2009 REPORT TO CONGRESS

ON THE DISCLOSURE OF FINANCIAL INTEREST AND RECUSAL REQUIREMENTS FOR

REGIONAL FISHERY MANAGEMENT COUNCILS

AND

SCIENTIFIC AND STATISTICAL COMMITTEES

PURSUANT TO SECTION 302(j)(9) OF THE MAGNUSON-STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT

PREPARED BY U.S. DEPARTMENT OF COMMERCE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION NATIONAL MARINE FISHERIES SERVICE

2010





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A. Summary

Section 302(j)(9) of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) requires the Secretary of Commerce to submit an annual report to Congress beginning January 1, 2008, on action taken by the Secretary of Commerce (Secretary) and the Regional Fishery Management Councils (Councils) to implement the disclosure of financial interest and recusal requirements of the Magnuson-Stevens Act, including identification of any conflict of interest problems with respect to the Councils and Scientific and Statistical Committees (SSC) and recommendations for addressing any such problems. This is the third in the series of such reports. It assesses the efforts and action taken by the Secretary and the Councils in 2009 to meet the requirements of the reauthorized Magnuson-Stevens Act.

This report discusses the disclosure of financial interests by a voting member of a Council in accordance with Section 302(b)(2) of the Magnuson-Stevens Act, including individuals nominated for appointment by the Governor of a State, a voting member of a Council appointed in accordance with Section 302(b)(2) or 302(b)(5), and members of an SSC appointed under Section 302(g)(1)(A) as it pertains to reporting, filing, and recusal requirements. Further, this report discusses the actions being taken by the Secretary and the Councils to identify and address conflict of interest issues among the Councils and SSCs.

B. Background

Section 302(a) of the Magnuson-Stevens Act established eight Regional Fishery Management Councils: New England (NEFMC), Mid-Atlantic (MAFMC), South Atlantic (SAFMC), Gulf of Mexico (GMFMC), Caribbean (CFMC), Pacific (PFMC), North Pacific (NPFMC) and Western Pacific (WPFMC). Councils prepare and submit fishery management plans (FMPs) to the Secretary for fisheries under Council authority that require conservation and management, and any amendments necessary to manage the fisheries consistent with the Magnuson-Stevens Act.

In accordance with Section 302(b)(1) of the Magnuson-Stevens Act, voting members of each Council shall be: the principal state official with fishery management responsibility and expertise in each constituent state, who is designated by a governor; NOAA's National Marine Fisheries Service (NMFS) Regional Administrator for the geographic area concerned; public individuals appointed by the Secretary in accordance with Section 302(b)(2) from among nominees submitted by governors of the constituent states; and an individual appointed to the PFMC, from among nominees submitted from a list of tribal governments in accordance with Section 302(b)(5).

Section 302(g)(1) of the Magnuson-Stevens Act requires each Council to establish and appoint members to an SSC to provide the Councils ongoing scientific advice for fishery management decisions, including recommendations for acceptable biological catch, preventing overfishing, maximum sustainable yield, and achieving rebuilding targets, and reports on stock status and health, bycatch, habitat status, social and economic impacts of management measures, and sustainability of fishing practices. Members of the SSCs are federal and state employees, academicians, or independent experts with scientific or technical credentials and experience who

assist the Councils in the evaluation of biological and other scientific information on issues that come before the Council.

Section 302(j) of the Magnuson-Stevens Act requires that an individual nominated by the governor of a state for appointment as a voting member of a Council, appointed by the Secretary as a voting Council member, or appointed by a Council to an SSC must meet certain financial disclosure requirements.

C. Disclosure of Financial Interests and Recusal Requirements

In accordance with Section 302(j)(1) of the Magnuson-Stevens Act, the term "affected individual" means an individual who is nominated by the governor of a state for appointment as a voting member of a Council in accordance with subsection (b)(2); or who is a voting member of a Council appointed under subsection (b)(2); or under subsection (b)(5) who is not subject to disclosure and recusal requirements under the laws of an Indian tribal government. The reauthorization of the Magnuson-Stevens Act, at Section 302(g)(1)(D) also requires that each member of an SSC be treated as an "affected individual," but only for the purposes of paragraphs (2), (3)(B), (4), and (5)(A) of subsection (302)(j).

Disclosing Financial Interests: Reporting and Filing Requirements

Section 302(j)(2) of the Magnuson-Stevens Act requires "affected individuals" to disclose any financial interest held by the individual, the spouse, minor child, or partner of that individual; and any organization (other than the Council) in which that individual is serving as an officer, director, trustee, partner, or employee in any harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction, or with respect to an individual or organization with a financial interest in such activity. Disclosure of financial interests will also include stocks, ownership interest, or employment with any entity that engages in or that provides services to someone engaged in any harvesting, processing, lobbying, advocacy, or marketing activity.

Affected individuals must disclose any financial interests on NOAA Form 88-195, Statement of Financial Interests (see Appendix 1). Guidance on reporting and filing procedures for complying with financial disclosure requirements are found at 50 CFR 600.235.

An individual who is nominated by the governor of a state for appointment as a voting member of a Council and before appointment by the Secretary must file a Statement of Financial Interests with the Assistant Administrator for Fisheries by April 15, or, if nominated after March 15, 1 month after nomination by the governor.

An individual who is a voting member of a Council, appointed by the Secretary in accordance with Section 302(b)(2) or (b)(5) who is not subject to disclosure and recusal requirements under the laws of an Indian tribal government, must file a Statement of Financial Interests within 45

days of taking office with the executive director of the Council, must file an update with the executive director of the Council within 30 days of the time any such financial interest is acquired or substantially changed, and by February 1 of each year.

The executive director of the Council will provide copies of all Statements of Financial Interests to the NMFS Regional Administrator, the Regional Attorney who advises the Council, and NMFS' Office of Sustainable Fisheries. Statements of Financial Interests will be kept on file by each Council, made available on the Council internet site, be available for public inspection at the Council offices during reasonable hours, and be kept on file by NMFS on behalf of the Secretary for use in reviewing determinations under Section 302(j)(7).

An individual who is appointed to an SSC in accordance with Section 302(g)(1) of the Magnuson-Stevens Act must file a Statement of Financial Interests with the Assistant Administrator for Fisheries, on behalf of the Secretary, which will be kept on file by NMFS.

Voting Requirements and Financial Conflicts of Interest

An affected individual required to disclose a financial interest under 302(j)(2) of the Magnuson-Stevens Act shall not vote on a Council decision that would have a "significant and predictable effect" on a financial interest disclosed on his or her Statement of Financial Interests. In accordance with Section 302(j)(7) of the Magnuson-Stevens Act, a Council decision shall be considered to have a significant and predictable effect on a financial interest if there is a close causal link between the decision and an "expected and substantially disproportionate benefit" to the member's financial interest. An expected and substantially disproportionate benefit exists when an affected individual, or those whose interests are attributed to the affected individual:

- (a) has a greater than 10 percent interest in the total harvest or the sector of the fishery under consideration by the Council;
- (b) has a greater than 10 percent interest in the marketing or processing of the total harvest or the sector of the fishery under consideration by the Council; or
- (c) has full or partial ownership of more than 10 percent of the vessels using the same gear type within the fishery, or the sector of the fishery, under consideration by the Council.

Although an affected individual may not vote, he or she may participate in Council deliberations relating to the decision after notifying the Council of the voting recusal and identifying the financial interest that would be affected.

At the request of an affected individual, or at the initiative of an appropriate designated official, the designated official shall make a determination for the record as to whether a Council decision would have a "significant and predictable effect" on a financial interest. Within 10 days, any

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¹Designated official is a person with expertise in federal conflict-of-interest requirements who is designated by the Secretary, in consultation with the Council, to attend Council meetings and make determinations under paragraph 302(7)(B) of the Act. In practice, this has been the NOAA Regional Attorney who advises the Council.

Council member may submit a written request to the Secretary to review any determination made. The review of the determination must be completed within 30 days. Any affected individual who does not vote on a Council decision in accordance with Section 302(j)(7) may state for the record how he or she would have voted.

It is expected that SSC members maintain a high standard of conduct. Questions regarding conflict of interest requirements for SSC members should be addressed to the NOAA Regional Attorney who advises the Councils.

D. Magnuson-Stevens Act Amendments

The Magnuson-Stevens Act was reauthorized on January 12, 2007. The amendments below pertaining to the Disclosure of Financial Interest and Recusal Requirements include:

- (a) Section 302(j) of the Magnuson-Stevens Act has modified the definition of "financial interests" to include not only harvesting, processing, and marketing activity, but also lobbying and advocacy.
- (b) Section 302(j) of the Magnuson-Stevens Act has been modified to expand the definition of "affected individual" such that members of the SSCs appointed under Section 302(g)(1) be required to disclose financial interests in any harvesting, processing, lobbying, advocacy, or marketing activity, and such information be kept on file by the Secretary.
- (c) Section 302(j) of the Magnuson-Stevens Act has been modified such that the "Statements of Financial Interests" completed by voting members of the Councils that are appointed by the Secretary be made available on Council internet sites.

E. Action Taken by the Secretary and the Councils on the Disclosure of Financial Interest and Recusal Requirements

To gather information needed to meet the requirements of Section 302(j)(9) to provide this report, the Assistant Administrator for Fisheries requested that each NMFS Regional Administrator and Council provide the following information on efforts in 2009 to meet the requirements of the reauthorized Magnuson-Stevens Act:

(a) Action taken by each Council on implementing the requirements of the Magnuson-Stevens Act, including amendments to Section 302(g) Committees and Advisory Panels and Section 302(j) Disclosure of Financial Interest and Recusal. Following is a summary of each Council's actions.

The NEFMC continues to receive and make available to the public completed Statements of Financial Interests for Council members appointed by the Secretary. The Council has modified its Statement of Organization Practices and Procedures (SOPPS) to incorporate reauthorized Magnuson-Stevens language that addresses the role and use of the SSC. The Council requested

annual Statements of Financial Interests from their SSC members. In January 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. Statements of Financial Interests for voting council members appointed by the Secretary have been posted on the NEFMC's internet site.

The MAFMC continues to receive and make available to the public completed Statements of Financial Interests for Council members appointed by the Secretary. The Council has modified its SOPPs to incorporate reauthorized Magnuson-Stevens language that addresses the role and use of the SSC. The Council requested annual Statements of Financial Interests from their SSC members. In February 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. The MAFMC posted Statements of Financial Interests for voting council members appointed by the Secretary on its internet site.

The CFMC has modified its SOPPS to incorporate reauthorized Magnuson-Stevens language, and discussed the new requirements with both SSC and Council members. The Council requested annual Statements of Financial Interests from their SSC members. In March 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. Statements of Financial Interests for voting council members appointed by the Secretary have been posted on the CFMC's internet site and are available at each Council meeting.

The SAFMC requested annual Statements of Financial Interests from their SSC members. In February 2009, the SAFMC provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. Statements of Financial Interests for voting council members appointed by the Secretary have been posted on the SAFMC's internet site.

The GMFMC modified its SOPPs to include the new SSC requirements for conflict of interest disclosure. The Council requested annual Statements of Financial Interests from their SSC members. In January 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. The Council also made a revision to the SSC review process of stock assessments. Statements of Financial Interests for voting council members appointed by the Secretary have been posted on the GMFMC's internet site.

The PFMC requested annual Statements of Financial Interest from their SSC members. In 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. Statements of Financial Interests for voting council members appointed by the Secretary continue to be posted on the PFMC's internet site.

The NPFMC continues to receive and make available to the public completed Statements of Financial Interests for Council members appointed by the Secretary. The Council requested annual Statements of Financial Interests from their SSC members. In February 2009, the

Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. Although not a requirement, the NPFMC has also posted SSC Statements of Financial Interests on its internet site. Statements of Financial Interests for voting council members appointed by the Secretary have been posted on the NPFMC's internet site.

The WPFMC worked with NOAA General Counsel to include language in the Council SOPP to ensure the reauthorized Magnuson-Stevens Act requirements and recommendations from the General Accounting Office review were addressed relating to their role and responsibilities. The Council requested annual Statements of Financial Interests from their SSC members. In 2009, the Council provided completed Statements of Financial Interests for SSC members to the Assistant Administrator for Fisheries to be kept on file by NMFS. The WPFMC posted Statements of Financial Interests for voting council members appointed by the Secretary on its internet site.

(b) Documentation of any time a voting Council member recuses themselves from a vote, the reason given, and any other relevant circumstances, per 50 CFR 600.235(d).

The NEFMC, MAFMC, CFMC, SAFMC, GMFMC, and NPFMC indicate no recusals.

PFMC Meetings – At the Council's March 2009 meeting, Council member David Sones recused himself from voting on Motion 12 (recommend NMFS roll-over 18,211 mt from the original amount of tribal whiting into the non-Indian fleet), because he has a fishing interest in the Makah tribal whiting fishery. Council member Dale Myer recused himself from discussion and voting on Motion 29 (adopt as a final measure, whiting catcher vessel ownership limits in the mother ship sector of 20 percent and a usage limit in the mothership sector of 30 percent) because he is employed by an at-sea whiting company.

At the Council's April 2009 meeting, Council member Kathy Fosmark recused herself from voting on Motion 3 because of her spouse's financial interest in the fishery. Motion 3 passed on a roll call vote. Council member Dale Myer recused himself from voting on Motion 16 and its amendments concerning adoption of Amendment 21, because he is employed by an at-sea whiting company.

WPFMC Council Meetings – At the Council's October 2009 meeting, Council member Sean Martin recused himself from the vote on Amendment 20 regarding bigeye longline catch limits for American Samoa, Guam, and the Northern Mariana Islands (Territories). Amendment 20 relates to the establishment of management authority in U.S. Participating Territories, and it potentially would allow the ratification of a contract between the Hawaii Longline Association and the Territory of American Samoa. Mr. Martin disclosed his position as President of the Hawaii Longline Association and his interest in a number of Hawaii longline vessels that would be eligible to operate under the charter agreement pursuant to this contract.

(c) Documentation of any Council member requests for determination for the need for a recusal by that Council member, and the results of that determination, per 50 CFR 600.235(f)(1).

The NEFMC, MAFMC, CFMC, SAFMC, GMFMC, PFMC and WPFMC indicate no recusals.

NPFMC – Prior to the April 2009 Council meeting, Council member John Henderschedt requested a determination from NOAA General Counsel as to his status for voting on an FMP amendment being considered by the NPFMC at their April 2009 meeting. He was advised that he would have to recuse himself from voting on the FMP amendment based on his current employment. In light of this determination, he changed his employment to avoid any conflict of interest that triggered recusal and updated his Statement of Financial Interests to reflect his new employment. His new employment presented no recusal issues and Mr. Henderschedt was able to fully participate in the NPFMC's consideration of the FMP amendment.

(d) Documentation of any requests for determination on recusals of a Council member based on information provided to a designated official and the results of that determination, per 50 CFR 600.235(f)(3).

The NEFMC, MAFMC, CFMC, SAFMC, GMFMC, PFMC and WPFMC indicate no recusals.

NPFMC – Prior to the April 2009 Council meeting, NOAA General Counsel initiated a review of Council member Dave Benson's voting status relative to an FMP amendment being considered by the NPFMC at their April 2009 meeting. He was advised that he would have to recuse himself from voting on the FMP amendment based on his current employment. In light of this determination, he changed his employment to avoid any conflict of interest that triggered recusal and updated his Statement of Financial Interests to reflect his new employment. His new employment presented no recusal issues and Mr. Benson was able to fully participate in the NPFMC's consideration of the FMP amendment.

(e) Documentation of any requests for review of a determination and the results of that review per 50 CFR 600.235(g).

The Councils indicate no occurrences.

(f) Any circumstances where a Council member's Statement of Financial Interests, NOAA Form 88-195, was found to be in error, the circumstances relevant to the error, and its resolution.

The Councils indicate no occurrences.

(g) Any instances of Council member resignations or removal due to concerns about disclosure of financial interests or recusal and circumstances relevant to each occurrence.

The Councils indicate no occurrences.

(h) Any similar instances to the above regarding SSC members.

The Councils indicate no occurrences.

Action taken by the Secretary and the Councils

- (a) On behalf of the Secretary, NMFS modified the general provisions and instructions of the NOAA Form 88-195, Statement of Financial Interests, to: include disclosure of financial interests by SSC members, add lobbying and advocacy activity as types of financial interests that must be reported by affected individuals, and include the requirement that Statements completed by voting members of the Councils be posted on Council internet sites.
- (b) NMFS is in the process of revising NOAA Form 88-195, Statement of Financial Interests, required to be completed by council nominees, council members appointed by the Secretary, and members of the SSC. This will simplify the completion of the form and make the form more clear and comprehensible.
- (c) The Secretary continues to make NOAA Form 88-195, Statement of Financial Interests, available to the Councils for use by the SSCs and voting members of the Councils appointed by the Secretary in an electronically fillable format. Although the form is available in an electronically fillable format, SSCs and voting members of the Councils appointed by the Secretary are still required to sign and date the form.
- (d) The Councils continue to make Statements of Financial Interests for voting council members appointed by the Secretary available for public viewing at Council offices and at Council meetings at reasonable hours, and available on Council internet sites.
- (e) The Assistant Administrator for Fisheries, on behalf of the Secretary, continues to ensure Statements of Financial Interests are completed by SSC members and kept on file, ensure all Statements of Financial Interests completed by voting council members appointed by the Secretary are posted on Council internet sites, and copies forwarded to appropriate NMFS offices and the Office of General Counsel, Department of Commerce.
- (f) On June 30, 2009, NMFS published a proposed rule in the Federal Register proposing, among other things, regulatory changes to implement amendments to the reauthorized Magnuson-Stevens Act as it pertains to the Disclosure of Financial Interest and Recusal Requirements for Councils and SSCs. A separate proposed rule proposing additional changes was published on December 7, 2009. The proposed regulatory changes would implement changes made to Section 302(j) to include the addition of lobbying and advocacy as types of financial interest activities that must be reported, specify that SSC members be treated as affected individuals, implement the requirement that Statements of Financial Interests completed by appointed Council members be posted on Council internet sites, clarify the requirements and financial interest reporting by Council and SSC members, and provide general guidance on restrictions on direct or indirect lobbying by Council members. NMFS will publish a final rule after consideration of all comments on both proposed rules.

APPENDIX 1 – NOAA Form 88-195 - STATEMENT OF FINANCIAL INTERESTS

| STATEMENT OF FINANCIAL INTERESTS For Use By Voting Members of, and Nominees to, the Regional | 1) Name: | | |
|--|---|--|--|
| Fishery Management Councils, and Members of the Scientific and Statistical Committee (SSC) | 2) Council 3) Committee | | |
| 3) Position: | 4) Type of filing: | | |
| Member | Original filing [if nominee] | | |
| Nominee | Supplementary filing [if seated member] | | |
| | Annual filing [if seated member] | | |
| | Original filing {if SSC Committee member] | | |
| | Annual filing [if SSC Committee member] | | |

GENERAL PROVISIONS AND INSTRUCTIONS

Authority to Require this Information

Pursuant to Section 302(j) of the Magnuson-Stevens Fishery Conservation and Management (Magnuson-Stevens Act) and the financial disclosure regulations at 50 C.F.R. 600.235, you are required to disclose your financial interests if you are appointed by a Council as a member of a Scientific and Statistical Committee under Section 302(g)(1), or nominated by the Governor of a State for appointment as a voting member of a Regional Fishery Management Council (Council), or if you are a voting member of a Council appointed by the Secretary of Commerce under Section 302(b)(2) or (b)(5) of the Magnuson-Stevens Act.

Disclosure Requirements

On the attached form, list the financial interests held by yourself, your spouse, minor child, or partner, and any organization in which you are serving as an officer, director, trustee, general partner, or employee in any harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be undertaken within any fishery over which the Council concerned has jurisdiction, or with respect to an individual or organization with a financial interest in such activity. The nature of your participation in each financial interest, such as ownership (percentage), directorship, employee, or contractor, must be identified. The information to be listed does not require a showing of the amount of financial interest. You must include a description, the date acquired or begun, for each such activity, of the fisheries participated in, the product type produced, and, where applicable, the gear type utilized. For example, if you own a one-third interest in a fishing vessel, your response might be: ABC Co., 15 Main Street, Brunswick, Georgia 31521; 4/25/2000; commercial fishing vessel "Miss AMY," ID - 123456; South Atlantic swordfish fishery, fresh swordfish, rod and reel; one-third ownership; John and Mary Doe (self and spouse). In the event any of the required information, including holdings placed in trust, is not known to you but is known to another person, you should request that other person to submit the information on your behalf and should report such request in the section titled "Information Requested of Other Persons."

Requests for Assistance or Additional Information

Refer to the financial disclosure regulations at 50 C.F.R. 600.235 for additional information. If you have any questions regarding the Statement of Financial Interests or related issues, please contact the Office of the Assistant General Counsel for Administration, U.S. Department of Commerce, 14th and Constitution Avenue, N.W., Room 5882, Washington, D.C. 20230, or telephone (202) 482-5384.

Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this collection of information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3rd Floor, Silver Spring, Maryland 20910.

Public Access to Information

The Statement completed by voting members of the Regional Fishery Management Councils will be retained by the Council, made available on the Council Internet Site, and made available for public review at reasonable hours at the Council Office, and at each public hearing or public meeting.

| FINANCIAL INTERESTS DISCLOSURE NOTE: If you have no applicable financial interests to disclose, write NONE in the section below. | | | | |
|---|------------------------------|-----------------------|--|--|
| Company/Organization and Address Date acquired or begun: | Type of Company/Organization | Nature of Interest: | | |
| | | In Whose Name Held: | | |
| Fisheries/Species Participated In | Gear Type Utilized | Product Type Produced | | |
| | | | | |
| | | | | |
| | | | | |
| Company/Organization and Address Date acquired or begun: | Type of Company/Organization | Nature of Interest: | | |
| | | In Whose Name Held: | | |
| Fisheries/Species Participated In | Gear Type Utilized | Product Type Produced | | |
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| Company/Organization and Address Date acquired or begun: | Type of Company/Organization | Nature of Interest: | | |
| | | In Whose Name Held: | | |
| Fisheries/Species Participated In | Gear Type Utilized | Product Type Produced | | |
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NOTE: If none, write NONE in the section above.
PLEASE WRITE OR PRINT YOUR NAME, COUNCIL, OR COMMITTEE BELOW:

NAME: COUNCIL/COMMITTEE:

Information Requested of Other Persons. If any information is to be supplied by other persons, e.g., trustee, attorney, accountant, or relative, please indicate the name and address of such persons, the date upon which you requested that the information be supplied and the nature of the subject matter involved.

| Name and Address | Date of Request | Nature of Subject Matter |
|------------------|-----------------|--------------------------|
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NOTE: If none, write NONE in the section above.

Certification

I certify that the statements I have made are true complete and correct to the best of my knowledge and belief. I understand that if during the period of my appointment, I undertake new employment, I must promptly file an amended statement, and I must also report any new financial interests acquired during this period. I also certify that I am currently familiar with the statutes, regulations, and policies governing my responsibilities and conduct as applied to the duties I am assigned.

NOTE: PLEASE SIGN AND DATE THIS FORM, BELOW:

| Signed: | | | |
|---------|--|--|--|
| | | | |
| Dated: | | | |

APPENDIX 2 – REGIONAL FISHERY MANAGEMENT COUNCILS

| | 1 |
|---|--|
| New England Fishery Management Council (Constituent States: CT, MA, ME, NH, RI) http://www.nefmc.org Paul J. Howard, Executive Director 50 Water Street, Mill 2 Newburyport, Massachusetts 01950 Ph: 978-465-0492/Fax: 978-465-3116 | Mid-Atlantic Fishery Management Council (Constituent States: DE,MD,NC,NJ,NY,PA,VA) http://www.mafmc.org Daniel T. Furlong, Executive Director 800 North State Street, Suite 201 Dover, Delaware 19901 Ph: 302-674-2331/Fax: 302-674-5399 |
| South Atlantic Fishery Management Council (Constituent States: FL, GA, NC, SC) http://www.safmc.net Robert K. Mahood, Executive Director 4055 Faber Place Drive, Suite 201 N. Charleston South Carolina 29405 Ph: 843-571-4366/Toll Free: 866-723-6210 Fax: 843-769-4520 | Caribbean Fishery Management Council (Constituent States: PR, VI) http://www.caribbeanfmc.com Miguel A. Rolón, Executive Director 268 Muñoz Rivera Ave., Suite 1108 San Juan, Puerto Rico 00918 Ph: 787-766-5926/Fax: 787-766-6239 |
| Gulf of Mexico Fishery Management Council (Constituent States: AL, FL, LA, MS, TX) http://www.gulfcouncil.org Stephen Bortone, Executive Director 2203 N. Lois Avenue, Suite 1100 Tampa, Florida 33607 Ph: 813-348-1630/Toll-Free 888-833-1844 Fax: 813-348-1711 | Pacific Fishery Management Council (Constituent States: CA, ID, OR, WA) http://www.pcouncil.org Donald O. McIsaac, Executive Director 7700 NE Ambassador Place, Suite 101 Portland, Oregon 97220 Ph: 503-820-2280/Toll Free: 866-806-7204 Fax: 503-820-2299 |
| North Pacific Fishery Management Council (Constituent States: AK, WA, OR) http://www.fakr.noaa.gov/npfmc Chris W. Oliver, Executive Director 605 West 4 th Avenue, Suite 306 Anchorage, Alaska 99501 Ph: 907-271-2809/Fax: 907-271-2817 | Western Pacific Fishery Management Council (Constituent States: AS, GU, HI, CNMI) http://www.wpcouncil.org Kitty M. Simonds, Executive Director 1164 Bishop Street, Suite 1400 Honolulu, Hawaii 96813 Ph: 808-522-8220/Fax: 808-522-8226 |