LTE Testing Efforts

Public Safety Broadband Demonstration Network Stakeholder Meeting March 2012

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Rohde & Schwarz at a Glance

- I Independent, autonomous company
- For more than 75 years, <u>the</u> specialist in T&M and wireless communications
- Represented in over 70 countries, with subsidiaries in 60 countries
- Turnover of EUR 1.9 billion (FY 10/11)
- Export share approx. 90 %
- 1 7600 employees worldwide



Years of Driving



Rohde & Schwarz Fields of business

I Wireless communications

Development and production test solutions for every second mobile phone in the world

- I Analog & digital TV Transmitters for more than 80 countries
- I Air traffic control Radiocommunications for more than 200 large airports
- I Secure communications Radios in use worldwide for all branches of the armed forces
- I Management of the frequency spectrum Instruments and systems for radiomonitoring and radiolocation for about 150 countries
- Service & services To be as close to the customer as possible, nearly 70 locations worldwide





LTE 2008











LTE Today

Juniper Research:

Global LTE service revenues will exceed \$200bn by 2015

IDATE:

Forecasts **371 million LTE subscribers** by 2015

Pyramid Research:

India set to have over 17 million mobile broadband customers using LTE TDD networks by the end of 2016 237 operators in 85 countries are investing in LTE GSA, August 31, 2011 * 174 LTE network commitments in 64 countries * 63 pre-commitment trials in 21 more countries * 26 commercial LTE networks launched * At least 93 LTE networks are expected to be in commercial service by end 2012

> Years of Driving





Major challenges today with LTE commercialization

I 3GPP Standards

- I Which baseline to use Sept10, Dec10? Which CRs?
- Correct interpretation a lot of IOT testing required!
- I Quarterly baseline updates
- I Interworking with Legacy Technologies
 - I InterRAT handovers (GSM, CDMA, WCDMA)

I Circuit Switch Fallback (CSFB)

I Is CSFB only a temporary solution for handling Voice, SMS services?

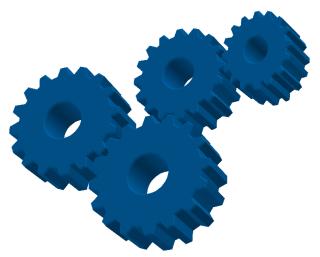
I IP Multimedia Subsystem Support

Will NWO deploy IMS for LTE and how is this going to be tested?

I Interferers around the 700 MHz frequency band

I Eg ATSC





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Major challenges today with LTE commercialization

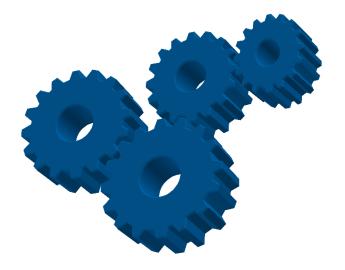
I Timely UE Availability

- I Maturity of devices vary firmware on devices continually updated
- I PTCRB Certification

I UICC Testing (USIM, CSIM, ISIM)

- I New for some opertors
- I MIMO Testing
 - I 2x2, 4x2, 4x4 ...
- I Support for both IPv6 and IPv4 data connections
- I Multiple LTE Band Classes to test





Years of

I Interoperability between LTE TDD and LTE FDD technologies



Major challenges today with LTE commercialization

I PTCRB Certification

- I Large number of RF and protocol test cases defined
- I TTCN-3 test case delivery; test house readiness

I Achieving (and sustaining) High Data Rates

I LTE network operator test plans

- I Developing/Verifying specific operator requirements
- I Test plans continually being released/updated

I Which Rel 9, 10 features to support



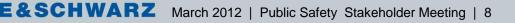








Years of



LTE Testing Methodologies





LTE Testing Methodologies

Application development	Application conformance testing		
Protocol development/IOT	Protocol conformance testing		
RF and radio development	RF conformance and precompliance testing	Production	Service
		The vario	us stages of wireless devices
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LTE Testing Methodologies

- I Physical Layer
- I General Protocol
- I 3GPP Conformance (PCT, RCT)
- I Performance (Data Throughput, Rx Sensitivity)
- ΙΟΤΑ
- I Network Operator Specific
- I Multimode testing



Years of Driving

LTE Testing Methodologies Physical Layer

I Validation of LTE Physical Channels w/o Layer 3 signaling

Years of Driving

- Cell Search Verification
- I Negative Testing with DL Error Injection
- I HARQ Procedures
- Receiver Sensitivity
- Power Control
- I DL FEC Verification
- **UL FEC Verification**



LTE Testing Methodologies Physical Layer

PBCH Broadcast Master Information Block (MIB)

Years of

Innovation

PCFICH – Physical Control Format Indicator Channel Indicates format of PDCCH

PDCCH – Physical Downlink Control Channel

Scheduling decisions for downlink and uplink

PDSCH – Physical Downlink Shared Channel Transmitting downlink data

PHICH – Physical Hybrid ARQ Indicator Channel ACK/NACK for uplink data packets

PUSCH – Physical Uplink Shared Channel Transmitting uplink data

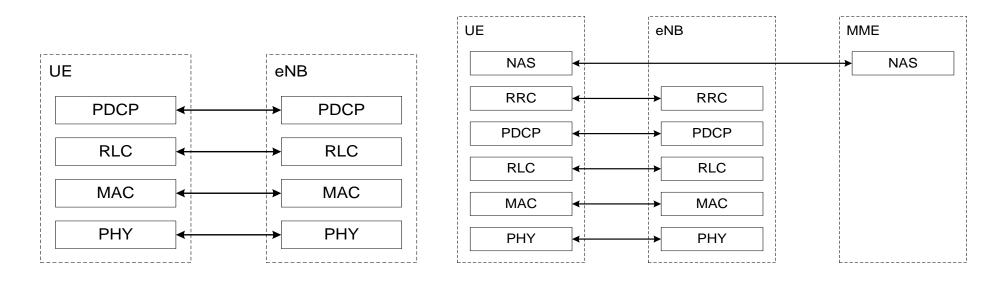
PUCCH – Physical Uplink Control Channel ACK/NACK for downlink data, CQI, Scheduling requests,

> PRACH For initial access



LTE Testing Methodologies General Protocol

I Validation of LTE full stack signaling procedures



User plane

Control plane

Years of Driving

Innovation



LTE Testing Methodologies General Protocol

I Basic Signaling Procedures

- I Cell Search
- I Setup of RRC connection and execution of Attach Procedure
- I Security Procedures
- I Authentication
- I IPv4 / IPv6, Multiple PDN
- Ι...

I Radio Bearer Verification Procedures

- I Radio Link Control modes AM/UM/TM
- I SISO vs MIMO

I IntraLTE and InterRAT Handover Procedures



Years of

LTE Testing Methodologies Conformance Testing

- I RF radiated spurious emissions
- I RF conducted tests
- Radio Resource Management (RRM)
- I Signaling (protocol) tests
- I InterRAT tests
- I (U)SIM card interface tests
- A-GPS tests
- I IMS CC (IP Multimedia Subsystem Call Control)
- Application Enabler (AE) tests (MMS, VT, PoC, Java, SUPL, Browsing))

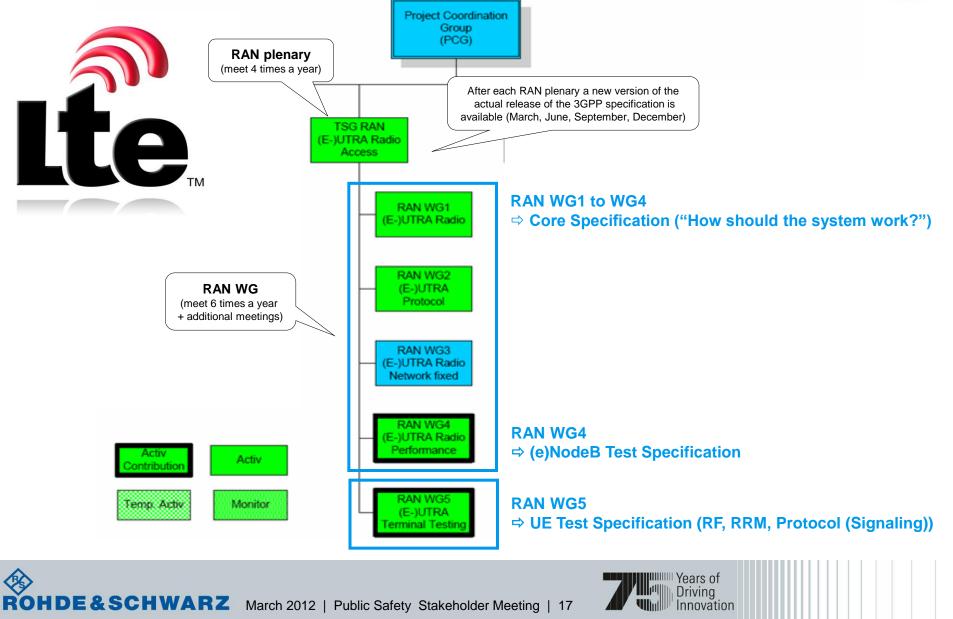
Years of

- Audio tests
- I CTIA / Over the air (OTA) tests



LTE standardization





Status LTE in 3GPP



I RAN1 to RAN4 (core specification)

- LTE Release 8 and 9 is fully stable from a core specification point of view
- Focus has shifted towards LTE-Advanced (3GPP Release 10) and beyond

I RAN5 (terminal testing)

- I High workload on finalizing UE test specification as required for Release 8
- I High numbers of Change Requests (CRs) in recent months, but decreasing

lears of

I Focus shifts to Release 9 testing



Who are members of PTCRB?

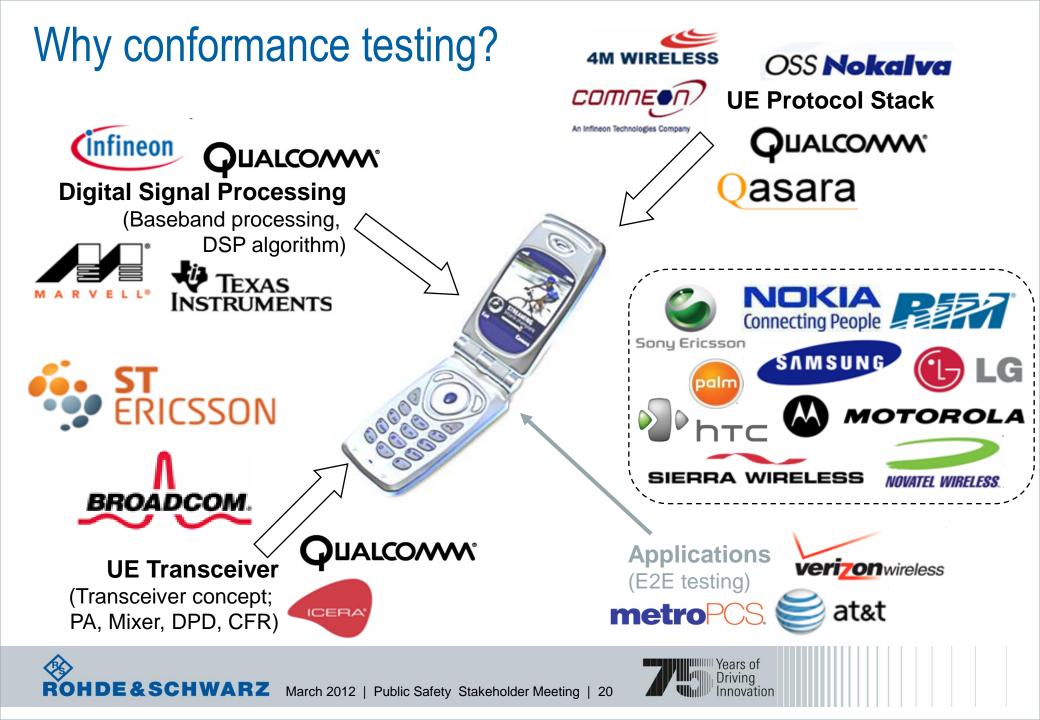


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Companies and organizations consisting of:

- I Mobile network operators
- I Terminal manufacturers
- I Chipset manufacturers
- I Test laboratories (observers)
- I Test equipment vendors (observers)
- **I** GCF: responsible for frequency bands mainly used in Europe + Asia
- I PCTRB: responsible for frequency bands mainly used in the Americas





3GPP Conformance Certification Prioritization

- I Standards bodies have prioritized protocol and RF test cases (TCs) which are considered as most important for UE interoperability,
 - **I RF / RRM TCs** are selected from 3GPP TS 36.521-1 / 36.521-3,
 - FDD: 55 RF and 55 RRM TCs , TDD: 56 RF and 45 RRM TCs,
 - These TCs are covered with the following work items,
 - WI-080 E-UTRA RF Rel-8 FDD,
 - WI-090 E-UTRA RF Rel-8 TDD,
 - I 4 priority groups exist selecting signalling TCs from 3GPP TS 36.523-1,
 - Signalling priority group #1 and #2 contain 208 signalling TCs, respectively,
 - In total 467 test cases, i.e. 259 test cases in priority group #3 and #4,
 - These test cases are covered with the following work items,
 - WI-081 E-UTRA Protocol Rel-8 FDD,
 - WI-082 EPC Protocol Rel-8 FDD,
 - WI-091 E-UTRA Protocol Rel-8 TDD,
 - WI-092 EPC Protocol Rel-8 TDD,

I Separate WI's for each band to aid Certification Entry Criteria tracking,

Years of Driving

- FDD Bands 1, 3, 4, 5, 7, 11, 12, 13, 14, 18, 20, 25,
- I TDD Bands 38, 40, 41

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3GPP Conformance Certification UE LTE **RF testing** according to 3GPP TS 36.521-1

I Tx characteristic (section 6),

- I Transmit power,
 - Maximum UE output power, Maximum Power Reduction (MPR), Additional MPR, configured UE transmitted output power,
- I Output power dynamics,
 - Minimum output power, Transmit OFF power, ON/OFF time mask, power control,
- I Transmit Signal Quality,
 - Frequency error, EVM vs. subcarrier, EVM vs. symbol, LO leakage, IQ imbalance, In-band emission, spectrum flatness,
- I Output RF spectrum emissions,
 - Occupied bandwidth, Spectrum Emission Mask (SEM), Adjacent Channel Leakage Power Ratio (ACLR), spurious emission band UE coexistence,
- I Transmit Intermodulation,

Rx characteristics (section 7),

I Reference sensitivity level, Maximum input level, Adjacent channel selectivity (ACS), Blocking characteristics, Spurious response, Intermodulation characteristics, Spurious emissions,

I Performance requirements (section 8),

- Demodulation of PDSCH (FDD/TDD),
 - Single antenna, transmit diversity (2x2, 4x2), open/closed loop spatial multiplexing (2x2, 4x2),
- I Demod. of PCFICH/PDCCH (FDD/TDD),
 - Single antenna, transmit diversity,
- I Demodulation of PHICH,
 - Single antenna, transmit diversity,
- I Demodulation of PBCH,

Reporting of CQI/PMI (section 9),

I CQI reporting under AWGN and fading conditions, single and multiple PMI reporting.

Captured in **TS 36.101 UE radio transmission and reception** and **TS 36.521-1 UE conformance specification, Part 1**

3GPP Conformance Certification RRM testing according to 3GPP TS 36.521-3

I EUTRAN RRC_IDLE state mobility,

- I EUTRAN cell selection,
- EUTRAN reselection,
- I EUTRAN to UTRA cell reselection,
- EUTRAN to GSM cell reselection,
- EUTRAN to HRPD cell reselection,
- EUTRAN to 1xRTT cell reselection,

I EUTRAN RRC_CONNECTED state mobility,

- I EUTRAN Handover,
- I EUTRAN Handover to other RATs,
- I EUTRAN Handover to non-3GPP RATs,

I RRC Connection mobility control,

- I RRC connection re-establishment,
- I Random Access,
- I Timing and signaling characteristics (FDD and TDD),
 - I UE transmit timing, timing accuracy,
 - I UE timing advance,
 - UE transmit timing, test for radio link out-ofsynch, in-synch, out-of-synch in DRX, insynch in DRX,

I UE measurement procedures,

- I Measure quality of EUTRA link and other technologies,
- I Measurement performance requirements,

Years of

I RSRP, RSRQ.

3GPP Conformance Certification

Protocol conformance testing acc. to 3GPP TS 36.523-1

I IDLE mode operations,

 PLMN selection, cell selection and reselection, closed-subscriber group cells (= femto-cell),

I MAC,

 RACH, DL-SCH data transfer, UL-SCH data transfer, DRX operation, Transport Block Size (TBS) selection,

I RLC,

Unacknowledged Mode, Acknowledged Mode,

I PDCP,

I Ciphering, Integrity protection, handover, discard,

I Radio Resource Control (RRC),

I Connection management process, RRC connection reconfiguration, Measurement control and reporting, Inter-RAT handover, Radio Link Failure, UE capability transfer,

I Evolved Packet System (EPS) mobility management,

 Common and specific procedures (attach, detach, tracking area update), connection management procedures (service request, paging), NAS security,

I EPS session management,

Years of Driving

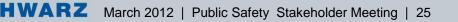
I EPS bearer context modification, deactivation, UE requested PDN connectivity and disconnect.



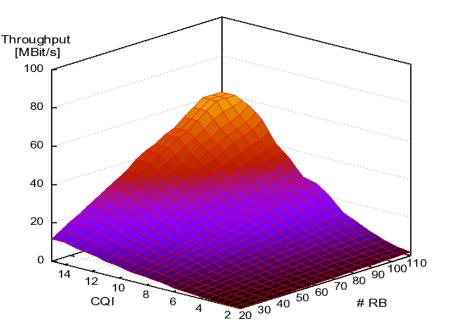
LTE Testing Methodologies Performance

I Data Throughput

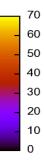
- I MIMO, SISO
- Fading Conditions
- I AWGN
- I IPv4 vs IPv6
- I QoS, Multiple PDN
- I Receiver Sensitivity (BLER)
- I VoLTE / Audio Quality
- I Video Quality





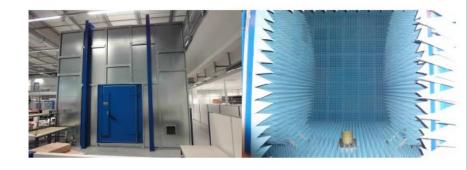


Years of Driving



LTE Testing Methodologies OTA Radiated Performance

- I Test Specs CTIA OTA v3.0
- I Carrier Specific OTA Radiated Performance



Years of

- I Phantom head and hands to simulate influences of user during test
- I UE transmitter total radiated power (TRP)
- I UE complex antenna pattern measurements
- I UE receiver total isotropic sensitivity tests (TIS)



LTE Testing Methodologies Network Operator Specific

I Network Operators design own test cases specific to their network

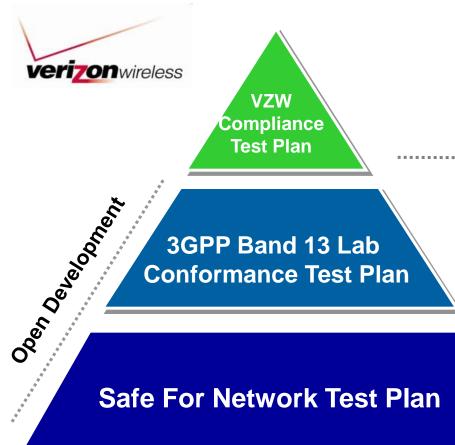
Years of Driving

- Supplementary RF (700 MHz eg ATSC)
- I Data Throughput
- I Data Retry
- I UICC
- I IP Multimedia Subsystem (IMS)
 - SMS, Voice
- I Global Mode System Selection
- Inter Radio Access Technology Handovers

Ι...



LTE Testing Methodologies Carrier Specific



- GMSS T1, GMSS T2, SVD GMSS
- InterRAT Performance, SVD InterRAT
- eHRPD Conformance
- LBS Performance
- SVD Conformance & Performance
- MM SMS, eHRPD SMS
- MM OTADM
- MM Device UICC (USIM, ISIM) Interaction
- Volte
- Video Perfermance
- LTE Over the Air Radiated Performance
- Data Throughput
- OTADM
- VOLTE (VoIP, Audio Quality, Audio & Video Performance)
- 3GPP Band 13 RF and RRM Conformance
- LTE Supplementary RF Conformance
- 3GPP Band 13 Signaling Conformance
- LTE Supplementary Signaling Conformance
- Data Retry Test Plan
- SMS Test Plan
- Device UICC (USIM, ISIM) Interaction

Years of Driving

Over 20 different test plans (outside of conformance testing) !



LTE Testing Methodologies Carrier Specific



Years of

Innovation

Scope	Count
R42 LTE Mobility Management Procedures	16
R43 LTE RRC and Encryption	27
R44. LTE Intra-RAT Mobility: LTE-ACTIVE MM State	15
R45. LTE Inter-RAT Mobility: LTE-ACTIVE MM State	40
R51. CSFB	67
R52. LTE Data Performance	78
R53 SON ANR	28
R50. 3G LTE User Experience Data Performance Test	18
R54 AMR-MM	25

Over 1500 test cases (outside of conformance testing)!



Multimode Testing

I 24 prio1 test cases / 4 prio2 test cases covering (TS 36.521-3)

- I interRAT LTE→WCDMA: handover test cases
- I interRAT WCDMA \rightarrow LTE: first release (reselection test cases)
- I interRAT LTE \rightarrow GSM: first release (reselection test case, event-triggered reporting)

Years of

I interRAT LTE \rightarrow 1xEVDO: reselection test cases

I Protocol Conformance and Network operator test plans

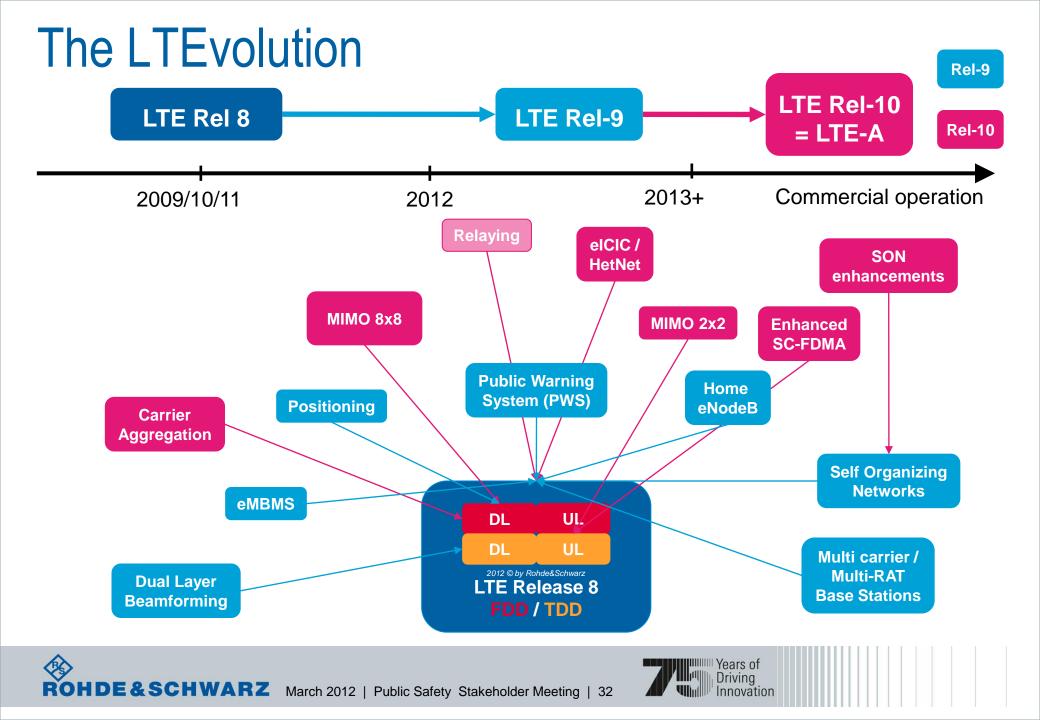
- I 100's of tests covering every inter RAT permutation
 - Different technologies
 - Idle and Active Modes
 - SRVCC, CSFB

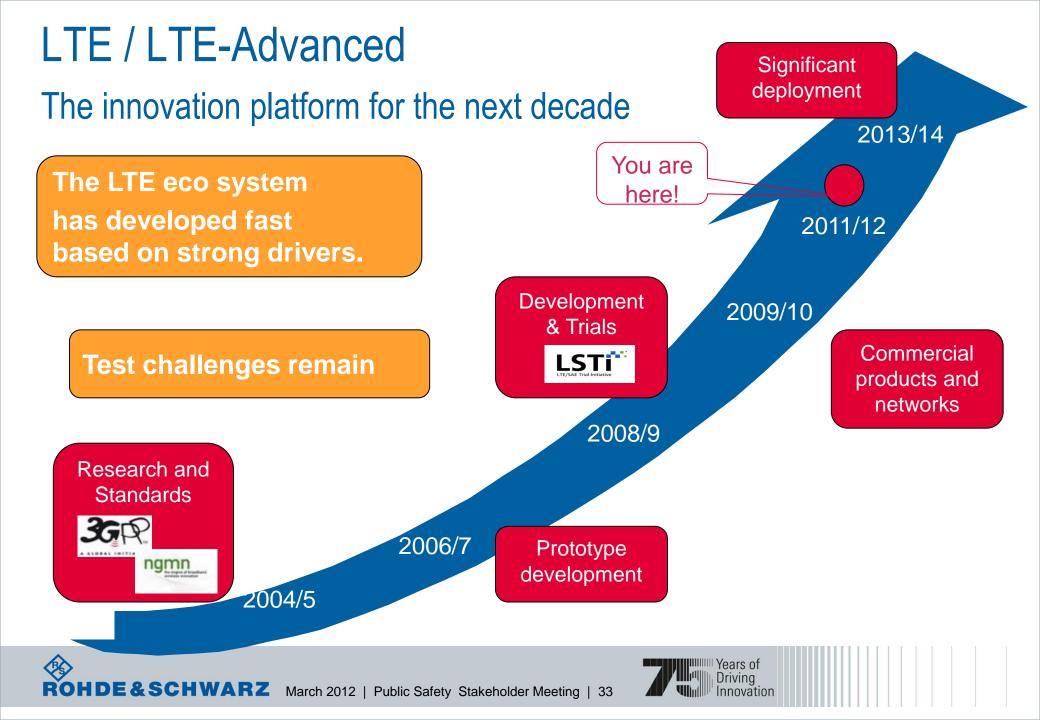


LTE Rel 9 / 10









LTE-Advanced (Rel-10)



3GPP RAN#54 (Dec 9, 2011) – Completion Level Overview

Feature		Core Part (RAN1-4)	Performance Part (RAN4)	UE Conformance Tests Aspects (RAN5)
Rel-10	Carrier Aggregation for LTE	100%	100% (70%)	3% (0%)
	Enhanced Downlink Multiple Antenna Transmission for LTE	100%	85% (70%)	
	UL multiple antenna transmission for LTE (4 Tx moved to Rel-11)	100%	100%	
	Relays for LTE ¹⁾	100%	40% (30%)	
	Enhanced ICIC for non-CA based deployments of heterogeneous networks for LTE	100%	80% (70%)	
	Multi-standard radio BS RF requirements for non-contiguous spectrum deployments	100%	100%	-
	SON enhancements	100%	-	-
	Further enhancements for eMBMS	100%	-	

¹⁾ Not yet completed REL-10 RAN4 core aspects were taken over into WI LTE_Relay2-Core at RAN #52 and REL-10 performance part was completely moved to REL-11 LTE_Relay2-Perf.





LTE-Advanced Protocol Conformance

2012 Tasks	Release	TC number	RAN WI	Schedule
LTE new TC	Rel-8/Rel-9	50	LTE_UEConTest_SIG	Q1-Q4
IMS emergency call	Rel-9	39	IMS_EMER_GPRS_EPS_UE ConTest	Q1-Q2
LTE Positioning	Rel-9	22	LCS_LTE_UEConTest	Q1-Q3
LTE Home eNB enhancements	Rel-9	13	EHNB-LTE_UEConTest	Q1-Q2
SSAC	Rel-9	3	SSAC_UEConTest	Q2
LTE MBMS	Rel-9	9	MBMS_LTE-UEConTest	Q1-Q2
LTE PWS (CMAS)	Rel-9	10	LTE_PWS-UEConTest	Q3-Q4
LTE MDT	Rel-10	10	MDT_UMTSLTE_UEConTest	Q4





LTE/LTE-A Frequency Bands (FDD/TDD)

E-UTRA	Uplink (UL) ope	erating band	Downlink (DI	L) ope	erating band
Operating Band	F _{UL_low} -	– F _{UL}	_{high} [MHz]	F _{DL_low} –	$F_{DL_{-}}$	_{high} [MHz]
1	1920	—	1980	2110	_	2170
2	1850	—	1910	1930	—	1990
3	1710	—	1785	1805	—	1880
4	1710	_	1755	2110	_	2155
5	824	_	849	869	_	894
6	830	_	840	875	_	885
7	2500	_	2570	2620	_	2690
8	880		915	925		960
9	1749.9	_	1784.9	1844.9	_	1879.9
10	1710	_	1770	2110	_	2170
11	1427.9	_	1447.9	1475.9	_	1495.9
12	699	—	716	729	—	746
13	777	_	787	746	_	756
14	788	_	798	758	_	768
17	704	_	716	734	_	746
18	815		830	860	_	875
19	830	—	845	875	-	890
20	832	-	862	791	-	821
21	1447.9	-	1462.9	1495.9	-	1510.9
22	3410	-	3490	3510	-	3590

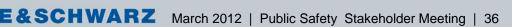
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E-UTRA	Uplink (UL) operating band	Downlink (DL) operating band			
Operating Band	F _{UL_low} – F _{UL_high} [MHz]	F _{DL_low} – F _{DL_high} [MHz]			
23	2000 – 2020	2180 – 2200			
24	1626.5 – 1660.5	1525 – 1559			
25	1850 – 1915	1930 – 1995			

E-UTRA Operating	Downlink (DL) / Uplink (UL) operating band
Band	F _{low} – F _{high} [MHz]
33	1900 — 1920
34	2010 – 2025
35	1850 – 1910
36	1930 – 1990
37	1910 – 1930
38	2570 – 2620
39	1880 – 1920
40	2300 – 2400
41	2496 – 2690
42	3400 – 3600
43	3600 – 3800

Years of Driving

Innovation



LTE-Advanced RF Conformance

I Devices shall be tested against all bands supported

I FDD1, FDD3, FDD4, FDD5, FDD7, FDD11, FDD12, FDD13, FDD14 FDD17, FDD18, FDD20, FDD25, FDD26, TDD38, TDD40, TDD41

I Not all LTE bandwidths must be tested however

I Eg. Mainly 10MHz only for sections 8, 9

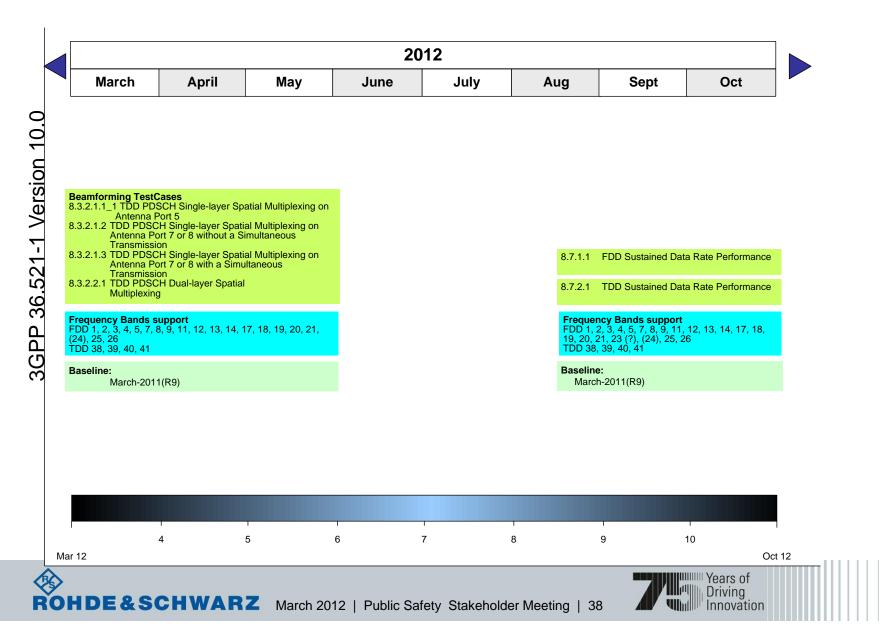
	Automated Test Times (Hours)	Manual Test Times (Hours)
LTE RF Conformance (36.521-1)	Ave 24 per Band *	40 per Band *

I * test times do not include temperature and voltage extreme conditions (double test times)

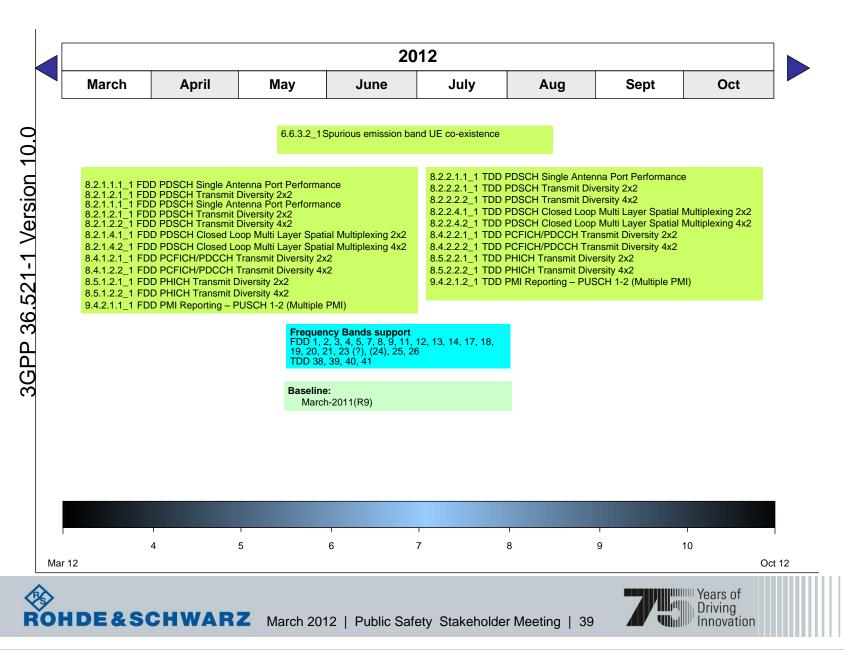
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LTE FDD/TDD Release 9 RF Test Cases



LTE FDD/TDD Release 9 RF Test Cases



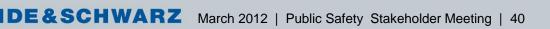
LTE-Advanced Carrier Aggregation

- I <u>Carrier aggregation in downlink only</u>, two component carriers in uplink postponed to 3GPP Release 11.
- I Much more RF conformance testing required
 - I for each band combination (BC 4, 17 for eg)
 - I for each low/mid/high freq combination
 - I for each cell bandwidth (5,10,20 MHz)

Inter band CA operating bands							
E-UTRA CA Band	E-UTRA Band	Uplink (UL) operating band	Downlink (DL) operating band	Duplex Mode			
	1	1920 – 1980 MHz	2110 – 2170 MHz				
CA_1-5	5	824 – 849 MHz	869 – 894 MHz	FDD			

Intra band CA operating bands							
E-UTRA CA Band	E-UTRA Band	Uplink (UL) operating band	Downlink (DL) operating band	Duplex Mode			
CA_1	1	1920 – 1980 MHz	2110 – 2170 MHz	FDD			
CA_40	40	2300 – 2400 MHz	2300 – 2400 MHz	TDD			

Years of Driving



Carrier aggregation (CA) Specification work (RAN4)

Title	Acronym	Band combination	800MHz	2GHz	2.5GHz	Work item	Work item rapporteur
LTE-A CA of Band 3 and Band 7	CA-B3_B7	High-High		х	Х	RP-100669	TeliaSonera
LTE-A CA of Band 4 and Band 17	CA-B4_B17	High-Low	Х	Х		RP-101391	AT&T
LTE-A CA of Band 4 and Band 13	CA-B4_B13	High-Low	Х	х		RP-101435	Ericsson (Verizon)
LTE-A CA of Band 4 and Band 12	CA-B4_B12	High-Low	Х	Х		RP-110135	Cox Communications
LTE-A CA of Band 20 and Band 7	CA-B20_B7	High-Low	Х	х		RP-110403	Huawei (Orange)
LTE-A CA of Band 2 and Band 17	CA-B2_B17	High-Low	Х	Х		RP-110432	AT&T
LTE-A CA of Band 4 and Band 5	CA-B4_B5	High-Low	Х	Х		RP-110433	AT&T
LTE-A CA of Band 5 and Band 12	CA-B5_B12	Low-Low	Х			RP-110372	US Cellular
LTE-A CA of Band 5 and Band 17	CA-B5_B17	Low-Low	Х			RP-110434	AT&T
LTE-A CA of Band 38 (TD-LTE)	CA-B38	High			Х	RP-110862	Huawei (CMCC)
LTE-A CA of Band 41 (TD-LTE)	CA-B41	High			х	RP-110673	Clearwire



Carrier aggregation (CA) Specification work (RAN4), cont'd.

Title	Acronym	Band combination	800MHz	2GHz	2.5GHz	Work item	Work item rapporteur
LTE-A CA of Band 20 and Band 3	CA-B20_B3	Low-High	X	X		RP-111212	Vodafone
LTE-A CA of Band 20 and Band 8	CA-B20_B8	Low-Low	X			RP-111213	Vodafone
LTE-A CA of Band 3 and Band 5	CA-B3_B5	Low-Low	x			RP-111339	SK Telecom
LTE-A CA of Band 7	CA-B7	High-High			х	RP-111356	China Unicom
LTE-A CA of Band 1 and Band 7	CA-B1_B7	High-High		x	х	RP-111357	China Telecommunication
LTE-A CA of Band 4 and Band 7	CA-B4_B7	High-High		Х	Х	RP-111358	Rogers Wireless
LTE-A CA of Band 25 and Band 25	CA-B25_25	High-High		Х		RP-111371	Sprint

Years of Driving

Innovation

<u>[[]]</u>



LTE testing is a never ending cycle

Operator Test Plans

New Band Classes

New Features VoLTE, Video



3GPP Baseline Changes

OEM firmware updates

Innovation

