

DEFENSE CONTRACT AUDIT AGENCY	
RISK DETERMINATION FOR CONTRACTOR YEARS WITH LESS THAN \$15M IN ADV	
Version No. 1.2	April 2012

CONTRACTOR _____ **CFY** _____

LAST CFY AUDITED _____ **AUDIT REPORT NO.** _____

DATE CONTRACTOR'S PROPOSAL RECEIVED _____

IF THIS IS A REIMBURSABLE CONTRACTOR, DO WE HAVE AN AUDIT REQUEST? **YES** **NO**
(If not, obtain request before further processing)

AMOUNT OF ADV _____ (000)
(per incurred cost submission)

	CRITERIA		YES		NO
1.	Are there audit leads or other significant risk identified (e.g. any known business system deficiencies that would have a significant impact on the final indirect rate proposal for this FY, significant risk identified by the contracting officer, etc.)?				
2.	Is this a new "incurred costs" contractor? (i.e., a contractor where we have no incurred cost audit experience).				
3.	Were there significant questioned costs in the last completed incurred cost audit? (*See guidelines below for determining significance of questioned costs.)				
	Amount of Questioned Costs: \$ _____				
<i>With a "yes" response to ANY of these questions, place proposal in the high-risk pool.</i>					

Low-Risk Adequate Proposals by Auditable Dollar Value (ADV)
 \$1M or less
 Greater than \$1M to \$15 Million

Amount of Previous Total Questioned Costs (Gov't Impact) Classified as Significant
 \$15,000 or greater
 \$25,000 or greater

Classify As (circle one): **LOW-RISK** **HIGH-RISK**

SUPERVISORY REVIEW: _____
 (Supervisor's Signature and Date)