

Department of Defense
Fiscal Year 2011 Report

on

Humanitarian Assistance (HA)

(Report furnished in accordance with Title 10, USC, Section 2561)

January 2012
Defense Security Cooperation Agency

**THE HUMANITARIAN ASSISTANCE PROGRAM
OF THE
DEPARTMENT OF DEFENSE
(10 USC SECTIONS 2561 AND 2557)**

Introduction

The Department of Defense (DoD) Humanitarian Assistance Program supports U.S. foreign policy and national security goals, most notably in regions where humanitarian needs pose major challenges to stability, prosperity and respect for universal human values.

When faced with situations of dire need, DoD's humanitarian activities may focus on the direct provision of assistance aimed at saving lives, alleviating suffering or providing essential services for affected populations. Such activities may also encompass efforts to bolster a host nation's capacity to avert or respond to humanitarian crises, thereby reducing its reliance upon foreign disaster relief. Closely coordinated with U.S. government interagency partners, the DoD's efforts in this area also serve important security cooperation goals – to improve DoD's visibility, to improve DoD's access and influence, to foster collaborative relationships with a host nation's civil society, and where necessary, to help in countering violent extremism. These activities provide Geographic Combatant Commanders (GCCs) with unobtrusive, low cost, but highly effective instruments to carry out their security cooperation activities.

The Defense Security Cooperation Agency (DSCA) is charged with program/resource management. The Office of the Deputy Assistant Secretary of Defense, Partnership Strategy and Stability Operations, provides policy oversight.

Legislative Authorities

Pursuant to 10 USC Section 2561, funds authorized to be appropriated to the DoD for a fiscal year for humanitarian assistance shall be used for the purpose of providing transportation of humanitarian relief and for other humanitarian purposes worldwide. The Secretary of Defense may also use this authority to transport supplies intended for use to respond to, or mitigate the effects of, an event or condition that threatens to seriously harm the environment, but only if other sources to provide such transportation are not readily available.

Pursuant to 10 USC Section 2557, the Secretary of Defense may make available for humanitarian relief purposes any nonlethal excess supplies of the DoD.

The Overseas Humanitarian, Disaster, and Civic Aid (OHDACA) appropriation funds these activities. DSCA performs financial management, including allocation of funds to the GCCs.

Overview of Activities

The DoD conducts humanitarian assistance, disaster relief/emergency response, and funded transportation programs pursuant to Title 10 U.S. Code Sections 2557 and 2561.

Humanitarian assistance activities include assessments of needs; rudimentary construction and renovation of public facilities (such as schools, hospitals, clinics, and orphanages); digging or improving water wells and other sanitation and drinking water projects; and repairing/building rudimentary infrastructure such as roads and bridges. DoD in-country personnel or the GCCs nominate projects in coordination with the U.S. country team and other U.S. agencies in the country or region, such as the U.S. Agency for International Development (USAID). The respective GCC endorses a nominated project to DSCA using an automated database. DSCA coordinates proposed projects as appropriate with other DoD and interagency offices and determines whether or not the project is approved or disapproved. Once a project is approved, the responsible GCC determines when to fund the project and oversees its execution. Traditionally, more projects are submitted than can be covered by available funding, thus the GCCs must prioritize which ones are funded.

Non-lethal excess property provided may include such items as medical, school, or office equipment and supplies, construction and disaster-related equipment/tools, and vehicles. Excess property is transferred from DoD to the Department of State, represented by the American Embassy in the recipient country, for further distribution. Excess property for planned projects is generally moved to the recipient country by surface transportation. In support of disaster/emergency response, excess property is most often moved by a combination of surface and air transportation.

The DoD disaster relief and emergency response includes disaster preparedness and disaster response training, as well as immediate assistance in the wake of natural and manmade disasters. Such assistance may take the form of

airlift, logistical support, provision of emergency supplies such as blankets, tents, water and humanitarian daily rations, and search and rescue operations.

DoD components participate in foreign disaster relief and emergency response activities when directed by the President, or with the concurrence of the Secretary of State, or in emergency situations in order to save lives, when there is not sufficient time to seek the prior initial concurrence of the Secretary of State. DoD responses are coordinated with the USAID's Office of U.S. Foreign Disaster Assistance and other relevant agencies.

Also conducted pursuant to section 2561 is the funded transportation program. This program provides worldwide DoD-funded transportation of non-urgent humanitarian cargo. It applies to both DoD cargo (for example, disaster relief supplies and non-lethal excess property) and private donations by non-governmental and international organizations. Appropriated funds pay for the actual cost of transportation and any associated administrative costs incurred.

While statutory authority permits transportation of private donations via any mode and for any cargo that could be defined as humanitarian relief, current policy places the following restrictions:

- (1) cargo should address basic humanitarian needs (e.g.; medical, food, clothing, shelter);
- (2) minimum cargo size should be one 20-foot container or 1,100 cubic feet; and
- (3) cargo should be sent by less expensive surface modes of transportation (except in special circumstances).

OHDACA funds pay for the associated ground and port handling and surface transportation costs.

Reporting Elements – Fiscal Year 2011

Pursuant to Section 2561(c) an annual status report on the provision of humanitarian assistance is required for the prior fiscal year. The report shall set forth the following information:

- (1) The total amount of funds obligated for humanitarian relief under the section;
- (2) The number of scheduled and completed transportation missions for purposes of providing humanitarian assistance under the section; and

(3) A description of any transfer of excess DoD nonlethal supplies under section 2557, to include the date of transfer, the entity to whom the transfer is made, and the quantity of items transferred.

The total amount of funds obligated from the OHDACA appropriation for humanitarian relief and other humanitarian purposes worldwide under Section 2561 was \$270.69 million as of September 30, 2011. This figure represents humanitarian assistance projects and private donor shipments, as well as support to disaster relief/emergency response. For FY 2011, the latter amounted to \$166.07 million. Transportation missions were conducted in support of four disasters/emergencies. Because transportation missions are calculated in various ways, e.g., helicopter lift is calculated in hours rather than point-to-point flights, the following table summarizes funds obligated as of September 30, 2011 for fixed and rotary wing airlift during the periods indicated for the four disasters:

Philippines Typhoon (October 2010)	\$.802M
Israel Wildfires (December 2010)	\$.740M
Libya Complex Emergency (March 2011)	\$ 1.000M
Japan Earthquake (March 2011)	\$52.800M
Total:	\$55.342M

The description of excess property transfers and an enumeration of other funded shipments for purposes of providing humanitarian assistance during FY 2011 are included in the following pages.

Enclosure:
As stated

**HUMANITARIAN ASSISTANCE
EXCESS PROPERTY TRANSFERS - FY 2011**

A	B	C	D
<u>DESTINATION</u>	<u>CONTAINER QUANTITY BY TYPE</u>	<u>COMMODITY TYPE</u>	<u>DELIVERED</u>
1	Albania 1 / 40 FT Sea Van	Medical Supplies & Equipment	1st Quarter
2	Algeria 6 / 40 FT Sea Vans	Household Goods / Passenger Vans	3rd Quarter
3	Argentina 1/20 Ft Sea Van	Medical Supplies	2nd Quarter
4	Argentina 1 / 40 FT Sea Van	Household Goods / Office Furniture	1st Quarter
5	Argentina 2 / 40 FT Sea Vans	Household Goods / Office Furniture	1st Quarter
6	Armenia 1 / 40 FT Sea Van	School Furniture / Equipment	3rd Quarter
7	Armenia 1 / 20 FT Sea Van	Office Supplies	4th Quarter
8	Belize 4 / 40 FT Sea Vans, 1 / 20'	Generators, Blankets, Liters	4th Quarter
9	Benin 1 / 40 FT Sea Van	Medical Supplies Beds and Mattresses	4th Quarter
10	Benin 1 / 40 FT Sea Van	Medical Supplies Beds and Mattresses	4th Quarter
11	Benin 1 / 40 FT Sea Van	Refrigerators, tables and chairs	4th Quarter
12	Benin 1 / 20 Ft Sea Van	Refrigerators, tables and chairs	4th Quarter
13	Bosnia 1 / 40 FT Sea Van	Dental, School Equipment	1st Quarter
14	Burkina Faso 1 Tri-Wall	Dental Chair	2nd Quarter
15	Cameroon 3 / 40 FT Sea Vans	Medical Supplies and Hospital Equipment	4th Quarter
16	Cameroon 1 / 20 FT Sea Vans	Tables Desks and School Supplies	4th Quarter
17	Congo 4 / 40 FT Sea Vans	Medical & Office Supplies	3rd Quarter
18	Costa Rica 1 / 40 FT Flatbed	Truck, Ambulance, Ford	4th Quarter
19	Croatia 1/20 FT Van	Air Condition Units	1st Quarter
20	Djibouti 2 / 20 FT Sea Vans	School Furniture / Equipment	2nd Quarter
21	Djibouti 1 / 20 FT Sea Van	Fire Fighting Supplies	2nd Quarter
22	Ecuador 2 / 40 FT Sea Van, 1 Flat Bed	Tents, Insect Nets, Field Kitchen	3rd Quarter
23	Gabon 1/40 FT Sea Vans	Medical Supplies and Equipment	4th Quarter
24	Georgia 3 / 40 FT Sea Vans	Generators and Firefighter Clothing	3rd Quarter
25	Guam 2 / 40 FT Sea Vans	Medical Supplies	3rd Quarter
26	Haiti 1 / 40 FT Dry Vans	Human Remain Pouches	2nd Quarter
27	Haiti 5 / 20 FT Sea Vans	Tents, Medical Supplies	2nd Quarter
28	Honduras 1 / 40 FT Sea Vans	Shower Shoes and School Supplies	1st Quarter

**HUMANITARIAN ASSISTANCE
EXCESS PROPERTY TRANSFERS - FY 2011**

A	B	C	D
DESTINATION	CONTAINER QUANTITY BY TYPE	COMMODITY TYPE	DELIVERED
1			
31	Honduras 1 / 40 FT Sea Van	Shower Shoes and School Supplies	1st Quarter
32	Jamaica 1 / 40 FT Sea Van	School Books and Supplies	4th Quarter
33	Jamaica 3 / 40 FT Sea Vans	Household Goods / Appliances	4th Quarter
34	Kenya 1 / 40 FT Sea Van	Medical Supplies and Equipment	4th Quarter
35	Kenya 2 / 40 FT Sea Van	Beds, Mattresses, Bedding, Med. Eq.	4th Quarter
36	Kenya 1 / 40 FT Sea Van	Medical Supplies and Equipment	4th Quarter
37	Kosovo 2 Tri-Walls	Fire Fighting Equipment	4th Quarter
38	Liberia 7 / 40 FT Sea Vans	Rolling Stock	3rd Quarter
39	Lithuania 1 / 20 FT Sea Van	Household Goods	4th Quarter
40	Mexico 2 / 40 FT flatbeds	Fire truck, Crash Truck	2nd Quarter
41	Mexico 9 / 53 Ft Dry Vans, 1 / 48'	PPE Kits	4th Quarter
42	Mali 1 / 40 FT Sea Van	Medical Supplies & Equipment	1st Quarter
43	Malawi 3 / 40 FT Sea Van	School Furniture / Equipment	1st Quarter
44	Macedonia 4 / 40ft Sea Vans	Vehicles and Generators	3rd Quarter
45	Mozambique 1-20' / 1-40' Sea Vans	Student Desks / Furniture	4th Quarter
46	Namibia 2 / 40 FT Sea Van	School Supplies & Household Goods	4th Quarter
47	Namibia 2 / 40 FT Sea Van	Medical, Office and Household Supplies	4th Quarter
48	Namibia 2 / 40 FT Sea Van	Medical, Office and Household Supplies	4th Quarter
49	Namibia 1 / 40 FT Sea Van	Tent Flooring	4th Quarter
50	Nigeria 2 / 40 FT Sea Vans	School Furniture / Equipment	4th Quarter
51	Panama 2/40ft Sea Van	Medical and Disaster Supplies	4th Quarter
52	Paraguay 1 / 40 FT Sea Van	Medical Supplies and Furniture	3rd Quarter
53	Rwanda 2 / 40 FT Sea Van	Household Goods / Office Furniture	2nd Quarter
54	Sao Tome & Principe 4 / 40 FT Sea Vans	School Furniture / Equipment	3rd Quarter
55	Senegal 1 / 40 FT Sea Van	Medical Equipment and School Supplies	2nd Quarter
56	Senegal 1 / 40 FT Sea Van	Medical Equipment and School Supplies	2nd Quarter
57	Seychelles 1 / 40 FT Sea Van	Library Furniture and Equipment	3rd Quarter
58	Slovakia 4 / 40 Flat Beds	Vehicles and Generators	4th Quarter
59	St. Lucia 1 / 20ft Sea Van	Generators	2nd Quarter

**HUMANITARIAN ASSISTANCE
EXCESS PROPERTY TRANSFERS - FY 2011**

	A	B	C	D
1	<u>DESTINATION</u>	<u>CONTAINER QUANTITY BY TYPE</u>	<u>COMMODITY TYPE</u>	<u>DELIVERED</u>
60	Suriname	5/ 40 FT Sea Vans	School Supplies & Medical Equipment	3rd Quarter
61	Serbia	1 / Flat Bed Truck	CARAVAN TRAILER	4th Quarter
62	Trinidad/Tobago	1 / 20 FT Flatbed	1 28 Passenger Bus	4th Quarter
63	Trinidad/Tobago	1 / 20 Flatbed	1 29 Passenger Bus	4th Quarter
64	Trinidad/Tobago	1 / 40 FT Sea Van	Medical Supplies and Equipment	1st Quarter
65	Trinidad/Tobago	2 / 40 FT Sea Van	Tents/ Medical Packages & Mattresses	1st Quarter
66	Tunisia	2 / 40 FT Sea Vans	Hospital Furniture and Equipment	2nd Quarter
67	Ukraine	6/ 40 Flatbed Sea Vans	Vehicles and Generators	4th Quarter
68	Ukraine	4/ 40 FT Sea Vans	Household Goods / Office Furniture	4th Quarter
69	Uruguay	2/ 40 FT Sea Vans	Household Bedroom Furniture	4th Quarter
70	Uruguay	1 / 20 FT Sea Vans	Household Dining Room Furniture	4th Quarter
71	Uruguay	1 / 20 FT Flatbed	Fire truck, Crash Truck	4th Quarter
72	Uruguay	1 / 20 FT Flatbed	Cargo Truck/4 X 4	4th Quarter
73	Uruguay	2 / 40 FT Sea Van	Household Bedroom Furniture	4th Quarter
74				

**HUMANITARIAN ASSISTANCE
FUNDED TRANSPORTATION PROGRAM - FY 11**

A	B	C	D
<u>DESTINATION</u>	<u>CONTAINER QUANTITY BY TYPE</u>	<u>COMMODITY TYPE</u>	<u>DELIVERED</u>
1	Barbados 1 / 40FT Sea Van	Wheelchairs	3rd Quarter
2	Cameroon 2 / 40 FT Sea Van	Medical Supplies	1st Quarter
3	Columbia 2 / 40 FT Sea Van	Medical Supplies	4th Quarter
4	El Salvador 21 / 40 FT Sea Vans	Bridging Equipment	2nd Quarter
5	El Salvador 26 / 40 FT Sea Vans	Bridging Equipment	2nd Quarter
6	El Salvador 20 / 40 FT Sea Vans	Bridging Equipment	3rd Quarter
7	El Salvador 22 / 40 FT Sea Vans	Bridging Equipment	3rd Quarter
8	Ghana 2 / 40 FT Sea Vans	Books	1st Quarter
9	Ghana 1 / 40 FT Sea Vans	Household Goods / Furniture	4th Quarter
10	Ghana 2 / 40 FT Sea Vans	Books	4th Quarter
11	Ghana 1 / 40 FT Sea Vans	Household Goods / Furniture	4th Quarter
12	Guatemala 5 / 40 FT Sea Van	Household Goods /School Furniture	3rd Quarter
13	Guatemala 1 / 40 FT Sea Van	Household Goods	4th Quarter
14	India 2 / 40 FT Sea Vans	Medical Supplies	2nd Quarter
15	Jamaica 1 / 20 FT Sea Van	Wheelchairs	2nd Quarter
16	Liberia 1 / 40 FT Sea Van	Medical Supplies/Hospital Uniforms	4th Quarter
17	Nigeria 2 / 40 FT Sea Vans	Medical Supplies	2nd Quarter
18	Nicaragua 5 / 40 FT Sea Vans	School Furniture	2nd Quarter
19	Nicaragua 1 / 20 FT Sea Van	Medical Supplies/Orthopedic Equipment	3rd Quarter
20	Nicaragua 5 / 40 FT Sea Van	Medical Supplies	3rd Quarter
21	Peru 1 / 40 FT Sea Van	Household Goods/Furniture /	2nd Quarter
22	Panama 1 / 40 FT Sea Van	Wheelchairs	3rd Quarter
23	Panama 1 / 40 FT Sea Van	Wheelchairs	4th Quarter
24	Sierra Leone 1 / 40 FT Sea Van	Medical Supplies	3rd Quarter
25	Sierra Leone 1 / 40 FT Sea Van	Household Goods/ School Supplies	4th Quarter
26	Uganda 2 / 40 FT Sea Van	Books	2nd Quarter
27	Uganda 2 / 40 FT Sea Van	Medical Supplies	4th Quarter
28	United States 1 / 20 FT Sea Van	Orthopedic Medical Supplies	4th Quarter
29			
30			