

Department of Defense
Fiscal Year 2012 Report

on

Humanitarian Assistance (HA)

(Report furnished in accordance with Title 10, U.S.C., Section 2561)

January 2013
Defense Security Cooperation Agency

**THE HUMANITARIAN ASSISTANCE PROGRAM
OF THE
DEPARTMENT OF DEFENSE
(TITLE 10, UNITED STATES CODE SECTIONS 2561 AND 2557)**

Introduction

The Department of Defense (DoD) Humanitarian Assistance Program supports U.S. foreign policy and national security goals, most notably in regions where humanitarian needs pose major challenges to stability, prosperity, and respect for universal human values.

The Defense Security Cooperation Agency (DSCA) is responsible for program and resource management. The Office of the Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations provides policy oversight.

When faced with situations of urgent need, DoD's humanitarian activities may focus on the direct provision of assistance aimed at saving lives, alleviating suffering, or providing essential services for affected populations. Such activities may also encompass efforts to strengthen a host nation's capacity to avert or respond to humanitarian emergencies, thereby reducing its reliance on foreign disaster relief. Closely coordinated with U.S. government interagency partners, the DoD's efforts in this area also serve important security cooperation goals – to improve DoD's visibility, access, and influence; to foster collaborative relationships with a host nation's government and civil society; and, where necessary, to help in countering violent extremism. These activities provide Geographic Combatant Commands (CCMDs) with unobtrusive, low cost, but highly effective instruments to carry out their security cooperation activities.

Legislative Authorities

Pursuant to Title 10, U.S.C., Section 2561, funds authorized to be appropriated to the DoD for a fiscal year for Humanitarian Assistance shall be used for the purpose of providing transportation of humanitarian relief and for other humanitarian purposes. The Secretary of Defense may also use this authority to transport supplies intended for use to respond to, or mitigate, the

effects of an event or condition that threatens serious harm to the environment, but only if other sources to provide such transportation are not readily available.

Pursuant to Title 10, U.S.C., Section 2557, the Secretary of Defense may make available for humanitarian relief purposes any nonlethal excess supplies of the DoD.

The Overseas Humanitarian, Disaster, and Civic Aid (OHDACA) appropriation funds the aforementioned activities. DSCA performs financial management, including allocation of funds to the CCMDs.

Overview of Activities

The DoD conducts humanitarian assistance, disaster relief/emergency response, and funded transportation programs pursuant to Title 10, U.S.C., Sections 2557 and 2561.

Humanitarian assistance activities include assessments of needs; rudimentary construction and renovation of public facilities (such as schools, hospitals, clinics, and orphanages); digging or improving water wells and other sanitation and drinking water projects; and repairing/building rudimentary infrastructure. DoD in-country personnel or the CCMDs nominate projects in coordination with the U.S. country team and other U.S. Government (USG) agencies in the country or region, such as the U.S. Agency for International Development (USAID). The respective CCMD endorses a nominated project to DSCA using an automated database. DSCA reviews each nomination to ensure it complies with policy and program management guidance for the HA program, and coordinates proposed projects as appropriate with other DoD and interagency offices. Once a project receives concurrence by the appropriate offices, DSCA approves it for execution. When a project is approved, the responsible CCMD decides when to fund the project and oversees its execution. Traditionally, more projects are submitted than can be covered by available funding; thus the CCMDs must prioritize which ones are funded.

Non-lethal excess property may include such items as medical, school, or office equipment, and supplies; construction and disaster-related equipment/tools; and vehicles. Excess property is transferred from DoD to the Department of State, represented by the U.S. Embassy in the recipient country, for further distribution. Excess property for planned projects is generally moved to the recipient country by surface transportation. When provided in support of disasters/emergency response, excess property is most often moved by a combination of surface and air transportation.

The DoD role in disaster relief and emergency response includes disaster preparedness and disaster response training, as well as immediate assistance in the wake of natural and manmade disasters. Such assistance may take the form of airlift; logistical support; provision of emergency supplies; such as blankets, tents, water, and humanitarian daily rations; or search and rescue operations.

DoD components participate in foreign disaster relief and emergency response activities when directed by the President, or with the concurrence of the Secretary of State, or in emergency situations to save lives, when there is not sufficient time to seek the prior initial concurrence of the Secretary of State. DoD responses are coordinated with USAID's Office of Foreign Disaster Assistance and other relevant agencies.

Also conducted pursuant to Title 10, U.S.C., Section 2561, is the funded transportation program. This program provides worldwide DoD-funded transportation of non-urgent humanitarian cargo. It applies to both DoD cargo (for example, disaster relief supplies and non-lethal excess property) and private donations by non-governmental and international sources. Appropriated funds pay for the actual cost of transportation and any associated administrative costs incurred.

While statutory authority permits transportation of private donations via any mode and for any cargo that could be defined as humanitarian relief, current policy places the following restrictions:

- (1) cargo should address basic humanitarian needs (e.g., medicine, food, clothing, shelter);
- (2) cargo should be sent by less expensive surface modes of transportation except in special circumstances.

OHDACA funds pay for the associated ground and port handling, and surface transportation costs.

Reporting Elements – Fiscal Year 2012

Pursuant to Section 2561(c), an annual status report on the provision of humanitarian assistance is required for the prior fiscal year. The report shall set forth the following information:

- (1) The total amount of funds obligated for humanitarian relief under the section;

- (2) The number of scheduled and completed transportation missions for purposes of providing humanitarian assistance under the section; and
- (3) A description of any transfer of excess DoD nonlethal supplies under Title 10, U.S.C., Section 2557, to include the date of transfer, the entity to whom the transfer is made, and the quantity of items transferred.

The total amount of funds obligated from the OHDACA appropriation for humanitarian relief and other humanitarian purposes worldwide under Title 10, U.S.C., Section 2561, was \$104.435 million as of September 30, 2012. This figure represents humanitarian assistance projects and private donor shipments, as well as support to disaster relief/emergency response. For FY 2012, the latter amounted to \$4.766 million. Transportation missions were conducted in support of three disasters/emergencies. Because transportation missions are calculated in various ways (e.g., helicopter lift is calculated in hours rather than point-to-point flights), the following table summarizes funds obligated as of September 30, 2012 for fixed and rotary wing airlift during the periods indicated for the three disasters:

Turkey Earthquake	(October 2011)	\$.800M
Thailand Floods	(November 2011)	\$ 1.973M
Montenegro Winter Emergency	(February 2012)	\$.045M
Total:		\$ 2.818M

The description of non-lethal excess property transfers and an enumeration of other funded shipments, provided under Title 10, U.S.C., Section 2561 and Section 2557, for purposes of providing humanitarian assistance during FY 2012, are included in the following pages. The amount of funds obligated for the transfer of non-lethal excess property and other funded shipments for FY 2012 was \$2.918 million.

Enclosure:
As stated

**HUMANITARIAN ASSISTANCE NON-GOVERNMENT ORGANIZATIONS
FUNDED TRANSPORTATION PROGRAM SHIPMENTS - FY 2012**

	A	B	C	D
	<u>DESTINATION</u>	<u>CONTAINER QUANTITY BY TYPE</u>	<u>COMMODITY TYPE</u>	<u>DELIVERED</u>
1	Armenia	1 / 40FT Sea Van	Wheelchairs	2nd Quarter
2	Belize	1 / 40 FT Sea Van	School Furniture and Supplies	1st Quarter
3	Croatia	2 / 20 FT Sea Vans	Furniture/ Vehicles	3rd Quarter
4	Dominican Republic	3/ 40 FT Sea Vans	Household Goods and School Supplies	1st Quarter
5	Ecuador	1 / 20 FT Sea Van	Wheelchairs	1st Quarter
6	Ghana	1/20 FT Sea Van	Household Goods / Furniture	1st Quarter
7	Ghana	1 / 40 FT Sea Van	Secondary School Books	2nd Quarter
8	Ghana	1 / 40 FT Sea Van	Secondary School Books	4th Quarter
9	Ghana	1 / 40 FT Sea Van	Secondary School Books	4th Quarter
10	Guatemala	1 / 40 FT Sea Van	Household Goods /School Furniture	2nd Quarter
11	Guatemala	1 / 20 FT Sea Van	Orthopedic Surgical Equipment	2nd Quarter
12	Guatemala	8 / 40 FT Sea Van	Household Goods/School Furniture	4th Quarter
13	Haiti	2 / 20 FT Sea Vans	Medical Supplies	2nd Quarter
14	Haiti	1 / 40 FT Sea Vans	Medical Supplies	2nd Quarter
15	Haiti	1 / 40 FT Sea Vans	Household Goods/Furniture	3rd Quarter
16	Haiti	2 / 40 FT Sea Vans	Non-Perishable Food (Dried)	3rd Quarter
17	Haiti	3 / 40 FT Sea Vans	Household Goods/Furniture	3rd Quarter
18	Liberia	1 / 20 FT Sea Van	Secondary School Books	3rd Quarter
19	Liberia	2 / 40 FT Sea Van	Medical Supplies/Hospital Uniforms	3rd Quarter
20	Liberia	1 / 20 FT Sea Van	School Furniture and Clothing	4th Quarter
21	Liberia	1 / 20 FT Sea Van	Non-Perishable Food (Dried)	4th Quarter
22	Liberia	2 / 40 FT Sea Van	Medical Supplies	4th Quarter
23	Liberia	1 / 40 FT Sea Van	Household Goods	4th Quarter
24	Liberia	1 / 20 FT Sea Van	Household Goods	4th Quarter
25	Morocco	1 / 40 FT Sea Van	Medical Supplies	2nd Quarter
26	Nicaragua	2 / 40 FT Sea Vans	School Furniture	2nd Quarter
27	Nicaragua	2 / 40 FT Sea Vans	School Furniture	2nd Quarter
28	Nicaragua	3 / 40 FT Sea Van	School Furniture and Supplies	3rd Quarter
29	Nicaragua	2 / 40 FT Sea Vans	School Furniture	3rd Quarter
30	Nicaragua	2 / 40 FT Sea Vans	School Furniture	3rd Quarter

**HUMANITARIAN ASSISTANCE NON-GOVERNMENT ORGANIZATIONS
FUNDED TRANSPORTATION PROGRAM SHIPMENTS - FY 2012**

	A	B	C	D
	<u>DESTINATION</u>	<u>CONTAINER QUANTITY BY TYPE</u>	<u>COMMODITY TYPE</u>	<u>DELIVERED</u>
1	Nicaragua	2 / 40 FT Sea Vans	School Furniture	3rd Quarter
31	Nicaragua	5 / 40 FT Sea Van	Household Goods/School Supplies	3rd Quarter
32	Nicaragua	3 / 40 FT Sea Van	Household Goods/School Supplies	3rd Quarter
33	Nigeria	2 / 40 FT Sea Van	Medical Supplies and Equipment	3rd Quarter
34	Nigeria	1 / 40 FT Sea Van	Household Goods/Furniture	4th Quarter
35	Peru	1 / 40 FT Sea Van	Medical Supplies	1st Quarter
36	Peru	1 / 20 FT Sea Van	Medical Supplies	4th Quarter
37	Panama	2 / 40 FT Sea Vans	Non-Perishable Food (Dried)	4th Quarter
38	Philippines	1 / 40 FT Sea Van	Medical Supplies and Equipment	1st Quarter
39	Romania	1 / 40 FT Sea Van	Secondary School Books	3rd Quarter
40	South Africa	1 / 40 FT Sea Van	Medical Supplies	1st Quarter
41	Uganda	2 / 40 FT Sea Van	Household Goods/Education Supplies	2nd Quarter
42	Uganda	1 / 40 FT Sea Van	Household Goods/Education Supplies	2nd Quarter
43	United States	1 / 20 FT Sea Van	Orthopedic Medical Supplies	3rd Quarter
44				
45				

DSCA Excess Property Warehouse Shipments FY2012

DESTINATION	CONTAINER QUANTITY BY TYPE	COMMODITY TYPE	DELIVERED
ANTIGUA & BARBADOS	1 Flat Rack	Generators	4th Quarter
ALBANIA	1 Flat Rack	Rolling Stock - BUS	1st Quarter
ARMENIA	1-40ft	Rolling Stock	4th Quarter
ARMENIA	1-40ft	School Furnitures	4th Quarter
CAMEROON	3/40FT SEA VANS	Medical Equipment/Supplies	2nd Quarter
CAMEROON	1/40FT SEA VANS	Household Goods/Furniture	2nd Quarter
COSTA RICA	1/40FT FLATRACK	Ambulance Truck/Ford/4x4	1st Quarter
CROATIA	2 BB	Household Goods/Furniture/Medical	4th Quarter
DJIBOUTI		MEDICAL EQUIPMENT	1st Quarter
DJIBOUTI	1 / 40FT SEA VAN	Household Goods/Furniture	2nd Quarter
GABON	2/ 40ft	School Furnitures & Supplies	3rd Quarter
GABON	2-Jan-1900	School Furnitures & Supplies	3rd Quarter
GUATEMALA	1 X 40FT	Office Supplies	2nd Quarter
GEORGIA	10-40ft	School Furnitures	2nd Quarter
GEORGIA	2-40ft	School Furnitures	3rd Quarter
HAITI	11/40FT SEA VANS	Disaster Supplies/Medical Supplies	3rd Quarter
HAITI	3/40FT SEA VANS	Disaster Supplies/Medical Supplies	3rd Quarter
HAITI	1/40FT SEA VANS	Medical Supplies/Household Goods	3rd Quarter
HAITI	3/40FT SEA VANS	Medical Supplies/Household Goods	3rd Quarter
HONDURAS	2/40FT SEA VANS	Medical Supplies/Household Goods	2nd Quarter
JAMAICA	1/40FT SEA VAN	Medical Supplies	2nd Quarter
JAMAICA	1/40FT SEA VAN	Medical Supplies	2nd Quarter
JAMAICA	1/40FT SEA VAN	School Furniture and School Supplies	2nd Quarter
JAMAICA	1/40FT SEA VAN	School Furniture and School Supplies	2nd Quarter
JAMAICA	3/20FT SEA VAN	School Furniture and School Supplies	2nd Quarter
JAMAICA	4/40FT SEA VAN	School Furniture and School Supplies	3rd Quarter
KENYA	1/40FT SEA VANS	Household Goods/Beds/Furniture	1st Quarter
KENYA	2/40FT SEA VANS	Household Goods/Beds/Furniture	4th Quarter
KENYA	2/40FT SEA VANS	Household Goods/Beds/Furniture	4th Quarter
KOSOVO	3-40 ft	WALL LOCKERS	2nd Quarter
KOSOVO	6-40ft & 1-20ft	School Furnitures	4th Quarter

DSCA Excess Property Warehouse Shipments FY2012

KOSOVO	6-40ft	School Furnitures	4th Quarter
LIBERIA	2 bb	Rolling Stock/2.5 Cargo Truck	3rd Quarter
LITHUANIA	1-40 FT SEA VAN	Household Goods/Linens	4th Quarter
LITHUANIA	1-20 ft	WASHERS & DRYERS	4th Quarter
LITHUANIA	1-20 ft	Furnitures	4th Quarter
MALI	1/40FT SEA VAN	Medical Supplies and Equipment	1st Quarter
MALI	1/40FT SEA VAN	Medical Supplies/Beds/Furniture	2nd Quarter
MALI	2/40FT SEA VAN	School Supplies	4th Quarter
MALI	2/40FT SEA VAN	School Supplies	4th Quarter
MOLDOVA	2/40ft HC, 2/ 40 Ft , 4 Flat Rack	Rolling Stock	4th Quarter
MONTENEGRO	1-20ft	Snow plow & CW Clothing	3rd Quarter
MONTENEGRO	1-40ft	Drash Tents	4th Quarter
NAMIBIA	3/40FT SEA VANS	School Furniture and School Supplies	2nd Quarter
NIGERIA	1/40FT SEA VAN	Medical Equipment and Supplies	2nd Quarter
NIGERIA	1/20FT SEA VAN	Medical Equipment and Supplies	2nd Quarter
PARAGUAY	1/40FT SEA VAN	Medical Equipment and Supplies	4th Quarter
PARAGUAY	1/40FT SEA VAN	Tents/Cots/Shovels/Blankets	4th Quarter
PARAGUAY	1/20FT SEA VAN	Medical Equipment and Supplies	4th Quarter
PARAGUAY	1/20FT SEA VAN	Tents/Cots/Shovels/Blankets	4th Quarter
PERU	3/40FT SEA VANS	Medical Equipment and Supplies	4th Quarter
PERU	1/40FT SEA VANS	Medical Equipment and Supplies	4th Quarter
POLAND	6-40ft & 1 40ft	School Furnitures	4th Quarter
SENEGAL	1/40FT SEA VAN	Medical Supplies and Office Furniture	1st Quarter
SERBIA	1-40ft	Drash Tents	4th Quarter
SURINAME	6/40FT SEA VANS	Medical Supplies/Sleeping Mats	3rd Quarter
TUNISIA	1-20ft & 2-40ft	School Furnitures & Supplies	2nd Quarter
TUNISIA	2/40FT SEA VANS	Medical Supplies/School Furniture	4th Quarter
TURKEY	5 ALOC	DISASTER RELIEF	1st Quarter
UKRAINE	3-40 ft HC & 1 Flat Rack	Rolling Stock	2nd Quarter
UKRAINE	4-40 ft	Supplies	3rd Quarter