

Understanding Differences in Current ARM Ground-based Cloud Retrievals

Chuanfeng Zhao¹(zhao6@llnl.gov), Shaocheng Xie¹, Stephen A. Klein¹, Renata McCoy¹, Jennifer Comstock², Min Deng³, Maureen Dunn⁴, Robin Hogan⁵, Dong Huang⁴, Michael Jensen⁴, Jay Mace⁶, Sally McFarlane², Ewan O'Connor⁶, Matthew Shupe⁷, Dave Turner⁸, Zhien Wang³

1. Lawrence Livermore National Lab, CA, USA
2. Pacific Northwest National Lab, WA, USA
3. University of Wyoming, WY, USA
4. Brookhaven National Lab, NY, USA
5. University of Reading, Reading, UK
6. University of Utah, UT, USA
7. University of Colorado, CO, USA
8. NOAA National Severe Storms Lab, OK, USA



Motivation

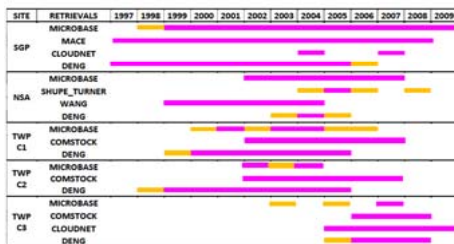
The representation of clouds remains one of the largest uncertainties in current climate prediction. Cloud properties retrieved from ARM ground-based measurements provide an opportunity for better understanding cloud microphysical processes and improving cloud parameterizations in climate models. However, uncertainties in various retrievals, pose a severe restriction on using these retrieval products in cloud modeling studies.

Understanding the cloud retrieval uncertainties helps climate modelers better constrain climate models. An in-depth analysis of the existing cloud retrievals helps explore issues and provides insights for scientists to further improve their cloud retrieval products. It also helps users to better use the data.

In this study, 7 ARM ground-based cloud retrievals are combined into a cloud retrieval ensemble dataset (CRED); and are used to understand the retrieval differences.

7 ARM Ground-based Cloud Retrievals

- MICROBASE: ARM baseline cloud retrieval product (led by Dr. Michael Jensen at BNL)
- MACE: More physically based cloud retrievals (Prof. Jay Mace of Univ. of Utah)
- CLOUDNET: improved parameterization estimate (Prof. Robin Hogan of Univ. of Reading)
- DENG: physically based cloud retrievals for cirrus (Dr. Min Deng of Univ. of Wyoming)
- SHUPE_TURNER: Physically based (thin mixed) and parameterization method (Other clouds) (Dr. Matthew Shupe of Univ. of Colorado; Dr. David Turner of NOAA Nation. Severe Storms Lab)
- WANG: Arctic Mixed Phase clouds (Physically based) (Prof. Zhien Wang of Univ. of Wyoming)
- COMSTOCK: Case-dependent parameterization at TWP (Dr. Jennifer Comstock at PNNL)



Retrieval Techniques

Retrievals	Clouds	Refs	Assumptions	Theory Based Functions/Models/parameters	Major inputs	Direct output	Method	
MICROBASE	Liquid	Liao and Sassen 1994; Frisch et al. 1995	Log-normal ($\sigma=0.35$)	spherical $LWC=F(Z)$; $re=F(Z)$; $N=200 \text{ cm}^{-3}$	Z, LWP	re, LWC	EPM	
	Ice	Liu & Illingworth 2001; Ivanova et al. 2001	Exponential	Planar polycrystals $IWC=F(Z)$; $re=F(T)$	Z, T, LWP	re, IWC	EPM	
MACE	Mixed	All above	See above	See above $f_{ice}=T/10$; $Z_{max}=(1-f_{ice})Z$; $Z_{ice}=f_{ice}Z$	Z, LWP, T	re, W, C	EPM	
	Boundary Stratus	Dong et al. 1998 (Layer Average)	log-normal ($\sigma=0.35$)	Spherical Thick: $re=F(LWP, \mu, \theta)$; Thin: 6-2 stream model	LWP, R, T	re	EPM; optimal	
	Other Liquid	Frisch et al. 1998 (Vertical profile of LWC)	-	-	LWP, Z	LWC	EPM	
	Cirrus	Mace et al. 1998 (Layer Average)	Modified Gamma ($g=1$)	Spherical MODTRANS model (optical thin)	Z, R	re, N, IWC	Optimal	
	Other Ice	Mace et al. 2002 (Vertical Profile)	Exponential	Bullet Rosette $Z_e=F(L, N, L)$; $V_{ice}=F(L, N, L, V_L)$; $\sigma_e=F(L, N, L, V_L)$	Z, V	re, N, IWC	Forward	
CLOUDNET	Liquid part	Liu and Illingworth 2001	Exponential	-	LWP	Z	IWC	EPM
	Ice part	Hogan et al. 2006	Gamma	-	LWP, Z	IWC	EPM	
DENG	Liquid part	Deng and Mace 2006	Exponential	Spherical $Z_e=F(A, N_0)$; $V_{ice}=F(A, N_0)$; $\sigma_e=F(A, N_0)$	Z, V, σ_e	re, IWC	Forward	
	Ice part	Hogan et al. 2006	Gamma	-	LWP, Z	IWC	EPM	
SHUPE_TURNER	Liquid only	Shupe 2007	Cloud Phase Determination	-	Z, LWP	re, LWC	Forward	
	Liquid in thin mixed	Frisch et al. 1995; Turner et al. 2007	Log-normal ($\sigma=0.30$)	Spherical $re=F(Z, N)$ with Adjusted N; LWC from LWP with adiabatic gradient	Z, LWP	re, LWC	Forward	
	Ice part	Turner 2005; MWCRA; Turner et al. 2007	Gamma	Any LBRM and DISORT; LWC from LWP-scaled adiabatic assumption $re=F(Z)$; $D_p=F(3a)$; $Z_e=F(A, N_0)$	R, LWP, $re, \tau_{ext}, h_{wp}, h_{wc}$	re, IWC	EPM	
WANG	Mixed	Wang et al. 2004; Wang and Sassen 2002	exponential	hexagonal ice part (Wang and Sassen 2002); Liquid part: DISORT;	LWP, R, Z	re, W, C	EPM	
	Liquid part	Shupe 2007	Cloud Phase Determination	-	Z	re, IWC	Optimal	
COMSTOCK	Liquid (Z, σ_e level)	Same as MICROBASE; Wang and Sassen 2002	Log-normal	hexagonal $IWC=F(\sigma_e, D_p)$; $D_p=F(WC, Z)$; $\sigma_e=F(\sigma_e)$	Z_e, σ_{ext}	re, IWC	EPM	
	Ice (Z or σ_e level)	Hogan et al. 2006, -	Fitting	-	Z, T or σ_{ext}	re, IWC	EPM	
	Orizzle and Rain	Marshall, Palmer type	Gamma	-	Z, T	re, R, N	EPM	
	Orizzle and Rain	Marshall, Palmer type	Gamma	-	Z, T	re, R, N	EPM	

EPM means empirical parameterization method ; optimal means AERI-based optimal iteration method

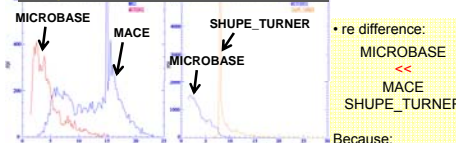
Understanding Cloud Retrieval Differences

Differences in retrieval algorithms

Boundary Layer Stratus

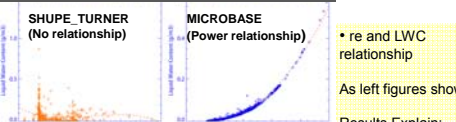
- Difference in Theories (AERI-based, radar-based; T-based, etc.)
- Difference in Assumptions (e.g. PSD)

Large Discrepancies in liquid re (2004/10)



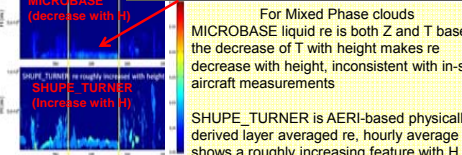
MICROBASE use water vapor/droplet competition mechanism, limiting large particle size; MACE/SHUPE_TURNER are AERI based (part MACE products are Z based).

Difference in LWC and re relationship (2004/10)



SHUPE_TURNER: LWC (Adiabatic gradient with LWP scale) and re (AERI-based) are independent; MICROBASE: both re and LWC are Z based parameterization (3rd power law relationship used)

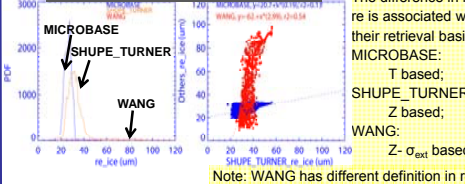
Difference in re vertical structure (M-PACE period)



High Level Ice Clouds

- Difference in Theories (Z-V- σ based; Z-based; T-based; Z-T based; Z- σ_{ext} based)
- Difference in Assumptions (PSD, Ice Crystal Habit, etc.)
- Difference in Parameters for same theory (e.g. $IWC=aZ^b$; SHUPE_TURNER ($b=0.63$), MICROBASE/MACE ($b=0.59$))

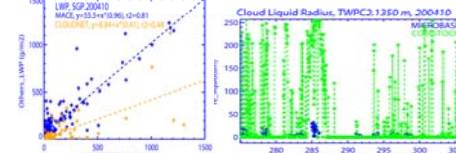
Large Discrepancies in ice re (2004/10)



Differences in retrieval inputs and constraints

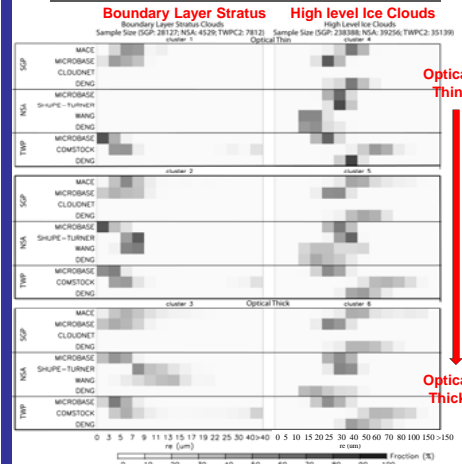
Boundaries; Phases; Detection/classification; MWR LWP; Other Measure

Clear Difference in LWP constraint and hydrometeor classification



Statistical Analysis

Systematic Difference in re for same clouds (2002-2007)



- large or systematic differences exist between retrievals, although most cloud liquid re < 20 μm and ice re < 150 μm :

- Liquid re vs Ice re
- SGP: MICROBASE<MACE vs MICROBASE<MACE/DENG
- NSA: MICROBASE<SHUPE_TURNER vs DENG<MICROBASE<SHUPE_TURNER
- TWP: MICROBASE<COMSTOCK vs MICROBASE<DENG<COMSTOCK

- Systematic errors can, at least partly be expected from retrieval algorithms

- Small MICROBASE liquid re (water vapor/droplet competition: Z-based);
- Small MICROBASE ice re with limited range (T-based);
- large MACE/SHUPE_TURNER/WANG liquid re (AERI or Z-based)
- Large COMSTOCK ice re with broad range (Z- σ_{ext} based; different definition)

Conclusions

- Large systematic discrepancies are seen in cloud retrievals.
- The differences among retrieval products are largely associated with the retrieval techniques, including the retrieval theory based and assumptions used, and cloud retrieval inputs and constraints.
- The discrepancies, at least partly, can be expected from their technique details; the retrieval theoretical nature provides useful information to understand and use current retrievals.

Future Work

- Improve accuracy of the retrieval input & constraints, make them uniform.
- Systematic validation of the retrievals using in-situ aircraft measurements and ground-based flux closure calculation (like BBHRP)
- Implement advanced statistical methods to better quantify data uncertainty - e.g. Bayesian approach

Reference

Comstock et al. (2007): An Intercomparison of microphysical retrieval algorithms for upper-tropospheric ice clouds. BAMS, 88, 191-204.
Turner et al. (2007): Thin liquid water clouds: Their importance and our challenge. BAMS, 88, 177-190.