



EFS-Web

Quick Start Guide

SUPPLEMENTAL EXAMINATION



Contents

1. Introduction	3 -
2. Basic Guidelines for Filing a Request for Supplemental Examination	3 -
3. Filing a Supplemental Examination	4 -
3.1 Signing-On to EFS-Web	4 -
3.1.1 Registered eFiler	4 -
3.1.2 Unregistered eFiler	6 -
3.2.1 Attach Documents	10 -
3.2.2 Review Documents	12 -
3.2.3 Calculate Fees	13 -
3.2.4 Confirm and Submit	14 -
3.2.5 Pay Fees	15 -
3.2.6 Acknowledgement Receipt	16 -
4. Supplemental Examination Follow-On Submission	16 -
4.1 Attach Documents	17 -
4.2 Calculate Fees	18 -
4.3 Confirm and Submit	18 -
5. Reexamination Based on Supplemental Examination Follow-On Submission	19 -
5.1 Attach Documents	20 -
5.2 Calculate Fees	21 -
5.3 Confirm and Submit	21 -

1. Introduction

This guide is for Supplemental Examination. For general information on submitting applications electronically via EFS-Web please review the EFS-Web Quick Start Guide located at <http://www.uspto.gov/patents/process/file/efs/guidance/EFSS-WebQuickStartGuide.pdf>

35 U.S.C. 257 provides for supplemental examination of an issued patent. A request for supplemental examination must be filed by the patent owner. A patent owner may request supplemental examination to “consider, reconsider, or correct information believed to be relevant to the patent, in accordance with such requirements as the Director may establish”. The “information” that forms the basis of the request is not limited to patents and printed publications, and may include other issues, such as, e.g., issues under 35 U.S.C. 101. The standard for granting the request is whether one or more of the items of information properly submitted as part of the request raises a substantial new question of patentability (SNQ). An item of information raises a substantial new question of patentability if the Office determines that there is a substantial likelihood that a reasonable examiner would consider the item of information important in determining patentability. The request will be entitled to a filing date if the request meets all applicable requirements. Within 3 months after the filing date of a request meeting all applicable requirements, the Office will conduct supplemental examination and will conclude the examination by issuing a certificate indicating whether the information presented in the request raises an SNQ. If an SNQ is raised by one or more items of information properly submitted as part of the request, reexamination will be ordered, and will be conducted according to *ex parte* reexamination procedures (except patent owner will not have the right to file a statement pursuant to 35 U.S.C. 304). This provision is effective September 16, 2012, and will apply to any patent issued before, on, or after the effective date (retrospective effect).

The resource page for Supplemental Examination can be located at:
http://www.uspto.gov/aia_implementation/patents.jsp#heading-9

This Quick Start Guide will provide you with the information you need in order to rapidly understand how to file a request for supplemental examination, including a brief overview of the process and screen shots that identify critical aspects of the submission.

A more comprehensive guide on how to use EFS-Web can be found at the EFS-Web Guidance and Resources page located at: <http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp>

2. Basic Guidelines for Filing a Request for Supplemental Examination

- You must be able to access EFS-Web.
- The radio button “Supplemental Examination (of Utility, Design, Plant, or Reissue)” **must** be selected.

- You can be either a Registered or Unregistered eFiler.
- The “Save for Later” feature as a Registered eFiler is currently **not** available and will be added in the future.
- Only the owner(s) of the entire right, title, and interest in the patent may file a request for supplemental examination.
- A patent owner may request supplemental examination under 35 U.S.C. 257 of any utility, design, plant, or reissue patent.
- Consult the current fee schedule available at <http://www.uspto.gov/about/offices/cfo/finance/fees.jsp> for the correct fee amounts. The request for supplemental examination must be accompanied by the following fees: (1) \$5,140.00 for processing and treating a request for supplemental examination; (2) \$16,120.00 for an *ex parte* reexamination ordered as a result of a supplemental examination proceeding; and (3) any applicable document size fees. The document size fees apply to any non-patent documents over 20 pages in length that are submitted in a supplemental examination proceeding. The document size fees include (1) \$170.00 for each non-patent document having 21- 50 pages; and (2) \$280.00 for each additional 50 page increment or a fraction thereof.
- If a request for supplemental examination has been submitted but a required component has been omitted, the omitted component can be submitted as a follow-on paper on the same day as the original submission. Requests for supplemental examination that are not compliant with all applicable requirements on the day they are submitted will not be entitled to a filing date.
- Any party other than the patent owner, i.e., any third party, may **not** file a request for supplemental examination. 37 CFR 1.601(b).

Warning: EFS-Web sessions will time-out after one hour of inactivity. A Supplemental Examination that has not been submitted after one hour of inactivity will be lost. You will need to login to EFS-Web again and re-enter the information from the start.

3. Filing a Supplemental Examination

3.1 Signing-On to EFS-Web

You have the option of signing-on to EFS-Web as either a Registered or Unregistered eFiler.

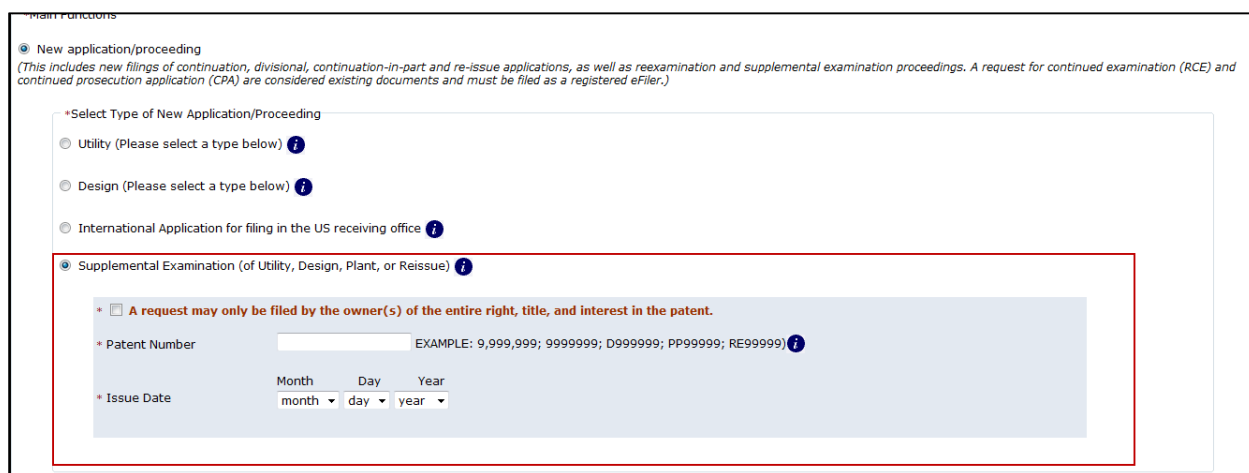
3.1.1 Registered eFiler

Once you have signed-on to EFS-Web as a Registered eFiler, you will need to certify that you are the certificate holder or working under the authority of the certificate holder. Registered eFilers who authenticate **cannot** save “In-progress” submissions and return to edit them.



To submit a supplemental examination submission –select the radio button “**New Application/Proceeding**”. The Web screen will expand to display additional options. Select the radio button located next to “**Supplemental Examination (of Utility, Design, Plant, or Reissue)**” and enter the Patent Number and Issue Date.

Note: In order to proceed, you **must** select the checkbox to acknowledge that the request is filed by the owner(s) of the entire right, title, and interest in the patent. Only the owner(s) of the entire right, title, and interest in the patent may file a request for supplemental examination. See 37 CFR 1.601(a). The request must include an identification of the owner(s) of the entire right, title, and interest, and a submission under 37 CFR 3.73(c) establishing the entirety of the ownership in the patent. If the patent is owned by more than one party, and the request is filed by less than all of the joint owners of the entire right, title, and interest in the patent, the request may not be entitled to a filing date. A third party, i.e., a party who is not a patent owner, may not file a request for supplemental examination.



If you do not select the check box acknowledging that the request is being filed by the owner(s) of the entire right, title, and interest in the patent, you will receive the following error:

Supplemental Examination (of Utility, Design, Plant, or Reissue) ?

A request may only be filed by the owner(s) of the entire right, title, and interest in the patent. In order to proceed, you must select the checkbox to acknowledge that the request is filed by the owner(s) of the entire right, title, and interest in the patent. Only the owner(s) of the entire right, title, and interest in the patent may file a request for supplemental examination. 37 CFR 1.601(a). The request must include an identification of the owner(s) of the entire right, title, and interest, and a submission under 37 CFR 3.73(c) establishing the entirety of the ownership in the patent. **If the patent is owned by more than one party, and the request is filed by less than all of the joint owners of the entire right, title, and interest in the patent, the request may not be entitled to a filing date.** A third party, i.e., a party who is not a patent owner, may not file a request for supplemental examination. 37 CFR 1.601 (b).

* Patent Number EXAMPLE: 9,999,999; 9999999; D999999; PP99999; RE99999 ?

* Issue Date
 Month Day Year
 Sep 26 2000

Existing application/patent/proceeding ?
 Express Filing (Zip submission) ?
 My Workplace

[Privacy Policy](#)

The patent number and issue date must be correct. The issue date can be obtained by looking up the patent number in the Public Patent Application Information Retrieval (PAIR) System located at <http://portal.uspto.gov/external/portal/pair> and viewing the Bibliographic Data. If the system detects that the patent number entered does not have an active patent status you will receive a warning message; however, you will still be able to proceed. You may confirm the status of the patent in the PAIR system.

Next, to enter the data for Supplemental Examination click on the “**Continue**” button.

Note: At any time before you Submit, you may click the “**Cancel**” button at the bottom of page to - restart the submission. By canceling the submission, any data entered will be lost and cannot be - retrieved. -

3.1.2 Unregistered eFiler

Once you have signed-on to EFS-Web as an Unregistered efiler, you will need to enter your last name, first name and e-mail address.

To submit a supplemental examination submission, select the “**New Application/Proceeding**” radio button. The Web screen will expand to display additional options. Select the radio button located next to “**Supplemental Examination**” and enter the patent number and issue date. The issue date can be obtained by looking up the application number in the Public Patent Application Information Retrieval (PAIR) System located at <http://portal.uspto.gov/external/portal/pair> and viewing the Bibliographic Data.

Sign-on as an UNREGISTERED eFILER

* e-Filer Last Name:

* e-Filer First Name:

* e-Filer E-Mail Address:

*Main Functions

New application/proceeding
(This includes new filings of continuation, divisional, continuation-in-part and reissue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)

*Select Type of New Application/Proceeding

Utility (Please select a type below) [?](#)

Design (Please select a type below) [?](#)

International Application for filing in the US receiving office [?](#)

Supplemental Examination (of Utility, Design, Plant, or Reissue) [?](#)

A request may only be filed by the owner(s) of the entire right, title, and interest in the patent.

* Patent Number (EXAMPLE: 9,999,999; 9999999; D999999; PP99999; RE99999) [?](#)

* Issue Date

Month	Day	Year
Sep	26	2000

Existing application/patent [?](#)

[Privacy Policy](#)

Note: In order to proceed, you **must** select the checkbox to acknowledge that the request is filed by the owner(s) of the entire right, title, and interest in the patent. Only the owner(s) of the entire right, title, and interest in the patent may file a request for supplemental examination. 37 CFR 1.601(a). The request must include an identification of the owner(s) of the entire right, title, and interest, and a submission under 37 CFR 3.73(c) establishing the entirety of the ownership in the patent. If the patent is owned by more than one party, and the request is filed by less than all of the joint owners of the entire right, title, and interest in the patent, the request may not be entitled to a filing date. A third party, i.e., a party who is not a patent owner, may not file a request for supplemental examination.

*Main Functions

New application/proceeding
(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)

*Select Type of New Application/Proceeding

Utility (Please select a type below) [?](#)

Design (Please select a type below) [?](#)

International Application for filing in the US receiving office [?](#)

Supplemental Examination (of Utility, Design, Plant, or Reissue) [?](#)

A request may only be filed by the owner(s) of the entire right, title, and interest in the patent.

* Patent Number (EXAMPLE: 9,999,999; 9999999; D999999; PP99999; RE99999) [?](#)

* Issue Date

Month	Day	Year
month	day	year

If you do not select the check box acknowledging that the request is being filed by the owner(s) of the entire right, title, and interest in the patent, you will receive the following error:

Supplemental Examination (of Utility, Design, Plant, or Reissue) ⓘ

A request may only be filed by the owner(s) of the entire right, title, and interest in the patent.
 In order to proceed, you must select the checkbox to acknowledge that the request is filed by the owner(s) of the entire right, title, and interest in the patent. Only the owner(s) of the entire right, title, and interest in the patent may file a request for supplemental examination. 37 CFR 1.601(a). The request must include an identification of the owner(s) of the entire right, title, and interest, and a submission under 37 CFR 3.73(c) establishing the entirety of the ownership in the patent. **If the patent is owned by more than one party, and the request is filed by less than all of the joint owners of the entire right, title, and interest in the patent, the request may not be entitled to a filing date.** A third party, i.e., a party who is not a patent owner, may not file a request for supplemental examination. 37 CFR 1.601 (b).

* Patent Number 99999999 (EXAMPLE: 9,999,999; 9999999; D999999; PP99999; RE99999) ⓘ

* Issue Date Month Day Year
 month day year
 Invalid Date

Existing application/patent ⓘ

Privacy Policy Cancel Continue

The patent number and issue date must be correct. The issue date can be obtained by looking up the patent number in the Public Patent Application Information Retrieval (PAIR) System located at <http://portal.uspto.gov/external/portal/pair> and viewing the Bibliographic Data. If the system detects that the patent number entered does not have an active patent status you will receive a warning message; however, you will still be able to proceed. You may confirm the status of the patent in the PAIR system.

Next, to enter the data for Supplemental Examination click on the “Continue” button.

Note: At any time before you Submit, you may click the “Cancel” button at the bottom of page to - restart the submission. By canceling the submission, any data entered will be lost and cannot be - retrieved. -

3.2 Entering Data for a Supplemental Examination

On the “Application Data” screen, the details of the patent will be displayed at the top of the page.

Application Data	
A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.	
All items denoted by * are required.	
Patent Number	99999999
Title of Invention	CATALYTIC
Filed By	Joe Inventor
Application Type	Supplemental Examination

In the Correspondence Address section, enter a customer number for correspondence or provide an address where the USPTO should send correspondence for this application. This address should be identical to the official customer number and/or the correspondence address of the patent file. You **must** select the checkbox in order to proceed.

Unregistered eFilers can type in the customer number or address. Registered eFilers can either select from the customer number drop-down list, type in the customer number, or type in the correspondence address.

Unregistered eFiler:

Correspondence Address:

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.
 The correspondence address must match the official correspondence address in the patent file displayed below or patent owner must first file a change of correspondence address in the patent file.
 Required field cannot be empty.

Customer Number or Correspondence Address: 02470
 Clements PLLC
 1901 Rox Road
 Suite 25
 Charlotte NC 28211 US

Customer Number * Please enter a valid Customer Number
 OR

Correspondence Address

[Privacy Policy](#)

Registered eFiler: -

Correspondence Address:

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.
 The correspondence address must match the official correspondence address in the patent file displayed below or patent owner must first file a change of correspondence address in the patent file.
 Required field cannot be empty.

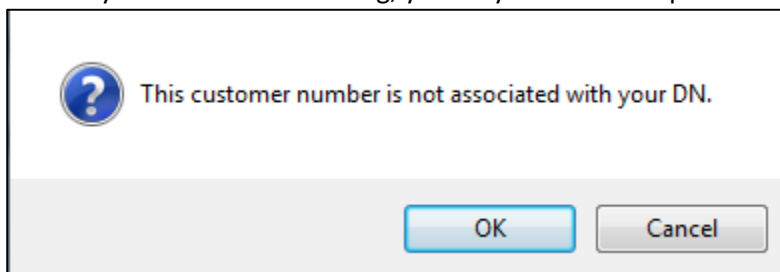
Customer Number or Correspondence Address: 02470
 Clements PLLC
 1901 Rox Road
 Suite 25
 Charlotte NC 28211 US

Customer Number Please select a valid Customer Number * Please enter a valid Customer Number
 OR

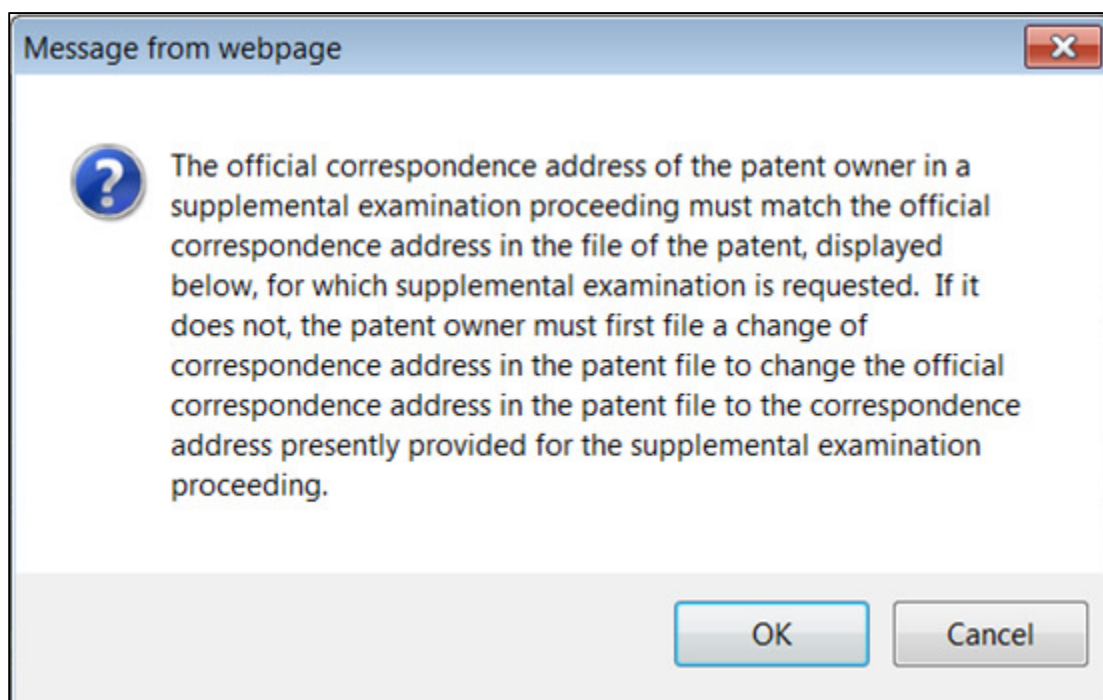
Correspondence Address

[Privacy Policy](#)

Registered eFilers will receive a pop-up warning message if the official customer number of the patent is not shown in the drop-down list and the customer number is entered in the provided customer number field. If you receive this warning, you may click “OK” to proceed.



If the entered customer number does not match the customer number of the patent, you will receive a warning message indicating that you must first file a change of correspondence address in the patent file to change the official correspondence address so that it matches the provided address presently provided for the supplemental examination proceeding.



3.2.1 Attach Documents

On the “**Attach Documents**” screen, you must upload all of the documents to be submitted in the supplemental examination proceeding. The required documents are listed below.

- (1) An identification of the number of the patent for which supplemental examination is requested.
- (2) A list of the items of information that are requested to be considered, reconsidered, or corrected. Where appropriate, the list must meet the requirements of 37 CFR 1.98(b).
- (3) A list identifying any other prior or concurrent post-patent Office proceedings involving the patent for which supplemental examination is being requested, including an identification of the type of proceeding, the identifying number of any such proceeding (e.g., a control number or reissue application number), and the filing date of any such proceeding.
- (4) An identification of each claim of the patent for which supplemental examination is requested.
- (5) A separate, detailed explanation of the relevance and manner of applying each item of information to each claim of the patent for which supplemental examination is requested.
- (6) A copy of the patent for which supplemental examination is requested and a copy of any disclaimer or certificate issued for the patent.

- (7) A copy of each item of information listed in (2), accompanied by a written English translation of all of the necessary and pertinent parts of any non-English language item of information. The patent owner is not required to submit copies of items of information that form part of the discussion within the body of the request as specified in 37 CFR 1.605(b), or copies of U.S. patents and U.S. patent application publications.
- (8) A summary of the relevant portions of any submitted document, other than the request, that is over 50 pages in length. The summary must include citations to the particular pages containing the relevant portions.
- (9) An identification of the owner(s) of the entire right, title, and interest in the patent requested to be examined, and a submission by the patent owner in compliance with 37 CFR 3.73(c) establishing the entirety of the ownership in the patent requested to be examined.
- (c) The request may also include:
 - (1) A cover sheet itemizing each component submitted as part of the request;
 - (2) A table of contents for the request;
 - (3) An explanation of how the claims patentably distinguish over the items of information; and
 - (4) An explanation of why each item of information submitted with the request does or does not raise a substantial new question of patentability.

Step 1: Click on the “**Browse**” button to search your computer for the document to be uploaded.

Step 2: Once the document is uploaded, you will need to index it correctly. From the “Document Description” drop down menu, select the correct document description for the document being filed. The optional “Category” drop down menu can be used to display only document descriptions that pertain to the selected category.

The screenshot shows the EFS Registered web interface. At the top, there is a navigation menu with buttons for 'Registered', 'Please Read Announcements', 'Application Data', 'Attach Documents', 'Review Documents', 'Calculate Fees', 'Confirm & Submit', 'Pay Fees', and 'Receipt'. Below the menu is the 'Attach Documents' section, which includes a warning: 'A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.' This is followed by application data fields: Patent Number (9999999), Title of Invention (CATALYTIC), Customer Number or Correspondence Address (59), and Filed By (Joe Inventor). Below this is the 'Supplemental Examination Request' section, which contains a table for 'Files to be Submitted'. The table has three columns: 'Files to be Submitted', 'Category', and 'Document Description'. The first row shows a file with a 'Browse' button, a 'View All Categories' dropdown, and a 'Delete' button. Below the table is an 'Add File' button. At the bottom of the interface, there is a footer with a 'Privacy Policy' link and buttons for 'Cancel', 'Upload & Validate', and 'Review'. A note at the bottom states: 'Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to pay with a credit card should instead utilize the on-line payment method available through EFS-Web.' Below the footer, it says 'Please Upload & Validate before Review'.

To view the full Document Description list, please visit our website at http://www.uspto.gov/ebc/portal/efs/efsw eb_document_descriptions.xls .

The screenshot displays the EFS Registered application interface. At the top, a navigation bar includes buttons for Registered eFilers, Please Read Announcements, Application Data, Attach Documents, Review Documents, Calculate Fees, Confirm & Submit, Pay Fees, and Receipt. The main content area is divided into several sections:

- Attach Documents:** A warning states, "A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the document evidence of this submission." Below this, it says, "This is the application data associated with your filing. If any information is incorrect, please edit the" followed by a list of application details: Patent Number (9999999), Title of Invention (CATALYTIC), Customer Number or Correspondence Address (59), Filed By (Joe Inventor), Attorney Docket Number, and Application Type (Supplemental Examination).
- Supplemental Examination Request:** A section with a header and a sub-header "Files to be Submitted". It includes a "Browse..." button, a "View All Categories" dropdown menu, and a question: "Does your PDF file contain multiple documents?" with radio buttons for "Yes" and "No".
- Document List:** A scrollable list of document categories on the right side, including: 3D Protein Crystals (Text File), Assignee showing of ownership per 37 CFR 3.73(b), CRF Statement Paper and CRF are the same, Change of Address, Computer Listing (text file), Copy of Sales Receipt or Invoice - Supp Exam, Copy of patent for which supp exam is requested, Court Document - Supp Exam, Declaration or Affidavit - Supp Exam, Drawings, Drawings-other than black and white line drawings, Electronic Record Correction, Fee Worksheet (PTO-06), Foreign Reference, List Prior or Concurrent Proceedings - Supp Exam, List of Items of Information - Supp Exam, List-up to 10 attys appointed in a POA, Mathematical Formulae (Text File), Misc Item of Information, Miscellaneous Incoming Letter, Non Patent Literature, Petition in Supplemental Examination, Petition to withdraw attorney or agent (SB83), Power of Attorney (may include Associate POA), Power to Make Copies and/or Inspect, Receipt of Corrected Supp Exam Request, Receipt of Orig. Supp Exam Request, Refund Request, Request for Certificate of Correction, and Sequence Listing.

At the bottom of the interface, there are buttons for "Cancel", "Upload & Validate", and "Review". A "Privacy Policy" link is also present. A footer note reads: "Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to pay with a credit card should instead utilize the on-line payment method available through EFS-Web." A "Please Upload & Validate before Review" message is displayed at the very bottom.

Once the appropriate selections have been made, click on the “**Upload & Validate**” button. You will need to repeat Steps 1 and 2 for each document to be uploaded. Click on the “**Review**” button after all documents have been uploaded.

3.2.2 Review Documents

On the “**Review Documents**” screen, confirm that all of the necessary documents uploaded properly. If you receive any validation errors you will not be able to proceed to the next screen until the errors are fixed. However, you will be able to proceed to the next screen if you receive any validation warnings.

Once you have uploaded all of the necessary documents for your submission and there are no validation errors, click on the “**Continue**” button to advance to the next screen.

EF5 Unregistered

Unregistered efilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Review Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your filing. If any information is incorrect, please edit the [Application Data](#)

Patent Number: 9999999
 Title of Invention: CATALYTIC HYDROGENATION TO REMOVE GAS FROM X-RAY TUBE COOLING OIL
 Customer Number or Correspondence Address: 59
 Filed By: Joe Inventor
 Attorney Docket Number:
 Application Type: Supplemental Examination

To Review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size	
PASS	permitting_access.pdf	NO	Transmittal of New Application	11	550383 bytes	Edit Remove

◆ No validation errors found.

[Privacy Policy](#) | [Cancel](#) | [Attach Files](#) | [Revalidate](#) | [Continue](#)

◆ No Error(s) ▲ Warning(s) ▼ Error(s) found

3.2.3 Calculate Fees

On the “**Calculate Fees**” screen, the fees for supplemental examination will be displayed. Select the appropriate fee boxes and then click on the “**Calculate**” button to add up the selected fees.

Note: A request for supplemental examination must be accompanied by a total fee of \$21,260, broken down as (i) a fee of \$5,140 for processing and treating a request for supplemental examination; and (ii) a fee of \$16,120 for *ex parte* reexamination ordered as a result of a supplemental examination proceeding. In addition, a request for supplemental examination must be accompanied by any applicable document size fees.

The document size fees apply only to non-patent documents that have a length of more than 20 pages. Non-patent documents having a length of 20 pages or less are not subject to the document size fees. Non-patent documents include, for example, non-patent literature, transcripts of audio or video recordings, and court documents. Patent documents, such as U.S. patents, U.S. patent application publications, published international patent applications, and foreign patents, are not subject to the document size fees.

Non-patent documents having 21 - 50 pages are subject to a fee of \$170, which must be submitted with the request. Non-patent documents having greater than 50 pages are subject to an additional fee of \$280 for each additional 50-page increment, or a fraction thereof, which must also be paid at the time of filing the request. For example, if a journal article having a length of 145 pages is submitted as an item of information which forms part of a request for supplemental examination, a document size fee of \$730 is due upon the filing of the request. The \$730 document size fee is calculated by adding the \$170 fee for 21 – 50 pages, and two additional fees of \$280 for each additional 50 pages, or a fraction thereof (i.e., a \$280 fee for 51 – 100 pages, and a second \$280 fee for the remaining 45 pages, which is a fraction of a 50-page increment).

For more information regarding the document size fees for a nonpatent document having between 21 and 50 sheets, please visit: www.uspto.gov/patents/process/file/efs/guidance/Document_Size_Fee.jsp

For more information regarding the document size fees for each additional 50 sheets or a fraction thereof in a nonpatent document, please visit:

www.uspto.gov/patents/process/file/efs/guidance/Each_Additional_50-Page_Increment.jsp

If you are a Registered eFiler and you choose to pay fees in a follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day as the original submission. Failure to pay these fees on filing a request for supplemental examination will result in no filing date granted.

Next, click on the “**Continue**” button to reach the Confirm & Submit screen.

Calculate Fees

If you are not paying fees for this filing at this time, you may continue to [Confirm/Submit](#)
Failure to pay these fees on filing a new application will result in a surcharge. [See 37 CFR 1.16 \(f\) and \(g\)](#).
Failure to pay these fees on filing a request for supplemental exam will result in no filing date granted.

A submission has not been filed officially at the USPTO until the e-filer executes the **Submit** function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

Fee Calculator

Total Fees Due: \$ 0

Supplemental Examination

	Quantity	Fee Code	Amount
<input type="checkbox"/> Request for supplemental examination		1826	\$5140
<input type="checkbox"/> Reexamination ordered as a result of supplemental examination		1827	\$16120
Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets	0 * \$170	1828	\$0
Supplemental Examination Document Size Fee - for each additional 50 sheets or a fraction thereof in a nonpatent document	0 * \$280	1829	\$0

[Privacy](#)

3.2.4 Confirm and Submit

On the “**Confirm & Submit**” screen, you will be presented with a review of the content of the active Supplemental Examination request. This will include all of the items uploaded on the “**Attach Documents**” screen and the fees indicated on the “**Calculate Fees**” screen.

To submit your application click on the “**Submit**” button located at the bottom of the screen.

EFS Unregistered

Unregistered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Submit Application
 A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your submission. If any information is incorrect, please edit the [Application Data](#)

Patent Number: 9999999
 Title of Invention: CATALYTIC
 Customer Number or Correspondence Address: 59
 Filed By: Joe Inventor
 Attorney Docket Number:
 Application Type: Supplemental Examination

This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)

Total Fees Due: \$ 0

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	permitting_access.pdf	11	Transmittal of New Application	550383 byte	PASS

◆ No validation errors found.

[Edit Attached Files](#)

[Privacy Policy](#) | | Please click Submit only once

3.2.5 Pay Fees

On the “Pay Fees” screen, you have the option of paying via “Charge USPTO Deposit Account,” “Charge Credit Card,” or “Electronic Funds Transfer.” Select the radio button that coincides with your method of payment. The “Fee Amount,” “Fee Total” and “Total Payment” will be listed on the screen.

To make a payment, click the “Start online payment process” button located at the bottom of the screen.

EFS Unregistered

Unregistered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Review fees and select payment method

Your Acknowledgement Receipt (evidence of this submission) will be provided upon completion of this payment transaction, or, upon choosing to not pay.

This is the application for which you are paying fees.

EFS ID: 4382891
 Application Number: 59110030
 Confirmation Number: 7469
 Title of Invention: CATALYTIC
 Patent Number: 9999999
 Customer Number or Correspondence Address: 59
 Filed By: Joe Inventor
 Attorney Docket Number:
 Application Type: Supplemental Examination

The following fees will be collected for this application.

Fee Description	Fee Code	Quantity	Fee Amount	Fee Total
Request for supplemental examination	1826	1	5140	5140

Total Payment \$ 5140

Select method of payment
 The U.S. Patent and Trademark Office supports Secure Sockets Layer (SSL) for the security of all transactions. Read more... [about the security of your transaction](#).

Charge USPTO Deposit Account
 Charge Credit Card
 Electronic Funds Transfer

3.2.6 Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the **“Receipt”** screen.

You may print or save the receipt. Registered eFilers will also have the option of viewing the Acknowledgement Receipt under **“My Workplace”** on EFS-Web.

The Acknowledgement Receipt displays the details of the submission, such as the file names of the uploaded documents, time stamp, and total amount paid.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email ebc@uspto.gov or telephone 866-217-9197.

EFS Unregistered

Unregistered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Acknowledgement Receipt

The USPTO has received your submission at **11:20:31** Eastern Time on **07-SEP-2012**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information

EFS ID	4382891	You may take the following actions: E-mail Receipt Info Print Receipt Save Receipt File Another Application File an Assignment of Ownership Pay Maintenance Fees Access Private PAIR
Application Number	59110030	
Confirmation Number	7469	
Title of Invention	CATALYTIC HYDROGENATION TO REMOVE GAS FROM X-RAY TUBE COOLING OIL	
Patent Number	9999999	
Customer Number or Correspondence Address	59	
Attorney Docket Number		
Filing Date		
Receipt Date	07-SEP-2012	
Application Type	Supplemental Examination	

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
permitting_access.pdf	11	Transmittal of New Application	550383 bytes	PASS
fee-info.pdf	2	Fee Worksheet (PTO-06)	29315 bytes	PASS

The acknowledgement receipt may be printed or saved to the applicant's computer. Further, a copy of the acknowledgement receipt has been placed in the electronic application file, which may be accessed through Private PAIR.

4. Supplemental Examination Follow-On Submission

As a Registered eFiler, you have the option to file follow-on submissions for a Supplemental Examination. Once you have signed-on to EFS-Web as a Registered eFiler, you will need to certify that you are the certificate holder or working under the authority of the certificate holder. Next, click on **“Existing application/patent/proceeding”** then select **“Document/Fees for an existing application/proceeding (applicant/representative only)”**

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder
 I certify that I am working under the authority of the certificate holder:

*Main Functions

New application/proceeding
(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)

Existing application/patent/proceeding ?

Select Type of Submission for Existing Application/Proceeding

Documents/Fees for an existing application/proceeding (applicant/representative only)
(A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents)

*Application Number (EXAMPLE: 99999999, 99/999999, 99/999,999 or PCT/USYY/99999) ?

*Confirmation Number (EXAMPLE: 1234) ?

ePetition (for automatic processing and immediate grant, if all petition requirements are met)
 eProcessing (Electronic Terminal Disclaimer and other requests for automatic processing)
 Pre-Grant Publication under 37 CFR 1.211 to 1.221 ?
 Third-Party Preissuance Submission under 37 CFR 1.290 ?
(for utility, design, or plant application only, and not a reissue application or reexam proceeding)

Enter the existing application number (in a supplemental examination proceeding, the - “application number” is the control number) and the confirmation number and click on “Continue”.

4.1 Attach Documents

If you only need to pay fees, then click on the link shown below to advance to the Calculate Screens section. If not, you can browse and upload documents.

If you also wish to pay a fee that is not listed on the screen, you will need to attach a form authorizing the Office to charge the fee. Form PTO/SB/17 may be used for this purpose. When attaching the form, indicate the category as “General Transmittal” and the document description as “Fee Worksheet (SB06)”.

Attach Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

➔ I have no documents for this filing, please continue to [Calculate Fees](#)

This is the application data associated with your filing. If any information is incorrect, please click [Cancel](#)

Application Number	96000257
Title of Invention	CATALYTIC
First Named Inventor	
Customer Number or Correspondence Address Filed By	2247 Joe Inventor
Attorney Docket Number	
Application Type	Supplemental Examination

Supplemental Examination Request

Files to be Submitted ?	Category ?	Document Description ?	
1 <input type="text"/> Browse... Does your PDF file contain multiple documents? <input type="radio"/> Yes <input checked="" type="radio"/> No	View All Categories ▼	Fee Worksheet (PTO-06) ▼	Delete
Add File			

Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to pay with a credit card should instead utilize the on-line payment method available through EFS-Web.

[Privacy Policy](#) [Cancel](#) [Upload & Validate](#) [Review](#)
 Please Upload & Validate before Review

4.2 Calculate Fees

On the “**Calculate Fees**” screen, the fees for Supplemental Examination will be displayed. Select the appropriate fee boxes and then click on the “**Calculate**” button to add up the selected fees. Next, click on the “**Continue**” button to reach the Confirm & Submit screen.

Calculate Fees

If you are not paying fees for this filing at this time, you may continue to [Confirm/Submit](#)

Failure to pay these fees on filing a new application will result in a surcharge. [See 37 CFR 1.16 \(f\) and \(g\).](#)

Failure to pay these fees on filing a request for supplemental exam will result in no filing date granted.

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

Fee Calculator

Total Fees Due: \$

Supplemental Examination

	Quantity	Fee Code	Amount
<input type="checkbox"/> Request for supplemental examination		1826	\$5140
<input type="checkbox"/> Reexamination ordered as a result of supplemental examination		1827	\$16120
<input checked="" type="checkbox"/> Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d)		1824	\$1930
Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets	<input type="text" value="0"/> * \$170	1828	\$0
Supplemental Examination Document Size Fee -for each additional 50 sheets or a fraction thereof in a nonpatent document	<input type="text" value="0"/> * \$280	1829	\$0

[Privacy Policy](#)

4.3 Confirm and Submit

On the “**Confirm & Submit**” screen, you will be presented with a review of the content of the active Supplemental Examination request. This will include all of the items uploaded on the “**Attach Documents**” screen and the fees indicated on the “**Calculate Fees**” screen.

EFS Registered

Registered eFilers Please Read Announcements Application Data Attach Documents Review Documents Calculate Fees Confirm & Submit Pay Fees Receipt

Submit Application
 A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your submission.

Application Number: 96000167
 Title of Invention: CATALYTIC HYDROGENATION TO REMOVE GAS FROM X-RAY TUBE COOLING OIL
 First Named Inventor:
 Customer Number or Correspondence Address: Clements Bernard PLLC
 1901 Roxborough Road
 Suite 250
 Charlotte
 NC 28211 US
 704-790-3600
 patlaw@worldpatents.com
 Filed By: Rich FQT Fernandez
 Attorney Docket Number:
 Application Type: Supplemental Examination

This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)
 Total Fees Due: \$ 1930

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	fee-info.pdf	2	Fee Worksheet (PTO-06)	29831 byte	PASS

◆ No validation errors found.

[Edit Attached Files](#)

[Privacy Policy](#) Please click Submit only once

To submit your application click on the “Submit” button located at the bottom of the screen. -

EFS Registered

Registered eFilers Please Read Announcements Application Data Attach Documents Review Documents Calculate Fees Confirm & Submit Pay Fees Receipt

Submit Application
 A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your submission.

Application Number: 96000257
 Title of Invention: CATALYTIC HYDROGENATION TO REMOVE GAS FROM X-RAY TUBE COOLING OIL
 First Named Inventor:
 Customer Number or Correspondence Address: 2247
 Filed By: Joe Inventor
 Attorney Docket Number:
 Application Type: Supplemental Examination

This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)
 Total Fees Due: \$ 1930

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	fee-info.pdf	2	Fee Worksheet (PTO-06)	30052 byte	PASS

◆ No validation errors found.

[Edit Attached Files](#)

[Privacy Policy](#) Please click Submit only once

5. Reexamination Based on Supplemental Examination Follow-On Submission

As a Registered eFiler, you have the option to file follow-on submissions for a reexamination based on a supplemental examination. Once you have signed-on to EFS-Web as a Registered eFiler, you will need to certify that you are the certificate holder or working under the authority of the certificate holder.

Next, click on “Existing application/patent/proceeding” then select “Document/Fees for an existing application/proceeding (applicant/representative only)”

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder

I certify that I am working under the authority of the certificate holder:

*Main Functions

New application/proceeding
(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)

Existing application/patent/proceeding

Select Type of Submission for Existing Application/Proceeding

Documents/Fees for an existing application/proceeding (applicant/representative only)
(A Request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents)

*Application Number (EXAMPLE: 999999999 , 99/999999, 99/999,999 or PCT/USYY/99999)

*Confirmation Number (EXAMPLE: 1234)

ePetition (for automatic processing and immediate grant, if all petition requirements are met)

eProcessing (Electronic Terminal Disclaimer and other requests for automatic processing)

Pre-Grant Publication under 37 CFR 1.211 to 1.221

Third-Party Preissuance Submission under 37 CFR 1.290
(for utility, design, or plant application only, and not a reissue application or reexam proceeding)

Enter the existing application number (in a reexamination proceeding based on a supplemental examination proceeding, the “application number” is the control number) and the confirmation number and click on “Continue”.

5.1 Attach Documents

If you only need to pay fees, then click on the link stating “Calculate Fees” shown below to advance to the Calculate Screens section. If not, you can browse and upload additional documents. If reexamination has been ordered, the drop down menu for the “Document Description” should display documents appropriate for a reexamination proceeding based on a supplemental examination proceeding. Once the document is uploaded, you will need to index it correctly. The optional “Category” drop down menu can be used to display only document descriptions that pertain to the selected category. On the “Document Description” drop down menu select the correct document description for the document being filed.

If you wish to pay a fee that is not listed on the screen, you will need to attach a separate fee transmittal form authorizing the Office to charge the fee. Form PTO/SB/17 may be used for this purpose. When attaching the form, indicate the category as “General Transmittal” and the document description as “Fee Worksheet (SB06)”.

Reexamination based on Supplemental Examination

Files to be Submitted	Category	Document Description	
1 <input type="text"/> <input type="button" value="Browse..."/>	View All Categories	Fee Worksheet (PTO-06)	<input type="button" value="Delete"/>
Does your PDF file contain multiple documents? <input type="radio"/> Yes <input checked="" type="radio"/> No			
<input type="button" value="Add File"/>			
<small>Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to pay with a credit card should instead utilize the on-line payment method available through EFS-Web.</small>			
<input type="button" value="Cancel"/> <input type="button" value="Upload & Validate"/> <input type="button" value="Review"/>			
<small>If you need help:</small> <ul style="list-style-type: none"> To ask questions about Patent e-Filing, or to suggest improvements to the online system, please call the Patent Electronic Business Center at (866) 217-9197 (toll free) or send email to EBC@uspto.gov. Send general questions about USPTO programs to the USPTO Contact Center (UCC). If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199. For general questions regarding a petition, or requirements for filing a petition, contact the Office of Petitions Help Desk at 1 800-786-9199. 			

5.2 Calculate Fees

On the “**Calculate Fees**” screen, the fees appropriate for a reexamination proceeding based on a supplemental examination proceeding will be displayed. Select the appropriate fee boxes and then click on the “**Calculate**” button to add up the selected fees. Next, click on the “**Continue**” button to reach the Confirm & Submit screen.

	Quantity	Fee Code	Amount
<input type="checkbox"/> Request for supplemental examination		1826	\$5140
<input type="checkbox"/> Reexamination ordered as a result of supplemental examination		1827	\$16120
<input checked="" type="checkbox"/> Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d)		1824	\$1930
Supplemental Examination Document Size Fee - for nonpatent document having between 21 and 50 sheets	0 * \$170	1828	\$0
Supplemental Examination Document Size Fee -for each additional 50 sheets or a fraction thereof in a nonpatent document	0 * \$280	1829	\$0

Privacy Policy | Cancel | Calculate | Clear | Continue

5.3 Confirm and Submit

On the “**Confirm & Submit**” screen, you will be presented with a review of the content of the active reexamination proceeding. This will include all of the items uploaded on the “**Attach Documents**” screen and the fees indicated on the “**Calculate Fees**” screen.

To submit your application click on the “**Submit**” button located at the bottom of the screen.

Registered e-filers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Submit Application
 A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your submission.

Application Number: 96002009
 Title of Invention: COFFEE CAPSULE
 First Named Inventor: Ari KANICHOWSKY
 Customer Number or Correspondence Address Filed By: 59
 Attorney Docket Number: 4761/5
 Application Type: Supplemental Examination

This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)

Total Fees Due: \$ 18050

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	specification.pdf ◆ No validation errors found.	1	Reexam Miscellaneous Incoming Letter	10680 byte	◆ PASS
2	fee-info.pdf ◆ No validation errors found.	2	Fee Worksheet (PTO-06)	31460 byte	◆ PASS

[Edit Attached Files](#)

[Privacy Policy](#) | | Please click Submit only once

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