

U.S. Department of Commerce
NOAA/National Marine Fisheries Service
Northeast Region Permit Office
55 Great Republic Drive
Gloucester, MA 01930
Tel: (978) 282-8438



Initial Application for a Federal Limited Access Atlantic Mackerel Permit

Section 1 - Write in Owner, Contact, and Vessel Information	
Owner name	Name of Vessel
Mailing address	Vessel Permit Number
City, State	USCG Documentation / State Registration
Zip Code	Phone Number

Please write in the vessel's current baseline specifications below and attach the appropriate documentation to verify length overall, gross registered tons (GRT), net tons (NT), horsepower. Applicants do not need to provide **Fish hold volume** with this initial mackerel application, but if you are issued a Tier 1 or Tier 2 limited access mackerel permit, you must submit a fish hold volume certification by December 31, 2012. If you submit a vessel replacement application prior to that date, you must submit a hold certification with the replacement application. Further information on these documentation requirements is provided on page 3 of this application. Instead of GRT and NT information, you may submit verification of vessel breadth and depth, and length overall. This information will then be used to calculate GRT and NT using the simplified calculation method.

Length Overall (ft)	Gross Registered Tons	Net Tons
Horsepower	Fish Hold Volume (optional at this time, but required by 12/31/2012 of Tier 1 or 2)	

Section 2A - Atlantic Mackerel Categories and Gears

Check the permit category you'd like to apply for (you can check more than one):

- Tier 1 Tier 2 Tier 3

A vessel can be issued only one category. If your vessel is found eligible for more than one category, you will be issued the highest qualifying category. Tier 1 is the highest category, followed by Tier 2, with Tier 3 being the lowest. Please read the enclosed permit holder letter for information regarding eligibility criteria for each category.

Section 2B – Check off one or more gears to be used with the Atlantic mackerel permit

<input type="checkbox"/> 120 –Purse Seine	<input type="checkbox"/> 210 - Beach Seine	<input type="checkbox"/> 220 - Boat Seine	<input type="checkbox"/> 310 - Bottom Trawl
<input type="checkbox"/> 320 –Midwater Trawl	<input type="checkbox"/> 330 – Other Trawls	<input type="checkbox"/> 410 – Dredge	<input type="checkbox"/> 700 – Gill/Entangling Nets
<input type="checkbox"/> 800 –Pots and Traps	<input type="checkbox"/> 911 - Handlines	<input type="checkbox"/> 912 - Rod and Reel	<input type="checkbox"/> 913 - Tub Trawl
<input type="checkbox"/> 920 – Longlines/Setlines	<input type="checkbox"/> 1010 - Harpoons	<input type="checkbox"/> 1200 – Other Gears	<input type="checkbox"/> 1400 – Diving Gear

Please sign and date the back of this form.

Initial Application for a Federal Limited Access Atlantic Mackerel Permit – page 2
Additional instructions are contained on page 3

If you have already renewed the vessel’s permit(s) for the 2011 permit year:

1. Complete all sections and return this application to the address on the front of this form.
2. Provide information to document the vessel’s baseline specifications.

If your vessel is replacing another qualifying vessel, you must:

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the Application for Vessel Upgrade, Replacement, and Confirmation of Permit History form and return it along with your application
3. Complete the vessel ownership form and return it along with your application
4. Include a copy of the vessel’s unexpired Coast Guard Documentation or State Registration.
5. Provide information to document the vessel’s baseline specifications.

Confirmation of Permit History (CPH) - If your vessel has sunk, been destroyed, or sold to another person without its permit history, and you wish to retain your mackerel eligibility until you can place it on another vessel, you may apply for a CPH using the following instructions:

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the Application for Vessel Upgrade, Replacement, and Confirmation of Permit History form and return it along with your application
3. Complete the vessel ownership form and return it along with your application
4. To verify that you have retained the permit history of a vessel you no longer own, please provide a bill of sale or document stating that the permit history has been retained by you (the seller) and signed by you and the buyer.
5. To verify that the vessel has been destroyed or is no longer seaworthy, please provide one of the following:
 - a. Coast Guard accident report;
 - b. Insurance adjustor’s report; or
 - c. Verification from certified marine surveyor that vessel is no longer seaworthy.

Section 3 – Vessel Status	Section 4 – Applicant’s Name and Signature
<input type="checkbox"/> Applying using fishing history retained from another vessel <input type="checkbox"/> No Longer Own Vessel <input type="checkbox"/> Vessel Sold <input type="checkbox"/> Vessel Sunk or Destroyed See CPH instructions above for more information	<p>I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1 above. I affirm, subject to the penalties provided in 18 USC 1001, that all information that I have given in obtaining this permit is true and correct.</p> <p>Print Name: _____</p> <p>Signature: _____ Date: _____</p>

PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to the Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, 55 Great Republic Drive, Gloucester, MA 01930. All landings and catch data, and any other information protected under federal law, will be kept confidential. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Any information submitted on this NOAA approved permit application form, which is not otherwise protected under federal law, may be disclosed upon request. To avoid a delay in processing, please verify and complete all sections and include all information requested on this form.

OMB CONTROL NO.: 0648-0601 Expires: 11/30/2014

How to complete the limited access Atlantic mackerel application

SECTION 1: VESSEL/OWNER INFORMATION:

Enter current address and contact information for vessel owner.

Enter vessel name, federal permit number (if it exists), and USCG Documentation or State Registration.

The vessel's baseline refers to those specifications from which any future vessel size change is measured and is based on the specifications of the vessel that is initially issued a limited access permit as of the date that the vessel applied for such a permit.

Vessel specification documentation (Please Note: All documentation must include the name and documentation number of the vessel)

For all vessels, you must verify total engine horsepower by providing one of the following:

- Marine survey documentation showing the name and location of the marine surveyor;
- Mechanic certification on the mechanic's letterhead; or
- Engine manufacturer specification and proof that the engine is installed on your vessel.

For Coast Guard documented vessels, you must provide a copy of the documentation papers. By submitting this documentation, you confirm that the vessel length overall is correct as indicated on the CG documentation. If it is not, please submit additional documentation to confirm the length overall of the vessel.

For State registered vessels, you must verify length overall, gross registered tonnage, and net tonnage by providing one of the following:

- Marine survey documentation showing the name and location of the marine surveyor (survey may indicate either gross and net tons or provide information on the vessel's breadth and depth (not draft), and length overall); or
- US Bureau of Shipping document.

Applicants do not need to provide **Fish hold volume** with this initial mackerel application, but this measurement will be required by December 31, 2012. If not provided with this initial application, the applicant must provide a copy of a fish hold measurement from a Certified Marine Surveyor with a fishing specialty by the National Association of Marine Surveyors (NAMS) or from an Accredited Marine Surveyor with a fishing specialty by the Society of Accredited Marine Surveyors (SAMS).

SECTION 2: FISHERY INFORMATION

Gear Codes: Select one or multiple gear codes from those listed. You must select at least one.

SECTION 3: VESSEL STATUS

If the vessel named on the application is different than the one that created the mackerel fishing history, please check one of the options here.

SECTION 4: OWNER'S SIGNATURE

IMPORTANT: Please sign the form. Unsigned or incomplete applications will be returned.