

A satellite image of the Earth, showing the Mediterranean Sea and surrounding landmasses. The sea is a deep blue, and the land is a mix of green and brown. The text is overlaid on the image.

# Atmospheric Organic Aerosols

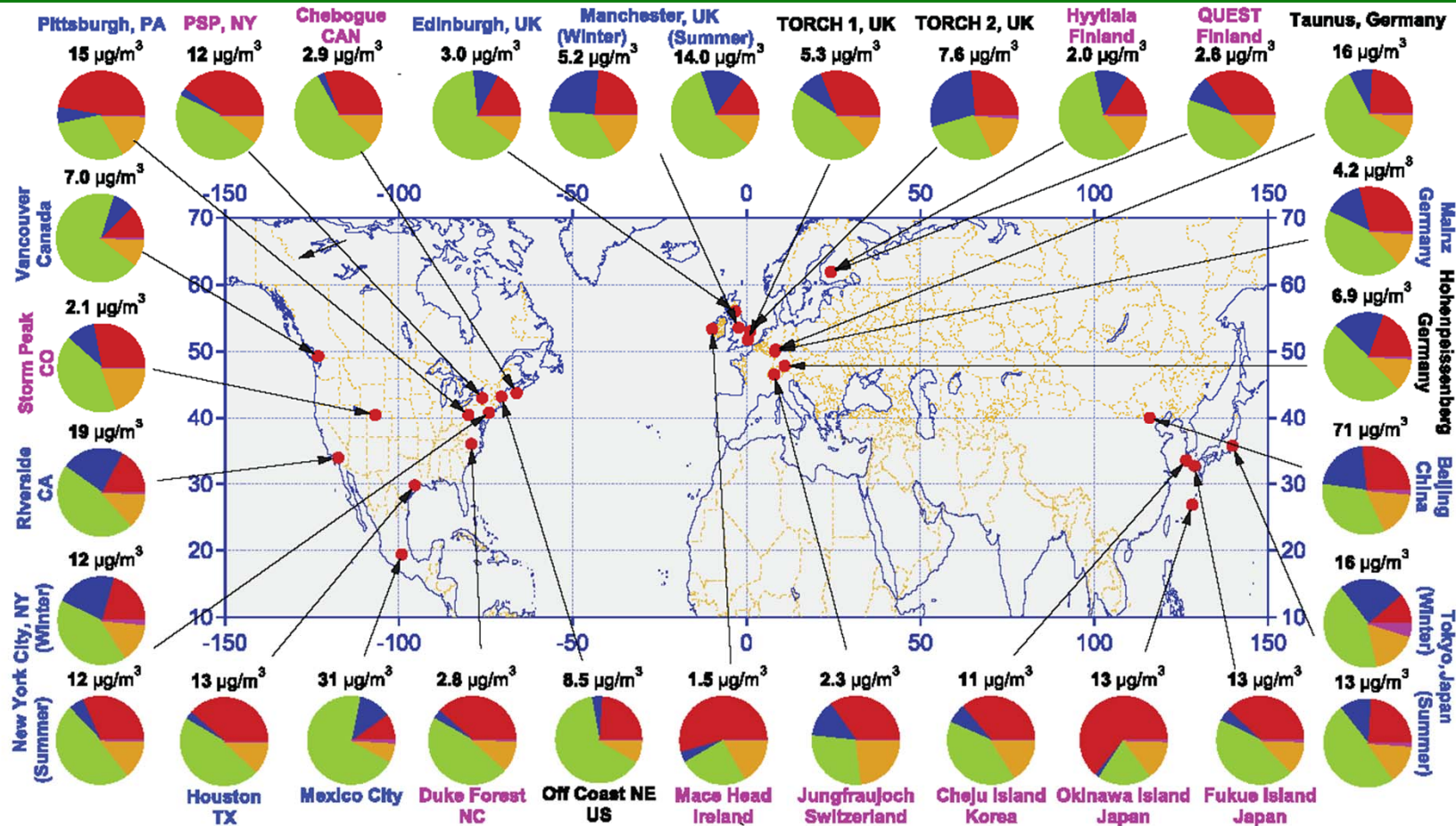
Atmospheric System Research (ASR)  
March 13, 2012

John H. Seinfeld  
California Institute of Technology

## ASR Science and Program Plan

Measurements downwind of urban sources of aerosol particles and precursor gases have shown that the mass concentration of *secondary organic aerosol* (SOA) can be several-fold greater than can be explained on the basis of current model calculations using observed precursor concentrations. ASR will continue conducting laboratory experiments on both gas-phase and aqueous-phase SOA formation to characterize the particle formation and the organic gases that react to form new organic aerosol material on aerosol seeds. ASR will use these experiments to guide the development of comprehensive chemical mechanisms... to guide the development of parameterizations that are simple enough to be applied to aerosol life cycle models.

# Global Aerosol Chemical Composition



Zhang et al., GRL [2007]



Organics Contribute Significantly to Mass of Fine Aerosol

Organic Sources = Primary + SECONDARY

~ 70–90%

# Global Organic Aerosol Mass

Total Flux of Non-Methane Hydrocarbons to the atmosphere  
Tg/y ~ 1350

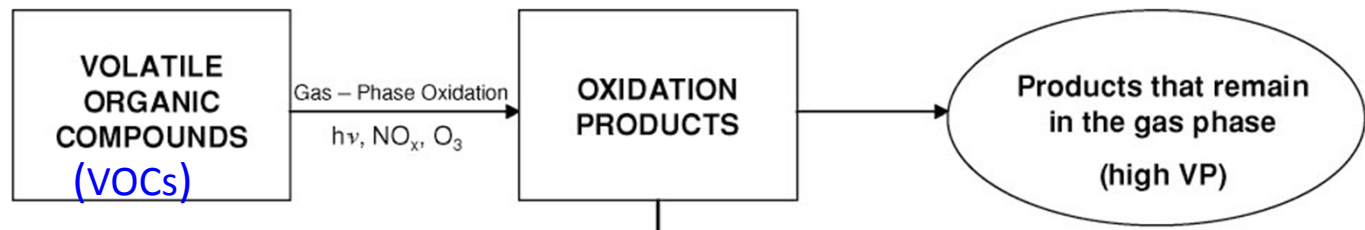
Percent that condenses into particles directly ~ 1%

Percent of the global NMHC flux to organic aerosol ~ 10%

**Conclusion: ~ 90% of organic aerosol comes from oxidation of NMHC  
(Secondary Organic Aerosol)**

**Of total global aerosol mass ~ 50% is organic**

# Secondary Organic Aerosol (SOA) Formation



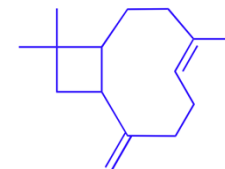
**VOC Examples:**  
Aromatics (e.g., Toluene)

Monoterpenes (C<sub>10</sub>H<sub>16</sub>)

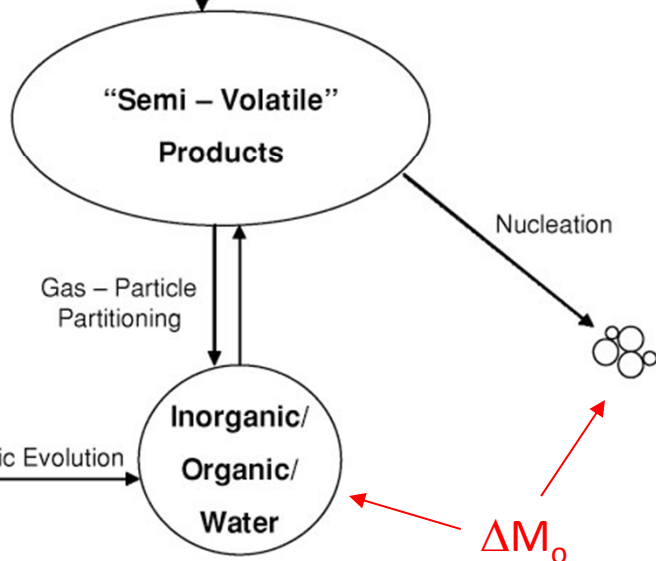


α-pinene

Sesquiterpenes (C<sub>15</sub>H<sub>24</sub>)



β-caryophyllene



This Process is Studied in Laboratory Chambers

$$\text{SOA Yield} = \Delta M_o / \Delta \text{VOC}$$

ΔM<sub>o</sub> = organic mass produced (μg/m<sup>3</sup>)

ΔVOC = mass of reacted VOC (μg/m<sup>3</sup>)

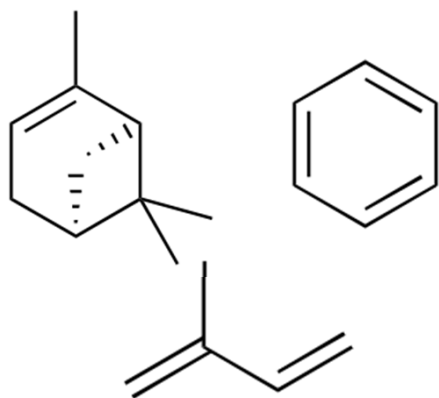


# Primary vs. Secondary Organic Aerosol

- Primary (POA):
  - Directly emitted
  - Traditionally considered non-volatile and
  - Non-reactive



- Secondary (SOA)

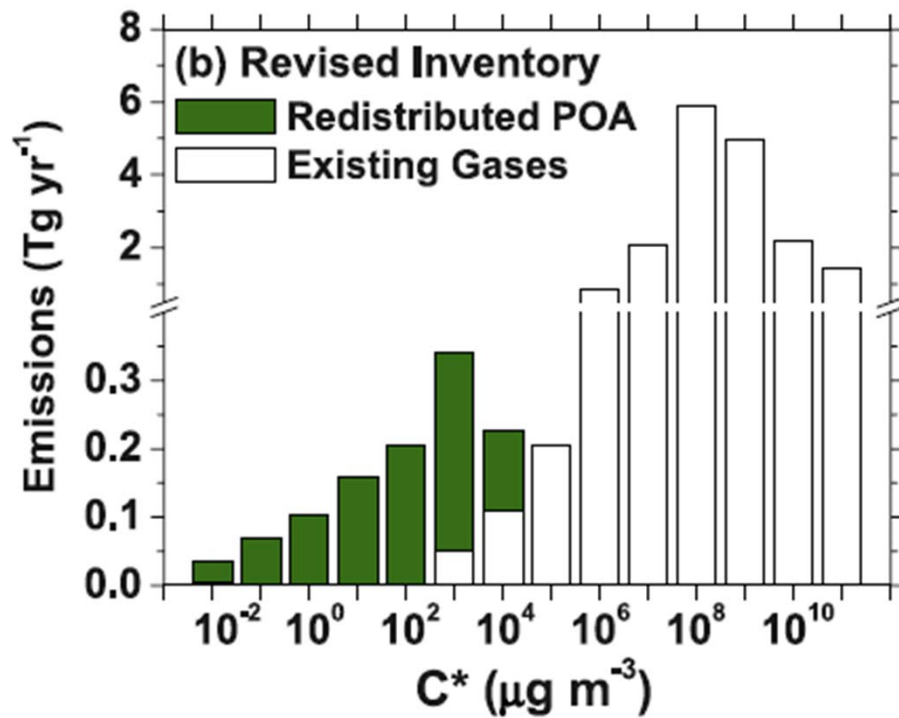


atmospheric oxidation

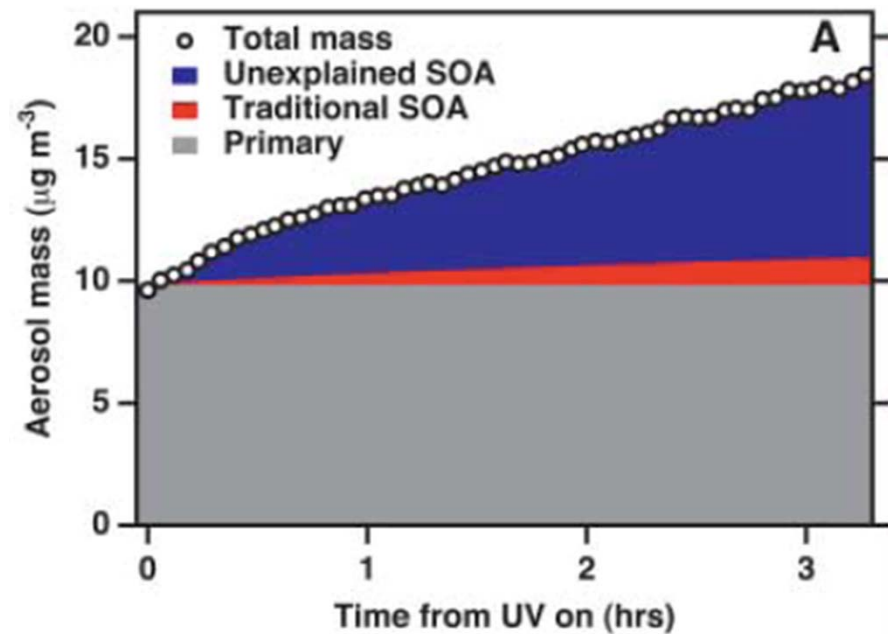


condensable  
products

# Semivolatile Emissions



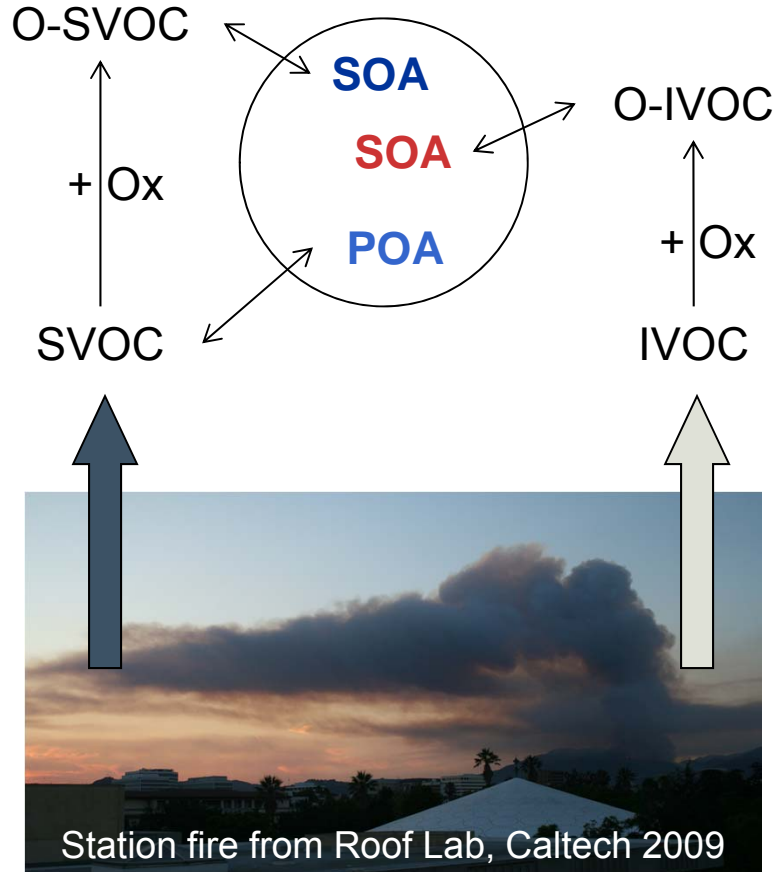
Shrivastava et al., *JGR* [2008]



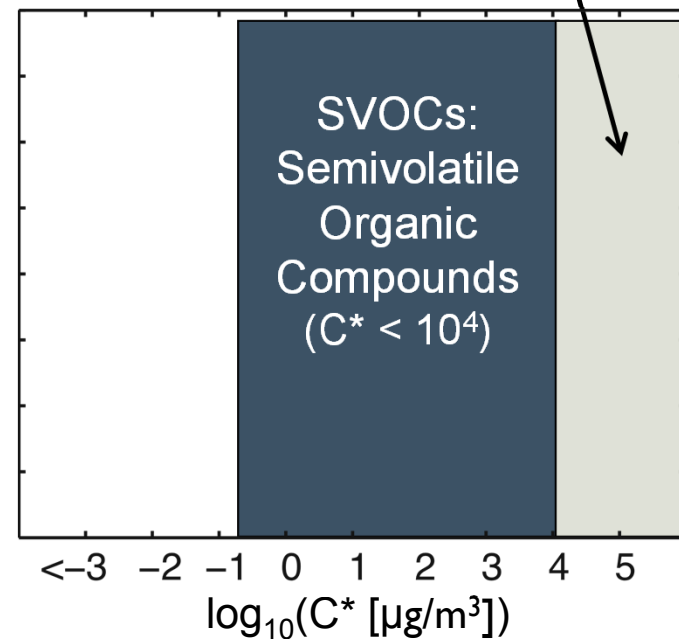
Robinson et al., *Science* [2007]

# Low-Volatility Organics

There is a large potential for low-volatility organics ( $C^* < 10^6 \mu\text{g}/\text{m}^3$ ) to form organic aerosol

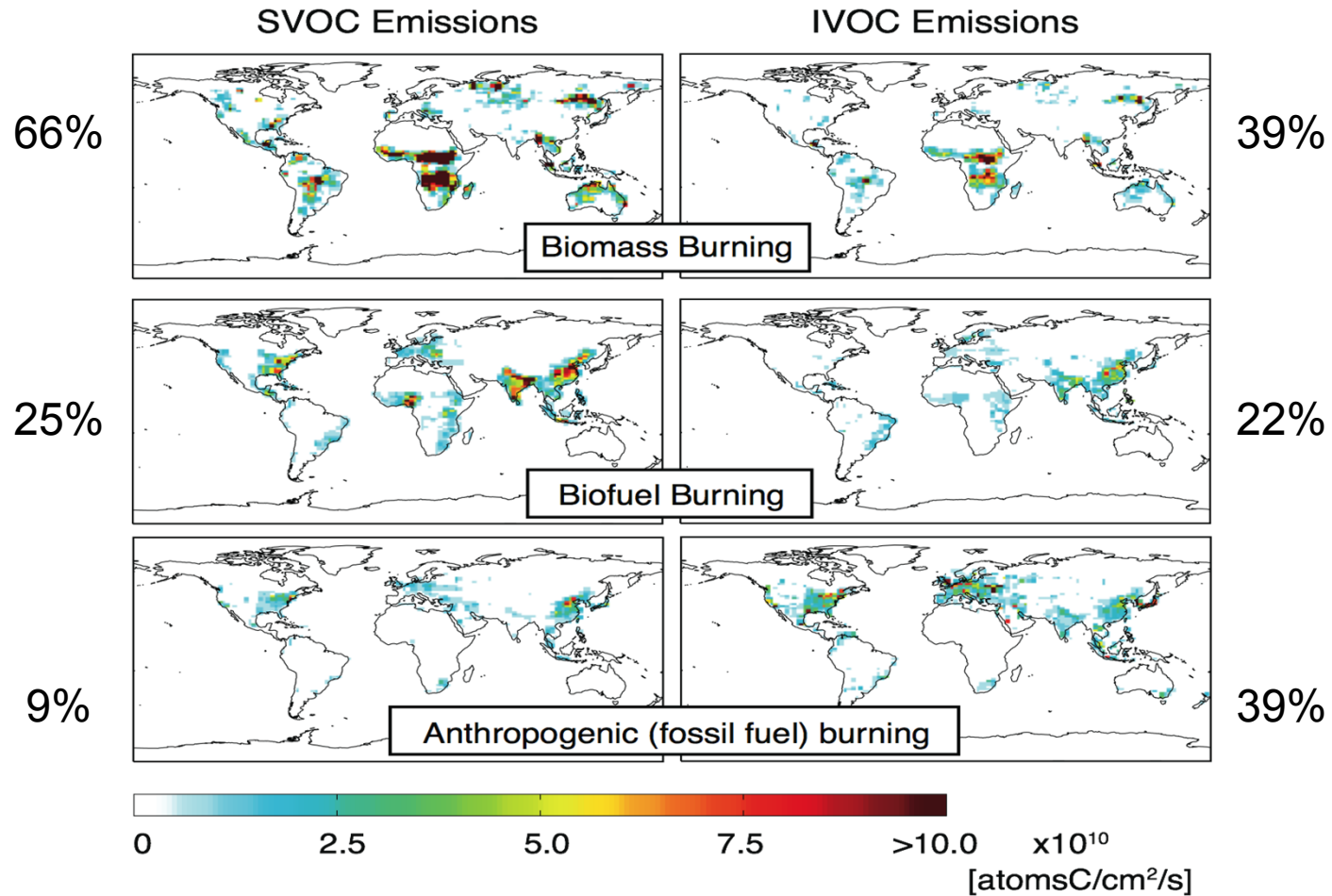


IVOCs: Intermediate Volatility Organic Compounds ( $10^4 < C^* < 10^6 \mu\text{g}/\text{m}^3$ )



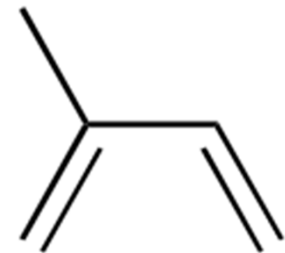


# SVOC and IVOC Emissions



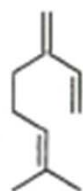
# Isoprene (C<sub>5</sub>H<sub>8</sub>)

- Highest emissions among all non-methane hydrocarbons (~600 Tg/year) [Guenther et al., 2006]
- Photooxidation of isoprene leads to SOA formation, with complex behavior depending on the NO<sub>x</sub> level and NO<sub>2</sub>/NO ratio

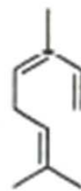


# Biogenic Hydrocarbons

## Acyclic Triolefins

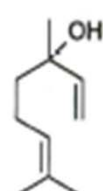


Myrcene

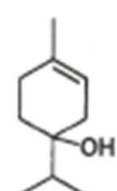


Ocimene

## Oxygenated Terpenes

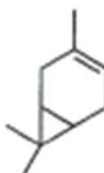


Linalool

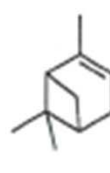


Terpinene-4-ol

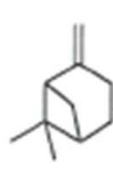
## Bicyclic Olefins



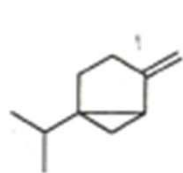
$\Delta^3$ -Carene



$\alpha$ -Pinene

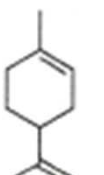


$\beta$ -Pinene

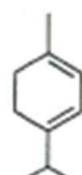


Sabinene

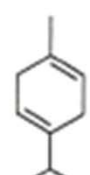
## Cyclic Diolefins



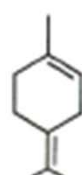
Limonene



$\alpha$ -Terpinene

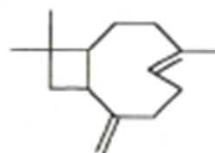


$\gamma$ -Terpinene

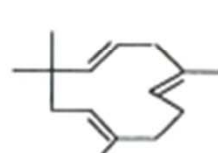


Terpinolene

## Sesquiterpenes

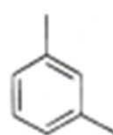


$\beta$ -Caryophyllene

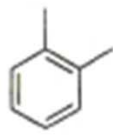


$\alpha$ -Humulene

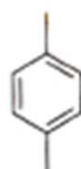
# Aromatic Hydrocarbons



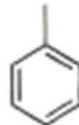
m-xylene



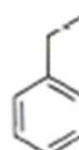
o-xylene



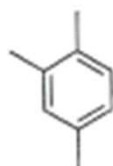
p-xylene



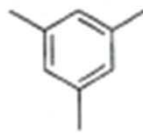
toluene



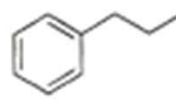
ethylbenzene



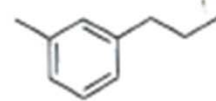
1,2,4-trimethylbenzene



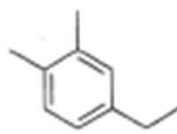
1,3,5-trimethylbenzene



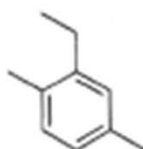
n-propylbenzene



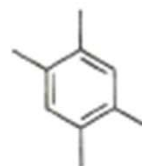
1-methyl-3-n-propylbenzene



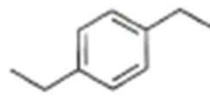
1,2-dimethyl-4-ethylbenzene



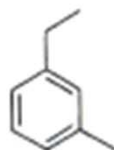
1,4-dimethyl-2-ethylbenzene



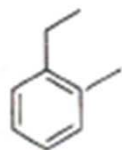
1,2,4,5-tetramethylbenzene



p-diethylbenzene



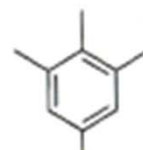
m-ethyltoluene



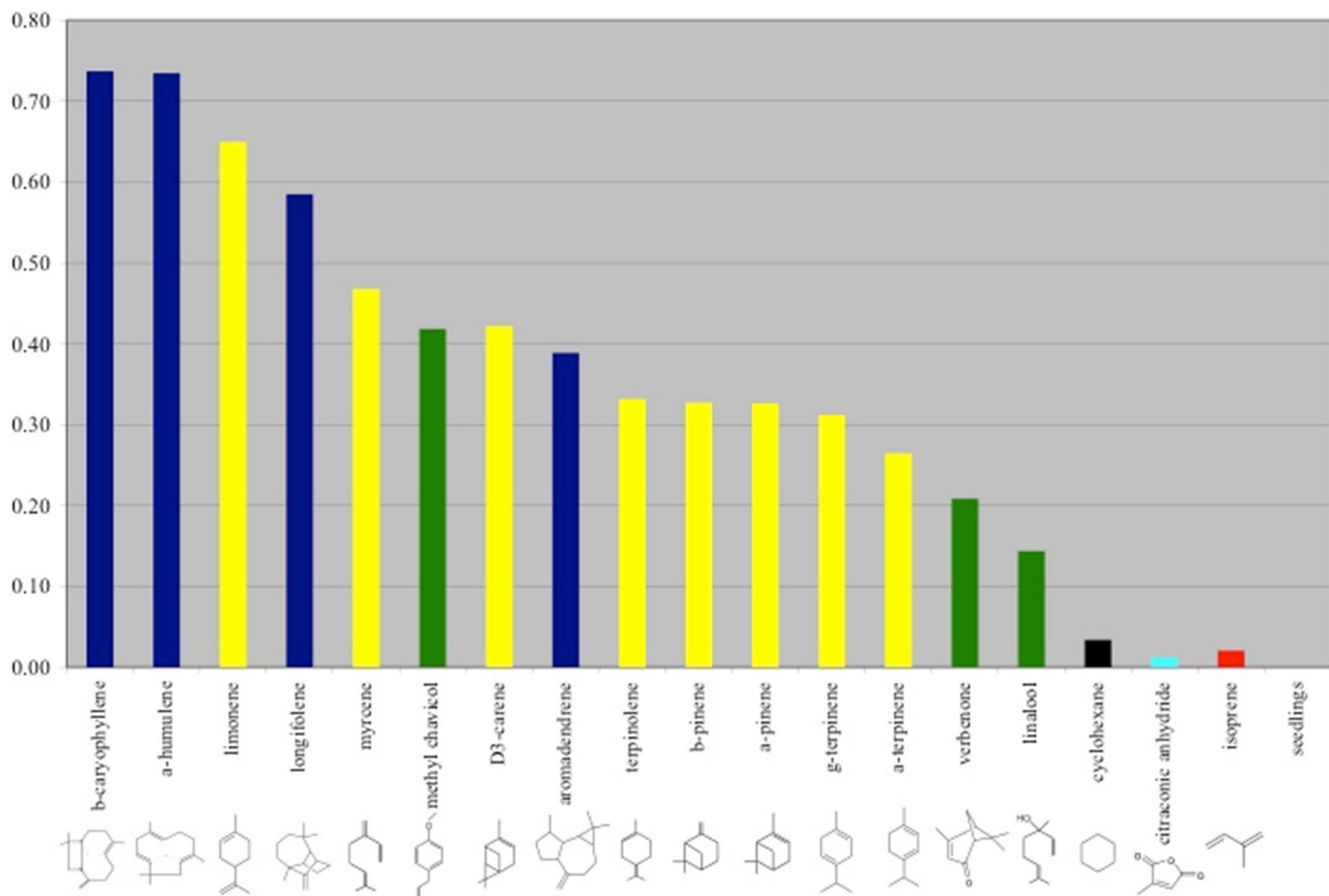
o-ethyltoluene

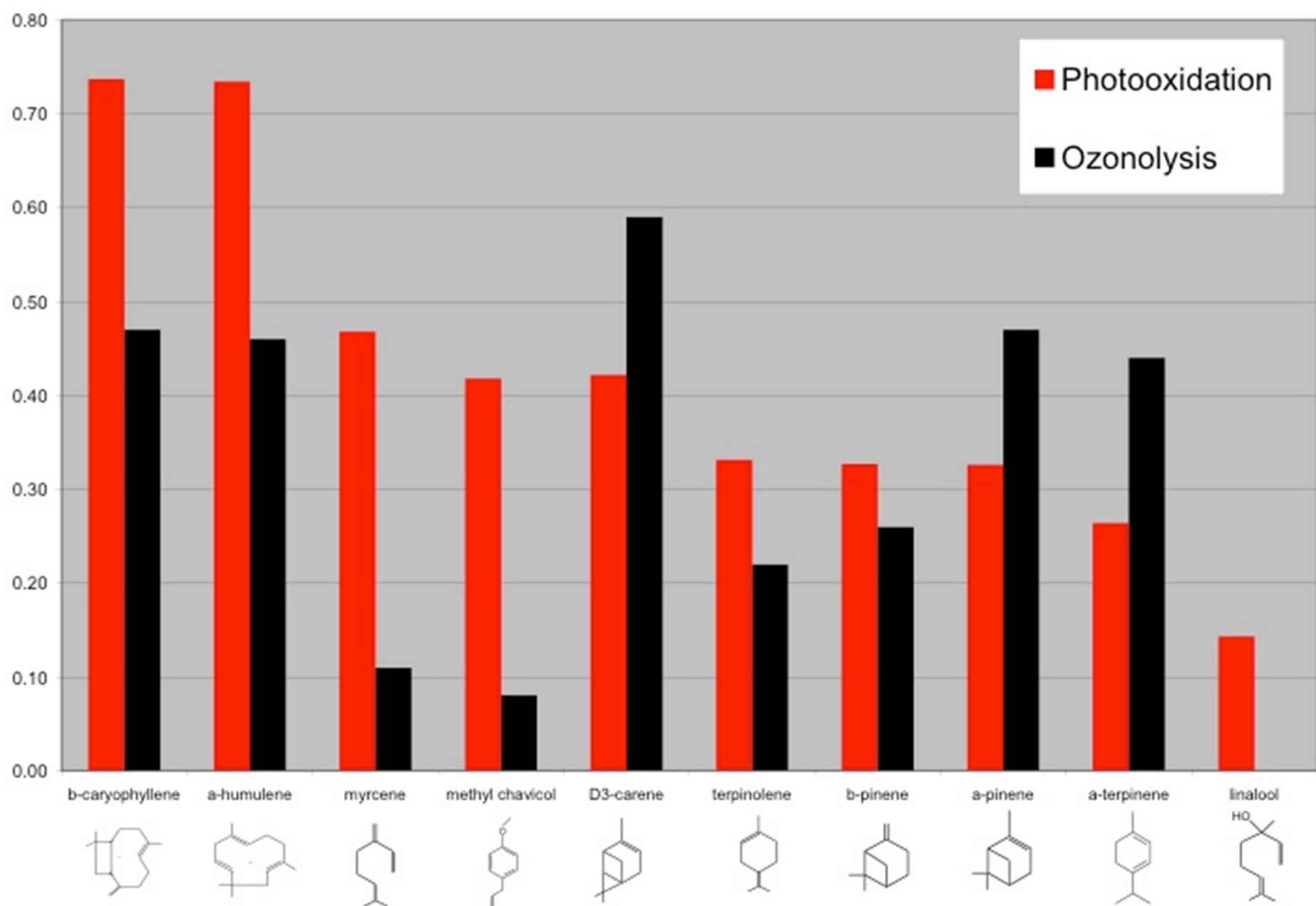


p-ethyltoluene

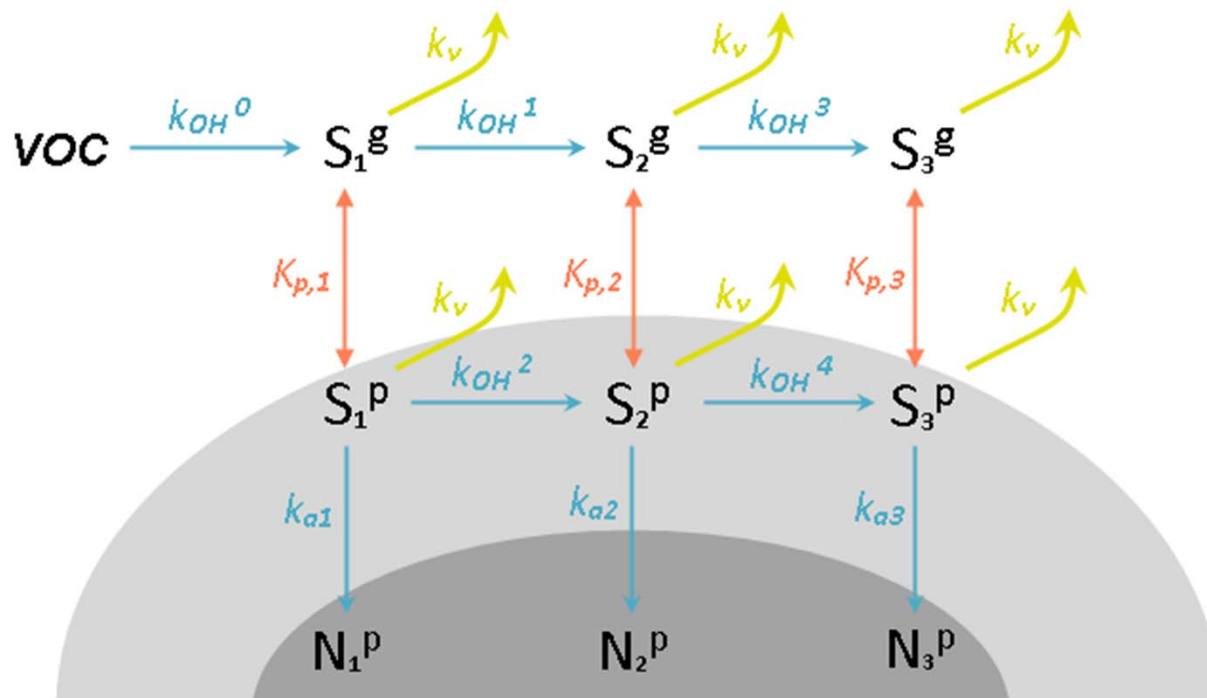


1,2,3,5-tetramethylbenzene





# General Mechanism of SOA Formation and Evolution

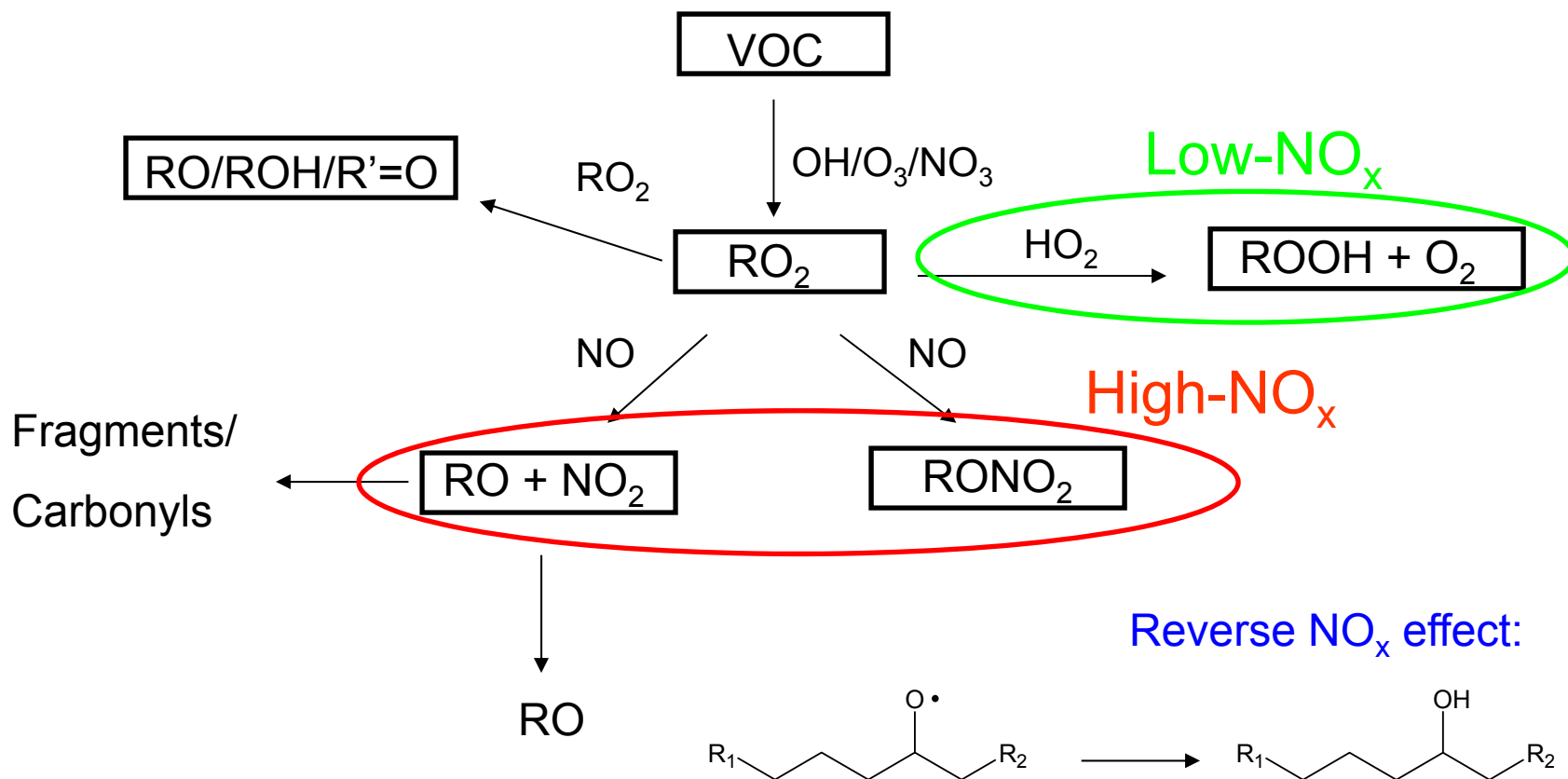


$S_i^g$  :  $i^{\text{th}}$ -generation semi-volatile products in the gas phase

$S_i^p$  :  $i^{\text{th}}$ -generation semi-volatile products in the particle phase

$N_i^p$  :  $i^{\text{th}}$ -generation non-volatile products in the particle phase (e.g. semi-solid)

# Peroxy radical chemistry

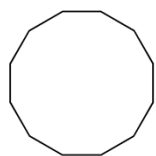


- Small alkoxy radical easily fragmented
- Organic nitrates relatively volatile
- Peroxides: important SOA components

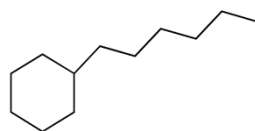


# Photooxidation of C<sub>12</sub> Alkanes

- Compounds studied:



cyclododecane  
C<sub>12</sub>H<sub>24</sub>



hexylcyclohexane  
C<sub>12</sub>H<sub>24</sub>



dodecane  
C<sub>12</sub>H<sub>26</sub>



2-methylundecane  
C<sub>12</sub>H<sub>26</sub>

- Experimental conditions:

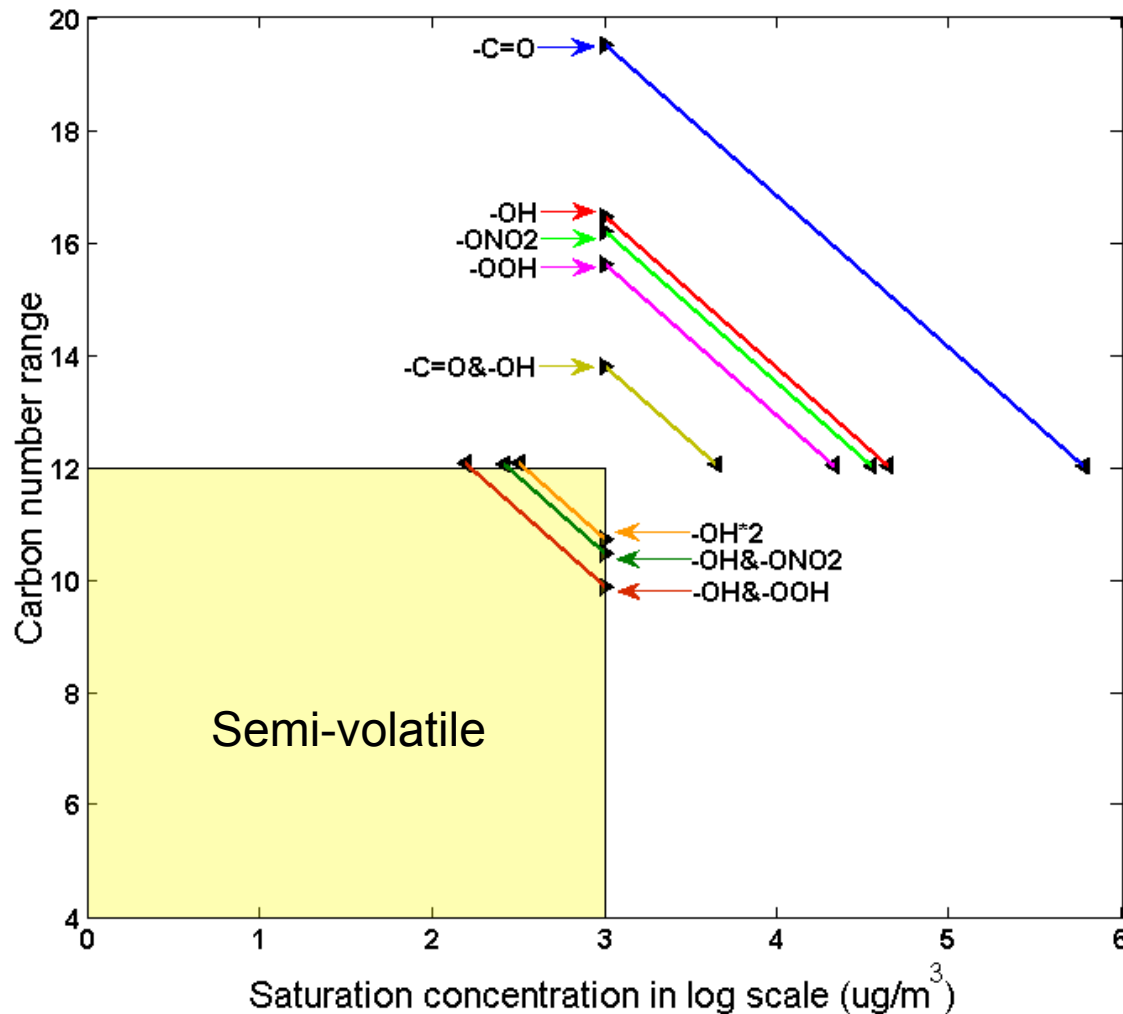
Ammonium sulfate seed; T~25°C, RH<5%

Low-NO<sub>x</sub> experiments:  $\text{H}_2\text{O}_2 + h\nu \rightarrow 2\text{OH}$

High-NO<sub>x</sub> experiments:  $\text{HONO} + h\nu \rightarrow \text{OH} + \text{NO}$

# SOA Formation from C<sub>12</sub> Alkanes as an Illustration of the Nature of Multi-generation Oxidation

**1st-generation products [Functional groups from Master Chemical Mechanism (MCM) University of Leeds]**



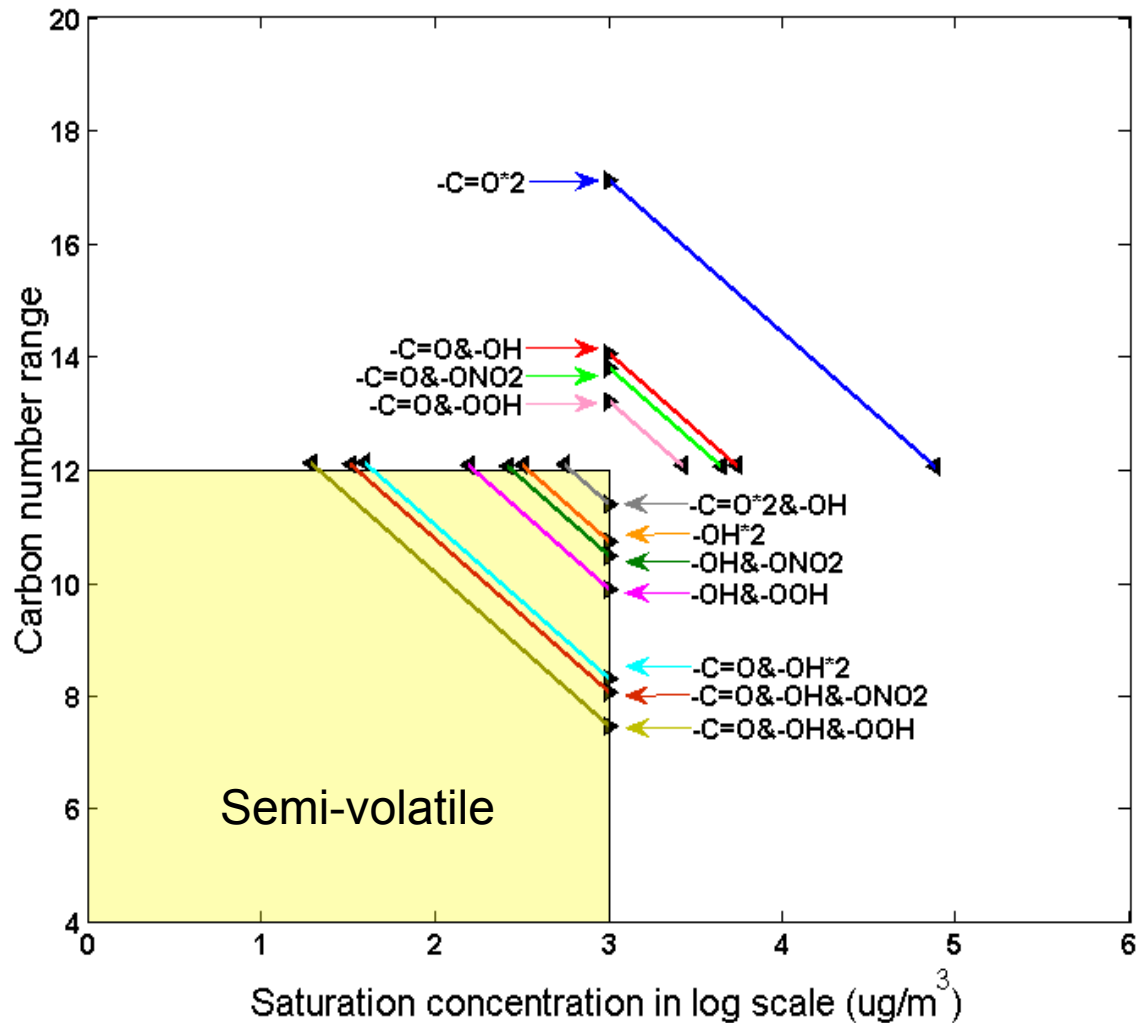
The upper limit of n<sub>c</sub> should be the same as parent VOC.

The lower limit of n<sub>c</sub> lies in the domain of semi-volatile compounds.

The possible carbon number after multi-generation oxidation lies in the range between upper and lower limits.

**3 products in 1st generation  
(10 ≤ n<sub>c</sub> ≤ 12)**

# C<sub>12</sub> Alkanes – 2<sup>nd</sup> Generation



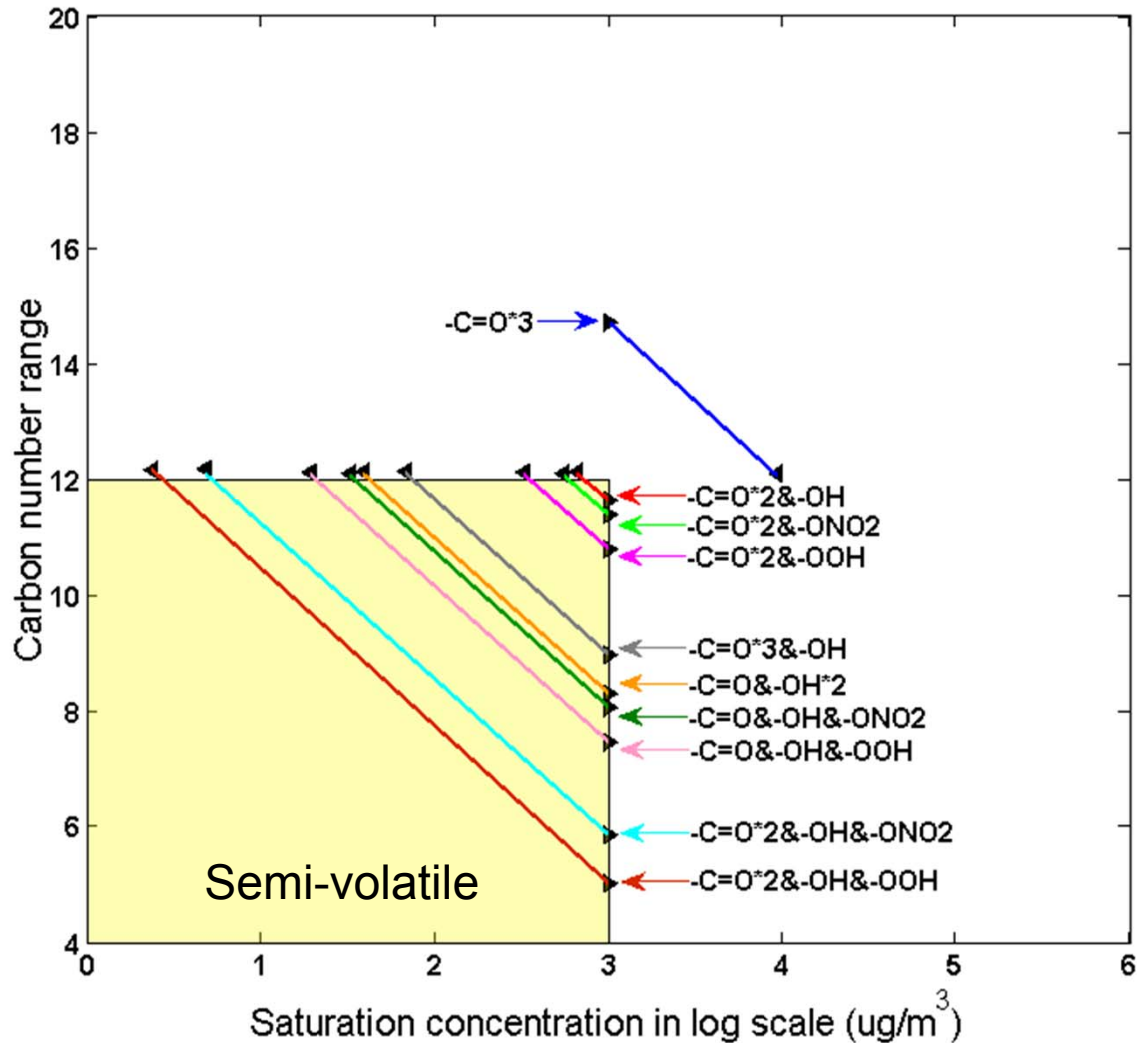
The upper limit of  $n_c$  should be the same as parent VOC.

The lower limit of  $n_c$  lies in the domain of semi-volatile compounds.

The possible carbon number after multi-generation oxidation lies in the range between upper and lower limits.

7 products in 2nd generation  
 $(8 \leq n_c \leq 12)$

# C<sub>12</sub> Alkanes – 3<sup>rd</sup> Generation



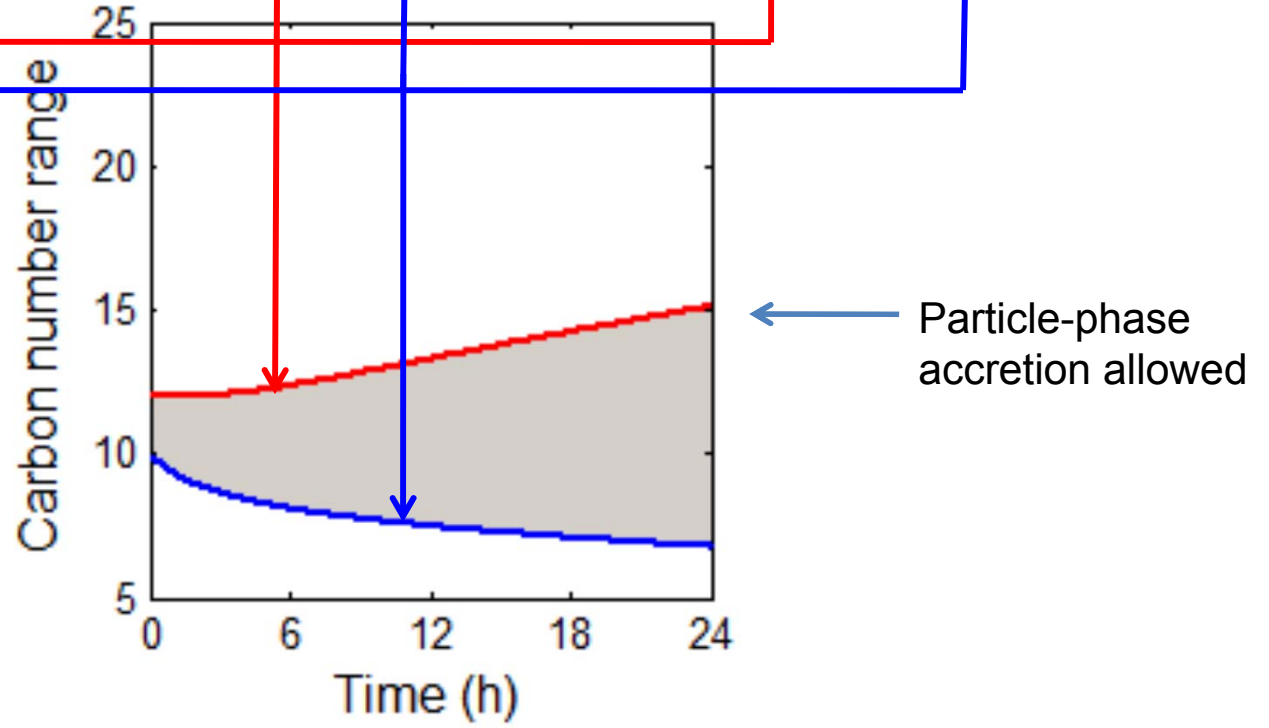
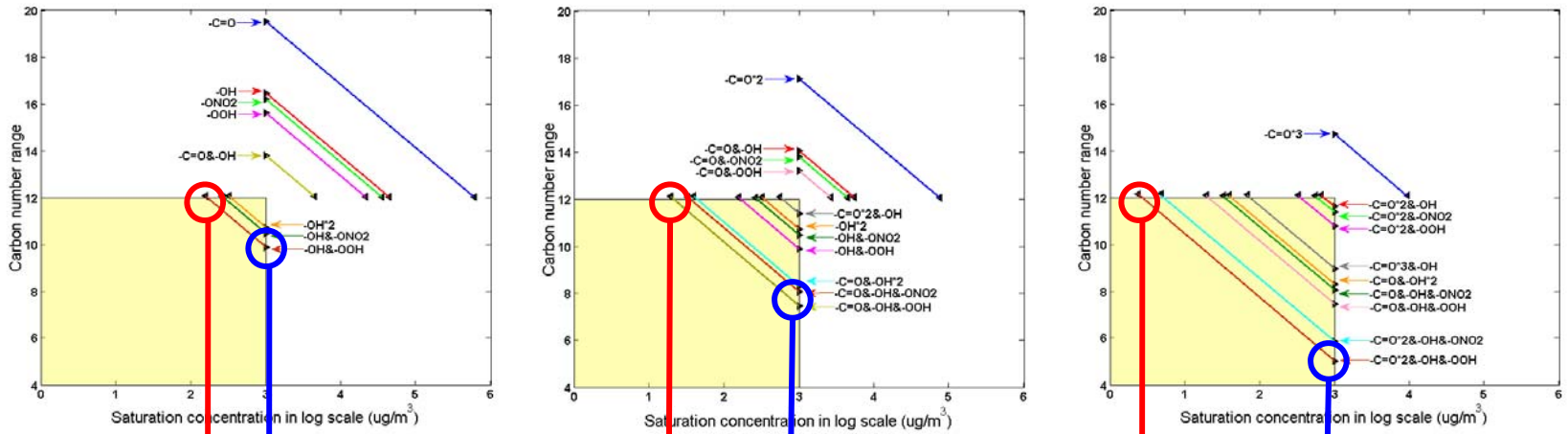
The upper limit of  $n_c$  should be the same as parent VOC.

The lower limit of  $n_c$  lies in the domain of semi-volatile compounds.

The possible carbon number after multi-generation oxidation lies in the range between upper and lower limits.

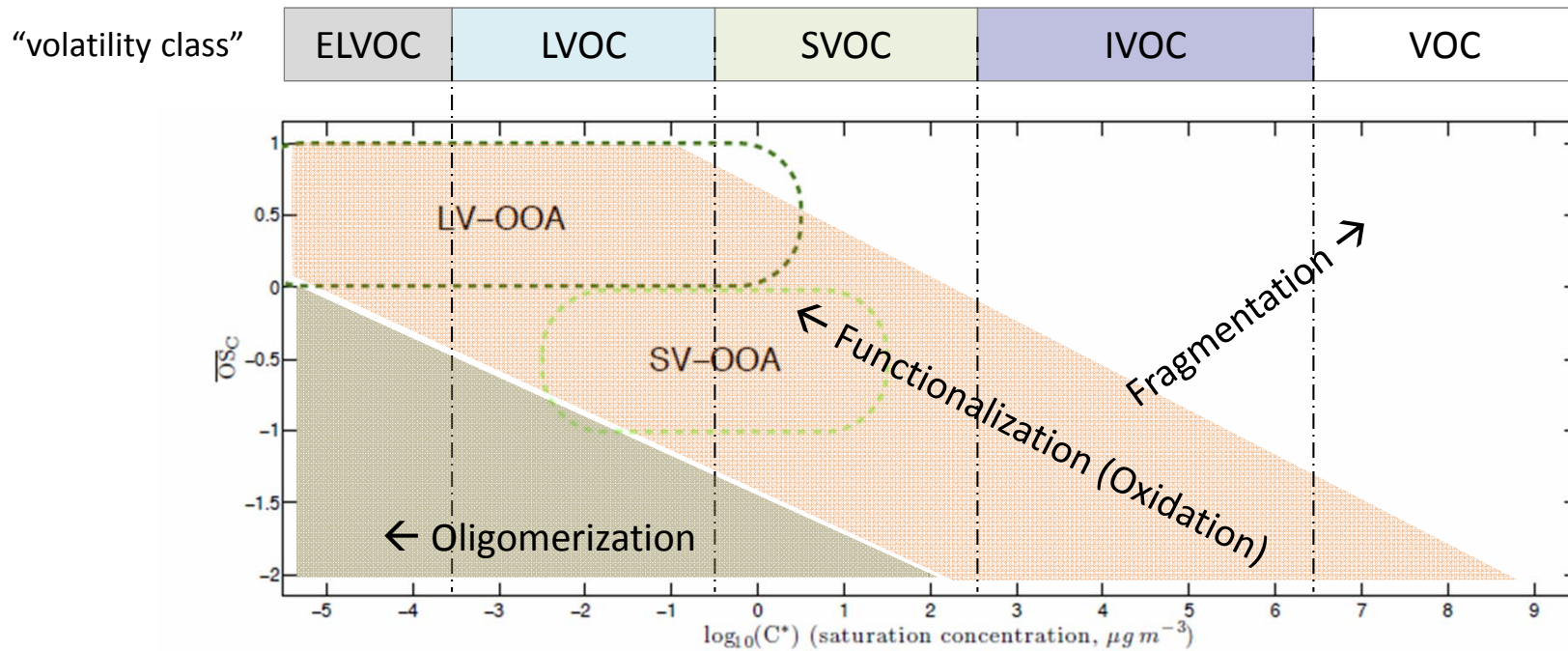
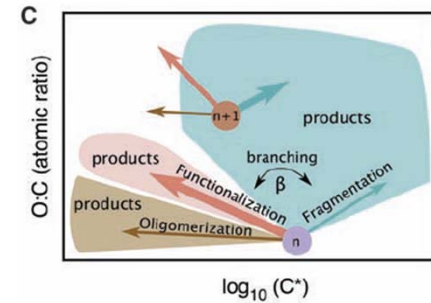
9 products in 3rd generation  
( $6 \leq n_c \leq 12$ )

# Carbon Number Range



$M_0(0) = 1 \mu\text{g}/\text{m}^3$

# 2-D mapping of SOA: Oxidation state vs. volatility

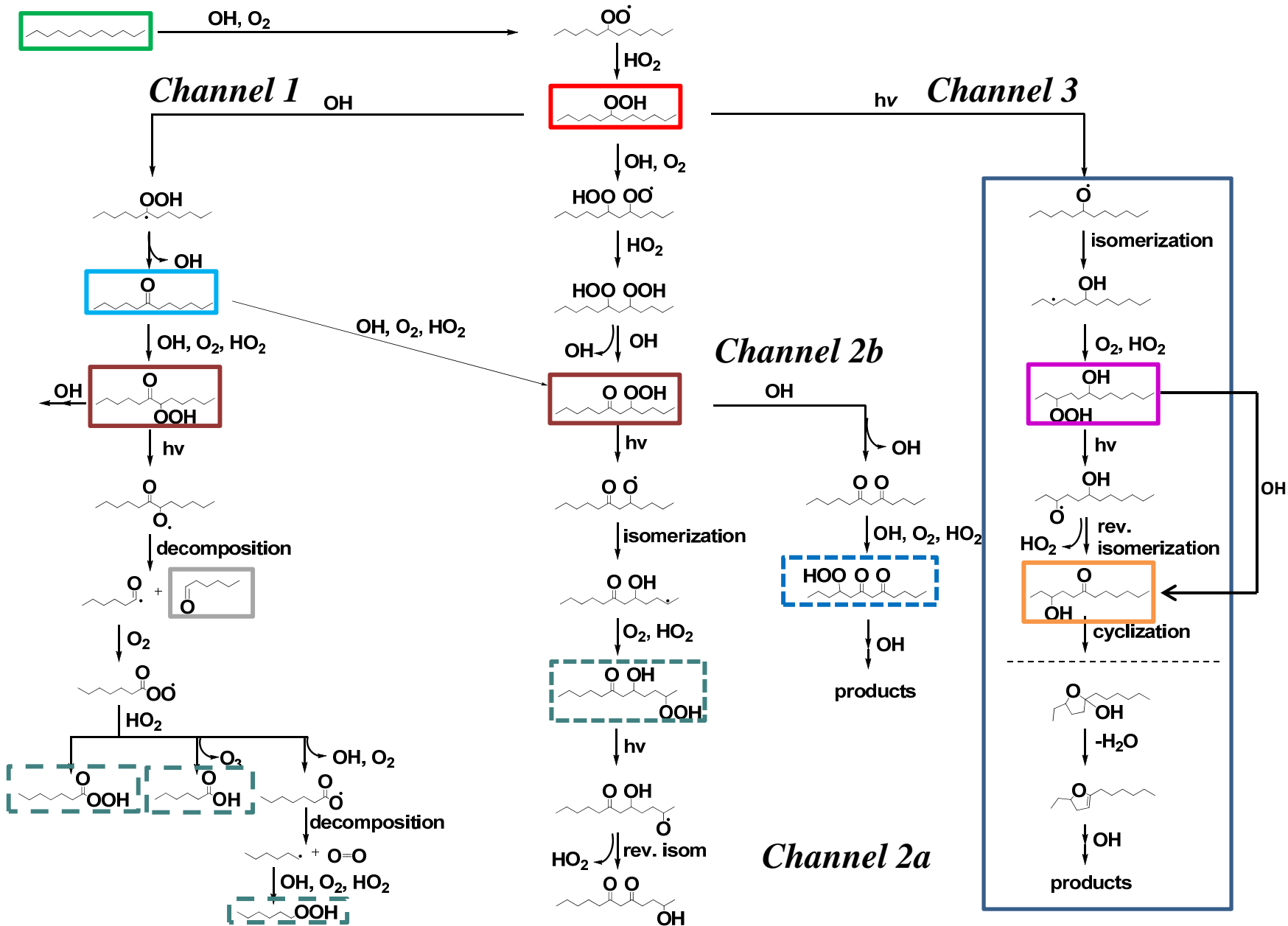


# Caltech Laboratory Chambers



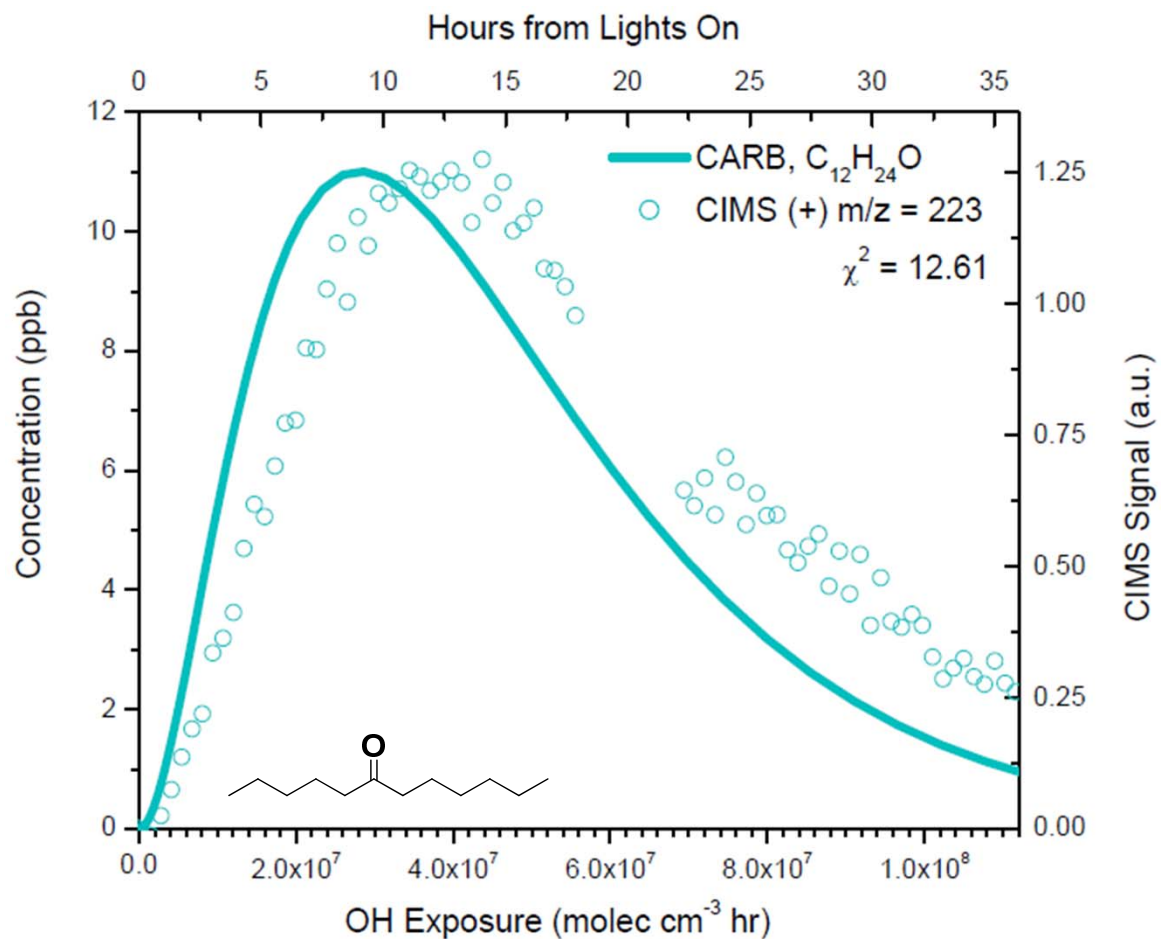
- 2 Teflon chambers, 28 m<sup>3</sup> each
- Scanning differential mobility analyzer (DMA): particle size distribution, volume
- GC-FID: hydrocarbon and NO<sub>x</sub>, O<sub>3</sub>, RH, T
- CIMS and GC-TOFMS: gas-phase organics
- Aerodyne Aerosol Mass Spectrometer (AMS): on-line particle mass, composition
- Particle into Liquid Sampler coupled to IC (PILS/IC): organic and inorganic ions
- Teflon filters: off-line chemical analysis
- UPLC/ESI-high resolution-TOFMS:
  - ❖ mass resolution ~ 12 000
  - ❖ accurate mass measurements (elemental compositions)
- HPLC/ESI-Linear Ion Trap MS:
  - ❖ tandem MS measurements
  - ❖ structural elucidation & confirmation
- GC/Ion Trap MS with prior derivatization

# Dodecane Low- $\text{NO}_x$ Oxidation

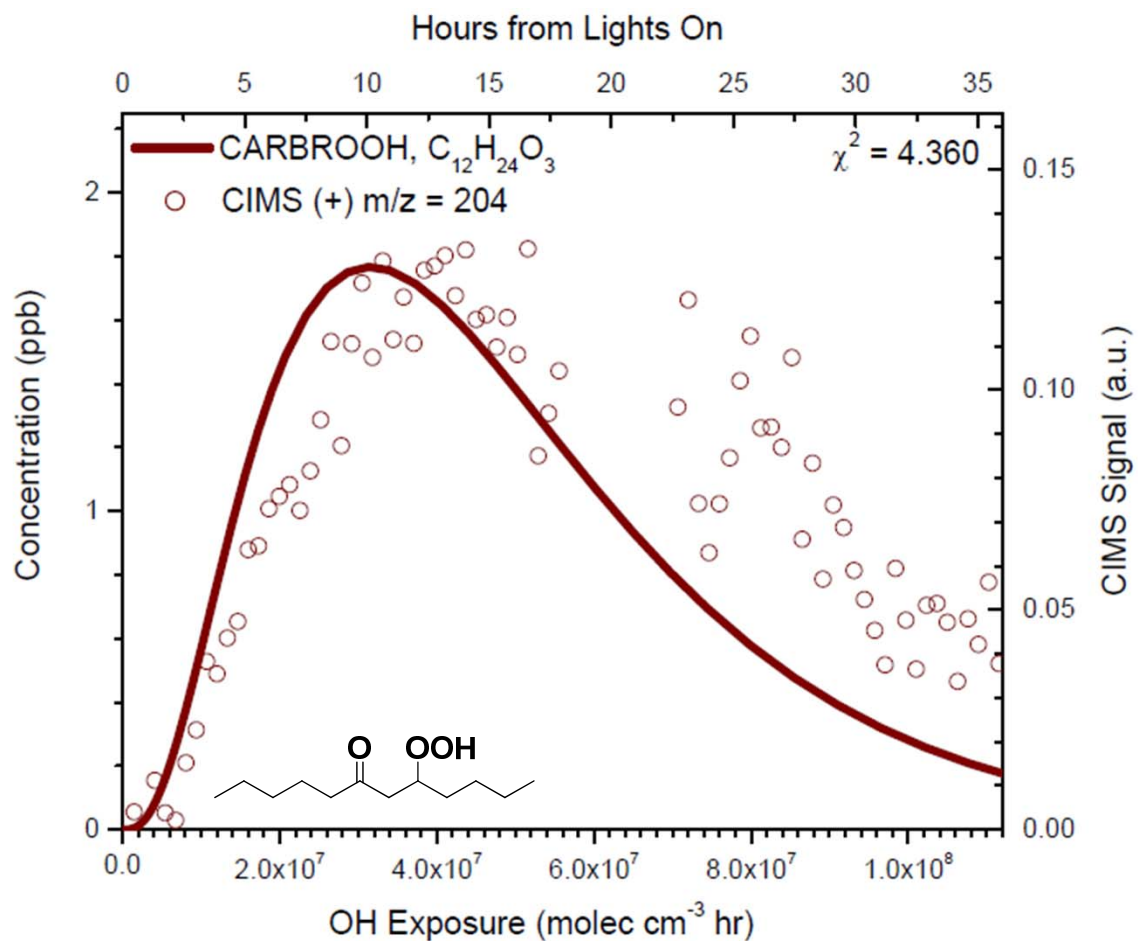




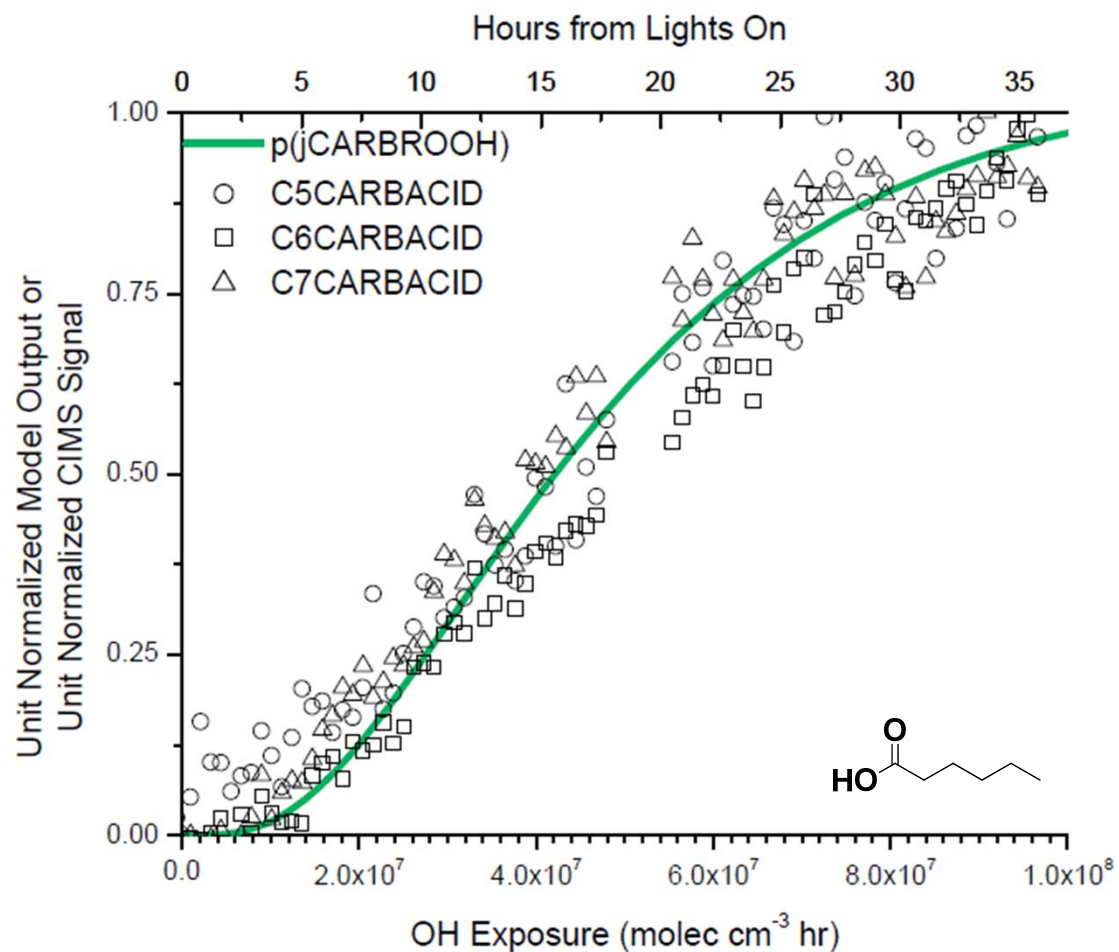
# Mechanism and CIMS measurement: Carbonyl formation



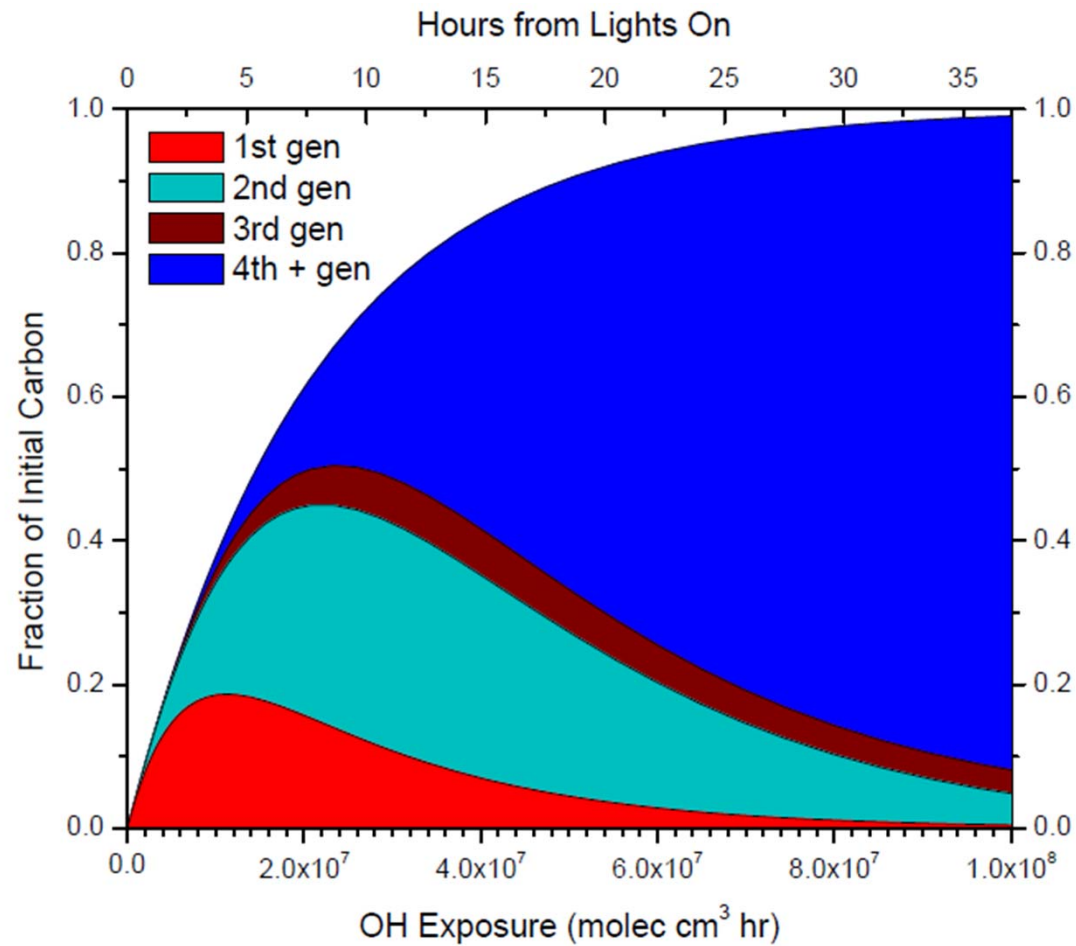
# Mechanism and CIMS measurement: Carbonyl hydroperoxide



# Mechanism and CIMS measurement: Acid formation

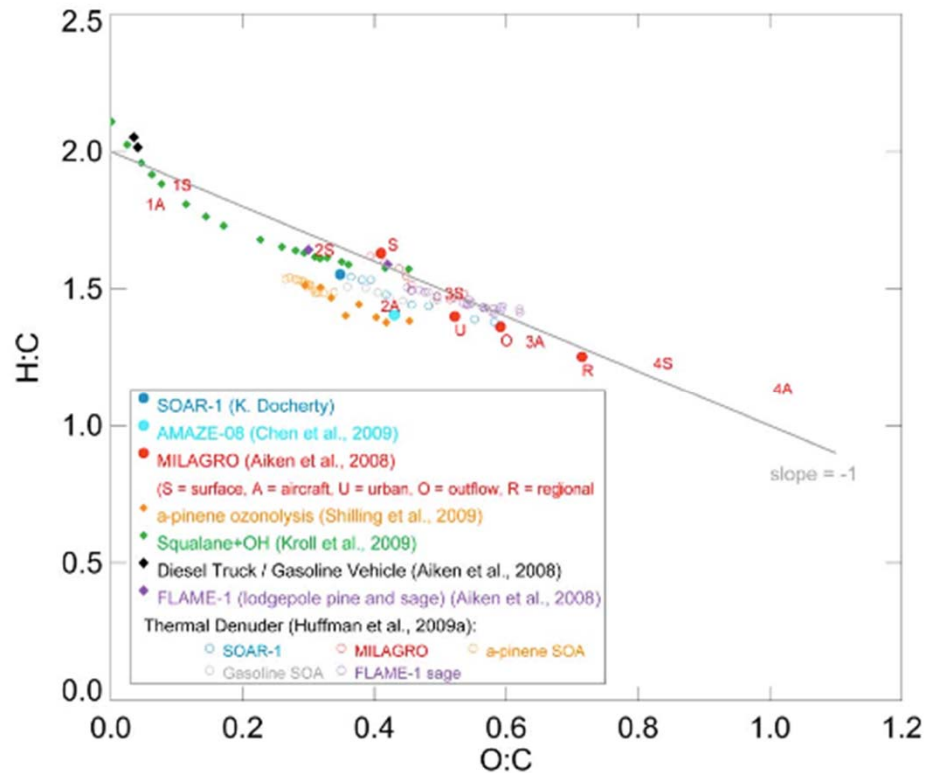


# Carbon Balance



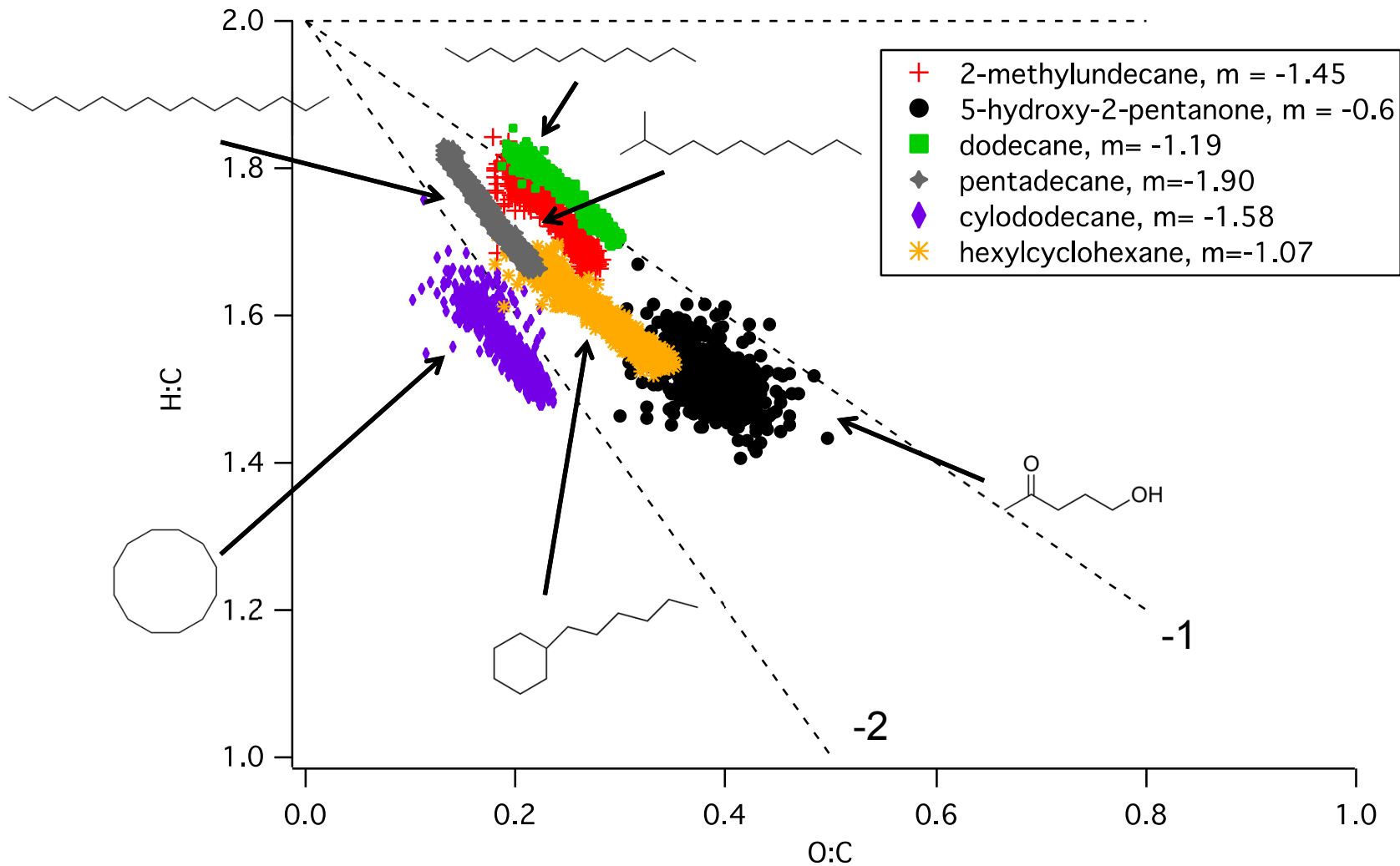
Majority of initial carbon ends up as  $\geq 4^{\text{th}}$  generation compounds.

# Van Krevelen Diagram - H:C vs. O:C for Organic Aerosol



- ◆ **Heald et al., GRL, 2010** showed a slope of -1 for ambient and laboratory data
- ◆ **Ng et al., ACP 2011** showed a slope of -0.5 for ambient aerosol
- ◆ **Chhabra et al., ACP 2011** showed how atmospherically relevant SOA chamber studies, when averaged, show similar slope on VK diagram as ambient aerosol
- ◆ **Lambe et al., ACP 2011** present how PAM flow tube data has slope that is consistent with chamber and ambient data, and then extends the range for very high H:C/lowO:C to lower H:C/highO:C.

# Low-NO<sub>x</sub> Alkane SOA

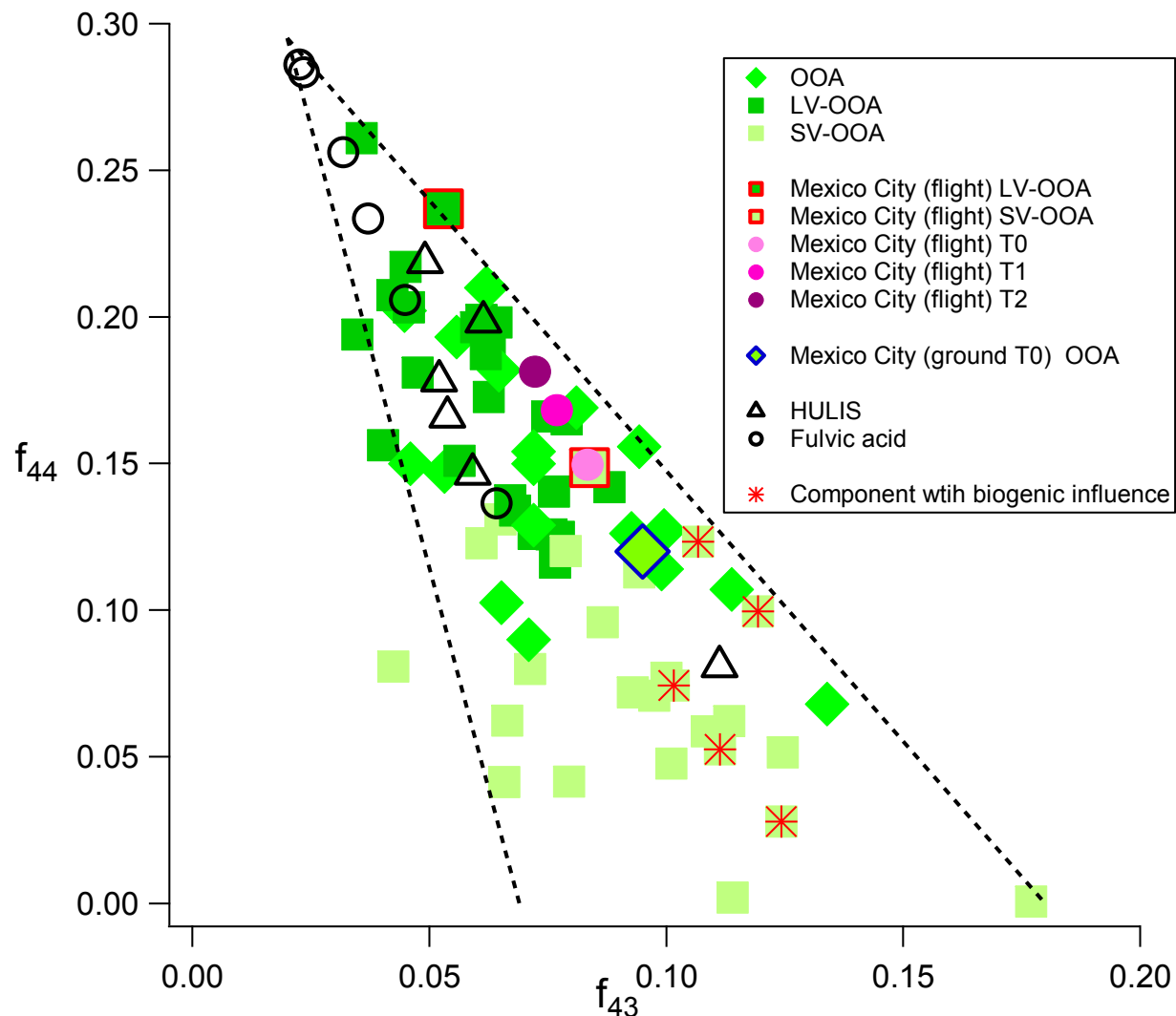


◆ Cumulative OH exposure for Low NO<sub>x</sub>: 70-100 x10<sup>6</sup> molec. cm<sup>-3</sup> min

# Triangle plot

Photochemical age

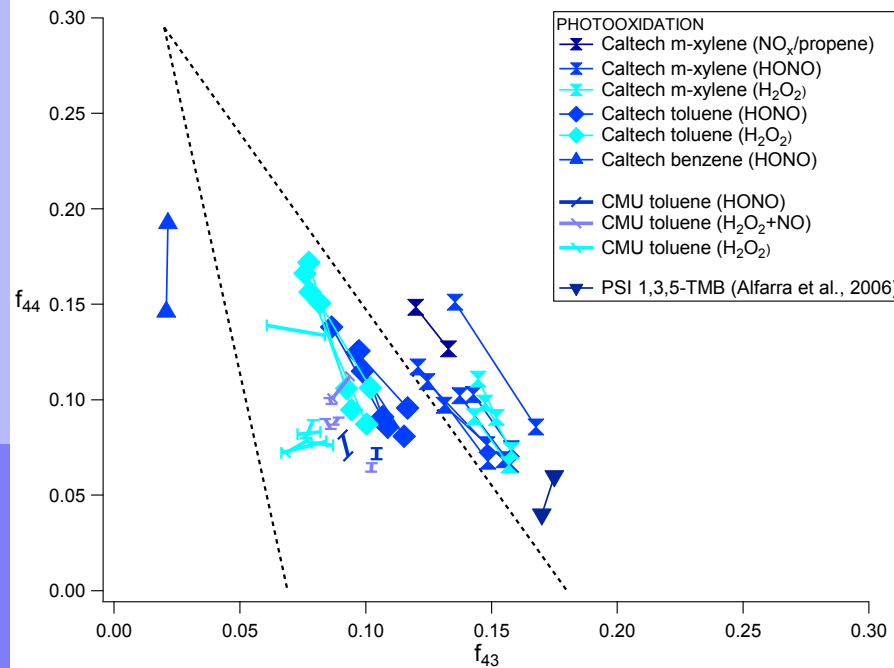
O/C ratio



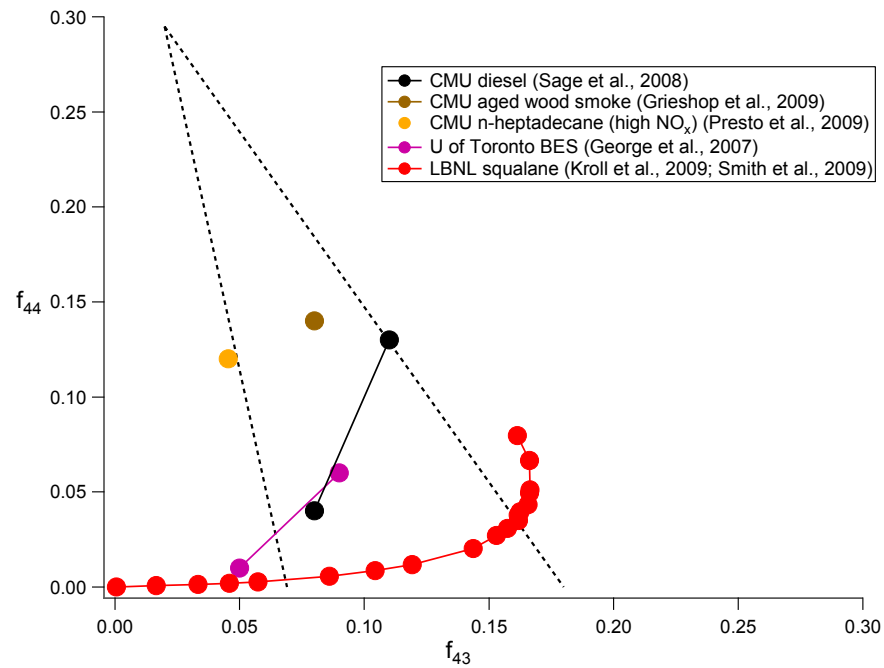
- SV-OOA has larger variability in  $f_{43}$ ; Increasing photochemical age collapses variability, OOA components become increasingly similar to each other
- $f_{44}$  of the LV-OOA components similar to those from HULIS collected in filter samples
- Increasing photochemical age: increasing O/C, 44/43 ratio

# Degree of oxidation obtained in chamber studies

## Aromatic hydrocarbons

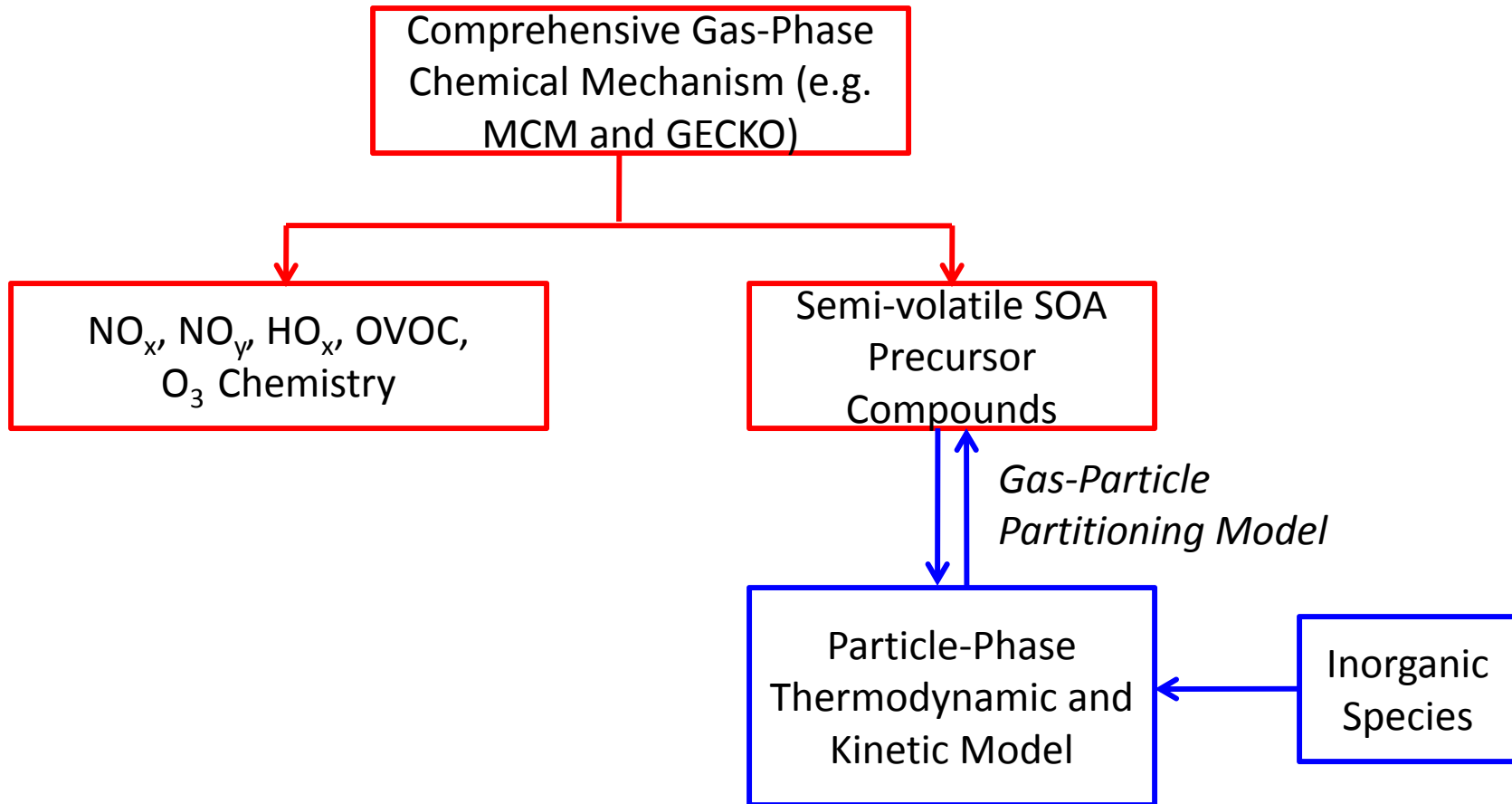


## Photooxidation/heterogeneous oxidation of POA





# SOA: Explicit Chemical Modeling



This degree of chemical specificity is not possible in atmospheric models (even if all the reactions were known)

# Cappa and Wilson Statistical Oxidation Model

- Oxidation kinetics in a multi-dimensional space defined by  $n_C$  and  $n_O$
- Tunable parameters:
  - (1) Avg # of O atoms added/reaction
  - (2) Decrease in volatility/O added
  - (3) Probability that a given product fragments

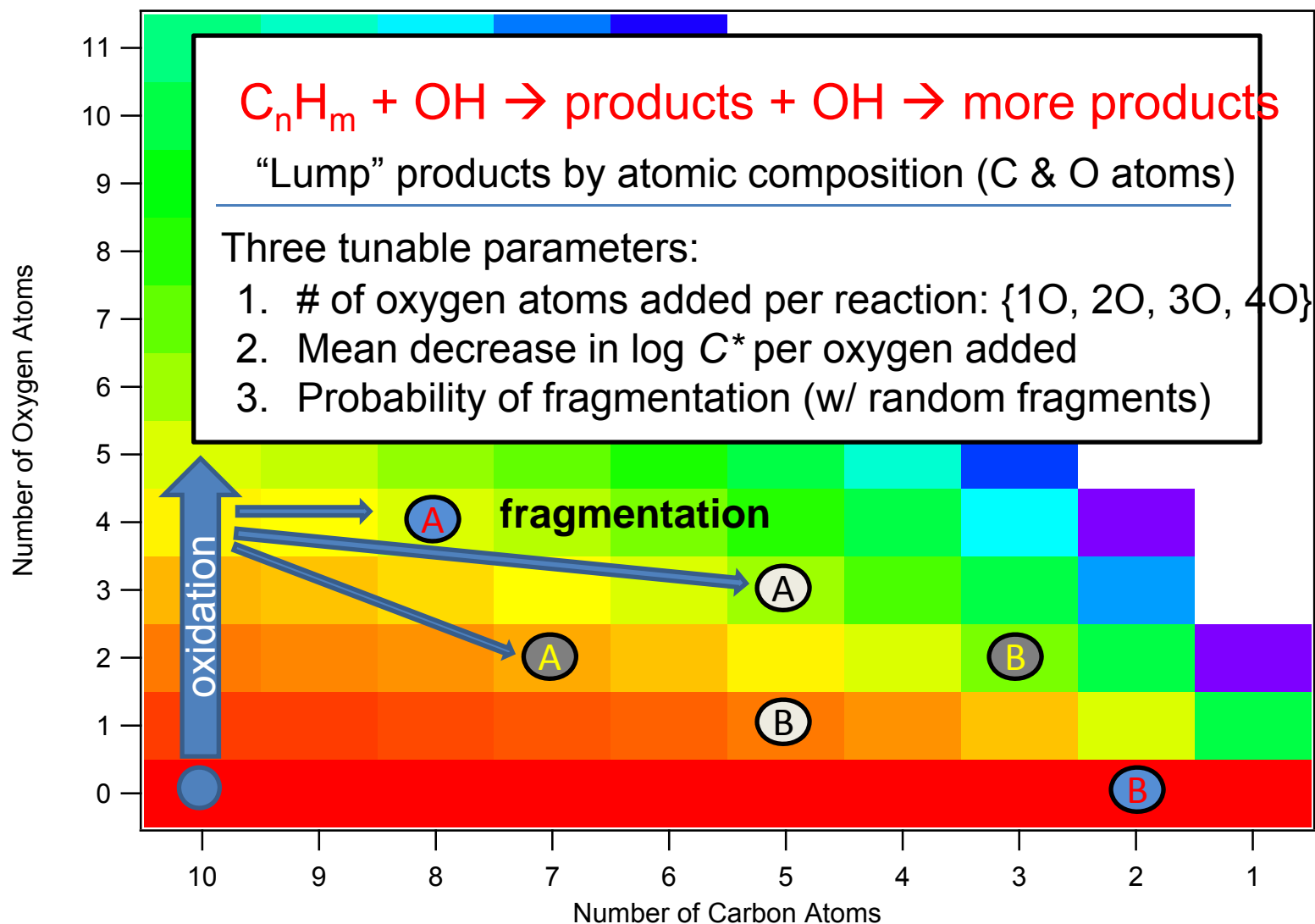
$$P_{\text{frag}} (\text{per reaction}) = c_{\text{frag}} N_O$$

or

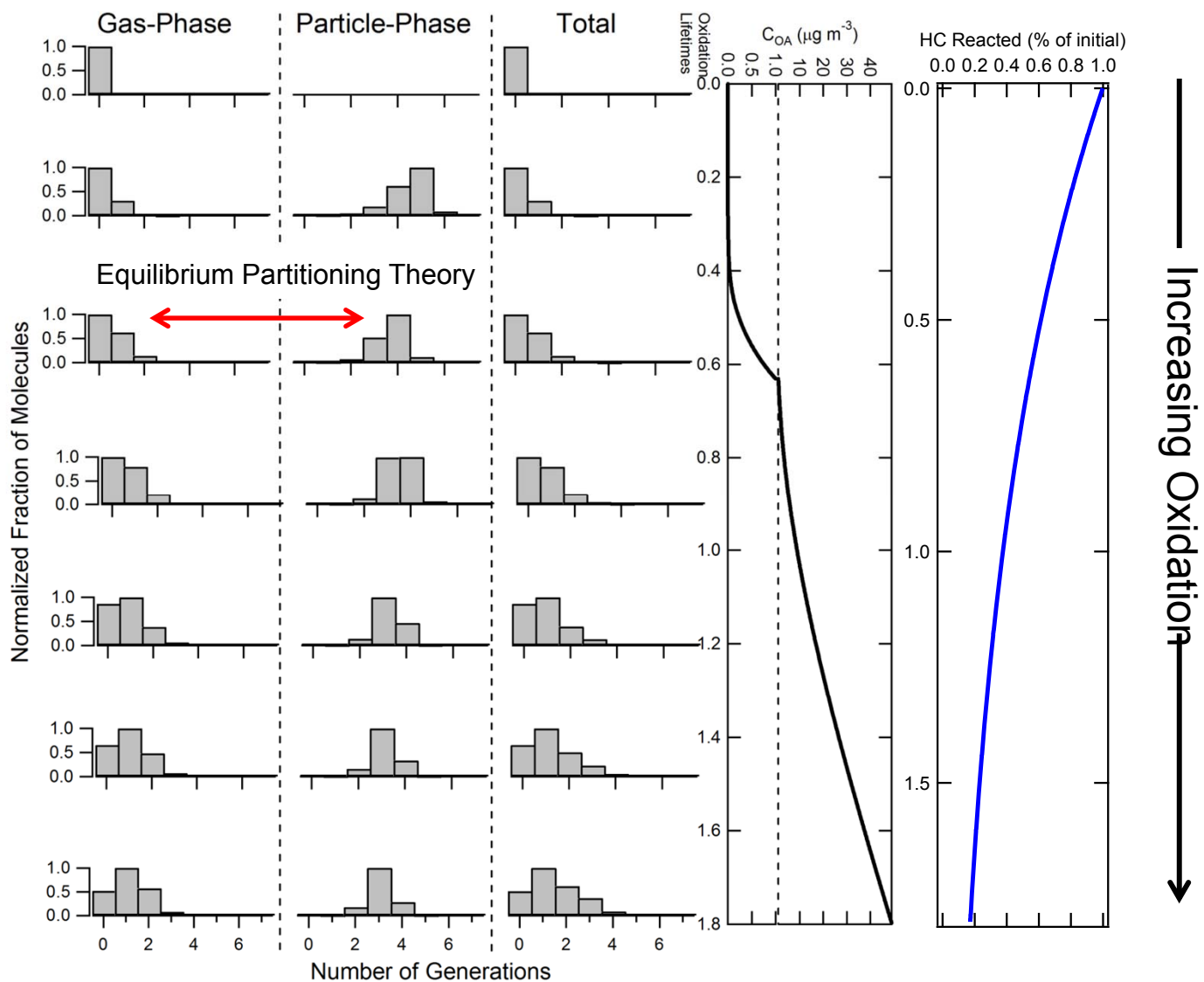
$$P_{\text{frag}} (\text{per reaction}) = (N_O/N_C)^m$$

Random probability for location of C-C bond scission or only C1 species

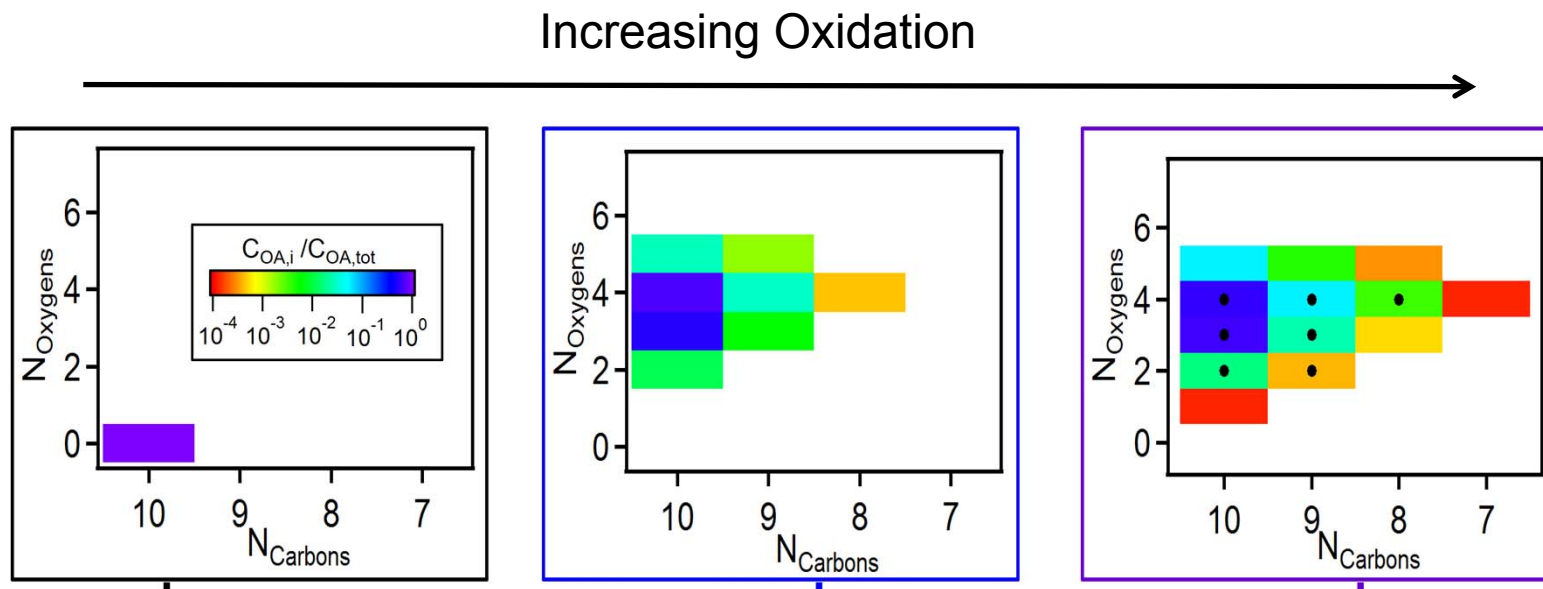
# Statistical Oxidation Model



# Statistical Oxidation Model: No Fragmentation (1-D)



# Statistical Oxidation Model: With Fragmentation

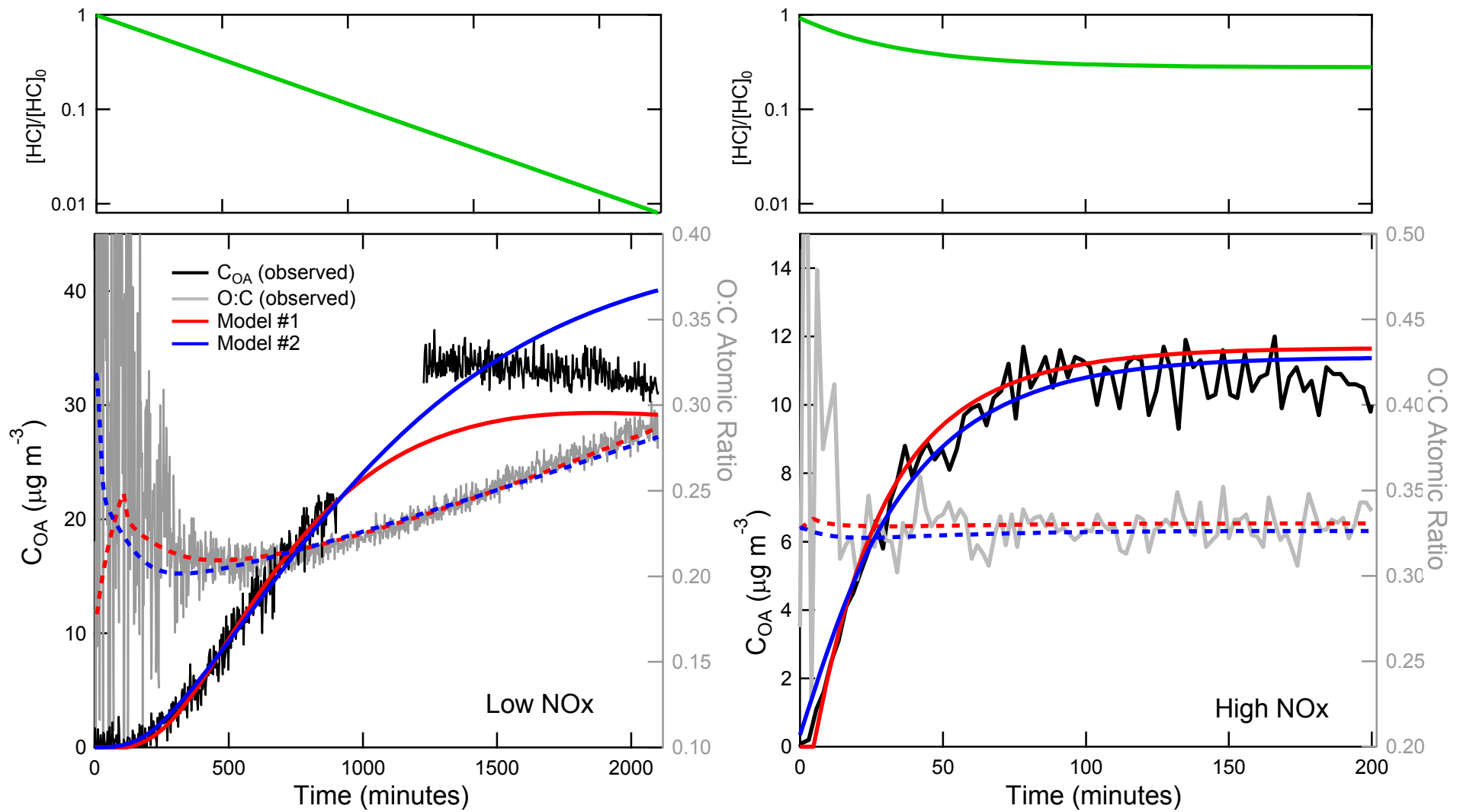


2-D distributions of “molecules” in particle phase

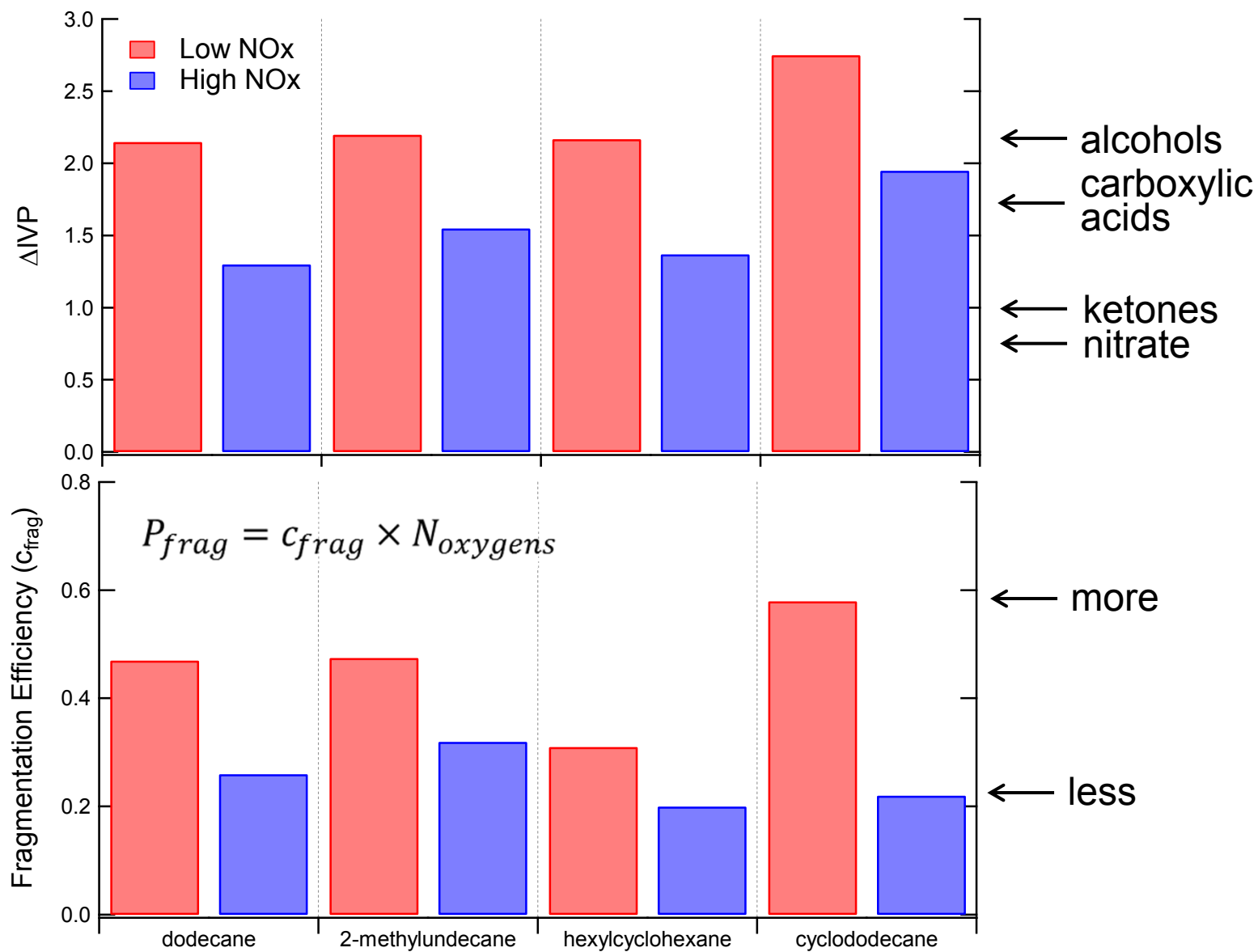
Actual distribution depends on tunable parameters

# Model vs. Measurement: $C_{OA}$ and O:C

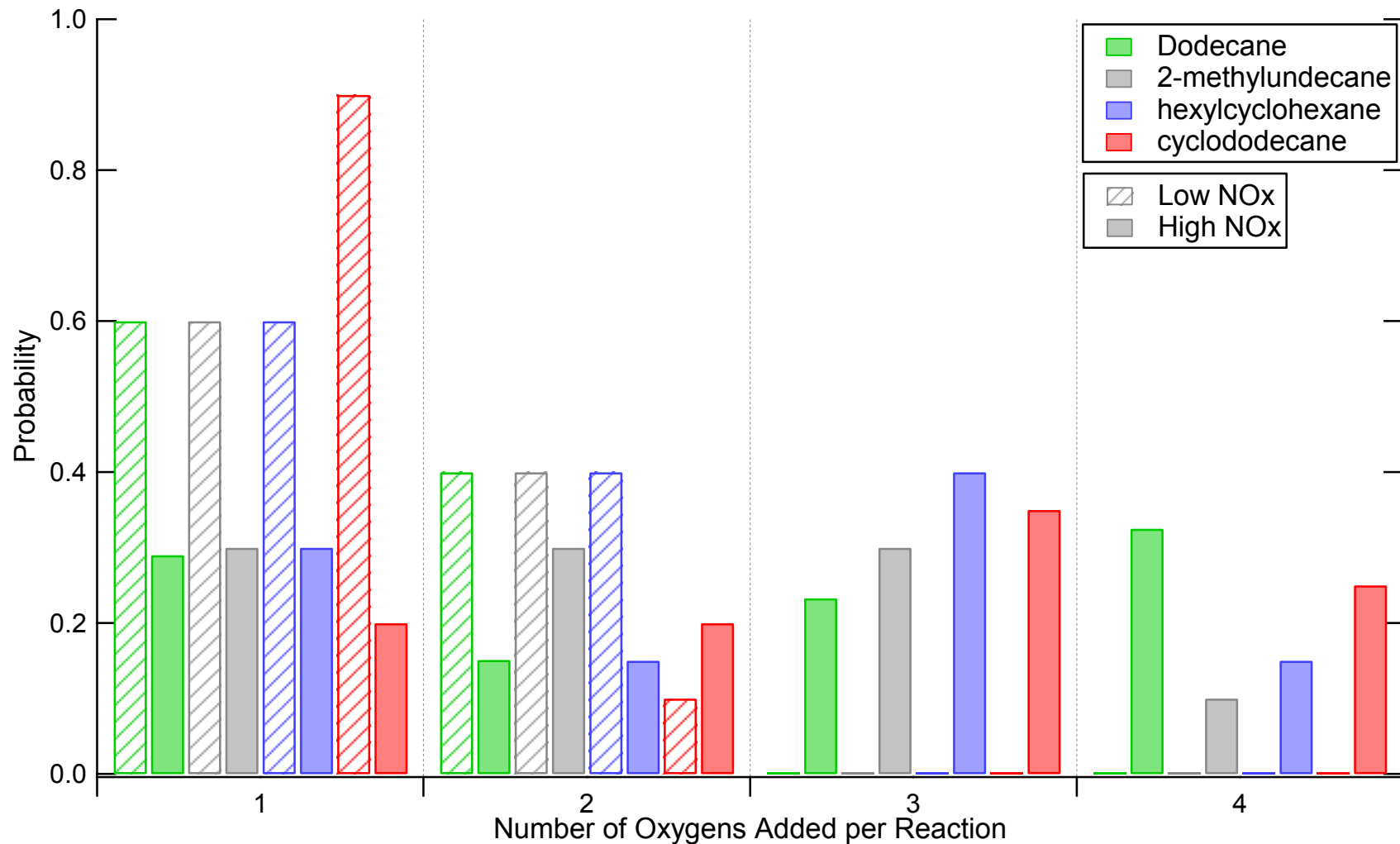
## Dodecane + OH



# Model Results: Volatility and Fragmentation



# Model Results: Oxygen Addition



High NO<sub>x</sub> adds more oxygens per reaction than low NO<sub>x</sub>



# Secondary Organic Aerosol (SOA) Formation: Phase State of Particles

## Recent evidence for semi-solid behavior of SOA

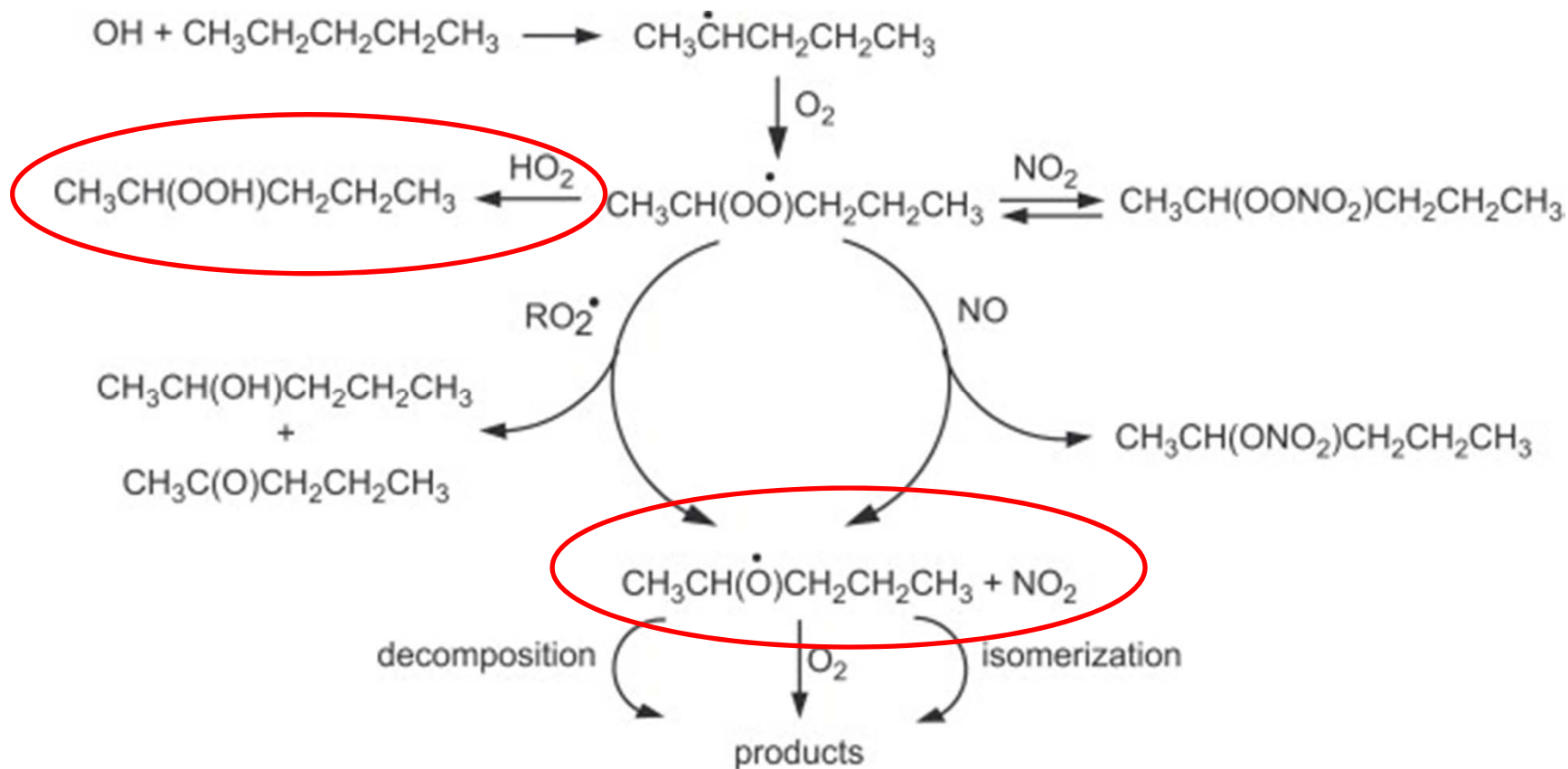
Virtanen et al., <i>Nature</i> (2010)	Terpene SOA	Particle bounce in an impactor $\longrightarrow$ glassy solid
Vaden et al., <i>PNAS</i> (2010, 2011)	$\alpha$ -pinene SOA ambient SOA	Delayed evaporation upon dilution $\longrightarrow$ coated SOA, non-liquid like behavior
Shiraiwa et al., <i>PNAS</i> (2011)	O <sub>3</sub> uptake by amorphous protein and oxidative aging in flow tube	Kinetically limited by bulk diffusion

# Secondary Organic Aerosol Formation and Aging

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- SOA formation is characterized by multi-generational chemistry that involves both functionalization and fragmentation reactions, leading to higher oxidation state and lower volatility.  $\text{NO}_x$  level is key.
- The relative importance of gas-phase oxidation vs. particle-phase reactions is not well established for most SOA-forming organic compounds.
- Laboratory chamber experiments remain the “gold standard” for studying SOA formation; both gas and particle phase mass spectrometry is crucial. Chamber SOA tends not to be as oxidized as ambient (loading effect, OH exposure?).
- A new frontier in SOA research involves understanding the importance of the particle phase state, e.g. multiple liquid phases in equilibrium, liquid-solid equilibrium, and the formation of semi-solid phases.
- Apply the new generation of SOA models to chamber data and implement into atmospheric models.

# Alkane Photooxidation Chemistry



# Semi-Explicit Chemical Modeling

