FEDERAL ELECTION COMMISSION

IT STRATEGIC & PERFORMANCE PLANS

FY 2004-2008

PURSUANT TO GPRA AND OMB A-11

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Federal Election Commission

IT Strategic & Performance Plans

FY 2004-2008

In 1995, the Federal Election Commission (FEC) initiated a modernization program to re-engineer its automated systems infrastructure. Three major initiatives were launched: information technology (IT) modernization, point of entry, and electronic filing. These projects were designed to assist the FEC to perform its statutory mission and achieve its objectives and goals as defined in the FEC Strategic Plan. The electronic filing system and the point of entry initiative are completed.

IT modernization is ongoing with the goal of enabling FEC staff to work more efficiently by using more robust, comprehensive methodologies providing access to information. These goals are being achieved through migration to a client/server system to facilitate information requests, reduce copying and distribution of paper documents, streamline research, and manage workloads. The new system permits FEC staff and external users to use FEC-generated data and information in a more flexible manner and will enable the FEC to realize the benefits achieved by electronic filing.

The Bipartisan Campaign Reform Act of 2002 (BCRA) required significant changes to FEC IT systems, including the new client/server disclosure system and the electronic filing system. Implementation of BCRA-related changes to the disclosure and electronic filing systems was completed in FY 2003, but the eventual decision of the Supreme Court may require additional BCRA-related changes to the systems in FY 2004.

The FEC has begun the next phase of enhancements. For example, a web-based electronic review process will allow the Reports Analysis Division to review financial disclosure reports more quickly, and an integrated, web-based budget and management information system will enhance budget preparation and performance measurement and reporting. Both projects will begin in FY 2004 and will be completed in FY 2005. After these and other systems are migrated to a web-based, client server environment, the portal project will begin in FY 2005 and will be completed in FY 2008. The implementation of the FEC portal will complete the integration of FEC systems.

The IT Strategic Plan also includes the funding for the base IT operations from FY 2004-2008. Although not part of the earmarked IT initiatives, these activities support IT operations and are tracked separately from the earmarked IT initiatives.

IT Modernization

FY 2004
<u>**Goals/Milestones**</u>

Migration to Client/Server Environment

Initiate development of web-based RAD automated review process (October 2003)

Initiate development of web-based budget/MIS/FTE system (October 2003)

Upgrade PeopleSoft accounting system software (October 2003)

Complete client/server disclosure database enhancements (December 2003)

Initiate document management system development (January 2004)

Initiate conversion of non-disclosure systems to client/server environment (June 2004)

Initiate development of data mining program (September 2004)

Initiate e-procurement program (September 2004)

Initiate development of OCR scanning of non-electronically filed documents (September 2004)

Continue to migrate disclosure-related systems to client/server environment (Ongoing)

Case Management System

Complete the installation of PC Docs (March 2004)

Upgrade to Web-based version of Case Management System (July 2004)

Other Projects

Upgrade LotusNotes software (October 2003)

Replace and upgrade aging PCs (desktop and laptop computers) (October 2003)

Upgrade and replace obsolete server and infrastructure hardware (September 2004)

<u>**Costs**</u>

Personnel	Non-Personnel*	Total
$2,255,900	$3,170,000	$5,425,900

*Includes system development, hardware, and software

FY 2005

<u>**Goals/Milestones**</u>

Migration to Client/Server Environment

Complete development of web-based budget/MIS/FTE system (October 2004)

Initiate e-travel program (October 2004)

Implement web-based RAD automated review process (December 2004)

Initiate portal development project (June 2005)

Implement OCR scanning for non-electronic filed documents (September 2005)

Complete migration of disclosure-related systems to client/server environment (September 2005)

Other Projects

Upgrade communications equipment to support protocols in a fiber-optic environment (October 2004)

Replace and upgrade aging PCs (desktop and laptop computers) (October 2004)

<u>Costs</u>

Personnel	Non-Personnel*	Total
$2,355,500	$2,375,000	$4,730,500

*Includes system development, hardware, and software

FY 2006 -2008

<u>Goals/Milestones</u>

Design Litigation Notebook (FY 2006)

Develop on-line Commission voting and certifications (FY 2006)

Complete document management system development (FY 2006)

Complete conversion of non-disclosure systems to client/server environment (FY 2006)

Implement the data mining program (FY 2006)

Complete e-procurement program (FY 2007)

Complete portal development project (FY 2008)

Conduct on going needs assessments updates for FEC offices (Ongoing)

Replace and upgrade aging PCs (desktop and laptop computers) (Ongoing)

<u>Costs</u>

	Personnel	Non-Personnel*	Total
FY 2006	$2,473,300	$2,655,000	$5,128,300
FY 2007	$2,597,000	$2,867,000	$5,464,000
FY 2008	$2,726,800	$2,475,000	$5,201,800

*Includes system development, hardware, and software

Base DSDD IT Budget

The base DSDD budget maintains and supports the IT operations of the FEC, including the electronic filing system, point of entry operations, on-going IT programs covering an extensive inventory of legacy systems for both disclosure and compliance operations, support for informational and educational outreach efforts, and support for the financial and administrative operations of the Commission. The base DSDD budget supports personnel costs, including travel, training, printing, supplies, maintenance, licensing, and other basic support.

FY 2004-2008

Goals/Milestones

Maintain, support and enhance electronic filing system and operations (Ongoing)

Maintain point of entry operations with the Senate and House (Ongoing)

Maintain computer infrastructure, upgrading computer servers and communications networks where necessary to support IT operations (Ongoing)

Operate base IT systems, including servers and desktop equipment (Ongoing)

Perform manual data entry and coding for committees below the electronic filing threshold (Ongoing)

Operate IT help desk and perform IT training for FEC staff (Ongoing)

Maintain legacy IT programs and systems (Ongoing)

Provide IT support for the Disclosure and Compliance Programs (Ongoing)

Provide IT support for the Public Funding Program (Ongoing)

Costs

	Personnel	Non-Personnel	Total
FY 2004	$2,448,000	$3,360,500	$5,808,500
FY 2005	$2,551,700	$3,985,000	$6,536,700
FY 2006	$2,679,300	$4,066,500	$6,745,800
FY 2007	$2,813,000	$4,202,000	$7,015,000
FY 2008	$2,953,200	$4,281,000	$7,234,200