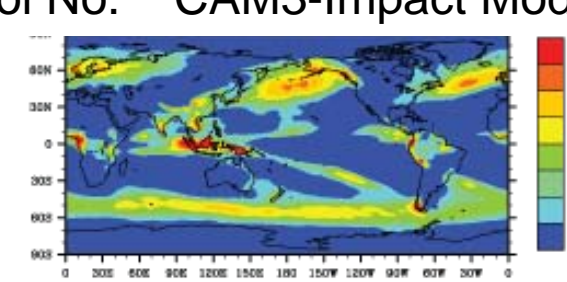
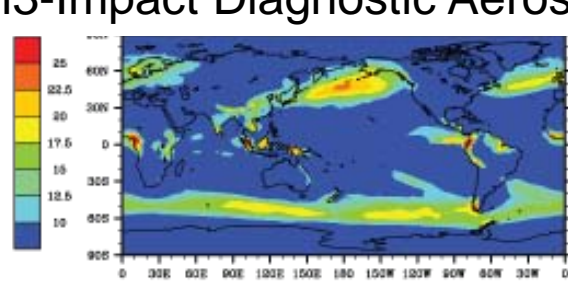
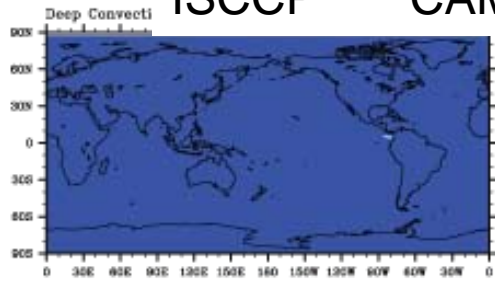
High thick:  
No better!

Middle Intermediate:  
Somewhat better

Middle thin:  
No better

High thin:  
Somewhat better

Low thick:  
No better

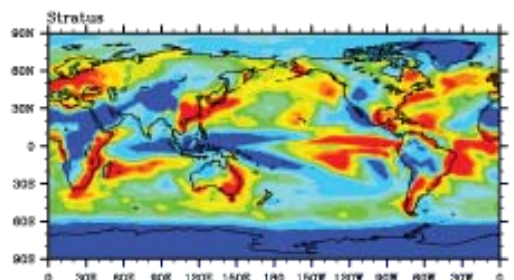
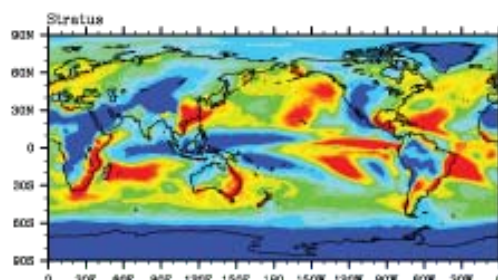
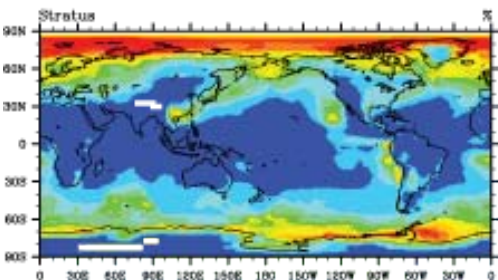
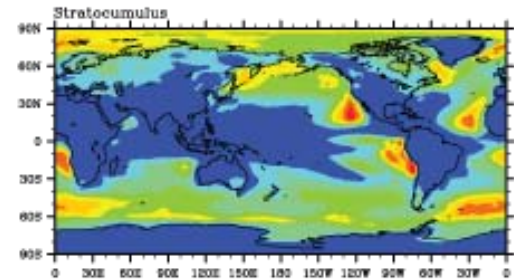
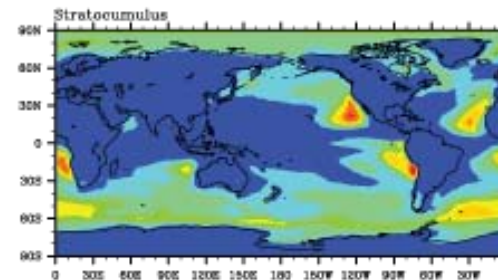
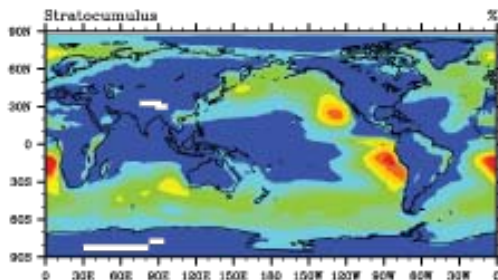
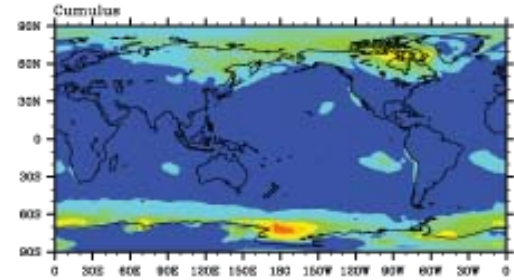
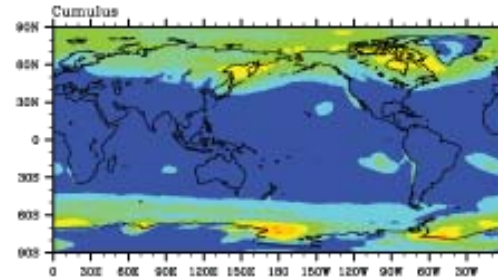
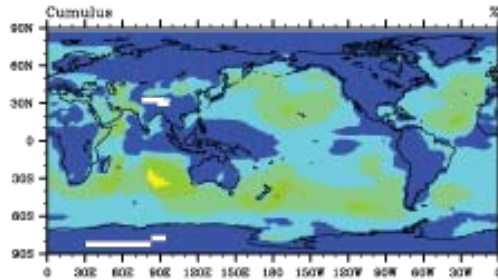


# Low clouds: most aerosol/cloud development studies have focused on these cloud types

ISCCP

CAM3-IMPACT (Phil YBD)

CAM3-IMPACT (3mode NoPhil)



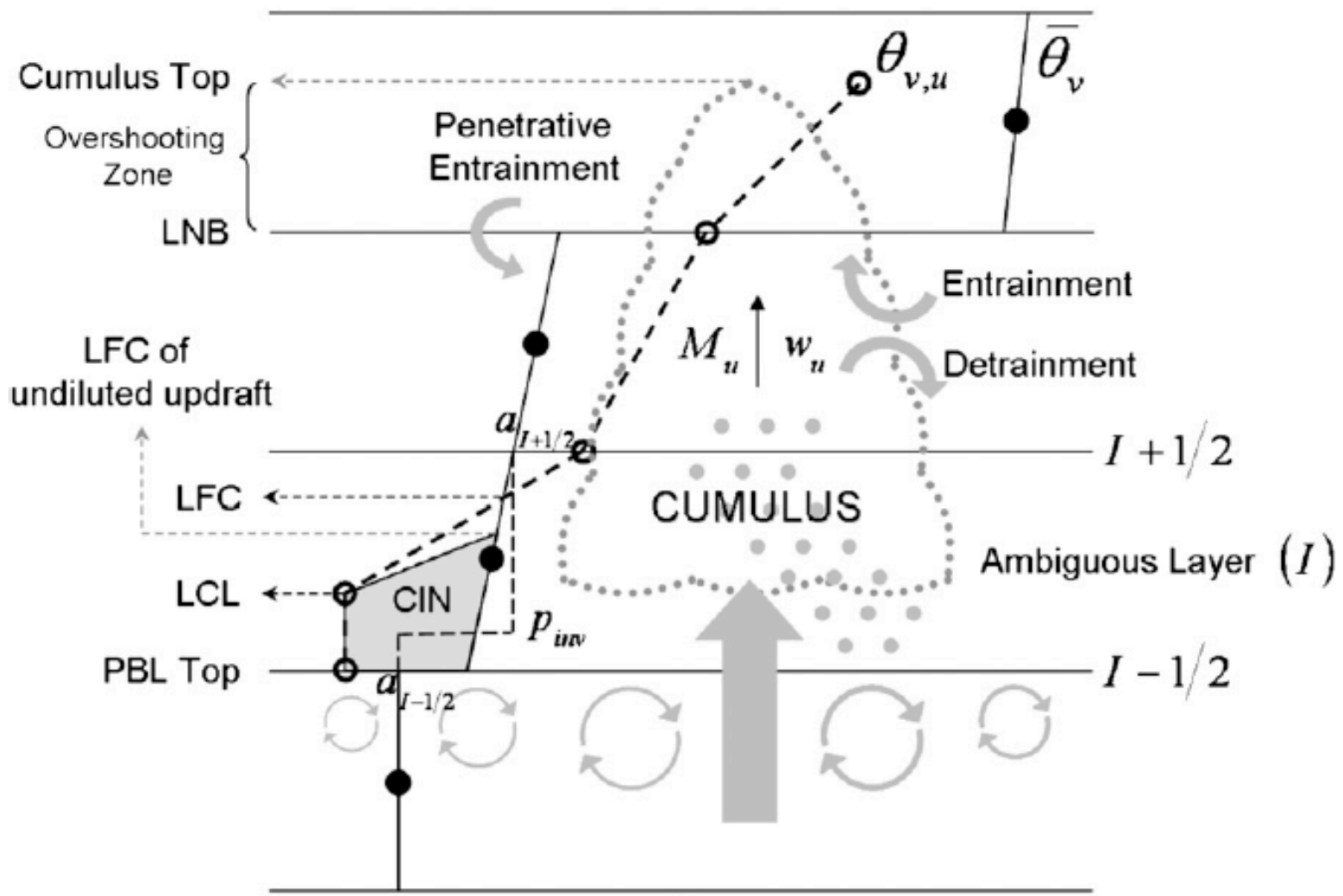
Low thin

Low intermediate:  
Somewhat better with modal

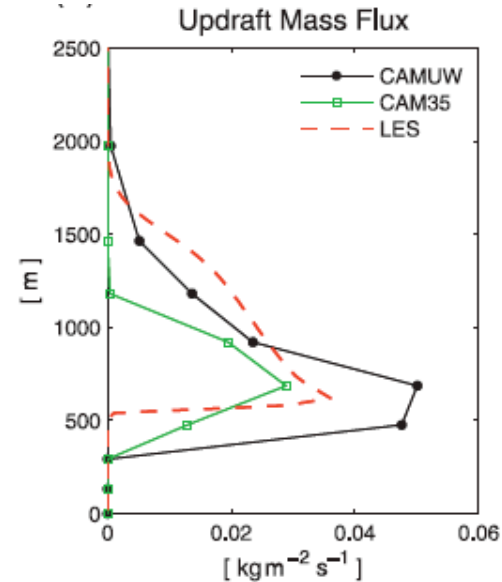
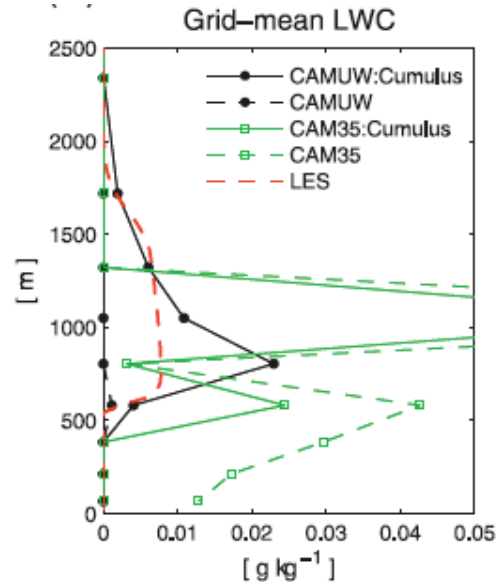
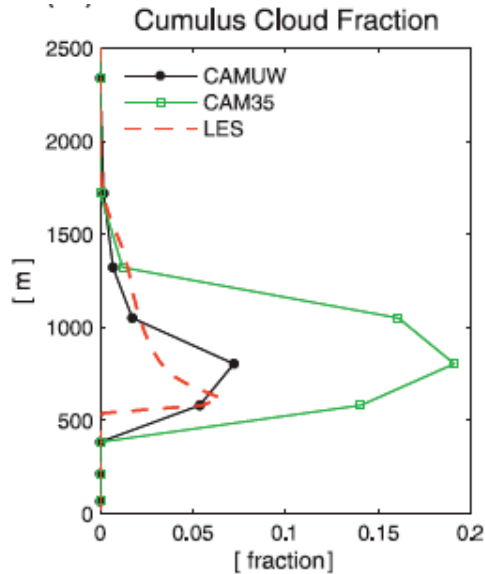
Low thick

# Treatment of turbulence/entrainment: Shallow clouds

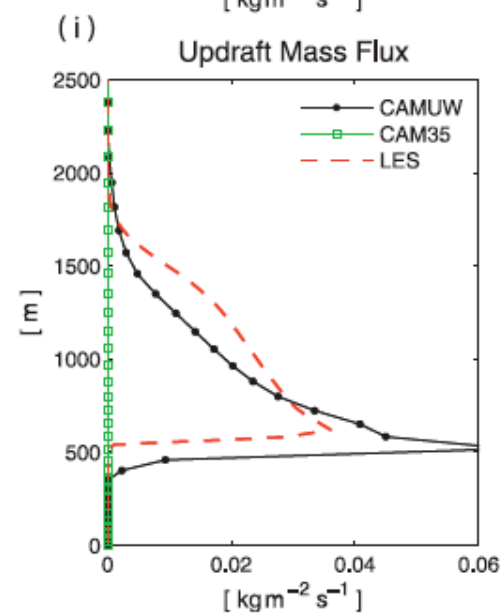
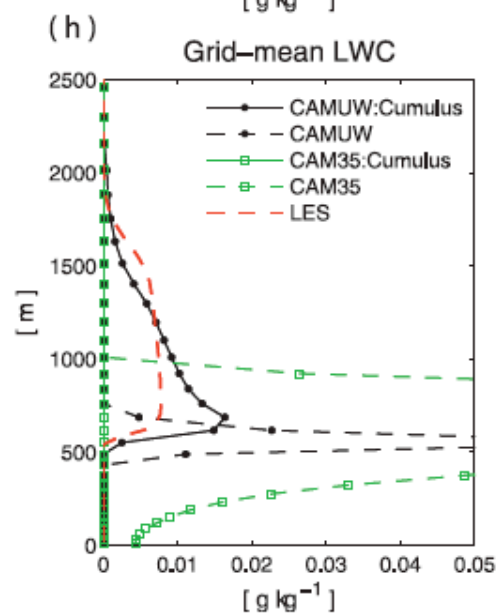
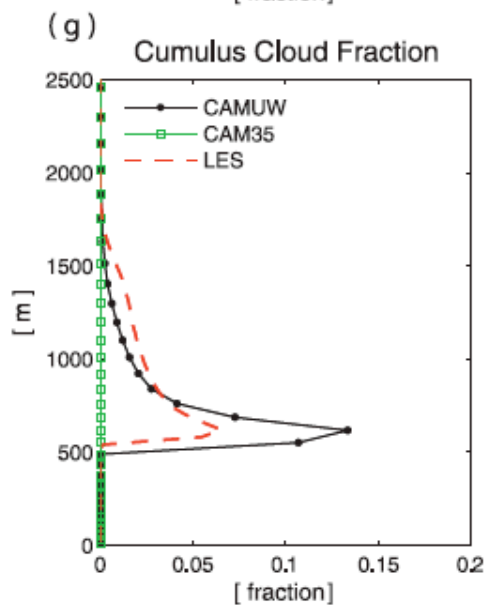
PARK AND BRETHERTON



# Comparison of new/old parameterizations in process study: BOMEX

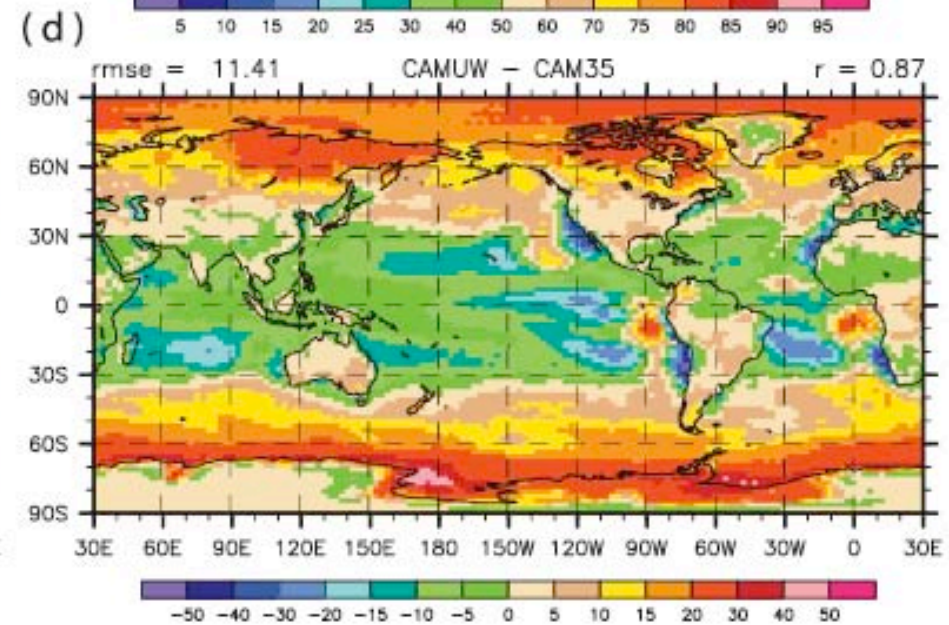
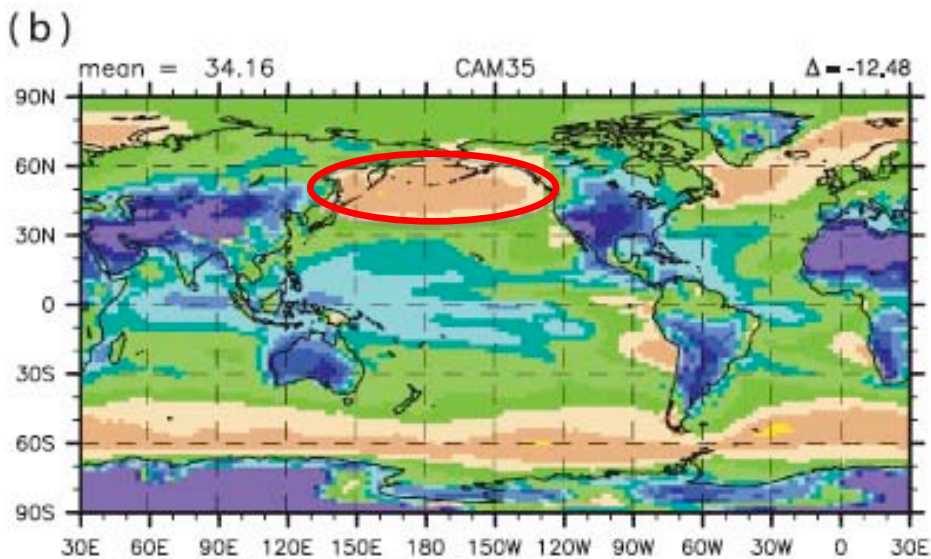
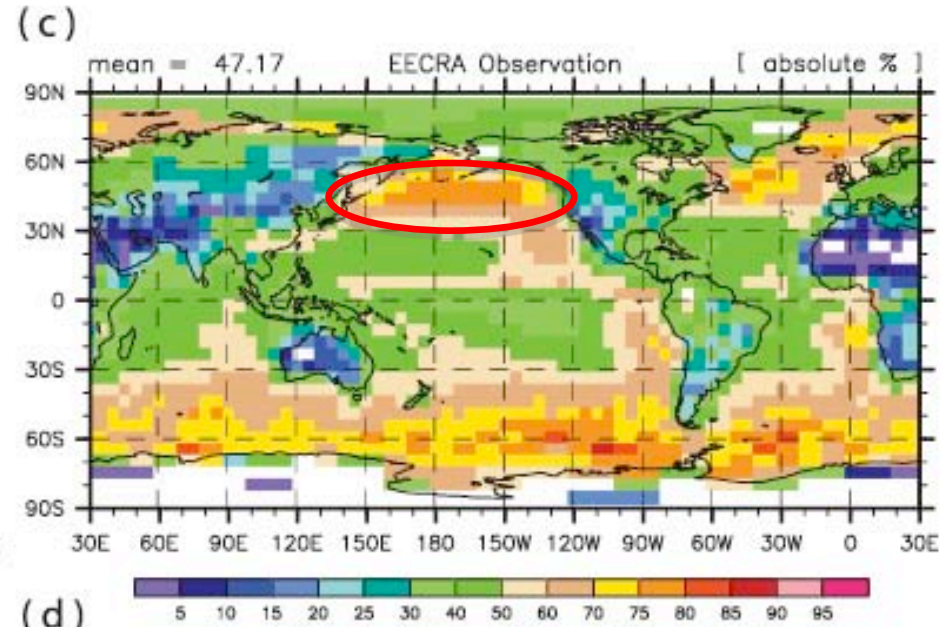
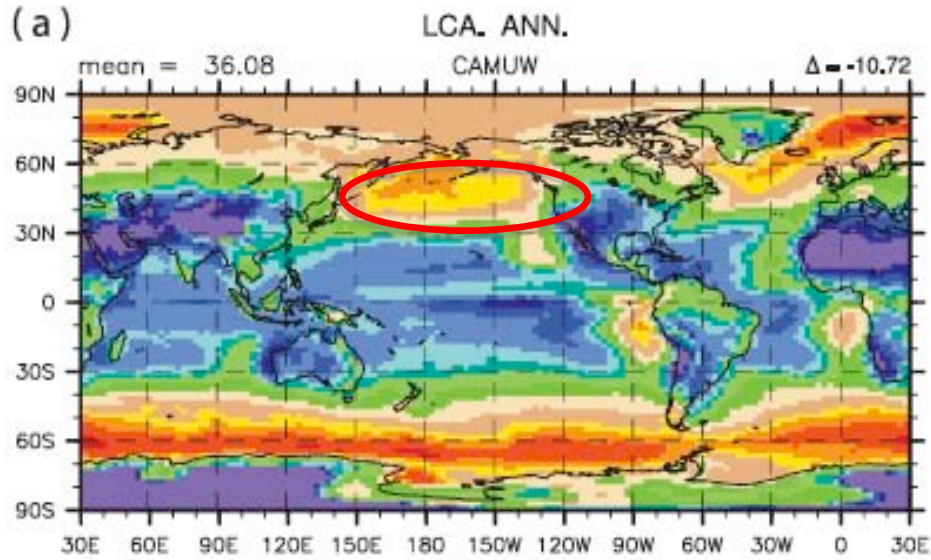


30 levels

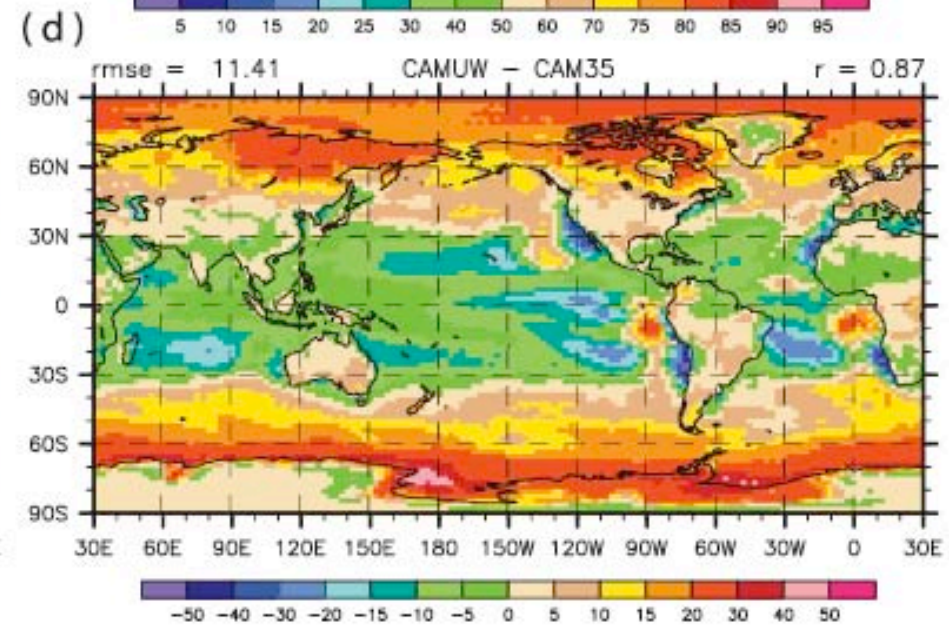
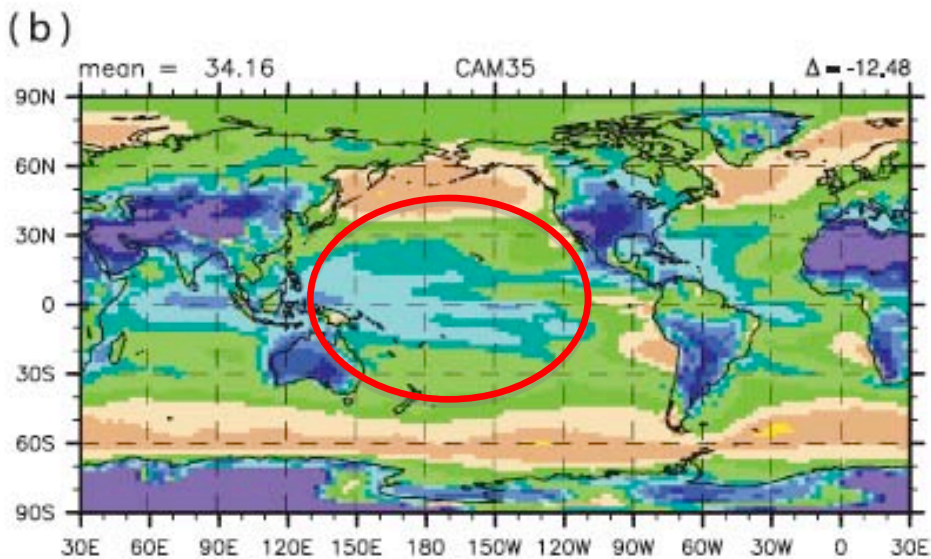
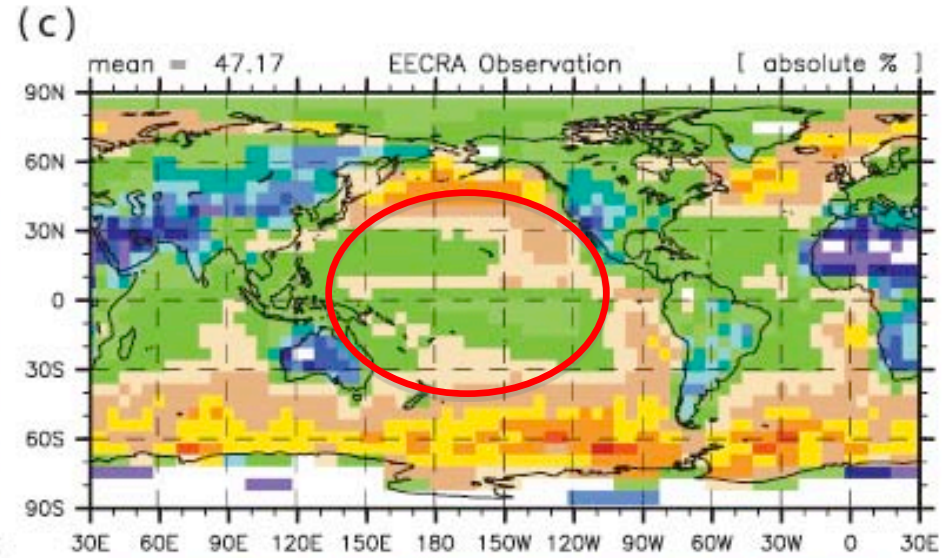
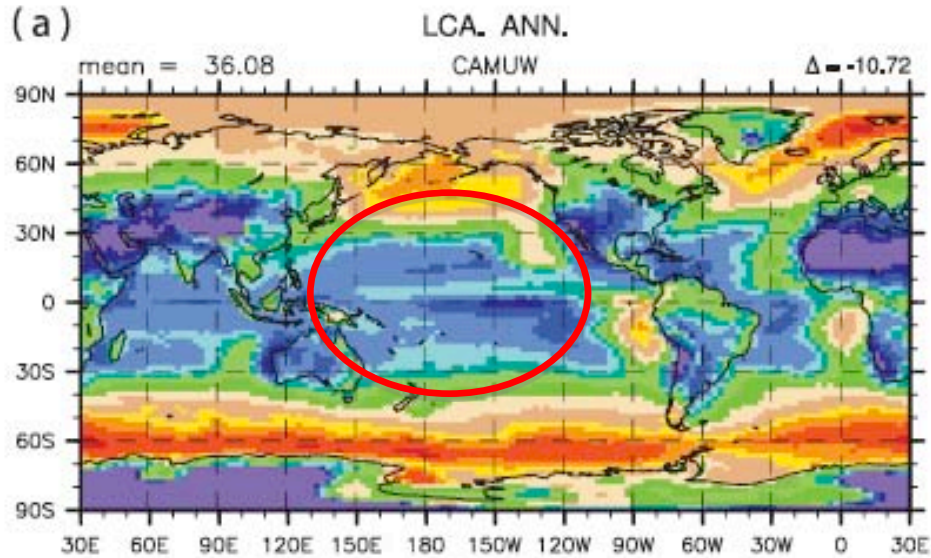


80 levels

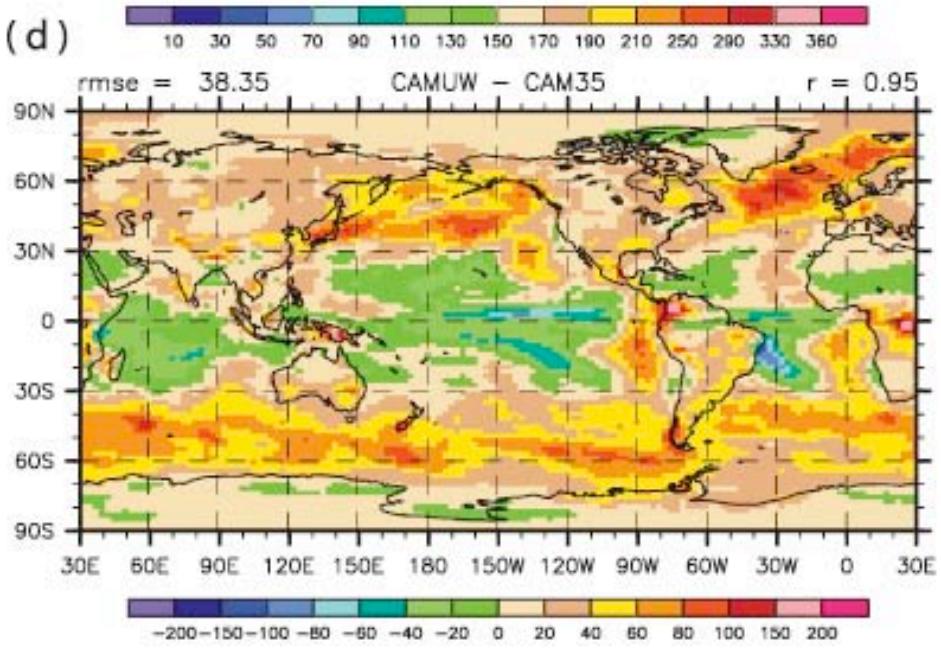
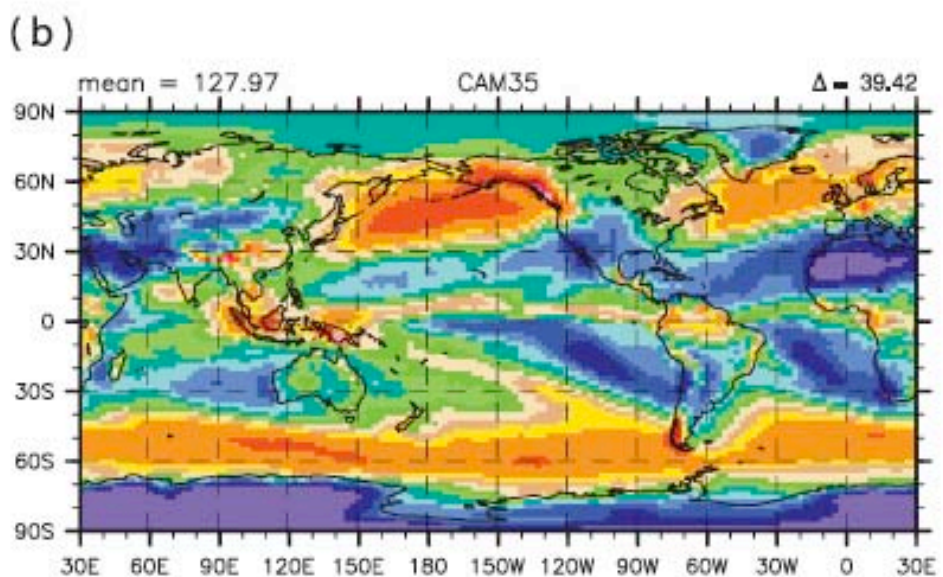
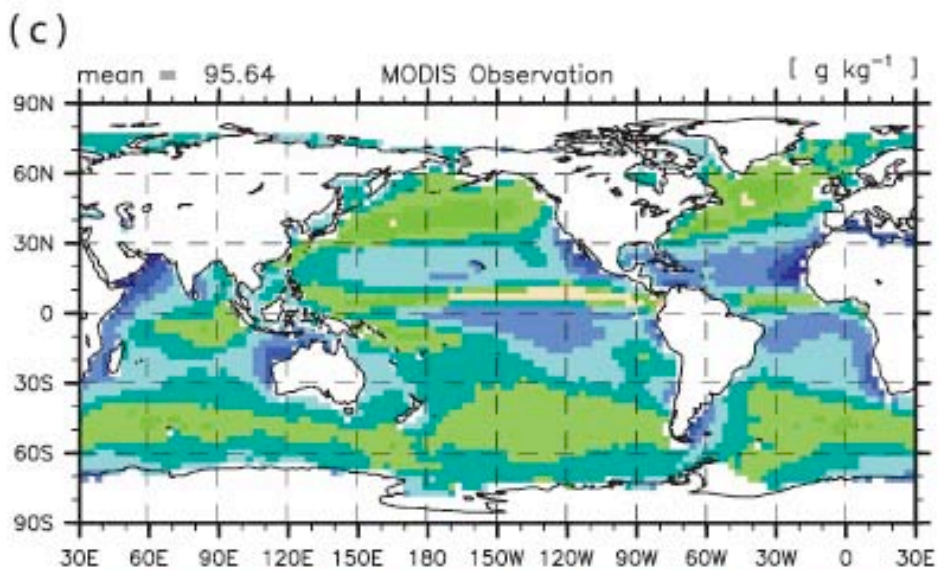
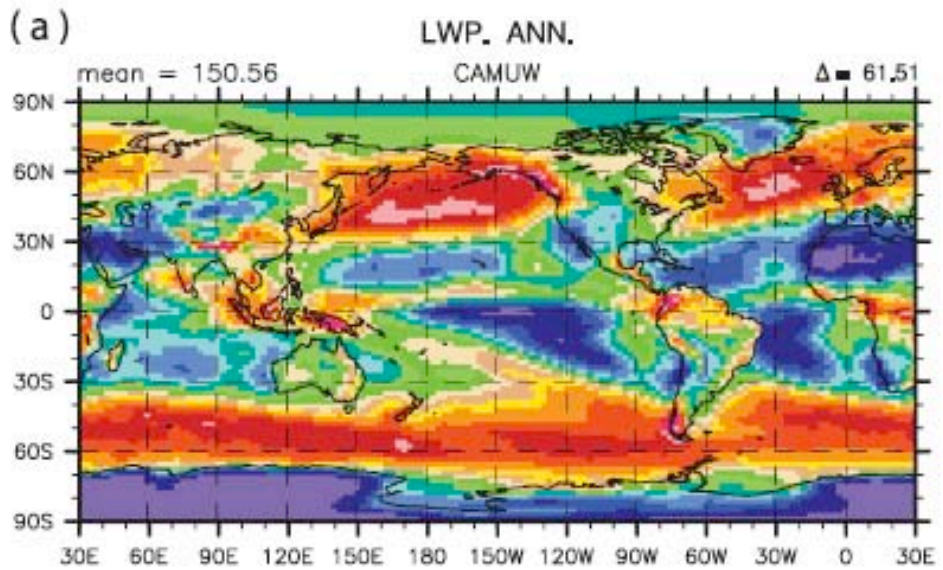
SW cloud forcing is improved, low cloud amount is better in NH storm tracks but worse in tropics, subtropics



SW cloud forcing is improved, and low cloud amount is better in NH storm tracks but worse in tropics, subtropics



LWP is also worse in mid latitude storm tracks (but improved with cloud microphysics included, see next study)



# Effect of treating aerosol/cloud interactions in mixed phase clouds

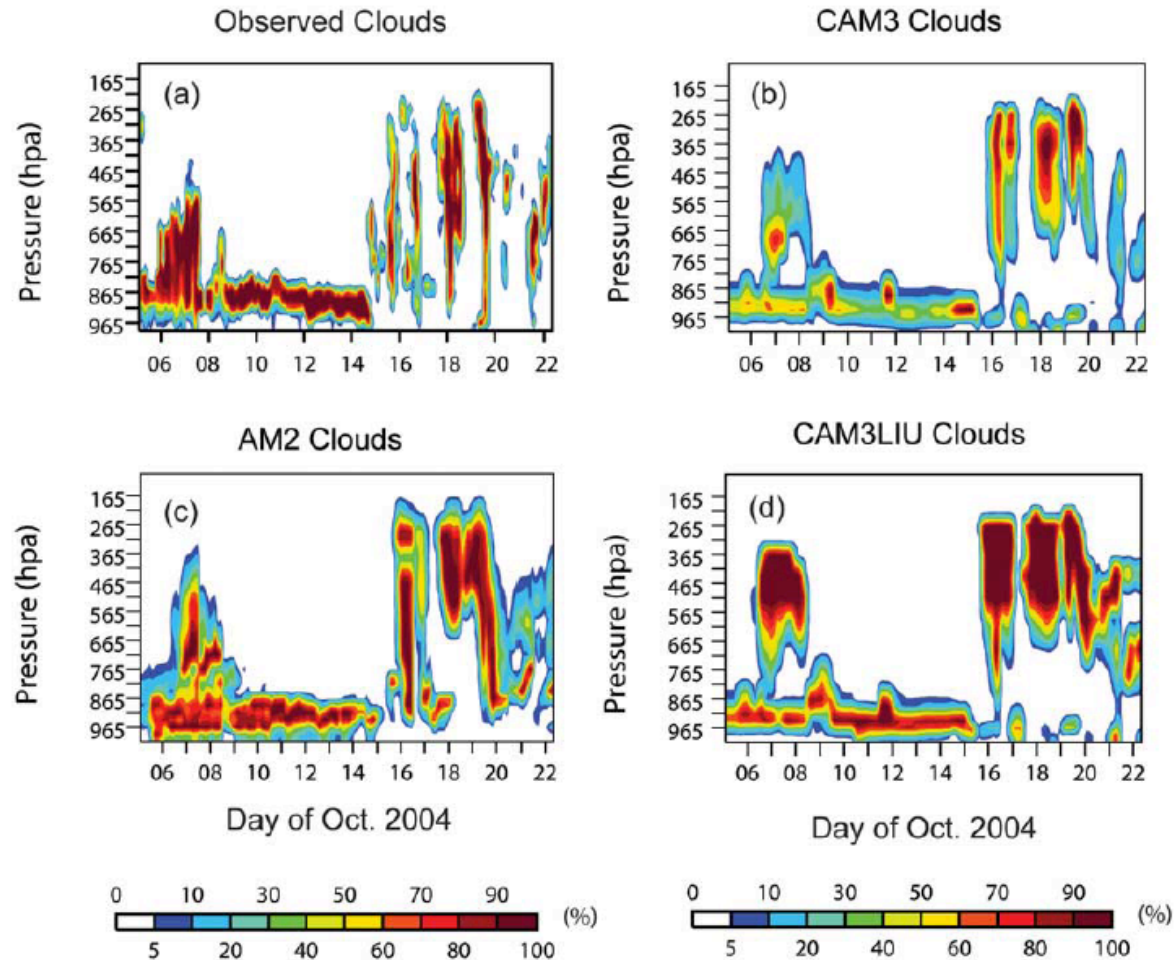
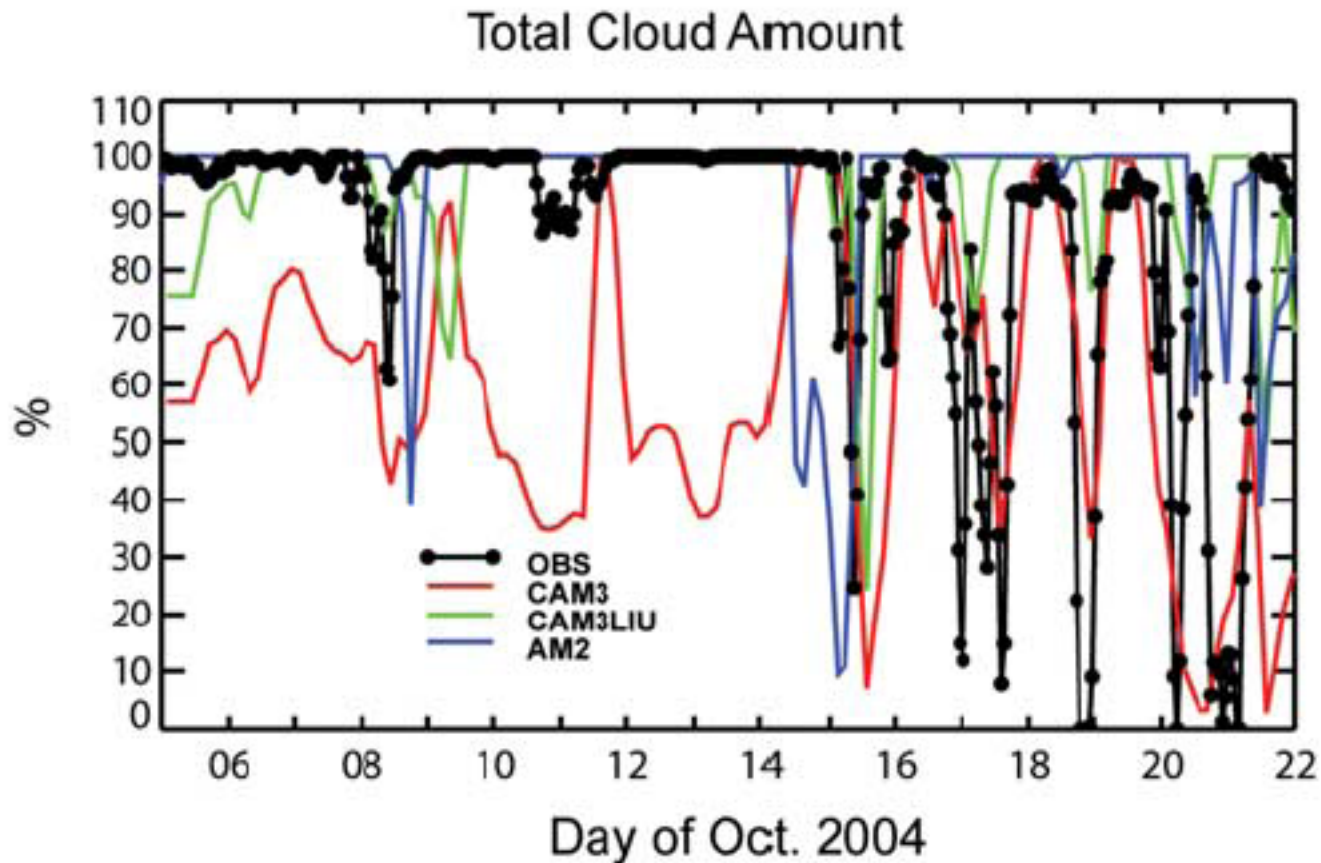


Figure 1. Time-height cross sections of (a) ARSCL cloud frequency and modeled cloud fraction (b) CAM3, (c) AM2, and (d) CAM3LIU at Barrow during M-PACE. The unit is %.



# MPACE: Cloud amount is significantly improved when mixed phase microphysics is included



# Side benefit of adding mixed phase treatment of aerosol/cloud interactions: Improvement of LWP

**Table 2.** Annual global mean cloud properties and their interannual variations (standard deviations).

|                     | HOM         | HMHT_0.01IN | HMHT_0.1IN  | HMHT_1IN    | HMHT_1.25T  | HMHT_0.75T  | LIU07 | CAM3 | Obs       |
|---------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------|------|-----------|
| LWP <sup>a</sup>    | 78.21±0.36  | 76.74±0.36  | 75.38±0.12  | 80.97±0.34  | 77.32±0.55  | 75.67±0.50  | 141   | 121  | 50–87     |
| IWP <sup>b</sup>    | 20.94±0.05  | 21.02±0.04  | 21.07±0.09  | 21.46±0.04  | 21.06±0.06  | 20.91±0.11  | 21.8  | 15.6 | 26.7      |
| $N_d^c$             | 2.31±0.020  | 2.26±0.015  | 2.22±0.013  | 2.41±0.014  | 2.28±0.015  | 2.22±0.012  | #     | #    | 4         |
| $N_i^c$             | 0.088±0.006 | 0.062±0.004 | 0.023±0.002 | 0.050±0.003 | 0.094±0.009 | 0.041±0.004 | 0.027 | #    | #         |
| $r_{eff}^c$         | 11.09±0.01  | 11.09±0.02  | 11.09±0.02  | 11.07±0.01  | 11.08±0.00  | 11.11±0.00  | #     | #    | 11.4–15.7 |
| $r_{eff}^d$         | 47.54±0.45  | 55.10±0.26  | 48.21±0.25  | 41.51±0.30  | 52.88±0.73  | 57.05±0.37  | #     | #    | 25.21     |
| $N_{top}^d$         | 0.87±0.04   | 0.61±0.02   | 0.13±0.01   | 0.42±0.03   | 0.87±0.04   | 0.37±0.02   | #     | #    | #         |
| TCC <sup>e</sup>    | 66.07±0.15  | 66.83±0.09  | 67.92±0.13  | 68.18±0.11  | 66.59±0.11  | 67.31±0.17  | 77.90 | 58.6 | 65–67     |
| TCCHGH <sup>e</sup> | 35.41±0.14  | 38.30±0.12  | 39.94±0.11  | 39.67±0.13  | 37.61±0.17  | 39.39±0.17  | 56.80 | 32.2 | 21        |
| TCCLOW <sup>e</sup> | 44.59±0.13  | 44.22±0.09  | 43.83±0.14  | 45.22±0.12  | 44.40±0.16  | 44.05±0.13  | #     | #    | #         |

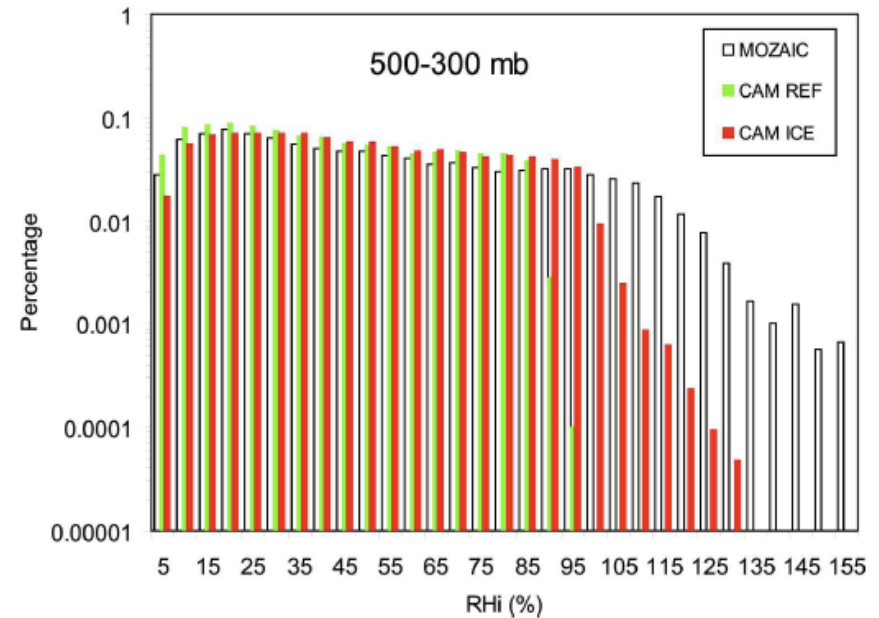
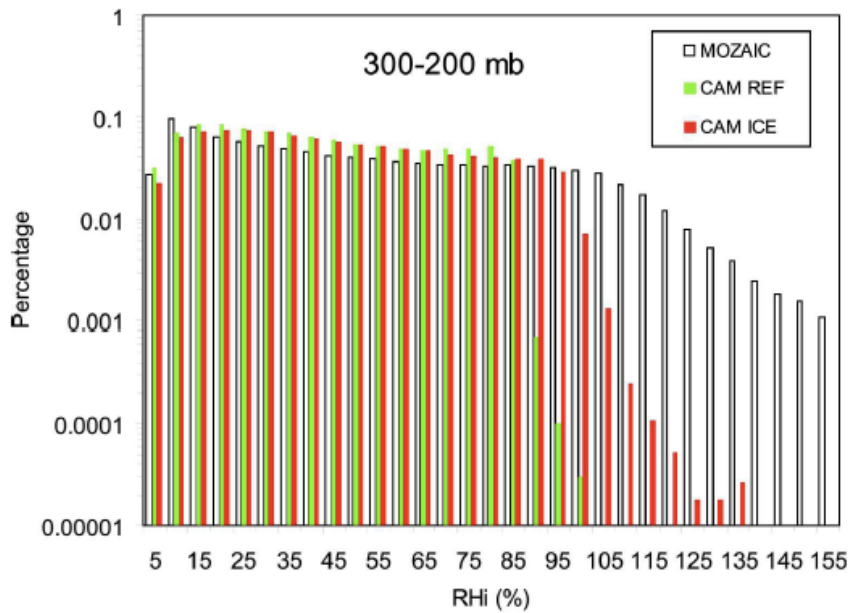
# More recent observation-based aerosol/mixed phase cloud interactions nearly destroys agreement with LWP:

Table 2: Present-Day 5-year global mean cloud and aerosol properties and TOA energy budget.

|                             | Mey YD  | Phi YD  | Mey YDB | Phi YDB | Mey PDB | Phi PDB | Obs        |
|-----------------------------|---------|---------|---------|---------|---------|---------|------------|
| SWCF ( $W/m^2$ )            | -50.76  | -53.32  | -44.96  | -44.99  | -52.15  | -54.24  | -47 to -54 |
| LWCF ( $W/m^2$ )            | 26.42   | 28.32   | 24.99   | 25.09   | 26.95   | 28.71   | 29 to 30   |
| NCF ( $W/m^2$ )             | -24.34  | -25.00  | -19.97  | -19.90  | -25.20  | -25.53  |            |
| LWP ( $g/m^2$ )             | 73.80   | 84.65   | 56.78   | 56.96   | 78.47   | 88.79   | 50-87      |
| IWP ( $g/m^2$ )             | 21.10   | 18.52   | 26.37   | 26.11   | 19.96   | 17.55   | 26.7       |
| Nd ( $10^{10}/m^2$ )        | 2.61    | 2.72    | 1.78    | 1.79    | 2.89    | 2.82    | 4          |
| Ni ( $10^{10}/m^2$ )        | 0.0354  | 0.0371  | 0.0360  | 0.0369  | 0.0357  | 0.0367  |            |
| NI_DCI ( $10^{10}/m^2$ )    | 0.00312 | 0.00320 | 0.00304 | 0.00314 | 0.00314 | 0.00321 |            |
| NI_CON<br>( $10^{10}/m^2$ ) | 7.67    | 7.01    | 113.11  | 111.23  | 0.0053  | 0.0050  |            |
| Cloud Cover (%)             | 67.6    | 67.9    | 67.1    | 67.2    | 67.7    | 68.0    | 65-67      |
| Ptot (mm/d)                 | 2.915   | 2.903   | 2.902   | 2.906   | 2.917   | 2.903   | 2.61       |

Addition of more complete and observation-based mixed phase cloud increases liquid water content to the upper range of observed values

# Comparison of Liu et al. 2009 Rhi with MOZAIC data:



# New cirrus cloud scheme based on Kärcher and Burkhardt (2008)

At each time step, divide the  $q_v$  into clear and cloudy sky portions:  $q_{ve}$  and  $q_{vc}$

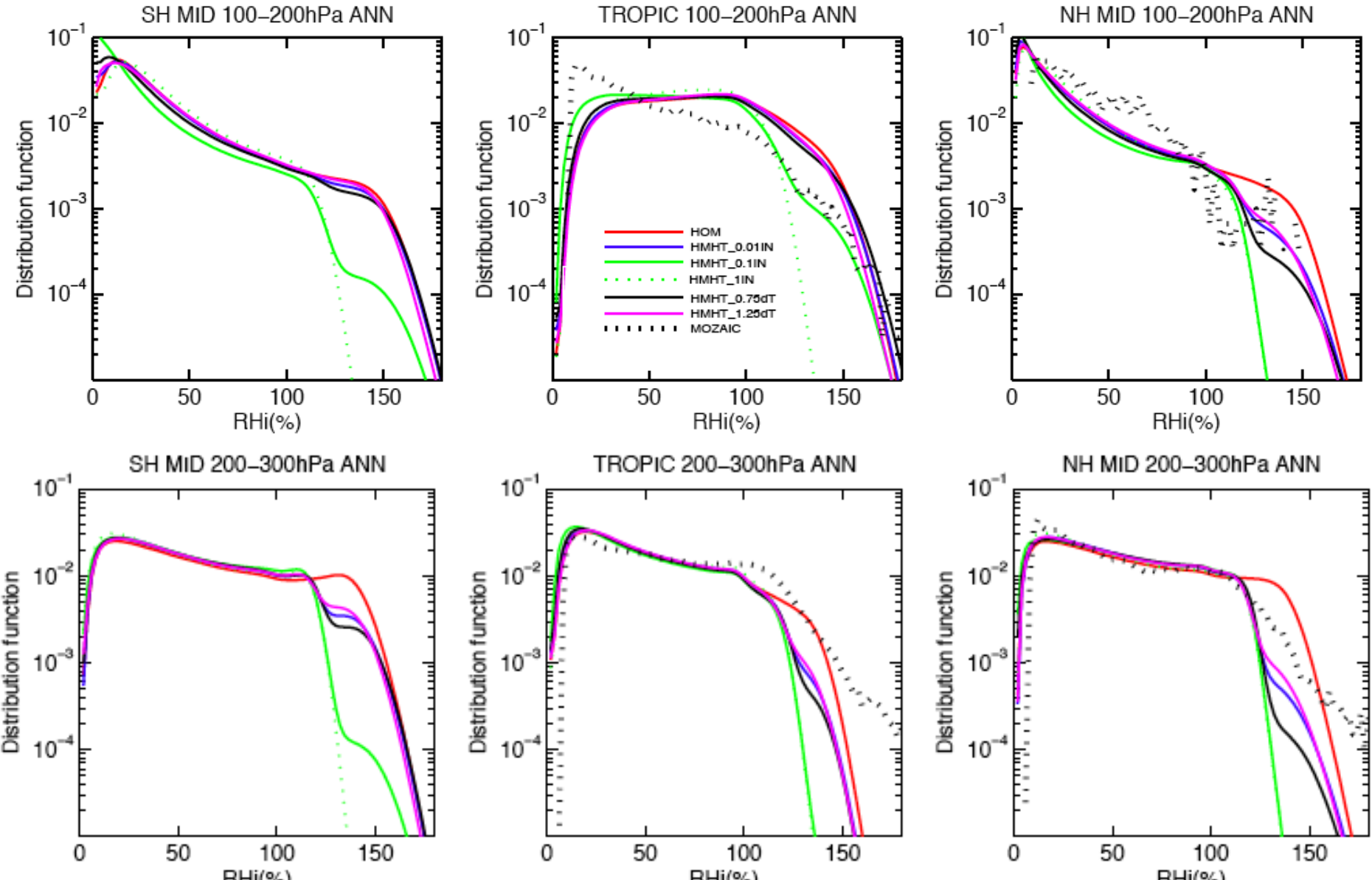
Only grid mean  $q_v = aq_{vc} + (1-a)q_{ve}$  is advected

Cloud growth depends on  $q_{ve}$ ; vapor deposition/ sublimation depend on  $q_{vc}$

Introduces probability density function for temperature and saturation ratio to mimic sub-grid scale mesoscale variability:

$$dP_T/dT, dP_S/dS$$

# Supersaturation agrees better with MOZAIC observations



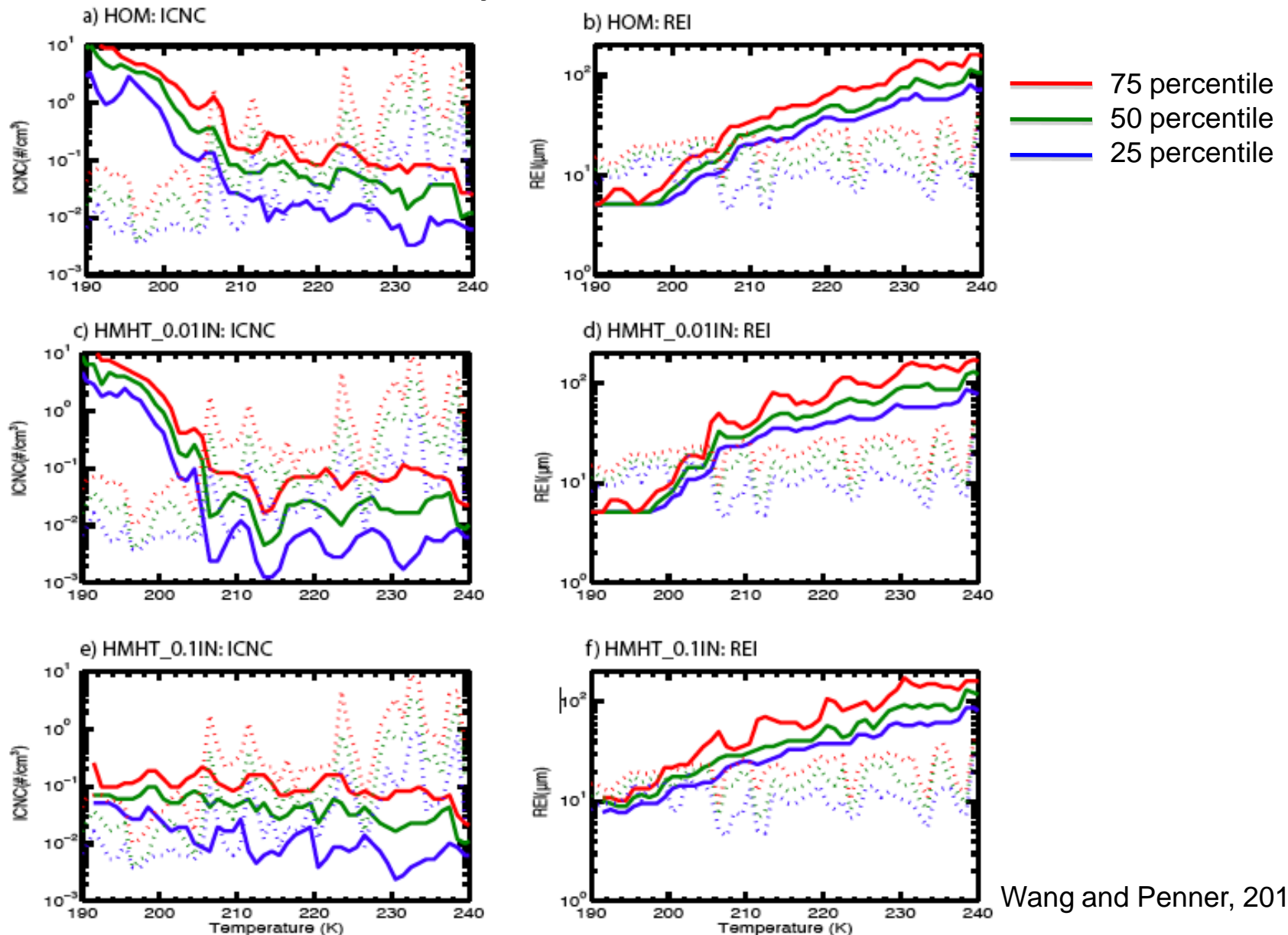
# New cloud cover scheme, however, worsens agreement with observations!

**Table 2.** Annual global mean cloud properties and their interannual variations (standard deviations).

|                     | HOM         | HMHT_0.01IN | HMHT_0.1IN  | HMHT_1IN    | HMHT_1.25T  | HMHT_0.75T  | LIU07 | CAM3 | Obs       |
|---------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------|------|-----------|
| LWP <sup>a</sup>    | 78.21±0.36  | 76.74±0.36  | 75.38±0.12  | 80.97±0.34  | 77.32±0.55  | 75.67±0.50  | 141   | 121  | 50–87     |
| IWP <sup>b</sup>    | 20.94±0.05  | 21.02±0.04  | 21.07±0.09  | 21.46±0.04  | 21.06±0.06  | 20.91±0.11  | 21.8  | 15.6 | 26.7      |
| $N_d^c$             | 2.31±0.020  | 2.26±0.015  | 2.22±0.013  | 2.41±0.014  | 2.28±0.015  | 2.22±0.012  | #     | #    | 4         |
| $N_i^c$             | 0.088±0.006 | 0.062±0.004 | 0.023±0.002 | 0.050±0.003 | 0.094±0.009 | 0.041±0.004 | 0.027 | #    | #         |
| $r_{eff}^c$         | 11.09±0.01  | 11.09±0.02  | 11.09±0.02  | 11.07±0.01  | 11.08±0.00  | 11.11±0.00  | #     | #    | 11.4–15.7 |
| $r_{eff}^d$         | 47.54±0.45  | 55.10±0.26  | 48.21±0.25  | 41.51±0.30  | 52.88±0.73  | 57.05±0.37  | #     | #    | 25.21     |
| $N_{top}^d$         | 0.87±0.04   | 0.61±0.02   | 0.13±0.01   | 0.42±0.03   | 0.87±0.04   | 0.37±0.02   | #     | #    | #         |
| TCC <sup>e</sup>    | 66.07±0.15  | 66.83±0.09  | 67.92±0.13  | 68.18±0.11  | 66.59±0.11  | 67.31±0.17  | 77.90 | 58.6 | 65–67     |
| TCCHGH <sup>e</sup> | 35.41±0.14  | 38.30±0.12  | 39.94±0.11  | 39.67±0.13  | 37.61±0.17  | 39.39±0.17  | 56.80 | 32.2 | 21        |
| TCCLOW <sup>e</sup> | 44.59±0.13  | 44.22±0.09  | 43.83±0.14  | 45.22±0.12  | 44.40±0.16  | 44.05±0.13  | #     | #    | #         |

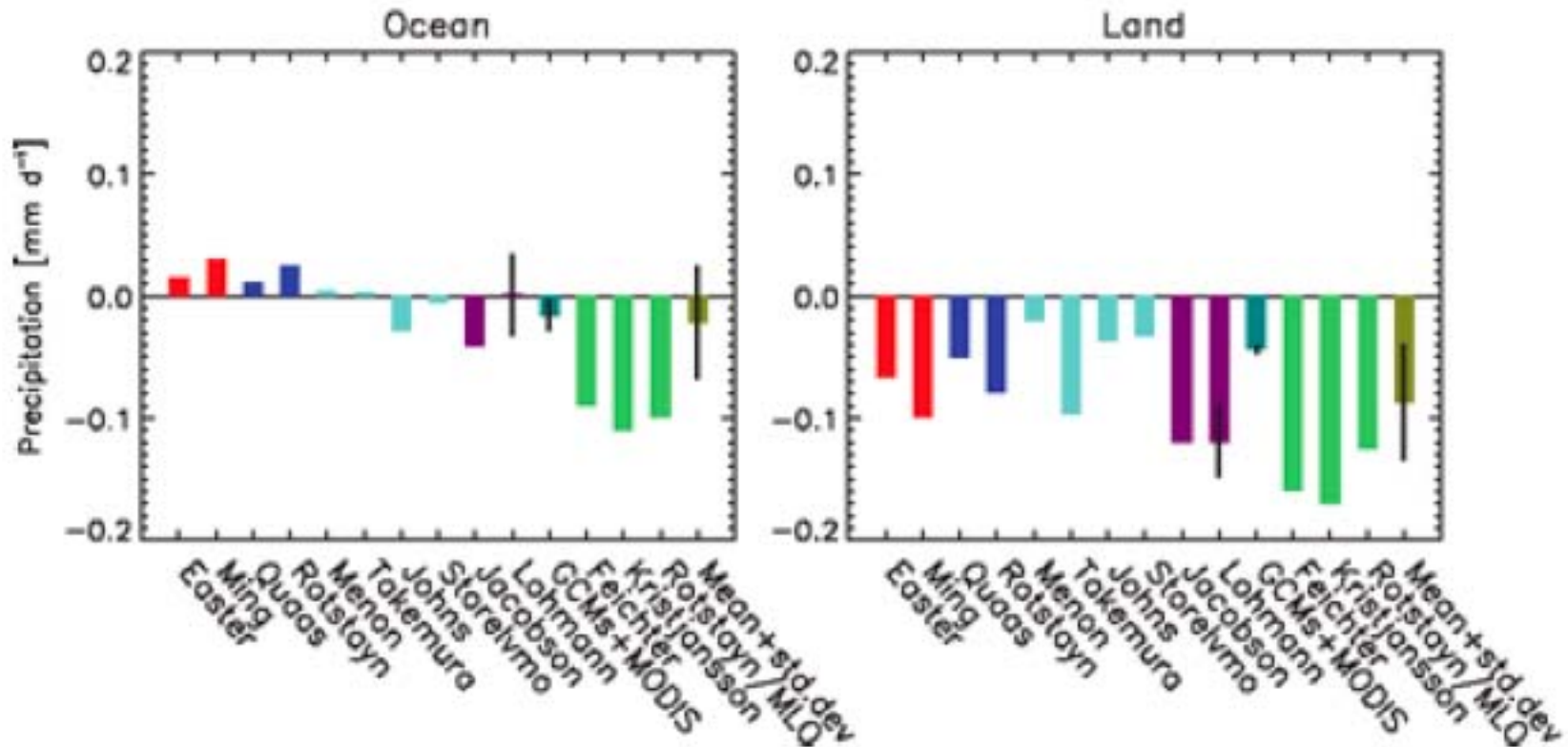
But high cloud fraction with prediction of cloud fraction based on aerosol/cloud interactions is not improved.

# Prediction of ice number/effective radius needs improvement:





# Precipitation changes in global models are mixed

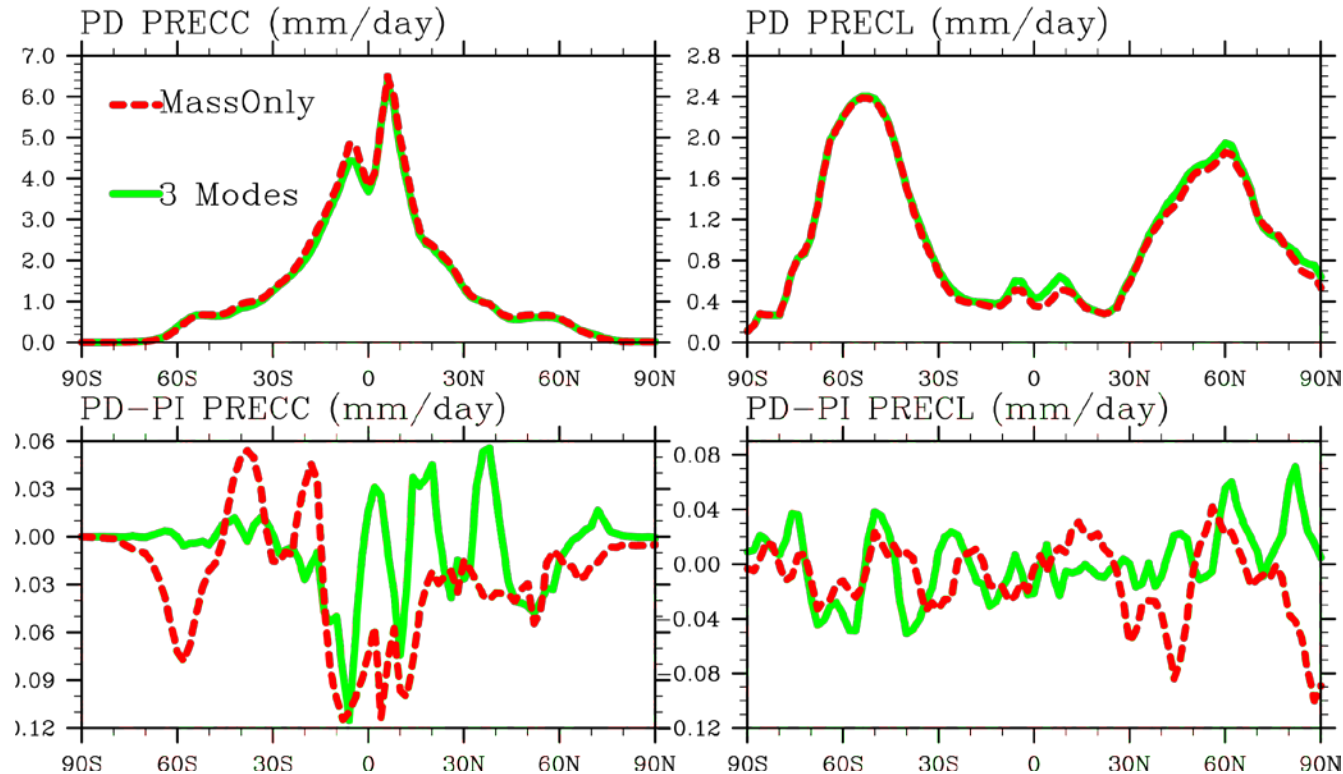


# Effect of aerosols on precipitation?

Large scale precipitation

Convective precipitation

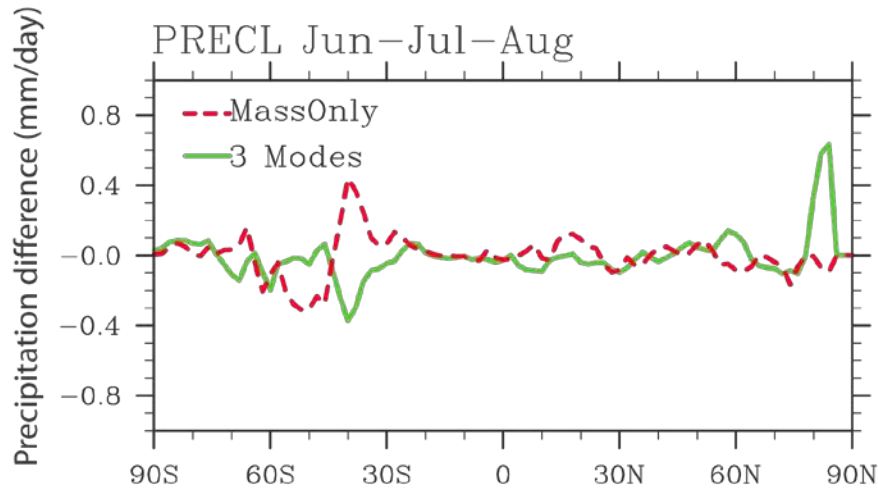
5yrs avg (3 Modes and MassOnly)



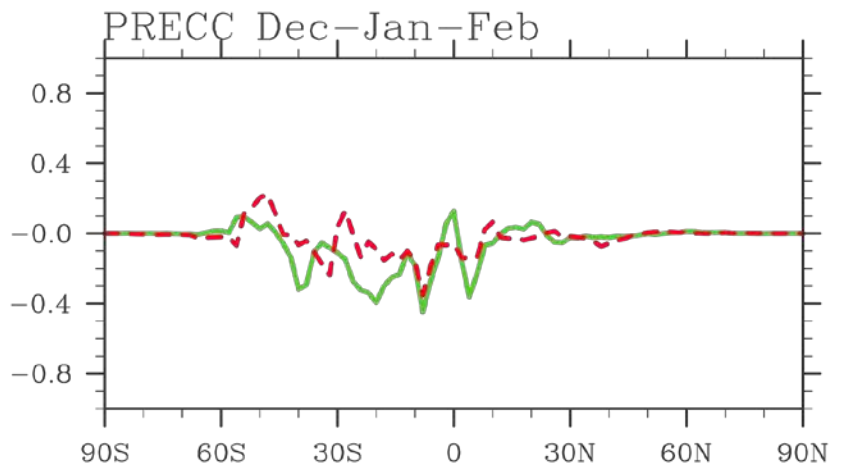
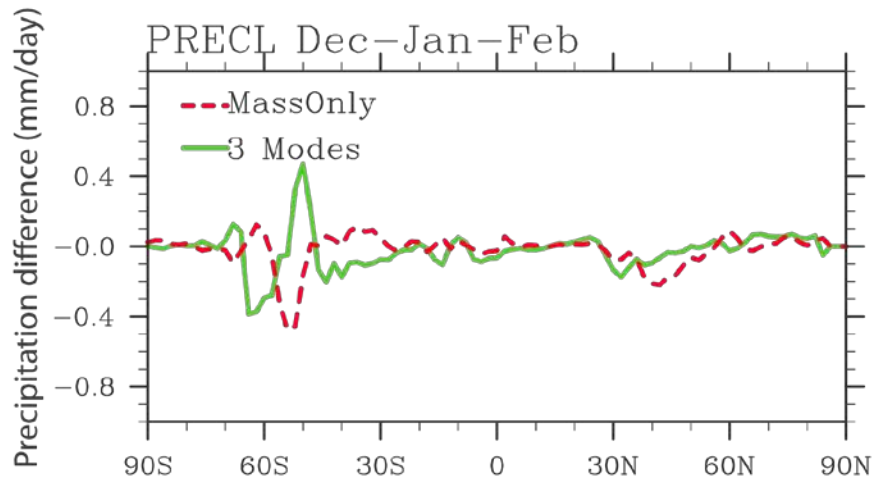
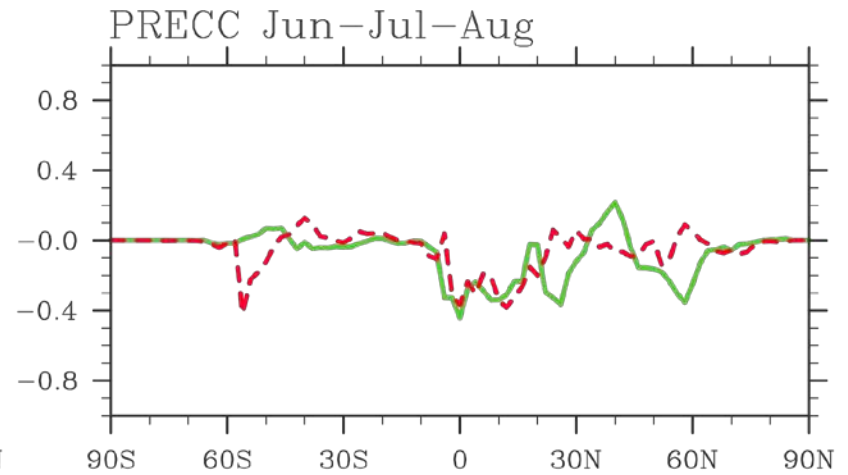
Difference  
between PD  
and PI small

# Calculate change over land by season

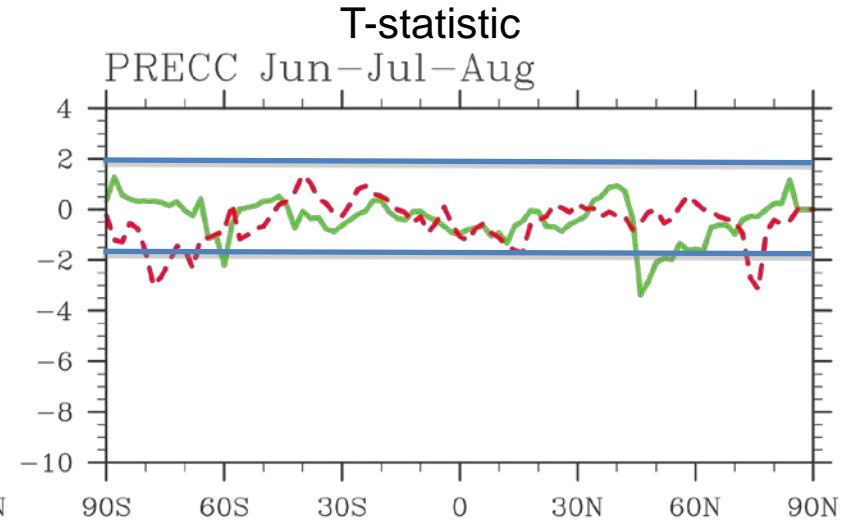
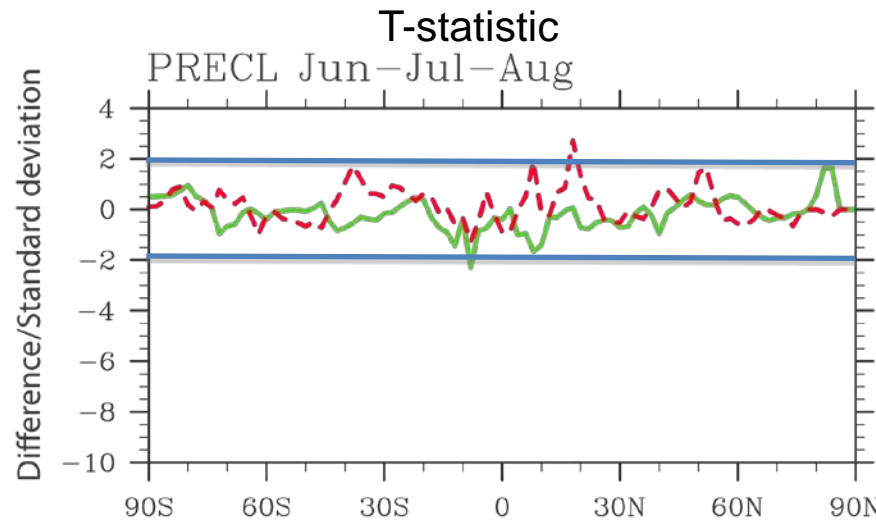
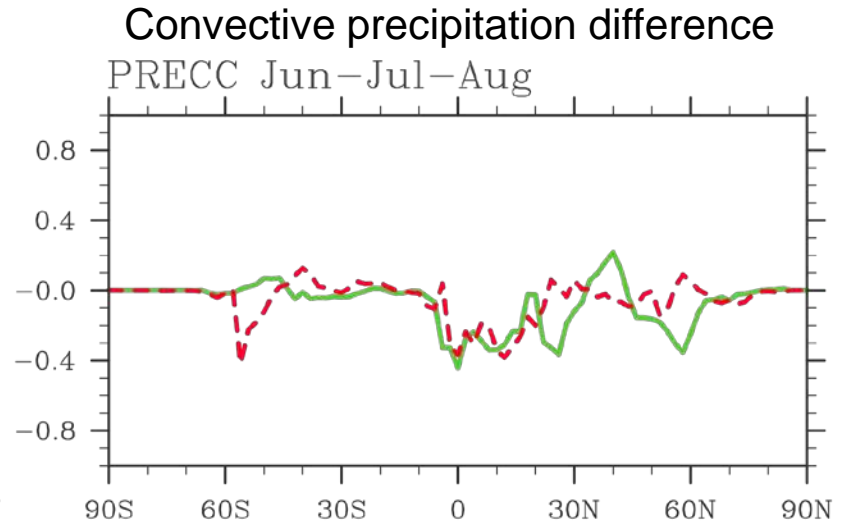
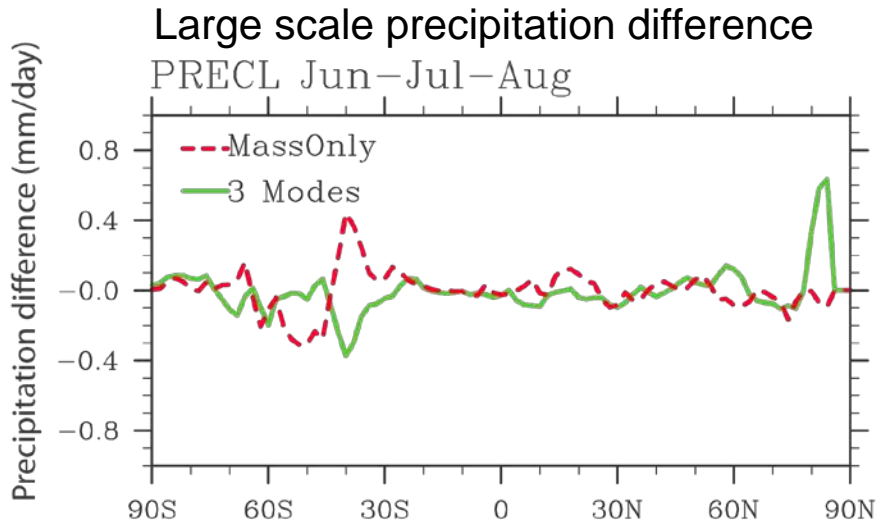
## Large scale precipitation



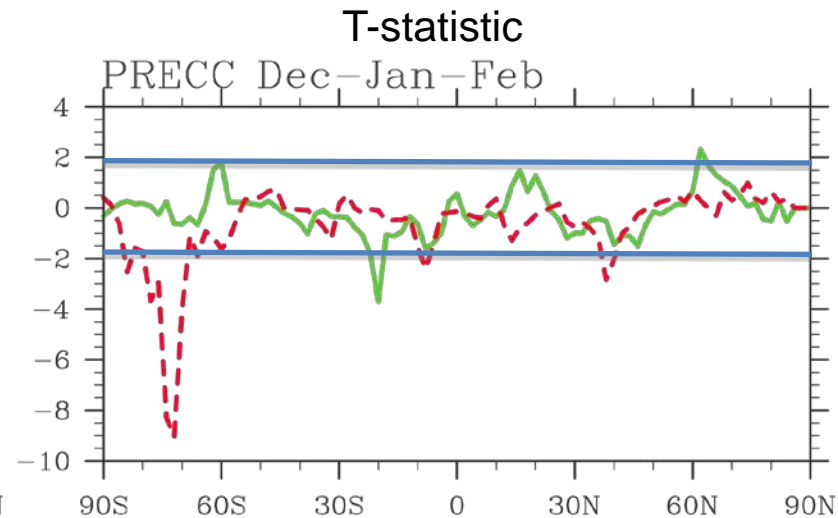
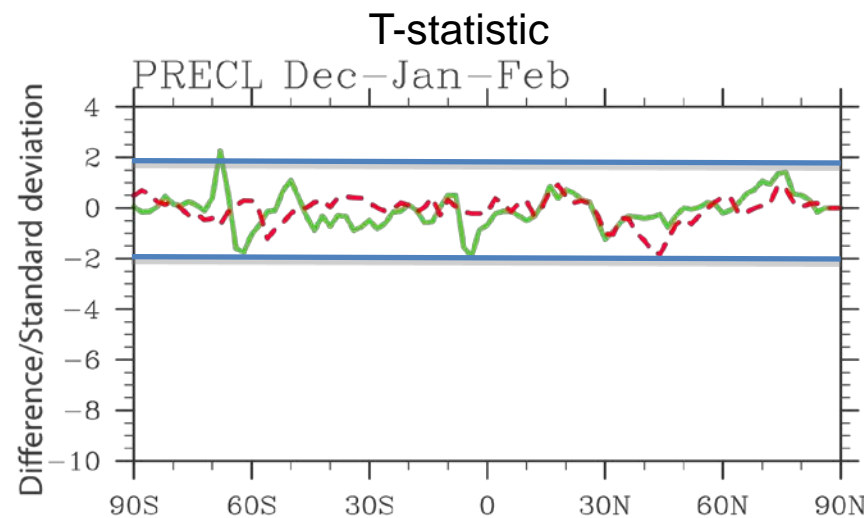
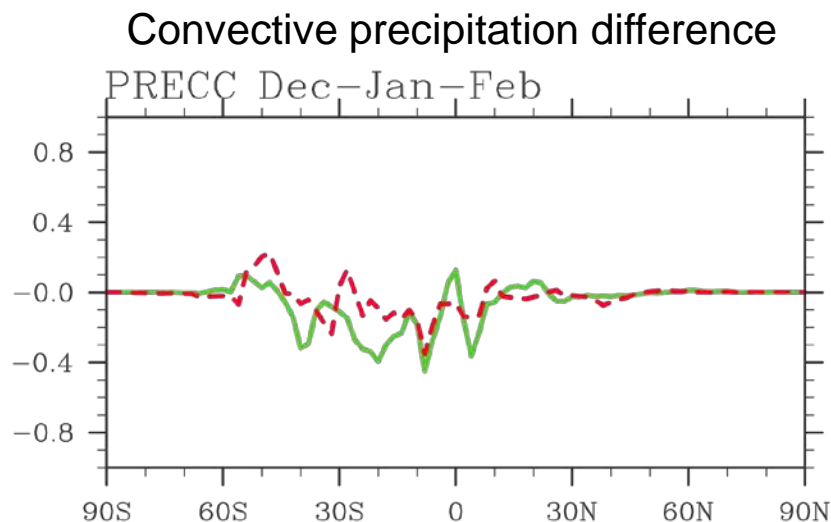
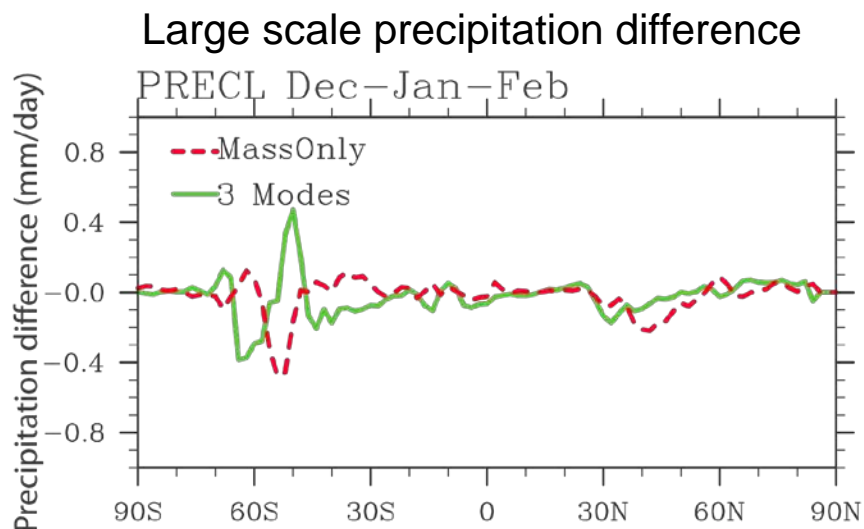
## Convective precipitation



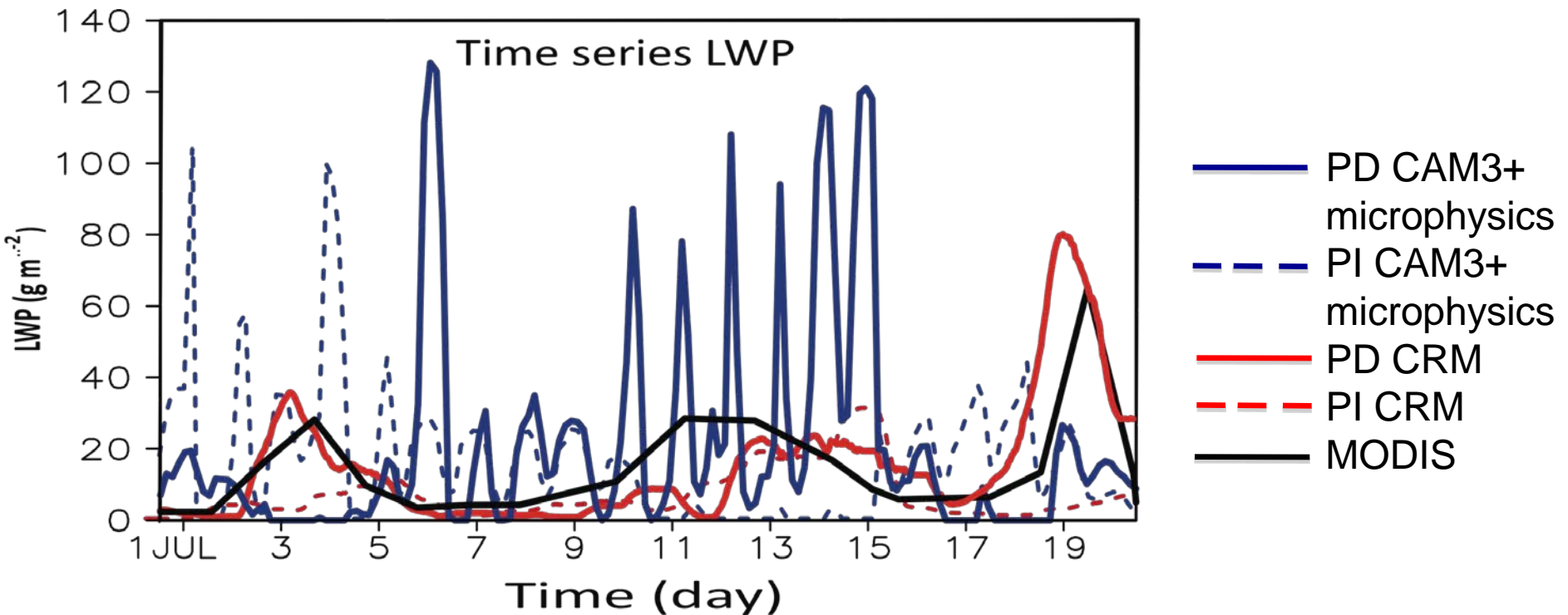
# JJA land difference with T-statistic



# DJF land difference with T-statistic

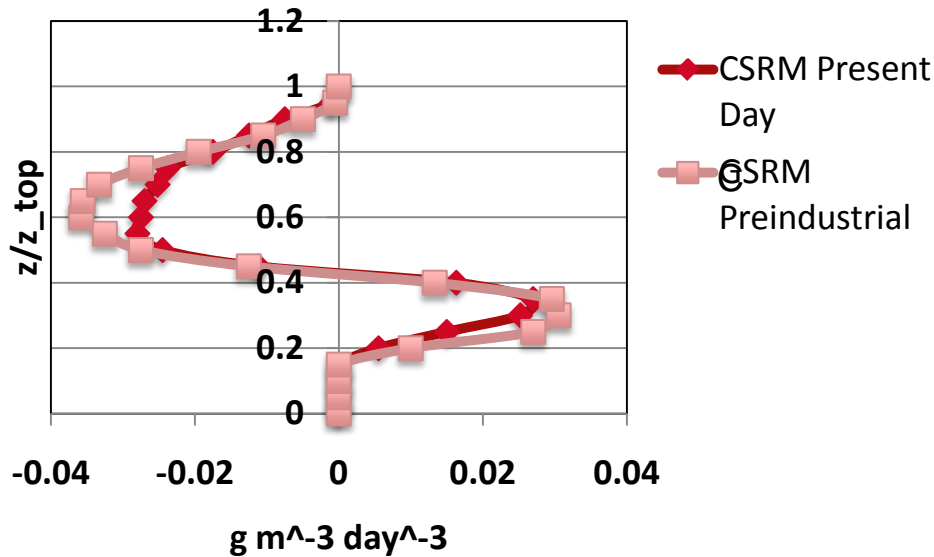


# Examine difference between GCM and cloud resolving model:



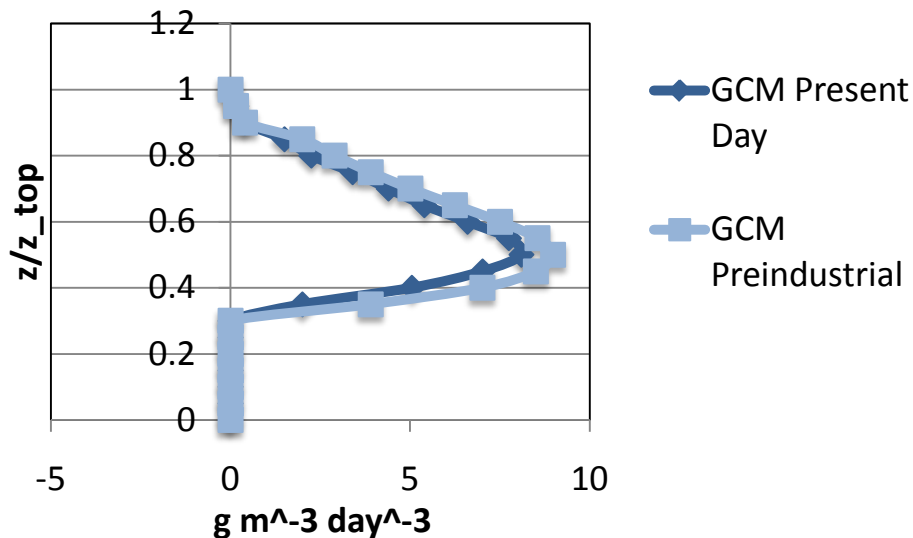
# Role of representation of microphysics:

## CSRM: change from sedimentation



The CSRM includes a 2-bin representation of cloud size, allowing particles to fall below cloud base and evaporate. This promotes a decoupling between the surface and cloud layer, in part, allowing cumulus clouds to develop near the end of the simulation in the CSRM.

## GCM: loss of cloud liquid to rain



# How these activities relate to observational data.

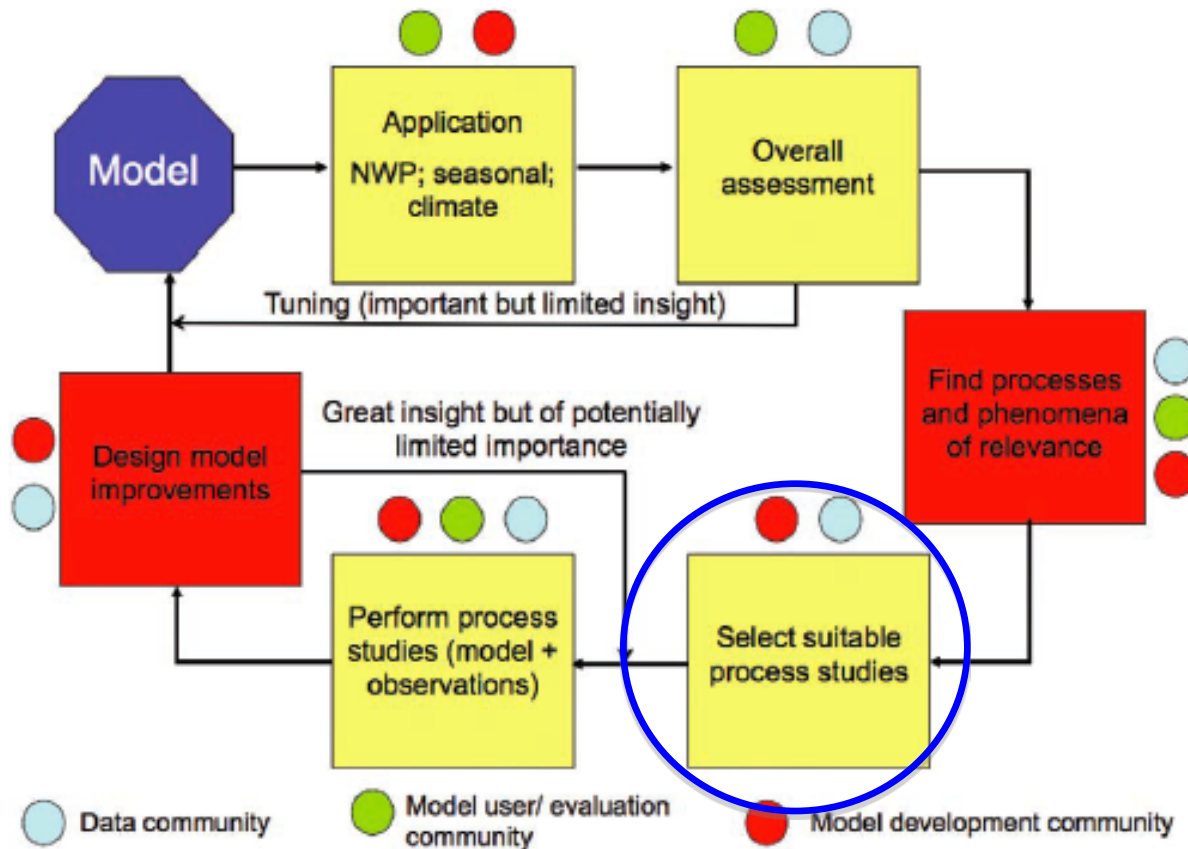


FIG. 1. A schematic of the model development process. See text for more details.



# Perspectives on the way forward

- GCM modelers need to include fully consistent parameterization in radiation/microphysics/cloud macrophysics and continue to improve basic representation
- GCM modelers need to examine the full set of available observations for clues about what might be improved
- Process modelers and observations need to explore the set of “unknowns” (i.e. what controls ice number concentration in mixed phase and cold cirrus clouds? Not just empirical relationships)
- GCM modelers need to carry through to an analysis of the consequences of process model studies to new parameterizations to observations in the full GCM