



THOMSON REUTERS

Disease Staging Software™

Version 5.26

Reference Guide

COPYRIGHT © 1999-2009 THOMSON REUTERS. ALL RIGHTS RESERVED.

Copyright © 1999-2009 Thomson Reuters. ALL RIGHTS RESERVED.

MEDSTAT® Reg. U.S. Pat. & Tm. Off.

All rights reserved.

No part of this publication may be reproduced, translated or transmitted in any form, by photocopy, microfilm, xerography, recording or any other means, or stored or incorporated into any information retrieval system, electronic or mechanical, without the prior written permission of the copyright owner.

Requests for permission to copy any part of this publication or for additional copies should be addressed to:

Thomson Reuters
777 E. Eisenhower Pkwy.
Ann Arbor, Michigan 48108.

The software, data and other information to which this manual relates have been provided under the terms of a License Agreement with Thomson Reuters, Inc. All Thomson Reuters clients using Medstat Disease Staging Software® are required to obtain their own licenses for use of all applicable medical coding schemes including but not limited to: Major Diagnostic Categories (MDCs), Diagnosis Related Groups (DRGs), and ICD-9-CM.

Trademarks:

Medstat and Medstat Disease Staging Software are registered trademarks of Thomson Reuters, Inc.

Intel and Pentium are registered trademarks of Intel Corporation.

Microsoft, Windows, Windows NT, Windows 2000, and Windows XP are registered trademarks of Microsoft Corporation.

SAS is a registered trademark of the SAS Institute, Inc.

AIX and IBM are registered trademarks of the IBM Corporation.

Sun and Solaris are trademarks or registered trademarks of Sun Microsystems, Inc.

HP-UX is a registered trademark of the Hewlett-Packard Company.

Linux® is the registered trademark of Linus Torvalds in the U.S. and other countries.

ICD-10 codes used by permission of WHO, from: International Statistical Classification of Diseases and Related Health Problems, Tenth Revision (ICD-10). Vols 1-3. Geneva, World Health Organization, 1999.

ICD-10-SGB-V version 2.0 , Deutsches Institut für Medizinische Dokumentation und Information

TABLE OF CONTENTS

| | |
|---|-----------|
| DISEASE STAGING | 5 |
| Disease Staging Clinical Criteria | 5 |
| Disease Staging Criteria | 6 |
| Diagnostic Findings..... | 7 |
| Applications of Disease Staging | 8 |
| Disease Staging Coded Staging Criteria..... | 13 |
| The Disease Staging Software | 14 |
| Patient level severity methodology | 15 |
| Resource Scales..... | 15 |
| Total Resource Demand Scale RDSCALE..... | 15 |
| Within DRG Resource Demand Scale - DRGSACLE..... | 16 |
| Length Of Stay Scale - LOSSCALE..... | 16 |
| LOS and Charge Levels..... | 16 |
| Mortality Scale | 17 |
| Mortality Levels..... | 17 |
| Selected Disease Staging Bibliography | 18 |
| COMPLICATIONS OF CARE | 26 |
| Introduction | 26 |
| Environment and Focus..... | 26 |
| Definition: Complications of Care, Medical Errors and Adverse Events..... | 28 |
| Evidence for Using COC v3.2 Software..... | 29 |
| Considerations in Using Administrative Data to Identify Quality of Care Events | 38 |
| Hospital-acquired conditions..... | 38 |
| Clinical Specificity of Diagnosis Codes..... | 38 |
| Coding Variability Across Hospitals | 38 |
| Physician Reviews of Medical Records Flagged by Diagnosis Codes..... | 39 |
| Endnotes/References | 39 |
| Process of Selecting and Defining Complications for Inclusion in Version 3.2 | 40 |
| Overview of Algorithm..... | 41 |

| | |
|--|-----------|
| Description of Input Elements..... | 41 |
| Output Data Elements..... | 42 |
| Interpretation of COC and Risk Group Definitions..... | 43 |

DISEASE STAGING

DISEASE STAGING CLINICAL CRITERIA

A disease can be effectively treated only when I as a doctor understand its causes in that particular patient, its site of origin, the internal havoc it creates, and the course which the process is likely to take whether treated or not. With that knowledge, I can make a diagnosis, prescribe a program of treatment, and predict an outcome.¹

Where? Why? How serious? These are the basic questions that a clinician must attempt to answer when a patient presents with a medical problem. The same questions must be answered to make appropriate comparisons in studies of outcomes, quality, or costs of care. The "where" is the specific organ or system of the body; the "why" is the etiology of the problem; and the "how serious" is the pathophysiologic changes that have occurred and the ranking of the disease's complications.

Physicians use information from a patient's history, physical examination, laboratory findings, and other diagnostic tests to answer these questions in order to diagnose a disease, to estimate the patient's prognosis, and to prescribe appropriate treatment. Ideally, answers should be available before therapeutic intervention. Even in those cases when definitive answers may not be available and treatment must be given, it should be based on the presumptive answers to these questions.

Disease Staging is a classification system that uses diagnostic findings to produce clusters of patients who require similar treatment and have similar expected outcomes. It can serve as the basis for clustering of clinically homogeneous patients to assess quality of care, analyze clinical outcomes, review utilization of resources, assess efficacy of alternative treatments, and assign credentials for hospital privileges.

Ideally, a diagnostic label should have explicit data about the location of the health problem, the cause of the problem, and the severity of the problem. The majority of diagnostic labels identify the site of the disease (e.g., appendicitis, cholecystitis, diverticulitis, and peptic ulcer). Some provide information about the system involved and cause of the problem (e.g., pneumococcal pneumonia and urinary tract infection caused by *E. coli*). Other diagnostic labels are manifestations of problems (e.g., hypertension and anemia). A few, because of the body system involved, also convey a degree of severity (e.g., myocardial infarction or bacterial meningitis). And some may even be distinguished by the time of onset (e.g., congenital toxoplasmosis).

Only in the discipline of cancer has the medical profession developed a diagnostic classification that includes severity based on the understanding of the need to measure the efficacy of various treatments for similar clusters of patients. Now that society is challenging the medical profession to document quality of

care in a more objective manner, similar measurement instruments are needed for all medical problems.

DISEASE STAGING CRITERIA

The Disease Staging criteria define levels of biological severity for specific medical diseases, where severity is defined as the risk of organ failure or death. The classification is based on the severity of the pathophysiologic manifestations of the disease:

| | |
|----------------|--|
| Stage 1 | A disease with no complications |
| Stage 2 | The disease has local complications |
| Stage 3 | The disease involves multiple sites, or has systemic complications |
| Stage 4 | Death |

Subdivisions of these stage levels have been defined to allow more precise classification. The challenge is to include enough detail to allow for a rich description of each disease and yet not be so overwhelmingly complete that the staging is cumbersome.

In the definition of the Staging criteria, most of the diseases begin at Stage 1 and continue through Stage 4. There are several exceptions to this rule. Some self-limiting diseases, such as cataracts, do not include a Stage 3 or 4. Other criteria begin at either Stage 2 or 3 since they are often complications of other diseases (e.g., bacterial meningitis, which can be a complication of sinusitis, otitis media, or bacterial pneumonia). Stage 0 has also been included in the classification of diseases for patients with a history of a significant predisposing risk factor for the disease, but for whom there is currently no pathology (e.g., history of carcinoma or a newborn baby born to a mother suspected of having an infection at the time of delivery).

The Stage levels are ordinal in nature for each medical problem. Stage 1 of one disease may have different implications for resource use, treatment, and prognosis than a similar stage of another disease. For example, hyperglycemia (Stage 1 diabetes mellitus) is different than positive serological evidence of AIDS (Stage 1). Even when major pathophysiologic damage exists such as coma, which in all diseases is a Stage 3 complication, the prognosis may be different for each disease since for some there is treatment which may reverse the complication. Treatment, whether medical or surgical, has not, however, been introduced into the staging classification; staging is driven by the natural history of the disease. Nor has quality of life been taken into consideration in Disease Staging. Controlling for other factors (e.g., choice of treatment, age, and presence of co-morbid disease), risk of death is a function of etiology and stage of disease. While this risk generally increases with each higher Stage level, it may vary dramatically by Stage from one disease to another.

It is important to distinguish the etiology of a disease whenever possible. For example, "pneumonia" does not specify etiology. Designating that the pneumonia was bacterial in origin would be an improvement, (e.g., "bacterial pneumonia"), but optimally a physician should document the specific bacteria causing the pneumonia (e.g., pneumococcal pneumonia).

Health problems, such as congestive heart failure, and laboratory findings, such as anemia, that may result from a variety of causes, are not diagnoses. When such problems are recorded as the only evidence and stated as the patient's "diagnosis," the implication is that the physician did not know, or did not document, the disease process that produced the problem. Unfortunately, many users of medical information fail to distinguish between non-specific health problems (e.g., symptoms and laboratory findings) and diagnoses of specific diseases. As a result, patients may be inappropriately classified for the purposes of reimbursement, for the analysis of resource utilization, and for the assessment of quality of care.

For each Staging criteria set included in this volume, the most likely etiology is specified. Some diseases may have multiple etiologies (e.g., bacterial pneumonia). While the Staging classification is essentially the same for pneumonia due to *Pneumococcus* as it is for that due to *Staphylococcus* or *Pseudomonas*, each type of bacterial pneumonia should be analyzed separately when evaluating quality of care, clinical trials, and utilization of resources because of the varying prognosis associated with each.

There are a number of complications (for example, sepsis and congestive heart failure) that may result from many diseases. Generally, these complications have been assigned the same integer stage level across the different diseases, although not necessarily the same substage level. Different integer stage levels have been used when the complication may indicate different levels of severity depending upon the underlying disease. For example, pneumonia is classified as a Stage 2 complication when it occurs secondary to other problems. There are a few diseases, such as botulism, where aspiration pneumonia or bacterial pneumonia is a reflection of the systemic nature of the problem rather than just the involvement of the respiratory system. For these diseases, pneumonia is classified as a Stage 3 complication.

DIAGNOSTIC FINDINGS

In addition to the stages of the disease, each criteria set includes a specification of "diagnostic findings" that can be used to validate the presence of the disease and stage level. The diagnostic findings include physical findings, radiological and laboratory results, and pathological and operative reports.²

The present edition has addressed the validation issue more comprehensively than previous editions. Only the information that specifically documents a complication is included, with the understanding that physicians should first gather data from the history and physical examination to state a hypothesis (presumptive diagnosis) and use the laboratory judiciously to validate the diagnosis. Which laboratory data are collected will depend on available facilities and cost-benefits for the patients. For some diagnoses, both the patient and physician can accept uncertainty. However, if major treatment decisions are to be made, validation using objective data is essential. For instance, patients should not be treated for cancer on a presumptive diagnosis.

For some diagnostic testing (e.g., the use of the glucose tolerance test or fasting blood sugar for the diagnosis of diabetes mellitus), criteria have been recommended that are accepted by the medical community. Many laboratory tests, however, do not have nationally accepted values to delineate normal and abnormal results. In these situations, laboratory results have been defined as abnormal when they exceed three standard deviations from the mean value.^{3, 4}

In summary, the physician's clinical judgment based on the history and physical examination should be used along with laboratory data to confirm or rule out the presence of a particular problem. In addition, laboratory values may need to be adjusted based on the calibration of the laboratory performing the test.

APPLICATIONS OF DISEASE STAGING

Disease Staging is a valuable tool in many clinical, research, management, and educational studies. Examples of how Disease Staging has been used to classify patients for a number of applications are highlighted below.

TIMING OF HOSPITALIZATION 5-8

Disease Staging may be used to document potential quality of care problems in ambulatory settings by providing data relating to patients' severity of illness at the time of hospitalization. Patients admitted to the hospital with advanced stages of illness represent possible failures of outpatient care. For example, an admission for cellulitis secondary to diabetes mellitus might have been preventable if the disease progression could have been averted with appropriate outpatient care.

For some diseases, such as appendicitis, hospitalization is clearly appropriate at the earliest stage of the disease. Other diseases, such as essential hypertension, rarely require hospitalization at the early stages; hospitalization is only required if the disease progresses to more advanced stages.

Because admitting patients to an acute care hospital involves incurring significant cost and potential risk, patients should be admitted to the hospital only if the expected benefits outweigh the costs and risks of the admission. Questions to address include:

Is inpatient diagnostic testing required? Do the symptoms suggest a serious illness which, if confirmed, may require immediate treatment? Does the patient require treatment that is most appropriately provided as an inpatient? Does the patient require the types of monitoring and nursing care available only in an acute care hospital?

Classification of severity of illness at the time of hospitalization is important for analysis of both inpatient and outpatient care. Comparisons of inpatient care outcomes can be accomplished only if one adjusts for patient risk at time of admission.

For patients admitted at earlier stages of illness, one may question whether an acceptable level of care could have been provided in an outpatient setting. A number of factors could make such an earlier stage admission appropriate. For example, a patient with acute symptoms (e.g., chest pain), but without a confirmed diagnosis, may be appropriately admitted to the hospital until a diagnosis and a decision can be made as to whether further inpatient care is necessary. A patient may have other co-morbid conditions (for example, poorly controlled diabetes mellitus) that make the admission advisable, or a patient may choose to undergo an elective surgical procedure that must be performed as an inpatient. A patient with osteoarthritis of the hip who decides to have a total hip replacement would clearly require hospitalization.

For patients hospitalized at more advanced stages, the issue is whether the patient has complications that could have been preventable with earlier inpatient care. For example, a patient admitted with acute cholecystitis and gangrene of

the gallbladder has a serious complication that may have been prevented with earlier hospitalization and treatment.

Timeliness of admission is, in part, a function of whether hospitalization is the first or subsequent admission for a particular complication of episode of care. For example, a first admission at advanced-stage cancer should raise questions about whether earlier detection was feasible. Subsequent scheduled admissions for the same patient to undergo chemotherapy would not, of course, raise the same question.

It is important to differentiate the concept of a timely admission from a preventable admission. For example, an admission at Stage 1 appendicitis is timely and, given current medical knowledge, not preventable. Such an admission does not raise issues of appropriateness of care. On the other hand, while an admission for Stage 2.5 diabetes mellitus and cellulitis is also timely, it may have been a preventable admission if the disease progression could have been averted with appropriate outpatient care.

CASE-MIX CLASSIFICATION FOR ANALYSIS OF RESOURCE UTILIZATION AND REIMBURSEMENT⁹⁻¹⁹

Disease Staging should be an integral part of systems designed to analyze resource utilization. Differences in length of stay and cost may result from differences in patient populations treated, as well as from differences in efficiency. Etiology and stage of disease are directly related to the use of resources and must be considered in these types of analyses, whether the focus is at the level of an individual physician, a hospital product line, or an entire institution.

In addition to the stage of the principal disease, other variables to be included in analysis of utilization include: presence of co-morbid, or co-existing, medical problems (e.g., presence of diabetes mellitus in a patient hospitalized for appendicitis – both the diabetes mellitus and appendicitis should be staged); reason for admission (e.g., for diagnostic purposes, therapeutic purposes, both diagnosis and therapy, chemotherapy, or observation); and the use of surgical procedures or special units (e.g., ICU, CCU), if such use is justified by the needs of the patient.

Use of resources depends on the clinical status of the patient, the reason for admission, and whether the latter is the first or one of many re-admissions. For instance, a woman with Stage 3 cancer of the breast will consume more resources during the first hospitalization, when more diagnostic and therapeutic interventions will be used, than on her third hospitalization, when for the same problem she may likely receive only chemotherapy or radiation therapy. In addition, the social support needs of the patient should be considered, although this variable would have a greater impact on timing of hospitalization and length of stay than on the diagnostic or therapeutic intervention.

By using Disease Staging, variations in resource use resulting from patient differences can be controlled, thereby allowing the manager or researcher to appropriately focus on the analysis of differences resulting from variation in physician and institutional practices. For similar reasons, reimbursement systems should be modified to account for differences in severity of illness.

QUALITY OF CARE ASSESSMENT^{5, 20-30}

Whether the goal is assessment and improvement of the process of care or evaluation of clinical outcomes, there is a need for clinical specificity. The

Centers for Medicare and Medicaid Services (CMS) and several statewide data organizations publish institution-specific, and in some cases physician-specific, information on outcome measures such as mortality. Without appropriate ways to account for differences in the severity of the patient mix treated, the relevance of these types of analyses is questionable. For example, analysis of data from the National Hospital Discharge Survey demonstrated a 5.6% mortality rate for patients hospitalized with Stage 1 bacterial pneumonia, 9.5% for those with Stage 2, and a 33.1% mortality rate for Stage 3.²⁹ These estimates were further refined by considering the specific etiology (organism) of the pneumonia.

As a part of a quality improvement program, these types of advanced-stage admissions should be reviewed to evaluate whether they resulted from physician-related problems (e.g., delayed or incorrect diagnosis or treatment), patient-related problems (e.g., failure to seek timely care or comply with prescribed treatment), system problems (e.g., lack of access to care), or were not preventable (e.g., resulting from rapid disease progression in a particular patient).

Disease Staging can also be used as a direct measure of patient outcomes by studying changes in disease stage over time. For instance, severity at hospital admission can be compared with severity at discharge. Patient-based longitudinal data can be used in conjunction with Disease Staging to assess changes in severity of illness for defined populations and specific episodes of care.

Another valuable use of Disease Staging is the evaluation of processes as well as outcomes of medical care. A great deal of activity is currently being devoted to the development of clinical guidelines designed to reduce uncertainty and help guide the process of care. One of the difficulties faced in guidelines development is that the appropriateness of a specific diagnostic test or prescribed treatment varies by stage of disease. By defining stage-specific criteria, it is possible to improve the specificity of clinical guidelines and process review criteria and to make them more useful and acceptable to clinicians.

CLINICAL TRIALS 29

The primary objective of clinical trials is to test the efficacy of therapeutic interventions under highly controlled conditions. By using Disease Staging to help specify the study population, comparability of the treatment and control groups can be assessed. Staging allows the investigator to stratify patients more accurately, both for their principal diagnoses or problems and for any co-morbid conditions that they may have. Depending on the goals of the trial, it can be restricted to samples defined using specific stages of disease or designed to allow the assessment of efficacy across different levels of severity.

PROFESSIONAL STAFFING AND FACILITY PLANNING IN HEALTH CARE INSTITUTIONS^{9-11, 31}

Severity of illness, as documented by Disease Staging, may be used to evaluate the appropriateness of current or planned staffing levels within hospitals or managed care institutions in relationship to patients' health care needs. Staging can provide severity-level data for specific patient groups that may justify establishing or expanding special care units or securing special diagnostic equipment or other facilities.

A major responsibility of medical specialty boards is the development and administration of procedures and examinations for board certification and recertification. Disease Staging has been used to classify the content of test items from the board certification/recertification examinations administered by the American Board of Family Practice³² and to analyze medical licensing examinations in Japan.³³ Each item on the examination is classified by organ system, etiology, and stage of illness, along with other dimensions such as age group affected and whether the item focuses on diagnosis or management.

Use of this type of classification enables the specialty board to assess the current mix of items and begin to develop a "blueprint" to guide development of future examinations. For example, by using Disease Staging, one can refine the assessment of the physician's knowledge of diabetes mellitus management to assure that there is an appropriate mixture of items relevant to the early stages, as well as prevention and management of specific advanced-stage complications.

Disease Staging can be used in the assignment of hospital clinical privileges.³⁴ Currently, the delineation of clinical privileges is primarily procedure-oriented, even in the medically-oriented specialties. For example, a general internist may be credentialed to perform procedures such as arterial puncture, thoracentesis, and lumbar puncture. However, the skills necessary to successfully perform an arterial puncture say very little about the physician's ability to diagnose or manage the complex patient with advanced-stage medical problems.

Disease Staging can be used to delineate disease-specific privileges that more appropriately reflect the clinical challenges of patient management. For example, a board certified general internist may have the appropriate education and experience to manage early stage diabetes mellitus, but not to manage a patient admitted for hyperosmolar coma. Potentially, the volume and outcomes of stage-specific experience could also be monitored, as is increasingly done for surgical volume and outcomes, to reassess the privileges assignment.

MEDICAL EDUCATION^{35, 36, 37}

A significant part of both undergraduate and graduate medical education involves increasing levels of patient care responsibility as the experience of the student/physician increases. Disease Staging can be used as a part of systems designed to document these clinical experiences. For example, what is the mix of severity of illness of patients with diabetes mellitus seen by medical students? Does the student have adequate experience managing a patient with this disease to avoid, as well as in treating complications which may occur? Does this vary depending on the site where the students perform their clerkship? Is there significant variation from student to student?

Similarly, Disease Staging concepts can be used to evaluate the content of the curriculum. To what extent does the medical curriculum address Stage 1 illness and to what extent does it address Stage 3 illness? To what extent is attention devoted to problems associated with particular body organ systems or to problems of a particular etiological nature?

Use of Disease Staging can also help the student and resident become more effective diagnosticians. By understanding the evolution of a disease, the physician will use the laboratory more effectively and avoid delay in arriving at an accurate diagnosis.

REFERENCES

1. Nuland SB. Doctors: The Biography of Modern Medicine. New York, NY: Alfred A. Knopf; 1988; xvii.
2. Conn RB, Borer WZ, and Snyder JW. Current Diagnosis. 9th Ed. 1997, WB Saunders Company, Philadelphia.
3. Tietz NW. Clinical Guide to Laboratory Tests. 3rd Ed. 1995, WB Saunders Company, Philadelphia.
4. Speicher CE. The Right Test. 3rd Ed. 1998, WB Saunders Company, Philadelphia.
5. Gonnella JS, Louis DZ, Zeleznik C, and Turner BJ. The Problem of Late Hospitalization: A Quality and Cost Issue. *Academic Medicine*. 1990; 65:314-319.
6. Louis DZ, Gonnella JS, and Zeleznik C. An Approach to the Prevention of Late Hospital Admissions. In: Stemming the Rising Costs of Medical Care: Answers and Antidotes. Battle Creek, Mich: W.K. Kellogg Foundation; 1988:147-157.
7. Taroni F, Louis DZ, Yuen EJ, Anemonia A, and Zappi A. Timeliness of Hospital Admission. Proceedings 7th International Patient Classification System/Europe Working Conference. 1991; 19-21.
8. Taroni F, Louis DZ, Yuen EJ, Anemonia A, and Zappi A. La Valutazione della Tempestività dei Ricoveri: Uno Strumento per La Gestione del Case-Mix Ospedaliero. *Press DRG, Periodico Regionale*. 1991; 2:3-6.
9. Conklin JE, Lieberman JV, Barnes CA, and Louis DZ. Disease Staging: Implications for Hospital Reimbursement and Management. *Health Care Financing Review Supplement*. 1984;3:22.
10. Garg M, Louis DZ, Gliebe W, et al. Evaluating Inpatient Costs: The Staging Mechanism. *Medical Care*. 1978; 16:191-201.
11. Gonnella JS, Hornbrook MC, and Louis DZ. Staging of Disease: A Case-Mix Measurement. *Journal of the American Medical Association*. 1984; 251:637-644.
12. Inouye SK, Peduzzi PN, Robison JT, et al. Importance of Functional Measures in Predicting Mortality among Older Hospitalized Patients. *Journal of American Medical Association*. 1998; 279:1187-93.
13. Louis DZ, Yuen EJ, Braga M, et al. Impact of DRG-based Hospital Financing System in Quality and Outcomes of Care in Italy. *Health Services Research*. April 1999, Part II; 34:405-415.
14. McKee M and Petticrew M. Disease Staging - A Case-Mix System for Purchasers? *Journal of Public Health Medicine*. 1993; 15:25-36.
15. Taroni F, Louis DZ, and Yuen EJ. An Analysis of Health Services Using Disease Staging: A Pilot Study in the Emilia-Romagna Region of Italy. *Journal of Management in Medicine*. 1992; 6:53-66.
16. Taroni F, Repetto F, Louis DZ, et al. Variation in Hospital Use and Avoidable Patient Morbidity. *Journal of Health Services Research Policy*. 1997; 2:217-22.
17. Umesato Y, Louis DZ, Yuen EJ, Taroni F, and Migliori M. Variation in Patient Mix and Patterns of Care: A Study at 3 Teaching Hospitals in Italy, Japan, and the USA. *Japan Journal of Medical Informatics*. 1993.
18. Wiley MM and Merce RT. A Cross-National, Casemix Analysis of Hospital Length of Stay for Selected Pathologies. *European Journal of Public Health*. 1999; 9:86-92.
19. Yuen EJ, Taroni F, and Louis DZ. The Italian Case-Mix Project: Repeated Hospitalizations and the Quality of Care. *Clinical Performance and Quality Health Care*. 1997; 2:129-34.
20. Gonnella JS and Louis DZ. Evaluation of Ambulatory Care. *Journal of Ambulatory Care Management*. 1988; 11:68- 83.

21. Gonnella JS and Louis DZ. Severity of Illness in the Assessment of Quality: Disease Staging. In: Hughes EFX, ed. Perspectives on Quality in American Health Care. Washington, DC: McGraw-Hill; 1988:69-84.
22. Gonnella JS and Louis DZ. La Valutazione della Qualità della Assistenza Sanitaria. Press DRG, Periodico Regionale. 1992; 3:3-10.
23. Gonnella JS and Louis DZ. Physicians' Responsibilities and the Evaluation of Outcomes of Medical Care. In Accountability and Quality in Health Care. Markson LE and Nash DB, ed. Joint Commission on Accreditation of Healthcare Organizations, 1995; 205-28.
24. Gonnella JS, Cattani J, Louis DZ, et al. Use of Outcome Measures in Ambulatory Care Evaluation. In: Giebink GA, White NH, eds. Ambulatory Medical Care Quality Assurance 1977. La Jolla, CA: La Jolla Health Science Publications; 1977.
25. Gonnella JS, Louis DZ, and McCord JJ. The Staging Concept: An Approach to the Assessment of Outcome of Ambulatory Care. Medical Care. 1976; 14:13-21.
26. Gonnella JS, Louis DZ, McCord JJ, et al. Toward an Effective System of Ambulatory Health Care Evaluation. Quality Review Bulletin. 1977; 3:7.
27. Louis DZ. Valutazione della Qualità Dell'assistenza e Gravità della Malattia. Press DRG, Periodico Regionale. 1991; 1:3-5.
28. Louis DZ and Gonnella JS. Disease Staging: Applications for Utilization Review and Quality Assurance. Quality Assurance & Utilization Review. 1986; 1:13-18.
29. Markson LE, Nash DB, Louis DZ, Gonnella JS. Clinical Outcomes Management and Disease Staging. Evaluation & The Health Professions. 1991; 14:201-227.
30. Taroni F, Louis DZ, and Yuen EJ. Outcomes Management: The Italian Case-Mix Project. In: Casas M and Wiley, Eds. Diagnosis Related Groups in Europe: Uses and Prospectives. New York, NY: Springer- Verlag; 1993:97-108.
31. Forthman LC. Achieving Competitive Advantage through Information Management. Computers in Healthcare. 1990; 11:38-43.
32. Pisicano NJ, Veloski JJ, Brucker PC, and Gonnella JS. Classifying the Content of Board Certification Examinations. Academic Medicine. 1989; 64:149-154.
33. Kaga K and Gonnella JS. Disease Staging. Japanese Journal of Nursing Education. 1990; 31:595-598.
34. Nash DB, Louis DZ, and Gonnella JS. Improved Practice Profiles Called Key to Better Care. Quality Assurance News & Views. 1990; 2:1&4.
35. Gonnella JS, Hojat M, Erdmann JB, and Veloski JJ. What Have We Learned, and Where Do We Go From Here? In: Gonnella JS, Hojat M, Erdmann JB, Veloski JJ, eds. Assessment Measures in Medical School, Residency, and Practice: The Connections. New York, NY: Springer Publishing Company; 1993:155-173.
36. Rattner SL, Louis DZ, Rabinowitz C, Gottlieb, JE, Nasca TJ, Markham FW, Gotlieb RP, Caruso JW, Lane JL, Veloski JJ, Hojat M, and Gonnella JS. Documenting and Comparing Medical Students' Clinical Experiences. JAMA. 2001; 256(9): 1035-1040.
37. Markham FW, Rattner S, Hojat M, Louis DZ, Rabinowitz C, and Gonnella JS. Evaluations of medical students' clinical experiences in a family medicine clerkship: Differences in patient encounters by disease severity in different clerkship sites. Fam Med, 34(6):451-454, June, 2002.

DISEASE STAGING CODED STAGING CRITERIA

The medical criteria can be applied on a manual basis to medical records to analyze diseases of patients within an institution or within a selected disease category. While this requires only a few minutes per patient, and may be acceptable for physicians in recording diagnoses on patient charts, it is too time-

consuming and costly for use in large-scale research projects and utilization reviews. A computerized version of Disease Staging is required to facilitate analyses of large numbers of hospitalized patients.

A team of medical records professionals is employed to translate each stage and substage definition into diagnostic codes. Operationally, a procedure similar to that used for the medical (clinical) criteria is used for the coding process. Each medical staging criteria set is coded independently and then reviewed by a clinical data specialist to resolve discrepancies. When necessary, physician panel members are consulted to assist in making the final decision.

Two types of problems are addressed in translating the medical criteria into coded criteria: the specificity in the coding systems themselves and the availability of certain data on a typical discharge abstract. Code specificity can be a problem because coding systems do not always allow for the precision specified by the clinical criteria within substages. For example, the medical criteria for external hernia classify "irreducible external hernia and intestinal obstruction" as Stage 2.01 and "strangulated external hernia" as Stage 2.02. However, it is not possible to differentiate between obstruction and strangulation in the ICD-9-CM coding system.

This problem is resolved via a conservative strategy to understate stage of disease. For example, a patient with the diagnostic codes of femoral or ventral hernia with obstruction is classified as Stage 2.01 since it is unknown whether the hernia resulted in obstruction or strangulation. Of course, if this patient had other complications of an external hernia, such as septicemia, then the patient would be classified at the appropriate higher stage.

Detailed refinements were also necessary when translating the criteria to ICD-9-CM and ICD-10 diagnosis codes because of a lack of data (primarily physical findings, laboratory results and diagnostic imaging) in most discharge abstract data systems. It is not possible to specify a stage (or substage) that is defined solely on laboratory results by use of discharge abstract data. For example, the stages of aplastic anemia are defined in terms of hemoglobin levels, white blood cell counts, and platelet counts. Again, the coded criteria will understate the severity of the disease if the supporting evidence is not represented by a unique diagnosis code.

THE DISEASE STAGING SOFTWARE

Once the Staging criteria are coded, a software package is developed for assigning disease categories and stages to the diagnosis codes found on medical record abstracts or hospital insurance claim records. Every diagnosis code on the patient record is assigned a disease category and is staged. The staging algorithms are designed to be exhaustive so that the input of patient diagnosis code data always results in at least one disease category being defined. If additional diagnoses are included on the record, the patient may be assigned multiple disease categories.

Once each diagnosis has been staged, a Principal Disease Category (PDXCAT) and a Principal Stage value are assigned. There is only one PDXCAT for each admission, and it is based on the principal diagnosis that appears on the inpatient record. A secondary diagnosis may be a complication of the PDXCAT. For example, when diabetes mellitus is present as the principal diagnosis and both retinopathy and neuropathy are secondary diagnoses, the latter are

considered manifestations or complications of diabetes and are used by the software logic in establishing the stage for diabetes.

All the additional DXCATs that will appear on the record use secondary diagnoses to establish the DXCAT and are unrelated to the PDXCAT and to each other. A secondary diagnosis and associated DXCAT will fall into one of the following categories:

Unrelated Comorbidity - A secondary diagnosis that is not associated with the PDXCAT or other DXCATs is an unrelated comorbidity.

Symptoms - In many cases, codes for symptoms appear in the patient record in addition to the codes for disease. This type of combination is exemplified by a secondary diagnosis code for abdominal pain for which the principal diagnosis is appendicitis.

PATIENT LEVEL SEVERITY METHODOLOGY

Disease specificity has always been a key strength of Disease Staging. However, this characteristic also makes it difficult to quantify patient-level severity of illness especially if a patient has multiple diseases. Disease Stages are expressed as ordinal levels that cannot simply be averaged across diseases to describe a patient's overall severity of illness. Consequently, The MEDSTAT Group developed a number of patient level measures, or predictive scales, that combine the information about a patient's diseases and their severity and correlate this information with outcome measures.

RESOURCE SCALES

The MEDSTAT Group has developed separate predictive scales for hospital charges (resource demand) and length of stay (LOS). The reason for this is that while charge and LOS are highly correlated, they do not correlate in a linear fashion. While the shortening of length of stay has allowed many hospitals to lower their average charges, the decrease in length of stay does not correspond to a proportional decrease in charges. Many studies have demonstrated that treatment intensity is usually highest early in the hospital stay. Total charges therefore tend to decrease at a slower rate than the average LOS. For example, for certain diseases, such as cancers, the cost of treatment may decrease with severity because of the futility of any further active intervention, while at the same time the mortality rate goes up for each stage and substage.

To derive the various scales, The MEDSTAT Group conducts empirical analyses on a database containing approximately 15 million patient records. The predictions were derived from multiple regression models. An algorithm for combining multiple DXCATs to derive a single measure for the affect of comorbidities was developed and is applied.

For the Charge and LOS scales, regressions are run for each DRG and DXCAT combination separately. The independent variables consist of variables whose values tended to correlate with patient severity. Such variables include the patient's DXCAT and stage, age, sex, comorbid conditions, and whether the patient was an emergency admission.

TOTAL RESOURCE DEMAND SCALE RDSCALE

The Overall Resource Demand Scale (RDSCALE) is a measure of resource consumption scaled to average 100 across all patients (regardless of DRG) in the development database. That is, RDSCALE is a patient's predicted charge as a percent of the average of predicted charges taken over all cases in the development database.

WITHIN DRG RESOURCE DEMAND SCALE - DRGSACLE

The DRG Resource Demand Scale (DRGSCALE) is a within-DRG measure of resource consumption scaled to average 100 in each DRG. That is, DRGSCALE is a patient's predicted charges as a percent of the average of predicted charges taken over all cases in that DRG. Thus, a DRGSCALE value of 120 indicates that a patient is expected to have a 20 percent greater average resource consumption than the average for patients in that DRG. It is important to keep in mind that an individual patient's actual resource utilization will likely vary from predicted resource utilization. As a result, DRGSCALE has greater precision as a predictor of average resource utilization for a group of patients than as a predictor for a single patient.

LENGTH OF STAY SCALE - LOSSCALE

The Length of Stay Scale (LOSSCALE) is an overall measure of likely length of stay scaled to average 100 across all patients, regardless of DRG, in the development database. Like RDSCALE, it represents a patient's predicted length of stay. It is described as a percent of the average length of stay in the development database.

LOS AND CHARGE LEVELS

A great deal of interest surrounds the predicted scales for individual patients. However, the variation in the prediction at the patient level is extremely high and for this reason drawing any conclusions at this level is extremely difficult. The reliability of the estimates improves as the predictions are aggregated into ranges.

To meet the interests of those desiring patient level statistics, LOS and RD and DRG Levels were devised and are included in the software output. The levels are explained in Table 3 below.

Table 3
Disease Staging Software
Patient Level
LOS, RD AND DRG Scale Definitions

| <u>LEVEL</u> | <u>PERCENTILES</u> |
|--------------|--------------------|
| + | > 95 |
| High | 75 - 95 |
| Medium | 25 - 75 |
| Low | 5 - 25 |
| - | < 5 |

MORTALITY SCALE

The MEDSTAT Group's mortality scale was produced from the same development database described above. The first step in the process was accomplished by segregating surgical and medical DRGs. This is necessary as surgical procedures are an important predictor of in-hospital mortality.

The occurrence of an in-hospital death is an infrequent event. As a result reliable regression models could not be developed for all DRGs and/or DXCATs. As a result, the medical and surgical discharge groups were further divided on whether there were a sufficient number of discharges to run regressions. The data and expected mortality rates were calculated within the classes described below:

Class 1 - Medical Admissions – observed rates of death are calculated at the DXCAT and integer stage level where there were fewer than 300 discharges for a DXCAT. The observed death rates are used in the calculation of the mortality scale values for these DXCATs.

Class 2 – Medical Admissions – Prediction models analogous to the LOS and Charge models is developed where there were 300 or more discharges for a DXCAT:

Class 4 – Surgical Admissions – Observed rates are calculated at the DRG/DXCAT and integer stage level where there were fewer than 300 discharges for a DXCAT and used in the calculation of the mortality.

Class 5 – Surgical Admissions – Prediction models analogous to the LOS and Charge models are developed where there were 300 or more discharges for a DXCAT. The form of the models described for Class 2 were employed for this group of calculations with the difference being that the predictions were made at both the DRG and DXCAT level.

The Mortality Scale is calculated by dividing the predicted mortality, obtained from one of the four classes described above, by the overall rate of in-hospital mortality from the development database times 100.

MORTALITY LEVELS

Mortality levels are output for patients using the ranges and designations described for the LOS and Charge Levels (see Table 3). (Expected mortality of = .001 is considered near zero and not included in the calculation of the levels. The vast majority of the discharges in this group are normal deliveries.)

SELECTED DISEASE STAGING BIBLIOGRAPHY

Adams, K., Houchens, R., Wright, G. and Robbins, J.: "Predicting Hospital Choice for Rural Medicare Beneficiaries: The Role of Severity of Illness." *HSR: Health Services Research*. 1991, 26(5):583-612.

Alemi, F., Rice, J. and Hankins, R.: "Predicting In-Hospital Survival of Myocardial Infarction: A Comparative Study of Various Severity Measures." *Medical Care*. 1990, 28(9):762-75.

Angus, D., et.al.: "The Effect of Managed Care on ICU Length of Stay-- Implications for Medicare." *Journal of the American Medical Association*. 1996, 276(13):1075-1082.

Arbitman, D.: "Who's a Cost-Inefficient Physician? The Case for Disease Staging." *Physician DRG Newsletter*. 1985, 2(7).

Barnard, C., Martel, G.D. and Scherubel, J.C.: "DRG Refinement." In: *Stemming the Rising Costs of Medical Care: Answers and Antidotes*, W.K. Kellogg Foundation, 1988.

Barnes, C.A.: "Disease Staging: A Clinically Oriented Dimension of Case Mix." *American Medical Record Association*. 1985, 56:22-27.

Baum, K., et.al.: "Incorporating Severity-of-Illness Measures into Retrospective Claims-Based Cost-Effectiveness Analysis." Presented at: *American Association of Pharmaceutical Scientists*. November 20, 1991

Berman, R.A., et.al.: "Severity of Illness and the Teaching Hospital." *Journal of Medical Education*. 1986, 61(1):1-9.

Calore, K.A. and Iezzoni, L.: "Disease Staging and PMCs: Can They Improve DRGs?" *Medical Care*. 1987, 25(8):724-35

Charbonneau, C., Ostrowski, C., et.al.: "Validity and Reliability Issues in Alternative Patient Classification Systems." *Medical Care*. 1988, 26(8):800-13.

Christensen, B.: "'Staging' Software Measures Severity of Patient's Illness." *Hospitals*. May 1, 1984:45-46.

Christian, C.L.E., M.D.: "The Anatomy of Quality Assurance (What I Learned from Ten Thousand Doctors)." Virgin Islands Medical Institute.

Christoffersson, J.G., Conklin, J.E. and Gonnella, J.S.: "The Impact of Severity of Illness on Hospital Costs." *The DRG Monitor*. 1988, 6(1).

Christoffersson, J.G., Conklin, J.E. and Gonnella, J.S.: "The Impact of Severity of Illness on Hospital Utilization and Outcomes." *InfoPlus*. 1991, Issue 1.

Christoffersson, J. and Moynihan, C.: "Can Systems Measure Quality?" *Computers in Healthcare*. Apr 1988:24-28.

Coffee, R.M., Goldfarb, M.G.: "DRGs and Disease Staging for Reimbursing Medicare Patients." *Medical Care*. 1986, 24(9):814-29.

Conklin, J.E.: "DRG Refinement: A Study of Alternative Groupings within Six Sets of Adjacent DRG's." Final Report under Subcontract No. 85-19 of HCFA Cooperative Agreement No. 18-C-98489/901 with the RAND Corporation, 1985.

- Conklin, J.E. and Houchens, R.L.: "DRG Refinement Using Measures of Disease Severity." Report to HCFA under grant No. 18-C-98761/9-01S1, 1987.
- Conklin, J.E. and Houchens, R.L.: "PPS Impact on Mortality Rates: Adjustments for Case-Mix Severity." Final Report, HCFA Contract No. 500-85-0015, 1987.
- Conklin, J.E., Houchens, R.L. and Eggers, P.: "Use of Medical Outcomes for Program Monitoring." Presented at: *Annual Conference of the Association for Health Services Research*. June 1, 1988.
- Conklin, J.E., Lieberman, J.V., Barnes, C.A. and Louis, D.Z.: "Disease Staging: Implications for Hospital Reimbursement and Management." *Health Care Financing Review*. 1984, (annual suppl.):13-22.
- Conklin, J.E., Louis, D.Z., Lieberman, J.V. and Heinberg, J.D.: "DRG Refinement: A Feasibility Assessment Using Stage of Disease, Age, and Unrelated Comorbidity." Final Report to HCFA for Contract No. 100-82-0038, 1984.
- Conklin, J.E., Louis, D.Z., Lieberman, J.V. and Heinberg, J.D.: "Refinements to Diagnosis Related Groups Based on Severity of Illness and Age." Final Report, Contract No. HHS-100-82-0038, 1984.
- Conklin, J.E. and Wilson, R.L.: "Choosing the Right Severity System." *Computers in Healthcare*, Nov 1988.
- Crocchiolo, P., Lizioli, A.: "Prolegomena to HIV Infection and Disease Staging Criteria" Letter. *AIDS*. 1989, 3(8):547.
- Eggers, P.W., Conklin, J.E., Houchens, R.L.: "Post-Admission Hospital Mortality: The Impact of Case Severity." Health Care Financing Administration, Dec 1989.
- Epstein, A.M., Stern, R.S., Weissman, J.S.: "Do the Poor Cost More? A Multihospital Study of Patients' Socioeconomic Status and Use of Hospital Resources." *New England Journal of Medicine*. 1990, 322:1122-28.
- Forthman, L.C.: "Achieving Competitive Advantage through Information Management." *Computers in Healthcare*. 1990, 11:38-43.
- Freeman, E.J. and Dame, D.: "Academic Medical Centers: Pricing to Compete." *Hospital Managed Care & Direct Contracting*, Aspen Publishers, Inc. 2(12):4-6.
- Garg, M., Louis, D.Z., Glibe, W., et al.: "Evaluating Inpatient Costs: The Staging Mechanism." *Medical Care*. 1978, 16:191-201.
- Goldfarb, M.G. and Coffey, R.M.: "Case-Mix Differences Between Teaching and Nonteaching Hospitals." *Inquiry* 1987, 24(1):68-84.
- Gonnella, J.S.: "Patient Case Mix: Implications for Medical, Educational and Hospital Costs." *Journal of Medical Education*. 1981, 56:610-11.
- Gonnella, J.S., Ed.: "Disease Staging: Clinical Criteria," Fourth Edition. Santa Barbara, CA. The MEDSTAT Group, 1994.
- Gonnella, J.S., Cattani, J.A., Louis, D.Z., McCord, J.J. and Spirka, C.S.: "Use of Outcome Measures in Ambulatory Care Evaluation." In: *Ambulatory Medical Care Quality Assurance 1977*. [Eds.: G.A. Giebink, and N.H. White] La Jolla Health Science Publications. La Jolla, CA. 1977.

- Gonnella, J.S. and Goran, M.: "Quality of Patient Care--A Measurement of Change: The Staging Concept." *Medical Care*. 1975, 13:467-73.
- Gonnella, J.S., Goran, M.J., Williamson, and Cotsonas, N.J.: "Evaluation of Patient Care: An Approach." *The Journal of the American Medical Association*. 1970, 214:2040-43.
- Gonnella, J.S., Hornbrook, M.C. and Louis, D.Z.: "Staging of Disease: A Case-Mix Measurement." *Journal of the American Medical Association*. 1984, 251(5):637-44.
- Gonnella, J.S., Hornbrook, M.C. and Louis, D.Z.: "Staging of Disease: A Case-Mix Measurement." In: 3rd International Conference on System Science in Health Care Proceedings. [Eds.: W.v.Eimeren, R. Engelbrecht, Ch.D. Plagle] Springer Veriag, Berlin Heidelberg, 1984:1090-95.
- Gonnella, J.S. and Louis, D.Z.: "Disease Staging Classification System," Letter to the Editor. *Medical Care*. 1987, 25(4):360.
- Gonnella, J.S. and Louis, D.Z.: "Evaluation of Ambulatory Care." *Journal of Ambulatory Care Management*. 1988, 11(3):68-83.
- Gonnella, J.S. and Louis, D.Z.: "Severity of Illness in the Assessment of Quality: Disease Staging." In: *Perspectives on Quality in American Health Care*, [Ed.: E.F.X. Hughes] McGraw-Hill Healthcare Information Center, Washington, DC. 1988:69-84.
- Gonnella, J.S., Louis, D.Z. and McCord, J.J.: "The Staging Concept: An Approach to the Assessment of Outcome of Ambulatory Care." *Medical Care*. 1976, 14:13-21.
- Gonnella, J.S., Louis, D.Z., Zeleznik, C. and Turner, B.J.: "The Problem of Late Hospitalization: A Quality and Cost Issue." *Academic Medicine*. 1990, 65(5):314-19.
- Gonnella, J.S., Louis, D.Z., McCord, J.J., et al.: "Toward an Effective System of Ambulatory Health Care Evaluation." *Quality Review Bulletin*. 1977, 3:7.
- Gonnella, J.S. and Louis, D.Z.: "La Valutazione della Qualità della Assistenza Sanitaria." *Press DRG, Periodico Regionale*. 1992, 3:3-10.
- Gonnella, J.S., Hojat, M., Erdmann, J.B. and Veloski, J.J., Eds.: "What Have We Learned, and Where Do We Go From Here?" In: *Assessment Measures in Medical School, Residency, and Practice: The Connections*. New York, NY: Springer Publishing Company. 1993:155-73.
- Gonnella, J.S., Miller, L.A. and Smithline, H.: "Identifying Patient Care Problems by Analyzing Critical Indicator Data." *QRB/Quality Review Bulletin*, 1980.
- Gonnella, J.S. and Zeleznik, C.: "Factors Involved in Comprehensive Patient Care Evaluation." *Medical Care*. 1974, 12:928-34.
- Gonnella, J.S. and Zeleznik, C.: "Prospective Reimbursement Using the DRG Case Mix Classification System: A Medical Perspective." In: Symposium on Contemporary Issues in Health Care, Virginia Mason Medical Foundation. Seattle, WA. 1983.

- Goran, M.J., Williamson, J.W. and Gonnella, J.S.: "The Validity of Patient Management Problems." *Journal of Medical Education*. 1973, 48:171-77.
- Gross, P.A., et.al.: "Description of Case-Mix Adjusters by the Severity of Illness Working Group of the Society of Hospital Epidemiologists of America." *Infection Control Hospital Epidemiology*. 1988, 9(7):309-16.
- Hannan, E.L., et. al.: "Investigation of the Relationship Between Volume and Mortality for Surgical Procedures Performed in New York State Hospitals." *Journal of the American Medical Association*. 1989, 262(4)503-10..
- Henry, J.B., Ed.: *Clinical Diagnosis and Management by Laboratory Methods, 17th edition*. Philadelphia, PA: WB Saunders. 1984:54.
- Hornbrook, M.C.: "Hospital Case Mix: Its Definition, Measurement and Use: Part 1. The Conceptual Framework." *Medical Care Review*. 1982, 38:1-43.
- Hornbrook, M.C.: "Hospital Case Mix: Its Definition, Measurement and Use. Part 2. Review of Alternative Measures." *Medical Care Review*. 1982, 39:73-123.
- Hornbrook, M.C.: Project Overview, "Hospital Cost and Utilization Project Research Note 1." Dept. of Health and Human Services Publication (PHS), National Center for Health Services Research, Rockville, MD. 1983:83-3343.
- Houchens, R.L. and Briscoe, W.W.: "Within DRG Case Complexity Change, 1991." Final Report, ProPAC Contract No. T-99382797. February 5, 1993.
- Houchens, R.L. and Conklin, J.E.: "Developing a Measure of Complexity of Illness Within DRGs." Final Report, ProPAC Contract No. T-47540316, Task Order #6, 1988.
- Houchens, R.L., Conklin, J.E. and Briscoe, W.W.: "Measure of Complexity of Illness Within DRGs." Final Report, ProPAC Task Order #9. March 15, 1989.
- Hughes, J., Iezzoni, L., Daley, J., Greenberg, L.: "How Severity Measures Rate Hospitalized Patients." *Journal of General Internal Medicine*. 1996, 5(11):303-311.
- Iezzoni, L.I.: "Measuring the Severity of Illness and Case Mix." *Providing Quality Care: The Challenge to Clinicians*. American College of Physicians, [Eds.: N. Goldfield and D. Nash]. 1989.
- Iezzoni, L.: "The Risks of Risk Adjustment." *The Journal of the American Medical Association*. 1997, 278(19)1600-1607.
- Iezzoni, L.I.: "Using Administrative Diagnostic Data to Assess the Quality of Hospital Care. The Pitfalls and Potential of ICD-9-CM." *International Journal of Technology Assessments in Health Care*. 1990, 6:373-81.
- Iezzoni, L.I.: "Using Severity Information for Quality Assessment: A Review of Three Cases by Five Severity Measures." *Quarterly Review Bulletin*. 1989, 15(12):376-82.
- Iezzoni, L.I., et. al.: "Illness Severity and Costs of Admissions at Teaching and Nonteaching Hospitals." Report to HCFA, under agreement No. 15-C-98835/1-02, Boston University Medical Center, Health Care Research Unit.

- Iezzoni, L.I., Ash, A. and Moskowitz, M.A.: "MedisGroups: A Clinical and Analytical Assessment." Report to HCFA under agreement No. 18-C-98526/1-03, 1987.
- Iezzoni, L., Ash, A., Shwartz, M., Daley, J., Hughes, J., Mackiernan, Y.: "Predicting Who Dies Depends on How Severity Is Measured: Implications for Evaluating Patient Outcomes." *Annals of Internal Medicine*. 1995, 123(10):763-770.
- Iezzoni, L, Swartz, M., Ash, A., Mackiernan, Y.: "Using Severity Measures to Predict the Likelihood of Death for Pneumonia Inpatients." *Journal of General Internal Medicine*. 1996, 1(11):23-31.
- Jencks, S.F. and Dobson, A.: "Refining Case-Mix Adjustment: The Research Evidence." *The New England Journal of Medicine*. 1987, 317(11).
- Jencks, S.F., et.al.: "Case Mix Measurement and Assessing Quality of Hospital Care." *Health Care Financing Review*. 1987, (annual suppl.):39-48.
- Kaga, K. and Gonnella, J.S.: "Disease Staging." *Japanese Journal of Nursing Education*. 1990, 31:595-98.
- Katz, J.D., et.al.: "A Simple Severity of Disease Index for Systemic Lupus Erythematosus." *Lupus*. 1993, 2(2):119-23.
- Kelly, J., Ball, J. and Turner, B.J.: "Duration and Costs of AIDS Hospitalizations in New York: Variations by Patient Severity of Illness and Hospital Type." *Medical Care*. 1989, 27(12):1085-98.
- Lichtig, L.K., Knauf, R.A., Parrott, R.H. and Muldoon, J.: "Refining DRGs: The Example of Children's Diagnosis-Related Groups." *Medical Care*.. 1989, 27(5):491-506.
- Louis, D.Z.: "Valutazione della Qualità Dell'assistenza e Gravità della Malattia." *Press DRG, Periodico Regionale*. 1991, 1:3-5.
- Louis, D.Z. and Gonnella, J.S.: "Disease Staging: Applications for Utilization Review and Quality Assurance." *Quality Assurance and Utilization Review*. 1986, 1(1):13-18.
- Louis, D.Z. and Gonnella, J.S.: "Evaluation of Health Care Programs Using Disease Staging." Proceedings of the 4th International Conference for System Science in Health Care. 1988, 139:383-86.
- Louis, D.Z., Gonnella, J.S. and Zeleznik, C.: "An Approach to the Prevention of Late Hospital Admissions." In: *Stemming the Rising Costs of Medical Care: Answers and Antidotes*. W.K. Kellogg Foundation. Battle Creek, MI. 1988:147-57.
- Louis D., Taroni, F, Yuen, E., Umesato, Y., Gonnella, J.: "Patterns of Hospital Care and Physician Perspectives from an Italian, Japanese, and USA Hospital." *American College of Medical Quality*. 1996:123-132.
- Lundberg, G.D., Iverson, C. and Radulescu G.: "Now Read This: The SI Units Are Here." *Journal of the American Medical Association*. 1986, 255(17):2329-2539.

- Markson, L.E., Nash, D.B., Louis, D.Z. and Gonnella, J.S.: "Clinical Outcomes Management and Disease Staging." *Evaluation & The Health Professions*. 1991, 14(2):201-27.
- McKee, M., Petticrew, M.: "Disease Staging--A Case-Mix System for Purchasers?" *Journal Public Health Med.* 1993, 15(1):25-36.
- McMahon, L.F. and Newbold, R.: "Variation in Resource Use Within Diagnosis-related Groups: The Effect of Severity of Illness and Physician Practice." *Medical Care*. 1986, 24(5):388-97.
- Morris, C.N.: "Parametric Empirical Bayes Inference: Theory and Applications." *Journal of the American Statistical Association*. 1983, 78(381):47-65.
- Moynihan, C.: "Quantifying Quality." In: *Perspectives on Quality in American Healthcare*. [Ed.: E. Hughes] McGraw-Hill, Washington, DC. 1988.
- Muelder, K., Nourou, A.: "Buruli ulcer in Benin" *The Lancet*. 1990, 336(8723):1109-11. Comment in *The Lancet*. 1990, 336(8728):1440 and 1991, 337(8733):124.
- Naessens, J.M., et.al.: "Contribution of a Measure of Disease Complexity (COMPLEX) to Prediction of Outcome and Charges Among Hospitalized Patients." *Mayo Clinic Procedures*. 1992, 67(12):1140-9.
- Nash, D.B., Louis, D.Z. and Gonnella, J.S.: "Improved Practice Profiles Called Key to Better Care." *Quality Assurance News & Views*. 1990, 2:1&4.
- Ohtani, T., et.al.: "Carcinoma of the Gallbladder: CT Evaluation of Lymphatic Spread." *Radiology*. 1993, 189(3):875-80.
- Perry, P.A.: "Severity Analysis Software Refines Hospital Cost Data." *Health Care Strategic Management*. August 1989.
- Pisicano, N.J., Veloski, J.J., Brucker, P.C. and Gonnella J.S.: "Classifying the Content of Board Certification Examinations." *Academic Medicine*. 1989, 64:149-54.
- "Q-Stage: A Severity of Illness Analysis System." *QA Section Connection*. 1988, 6(2).
- The Quality Measurement and Management Project: "The Hospital Administrator's Guide to Severity Measurement Systems." The Hospital Research and Educational Trust of the American Hospital Association, Chicago. 1989.
- Rosko, M.D.: "DRGs and Severity of Illness Measures: An Analysis of Patient Classification Systems." *Journal of Medical Systems*. 1988, 12(4):257-74.
- "The Staging Project, Timeliness of Hospital Admission." Final Report to the W.K. Kellogg Foundation, Center for Research in Medical Education and Health Care, Jefferson Medical College, Philadelphia, PA. 1987.
- Stitt, F.W., et.al.: "Automated Severity Classification of AIDS Hospitalizations." *Medical Decision Making*. 1991, 11(4 Suppl):S41-5.
- Systemetrics, Inc.: "Disease Staging: A Clinically Based Approach to Measurement of Disease Severity." Vol. 5: Reabstracting Study for Contract

233-78-3001, submitted to National Center for Health Services Research, Rockville, MD. 1984.

Taroni, F., Louis, D.Z., Yuen, E.J., Anemonia, A. and Zappi, A.: "Timeliness of Hospital Admission." *Proceedings 7th International Patient Classification System/Europe Working Conference*. 1991:19-21.

Taroni, F., Louis, D.Z., Yuen, E.J., Anemonia, A. and Zappi, A. "La Valutazione della Tempestività dei Ricoveri: Uno Strumento per La Gestione del Case-Mix Ospedaliero." *Press DRG, Periodico Regionale*. 1991, 2:3-6.

Taroni, F., Louis, D.Z. and Yuen, E.J.: "An Analysis of Health Services Using Disease Staging: A Pilot Study in the Emilia-Romagna Region of Italy." *Journal of Management in Medicine*. 1992, 6:53-66.

Taroni, F., Louis, D.Z. and Yuen, E.J.: "Outcomes Management: The Italian Case-Mix Project." In: *Diagnosis Related Groups in Europe: Uses and Prospectives*. [Eds.: M. Casas and Wiley] New York, NY: Springer-Verlag. 1993:97-108.

Thomas, J.W.: "Severity Measurement and Quality Control." Proceedings of a Conference on Pursuing Quality Data. National Association of Health Data Organizations, Washington, DC. 1987.

Thomas, J.W., Ashcraft, M.L.F. and Zimmerman, J.: "An Evaluation of Alternative Severity of Illness Measures for Use by University Hospitals." Dept. of Health Services Management and Policy, University of Michigan. 1986.

Thomas, J.W. and Ashcraft, M.L.F.: "Measuring Severity of Illness: A Comparison of Interrater Reliability Among Severity Methodologies." *Inquiry*. 1989, 26(4):483-92.

Thomas, J.W. and Ashcraft, M.L.F.: "Measuring Severity of Illness: Six Severity Systems and Their Ability to Explain Cost Variations." *Inquiry*. 1991, 28(1):39-55.

Thomas, J.W. and Longo, D.R.: "Application of Severity Measurement Systems for Hospital Quality Management." *Hospital and Health Services Administration*. Summer 1990, 35:2.

Tkaczewski, W., et.al.: "Leczenie kaptoprylem--2-letni okres obserwacji." *Pol Tyg Lek*. 1993, 48(14-15):318-20.

Turner, B.J. and Ball, J.K.: "AIDS Severity of Illness Classifications." In: *New Perspectives of HIV Related Illnesses: Progress in Health Services Research*. [Ed.: W.N. Le Vee] National Center for Health Services Research, Rockville, MD. 1989.

Turner, B.J., Kelly, J.V. and Ball, J.K.: "A Severity Classification for AIDS Hospitalizations." *Medical Care*. 1989, 27(4):423-37.

Umesato, Y., Louis, D.Z., Yuen, E.J., Taroni, F. and Migliori, M.: "Variation in Patient Mix and Patterns of Care: A Study at 3 Teaching Hospitals in Italy, Japan, and the USA." *Japan Journal of Medical Informatics*. 1993.

Weingarten, S., et.al.: "Do older internists use more hospital resources than younger internists for patients hospitalized with chest pain? A study of patients

hospitalized in the coronary care and intermediate care units.” *Critical Care Medicine*. 1992, 20(6):762-7.

COMPLICATIONS OF CARE

INTRODUCTION

The MEDSTAT Complications of Care (COC) methodology was first introduced in the early 1990s. It was designed as a screening tool to identify, from inpatient administrative data, records that have a high probability of detecting a complication of care in the patient hospital medical record. Then, as today, the identification of a claim record containing a potential complication did not necessarily indicate that a medical error had occurred.

In 2000, peer reviewed studies published in the 1990s were examined and compared to the COC Version 2.2 methodology. It was gratifying to find that a significant number of the original MEDSTAT COCs were validated, in whole or in part, by this independent research. Based on these studies, modifications to the design of the methodology and the software programs were made and implemented with the release of the Disease Staging version 4.10 software.

In 2001, COC v3.1 was released offering users the capability of excluding diagnoses that present at admission of the patient from use in the screening of potential complications. This functionality dramatically reduces the number of false-positive complications flagged by the software enabling users to more productively focus their efforts on the medical record reviews in the examination of medical errors.

The COC methodology is a powerful tool for in-hospital quality management activities. The computerized screening of administrative records for complications of medical care is a far more efficient means of identifying medical errors than the review of individual patient charts. It is not intended to be a complete and exhaustive list of hospital-based complications of care but a tool that identifies common potential complications from administrative data sources.

ENVIRONMENT AND FOCUS

The study of complications of care moved from the sole domain of health care professionals into the public forum in the 1990s. Interest piqued in 1999 with a report issued by the National Institute of Medicine, *To Error is Human: Building a Safer Health System*.¹ The report recommended a national focus on medical errors. Highlights of the report include:

“Errors occur in all industries. To date...those involved in health care management and delivery have not had specific, clear, high-level incentives to apply what has been learned in other industries about the way to prevent error and reduce harm.”²

“Health care is decades behind other industries in terms of creating safer systems. Much of modern safety thinking grew out of military aviation [during World War II]. In the mid-1960s, the University of Southern California began its first advanced safety management programs.... By the 1970s, principles of system safety began to spread to other industries, including rapid rail and the oil industry.”³

“Preventable adverse events are the leading cause of death in the United States. ...[A] t least 44,000 and perhaps as many as 98,000 Americans die in hospitals each year as a result of medical errors.”⁴

“Two studies of large samples of hospital admissions, one in New York using 1984 data and another in Colorado and Utah using 1992 data, found that the proportion of hospital admissions experiencing an adverse event, defined as injuries caused by medical management, were 3.7 and 2.9 percent, respectively. The proportion of adverse events that were attributable to errors (i.e., preventable adverse events) was 58 percent in New York, and 53 percent in Colorado and Utah.”⁵

“Total national costs (lost income, lost household production, disability, health care costs) are estimated to be between \$37.6 billion and \$50 billion for adverse events and between \$17 billion and \$29 billion for preventable adverse events.”⁶

“In terms of lives lost, patient safety is as important an issue as worker safety. Although more than 6,000 Americans die from workplace injuries every year, in 1993 medication errors are estimated to have accounted for about 7,000 deaths.”⁷

The interest in patient safety is more than academic and is manifest in a number of real world reporting initiatives:

The Joint Commission on the Accreditation of Healthcare Organizations (JCAHO) found that at least one third of states have a mandatory adverse medical event reporting system.⁸

A sentinel event reporting system was established by JCAHO for hospitals in 1996 as a requirement for accreditation. A sentinel event is defined as an “unexpected occurrence involving death of serious injury of psychological injury, or the risk thereof.”⁹

The JCAHO ORYX initiative specifies the collection of six certified performance measures. Analysis and remedial actions are required for continuing accreditation. (The MEDSTAT Group is a vendor of ORYX measures and several COC version 2.2 measures have been certified for ORYX reporting.)

The National Committee for Quality Assurance (NCQA) – Healthcare Employee Data and Information Set (HEDIS) is used as a part of NCQA accreditation of health plans. HEDIS measures are also used by employers and employees to compare health plan performance.

The Scope of Work activities of Professional Review Organizations (PROs) are designed to monitor the utilization and quality of care provided to Medicare beneficiaries by healthcare providers. Currently, the Sixth Scope of Work focuses on selected complications of care.

MedWatch, the Food and Drug Administration’s surveillance system, monitors adverse events related to medical products. Hospitals are required to report deaths to the FDA and the manufacturer of the related medical product. Severe injuries are reported to the manufacturer.

The investigation of complications of care and medical errors spans all sites of care and all medical interventions. The sole focus of the MEDSTAT Complications of Care software, however, is on hospital-based complications that are recorded in or inferred from claims or discharge abstract records. The

challenge of complications of care software algorithms is to identify patients experiencing untoward hospital-care events documented in the hospital medical record. In most cases, administrative data based on ICD-9-CM coding alone cannot prove the existence of a complication. Rather, algorithms are designed to identify administrative records that have a high probability of leading quality management personnel and physicians to charts that contain evidence of a complication.

DEFINITION: COMPLICATIONS OF CARE, MEDICAL ERRORS AND ADVERSE EVENTS

The definition of a complication of care offered by Fleming¹⁰ provides a useful context for this discussion: a complication is an “unexpected illness or injury caused by medical intervention or disease progression.” Complications can be one of two types: the result of disease progression, (as modeled in the Disease Staging case mix severity adjustment methodology and software¹¹) or the result of health care interventions.

Complications relating to health care interventions can further be divided. The Institute of Medicine adopted the following definitions of errors and adverse events:

“An error is defined as the failure of a planned action to be completed as intended (i.e., error of execution) or the use of the wrong plan to achieve an aim (i.e., error of planning).”¹²

“An adverse event is an injury caused by medical management rather than the underlying condition of the patient. An adverse event attributable to error is a ‘preventable adverse event.’”¹³

EVIDENCE FOR USING COC V3.2 SOFTWARE

The following study analyzing the COC v 3.2 was presented at the 18th International Case Mix Conference, PCS/E 2002¹⁴.

AUTOMATED SCREENING OF HOSPITAL COMPLICATIONS OF CARE

INTRODUCTION

In-hospital complications of medical care have long been the concern of clinicians and hospital managers. A principal source for information on complications of care and often a starting point for hospital quality improvement studies are computerized screening algorithms using health insurance claims or medical record abstracts. The challenge for these software algorithms is to identify patients experiencing untoward hospital-care events documented in the hospital medical record. In most cases, administrative data based on ICD-9-CM coding alone cannot prove the existence of a complication. Rather, algorithms are designed to identify administrative records that have a high probability of leading quality management personnel and physicians to medical records that contain evidence of a complication.

The weaknesses of the using these administrative data are well known¹. Economic efficiency is the principal strength of using automated screening tools. Available evidence for using administrative data to screen discharge abstracts for complications of care is encouraging, notably the validation studies of Iezzoni and coworkers.² A significant obstacle, however, to the use of administrative records are standard diagnosis coding practices which do not require information on whether a condition was present at the time the patient was admitted to the hospital. This practice contributes to the high false-positive rates of flagged complications³.

The focus of this study is the examination of an automated complications-of-care methodology from two perspectives. First, differences in average hospital charges and lengths of stay between groups of patients defined as being at risk of a defined complication and those identified as having a potential complication were studied. Differences between these groups would lend heuristic support for the use of automated methods using conventional diagnosis coding conventions as a component of hospital quality management processes. The second perspective examines the insight gained from knowing if a diagnosis was either present at admission or acquired during the hospital stay. This result would further recommend the value of automated screening protocols and importantly reinforce the value of collecting information relating to whether a condition was acquired during the hospital stay.

METHODS

The MEDSTAT Group is a vendor of a software tool comprised of 37 Complication of Care (COC) screening protocols based on published peer-reviewed validation studies. It is a fully documented and open methodology which identifies patient risk groups and administrative records containing potential complications. Each COC screen is comprised of definitions for determining whether: 1) a patient is at risk for a given complication and 2) if there is evidence contained in the hospital record to suggestive of the occurrence of a potential complication. Both the Risk and COC definitions are defined by using commonly abstracted data elements, i.e., ICD-9-CM diagnosis and procedure codes, patient age and sex variables and hospital length of stay.

For example, the COC 11, Postoperative Cerebral Infarction, screening definitions are displayed in Table 1. In this example, a surgery patient with a secondary diagnosis of "Occlusion of cerebral arteries with cerebral infarction: cerebral thrombosis" (ICD-9-CM diagnosis code 434.01) would be flagged for potentially experiencing a complication of medical care. The diagnosis code, 434.01, is said to have triggered the COC.

Over 3.6 million discharge records obtained from the California Office of State Planning and Development (OSPDA) from the year 1998 were used in the analysis. Along with standard data elements described above, a flag indicating whether a condition represented by a secondary diagnosis was present at the time of the admission of the patient is a required OSPDA data element.

OSHDP gives California hospitals the option to report a subset of External Cause of Injury codes (e-codes) pertaining to “misadventures and abnormal reactions.” Many of these codes are used in the COC definitions of nine COCs. Due to the uncertainty surrounding the completeness of the data, these nine COCs were excluded for the study. In total, 26 COCs were analyzed.

Table 1 – Postoperative Cerebral Infarction Complication Screening Definitions

| | |
|------------------|--|
| Risk Definition: | All inpatient surgical patients – various ICD-9-CM procedure codes |
| COC Definition: | Occlusion and stenosis of precerebral arteries with cerebral infarction: |
| | Basilar artery - sdx = 433.01 |
| | Carotid artery - sdx = 433.11 |
| | Vertebral artery - sdx = 432.21 |
| | Multiple and bilateral - sdx = 433.31 |
| | Other specified precerebral artery - sdx = 433.81 |
| | Unspecified precerebral artery - sdx = 433.91 |
| | Occlusion of cerebral arteries with cerebral infarction: |
| | Cerebral thrombosis - sdx = 434.01 |
| | Cerebral embolism - sdx = 434.11 |
| | Cerebral artery occlusion, unspecified - sdx = 434.91 |
| | Acute, but ill-defined cerebrovascular disease - sdx = 436 |
| | Iatrogenic cerebrovascular infarction or hemorrhage - sdx = 997.02 |

Within each of the study COCs differences in the average length of stay and average charge were examined. The first comparison sought to determine whether there were differences between average charges and lengths of stay for patients defined to be at risk and not flagged for a COC (Risk) and those flagged as potentially experiencing a medical complication (COC). The second analysis dissects the COC group into: 1) patients flagged for a COC based on secondary diagnoses that were present at admission (COC Present) and 2) patients whose triggering diagnoses were acquired during the hospital stay (COC Acquired). The natural logarithm of hospital charge and length of stay were calculated for each patient record and t-statistics on the differences between the log means were generated to test for the differences.

RESULTS

The results displayed in Table 2 show that there were statistical differences ($p < .001$) between the Risk patients and the COC patients in 23 of the 26 complications studied. In comparing the COC Present and COC Acquired groups, 12 of 26 average length of stay comparisons and 13 of 26 average charge significantly different. It should be noted that eight of the COC Present and COC Acquired complications which were not statistically different were associated with COCs related

to newborn deliveries. In these instances it seems likely that the secondary diagnosis codes related to deliveries would have been acquired during the hospital stay.

Table 2 - Differences in Average Length of Stay (ALOS) and Average Charge for Patients by COC vs. Risk, COC and COC Acquired vs. COC Present Groups.

| | <u>ALOS Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|----------------------|-------------|------------|------------------------|-------------------|------------|
| COC03 | | | | | | |
| <u>Postoperative Hemorrhage or Hematoma</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 25,498 | 9.69 | * | 22,681 | \$70,757 | * |
| COC Present | 3,940 | 9.14 | | 3,608 | \$53,033 | |
| COC Acquired | 21,558 | 9.79 | | 19,073 | \$74,110 | * |
| COC04 | | | | | | |
| <u>Postoperative Aspiration Pneumonia</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 14,344 | 20.30 | * | 13,346 | \$104,993 | * |
| COC Present | 7,588 | 17.51 | | 7,145 | \$84,285 | |
| COC Acquired | 6,756 | 23.44 | * | 6,201 | \$128,853 | * |
| | <u>ALOS Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
| COC05 | | | | | | |
| <u>Postoperative Pneumonia (non-aspiration)</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 48,186 | 17.94 | * | 43,979 | \$92,170 | * |
| COC Present | 33,987 | 14.78 | | 31,106 | \$68,097 | |
| COC Acquired | 14,199 | 25.49 | * | 12,873 | \$150,341 | * |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC06 | | | | | | |
| <u>Postoperative Urinary Tract Infection</u> | | | | | | |
| Risk | 772,432 | 10.01 | | 696,437 | \$45,238 | |
| COC | 64,506 | 16.28 | * | 59,079 | \$63,899 | * |
| COC Present | 50,267 | 13.70 | | 46,178 | \$51,904 | |
| COC Acquired | 14,239 | 25.40 | * | 12,901 | \$106,834 | * |
| COC07 | | | | | | |
| <u>Postoperative Sepsicemia</u> | | | | | | |
| Risk | 772,432 | 10.01 | | 696,437 | \$45,238 | |
| COC | 29,231 | 22.73 | * | 26,617 | \$127,456 | * |
| COC Present | 20,411 | 18.60 | | 18,653 | \$96,360 | |
| COC Acquired | 8,820 | 32.30 | * | 7,964 | \$200,288 | * |
| COC09 | | | | | | |
| <u>Postoperative Myocardial Infarction</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 11,903 | 10.27 | * | 10,654 | \$72,576 | * |
| COC Present | 7,294 | 8.62 | | 6,595 | \$61,685 | |
| COC Acquired | 4,609 | 12.88 | * | 4,059 | \$90,271 | * |
| COC10 | | | | | | |
| <u>Postoperative Cardiopulmonary Complications Except AMI</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 189,142 | 11.49 | * | 174,008 | \$66,092 | * |
| COC Present | 123,517 | 10.02 | | 113,988 | \$50,050 | |
| COC Acquired | 65,625 | 14.25 | * | 60,020 | \$96,560 | * |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|---|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC11 | | | | | | |
| <u>Postoperative Cerebral Infarction</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 8,612 | 16.56 | * | 7,953 | \$86,125 | * |
| COC Present | 4,496 | 16.03 | | 4,186 | \$62,683 | |
| COC Acquired | 4,116 | 17.13 | * | 3,767 | \$112,175 | * |
| COC12 | | | | | | |
| <u>Postoperative or Postanesthetic Shock</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 12,931 | 13.77 | * | 12,920 | \$100,724 | * |
| COC Present | 8,645 | 11.89 | | 8,913 | \$81,295 | |
| COC Acquired | 4,288 | 17.58 | * | 4,009 | \$143,963 | * |
| | ALOS Patients | ALOS | sig | Charge Patients | Ave Charge | sig |
| COC13 | | | | | | |
| <u>Postoperative Thrombophlebitis or Phlebitis</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 2,497 | 13.78 | * | 2,252 | \$79,834 | * |
| COC Present | 1,190 | 10.69 | | 1,056 | \$48,724 | |
| COC Acquired | 1,307 | 16.61 | * | 1,196 | \$107,302 | * |
| COC14 | | | | | | |
| <u>Postoperative wound disruption</u> | | | | | | |
| Risk | 1,932,327 | 5.16 | | 1,735,423 | \$24,030 | |
| COC | 3,590 | 22.54 | * | 3,265 | \$126,152 | * |
| COC Present | 1,796 | 16.00 | | 1,669 | \$70,321 | |
| COC Acquired | 1,794 | 29.10 | * | 1,596 | \$184,536 | * |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC16 | | | | | | |
| <u>Postoperative Complications affecting body systems</u> | | | | | | |
| Risk | 19,445 | 34.56 | | 18,318 | \$163,451 | |
| COC | 415 | 28.79 | | 395 | \$144,170 | |
| COC Present | 175 | 30.67 | | 171 | \$121,797 | |
| COC Acquired | 240 | 27.41 | | 224 | \$161,249 | |
| COC17 | | | | | | |
| <u>Vascular or Infectious Complications Following Infusion or Transfusion</u> | | | | | | |
| Risk | 547,076 | 8.15 | | 506,003 | \$45,318 | |
| COC | 25,244 | 7.93 | | 23,489 | \$40,666 | |
| COC Present | 6,268 | 9.87 | | 5,931 | \$39,877 | |
| COC Acquired | 18,976 | 7.28 | | 17,558 | \$40,932 | |
| COC23 | | | | | | |
| <u>Medication Reactions and Poisonings</u> | | | | | | |
| Risk | 490,513 | 14.44 | | 456,844 | \$50,023 | |
| COC | 12,699 | 22.14 | * | 11,831 | \$83,170 | * |
| COC Present | 10,665 | 18.99 | | 9,973 | \$66,151 | |
| COC Acquired | 2,034 | 38.68 | * | 1,858 | \$174,520 | * |
| COC25 | | | | | | |
| <u>Rupture of uterus during or after labor</u> | | | | | | |
| Risk | 3,627,688 | 5.28 | | 3,351,918 | \$16,853 | |
| COC | 261 | 6.11 | * | 247 | \$35,826 | * |
| COC Present | 144 | 4.24 | | 142 | \$20,184 | |
| COC Acquired | 117 | 8.41 | * | 105 | \$56,979 | * |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC27 | | | | | | |
| <u>Accidental Puncture or Laceration During Procedure</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 20,520 | 2.11 | | 17,256 | \$6,190 | |
| COC Present | 2,541 | 2.27 | | 2,226 | \$6,459 | |
| COC Acquired | 17,979 | 2.09 | | 15,030 | \$6,150 | |
| | ALOS Patients | ALOS | sig | Charge Patients | Ave Charge | sig |
| COC28 | | | | | | |
| <u>Complication of Tracheostomy</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 366 | 4.65 | * | 313 | \$18,290 | * |
| COC Present | 96 | 4.69 | | 83 | \$18,595 | |
| COC Acquired | 270 | 4.64 | | 230 | \$18,180 | |
| COC29 | | | | | | |
| <u>Mechanical Complications of Implanted Device or Graft</u> | | | | | | |
| Risk | 553,236 | 2.2646 | | 497,664 | \$6,771 | |
| COC | 93 | 6.5161 | * | 93 | \$48,848 | * |
| COC Present | 41 | 6.41 | | 42 | \$53,069 | |
| COC Acquired | 52 | 6.5962 | | 51 | \$45,372 | |
| COC30 | | | | | | |
| <u>Cesarean Section with Anesthesia or Sedation Complications</u> | | | | | | |
| Risk | 110,006 | 3.63 | | 98,909 | \$11,415 | |
| COC | 366 | 4.34 | * | 320 | \$15,636 | * |
| COC Present | 57 | 4.09 | | 53 | \$14,916 | |
| COC Acquired | 309 | 4.38 | | 267 | \$15,779 | |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC31 | | | | | | |
| <u>Cesarean Section with Major Puerperal Infection</u> | | | | | | |
| Risk | 110,006 | 3.63 | | 98,909 | \$11,415 | |
| COC | 1,975 | 6.04 | * | 1,517 | \$19,524 | * |
| COC Present | 328 | 5.96 | | 277 | \$21,295 | |
| COC Acquired | 1,647 | 6.05 | | 1,240 | \$19,128 | |
| COC32 | | | | | | |
| <u>Vaginal Delivery with Anesthesia or Sedation Complications</u> | | | | | | |
| Risk | 392,721 | 1.84 | | 345,676 | \$5,454 | |
| COC | 376 | 2.45 | * | 325 | \$8,178 | * |
| COC Present | 47 | 2.53 | | 45 | \$7,764 | |
| COC Acquired | 329 | 2.44 | | 280 | \$8,244 | |
| COC33 | | | | | | |
| <u>Vaginal Delivery with Major Puerperal Infection</u> | | | | | | |
| Risk | 392,721 | 1.84 | | 345,676 | \$5,454 | |
| COC | 879 | 4.07 | * | 685 | \$12,844 | * |
| COC Present | 188 | 3.84 | | 159 | \$12,755 | |
| COC Acquired | 691 | 4.14 | | 526 | \$12,871 | |
| COC34 | | | | | | |
| <u>Delivery wound complications</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 2,092 | 4.72 | * | 1,817 | \$15,432 | * |
| COC Present | 322 | 4.99 | | 272 | \$18,922 | |
| COC Acquired | 1,770 | 4.67 | | 1,545 | \$14,817 | |
| | ALOS Patients | ALOS | sig | Charge Patients | Ave Charge | sig |

| | <u>ALOS</u> <u>Patients</u> | <u>ALOS</u> | <u>sig</u> | <u>Charge</u> <u>Patients</u> | <u>Ave Charge</u> | <u>sig</u> |
|--|--------------------------------|-------------|------------|----------------------------------|-------------------|------------|
| COC35 | | | | | | |
| <u>Postpartum Deep Phlebothrombosis</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 203 | 8.64 | * | 175 | \$23,870 | * |
| COC Present | 32 | 6.97 | | 30 | \$17,120 | |
| COC Acquired | 171 | 8.95 | | 145 | \$25,267 | |
| COC36 | | | | | | |
| <u>Postpartum Pulmonary Embolism</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 55 | 6.53 | * | 52 | \$29,884 | * |
| COC Present | 14 | 6.93 | | 12 | \$34,845 | |
| COC Acquired | 41 | 6.39 | | 40 | \$28,395 | |
| COC37 | | | | | | |
| <u>Other obstetrical trauma</u> | | | | | | |
| Risk | 553,236 | 2.26 | | 497,664 | \$6,771 | |
| COC | 31,683 | 2.36 | * | 26,437 | \$7,708 | * |
| COC Present | 4,930 | 2.61 | | 4,279 | \$8,687 | |
| COC Acquired | 26,753 | 2.31 | | 22,158 | \$7,519 | |

- Differences significant at $p < .001$

DISCUSSION

Practical observations can be derived from these results. First, the COC screening protocols can identify distinctly different groups of patients from their at-risk counterparts. In many cases the differences are substantial. This information alone suggests that COC flagged patients warrant further investigation which may result in improvements to quality of care processes and reductions in patient care expenses. Next, knowing whether a condition was present at the time the patient was admitted to the hospital can eliminate the false positive identification of potential complication and in many cases dramatically reduce the size of the effort required to conduct medical record audits. Finally, comparing the COC Acquired and the Risk statistics offers valuable insight into the real effort and costs of in-hospital medical errors.

¹Iezzoni LI, Using administrative diagnostic data to assess the quality of hospital care: pitfalls and potential of ICD-9-CM, *International Journal of Technology Assessment in Health Care*, 6, pp 272 – 281.

² Iezzoni LI, Lawthers A, Davis RB, et al. Screening quality of care using administrative data: final report, Agency for Health Care Policy and Research, RZ01 HS09099, May 1,1996 – October 31, 1998.

³ Iezzoni LI, Daley J, Heeren T, Foley SM, Fisher ES, Duncan C, Hughes JS, Coffman GA. Identifying complications of care using administrative data, *Medical Care*, 32,7, pp 700 – 713.

CONSIDERATIONS IN USING ADMINISTRATIVE DATA TO IDENTIFY QUALITY OF CARE EVENTS

Iezzoni and colleagues have described a series of issues that should be considered in using medical record abstract data in this regard.¹⁵ These apply not only to the study of adverse events but more generally to the practical applications of researching discharge and claims-based databases.

HOSPITAL-ACQUIRED CONDITIONS

The most significant confounder in relating administrative data to quality outcomes deals with whether a secondary diagnosis was present at the admission of a patient. Standard ICD-9-CM coding practices specify that all relevant patient conditions be recorded. The principal diagnosis is defined to be the condition responsible for the hospitalization. The secondary diagnoses detail the remaining patient conditions, many of which may have been present at the time of admission. The secondary diagnosis is generally used as the trigger for software logic to designate whether a patient record contains a potential complication of hospital care. Not knowing whether a secondary diagnosis was present at admission has an enormous affect on the interpretation of the results.

The MEDSTAT Group strongly encourages hospitals to collect data indicating whether a complication was present at admission. Additional functionality has been added to the software to permit the submission and interpretation of this additional information. The use of this feature will greatly enhance the value of the software in discovering preventable complications in hospital medical records.

CLINICAL SPECIFICITY OF DIAGNOSIS CODES

A second concern in using administrative records to screen for complications of care regards the clinical specificity of diagnosis codes. For example, coding systems do not specify symptoms, signs, laboratory findings and diagnostic test results. It is then incumbent on medical record documentation and medical record coder interpretations to ensure the validity of the diagnosis codes.^{16, 17}

CODING VARIABILITY ACROSS HOSPITALS

The thoroughness of coding can vary from one hospital to another,¹⁸ raising issues of coding bias at the hospital level. “We cannot say...whether the findings related to the rates of complications by hospital characteristics were biased by differences in coding styles or whether the patients were truly more complicated at a certain hospital.”¹⁹ Evidence of this is seen in a study of heart attack

patients in California.²⁰ The authors showed that missing risk factors ranged from 45-87 percent across hospitals and that variation in coding explained a portion of the difference between “high” and “low” mortality hospitals.

PHYSICIAN REVIEWS OF MEDICAL RECORDS FLAGGED BY DIAGNOSIS CODES

lezzoni and her colleagues have also studied complications from the physician perspective.²¹ In reviewing medical records flagged by a diagnosis code-based algorithm, trained physician reviewers found complications resulted from quality of care mishaps in 30.7 percent of surgical and 19.2 percent of medical cases. The probability of finding a medical error in an unflagged medical record was 2.1 percent.

Geraci and her collaborators²² approached the topic from the opposite perspective with equally disquieting results. Using confirmed complications found in medical records, they then examined administrative data for corresponding diagnosis codes. They found that fewer than 50 percent of patients with complications documented in the medical records were flagged using ICD-9-CM codes.

ENDNOTES/REFERENCES

¹ Kohn, LT, Corrigan, JM, and Donaldson, MS, Editors, *To Err is Human: Building a Safer Health System*, Institute of Medicine, National Academy Press, Washington, D.C., 2000.

² Kohn et al., p. 158.

³ Kohn et al., pps. 72-73.

⁴ Kohn et al., p. 26.

⁵ Kohn et al., p. 26. Brennan, TA, Leape, L, Laird, NM, et al., Incidence of adverse events and negligence in hospitalized patients: Results of the Harvard Medical Practice Study I, *New England Journal of Medicine*, 324:370 – 376, 1991 and Thomas, EJ, Studdert, DM, Newhouse, JP, et al., Costs of Medical Injuries in Utah and Colorado, *Inquiry*, 36:255 – 264, 1999.

⁶ Kohn et al., p. 27. Thomas et al., 1999.

⁷ Kohn et al., p. 27, Occupational Safety and health Administration. The New OSHA: Reinventing Worker Safety and Health [www.osha.gov/oshinfo/reinvent.html]. Dec. 16, 1998; Bureau of Labor Statistics, National Census of Fatal Injuries, 1998. U.S. Department of Labor: Washington, D.C., August 1999; and Phillips, DP, Christenfeld, N, Glynn, LM, Increase in US medication-error deaths between 1983 and 1993, *Lancet*, 351:643 – 644, 1998.

⁸ Kohn et al., p. 91.

⁹ Berman, S, interviewer, Identifying and addressing sentinel events: an interview with Richard Croteau, *Journal of Quality Improvement*, August 1998, pp. 426 – 434.

- ¹⁰ Flemming ST, Complications, Adverse Events, and Iatrogenesis: Classifications and Quality of Care Measurement Issues *Clinical Performance and Quality Health Care*, 1996; 4:137-147.
- ¹¹ Gonnella, JS, ed., Louis, DZ and Gozum, ME associate eds., *Disease Staging Clinical Criteria*, The MEDSTAT Group, Ann Arbor, MI and the MEDSTAT Disease Staging Software™ Version 4.10.
- ¹² Kohn et al., p. 28
- ¹³ Kohn et al., p. 28
- ¹⁴ McCracken, SB, Automated Screening of Hospital Complications of Care, in the Proceedings of the 18th International Case Mix Conference, Eds. Pfeiffer, KP and Hofdjik, J, PCS/E 2002, Innsbruck, Austria.
- ¹⁵ Iezzoni LI, Lawthers A, Davis RB, et al. Screening quality of care using administrative data: final report, Agency for Health Care Policy and Research, RZ01 HS09099, May 1,1996 – October 31, 1998.
- ¹⁶ Iezzoni LI, Lawthers A, Davis RB, et al. Screening quality of care using administrative data: final report, Agency for Health Care Policy and Research, RZ01 HS09099, May 1,1996 – October 31, 1998.
- ¹⁷ McCarthy, EP, Iezzoni, LI, Davis, RB, Palmer, RH, Brief report: Does clinical evidence support ICD-9-CM diagnosis coding of complications?, *Medical Care*, 38, 8, pp. 868 – 876.
- ¹⁸ Iezzoni LI, Foley SM, Heeren T, Daley J, Hughes J, Fisher ES, Heeren T. Comorbidities, complications, and coding bias. Does the number of diagnosis codes matter in predicting inhospital mortality? *Journal of the American Medical Association*, 267, pp. 2197 – 2203.
- ¹⁹ Iezzoni LI, Daley J, Heeren T, Foley SM, Fisher ES, Duncan C, Hughes JS, Coffman GA. Identifying complications of care using administrative data, *Medical Care*, 32,7, pp. 700 – 713.
- ²⁰ Wilson P, Smoley SR, Werdegar D. Second report of the California Hospital Outcomes Project. Acute myocardial infarction. Volume Two: Technical Appendix. Sacramento, CA: Bureau of Statewide Health Planning and Development, 1996.
- ²¹ Iezzoni LI, Lawthers A, Davis RB, et al. Screening quality of care using administrative data: final report, Agency for Health Care Policy and Research, RZ01 HS09099, May 1,1996 – October 31, 1998.
- ²² Geraci JM, Ashton CM, Kuykendall DH, Johnson ML, Wu L. International classification of diseases, 9th revision, clinical modification codes in discharge abstracts are poor measures of complication occurrence in medical patients, *Medical Care*, 35, 6, pp. 589 – 602.

PROCESS OF SELECTING AND DEFINING COMPLICATIONS FOR INCLUSION IN VERSION 3.2

Complications of Care, version 3.2, was the result of three interrelated processes:

Evidence-based Literature Review – Since the initial development of the method in the early 1990's, a number of research studies were conducted and published regarding the ability of ICD-9-CM codes and administrative data to identify medical errors in hospital medical records. A review of the peer-reviewed literature was conducted and many of the findings were incorporated into the software and documentation. See Section 6 for many of the studies surveyed.

Data Analysis – Data and analyses were shared with MEDSTAT by a prominent user of the COC algorithm. Washington and California all payer data and the MEDSTAT MarketScan database were also analyzed to design and test the algorithms. This core of information was used to supplement the literature review and consensus processes.

Market Research – Customers were surveyed and the results of these discussions, as well as overall impressions of the software and documentation, were shared with the design team. The above evidence, as well as marketplace and software considerations, were used by the design team in making recommendations for the set of COCs to be incorporated in the Version 3.0 release of the software.

OVERVIEW OF ALGORITHM

The COC algorithm determines whether a patient is at risk for a given complication and whether that complication is present in the patient administrative record. The software outputs the presence of complications, the probability of having complications and the associated prediction errors.

Complications: ICD-9-CM codes are used to define the 37 individual complications. (See Section 12)

Risk Pools: ICD-9-CM codes, patient demographics, hospital length of stay or DRGs define the risk pools. (See Section 13)

All principal and secondary diagnoses, as well as all procedure codes are considered in selecting risk pools and determining the presence of a complication. The associated probabilities and prediction errors are based on all secondary diagnoses in the development database. The findings obtained from this approach will overstate the rate of hospital incurred complications, as many of the secondary diagnoses will have been present at the admission of the patient.

If the user sets the “acquired flag” in the parameter file, only secondary diagnoses which were acquired during the hospital stay will be used in the screening of potential complications.

DESCRIPTION OF INPUT ELEMENTS

The Disease Staging Software contains MEDSTAT's COC methodology for identifying records with potential complications of care. The input variables that are required to identify the 37 different complications include:

Principal and Secondary ICD-9-CM diagnosis codes – The COC method will use up to 15 diagnosis codes.

ICD-9-CM procedure codes

Sex

Age

Discharge Status

Length of Stay

OUTPUT DATA ELEMENTS

The following data elements are output from the software:

Complications and Risk - For each of the 37 COCs, the software identifies whether the patient was at risk for a given complication and whether the complication was found in the patient record.

Expected Values - Predicted rates of occurrence based on the age and sex of the patients at risk for a complication are output.

Prediction Error Estimates – these estimates are output for each expected value. The prediction error is used in the calculation of statistical confidence intervals.

A patient will not be at risk of a complication and there will be no output for expected value and prediction error if an input element used to define a COC is missing from the patient record.

INTERPRETATION OF COC AND RISK GROUP DEFINITIONS

The following is a key to understanding the headings, wording and symbols found in the COC and Risk Groups definitions:

ICD-9-CM Code or DRG – Contained in this column is information that describes the type and name of ICD-9-CM codes used (i.e., principal and secondary diagnoses or procedures) or the DRG number and description.

Relation – the information contained in this column informs the user of the following:

Between – the ICD-9-CM codes fall between the codes listed in the next two columns, i.e., “From” and “To.”

“=” - the ICD-9-CM codes equal the code displayed in “From”

“>=” - this relation is used in the length of stay risk group definitions and states that the length of stay is greater than or equal to the number of days shown. For example, ‘>= 4’ states that the length of stay either equals 4 days or is greater than 4 days.

From – This column is either that code that begins the range of codes in “Between” relations or is a specific code in the equals (=) relation.

To – these ICD-9-CM codes end the range of codes in Between relations.

Operand

Or – A logical “Or” is used to include additional relations. For example, the statement ‘9984 Or 9987’ states that either of these diagnosis codes can be used to satisfy the definition.

And Not – This operand is used to modify statements so that the definition is satisfied if the given code is not found in the patient record.

Parentheses – (“ and “)” are used to combine codes and operands to make a single logical statement.

Example –the definition of COC 01 can be interpreted as follows.

A patient will be considered to have had complication COC 01 if any of the secondary diagnoses, 998.4 (foreign body accidentally left during a procedure), 998.7 (acute reaction to foreign substance accidentally left during procedure), 998.82 (Cataract fragments in eye following cataract surgery) or codes between E8710 (Foreign object left in body during procedure – surgical operation) and E8719 (Foreign object left in body during procedure – unspecified procedure) are found in the patient claims or abstract and the principal diagnosis is not 998.4, 998.7 or 998.82.

The statement “Between E8710 and E8719” includes the following diagnosis codes that are found in ICD-9-CM coding manuals, e.g., E871.0, E871.1, E871.2, E871.3, E871.4, E871.5, E871.6, E871.7, E871.8 and E871.9.

A principal diagnosis is defined as the main reason that a patient is admitted to a hospital. If a patient has been admitted to a hospital for a foreign body or substance left in the body during a procedure as principal diagnosis, it is inferred that these are complications of care of a previous hospitalization.

| ICD-9-CM code or DRG | Relation | From | To | Operand |
|--|----------|-------|-------|---------|
| Any Secondary Diagnosis Code in List - FB LEFT DURING PROCEDURE | = | 9984 | | Or |
| Any Secondary Diagnosis Code in List - POSTOP FORGN SUBST REACT | = | 9987 | | Or |
| Any Secondary Diagnosis Code in List - CTRCT FRGMT FRM CTR SURG | = | 99882 | | Or (|
| Any Secondary Diagnosis Code in List - POST-SURGICAL FORGN BODY - POST-OP FOREIGN BODY NOS | Between | E8710 | E8719 | And Not |
| Principal Diagnosis Code - FB LEFT DURING PROCEDURE | = | 9984 | | And Not |
| Principal Diagnosis Code - POSTOP FORGN SUBST REACT | = | 9987 | | And Not |
| Principal Diagnosis Code - CTRCT FRGMT FRM CTR SURG | = | 99882 | |) |

COC Definitions

COC Number: 01 Postoperative Retained Foreign Body or Other Substance

Risk Group: RG-01 Procedural Patient

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Secondary Diagnosis Code in List - FB LEFT DURING PROCEDURE | = | 9984 | | Or |
| Any Secondary Diagnosis Code in List - POSTOP FORGN SUBST REACT | = | 9987 | | Or |
| Any Secondary Diagnosis Code in List - CTRCT FRGMT FRM CTR SURG | = | 99882 | | Or (|
| Any Secondary Diagnosis Code in List - POST-SURGICAL FORGN BODY - POST-OP FOREIGN BODY NOS | Between | E8710 | E8719 | And Not |
| Principal Diagnosis Code - FB LEFT DURING PROCEDURE | = | 9984 | | And Not |
| Principal Diagnosis Code - POSTOP FORGN SUBST REACT | = | 9987 | | And Not |
| Principal Diagnosis Code - CTRCT FRGMT FRM CTR SURG | = | 99882 | |) |

COC Number: 02 Reopening, Reclosure, or Revision of Procedure

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Procedure Code in List - REOPEN CRANIOTOMY SITE | = | 0123 | | Or |
| Any Procedure Code in List - REPLACE VENTRICLE SHUNT | = | 0242 | | Or |
| Any Procedure Code in List - REOPEN LAMINECTOMY SITE | = | 0302 | | Or |
| Any Procedure Code in List - REVISE SPINE THECA SHUNT | = | 0397 | | Or |
| Any Procedure Code in List - POSTOP REVIS PER NERV OP | = | 0475 | | Or |
| Any Procedure Code in List - REOPEN THYROID FIELD WND | = | 0602 | | Or |
| Any Procedure Code in List - REDUC OVERCORRECT PTOSIS | = | 0837 | | Or |
| Any Procedure Code in List - POSTOP REVIS SCL FISTUL | = | 1266 | | Or |
| Any Procedure Code in List - REVIS ANT SEG OP WND NEC | = | 1283 | | Or |
| Any Procedure Code in List - REVIS EXTRAOC MUSC SURG | = | 156 | | Or |
| Any Procedure Code in List - REVIS/REINSERT OCUL IMP - ENUC SOCKET REVIS NEC | Between | 1662 | 1664 | Or |
| Any Procedure Code in List - REVIS EXENTER CAVITY NEC | = | 1666 | | Or |
| Any Procedure Code in List - REV STAPDEC W INCUS REPL | = | 1921 | | Or |
| Any Procedure Code in List - STAPEDECTOMY REVIS NEC | = | 1929 | | Or |
| Any Procedure Code in List - TYMPANOPLASTY REVISION | = | 196 | | Or |
| Any Procedure Code in List - REVIS INNER EAR FENESTRA | = | 2062 | | Or |
| Any Procedure Code in List - MASTOIDECTOMY REVISION | = | 2092 | | Or |

COC Number: 02 Reopening, Reclosure, or Revision of Procedure

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - LARYNGOSTOMY REVISION | = | 3163 | | Or |
| Any Procedure Code in List - REVISION OF TRACHEOSTOMY | = | 3174 | | Or |
| Any Procedure Code in List - REOPEN THORACOTOMY SITE | = | 3403 | | Or |
| Any Procedure Code in List - HEART REPAIR REVISION | = | 3595 | | Or |
| Any Procedure Code in List - REVISION OF LEAD | = | 3775 | | Or |
| Any Procedure Code in List - REVIS OR RELOCATE POCKET | = | 3779 | | Or |
| Any Procedure Code in List - REVISE OR REMOVE PACEMAK | = | 3789 | | Or |
| Any Procedure Code in List - REVIS REN DIALYSIS SHUNT | = | 3942 | | Or |
| Any Procedure Code in List - VASC PROC REVISION NEC | = | 3949 | | Or |
| Any Procedure Code in List - REPLAC VES-TO-VES CANNUL | = | 3994 | | Or |
| Any Procedure Code in List - REVISION GASTRIC ANASTOM | = | 445 | | Or |
| Any Procedure Code in List - INTEST STOMA REVIS NOS - LG BOWEL STOMA REVIS NEC | Between | 4640 | 4643 | Or |
| Any Procedure Code in List - REVISE SM BOWEL ANASTOM - REVISE LG BOWEL ANASTOM | Between | 4693 | 4694 | Or |
| Any Procedure Code in List - REVIS BILE TRACT ANASTOM | = | 5194 | | Or |
| Any Procedure Code in List - REOPEN RECENT LAP SITE | = | 5412 | | Or |
| Any Procedure Code in List - RECLOSE POST OP DISRUPT | = | 5461 | | Or |
| Any Procedure Code in List - REVIS CUTAN ILEOURETEROS | = | 5652 | | Or |
| Any Procedure Code in List - REVIS CUTAN URETEROS NEC | = | 5662 | | Or |
| Any Procedure Code in List - REVIS URETEROENTEROSTOMY | = | 5672 | | Or |

COC Number: 02 Reopening, Reclosure, or Revision of Procedure

| | | | | |
|---|---------|------|------|----|
| Any Procedure Code in List - REVISE CLO VESICOSTOMY | = | 5722 | | Or |
| Any Procedure Code in List - REVISE HIP REPLACEMENT | = | 8153 | | Or |
| Any Procedure Code in List - REVISE KNEE REPLACEMENT | = | 8155 | | Or |
| Any Procedure Code in List - REV JT REPL LOW EXT NEC | = | 8159 | | Or |
| Any Procedure Code in List - REV JT REPL UPPER EXTREM | = | 8197 | | Or |
| Any Procedure Code in List - AMPUTATION STUMP REVIS | = | 843 | | Or |
| Any Procedure Code in List - REVISION OF PEDICLE GRFT | = | 8675 | | Or |
| Any Procedure Code in List - Heart Transplantation - Replace other component heart system | Between | 3751 | 3754 | Or |
| Any Procedure Code in List - | Between | 0070 | 0073 | Or |
| Any Procedure Code in List - | Between | 0080 | 0084 | |

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Diagnosis Code in List - HEMORRHAGE COMPLIC PROC | = | 99811 | | Or |
| Any Diagnosis Code in List - HEMATOMA COMPLIC PROC | = | 99812 | | Or |
| Any Secondary Procedure Code in List - HEMORR CONTRL POST T & A | = | 287 | | Or |
| Any Secondary Procedure Code in List - POSTOP VASC OP HEM CONTR | = | 3941 | | Or |
| Any Secondary Procedure Code in List - HEMORRHAGE CONTROL NOS | = | 3998 | | Or |
| Any Secondary Procedure Code in List - CONTROL ANAL HEMORRHAGE | = | 4995 | | Or |
| Any Secondary Procedure Code in List - CONTROL BLADD HEMORRHAGE | = | 5793 | | Or |
| Any Secondary Procedure Code in List - CONTROL PROSTATE HEMORR | = | 6094 | | Or (|
| | | | | (|
| Any Secondary Procedure Code in List - SUTURE PEPTIC ULCER NOS | = | 4440 | | Or |
| Any Secondary Procedure Code in List - SUT GASTRIC ULCER SITE | = | 4441 | | Or |
| Any Secondary Procedure Code in List - SUTURE DUODEN ULCER SITE | = | 4442 | | Or |
| Any Secondary Procedure Code in List - ENDOSC CONTROL GAST HEM | = | 4443 | | Or |
| Any Secondary Procedure Code in List - TRANSCATH EMBO GAST HEM | = | 4444 | | Or |
| Any Secondary Procedure Code in List - OTHER CONTROL GAST HEM | = | 4449 | |) |
| | | | | And Not |
| Principal Diagnosis Code - AC STOMACH ULCER W HEM - GASTROJEJUN ULC NOS-OBST | Between | 53100 | 53491 |) |

COC Number: 04 Postoperative Aspiration Pneumonia

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - FOOD/VOMIT PNEUMONITIS | = | 5070 | | |

COC Number: 05 Postoperative Pneumonia (non-aspiration)

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - K. PNEUMONIAE PNEUMONIA - PNEUMON OTH SPEC ORGNM | Between | 4820 | 4838 | Or |
| Any Secondary Diagnosis Code in List - BRONCHOPNEUMONIA ORG NOS - PNEUMONIA, ORGANISM NOS | Between | 485 | 486 | |

COC Number: 06 Postoperative Urinary Tract Infection

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - AC PYELONEPHRITIS NOS | = | 59010 | | Or |
| Any Secondary Diagnosis Code in List - INFECTION OF KIDNEY NOS | = | 5909 | | Or |
| Any Secondary Diagnosis Code in List - ACUTE CYSTITIS | = | 5950 | | Or |
| Any Secondary Diagnosis Code in List - TRIGONITIS | = | 5953 | | Or |
| Any Secondary Diagnosis Code in List - CYSTITIS NOS | = | 5959 | | Or |
| Any Secondary Diagnosis Code in List - URIN TRACT INFECTION NOS | = | 5990 | | |

COC Number: 07 Postoperative Septicemia

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - STREPTOCOCCAL SEPTICEMIA - SEPTICEMIA NOS | Between | 0380 | 0389 | |

COC Number: 08 Postoperative Infection, other

Risk Group:

Comments: Infections following transfusion, infusion, or injection are in COC 20. Obstetric wound infections are in COC 34.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| | | | | Not |
| Group - Infection as Principal Diagnosis | = | INF-1 | | And (|
| Group - Infection as Secondary Diagnosis | = | INF-2 | | Or |
| Any Secondary Diagnosis Code in List - FAILURE STERILE SURGERY | = | E8720 | | Or |
| Any Secondary Diagnosis Code in List - FAIL STERILE ENDOSCOPY - FAIL STERILE HEART CATH | Between | E8724 | E8726 | Or |
| Any Secondary Diagnosis Code in List - FAIL STERILE PROCED NEC - FAIL STERILE PROCED NOS | Between | E8728 | E8729 |) |
| | | | | Or |
| Any Diagnosis Code in List - Mechanical complication of esophagostomy | = | 53087 | | |

COC Number: 09 Postoperative Myocardial Infarction

Risk Group:

Comments: Only the initial episode of care for an AMI is pertinent here. Risk Group includes all procedures and all surgery types, including cardiac.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - AMI ANTEROLATERAL, INIT | = | 41001 | | Or |
| Any Secondary Diagnosis Code in List - AMI ANTERIOR WALL, INIT | = | 41011 | | Or |
| Any Secondary Diagnosis Code in List - AMI INFEROLATERAL, INIT | = | 41021 | | Or |
| Any Secondary Diagnosis Code in List - AMI INFEROPOST, INITIAL | = | 41031 | | Or |
| Any Secondary Diagnosis Code in List - AMI INFERIOR WALL, INIT | = | 41041 | | Or |
| Any Secondary Diagnosis Code in List - AMI LATERAL NEC, INITIAL | = | 41051 | | Or |
| Any Secondary Diagnosis Code in List - TRUE POST INFARCT, INIT | = | 41061 | | Or |
| Any Secondary Diagnosis Code in List - SUBENDO INFARCT, INITIAL | = | 41071 | | Or |
| Any Secondary Diagnosis Code in List - AMI NEC, INITIAL | = | 41081 | | Or |
| Any Secondary Diagnosis Code in List - AMI NOS, INITIAL | = | 41091 | | |

COC Number: 10 Postoperative Cardiopulmonary Complications Except AMI

Risk Group:

Comments: Iatrogenic (postop) pneumothorax (512.1) is included here, but spontaneous pneumothorax (512.0 or 512.8) is not.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Secondary Diagnosis Code in List - IATROGEN PULM EMB/INFARC | = | 41511 | | Or |
| Any Secondary Diagnosis Code in List - PULM EMBOL/INFARCT NEC | = | 41519 | | Or |
| Any Secondary Diagnosis Code in List - ATRIOVENT BLOCK COMPLETE | = | 4260 | | Or |
| Any Secondary Diagnosis Code in List - VENTRICULAR FIBRILLATION - VENTRICULAR FLUTTER | Between | 42741 | 42742 | Or |
| Any Secondary Diagnosis Code in List - CARDIAC ARREST | = | 4275 | | Or |
| Any Secondary Diagnosis Code in List - CONGESTIVE HEART FAILURE - Combined syst/diast hrt failure ac/chr | Between | 4280 | 42843 | Or |
| Any Secondary Diagnosis Code in List - HRT DIS POSTCARDIAC SURG | = | 4294 | | Or |
| Any Secondary Diagnosis Code in List - IATROGENIC PNEUMOTHORAX | = | 5121 | | Or |
| Any Secondary Diagnosis Code in List - PULMONARY COLLAPSE | = | 5180 | | Or |
| Any Secondary Diagnosis Code in List - ACUTE LUNG EDEMA NOS - POST TRAUM PULM INSUFFIC | Between | 5184 | 5185 | Or |
| Any Secondary Diagnosis Code in List - ACUTE RESPIRATRY FAILURE - OTHER PULMONARY INSUFF | Between | 51881 | 51882 | Or |
| Any Secondary Diagnosis Code in List - ACUTE & CHRONC RESP FAIL | = | 51884 | | Or |
| Any Secondary Diagnosis Code in List - RESPIRATORY ARREST | = | 7991 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMPL-HEART | = | 9971 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMPLIC-RESPIR SYST | = | 9973 | | |

COC Number: 11 Postoperative Cerebral Infarction

Risk Group:

Comments: Research by L. Iezzoni finds this to be a valid and reliable category to examine for complications of care. This definition is similar to Iezzoni's, but does not exclude patients in MDC 1 and adds code 436 for unspecified CVA.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Secondary Diagnosis Code in List - OCL BSLR ART W INFRCT | = | 43301 | | Or |
| Any Secondary Diagnosis Code in List - OCL CRTD ART W INFRCT | = | 43311 | | Or |
| Any Secondary Diagnosis Code in List - OCL VRTB ART W INFRCT | = | 43321 | | Or |
| Any Secondary Diagnosis Code in List - OCL MLT BI ART W INFRCT | = | 43331 | | Or |
| Any Secondary Diagnosis Code in List - OCL SPCF ART W INFRCT | = | 43381 | | Or |
| Any Secondary Diagnosis Code in List - OCL ART NOS W INFRCT | = | 43391 | | Or |
| Any Secondary Diagnosis Code in List - CRBL THRMBS W INFRCT | = | 43401 | | Or |
| Any Secondary Diagnosis Code in List - CRBL EMBLSM W INFRCT | = | 43411 | | Or |
| Any Secondary Diagnosis Code in List - CRBL ART OCL NOS W INFRC | = | 43491 | | Or |
| Any Secondary Diagnosis Code in List - CVA | = | 436 | | Or |
| Any Secondary Diagnosis Code in List - IATROGEN CV INFARC/HMRHG | = | 99702 | | |

COC Number: 12 Postoperative or Postanesthetic Shock

Risk Group:

Comments: This COC is qualified to exclude any patient with any diagnosis code for traumatic shock.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| | | | | Not |
| Any Diagnosis Code in List - TRAUMATIC SHOCK | = | 9584 | | And (|
| Any Secondary Diagnosis Code in List - SHOCK NOS - Septic shock | Between | 78550 | 78552 | Or |
| Any Secondary Diagnosis Code in List - SHOCK W/O TRAUMA NEC | = | 78559 | | Or |
| Any Secondary Diagnosis Code in List - SHOCK DUE TO ANESTHESIA | = | 9954 | | Or |
| Any Secondary Diagnosis Code in List - POSTOPERATIVE SHOCK | = | 9980 | |) |

COC Number: 13 Postoperative Thrombophlebitis or Phlebitis

Risk Group:

Comments: Postpartum Deep Phlebothrombosis is in COC 35.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - SUPERFIC PHLEBITIS-LEG - DEEP PHLEBITIS-LEG NEC | Between | 4510 | 45119 | Or |
| Any Secondary Diagnosis Code in List - SURG COMP-PERI VASC SYST | = | 9972 | | |

COC Number: 14 Postoperative Wound Disruption

Risk Group:

Comments: Obstetric wound disruptions are in COC 34.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - POSTOP WOUND DISRUPTION - DISRUPTION OF EXTERNAL OPERATION WOUND | Between | 9983 | 99832 | |

COC Number: 15 Accidental Puncture or Laceration During Procedure

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - ACCIDENTAL OP LACERATION | = | 9982 | | Or |
| Any Secondary Diagnosis Code in List - ACC CUT/HEM IN INFUSION - ACC CUT IN MED CARE NOS | Between | E8701 | E8709 | |

COC Number: 16 Complication of Tracheostomy

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - TRACHEOSTOMY COMP NOS - TRACHEOSTOMY COMP NEC | Between | 51900 | 51909 | |

COC Number: 17 Mechanical Complications of Implanted Device or Graft

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - COMPLICATION CNS DEVICE | = | 3491 | | Or |
| Any Diagnosis Code in List - GASTROSTOMY COMP - MECH | = | 53642 | | Or |
| Any Diagnosis Code in List - COLOSTY/ENTER COMP-MECH | = | 56962 | | Or |
| Any Diagnosis Code in List - MALFUNC CARD DEV/GRF NOS - MALFUNC OTH DEVICE/GRAFT | Between | 99600 | 99659 | Or |
| Any Diagnosis Code in List - Mechanical complication of esophagostomy | = | 53087 | | |
| | | | | |
| | | | | |

COC Number: 18 Abnormal Reaction and Late Complications of Procedures

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - LUMBAR PUNCTURE REACTION | = | 3490 | | Or |
| Any Secondary Diagnosis Code in List - GASTROSTOMY COMP NOS | = | 53640 | | Or |
| Any Secondary Diagnosis Code in List - GASTROSTOMY COMP NEC | = | 53649 | | Or |
| Any Secondary Diagnosis Code in List - COLSTOMY/ENTER COMP NOS | = | 56960 | | Or |
| Any Secondary Diagnosis Code in List - COLSTMY/ENTEROS COMP NEC | = | 56969 | | Or |
| Any Secondary Diagnosis Code in List - MALIGNANT HYPERTHERMIA | = | 99586 | | Or |
| Any Secondary Diagnosis Code in List - ADVERSE EFFECT NEC | = | 99589 | | Or |
| Any Secondary Diagnosis Code in List - SEROMA COMPLICATING PROC | = | 99813 | | Or |
| Any Secondary Diagnosis Code in List - PERSIST POSTOP FISTULA | = | 9986 | | Or |
| Any Secondary Diagnosis Code in List - EMPHYSEMA RESULT FRM PROC | = | 99881 | | Or |
| Any Secondary Diagnosis Code in List - NON-HEALING SURGCL WOUND | = | 99883 | | Or |
| Any Secondary Diagnosis Code in List - OTH SPCF CMPLC PROCD NEC | = | 99889 | | Or |
| Any Secondary Diagnosis Code in List - SURGICAL COMPLICAT NOS | = | 9989 | | Or |
| Any Secondary Diagnosis Code in List - GENERALIZED VACCINIA | = | 9990 | | Or |
| Any Secondary Diagnosis Code in List - COMPLIC MED CARE NEC/NOS | = | 9999 | | Or |
| Any Secondary Diagnosis Code in List - RESP OBSTR-FOOD INHAL - RESP OBSTR-INHAL OBJ NEC | Between | E911 | E912 | Or |
| Any Secondary Diagnosis Code in List - FAILURE IN SUTURE - MEDICAL MISADVENTURE NOS | Between | E8762 | E8769 | Or |

Detail labels are only printed for "From" and "To" codes.

Tuesday, March 10, 2009

Page 20 of 41

| |
|--|
| <i>COC Number: 18</i> Abnormal Reaction and Late Complications of Procedures |
|--|

| | | | | |
|---|---------|-------|-------|----|
| Any Secondary Diagnosis Code in List - ABN REACT-ORG TRANSPLANT - ABN REACT-SURG PROC NOS | Between | E8780 | E8789 | Or |
| Any Secondary Diagnosis Code in List - | = | 33812 | | Or |
| Any Secondary Diagnosis Code in List - | = | 33818 | | Or |
| Any Secondary Diagnosis Code in List - | = | 33822 | | Or |
| Any Secondary Diagnosis Code in List - | = | 33828 | | |

COC Number: 19 Postoperative Complications Affecting Body Systems

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Secondary Diagnosis Code in List - HRT DIS POSTCARDIAC SURG | = | 4294 | | Or |
| Any Secondary Diagnosis Code in List - STRICTURE OF URETER | = | 5933 | | Or |
| Any Secondary Diagnosis Code in List - URETERIC OBSTRUCTION NEC | = | 5934 | | Or |
| Any Secondary Diagnosis Code in List - POSTOP URETHRAL STRICTUR | = | 5982 | | Or |
| Any Secondary Diagnosis Code in List - COMP-UNSP DEVICE/GRAFT - COMP OTH ORGAN TRANSPLNT | Between | 99670 | 99689 | Or |
| Any Secondary Diagnosis Code in List - NERVOUS SYST COMPLC NOS | = | 99700 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMPLICATION - CNS | = | 99701 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMP NERV SYSTM NEC | = | 99709 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMP-DIGESTV SYSTEM - SURG COMPL-URINARY TRACT | Between | 9974 | 9975 | Or |
| Any Secondary Diagnosis Code in List - AMPUTAT STUMP COMPL NOS - INFECTION AMPUTAT STUMP | Between | 99760 | 99762 | Or |
| Any Secondary Diagnosis Code in List - AMPUTAT STUMP COMPL NEC | = | 99769 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMP - HYPERTENSION | = | 99791 | | Or |
| Any Secondary Diagnosis Code in List - SURG COMPL-BODY SYSTM NEC | = | 99799 | | Or |
| Any Secondary Diagnosis Code in List - CONTAMINATION NEC - CONTAMINATION NOS | Between | E8758 | E8759 | Or |
| Any Secondary Diagnosis Code in List - VASC COMP MESENTERIC ART - VASCULAR COMP VESSEL NEC | Between | 99771 | 99779 | |

COC Number: 20 Vascular or Infectious Complications Following Infusion, Transfusion, Injection

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|--------------------|--------------|------------|-----------------|
| Any Secondary Diagnosis Code in List - CELLULITIS OF ARM - CELLULITIS OF HAND | Between | 6823 | 6824 | Or |
| Any Secondary Diagnosis Code in List - AIR EMBOL COMP MED CARE - INFEC COMPL MED CARE NEC | Between | 9991 | 9993 | Or |
| Any Secondary Diagnosis Code in List - FAILURE STERILE INFUSION - FAIL STERILE INJECTION | Between | E8721 | E8723 | Or |
| Any Secondary Diagnosis Code in List - CONTAMINATED TRANSFUSION - CONTAMINATED DRUG NEC | Between | E8750 | E8752 | Or |
| Any Secondary Diagnosis Code in List - - | Between | 32351 | 32352 | |

COC Number: 21 Infusion or Transfusion Reactions

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - ANAPHYLACTIC SHOCK-SERUM - TRANSFUSION REACTION NEC | Between | 9994 | 9998 | Or |
| Any Secondary Diagnosis Code in List - MISMATCH BLOOD-TRANSFUSN - WRONG FLUID IN INFUSION | Between | E8760 | E8761 | Or |
| Any Secondary Diagnosis Code in List - | = | 5187 | | |

COC Number: 22 Fluid Overload Following Infusion or Transfusion

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - FLUID OVERLOAD | = | 2766 | | Or |
| Any Secondary Diagnosis Code in List - EXCESS FLUID IN INFUSION - INCOR DILUT INFUSN FLUID | Between | E8730 | E8731 | |

COC Number: 23 Decubitus Ulcer

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - DECUBITUS ULCER - Decubitus ulcer, other site | Between | 7070 | 70709 | |

COC Number: 24 Trauma to Hospitalized Patient

Risk Group:

Comments: This COC may identify some cases of multiple trauma that can be further examined to see if the secondary trauma was present on admission or not. Included here are only fractures, head injuries, internal injuries, burns and injuries to nerves, spinal cord and blood vessels. Not included are sprains, strains, lacerations, contusions, foreign body in an orifice, and late effects of traumas.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| | | | | Not |
| Group - Trauma as Principal Diagnosis | = | TR-1 | | And (|
| Group - Trauma as a Secondary Diagnosis | = | TR-2 | | Or |
| Any Secondary Diagnosis Code in List - THERAP RADIATION OVERDOS - WRNG TEMP IN APPLIC/PACK | Between | E8732 | E8735 |) |

COC Number: 25 Anaphylactic Shock due to Medications

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - ANAPHYLACTIC SHOCK | = | 9950 | | |

COC Number: 26 Medication Reactions and Poisonings

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - | = | 28803 | | Or |
| Any Secondary Diagnosis Code in List - POISONING-PENICILLINS - POIS-VACCINE/BIOLOG NEC | Between | 9600 | 9799 | Or |
| Any Secondary Diagnosis Code in List - ANGIONEUROTIC EDEMA - | Between | 9951 | 99529 | Or |
| Any Secondary Diagnosis Code in List - NONADMIN NECESS MEDICINE | = | E8736 | | Or |
| Any Secondary Diagnosis Code in List - FAILURE IN DOSAGE NEC - FAILURE IN DOSAGE NOS | Between | E8738 | E8739 | Or (|
| | | | | (|
| Any Secondary Diagnosis Code in List - ACC POISON-HEROIN - ACC POISONING-DRUG NOS | Between | E8500 | E8589 | Or |
| Any Secondary Diagnosis Code in List - ADV EFF PENICILLINS - ADV EFF BIOLOGIC NEC/NOS | Between | E9300 | E9499 |) |
| | | | | And Not |
| Principal Diagnosis Code - POISONING-PENICILLINS - POIS-VACCINE/BIOLOG NEC | Between | 9600 | 9799 |) |

COC Number: 27 Advanced Perineal Laceration

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - DEL W 3 DEG LACERAT-UNSP - DEL W 3 DEG LACERAT-DEL | Between | 66420 | 66421 | Or |
| Any Diagnosis Code in List - DEL W 3 DEG LAC-POSTPART | = | 66424 | | Or |
| Any Diagnosis Code in List - DEL W 4 DEG LACERAT-UNSP - DEL W 4 DEG LACERAT-DEL | Between | 66430 | 66431 | Or |
| Any Diagnosis Code in List - DEL W 4 DEG LAC-POSTPART | = | 66434 | | |

COC Number: 28 Rupture of Uterus During or After Labor

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - RUPTURE UTERUS NOS-UNSP - RUPTURE UTERUS NOS-DELIV | Between | 66510 | 66511 | |

COC Number: 29 Shock During or Following Labor and Delivery

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - OBSTETRIC SHOCK-UNSPEC - OBSTETRIC SHOCK-POSTPART | Between | 66910 | 66914 | |

COC Number: 30 Cesarean Section with Anesthesia or Sedation Complications

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - PULM COMPL IN DEL-DELIV - PULM COMPLIC-DEL W P/P | Between | 66801 | 66802 | Or |
| Any Diagnosis Code in List - HEART COMPL IN DEL-DELIV - HEART COMPL-DEL W P/P | Between | 66811 | 66812 | Or |
| Any Diagnosis Code in List - CNS COMPL LAB/DEL-DELIV - CNS COMPLIC-DEL W P/P | Between | 66821 | 66822 | Or |
| Any Diagnosis Code in List - ANESTH COMPL NEC-DELIVER - ANESTH COMPL NEC-DEL P/P | Between | 66881 | 66882 | Or |
| Any Diagnosis Code in List - ANESTH COMPL NOS-DELIVER - ANESTH COMPL NOS-DEL P/P | Between | 66891 | 66892 | |

COC Number: 31 Cesarean Section with Major Puerperal Infection

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - MAJOR PUERP INFECT-UNSP | = | 67000 | | Or |
| Any Diagnosis Code in List - MAJOR PUERP INF-DEL P/P | = | 67002 | | Or |
| Any Diagnosis Code in List - MAJOR PUERP INF-POSTPART | = | 67004 | | |

COC Number: 32 Vaginal Delivery with Anesthesia or Sedation Complications

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - PULM COMPL IN DEL-DELIV - PULM COMPLIC-DEL W P/P | Between | 66801 | 66802 | Or |
| Any Diagnosis Code in List - HEART COMPL IN DEL-DELIV - HEART COMPL-DEL W P/P | Between | 66811 | 66812 | Or |
| Any Diagnosis Code in List - CNS COMPL LAB/DEL-DELIV - CNS COMPLIC-DEL W P/P | Between | 66821 | 66822 | Or |
| Any Diagnosis Code in List - ANESTH COMPL NEC-DELIVER - ANESTH COMPL NEC-DEL P/P | Between | 66881 | 66882 | Or |
| Any Diagnosis Code in List - ANESTH COMPL NOS-DELIVER - ANESTH COMPL NOS-DEL P/P | Between | 66891 | 66892 | |

COC Number: 33 Vaginal Delivery with Major Puerperal Infection

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - MAJOR PUERP INFECT-UNSP | = | 67000 | | Or |
| Any Diagnosis Code in List - MAJOR PUERP INF-DEL P/P | = | 67002 | | Or |
| Any Diagnosis Code in List - MAJOR PUERP INF-POSTPART | = | 67004 | | |

COC Number: 34 Delivery Wound Complications

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - DISRUPT C-SECT WND-UNSP | = | 67410 | | Or |
| Any Diagnosis Code in List - DISRUPT C-SECT-DEL W P/P | = | 67412 | | Or |
| Any Diagnosis Code in List - DISRUPT C-SECT-POSTPART | = | 67414 | | Or |
| Any Diagnosis Code in List - DISRUPT PERINEUM-UNSPEC | = | 67420 | | Or |
| Any Diagnosis Code in List - DISRUPT PERIN-DEL W P/P | = | 67422 | | Or |
| Any Diagnosis Code in List - DISRUPT PERINEUM-POSTPAR | = | 67424 | | Or |
| Any Diagnosis Code in List - OB SURG COMPL NEC-UNSPEC | = | 67430 | | Or |
| Any Diagnosis Code in List - OB SURG COMPL-DEL W P/P | = | 67432 | | Or |
| Any Diagnosis Code in List - OB SURG COMP NEC-POSTPAR | = | 67434 | | |

COC Number: 35 Postpartum Deep Phlebothrombosis

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Diagnosis Code in List - DEEP THROMB POSTPAR-UNSP | = | 67140 | | Or |
| Any Diagnosis Code in List - THROMB POSTPAR-DEL W P/P | = | 67142 | | Or |
| Any Diagnosis Code in List - DEEP VEIN THROMB-POSTPAR | = | 67144 | | Or (|
| Group - Obstetrical Patients | = | RG-03 | | And |
| Any Diagnosis Code in List - Ven embol/thrombus, unspec deep vessel - Ven embol/thrombus, distal deep vessel | Between | 45340 | 45342 |) |
| | | | | Or (|
| Group - Cesarean Section | = | RG-07 | | And |
| Any Diagnosis Code in List - Ven embol/thrombus, unspec deep vessel - Ven embol/thrombus, distal deep vessel | Between | 45340 | 45342 |) |
| | | | | Or (|
| Group - Vaginal Delivery | = | RG-08 | | And |
| Any Diagnosis Code in List - Ven embol/thrombus, unspec deep vessel - Ven embol/thrombus, distal deep vessel | Between | 45340 | 45342 |) |

COC Number: 36 Postpartum Pulmonary Embolism

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - OB AIR EMBOL-DELIV W P/P | = | 67302 | | Or |
| Any Diagnosis Code in List - OB AIR EMBOLISM-POSTPART | = | 67304 | | Or |
| Any Diagnosis Code in List - AMNIOT EMBOL-DELIV W P/P | = | 67312 | | Or |
| Any Diagnosis Code in List - AMNIOTIC EMBOL-POSTPART | = | 67314 | | Or |
| Any Diagnosis Code in List - PULM EMBOL NOS-DEL W P/P | = | 67322 | | Or |
| Any Diagnosis Code in List - PULM EMBOL NOS-POSTPART | = | 67324 | | Or |
| Any Diagnosis Code in List - OB PYEM EMBOL-DEL W P/P | = | 67332 | | Or |
| Any Diagnosis Code in List - OB PYEMIC EMBOL-POSTPART | = | 67334 | | Or |
| Any Diagnosis Code in List - PULM EMBOL NEC-DEL W P/P | = | 67382 | | Or |
| Any Diagnosis Code in List - PULMON EMBOL NEC-POSTPAR | = | 67384 | | Or |

COC Number: 37 Other Obstetrical Trauma

Risk Group:

Comments: First and second degree lacerations, and other minor trauma, such as hematoma to vulva or perineum, are not included in this COC.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Any Diagnosis Code in List - LACERAT OF CERVIX-UNSPEC - LACERAT OF CERVIX-DELIV | Between | 66530 | 66531 | Or |
| Any Diagnosis Code in List - LACER OF CERVIX-POSTPART | = | 66534 | | Or |
| Any Diagnosis Code in List - HIGH VAGINAL LACER-UNSP - HIGH VAGINAL LACER-DELIV | Between | 66540 | 66541 | Or |
| Any Diagnosis Code in List - HIGH VAGINAL LAC-POSTPAR | = | 66544 | | Or |
| Any Diagnosis Code in List - OB INJ PELV ORG NEC-UNSP - OB INJ PELV ORG NEC-DEL | Between | 66550 | 66551 | Or |
| Any Diagnosis Code in List - INJ PELV ORG NEC-POSTPAR | = | 66554 | | Or |
| Any Diagnosis Code in List - DAMAGE TO PELVIC JT-UNSP - DAMAGE TO PELVIC JT-DEL | Between | 66560 | 66561 | Or |
| Any Diagnosis Code in List - DAMAGE PELVIC JT-POSTPAR | = | 66564 | | Or |
| Any Diagnosis Code in List - OB PELVIC HEMATOMA-UNSP - PELVIC HEMATOM-DEL W PP | Between | 66570 | 66572 | Or |
| Any Diagnosis Code in List - PELVIC HEMATOMA-POSTPART | = | 66574 | | Or |
| Any Diagnosis Code in List - OB TRAUMA NEC-UNSPEC - OB TRAUMA NEC-POSTPARTUM | Between | 66580 | 66584 | Or |
| Any Diagnosis Code in List - OB TRAUMA NOS-UNSPEC - OB TRAUMA NOS-POSTPARTUM | Between | 66590 | 66594 | Or |
| Any Diagnosis Code in List - THIRD-STAGE HEM-UNSPEC | = | 66600 | | Or |
| Any Diagnosis Code in List - THRD-STAGE HEM-DEL W P/P | = | 66602 | | Or |
| Any Diagnosis Code in List - THIRD-STAGE HEM-POSTPART | = | 66604 | | Or |

Detail labels are only printed for "From" and "To" codes.

Tuesday, March 10, 2009

COC Number: 37 Other Obstetrical Trauma

| | | | |
|--|---|-------|----|
| Any Diagnosis Code in List - POSTPARTUM HEM NEC-UNSP | = | 66610 | Or |
| Any Diagnosis Code in List - POSTPA HEM NEC-DEL W P/P | = | 66612 | Or |
| Any Diagnosis Code in List - POSTPART HEM NEC-POSTPAR | = | 66614 | Or |
| Any Diagnosis Code in List - DELAY P/PART HEM-UNSPEC | = | 66620 | Or |
| Any Diagnosis Code in List - DELAY P/P HEM-DEL W P/P | = | 66622 | Or |
| Any Diagnosis Code in List - DELAY P/PART HEM-POSTPAR | = | 66624 | Or |
| Any Diagnosis Code in List - POSTPART COAGUL DEF-UNSP | = | 66630 | Or |
| Any Diagnosis Code in List - P/P COAG DEF-DEL W P/P | = | 66632 | Or |
| Any Diagnosis Code in List - POSTPART COAG DEF-POSTPA | = | 66634 | Or |
| Any Diagnosis Code in List - RETAIN PLACENTA NOS-UNSP | = | 66700 | Or |
| Any Diagnosis Code in List - RETND PLAC NOS-DEL W P/P | = | 66702 | Or |
| Any Diagnosis Code in List - RETAIN PLAC NOS-POSTPART | = | 66704 | Or |
| Any Diagnosis Code in List - RETAIN PROD CONCEPT-UNSP | = | 66710 | Or |
| Any Diagnosis Code in List - RET PROD CONC-DEL W P/P | = | 66712 | Or |
| Any Diagnosis Code in List - RET PROD CONCEPT-POSTPAR | = | 66714 | |

Risk Group Definitions With Titles

Group Name: *RG-01* Procedural Patient

Comments: 8/9/2004 removed many of the non-OR procedures from this definition, but retained those that involve systemic contrast or infusion (including myelogram) and vascular procedures (including lymphangiograms).

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Any Procedure Code in List - CISTERNAL PUNCTURE - MANUAL ROTAT FETAL HEAD | Between | 0101 | 7351 | Or |
| Any Procedure Code in List - EPISIOTOMY - SKIN & SUBQ OP NEC | Between | 736 | 8699 | Or |
| Any Procedure Code in List - CONTRAST MYELOGRAM | = | 8721 | | Or |
| Any Procedure Code in List - INTRAOPER CHOLANGIOGRAM | = | 8753 | | Or |
| Any Procedure Code in List - ABDOMINAL LYMPHANGIOGRAM | = | 8804 | | Or |
| Any Procedure Code in List - UPPER LIMB LYMPHANGIOGRM | = | 8834 | | Or |
| Any Procedure Code in List - LOWER LIMB LYMPHANGIOGRM | = | 8836 | | Or |
| Any Procedure Code in List - CONTRAST ARTERIOGRAM NOS - CONTRAST PHLEBOGRAM NEC | Between | 8840 | 8867 | Or |
| Any Procedure Code in List - PERIOP AUT TRANS HOL BLD - TRANSFUSION NEC | Between | 9900 | 9909 | Or |
| Any Procedure Code in List - THERAPEU PLASMAPHERESIS - OTHER THERAPEU APHERESIS | Between | 9971 | 9979 | Or |
| Any Procedure Code in List - THERAPEUTC PHOTOPHERESIS | = | 9988 | | Or |
| Any Procedure Code in List - Implant cardiac resynch pacer w/o defib - | Between | 0050 | 0057 | Or |
| Any Procedure Code in List - Percut angioplasty precerebral vessel - Percut insert intracranial vasc stent | Between | 0061 | 0065 | Or |
| Any Procedure Code in List - Transplant from live related donor - Transplant from cadaver | Between | 0091 | 0093 | Or |

| |
|---|
| <i>Group Name: RG-01</i> Procedural Patient |
|---|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List | Between | 0021 | 0029 | Or |
| - Intravac imaging extracranial vessels | | | | |
| - Intravascular imaging unspecified vessel | | | | |

| | | | | |
|----------------------------|---|------|--|----|
| Any Procedure Code in List | = | 0044 | | Or |
|----------------------------|---|------|--|----|

-

| | | | | |
|----------------------------|---|------|--|----|
| Any Procedure Code in List | = | 0077 | | Or |
|----------------------------|---|------|--|----|

-

| | | | | |
|----------------------------|---------|------|------|--|
| Any Procedure Code in List | Between | 0085 | 0087 | |
|----------------------------|---------|------|------|--|

-

-

Group Name: RG-02 All Patients

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code | | Is Present | | |

Group Name: RG-03 Obstetrical Patients

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Diagnosis Related Group - CESAREAN SECTION W CC - OTHER ANTEPARTUM DIAGNOSES W/O MEDICAL C | Between | 370 | 384 | |

Group Name: RG-04 Tracheostomy Status or Procedure

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - TRACHEOSTOMY COMP NOS - TRACHEOSTOMY COMP NEC | Between | 51900 | 51909 | Or |
| Any Secondary Diagnosis Code in List - TRACHEOSTOMY STATUS | = | V440 | | Or |
| Any Secondary Diagnosis Code in List - ATTEN TO TRACHEOSTOMY | = | V550 | | Or |
| Any Procedure Code in List - TEMPORARY TRACHEOSTOMY - OTHER PERM TRACHEOSTOMY | Between | 311 | 3129 | |

Group Name: *RG-05* Implants Device or Graft

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - COMPLICATION CNS DEVICE | = | 3491 | | Or |
| Any Diagnosis Code in List - GASTROSTOMY COMP - MECH | = | 53642 | | Or |
| Any Diagnosis Code in List - COLOSTY/ENTER COMP-MECH | = | 56962 | | Or |
| Any Diagnosis Code in List - MALFUNC CARD DEV/GRF NOS - COMPL REATTACH PART NEC | Between | 99600 | 99699 | Or |
| Any Diagnosis Code in List - KIDNEY TRANSPLANT STATUS - TRNSPL STATUS ORGAN NEC | Between | V420 | V4289 | Or |
| Any Diagnosis Code in List - STATUS CARDC DVCE UNSPCF - PRSC NTRUTR CNTRCPTV DVC | Between | V4500 | V4551 | Or |
| Any Diagnosis Code in List - FITTING ARTIFICIAL ARM - ADJ NERV SYST DEVICE NEC | Between | V520 | V5309 | Or |
| Any Diagnosis Code in List - FTNG CARDIAC PACEMAKER - FTNG OTH CARDIAC DEVICE | Between | V5331 | V5339 | Or |
| Any Diagnosis Code in List - FIT/ADJ INTES APPL NEC - FIT ORTHOPEDIC DEVICES | Between | V535 | V537 | Or |
| Any Diagnosis Code in List - ADJUSTMNT DEVICE NEC/NOS* | = | V539 | | Or |
| Any Diagnosis Code in List - REMOVAL INT FIXATION DEV* | = | V540 | | Or |
| Any Diagnosis Code in List - RENAL DIALYSIS ENCOUNTER - DIALYSIS ENCOUNTER, NEC | Between | V560 | V568 | Or |
| Any Diagnosis Code in List - FIT/ADJ VASCULAR CATHETR | = | V5881 | | Or |
| Any Diagnosis Code in List - FIT/ADJ NON-VSC CATH NEC | = | V5882 | | Or |
| Any Procedure Code in List - VENTRICL SHUNT TUBE PUNC | = | 0102 | | Or |
| Any Procedure Code in List - REMOV INTRACRAN STIMULAT | = | 0122 | | Or |
| Any Procedure Code in List - BONE GRAFT TO SKULL - SKULL PLATE INSERTION | Between | 0204 | 0205 | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - VENTRICULOSTOMY | = | 022 | | Or |
| Any Procedure Code in List - VENTRICL SHUNT-HEAD/NECK - REMOVE VENTRICLE SHUNT | Between | 0231 | 0243 | Or |
| Any Procedure Code in List - IMPLANT BRAIN STIMULATOR | = | 0293 | | Or |
| Any Procedure Code in List - INSERT SPHENOID ELECTROD | = | 0296 | | Or |
| Any Procedure Code in List - SUBARACH-PERITON SHUNT - OTH SPINAL THECAL SHUNT | Between | 0371 | 0379 | Or |
| Any Procedure Code in List - INSERT SPINAL STIMULATOR - REMOVE SPINAL STIMULATOR | Between | 0393 | 0394 | Or |
| Any Procedure Code in List - REVISE SPINE THECA SHUNT - REMOVE SPINE THECA SHUNT | Between | 0397 | 0398 | Or |
| Any Procedure Code in List - IMPLANT PERIPH STIMULAT - REMOVE PERIPH STIMULATOR | Between | 0492 | 0493 | Or |
| Any Procedure Code in List - CONJUNCTIVORHINOS W TUBE | = | 0983 | | Or |
| Any Procedure Code in List - SYMBLEPH REP W FREE GRFT - GRAFT CONJUNC CUL-DE-SAC | Between | 1041 | 1042 | Or |
| Any Procedure Code in List - PTERYEG EXC W CORNEA GRFT | = | 1132 | | Or |
| Any Procedure Code in List - CORNEAL TRANSPLANT NOS - LAM KERATPLAST W AUTGRFT | Between | 1160 | 1161 | Or |
| Any Procedure Code in List - PERF KERATOPL W AUTOGRFT - PERFORAT KERATOPLAST NEC | Between | 1163 | 1164 | Or |
| Any Procedure Code in List - REMOVE CORNEAL IMPLANT | = | 1192 | | Or |
| Any Procedure Code in List - REPAIR STAPHYLOM W GRAFT | = | 1285 | | Or |
| Any Procedure Code in List - GRAFT REINFORCE SCLERA | = | 1287 | | Or |
| Any Procedure Code in List - INSERT PSEUDOPHAKOS NOS - SECONDARY INSERT LENS | Between | 1370 | 1372 | Or |
| Any Procedure Code in List - IMPLANTED LENS REMOVAL | = | 138 | | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - SCLERAL BUCKLE W IMPLANT | = | 1441 | | Or |
| Any Procedure Code in List - REMOV PROS MAT POST SEG | = | 146 | | Or |
| Any Procedure Code in List - VITREOUS SUBSTITUT INJEC | = | 1475 | | Or |
| Any Procedure Code in List - ORBITOTOMY W IMPLANT | = | 1602 | | Or |
| Any Procedure Code in List - EYE EVISC W SYNCH IMPLAN | = | 1631 | | Or |
| Any Procedure Code in List - EYE ENUC/IMPLAN/MUSC ATT - EYE ENUC W IMPLANT NEC | Between | 1641 | 1642 | Or |
| Any Procedure Code in List - 2NDRY OCULAR IMP INSERT - REVIS ENUC SOCKET W GRFT | Between | 1661 | 1663 | Or |
| Any Procedure Code in List - 2NDRY EXENT CAVITY GRAFT | = | 1665 | | Or |
| Any Procedure Code in List - EXT AUDIT CANAL RECONSTR | = | 186 | | Or |
| Any Procedure Code in List - CONSTRUCTION EAR AURICLE | = | 1871 | | Or |
| Any Procedure Code in List - MYRINGOTOMY W INTUBATION | = | 2001 | | Or |
| Any Procedure Code in List - TYMPANOSTOMY TUBE REMOVE | = | 201 | | Or |
| Any Procedure Code in List - ENDOLYMPHATIC SHUNT | = | 2071 | | Or |
| Any Procedure Code in List - ELECMAG HEAR DEV IMPLANT - IMP/REP MCHAN COCHL PROS | Between | 2095 | 2098 | Or |
| Any Procedure Code in List - FULL-THICK GRFT TO MOUTH - PEDICLE ATTACH TO MOUTH | Between | 2755 | 2757 | Or |
| Any Procedure Code in List - TEMPORARY TRACHEOSTOMY - OTHER PERM TRACHEOSTOMY | Between | 311 | 3129 | Or |
| Any Procedure Code in List - LARYNGOSTOMY REVISION | = | 3163 | | Or |
| Any Procedure Code in List - REVISION OF TRACHEOSTOMY | = | 3174 | | Or |
| Any Procedure Code in List - PLEUROPERITONEAL SHUNT | = | 3405 | | Or |
| Any Procedure Code in List - IMPLANT DIAPHRA PACEMAKE | = | 3485 | | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - REPLACE HEART VALVE NOS - REPLACE TRICUSP VALV NEC | Between | 3520 | 3528 | Or |
| Any Procedure Code in List - PROSTH REP HRT SEPTA NOS - PROS REP ENDOCAR CUSHION | Between | 3550 | 3554 | Or |
| Any Procedure Code in List - GRFT REPAIR HRT SEPT NOS - GRFT REP ENDOCAR CUSHION | Between | 3560 | 3563 | Or |
| Any Procedure Code in List - TOT REPAIR TETRAL FALLOT - TOT COR TRANSPOS GRT VES | Between | 3581 | 3584 | Or |
| Any Procedure Code in List - CONDUIT RT VENT-PUL ART - HEART REPAIR REVISION | Between | 3592 | 3595 | Or |
| Any Procedure Code in List - INSERT OF COR ART STENT | = | 3606 | | Or |
| Any Procedure Code in List - AORTOCORONARY BYPASS NOS - HRT REVAS BYPS ANAS NEC | Between | 3610 | 3619 | Or |
| Any Procedure Code in List - ARTERIAL IMPLANT REVASC | = | 362 | | Or |
| Any Procedure Code in List - OPEN CHEST TRANS REVASC - OTH HEART REVASCULAR | Between | 3631 | 3639 | Or |
| Any Procedure Code in List - PULSATION BALLOON IMPLAN - REVISE OR REMOVE PACEMAK | Between | 3761 | 3789 | Or |
| Any Procedure Code in List - IMPLT/REPL CARDDEFIB TOT - REPL CARDIODEFIB GENRATR | Between | 3794 | 3798 | Or |
| Any Procedure Code in List - ENDARTERECTOMY NOS - LOWER LIMB ENDARTERECT | Between | 3810 | 3818 | Or |
| Any Procedure Code in List - VESSEL RESECT/ANAST NOS - LEG VEIN RESECT/ANASTOM | Between | 3830 | 3839 | Or |
| Any Procedure Code in List - SYSTEMIC-PULM ART SHUNT - VASC SHUNT & BYPASS NEC | Between | 390 | 3929 | Or |
| Any Procedure Code in List - REVIS REN DIALYSIS SHUNT - REMOV REN DIALYSIS SHUNT | Between | 3942 | 3943 | Or |
| Any Procedure Code in List - REPAIR VESS W TIS PATCH - REPAIR VESS W PATCH NOS | Between | 3956 | 3958 | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - INTRAOP CARDIAC PACEMAK | = | 3964 | | Or |
| Any Procedure Code in List - INS NON-CORO ART STENT | = | 3990 | | Or |
| Any Procedure Code in List - INSERT VES-TO-VES CANNUL - REPLAC VES-TO-VES CANNUL | Between | 3993 | 3994 | Or |
| Any Procedure Code in List - THORAC ESOPHAGUESOPHAGOS - STERN ESOPHAG ANAST NEC | Between | 4251 | 4269 | Or |
| Any Procedure Code in List - ESOPHAGOSTOMY CLOSURE | = | 4283 | | Or |
| Any Procedure Code in List - PERCU ENDOSC GASTROSTOMY - OTHER GASTROSTOMY | Between | 4311 | 4319 | Or |
| Any Procedure Code in List - PROXIMAL GASTRECTOMY - TOTAL GASTRECTOMY NEC | Between | 435 | 4399 | Or |
| Any Procedure Code in List - HIGH GASTRIC BYPASS - GASTROENTEROSTOMY NEC | Between | 4431 | 4439 | Or |
| Any Procedure Code in List - REVISION GASTRIC ANASTOM | = | 445 | | Or |
| Any Procedure Code in List - COLOSTOMY NOS - ENTEROSTOMY NEC | Between | 4610 | 4639 | Or |
| Any Procedure Code in List - INTEST STOMA CLOSURE NOS - LG BOWEL STOMA CLOSURE | Between | 4650 | 4652 | Or |
| Any Procedure Code in List - REVISE SM BOWEL ANASTOM - REVISE LG BOWEL ANASTOM | Between | 4693 | 4694 | Or |
| Any Procedure Code in List - INSERT SUBQ ANAL STIMUL | = | 4992 | | Or |
| Any Procedure Code in List - GB-TO-HEPAT DUCT ANAST - BILE DUCT ANASTOMOS NEC | Between | 5131 | 5139 | Or |
| Any Procedure Code in List - CHOLEDOCHOHEPAT INTUBAT | = | 5143 | | Or |
| Any Procedure Code in List - ENDOSC INSE STENT BILE | = | 5187 | | Or |
| Any Procedure Code in List - REVIS BILE TRACT ANASTOM - REMOVE BILE DUCT PROSTH | Between | 5194 | 5195 | Or |
| Any Procedure Code in List - ENDOSC INSE PANC STENT | = | 5293 | | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - PANCREATIC ANASTOMOSIS - ENDOSC INSER NASOPAN TUB | Between | 5296 | 5297 | Or |
| Any Procedure Code in List - DIR ING HERNIA REP-GRAFT - ING HERNIA REP-GRAFT NOS | Between | 5303 | 5305 | Or |
| Any Procedure Code in List - BIL DIR ING HRN REP-GRFT - BIL ING HRN REP-GRFT NOS | Between | 5314 | 5317 | Or |
| Any Procedure Code in List - UNIL FEMOR HRN REP-GRFT | = | 5321 | | Or |
| Any Procedure Code in List - BIL FEM HERN REPAIR-GRFT | = | 5331 | | Or |
| Any Procedure Code in List - UMBIL HERNIA REPAIR-GRFT | = | 5341 | | Or |
| Any Procedure Code in List - INCIS HERNIA REPAIR-GRFT | = | 5361 | | Or |
| Any Procedure Code in List - ABD HERN REPAIR-GRFT NEC | = | 5369 | | Or |
| Any Procedure Code in List - IMPLANT MECHANIC KIDNEY - REMOV MECHANICAL KIDNEY | Between | 5597 | 5598 | Or |
| Any Procedure Code in List - URIN DIVERSION TO BOWEL - URETERAL ANASTOMOSIS NEC | Between | 5671 | 5679 | Or |
| Any Procedure Code in List - IMPLANT URETERAL STIMUL - REMOVE URETERAL STIMULAT | Between | 5692 | 5694 | Or |
| Any Procedure Code in List - IMPLANT BLADDER STIMULAT - REMOVE BLADDER STIMULAT | Between | 5796 | 5798 | Or |
| Any Procedure Code in List - URETHRAL REANASTOMOSIS | = | 5844 | | Or |
| Any Procedure Code in List - IMPLT ARTF URIN SPHINCT | = | 5893 | | Or |
| Any Procedure Code in List - INJECT IMPLANT URETHRA | = | 5972 | | Or |
| Any Procedure Code in List - URETERAL CATHETERIZATION | = | 598 | | Or |
| Any Procedure Code in List - INSERT TESTICULAR PROSTH | = | 627 | | Or |
| Any Procedure Code in List - EPIDIDYMOVASOSTOMY | = | 6383 | | Or |
| Any Procedure Code in List - REMOV VAS DEFERENS VALVE | = | 6385 | | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - INSERT VALVE IN VAS DEF | = | 6395 | | Or |
| Any Procedure Code in List - INS NONINFL PENIS PROSTH - INS INFLATE PENIS PROSTH | Between | 6495 | 6497 | Or |
| Any Procedure Code in List - IMPL FALLOP TUBE PROSTH - REMOV FALLOP TUBE PROSTH | Between | 6693 | 6694 | Or |
| Any Procedure Code in List - INSERTION OF IUD | = | 697 | | Or |
| Any Procedure Code in List - FALLOPIAN TUBE ASPIRAT | = | 6691 | | Or |
| Any Procedure Code in List - IMPL FALLOP TUBE PROSTH | = | 6693 | | Or |
| Any Procedure Code in List - REMOVE CERVICAL CERCLAGE | = | 6996 | | Or |
| Any Procedure Code in List - AUGMENTATION GENIOPLASTY | = | 7668 | | Or |
| Any Procedure Code in List - BONE GRAFT TO FACE BONE - SYN IMPLANT TO FACE BONE | Between | 7691 | 7692 | Or |
| Any Procedure Code in List - BONE GRAFT NOS - BONE GRAFT NEC | Between | 7800 | 7809 | Or |
| Any Procedure Code in List - LIMB LENGTHEN PROC NOS - LIMB LENGTHEN PROC NEC | Between | 7830 | 7839 | Or |
| Any Procedure Code in List - INT FIX W/O FX REDUC NOS - REMOVE IMPL DEVICE NEC | Between | 7850 | 7869 | Or |
| Any Procedure Code in List - INSERT BONE STIMUL NOS - INSERT BONE STIMUL NEC | Between | 7890 | 7899 | Or |
| Any Procedure Code in List - CL FX REDUC-INT FIX NOS - CL FX REDUC-INT FIX NEC | Between | 7910 | 7919 | Or |
| Any Procedure Code in List - OPN FX RED W INT FIX NOS - OPN FX RED W INT FIX NEC | Between | 7930 | 7939 | Or |
| Any Procedure Code in List - ARTHROT & PROS REMOV NOS - ARTHROT & PROS REMOV NEC | Between | 8000 | 8009 | Or |
| Any Procedure Code in List - TOTAL HIP REPLACEMENT - REV JT REPL LOW EXT NEC | Between | 8151 | 8159 | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - ARTHROPLAS METACARP WIT | = | 8171 | | Or |
| Any Procedure Code in List - TOTAL WRIST REPLACEMENT - ARTHROPLASTY CARPAL WIT | Between | 8173 | 8174 | Or |
| Any Procedure Code in List - TOTAL SHOULDER REPLACE - PARTIAL SHOULDER REPLACE | Between | 8180 | 8181 | Or |
| Any Procedure Code in List - TOTAL ELBOW REPLACEMENT | = | 8184 | | Or |
| Any Procedure Code in List - REV JT REPL UPPER EXTREM | = | 8197 | | Or |
| Any Procedure Code in List - PLAST OP HND-MUS/FAS GRF | = | 8272 | | Or |
| Any Procedure Code in List - PLAST OP HAND W GRFT NEC | = | 8279 | | Or |
| Any Procedure Code in List - TENDON GRAFT - MUSCLE OR FASCIA GRAFT | Between | 8381 | 8382 | Or |
| Any Procedure Code in List - INSERT SKEL MUSC STIMULA - REMOV SKEL MUSC STIMULAT | Between | 8392 | 8393 | Or |
| Any Procedure Code in List - IMPLNT/FIT PROS LIMB NOS | = | 8440 | | Or |
| Any Procedure Code in List - IMPLANT ARM PROSTHESIS | = | 8444 | | Or |
| Any Procedure Code in List - IMPLANT LEG PROSTHESIS | = | 8448 | | Or |
| Any Procedure Code in List - UNIL SUBQ MAMMECT-IMPLNT | = | 8533 | | Or |
| Any Procedure Code in List - BIL SUBQ MAMMECT-IMPLANT | = | 8535 | | Or |
| Any Procedure Code in List - UNILAT BREAST IMPLANT | = | 8553 | | Or |
| Any Procedure Code in List - BILATERAL BREAST IMPLANT | = | 8554 | | Or |
| Any Procedure Code in List - BREAST SPLIT-THICK GRAFT - BREAST MUSCLE FLAP GRAFT | Between | 8582 | 8585 | Or |
| Any Procedure Code in List - BREAST IMPLANT REVISION - REMOV BREAST TISSU EXPAN | Between | 8593 | 8596 | Or |
| Any Procedure Code in List - INSERT INFUSION PUMP - INSERT VASC ACCESS DEV | Between | 8606 | 8607 | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - FREE SKIN GRAFT NOS - REVISION OF PEDICLE GRFT | Between | 8660 | 8675 | Or |
| Any Procedure Code in List - INSERT TISSUE EXPANDER | = | 8693 | | Or |
| Any Procedure Code in List - PACEMAKER WAVE FORM CHCK - PACEMAKER VOLT THRESHOLD | Between | 8946 | 8948 | Or |
| Any Procedure Code in List - OCULAR PROSTHETICS | = | 9534 | | Or |
| Any Procedure Code in List - INSERT NASOPHARYN AIRWAY - INSERT RECTAL TUBE | Between | 9601 | 9609 | Or |
| Any Procedure Code in List - GI OSTOMY IRRIGATION | = | 9636 | | Or |
| Any Procedure Code in List - BILIARY TUBE IRRIGATION - PANCREATIC TUBE IRRIGAT | Between | 9641 | 9642 | Or |
| Any Procedure Code in List - NEPHROST/PYELOST IRRIGAT - INDWELL CATH IRRIG NEC | Between | 9645 | 9648 | Or |
| Any Procedure Code in List - VASCULAR CATH IRRIGATION - WOUND CATHETER IRRIGAT | Between | 9657 | 9658 | Or |
| Any Procedure Code in List - REPLACE GAST/ESOPH TUBE - REPL STENT IN BILE DUCT | Between | 9701 | 9705 | Or |
| Any Procedure Code in List - REPLACE WOUND CATHETER | = | 9715 | | Or |
| Any Procedure Code in List - REPLACE TRACH TUBE | = | 9723 | | Or |
| Any Procedure Code in List - REMOVE EYE PROSTHESIS | = | 9731 | | Or |
| Any Procedure Code in List - REMOVE TRACHEOSTOMY TUBE | = | 9737 | | Or |
| Any Procedure Code in List - REMOV THORACOTOMY TUBE - REMOV MEDIASTINAL DRAIN | Between | 9741 | 9742 | Or |
| Any Procedure Code in List - REMOV THOR THER DEV NEC | = | 9749 | | Or |
| Any Procedure Code in List - REMOV GASTROSTOMY TUBE - REMOV OTHER GI DEVICE | Between | 9751 | 9759 | Or |
| Any Procedure Code in List - REMOV PYELOS/NEPHROS TUB - REMOV OTHER URIN DEVICE | Between | 9761 | 9769 | Or |

| | |
|--------------------------|---------------------------|
| <i>Group Name: RG-05</i> | Implanted Device or Graft |
|--------------------------|---------------------------|

| | | | | |
|--|---------|-------|-------|----|
| Any Procedure Code in List - REMOV RETROPERITON DRAIN - REMOV THERAPEUT DEV NEC | Between | 9781 | 9789 | Or |
| Any Procedure Code in List - NON-INVASIVE BONE STIMUL | = | 9986 | | Or |
| Any Procedure Code in List - Implant cardiac resynch pacer w/o defib - Insert drug-eluding non-coronary stent | Between | 0050 | 0055 | Or |
| Any Procedure Code in List - Heart Transplantation - Replace other component heart system | Between | 3751 | 3754 | Or |
| Any Procedure Code in List - Insert drug-eluding coronary art stent | = | 3607 | | Or |
| Any Procedure Code in List - Implant/revise artificial anal sphincter - Removal artificial anal sphincter | Between | 4975 | 4976 | Or |
| Any Procedure Code in List - Insert interspinal fusion device - Insert rhBMP | Between | 8451 | 8452 | Or |
| Any Procedure Code in List - Implant chemotherapy agent | = | 0010 | | Or |
| Any Diagnosis Code in List - Infection of esophagostomy - Mechanical complication of esophagostomy | Between | 53086 | 53087 | Or |
| Any Procedure Code in List - Implant int limb lengthen w kinetic dist - Revise spinal disc prosthesis NOS | Between | 8453 | 8469 | Or |
| Any Procedure Code in List - Insert singl array neurostim pulse gener - Insert other neurostim pulse generator | Between | 8694 | 8696 | Or |
| Any Procedure Code in List - Slew rate check, pacemaker | = | 8949 | | Or |
| Any Procedure Code in List - Percut insertion carotid artery stent - Percut insert intracranial vasc stent | Between | 0063 | 0065 | Or |
| Any Procedure Code in List - Insertion of palatal implant | = | 2764 | | Or |
| Any Procedure Code in List - Insert percut ext heeart assist device | = | 3768 | | Or |
| Any Procedure Code in List - Insert left atrial appendage device | = | 3790 | | Or |
| Any Procedure Code in List - - | Between | 0045 | 0048 | Or |

Group Name: RG-05 **Implanted Device or Graft**

Any Procedure Code in List Between 0070 0076 Or

-
-

Any Procedure Code in List Between 0080 0084 Or

-
-

Any Procedure Code in List Between 0126 0127 Or

-
-

Any Procedure Code in List = 3741 Or

-

Any Procedure Code in List = 3973 Or

-

Any Procedure Code in List Between 8697 8698 Or

-
-

Any Procedure Code in List = 3378 Or

-

Group Name: *RG-06* Infusion or Transfusion

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - AIR EMBOL COMP MED CARE - TRANSFUSION REACTION NEC | Between | 9991 | 9998 | Or |
| Any Diagnosis Code in List - FAILURE STERILE INFUSION - FAIL STERILE INJECTION | Between | E8721 | E8723 | Or |
| Any Diagnosis Code in List - EXCESS FLUID IN INFUSION - INCOR DILUT INFUSN FLUID | Between | E8730 | E8731 | Or |
| Any Diagnosis Code in List - CONTAMINATED TRANSFUSION - CONTAMINATED DRUG NEC | Between | E8750 | E8752 | Or |
| Any Diagnosis Code in List - MISMATCH BLOOD-TRANSFUSN - WRONG FLUID IN INFUSION | Between | E8760 | E8761 | Or |
| Any Diagnosis Code in List - BLOOD TRANSFUSION, NO DX | = | V582 | | Or |
| Any Procedure Code in List - PERIOP AUT TRANS HOL BLD - INJECT/INF THROMBO AGENT | Between | 9900 | 9910 | Or |
| Any Procedure Code in List - PARENT INFUS NUTRIT SUB | = | 9915 | | Or |
| Any Procedure Code in List - INJECT/INFUSE ELECTROLYT | = | 9918 | | Or |
| Any Procedure Code in List - INJ/INF PLATELET INHIBIT | = | 9920 | | Or |
| Any Procedure Code in List - INJECT CA CHEMOTHER NEC | = | 9925 | | Or |
| Any Procedure Code in List - IONTOPHORESIS - INJECT/INFUSE NEC | Between | 9927 | 9929 | Or |
| Any Procedure Code in List - Infuse drotrecogin alfa (activated) | = | 0011 | | Or |
| Any Procedure Code in List - Inj or Infuse nesiritide - High dose infusion interleukin-2 | Between | 0013 | 0015 | Or |
| Any Procedure Code in List - Infusion of vasopressor agent - | Between | 0017 | 0018 | Or |
| Any Procedure Code in List - | = | 9220 | | |

Group Name: RG-07 Cesarean Section

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Procedure Code in List - CLASSICAL C-SECTION - EXTRAPERITONEAL C-SECT | Between | 740 | 742 | Or |
| Any Procedure Code in List - CESAREAN SECTION NEC | = | 744 | | Or |
| Any Procedure Code in List - CESAREAN SECTION NOS | = | 7499 | | Or |
| Any Diagnosis Code in List - CESAREAN DELIVERY NOS | = | 66971 | | |

Group Name: RG-08 Vaginal Delivery

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Diagnosis Related Group - VAGINAL DELIVERY W COMPLICATING DIAGNOSE - VAGINAL DELIVERY W O.R. PROC EXCEPT STER | Between | 372 | 375 | And Not |
| Any Procedure Code in List - CLASSICAL C-SECTION - EXTRAPERITONEAL C-SECT | Between | 740 | 742 | And Not |
| Any Procedure Code in List - CESAREAN SECTION NEC | = | 744 | | And Not |
| Any Procedure Code in List - CESAREAN SECTION NOS | = | 7499 | | |

Group Name: INF-1 Infection as Principal Diagnosis

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - MENINGOCOCCAL MENINGITIS - SEPTICEMIA NOS | Between | 0360 | 0389 | Or |
| Principal Diagnosis Code - GAS GANGRENE | = | 0400 | | Or |
| Principal Diagnosis Code - STREPTOCOCCUS UNSPECF - HUMAN IMMUNO VIRUS DIS | Between | 04100 | 042 | Or |
| Principal Diagnosis Code - HEMOPHILUS MENINGITIS - POSTIMMUNIZAT ENCEPHALIT* | Between | 3200 | 3235 | Or |
| Principal Diagnosis Code - INTRACRANIAL ABSCESS - CNS ABSCESS NOS | Between | 3240 | 3249 | Or |
| Principal Diagnosis Code - ACUTE CONJUNCTIVITIS NOS - AC ATOPIC CONJUNCTIVITIS | Between | 37200 | 37205 | Or |
| Principal Diagnosis Code - AC/SUBAC BACT ENDOCARD - ACUTE MYOCARDITIS NOS | Between | 4210 | 42290 | Or |
| Principal Diagnosis Code - SEPTIC MYOCARDITIS | = | 42292 | | Or |
| Principal Diagnosis Code - AC MAXILLARY SINUSITIS - AC EPIGLOTTITIS W OBSTR | Between | 4610 | 46431 | Or |
| Principal Diagnosis Code - ACUTE BRONCHITIS - ACU BRNCHLTS D/T OTH ORG | Between | 4660 | 46619 | Or |
| Principal Diagnosis Code - PERITONSILLAR ABSCESS | = | 475 | | Or |
| Principal Diagnosis Code - PARAPHARYNGEAL ABSCESS | = | 47822 | | Or |
| Principal Diagnosis Code - RETROPHARYNGEAL ABSCESS | = | 47824 | | Or |
| Principal Diagnosis Code - ADENOVIRAL PNEUMONIA - BRONCHITIS NOS | Between | 4800 | 490 | Or |
| Principal Diagnosis Code - EMPYEMA WITH FISTULA | = | 5100 | | Or |
| Principal Diagnosis Code - EMPYEMA W/O FISTULA | = | 5109 | | Or |
| Principal Diagnosis Code - BACT PLEUR/EFFUS NOT TB | = | 5111 | | Or |

Group Name: INF-1 Infection as Principal Diagnosis

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - ABSCESS OF LUNG - ABSCESS OF MEDIASTINUM | Between | 5130 | 5131 | Or |
| Principal Diagnosis Code - SALIVARY GLAND ABSCESS | = | 5273 | | Or |
| Principal Diagnosis Code - GASTROSTOMY INFECTION | = | 53641 | | Or |
| Principal Diagnosis Code - ANAL & RECTAL ABSCESS | = | 566 | | Or |
| Principal Diagnosis Code - PERITONITIS IN INFECTION - PERITONITIS NOS | Between | 5670 | 5679 | Or |
| Principal Diagnosis Code - COLOSTY/ENTEROST INFECTION | = | 56961 | | Or |
| Principal Diagnosis Code - ACUTE PYELONEPHRITIS NOS - INFECTION OF KIDNEY NOS | Between | 59010 | 5909 | Or |
| Principal Diagnosis Code - ACUTE CYSTITIS | = | 5950 | | Or |
| Principal Diagnosis Code - URETHRAL ABSCESS | = | 5970 | | Or |
| Principal Diagnosis Code - URETHRAL STRICTURE INFECTION NOS - URETHRAL STRICTURE OTHER INFECTION | Between | 59800 | 59801 | Or |
| Principal Diagnosis Code - URINARY TRACT INFECTION NOS | = | 5990 | | Or |
| Principal Diagnosis Code - ORCHITIS WITH ABSCESS | = | 6040 | | Or |
| Principal Diagnosis Code - SEMINAL VESICULITIS | = | 6080 | | Or |
| Principal Diagnosis Code - MALE GENITAL INFLAMMATION DIS NOS | = | 6084 | | Or |
| Principal Diagnosis Code - ACUTE PELVIC PERITONITIS-FEM | = | 6145 | | Or |
| Principal Diagnosis Code - BARTHOLIN'S GLAND ABSCESS - ABSCESS OF VULVA NOS | Between | 6163 | 6164 | Or |
| Principal Diagnosis Code - SPONTANEOUS ABORTION WITH PELVIC INFECTION-UNSPECIFIED - SPONTANEOUS ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63400 | 63402 | Or |
| Principal Diagnosis Code - LEG ABORTION WITH PELVIC INFECTION-UNSPECIFIED - LEG ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63500 | 63502 | Or |
| Principal Diagnosis Code - ILLEGAL ABORTION WITH PELVIC INFECTION-UNSPECIFIED - ILLEGAL ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63600 | 63602 | Or |

| |
|---|
| <i>Group Name: INF-1</i> Infection as Principal Diagnosis |
|---|

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - ABORT NOS W PEL INF-UNSP - ABORT NOS W PEL INF-COMP | Between | 63700 | 63702 | Or |
| Principal Diagnosis Code - ATTEM ABORT W PELVIC INF | = | 6380 | | Or |
| Principal Diagnosis Code - POSTABORTION GU INFECT | = | 6390 | | Or |
| Principal Diagnosis Code - GU INFECTION-DELIV W P/P | = | 64662 | | Or |
| Principal Diagnosis Code - GU INFECTION-POSTPARTUM | = | 64664 | | Or |
| Principal Diagnosis Code - OTH VIRAL DIS-DEL W P/P | = | 64762 | | Or |
| Principal Diagnosis Code - OTH VIRAL DIS-POSTPARTUM | = | 64764 | | Or |
| Principal Diagnosis Code - INFECT DIS NEC-DEL W P/P | = | 64782 | | Or |
| Principal Diagnosis Code - INFECT DIS NEC-POSTPART | = | 64784 | | Or |
| Principal Diagnosis Code - INFECT NOS-DELIVER W P/P | = | 64792 | | Or |
| Principal Diagnosis Code - INFECT NOS-POSTPARTUM | = | 64794 | | Or |
| Principal Diagnosis Code - AMNIOTIC INFECTION-UNSP - AMNIOTIC INFECTION-DELIV | Between | 65840 | 65841 | Or |
| Principal Diagnosis Code - AMNIOTIC INFECT-ANTEPART | = | 65843 | | Or |
| Principal Diagnosis Code - SEPTICEMIA IN LABOR-UNSP - SEPTICEM IN LABOR-DELIV | Between | 65930 | 65931 | Or |
| Principal Diagnosis Code - MAJOR PUERP INF-DEL P/P | = | 67002 | | Or |
| Principal Diagnosis Code - MAJOR PUERP INF-POSTPART | = | 67004 | | Or |
| Principal Diagnosis Code - INFECT NIPPLE-DEL W P/P | = | 67502 | | Or |
| Principal Diagnosis Code - INFECT NIPPLE-POSTPARTUM | = | 67504 | | Or |
| Principal Diagnosis Code - BREAST ABSCESS-DEL W P/P | = | 67512 | | Or |
| Principal Diagnosis Code - BREAST ABSCESS-POSTPART | = | 67514 | | Or |
| Principal Diagnosis Code - MASTITIS-DELIV W P/P | = | 67522 | | Or |

| | |
|--------------------------|----------------------------------|
| <i>Group Name: INF-1</i> | Infection as Principal Diagnosis |
|--------------------------|----------------------------------|

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - MASTITIS-POSTPARTUM | = | 67524 | | Or |
| Principal Diagnosis Code - BREAST INF NEC-DEL W P/P | = | 67582 | | Or |
| Principal Diagnosis Code - BREAST INF NEC-POSTPART | = | 67584 | | Or |
| Principal Diagnosis Code - BREAST INF NOS-DEL W P/P | = | 67592 | | Or |
| Principal Diagnosis Code - BREAST INF NOS-POSTPART | = | 67594 | | Or |
| Principal Diagnosis Code - CARBUNCLE OF FACE - PILONIDAL CYST W ABSCESS | Between | 6800 | 6850 | Or |
| Principal Diagnosis Code - PYODERMA NOS - LOCAL SKIN INFECTION NOS | Between | 68600 | 6869 | Or |
| Principal Diagnosis Code - PYOGEN ARTHRITIS-UNSPEC - PYOGEN ARTHRITIS-MULT | Between | 71100 | 71109 | Or |
| Principal Diagnosis Code - BACT ARTHRITIS-UNSPEC - MYCOTIC ARTHRITIS-MULT | Between | 71140 | 71169 | Or |
| Principal Diagnosis Code - INF ARTHRITIS NEC-UNSPEC - INF ARTHRITIS NEC-MULT | Between | 71180 | 71189 | Or |
| Principal Diagnosis Code - AC OSTEOMYELITIS-UNSPEC - AC OSTEOMYELITIS-MULT | Between | 73000 | 73009 | Or |
| Principal Diagnosis Code - CHR OSTEOMYELITIS-UNSP - CHR OSTEOMYELIT-MULT | Between | 73010 | 73019 | Or |
| Principal Diagnosis Code - OSTEOMYELITIS NOS-UNSPEC - OSTEOMYELITIS NOS-MULT | Between | 73020 | 73029 | Or |
| Principal Diagnosis Code - MATERNAL INFEC AFF NB | = | 7602 | | Or |
| Principal Diagnosis Code - CONGENITAL INFEC NEC - SEPTICEMIA (SEPSIS) OFNEWBORN | Between | 7712 | 77181 | Or |
| Principal Diagnosis Code - POSTTRAUM WND INFEC NEC | = | 9583 | | Or |
| Principal Diagnosis Code - REACTION-UNSP DEVIC/GRFT - REACT-CARDIAC DEV/GRAFT | Between | 99660 | 99661 | Or |

| |
|---|
| <i>Group Name: INF-1</i> Infection as Principal Diagnosis |
|---|

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 99851 | 99859 | Or |
| - INFECTED POSTOP SEROMA | | | | |
| - OTHER POSTOP INFECTION | | | | |

| | | | | |
|---------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 99762 | | Or |
| - INFECTION AMPUTAT STUMP | | | | |

| | | | | |
|-------------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 07982 | | Or |
| - SARS-associated coronavirus | | | | |

| | | | | |
|--------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 78552 | | Or |
| - Septic shock | | | | |

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 99590 | 99594 | Or |
| - SYSTEMIC INFLAMM RESPONSE SYNDR UNSPEC | | | | |
| - SYS INFLAM RESP SYND NONINF W/ ORG DYSFX | | | | |

| | | | | |
|--------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 04082 | | Or |
| - Toxic shock syndrome | | | | |

| | | | | |
|--------------------------|---|------|--|----|
| Principal Diagnosis Code | = | 0664 | | Or |
| - West Nile fever | | | | |

| | | | | |
|--------------------------|---|------|--|----|
| Principal Diagnosis Code | = | 0522 | | Or |
| - | | | | |

| | | | | |
|--------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 05314 | | Or |
| - | | | | |

| | | | | |
|--------------------------|---|-------|--|----|
| Principal Diagnosis Code | = | 05474 | | Or |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 32351 | 32352 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 32361 | 32363 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 37960 | 37963 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 52300 | 52301 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 52310 | 52311 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 52330 | 52333 | Or |
| - | | | | |
| - | | | | |

| | | | | |
|--------------------------|---------|-------|-------|--|
| Principal Diagnosis Code | Between | 52340 | 52342 | |
| - | | | | |
| - | | | | |

Group Name: INF-2 Infection as Secondary Diagnosis

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - MENINGOCOCCAL MENINGITIS - SEPTICEMIA NOS | Between | 0360 | 0389 | Or |
| Any Secondary Diagnosis Code in List - GAS GANGRENE | = | 0400 | | Or |
| Any Secondary Diagnosis Code in List - STREPTOCOCCUS UNSPECF - HUMAN IMMUNO VIRUS DIS | Between | 04100 | 042 | Or |
| Any Secondary Diagnosis Code in List - HEMOPHILUS MENINGITIS - POSTIMMUNIZAT ENCEPHALIT* | Between | 3200 | 3235 | Or |
| Any Secondary Diagnosis Code in List - INTRACRANIAL ABSCESS - CNS ABSCESS NOS | Between | 3240 | 3249 | Or |
| Any Secondary Diagnosis Code in List - ACUTE CONJUNCTIVITIS NOS - AC ATOPIC CONJUNCTIVITIS | Between | 37200 | 37205 | Or |
| Any Secondary Diagnosis Code in List - AC/SUBAC BACT ENDOCARD - ACUTE MYOCARDITIS NOS | Between | 4210 | 42290 | Or |
| Any Secondary Diagnosis Code in List - SEPTIC MYOCARDITIS | = | 42292 | | Or |
| Any Secondary Diagnosis Code in List - AC MAXILLARY SINUSITIS - AC EPIGLOTTITIS W OBSTR | Between | 4610 | 46431 | Or |
| Any Secondary Diagnosis Code in List - ACUTE BRONCHITIS - ACU BRNCHLTS D/T OTH ORG | Between | 4660 | 46619 | Or |
| Any Secondary Diagnosis Code in List - PERITONSILLAR ABSCESS | = | 475 | | Or |
| Any Secondary Diagnosis Code in List - PARAPHARYNGEAL ABSCESS | = | 47822 | | Or |
| Any Secondary Diagnosis Code in List - RETROPHARYNGEAL ABSCESS | = | 47824 | | Or |
| Any Secondary Diagnosis Code in List - ADENOVIRAL PNEUMONIA - BRONCHITIS NOS | Between | 4800 | 490 | Or |
| Any Secondary Diagnosis Code in List - EMPYEMA WITH FISTULA | = | 5100 | | Or |
| Any Secondary Diagnosis Code in List - EMPYEMA W/O FISTULA | = | 5109 | | Or |
| Any Secondary Diagnosis Code in List - BACT PLEUR/EFFUS NOT TB | = | 5111 | | Or |

Group Name: INF-2 Infection as Secondary Diagnosis

| | | | | |
|--|---------|-------|-------|----|
| Any Secondary Diagnosis Code in List - ABSCESS OF LUNG - ABSCESS OF MEDIASTINUM | Between | 5130 | 5131 | Or |
| Any Secondary Diagnosis Code in List - SALIVARY GLAND ABSCESS | = | 5273 | | Or |
| Any Secondary Diagnosis Code in List - GASTROSTOMY INFECTION | = | 53641 | | Or |
| Any Secondary Diagnosis Code in List - ANAL & RECTAL ABSCESS | = | 566 | | Or |
| Any Secondary Diagnosis Code in List - PERITONITIS IN INFECTION - PERITONITIS NOS | Between | 5670 | 5679 | Or |
| Any Secondary Diagnosis Code in List - COLOSTY/ENTEROST INFECTION | = | 56961 | | Or |
| Any Secondary Diagnosis Code in List - ACUTE PYELONEPHRITIS NOS - INFECTION OF KIDNEY NOS | Between | 59010 | 5909 | Or |
| Any Secondary Diagnosis Code in List - ACUTE CYSTITIS | = | 5950 | | Or |
| Any Secondary Diagnosis Code in List - URETHRAL ABSCESS | = | 5970 | | Or |
| Any Secondary Diagnosis Code in List - URETHRAL STRICTURE INFECTION NOS - URETHRAL STRICTURE OTHER INFECTION | Between | 59800 | 59801 | Or |
| Any Secondary Diagnosis Code in List - URINARY TRACT INFECTION NOS | = | 5990 | | Or |
| Any Secondary Diagnosis Code in List - ORCHITIS WITH ABSCESS | = | 6040 | | Or |
| Any Secondary Diagnosis Code in List - SEMINAL VESICULITIS | = | 6080 | | Or |
| Any Secondary Diagnosis Code in List - MALE GENITAL INFLAMMATION NOS | = | 6084 | | Or |
| Any Secondary Diagnosis Code in List - ACUTE PELVIC PERITONITIS-FEMALE | = | 6145 | | Or |
| Any Secondary Diagnosis Code in List - BARTHOLIN'S GLAND ABSCESS - ABSCESS OF VULVA NOS | Between | 6163 | 6164 | Or |
| Any Secondary Diagnosis Code in List - SPONTANEOUS ABORTION WITH PELVIC INFECTION-UNSPECIFIED - SPONTANEOUS ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63400 | 63402 | Or |
| Any Secondary Diagnosis Code in List - LEG ABORTION WITH PELVIC INFECTION-UNSPECIFIED - LEG ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63500 | 63502 | Or |
| Any Secondary Diagnosis Code in List - ILLEGAL ABORTION WITH PELVIC INFECTION-UNSPECIFIED - ILLEGAL ABORTION WITH PELVIC INFECTION-COMPLICATED | Between | 63600 | 63602 | Or |

| | | | | |
|---|--|--|--|--|
| <i>Group Name: INF-2</i> Infection as Secondary Diagnosis | | | | |
|---|--|--|--|--|

| | | | | |
|--|---------|-------|-------|----|
| Any Secondary Diagnosis Code in List - ABORT NOS W PEL INF-UNSP - ABORT NOS W PEL INF-COMP | Between | 63700 | 63702 | Or |
| Any Secondary Diagnosis Code in List - ATTEM ABORT W PELVIC INF | = | 6380 | | Or |
| Any Secondary Diagnosis Code in List - POSTABORTION GU INFECT | = | 6390 | | Or |
| Any Secondary Diagnosis Code in List - GU INFECTION-DELIV W P/P | = | 64662 | | Or |
| Any Secondary Diagnosis Code in List - GU INFECTION-POSTPARTUM | = | 64664 | | Or |
| Any Secondary Diagnosis Code in List - OTH VIRAL DIS-DEL W P/P | = | 64762 | | Or |
| Any Secondary Diagnosis Code in List - OTH VIRAL DIS-POSTPARTUM | = | 64764 | | Or |
| Any Secondary Diagnosis Code in List - INFECT DIS NEC-DEL W P/P | = | 64782 | | Or |
| Any Secondary Diagnosis Code in List - INFECT DIS NEC-POSTPART | = | 64784 | | Or |
| Any Secondary Diagnosis Code in List - INFECT NOS-DELIVER W P/P | = | 64792 | | Or |
| Any Secondary Diagnosis Code in List - INFECT NOS-POSTPARTUM | = | 64794 | | Or |
| Any Secondary Diagnosis Code in List - AMNIOTIC INFECTION-UNSP - AMNIOTIC INFECTION-DELIV | Between | 65840 | 65841 | Or |
| Any Secondary Diagnosis Code in List - AMNIOTIC INFECT-ANTEPART | = | 65843 | | Or |
| Any Secondary Diagnosis Code in List - SEPTICEMIA IN LABOR-UNSP - SEPTICEM IN LABOR-DELIV | Between | 65930 | 65931 | Or |
| Any Secondary Diagnosis Code in List - MAJOR PUERP INF-DEL P/P | = | 67002 | | Or |
| Any Secondary Diagnosis Code in List - MAJOR PUERP INF-POSTPART | = | 67004 | | Or |
| Any Secondary Diagnosis Code in List - INFECT NIPPLE-DEL W P/P | = | 67502 | | Or |
| Any Secondary Diagnosis Code in List - INFECT NIPPLE-POSTPARTUM | = | 67504 | | Or |
| Any Secondary Diagnosis Code in List - BREAST ABSCESS-DEL W P/P | = | 67512 | | Or |
| Any Secondary Diagnosis Code in List - BREAST ABSCESS-POSTPART | = | 67514 | | Or |
| Any Secondary Diagnosis Code in List - MASTITIS-DELIV W P/P | = | 67522 | | Or |

| | | | | |
|---|--|--|--|--|
| <i>Group Name: INF-2</i> Infection as Secondary Diagnosis | | | | |
|---|--|--|--|--|

| | | | | |
|---|---------|-------|-------|----|
| Any Secondary Diagnosis Code in List - MASTITIS-POSTPARTUM | = | 67524 | | Or |
| Any Secondary Diagnosis Code in List - BREAST INF NEC-DEL W P/P | = | 67582 | | Or |
| Any Secondary Diagnosis Code in List - BREAST INF NEC-POSTPART | = | 67584 | | Or |
| Any Secondary Diagnosis Code in List - BREAST INF NOS-DEL W P/P | = | 67592 | | Or |
| Any Secondary Diagnosis Code in List - BREAST INF NOS-POSTPART | = | 67594 | | Or |
| Any Secondary Diagnosis Code in List - CARBUNCLE OF FACE - PILONIDAL CYST W ABSCESS | Between | 6800 | 6850 | Or |
| Any Secondary Diagnosis Code in List - PYODERMA NOS - LOCAL SKIN INFECTION NOS | Between | 68600 | 6869 | Or |
| Any Secondary Diagnosis Code in List - PYOGEN ARTHRITIS-UNSPEC - PYOGEN ARTHRITIS-MULT | Between | 71100 | 71109 | Or |
| Any Secondary Diagnosis Code in List - BACT ARTHRITIS-UNSPEC - MYCOTIC ARTHRITIS-MULT | Between | 71140 | 71169 | Or |
| Any Secondary Diagnosis Code in List - INF ARTHRITIS NEC-UNSPEC - INF ARTHRITIS NEC-MULT | Between | 71180 | 71189 | Or |
| Any Secondary Diagnosis Code in List - AC OSTEOMYELITIS-UNSPEC - AC OSTEOMYELITIS-MULT | Between | 73000 | 73009 | Or |
| Any Secondary Diagnosis Code in List - CHR OSTEOMYELITIS-UNSP - CHR OSTEOMYELIT-MULT | Between | 73010 | 73019 | Or |
| Any Secondary Diagnosis Code in List - OSTEOMYELITIS NOS-UNSPEC - OSTEOMYELITIS NOS-MULT | Between | 73020 | 73029 | Or |
| Any Secondary Diagnosis Code in List - MATERNAL INFEC AFF NB | = | 7602 | | Or |
| Any Secondary Diagnosis Code in List - CONGENITAL INFEC NEC - PERINATAL INFECTION NEC | Between | 7712 | 7718 | Or |
| Any Secondary Diagnosis Code in List - POSTTRAUM WND INFEC NEC | = | 9583 | | Or |
| Any Secondary Diagnosis Code in List - REACTION-UNSP DEVIC/GRFT - REACT-CARDIAC DEV/GRAFT | Between | 99660 | 99661 | Or |

| | | | | |
|---|--|--|--|--|
| <i>Group Name: INF-2</i> Infection as Secondary Diagnosis | | | | |
|---|--|--|--|--|

| | | | | |
|--|---------|-------|-------|----|
| Any Secondary Diagnosis Code in List - INFECTED POSTOP SEROMA - OTHER POSTOP INFECTION | Between | 99851 | 99859 | Or |
| Any Secondary Diagnosis Code in List - INFECTION AMPUTAT STUMP | = | 99762 | | Or |
| Any Secondary Diagnosis Code in List - SARS-associated coronavirus | = | 07982 | | Or |
| Any Secondary Diagnosis Code in List - Septic shock | = | 78552 | | Or |
| Any Secondary Diagnosis Code in List - West Nile fever | = | 0664 | | Or |
| Any Secondary Diagnosis Code in List - SEPTICEMIA (SEPSIS) OFNEWBORN | = | 77181 | | Or |
| Any Secondary Diagnosis Code in List - Septic shock | = | 78552 | | Or |
| Any Secondary Diagnosis Code in List - SYSTEMIC INFLAMM RESPONSE SYNDR UNSPEC - SYS INFLAM RESP SYND NONINF W/ ORG DYSFX | Between | 99590 | 99594 | Or |
| Any Secondary Diagnosis Code in List - Toxic shock syndrome | = | 04082 | | Or |
| Any Secondary Diagnosis Code in List - | = | 0522 | | Or |
| Any Secondary Diagnosis Code in List - | = | 05314 | | Or |
| Any Secondary Diagnosis Code in List - | = | 05474 | | Or |
| Any Secondary Diagnosis Code in List - | Between | 32301 | 32302 | Or |
| Any Secondary Diagnosis Code in List - | Between | 32341 | 32342 | Or |
| Any Secondary Diagnosis Code in List - | Between | 32351 | 32352 | Or |
| Any Secondary Diagnosis Code in List - | Between | 32361 | 32362 | Or |
| Any Secondary Diagnosis Code in List - | Between | 37960 | 37963 | Or |
| Any Secondary Diagnosis Code in List - | Between | 52300 | 52301 | Or |

Group Name: INF-2 Infection as Secondary Diagnosis

Any Secondary Diagnosis Code in List Between 52310 52311 Or

-
-

Any Secondary Diagnosis Code in List Between 52330 52333 Or

-
-

Any Secondary Diagnosis Code in List Between 52340 52342

-
-

Group Name: TR-1 Trauma as Principal Diagnosis

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - CLOSED SKULL VAULT FX - FX LEGS W ARM/RIB-OPEN | Between | 80000 | 8281 | Or |
| Principal Diagnosis Code - CONCUSSION W/O COMA - OPN BRAIN INJ-CONCUSSION | Between | 8500 | 85419 | Or |
| Principal Diagnosis Code - TRAUM PNEUMOTHORAX-CLOSE - INTERNAL INJURY NOS-OPEN | Between | 8600 | 8691 | Or |
| Principal Diagnosis Code - INJUR CAROTID ARTERY NOS - BLOOD VESSEL INJURY NOS | Between | 90000 | 9049 | Or |
| Principal Diagnosis Code - CRUSH INJ FACE SCALP - CRUSHING INJURY NOS | Between | 9251 | 9299 | Or |
| Principal Diagnosis Code - CHEMICAL BURN PERIOcular - 3RD BURN W LOSS-SITE NOS | Between | 9400 | 9495 | Or |
| Principal Diagnosis Code - OPTIC NERVE INJURY - EARLY COMPLIC TRAUMA NEC | Between | 9500 | 9588 | Or |
| Principal Diagnosis Code - Other injury of chest wall - Other injury of other sites of trunk | Between | 95911 | 95919 | |

| |
|---|
| <i>Group Name: TR-2</i> Trauma as a Secondary Diagnosis |
|---|

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Secondary Diagnosis Code in List - CLOSED SKULL VAULT FX - FX LEGS W ARM/RIB-OPEN | Between | 80000 | 8281 | Or |
| Any Secondary Diagnosis Code in List - CONCUSSION W/O COMA - OPN BRAIN INJ-CONCUSSION | Between | 8500 | 85419 | Or |
| Any Secondary Diagnosis Code in List - TRAUM PNEUMOTHORAX-CLOSE - INTERNAL INJURY NOS-OPEN | Between | 8600 | 8691 | Or |
| Any Secondary Diagnosis Code in List - INJUR CAROTID ARTERY NOS - BLOOD VESSEL INJURY NOS | Between | 90000 | 9049 | Or |
| Any Secondary Diagnosis Code in List - CRUSH INJ FACE SCALP - CRUSHING INJURY NOS | Between | 9251 | 9299 | Or |
| Any Secondary Diagnosis Code in List - CHEMICAL BURN PERIOcular - 3RD BURN W LOSS-SITE NOS | Between | 9400 | 9495 | Or |
| Any Secondary Diagnosis Code in List - OPTIC NERVE INJURY - EARLY COMPLIC TRAUMA NEC | Between | 9500 | 9588 | Or |
| Any Secondary Diagnosis Code in List - Other injury of chest wall - Other injury of other sites of trunk | Between | 95911 | 95919 | |

Group Name: LOS-4 Length of Stay = 4 days or more

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|-----------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Length of Stay | >= | 4 | | |

Group Name: LOS-7 Length of Stay = 7 days or more

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|-----------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Length of Stay | >= | 7 | | |

Group Name: *TRANS* Transfer from SNF or other facility

Comments: This category identifies patient who were transferred from a skilled nursing facility or other hospitals or health care facilities. Refer to HCFA 1450 (UB-92) form locator 20, source of admission, with value 4, 5, 6, or A to identify these patients. FL20 is also identified as EMC record field 20:11.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Source of Admission | Between | 4 | 6 | Or |
| Source of Admission | = | A | | |

Readmission Definitions with Title

Readmission Number: 01 All Patients

Risk Group:

| | | |
|-----|--------------|--|
| G01 | All Patients | |
|-----|--------------|--|

Comments: This general category identifies all readmissions, regardless of reason for either index or readmission. User has option to set time intervals between the two admissions.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|-------------------------|-----------------------|-----------------|---------------|--------------------|
| Group - All Patients | = | G01 | | |

Strength **Label**

| | |
|-------|--|
| 1 | Descriptive Measures |
| Notes | Captures readmits as a rate based descriptive measure with time intervals between admits set by user. The need to examine readmissions is broadly discussed in the literature. |

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| | | | | (|
| | | | | (|
| Principal Diagnosis Code - GAS GANGRENE | = | 0400 | | Or |
| Principal Diagnosis Code - STREPTOCOCCUS UNSPECF - STREPTOCOCCUS GROUP G | Between | 04100 | 04105 | Or |
| Principal Diagnosis Code - OTHER STREPTOCOCCUS - STAPHYLOCOCCUS AUREUS | Between | 04109 | 04111 | Or |
| Principal Diagnosis Code - OTHER STAPHYLOCOCCUS | = | 04119 | | Or |
| Principal Diagnosis Code - PNEUMOCOCCUS INFECT NOS - PSEUDOMONAS INFECT NOS | Between | 0412 | 0417 | Or |
| Principal Diagnosis Code - MYCOPLASMA - HELICOBACTER PYLORI | Between | 04181 | 04186 | Or |
| Principal Diagnosis Code - OTH SPECF BACTERIA | = | 04189 | | Or |
| Principal Diagnosis Code - BACTERIAL INFECTION NOS | = | 0419 | | Or |
| Principal Diagnosis Code - HUMAN IMMUNO VIRUS DIS | = | 042 | | Or |
| Principal Diagnosis Code - Postvaricella myelitis | = | 0522 | | Or |
| Principal Diagnosis Code - Herpes zoster myelitis | = | 05314 | | Or |
| Principal Diagnosis Code - Herpes simplex myelitis | = | 05474 | | Or |
| Principal Diagnosis Code - HEMOPHILUS MENINGITIS - STAPHYLOCOCC MENINGITIS | Between | 3200 | 3203 | Or |
| Principal Diagnosis Code - MENING IN OTH BACT DIS | = | 3207 | | Or |
| Principal Diagnosis Code - ANAEROBIC MENINGITIS - MNINGTS GRAM-NEG BCT NEC | Between | 32081 | 32082 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - MENINGITIS OTH SPCF BACT - MENINGIT D/T SARCOIDOSIS | Between | 32089 | 3214 | Or |
| Principal Diagnosis Code - MENING IN OTH NONBAC DIS | = | 3218 | | Or |
| Principal Diagnosis Code - NONPYOGENIC MENINGITIS - CHRONIC MENINGITIS | Between | 3220 | 3222 | Or |
| Principal Diagnosis Code - MENINGITIS NOS - PROTOZOAL ENCEPHALITIS | Between | 3229 | 3232 | Or |
| Principal Diagnosis Code - OTH ENCEPHALIT D/T INFEC* - POSTIMMUNIZAT ENCEPHALIT* | Between | 3234 | 3235 | Or |
| Principal Diagnosis Code - POSTINFECT ENCEPHALITIS* - Postinfectious myelitis | Between | 3236 | 32363 | Or |
| Principal Diagnosis Code - INTRACRANIAL ABSCESS - INTRASPINAL ABSCESS | Between | 3240 | 3241 | Or |
| Principal Diagnosis Code - CNS ABSCESS NOS | = | 3249 | | Or |
| Principal Diagnosis Code - Acute (transverse) myelitis - Acute myelitis in oth conditions | Between | 34120 | 34121 | Or |
| Principal Diagnosis Code - LUMBAR PUNCTURE REACTION | = | 3490 | | Or |
| Principal Diagnosis Code - ACUTE CONJUNCTIVITIS NOS - AC ATOPIC CONJUNCTIVITIS | Between | 37200 | 37205 | Or |
| Principal Diagnosis Code - Inflammation (inf) postproc bleb, unspec - Inflammation (inf) postproc bleb, stage 3 | Between | 37960 | 37963 | Or |
| Principal Diagnosis Code - AMI ANTEROLATERAL, INIT | = | 41001 | | Or |
| Principal Diagnosis Code - AMI ANTERIOR WALL, INIT | = | 41011 | | Or |
| Principal Diagnosis Code - AMI INFEROLATERAL, INIT | = | 41021 | | Or |
| Principal Diagnosis Code - AMI INFEROPOST, INITIAL | = | 41031 | | Or |
| Principal Diagnosis Code - AMI INFERIOR WALL, INIT | = | 41041 | | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - AMI LATERAL NEC, INITIAL | = | 41051 | | Or |
| Principal Diagnosis Code - TRUE POST INFARCT, INIT | = | 41061 | | Or |
| Principal Diagnosis Code - SUBENDO INFARCT, INITIAL | = | 41071 | | Or |
| Principal Diagnosis Code - AMI NEC, INITIAL | = | 41081 | | Or |
| Principal Diagnosis Code - AMI NOS, INITIAL | = | 41091 | | Or |
| Principal Diagnosis Code - IATROGEN PULM EMB/INFARC | = | 41511 | | Or |
| Principal Diagnosis Code - PULM EMBOL/INFARCT NEC | = | 41519 | | Or |
| Principal Diagnosis Code - AC MYOCARDIT IN OTH DIS | = | 4220 | | Or |
| Principal Diagnosis Code - ACUTE MYOCARDITIS NOS | = | 42290 | | Or |
| Principal Diagnosis Code - SEPTIC MYOCARDITIS | = | 42292 | | Or |
| Principal Diagnosis Code - ATRIOVENT BLOCK COMPLETE | = | 4260 | | Or |
| Principal Diagnosis Code - VENTRICULAR FIBRILLATION - VENTRICULAR FLUTTER | Between | 42741 | 42742 | Or |
| Principal Diagnosis Code - CARDIAC ARREST | = | 4275 | | Or |
| Principal Diagnosis Code - CONGESTIVE HEART FAILURE - LEFT HEART FAILURE | Between | 4280 | 4281 | Or |
| Principal Diagnosis Code - HRT DIS POSTCARDIAC SURG | = | 4294 | | Or |
| Principal Diagnosis Code - OCL BSLR ART W INFRCT | = | 43301 | | Or |
| Principal Diagnosis Code - OCL CRTD ART W INFRCT | = | 43311 | | Or |
| Principal Diagnosis Code - OCL VRTB ART W INFRCT | = | 43321 | | Or |
| Principal Diagnosis Code - OCL MLT BI ART W INFRCT | = | 43331 | | Or |
| Principal Diagnosis Code - OCL SPCF ART W INFRCT | = | 43381 | | Or |

| |
|--|
| <i>Readmission Number: 02</i> Post Procedure Complications |
|--|

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - OCL ART NOS W INFRCT | = | 43391 | | Or |
| Principal Diagnosis Code - CRBL THRMBS W INFRCT | = | 43401 | | Or |
| Principal Diagnosis Code - CRBL EMBLSM W INFRCT | = | 43411 | | Or |
| Principal Diagnosis Code - CRBL ART OCL NOS W INFRC | = | 43491 | | Or |
| Principal Diagnosis Code - CVA | = | 436 | | Or |
| Principal Diagnosis Code - SUPERFIC PHLEBITIS-LEG | = | 4510 | | Or |
| Principal Diagnosis Code - FEMORAL VEIN PHLEBITIS | = | 45111 | | Or |
| Principal Diagnosis Code - DEEP PHLEBITIS-LEG NEC | = | 45119 | | Or |
| Principal Diagnosis Code - AC MAXILLARY SINUSITIS - AC SPHENOIDAL SINUSITIS | Between | 4610 | 4613 | Or |
| Principal Diagnosis Code - OTHER ACUTE SINUSITIS - ACUTE SINUSITIS NOS | Between | 4618 | 4619 | Or |
| Principal Diagnosis Code - ACUTE PHARYNGITIS - AC EPIGLOTTITIS W OBSTR | Between | 462 | 46431 | Or |
| Principal Diagnosis Code - ACUTE BRONCHITIS | = | 4660 | | Or |
| Principal Diagnosis Code - ACU BRONCHOLITIS D/T RSV | = | 46611 | | Or |
| Principal Diagnosis Code - ACU BRNCHLTS D/T OTH ORG | = | 46619 | | Or |
| Principal Diagnosis Code - PERITONSILLAR ABSCESS | = | 475 | | Or |
| Principal Diagnosis Code - PARAPHARYNGEAL ABSCESS | = | 47822 | | Or |
| Principal Diagnosis Code - RETROPHARYNGEAL ABSCESS | = | 47824 | | Or |
| Principal Diagnosis Code - K. PNEUMONIAE PNEUMONIA - H.INFLUENZAE PNEUMONIA | Between | 4820 | 4822 | Or |
| Principal Diagnosis Code - STREPTOCOCCAL PNEUMN NOS - PNEUMONIA STRPTOCOCCUS B | Between | 48230 | 48232 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - PNEUMONIA OTH STREP - STAPH AUREUS PNEUMONIA | Between | 48239 | 48241 | Or |
| Principal Diagnosis Code - STAPH PNEUMONIA NEC | = | 48249 | | Or |
| Principal Diagnosis Code - PNEUMONIA ANAEROBES - LEGIONNAIRES' DISEASE | Between | 48281 | 48284 | Or |
| Principal Diagnosis Code - PNEUMONIA OTH SPCF BACT | = | 48289 | | Or |
| Principal Diagnosis Code - PNEU MYCPLSM PNEUMONIAE - PNEUMONIA D/T CHLAMYDIA | Between | 4830 | 4831 | Or |
| Principal Diagnosis Code - PNEUMON OTH SPEC ORGNM | = | 4838 | | Or |
| Principal Diagnosis Code - BRONCHOPNEUMONIA ORG NOS - PNEUMONIA, ORGANISM NOS | Between | 485 | 486 | Or |
| Principal Diagnosis Code - INFLUENZA WITH PNEUMONIA - FLU W RESP MANIFEST NEC | Between | 4870 | 4871 | Or |
| Principal Diagnosis Code - FLU W MANIFESTATION NEC | = | 4878 | | Or |
| Principal Diagnosis Code - BRONCHITIS NOS | = | 490 | | Or |
| Principal Diagnosis Code - FOOD/VOMIT PNEUMONITIS | = | 5070 | | Or |
| Principal Diagnosis Code - IATROGENIC PNEUMOTHORAX | = | 5121 | | Or |
| Principal Diagnosis Code - ABSCESS OF MEDIASTINUM | = | 5131 | | Or |
| Principal Diagnosis Code - PULMONARY COLLAPSE | = | 5180 | | Or |
| Principal Diagnosis Code - ACUTE LUNG EDEMA NOS | = | 5184 | | Or |
| Principal Diagnosis Code - POST TRAUM PULM INSUFFIC | = | 5185 | | Or |
| Principal Diagnosis Code - ACUTE RESPIRATRY FAILURE - OTHER PULMONARY INSUFF | Between | 51881 | 51882 | Or |
| Principal Diagnosis Code - ACUTE & CHRONC RESP FAIL | = | 51884 | | Or |

| |
|--|
| <i>Readmission Number: 02</i> Post Procedure Complications |
|--|

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - TRACHEOSTOMY COMP NOS - TRACHEOSTOMY - MECH COMP | Between | 51900 | 51902 | Or |
| Principal Diagnosis Code - TRACHEOSTOMY COMP NEC | = | 51909 | | Or |
| Principal Diagnosis Code - Acute gingivitis, plaque induced - Chronic periodontitis, generalized | Between | 52300 | 52342 | Or |
| Principal Diagnosis Code - SALIVARY GLAND ABSCESS | = | 5273 | | Or |
| Principal Diagnosis Code - Infection of esophagostomy - Mechanical complication of esophagostomy | Between | 53086 | 53087 | Or |
| Principal Diagnosis Code - GASTROSTOMY COMP NOS - GASTROSTOMY COMP - MECH | Between | 53640 | 53642 | Or |
| Principal Diagnosis Code - GASTROSTOMY COMP NEC | = | 53649 | | Or |
| Principal Diagnosis Code - ANAL & RECTAL ABSCESS | = | 566 | | Or |
| Principal Diagnosis Code - PERITONITIS IN INFEC DIS - SUPPURAT PERITONITIS NEC | Between | 5670 | 5672 | Or |
| Principal Diagnosis Code - PERITONITIS NEC - PERITONITIS NOS | Between | 5678 | 5679 | Or |
| Principal Diagnosis Code - COLSTOMY/ENTER COMP NOS - COLOSTY/ENTER COMP-MECH | Between | 56960 | 56962 | Or |
| Principal Diagnosis Code - COLSTMY/ENTEROS COMP NEC | = | 56969 | | Or |
| Principal Diagnosis Code - STRICTURE OF URETER - URETERIC OBSTRUCTION NEC | Between | 5933 | 5934 | Or |
| Principal Diagnosis Code - POSTOP URETHRAL STRICTUR | = | 5982 | | Or |
| Principal Diagnosis Code - ORCHITIS WITH ABSCESS | = | 6040 | | Or |
| Principal Diagnosis Code - SEMINAL VESICULITIS | = | 6080 | | Or |
| Principal Diagnosis Code - MALE GEN INFLAM DIS NEC | = | 6084 | | Or |

| |
|--|
| <i>Readmission Number: 02</i> Post Procedure Complications |
|--|

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - AC PELV PERITONITIS-FEM | = | 6145 | | Or |
| Principal Diagnosis Code - BARTHOLIN'S GLND ABSCESS - ABSCESS OF VULVA NEC | Between | 6163 | 6164 | Or |
| Principal Diagnosis Code - CARBUNCLE OF FACE - CARBUNCLE NOS | Between | 6800 | 6809 | Or |
| Principal Diagnosis Code - CELLULITIS, FINGER NOS - ONYCHIA OF FINGER | Between | 68100 | 68102 | Or |
| Principal Diagnosis Code - CELLULITIS, TOE NOS - ONYCHIA OF TOE | Between | 68110 | 68111 | Or |
| Principal Diagnosis Code - CELLULITIS OF DIGIT NOS - PILONIDAL CYST W ABSCESS | Between | 6819 | 6850 | Or |
| Principal Diagnosis Code - PYODERMA NOS - PYODERMA GANGRENOSUM | Between | 68600 | 68601 | Or |
| Principal Diagnosis Code - PYODERMA NEC | = | 68609 | | Or |
| Principal Diagnosis Code - PYOGENIC GRANULOMA | = | 6861 | | Or |
| Principal Diagnosis Code - LOCAL SKIN INFECTION NEC - LOCAL SKIN INFECTION NOS | Between | 6868 | 6869 | Or |
| Principal Diagnosis Code - LOCAL SKIN INFECTION NOS | = | 6869 | | Or |
| Principal Diagnosis Code - MATERNAL INFEC AFF NB | = | 7602 | | Or |
| Principal Diagnosis Code - CONGENITAL INFEC NEC - PERINATAL INFECTION NEC | Between | 7712 | 7718 | Or |
| Principal Diagnosis Code - SHOCK NOS - CARDIOGENIC SHOCK | Between | 78550 | 78551 | Or |
| Principal Diagnosis Code - SHOCK W/O TRAUMA NEC | = | 78559 | | Or |
| Principal Diagnosis Code - RESPIRATORY ARREST | = | 7991 | | Or |
| Principal Diagnosis Code - POSTTRAUM WND INFEC NEC - TRAUMATIC SHOCK | Between | 9583 | 9584 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - SHOCK DUE TO ANESTHESIA | = | 9954 | | Or |
| Principal Diagnosis Code - MALIGNANT HYPERTHERMIA | = | 99586 | | Or |
| Principal Diagnosis Code - ADVERSE EFFECT NEC | = | 99589 | | Or |
| Principal Diagnosis Code - MALFUNC CARD DEV/GRF NOS - MCH CMP AUTM MPLNT DFBRL | Between | 99600 | 99604 | Or |
| Principal Diagnosis Code - MALFUNC CARD DEV/GRF NEC | = | 99609 | | Or |
| Principal Diagnosis Code - MALFUNC VASC DEVICE/GRAF - MALFUN NEURO DEVICE/GRAF | Between | 9961 | 9962 | Or |
| Principal Diagnosis Code - MALFUNC GU DEV/GRAFT NOS - MALFUNCTION IUD | Between | 99630 | 99632 | Or |
| Principal Diagnosis Code - MALFUNC GU DEV/GRAFT NEC | = | 99639 | | Or |
| Principal Diagnosis Code - MALF INT ORTHPED DEV/GRF | = | 9964 | | Or |
| Principal Diagnosis Code - CORNEAL GRFT MALFUNCTION - OTH TISSUE GRAFT MALFUNC | Between | 99651 | 99652 | Or |
| Principal Diagnosis Code - BREAST PROSTH MALFUNC - COMP-PERITON DIALYS CATH | Between | 99654 | 99656 | Or |
| Principal Diagnosis Code - Complication, Due to insulin pump - REACT-CARDIAC DEV/GRAFT | Between | 99657 | 99661 | Or |
| Principal Diagnosis Code - COMP-UNSP DEVICE/GRAFT - COMP OTH ORGAN TRANSPLNT | Between | 99670 | 99689 | Or |
| Principal Diagnosis Code - NERVOUS SYST COMPLC NOS - IATROGEN CV INFARC/HMRHG | Between | 99700 | 99702 | Or |
| Principal Diagnosis Code - SURG COMP NERV SYSTM NEC | = | 99709 | | Or |
| Principal Diagnosis Code - SURG COMPL-HEART - SURG COMPL-URINARY TRACT | Between | 9971 | 9975 | Or |
| Principal Diagnosis Code - AMPUTAT STUMP COMPL NOS - INFECTION AMPUTAT STUMP | Between | 99760 | 99762 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - AMPUTAT STUMP COMPL NEC | = | 99769 | | Or |
| Principal Diagnosis Code - VASC COMP MESENTERIC ART - VASCULAR COMP VESSEL NEC | Between | 99771 | 99779 | Or |
| Principal Diagnosis Code - SURG COMP - HYPERTENSION | = | 99791 | | Or |
| Principal Diagnosis Code - SURG COMPL-BODY SYST NEC | = | 99799 | | Or |
| Principal Diagnosis Code - POSTOPERATIVE SHOCK | = | 9980 | | Or |
| Principal Diagnosis Code - HEMORRHAGE COMPLIC PROC - SEROMA COMPLICATING PROC | Between | 99811 | 99813 | Or |
| Principal Diagnosis Code - ACCIDENTAL OP LACERATION - FB LEFT DURING PROCEDURE | Between | 9982 | 9984 | Or |
| Principal Diagnosis Code - INFECTED POSTOP SEROMA | = | 99851 | | Or |
| Principal Diagnosis Code - OTHER POSTOP INFECTION | = | 99859 | | Or |
| Principal Diagnosis Code - PERSIST POSTOP FISTULA - POSTOP FORGN SUBST REACT | Between | 9986 | 9987 | Or |
| Principal Diagnosis Code - EMPHYSEMA RESULT FRM PROC - NON-HEALING SURGCL WOUND | Between | 99881 | 99883 | Or |
| Principal Diagnosis Code - OTH SPCF CMPLC PROCD NEC | = | 99889 | | Or |
| Principal Diagnosis Code - SURGICAL COMPLICAT NOS | = | 9989 | | Or |
| Principal Diagnosis Code - GENERALIZED VACCINIA | = | 9990 | | Or |
| Principal Diagnosis Code - COMPLIC MED CARE NEC/NOS | = | 9999 | | Or |
| Principal Procedure Code - REOPEN CRANIOTOMY SITE | = | 0123 | | Or |
| Principal Procedure Code - REPLACE VENTRICLE SHUNT | = | 0242 | | Or |
| Principal Procedure Code - REOPEN LAMINECTOMY SITE | = | 0302 | | Or |
| Principal Procedure Code - REVISE SPINE THECA SHUNT | = | 0397 | | Or |

| |
|--|
| <i>Readmission Number: 02</i> Post Procedure Complications |
|--|

| | | | | |
|--|---------|------|------|----|
| Principal Procedure Code - POSTOP REVIS PER NERV OP | = | 0475 | | Or |
| Principal Procedure Code - REOPEN THYROID FIELD WND | = | 0602 | | Or |
| Principal Procedure Code - REDUC OVERCORRECT PTOSIS | = | 0837 | | Or |
| Principal Procedure Code - POSTOP REVIS SCL FISTUL | = | 1266 | | Or |
| Principal Procedure Code - REVIS ANT SEG OP WND NEC | = | 1283 | | Or |
| Principal Procedure Code - REVIS EXTRAOC MUSC SURG | = | 156 | | Or |
| Principal Procedure Code - REVIS/REINSERT OCUL IMP - ENUC SOCKET REVIS NEC | Between | 1662 | 1664 | Or |
| Principal Procedure Code - REVIS EXENTER CAVITY NEC | = | 1666 | | Or |
| Principal Procedure Code - REV STAPDEC W INCUS REPL | = | 1921 | | Or |
| Principal Procedure Code - STAPEDECTOMY REVIS NEC | = | 1929 | | Or |
| Principal Procedure Code - TYMPANOPLASTY REVISION | = | 196 | | Or |
| Principal Procedure Code - REVIS INNER EAR FENESTRA | = | 2062 | | Or |
| Principal Procedure Code - MASTOIDECTOMY REVISION | = | 2092 | | Or |
| Principal Procedure Code - HEMORR CONTRL POST T & A | = | 287 | | Or |
| Principal Procedure Code - LARYNGOSTOMY REVISION | = | 3163 | | Or |
| Principal Procedure Code - REVISION OF TRACHEOSTOMY | = | 3174 | | Or |
| Principal Procedure Code - REOPEN THORACOTOMY SITE | = | 3403 | | Or |
| Principal Procedure Code - HEART REPAIR REVISION | = | 3595 | | Or |
| Principal Procedure Code - REVISION OF LEAD | = | 3775 | | Or |
| Principal Procedure Code - REVIS OR RELOCATE CARDIAC DEVICE POCKET | = | 3779 | | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|--|---------|------|------|----|
| Principal Procedure Code - REVISE OR REMOVE PACEMAK | = | 3789 | | Or |
| Principal Procedure Code - POSTOP VASC OP HEM CONTR - REVIS REN DIALYSIS SHUNT | Between | 3941 | 3942 | Or |
| Principal Procedure Code - VASC PROC REVISION NEC | = | 3949 | | Or |
| Principal Procedure Code - REPLAC VES-TO-VES CANNUL | = | 3994 | | Or |
| Principal Procedure Code - HEMORRHAGE CONTROL NOS | = | 3998 | | Or |
| Principal Procedure Code - REVISION GASTRIC ANASTOM | = | 445 | | Or |
| Principal Procedure Code - INTEST STOMA REVIS NOS - LG BOWEL STOMA REVIS NEC | Between | 4640 | 4643 | Or |
| Principal Procedure Code - REVISE SM BOWEL ANASTOM - REVISE LG BOWEL ANASTOM | Between | 4693 | 4694 | Or |
| Principal Procedure Code - CONTROL ANAL HEMORRHAGE | = | 4995 | | Or |
| Principal Procedure Code - REVIS BILE TRACT ANASTOM | = | 5194 | | Or |
| Principal Procedure Code - REOPEN RECENT LAP SITE | = | 5412 | | Or |
| Principal Procedure Code - RECLOSE POST OP DISRUPT | = | 5461 | | Or |
| Principal Procedure Code - REVIS CUTAN ILEOURETEROS | = | 5652 | | Or |
| Principal Procedure Code - REVIS CUTAN URETEROS NEC | = | 5662 | | Or |
| Principal Procedure Code - REVIS URETEROENTEROSTOMY | = | 5672 | | Or |
| Principal Procedure Code - REVISE CLO VESICOSTOMY | = | 5722 | | Or |
| Principal Procedure Code - CONTROL BLADD HEMORRHAGE | = | 5793 | | Or |
| Principal Procedure Code - CONTROL PROSTATE HEMORR | = | 6094 | | Or |
| Principal Procedure Code - REVISE HIP REPLACEMENT NOS | = | 8153 | | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|---|---------|-------|-------|-----------|
| Principal Procedure Code - REVISE KNEE REPLACEMENT NOS | = | 8155 | | Or |
| Principal Procedure Code - REV JT REPL LOW EXT NEC | = | 8159 | | Or |
| Principal Procedure Code - REV JT REPL UPPER EXTREM | = | 8197 | | Or |
| Principal Procedure Code - AMPUTATION STUMP REVIS | = | 843 | | Or |
| Principal Procedure Code - REVISION OF PEDICLE GRFT | = | 8675 | |) Or (|
| | | | | (|
| Principal Procedure Code - ENDOSC CONTROL GAST HEM - TRANSCATH EMBO GAST HEM | Between | 4443 | 4444 | Or |
| Principal Procedure Code - OTHER CONTROL GAST HEM | = | 4449 | |) |
| | | | | And Not (|
| Principal Diagnosis Code - AC STOMACH ULCER W HEM - AC STOMACH ULC W HEM-OBST | Between | 53100 | 53101 | Or |
| Principal Diagnosis Code - AC STOMACH ULCER W PERF - AC STOM ULC W PERF-OBST | Between | 53110 | 53111 | Or |
| Principal Diagnosis Code - AC STOMACH ULC W HEM/PERF - AC STOM ULC HEM/PERF-OBS | Between | 53120 | 53121 | Or |
| Principal Diagnosis Code - ACUTE STOMACH ULCER NOS - AC STOMACH ULC NOS-OBSTR | Between | 53130 | 53131 | Or |
| Principal Diagnosis Code - CHR STOMACH ULC W HEM - CHR STOM ULC W HEM-OBSTR | Between | 53140 | 53141 | Or |
| Principal Diagnosis Code - CHR STOMACH ULCER W PERF - CHR STOM ULC W PERF-OBST | Between | 53150 | 53151 | Or |
| Principal Diagnosis Code - CHR STOMACH ULC HEM/PERF - CHR STOM ULC HEM/PERF-OB | Between | 53160 | 53161 | Or |
| Principal Diagnosis Code - CHR STOMACH ULCER NOS - CHR STOMACH ULC NOS-OBST | Between | 53170 | 53171 | Or |
| Principal Diagnosis Code - STOMACH ULCER NOS - STOMACH ULCER NOS-OBSTR | Between | 53190 | 53191 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - AC DUODENAL ULCER W HEM - AC DUODEN ULC W HEM-OBST | Between | 53200 | 53201 | Or |
| Principal Diagnosis Code - AC DUODENAL ULCER W PERF - AC DUODEN ULC PERF-OBSTR | Between | 53210 | 53211 | Or |
| Principal Diagnosis Code - AC DUODEN ULC W HEM/PERF - AC DUOD ULC HEM/PERF-OBS | Between | 53220 | 53221 | Or |
| Principal Diagnosis Code - ACUTE DUODENAL ULCER NOS - AC DUODENAL ULC NOS-OBST | Between | 53230 | 53231 | Or |
| Principal Diagnosis Code - CHR DUODEN ULCER W HEM - CHR DUODEN ULC HEM-OBSTR | Between | 53240 | 53241 | Or |
| Principal Diagnosis Code - CHR DUODEN ULCER W PERF - CHR DUODEN ULC PERF-OBST | Between | 53250 | 53251 | Or |
| Principal Diagnosis Code - CHR DUODEN ULC HEM/PERF - CHR DUOD ULC HEM/PERF-OB | Between | 53260 | 53261 | Or |
| Principal Diagnosis Code - CHR DUODENAL ULCER NOS - CHR DUODEN ULC NOS-OBSTR | Between | 53270 | 53271 | Or |
| Principal Diagnosis Code - DUODENAL ULCER NOS - DUODENAL ULCER NOS-OBSTR | Between | 53290 | 53291 | Or |
| Principal Diagnosis Code - AC PEPTIC ULCER W HEMORR - AC PEPTIC ULC W HEM-OBST | Between | 53300 | 53301 | Or |
| Principal Diagnosis Code - AC PEPTIC ULCER W PERFOR - AC PEPTIC ULC W PERF-OBS | Between | 53310 | 53311 | Or |
| Principal Diagnosis Code - AC PEPTIC ULC W HEM/PERF - AC PEPT ULC HEM/PERF-OBS | Between | 53320 | 53321 | Or |
| Principal Diagnosis Code - ACUTE PEPTIC ULCER NOS - AC PEPTIC ULCER NOS-OBST | Between | 53330 | 53331 | Or |
| Principal Diagnosis Code - CHR PEPTIC ULCER W HEM - CHR PEPTIC ULC W HEM-OBS | Between | 53340 | 53341 | Or |
| Principal Diagnosis Code - CHR PEPTIC ULCER W PERF - CHR PEPTIC ULC PERF-OBST | Between | 53350 | 53351 | Or |

Readmission Number: 02 Post Procedure Complications

| | | | | |
|--|---------|-------|-------|-----|
| Principal Diagnosis Code - CHR PEPT ULC W HEM/PERF - CHR PEPT ULC HEM/PERF-OB | Between | 53360 | 53361 | Or |
| Principal Diagnosis Code - CHRONIC PEPTIC ULCER NOS - CHR PEPTIC ULCER NOS-OBS | Between | 53370 | 53371 | Or |
| Principal Diagnosis Code - PEPTIC ULCER NOS - PEPTIC ULCER NOS-OBSTRUC | Between | 53390 | 53391 | Or |
| Principal Diagnosis Code - AC MARGINAL ULCER W HEM - AC MARGIN ULC W HEM-OBST | Between | 53400 | 53401 | Or |
| Principal Diagnosis Code - AC MARGINAL ULCER W PERF - AC MARGIN ULC W PERF-OBS | Between | 53410 | 53411 | Or |
| Principal Diagnosis Code - AC MARGIN ULC W HEM/PERF - AC MARG ULC HEM/PERF-OBS | Between | 53420 | 53421 | Or |
| Principal Diagnosis Code - AC MARGINAL ULCER NOS - AC MARGINAL ULC NOS-OBST | Between | 53430 | 53431 | Or |
| Principal Diagnosis Code - CHR MARGINAL ULCER W HEM - CHR MARGIN ULC W HEM-OBS | Between | 53440 | 53441 | Or |
| Principal Diagnosis Code - CHR MARGINAL ULC W PERF - CHR MARGIN ULC PERF-OBST | Between | 53450 | 53451 | Or |
| Principal Diagnosis Code - CHR MARGIN ULC HEM/PERF - CHR MARG ULC HEM/PERF-OB | Between | 53460 | 53461 | Or |
| Principal Diagnosis Code - CHR MARGINAL ULCER NOS - CHR MARGINAL ULC NOS-OBS | Between | 53470 | 53471 | Or |
| Principal Diagnosis Code - GASTROJEJUNAL ULCER NOS - GASTROJEJUN ULC NOS-OBST | Between | 53490 | 53491 |))) |
| | | | | End |
| Principal Diagnosis Code - Acute post-thoracotomy pain | = | 33812 | | Or |
| Principal Diagnosis Code - Other acute postoperative pain | = | 33818 | | Or |
| Principal Diagnosis Code - Chronic post-thoracotomy pain | = | 33822 | | Or |

Readmission Number: 02 Post Procedure Complications

Principal Diagnosis Code = 33828 Or
- Other chronic postoperative pain
Principal Diagnosis Code Between 37960 37963 End
- Inflammation (inf) postproc bleb, unspec
- Inflammation (inf) postproc bleb, stage 3

Readmission Number: 03 Diabetes Mellitus

Risk Group:

Comments: This category excludes admissions for destruction of lesions of retina and choroid by any means. The procedure codes used include destruction of chorioretinopathy only, and no other retinal surgeries.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - DMII WO CMP NT ST UNCNR - DMI UNSPF UNCNRD | Between | 25000 | 25093 | Or |
| Principal Diagnosis Code - DYSMETABOLIC SYNDROME X | = | 2777 | |) |
| Any Procedure Code in List - CHORIORET LES DIATHERMY - CHORIORET LES RAD IMPLAN | Between | 1421 | 1427 | And Not (Or |
| Any Procedure Code in List - CHORIORET LES DESTR NEC | = | 1429 | |) |

Readmission Number: 04 COPD

Risk Group:

Comments: Rules for this category are based on Aston reference, except for new codes added since that study, and excluding asthma, which is in a separate category.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - IATROGEN PULM EMB/INFARC | = | 41511 | | Or |
| Group - COPD | = | G04 | | Or |
| Principal Diagnosis Code - PULM EMBOL/INFARCT NEC | = | 41519 | | Or |
| Principal Diagnosis Code - CHR PULMON HEART DIS NEC - CHR PULMON HEART DIS NOS | Between | 4168 | 4169 | Or |
| Principal Diagnosis Code - ACUTE BRONCHITIS | = | 4660 | | Or |
| Principal Diagnosis Code - ACU BRONCHOLITIS D/T RSV | = | 46611 | | Or |
| Principal Diagnosis Code - ACU BRNCHLTS D/T OTH ORG | = | 46619 | | Or |
| Principal Diagnosis Code - ADENOVIRAL PNEUMONIA - INFLUENZA WITH PNEUMONIA | Between | 4800 | 4870 | Or |
| Principal Diagnosis Code - SPONT TENS PNEUMOTHORAX - IATROGENIC PNEUMOTHORAX | Between | 5120 | 5121 | Or |
| Principal Diagnosis Code - SPONT PNEUMOTHORAX NEC | = | 5128 | | Or |
| Principal Diagnosis Code - PULMONARY COLLAPSE | = | 5180 | | Or |
| Principal Diagnosis Code - ACUTE RESPIRATRY FAILURE - OTHER PULMONARY INSUFF | Between | 51881 | 51882 | Or |
| Principal Diagnosis Code - ACUTE & CHRONC RESP FAIL | = | 51884 | | Or |
| Principal Diagnosis Code - RESPIRATORY ABNORM NOS - HYPERVENTILATION | Between | 78600 | 78601 | Or |
| Principal Diagnosis Code - APNEA - WHEEZING | Between | 78603 | 78607 | Or |

Readmission Number: 04 COPD

Principal Diagnosis Code = 78609 Or
- RESPIRATORY ABNORM NEC
Principal Diagnosis Code = 7991
- RESPIRATORY ARREST

Readmission Number: 05 Heart Failure

Risk Group:

Comments: This category includes all codes used by Ashton except fluid overload (276.6), edema (782.3) and orthopnea (786.02). We used fluid overload only in category of transfusion and infusion complications.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - RHEUMATIC HEART FAILURE | = | 39891 | | Or |
| Principal Diagnosis Code - MAL HYPERT HRT DIS W CHF | = | 40201 | | Or |
| Principal Diagnosis Code - BENIGN HYP HRT DIS W CHF | = | 40211 | | Or |
| Principal Diagnosis Code - HYPERTEN HEART DIS W CHF | = | 40291 | | Or |
| Principal Diagnosis Code - MAL HYPER HRT/REN W CHF | = | 40401 | | Or |
| Principal Diagnosis Code - MAL HYP HRT/REN W CHF&RF | = | 40403 | | Or |
| Principal Diagnosis Code - BEN HYPER HRT/REN W CHF | = | 40411 | | Or |
| Principal Diagnosis Code - BEN HYP HRT/REN W CHF&RF | = | 40413 | | Or |
| Principal Diagnosis Code - HYPER HRT/REN NOS W CHF | = | 40491 | | Or |
| Principal Diagnosis Code - HYP HT/REN NOS W CHF&RF | = | 40493 | | Or |
| Principal Diagnosis Code - CONGESTIVE HEART FAILURE - HEART FAILURE NOS | Between | 4280 | 4289 | |

Readmission Number: 06 Pneumonia

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|----------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Pneumonia | = | G06 | | |

Readmission Number: 07 Acute Myocardial Infarction

Risk Group:

Comments: This category uses any AMI as principal or secondary diagnosis on index admission, but only principal diagnosis on readmit. Readmit also limits this to only unspecified or initial episode of care for the readmit. We also added other principal diagnoses on readmit based on complications of AMI listed in Disease Staging.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - AMI ANTEROLATERAL,UNSPEC - AMI ANTEROLATERAL, INIT | Between | 41000 | 41001 | Or |
| Principal Diagnosis Code - AMI ANTERIOR WALL,UNSPEC - AMI ANTERIOR WALL, INIT | Between | 41010 | 41011 | Or |
| Principal Diagnosis Code - AMI INFEROLATERAL,UNSPEC - AMI INFEROLATERAL, INIT | Between | 41020 | 41021 | Or |
| Principal Diagnosis Code - AMI INFEROPOST, UNSPEC - AMI INFEROPOST, INITIAL | Between | 41030 | 41031 | Or |
| Principal Diagnosis Code - AMI INFERIOR WALL,UNSPEC - AMI INFERIOR WALL, INIT | Between | 41040 | 41041 | Or |
| Principal Diagnosis Code - AMI LATERAL NEC, UNSPEC - AMI LATERAL NEC, INITIAL | Between | 41050 | 41051 | Or |
| Principal Diagnosis Code - TRUE POST INFARCT,UNSPEC - TRUE POST INFARCT, INIT | Between | 41060 | 41061 | Or |
| Principal Diagnosis Code - SUBENDO INFARCT, UNSPEC - SUBENDO INFARCT, INITIAL | Between | 41070 | 41071 | Or |
| Principal Diagnosis Code - AMI NEC, UNSPECIFIED - AMI NEC, INITIAL | Between | 41080 | 41081 | Or |
| Principal Diagnosis Code - AMI NOS, UNSPECIFIED - AMI NOS, INITIAL | Between | 41090 | 41091 | Or |
| Principal Diagnosis Code - POST MI SYNDROME | = | 4110 | | Or |
| Principal Diagnosis Code - ANEURYSM, HEART (WALL) | = | 41410 | | Or |
| Principal Diagnosis Code - IATROGEN PULM EMB/INFARC | = | 41511 | | Or |

Readmission Number: 07 Acute Myocardial Infarction

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - PULM EMBOL/INFARCT NEC | = | 41519 | | Or |
| Principal Diagnosis Code - ACUTE PERICARDITIS NOS - AC IDIOPATH PERICARDITIS | Between | 42090 | 42091 | Or |
| Principal Diagnosis Code - ACUTE PERICARDITIS NEC | = | 42099 | | Or |
| Principal Diagnosis Code - ATRIOVENT BLOCK COMPLETE | = | 4260 | | Or |
| Principal Diagnosis Code - ATRIOVENT BLOCK NOS - AV BLOCK-2ND DEGREE NEC | Between | 42610 | 42613 | Or |
| Principal Diagnosis Code - LEFT BB HEMIBLOCK - RT BUNDLE BRANCH BLOCK | Between | 4262 | 4264 | Or |
| Principal Diagnosis Code - BUNDLE BRANCH BLOCK NOS - TRIFASCICULAR BLOCK | Between | 42650 | 42654 | Or |
| Principal Diagnosis Code - OTHER HEART BLOCK | = | 4266 | | Or |
| Principal Diagnosis Code - CONDUCTION DISORDER NOS | = | 4269 | | Or |
| Principal Diagnosis Code - PAROX ATRIAL TACHYCARDIA - PAROX TACHYCARDIA NOS | Between | 4270 | 4272 | Or |
| Principal Diagnosis Code - ATRIAL FIBRILLATION - ATRIAL FLUTTER | Between | 42731 | 42732 | Or |
| Principal Diagnosis Code - VENTRICULAR FIBRILLATION - VENTRICULAR FLUTTER | Between | 42741 | 42742 | Or |
| Principal Diagnosis Code - CARDIAC ARREST | = | 4275 | | Or |
| Principal Diagnosis Code - PREMATURE BEATS NOS | = | 42760 | | Or |
| Principal Diagnosis Code - ATRIAL PREMATURE BEATS | = | 42761 | | Or |
| Principal Diagnosis Code - PREMATURE BEATS NEC | = | 42769 | | Or |
| Principal Diagnosis Code - SINOATRIAL NODE DYSFUNCT | = | 42781 | | Or |
| Principal Diagnosis Code - CARDIAC DYSRHYTHMIAS NEC | = | 42789 | | Or |

Readmission Number: 07 Acute Myocardial Infarction

| | | | |
|--|---|-------|----|
| Principal Diagnosis Code - CARDIAC DYSRHYTHMIA NOS | = | 4279 | Or |
| Principal Diagnosis Code - CONGESTIVE HEART FAILURE | = | 4280 | Or |
| Principal Diagnosis Code - LEFT HEART FAILURE | = | 4281 | Or |
| Principal Diagnosis Code - PAPILLARY MUSCLE RUPTURE | = | 4296 | Or |
| Principal Diagnosis Code - OTHER SEQUELAE OF MI NEC | = | 42979 | Or |
| Principal Diagnosis Code - CRBL THRMBS W INFRCT | = | 43401 | Or |
| Principal Diagnosis Code - CRBL EMBLSM W INFRCT | = | 43411 | Or |
| Principal Diagnosis Code - CRBL ART OCL NOS W INFRC | = | 43491 | Or |
| Principal Diagnosis Code - CVA | = | 436 | Or |
| Principal Diagnosis Code - ACUTE LUNG EDEMA NOS | = | 5184 | Or |
| Principal Diagnosis Code - CARDIOGENIC SHOCK | = | 78551 | |

Readmission Number: 08 Asthma

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|-------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Asthma | = | G08 | | |

Readmission Number: 09 Atrial Fibrillation

Risk Group:

Comments: Readmission uses any principal diagnosis of atrial fibrillation, as well as pulmonary embolism or embolic stroke.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - ATRIAL FIBRILLATION | = | 42731 | | Or |
| Principal Diagnosis Code - IATROGEN PULM EMB/INFARC | = | 41511 | | Or |
| Principal Diagnosis Code - PULM EMBOL/INFARCT NEC | = | 41519 | | Or |
| Principal Diagnosis Code - OCL BSLR ART W INFRCT | = | 43301 | | Or |
| Principal Diagnosis Code - OCL CRTD ART W INFRCT | = | 43311 | | Or |
| Principal Diagnosis Code - OCL VRTB ART W INFRCT | = | 43321 | | Or |
| Principal Diagnosis Code - OCL MLT BI ART W INFRCT | = | 43331 | | Or |
| Principal Diagnosis Code - OCL SPCF ART W INFRCT | = | 43381 | | Or |
| Principal Diagnosis Code - OCL ART NOS W INFRCT | = | 43391 | | Or |
| Principal Diagnosis Code - CRBL THRMBS W INFRCT | = | 43401 | | Or |
| Principal Diagnosis Code - CRBL EMBLSM W INFRCT | = | 43411 | | Or |
| Principal Diagnosis Code - CRBL ART OCL NOS W INFRCT | = | 43491 | | Or |
| Principal Diagnosis Code - CVA | = | 436 | | |

Readmission Number: 10 Coronary Artery Disease With Angina

Risk Group:

Comments: This uses any angina on index admission and any angina or acute myocardial infarction on the readmission.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - INTERMED CORONARY SYND | = | 4111 | | Or |
| Any Diagnosis Code in List - ANGINA DECUBITUS | = | 4130 | | Or |
| Any Diagnosis Code in List - PRINZMETAL ANGINA | = | 4131 | | Or |
| Any Diagnosis Code in List - ANGINA PECTORIS NEC/NOS | = | 4139 | | Or |
| Group - Coronary Artery Disease With Angina | = | G10 | | |

Readmission Number: 11 Depression

Risk Group:

Comments: This category includes any depression, including major depression, but excluding major depression with mention of psychotic behavior

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|-----------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Depression | = | G11 | | |

Readmission Number: 12 Peptic Ulcer Disease

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---------------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Peptic Ulcer Disease | = | G12 | | |

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - OCL BSLR ART W INFRCT | = | 43301 | | Or |
| Principal Diagnosis Code - OCL CRTD ART W INFRCT | = | 43311 | | Or |
| Principal Diagnosis Code - OCL VRTB ART W INFRCT | = | 43321 | | Or |
| Principal Diagnosis Code - OCL MLT BI ART W INFRCT | = | 43331 | | Or |
| Principal Diagnosis Code - OCL SPCF ART W INFRCT | = | 43381 | | Or |
| Principal Diagnosis Code - OCL ART NOS W INFRCT | = | 43391 | | Or |
| Principal Diagnosis Code - CRBL THRMBS W INFRCT | = | 43401 | | Or |
| Principal Diagnosis Code - CRBL EMBLSM W INFRCT | = | 43411 | | Or |
| Principal Diagnosis Code - CRBL ART OCL NOS W INFRCT | = | 43491 | | Or |
| Principal Diagnosis Code - BASILAR ARTERY SYNDROME - VERTBROBASLR ARTERY SYND | Between | 4350 | 4353 | Or |
| Principal Diagnosis Code - TRANS CEREB ISCHEMIA NEC - TRANS CEREB ISCHEMIA NOS | Between | 4358 | 4359 | Or |
| Principal Diagnosis Code - CVA | = | 436 | | |

Readmission Number: 14 Decubitus Ulcers

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - DECUBITUS ULCER - Decubitus ulcer, other site | Between | 7070 | 70709 | |

Readmission Number: 15 Dehydration

Risk Group:

Comments: This category also includes readmissions for hypernatremia, acidosis, alkalosis, hyperpotassemia, mixed acid-base balance, and nonspecific electrolyte imbalances.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - HYPEROSMOLALITY | = | 2760 | | Or |
| Any Diagnosis Code in List - ACIDOSIS - Hypovolemia | Between | 2762 | 27652 | Or |
| Any Diagnosis Code in List - HYPERPOTASSEMIA | = | 2767 | | Or |
| Any Diagnosis Code in List - ELECTROLYT/FLUID DIS NEC | = | 2769 | | |

Readmission Number: 16 Drug Poisoning

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - POISONING-PENICILLINS - POISONING-VITAMINS NEC | Between | 9600 | 9635 | Or |
| Principal Diagnosis Code - POISONING-SYSTEM AGT NEC - POISONING-METHADONE | Between | 9638 | 96502 | Or |
| Principal Diagnosis Code - POISONING-OPIATES NEC | = | 96509 | | Or |
| Principal Diagnosis Code - POISONING-SALICYLATES | = | 9651 | | Or |
| Principal Diagnosis Code - POIS-AROM ANALGESICS NEC - POISONING-PYRAZOLE DERIV | Between | 9654 | 9655 | Or |
| Principal Diagnosis Code - POIS-PROPIONIC ACID DERV | = | 96561 | | Or |
| Principal Diagnosis Code - POISON-ANTIRHEUMATIC NEC | = | 96569 | | Or |
| Principal Diagnosis Code - POIS-NO-NARC ANALGES NEC - POIS-ANTI-PARKINSON DRUG | Between | 9657 | 9664 | Or |
| Principal Diagnosis Code - POISONING-BARBITURATES - POISON-MIX SEDATIVE NEC | Between | 9670 | 9676 | Or |
| Principal Diagnosis Code - POIS-SEDATIVE/HYPNOT NEC - POISON-SPINAL ANESTHETIC | Between | 9678 | 9687 | Or |
| Principal Diagnosis Code - POIS-LOCAL ANEST NEC/NOS - POISON-OPIATE ANTAGONIST | Between | 9689 | 9701 | Or |
| Principal Diagnosis Code - POIS-CNS STIMULANTS NEC - POISONING-SYMPATHOLYTICS | Between | 9708 | 9713 | Or |
| Principal Diagnosis Code - POIS-AUTONOMIC AGENT NOS - POISONING-EMETICS | Between | 9719 | 9736 | Or |
| Principal Diagnosis Code - POISONING-GI AGENTS NEC - POIS-URIC ACID METABOL | Between | 9738 | 9747 | Or |

Detail labels are only printed for "From" and "To" codes.

Tuesday, March 10, 2009

Readmission Number: 16 Drug Poisoning

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - ACC POISON-ANALGESIC NOS - POIS-RESPIR DRUG NEC/NOS | Between | E8509 | 9758 | Or |
| Principal Diagnosis Code - POIS-LOCAL ANTI-INFECT - POIS-PHARMACEUT EXCIPIEN | Between | 9760 | 9774 | Or |
| Principal Diagnosis Code - POISON-MEDICINAL AGT NEC - POIS-PERTUSSIS VACCINE | Between | 9778 | 9786 | Or |
| Principal Diagnosis Code - POIS-BACT VACCIN NEC/NOS - POISONING-MIXED VACCINE | Between | 9788 | 9797 | Or |
| Principal Diagnosis Code - POIS-VACCINE/BIOLOG NEC | = | 9799 | | Or |
| Any Secondary Diagnosis Code in List - ACC POISON-HEROIN - ACC POISON-BARBITURATES | Between | E8500 | E851 | Or |
| Any Secondary Diagnosis Code in List - ACC POISON-BARBITURATES | = | E851 | | Or |
| Any Secondary Diagnosis Code in List - ACC POISN-CHLORL HYDRATE - ACC POISON-MIX SEDTV NEC | Between | E8520 | E8525 | Or |
| Any Secondary Diagnosis Code in List - ACC POISON-SEDATIVES NEC - ACC POISN-BENZDIAZ TRANQ | Between | E8528 | E8532 | Or |
| Any Secondary Diagnosis Code in List - ACC POISN-TRANQUILZR NEC - ACC POISON-CNS STIMULANT | Between | E8538 | E8543 | Or |
| Any Secondary Diagnosis Code in List - ACC POISN PSYCHOTROP NEC | = | E8548 | | Or |
| Any Secondary Diagnosis Code in List - ACC POISN-ANTICONVULSANT - ACC POISN-SYMPATHOLYTICS | Between | E8550 | E8556 | Or |
| Any Secondary Diagnosis Code in List - ACC POISON-CNS DRUG NEC - ACC POISON-CNS DRUG NOS | Between | E8558 | E8559 | Or |
| Any Secondary Diagnosis Code in List - ACC POISON-ANTIBIOTICS - ACC POISONING-DRUG NOS | Between | E856 | E8589 | Or |
| Any Secondary Diagnosis Code in List - FAIL STERILE INJECTION | = | E8723 | | Or |
| Any Secondary Diagnosis Code in List - NONADMIN NECESS MEDICINE | = | E8736 | | Or |

Readmission Number: 16 Drug Poisoning

Any Secondary Diagnosis Code in List Between E8738 E8739 Or
- FAILURE IN DOSAGE NEC
- FAILURE IN DOSAGE NOS

Any Secondary Diagnosis Code in List Between E8758 E8759
- CONTAMINATION NEC
- CONTAMINATION NOS

Readmission Number: 17 Endocarditis

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - AC/SUBAC BACT ENDOCARD - AC ENDOCARDIT IN OTH DIS | Between | 4210 | 4211 | Or |
| Principal Diagnosis Code - AC/SUBAC ENDOCARDIT NOS | = | 4219 | | |

Readmission Number: 18 Septicemia

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - STREPTOCOCCAL SEPTICEMIA | = | 0380 | | Or |
| Principal Diagnosis Code - STAPHYLCOCC SEPTICEM NOS - STAPH AUREUS SEPTICEMIA | Between | 03810 | 03811 | Or |
| Principal Diagnosis Code - STAPHYLCOCC SEPTICEM NEC | = | 03819 | | Or |
| Principal Diagnosis Code - PNEUMOCOCCAL SEPTICEMIA - ANAEROBIC SEPTICEMIA | Between | 0382 | 0383 | Or |
| Principal Diagnosis Code - GRAM-NEG SEPTICEMIA NOS - SERRATIA SEPTICEMIA | Between | 03840 | 03844 | Or |
| Principal Diagnosis Code - GRAM-NEG SEPTICEMIA NEC | = | 03849 | | Or |
| Principal Diagnosis Code - SEPTICEMIA NEC - SEPTICEMIA NOS | Between | 0388 | 0389 | Or |
| Principal Diagnosis Code - SALMONELLA SEPTICEMIA | = | 0031 | | Or |
| Principal Diagnosis Code - SEPTICEMIC PLAGUE | = | 0202 | | Or |
| Principal Diagnosis Code - ANTHRAX SEPTICEMIA | = | 0223 | | Or |
| Principal Diagnosis Code - MENINGOCOCCEMIA | = | 0362 | | Or |
| Principal Diagnosis Code - HERPETIC SEPTICEMIA | = | 0545 | | |

Readmission Number: 19 HIV or AIDS

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - HIV or AIDS | = | G19 | | |

Readmission Number: 20 Hypertension

Risk Group:

Comments: This category excludes secondary hypertension and includes readmissions for either hypertension or hemorrhagic stroke.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Hypertension | = | G20 | | Or |
| Principal Diagnosis Code - SUBARACHNOID HEMORRHAGE - INTRACEREBRAL HEMORRHAGE | Between | 430 | 431 | Or |
| Principal Diagnosis Code - NONTRAUM EXTRADURAL HEM - SUBDURAL HEMORRHAGE | Between | 4320 | 4321 | Or |
| Principal Diagnosis Code - INTRACRANIAL HEMORR NOS | = | 4329 | | Or |
| Principal Diagnosis Code - CVA | = | 436 | | |

Readmission Number: 21 Infections After Discharge for Infection

Risk Group:

Comments: This category excludes infections that have a separate readmission category (septicemia, endocarditis, kidney infection, pneumonia, UTI, osteomyelitis, septic arthritis, and HIV/AIDS).

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - GAS GANGRENE | = | 0400 | | Or |
| Principal Diagnosis Code - Toxic shock syndrome | = | 04082 | | Or |
| Principal Diagnosis Code - STREPTOCOCCUS UNSPECF - STREPTOCOCCUS GROUP G | Between | 04100 | 04105 | Or |
| Principal Diagnosis Code - OTHER STREPTOCOCCUS - STAPHYLOCOCCUS AUREUS | Between | 04109 | 04111 | Or |
| Principal Diagnosis Code - OTHER STAPHYLOCOCCUS | = | 04119 | | Or |
| Principal Diagnosis Code - PNEUMOCOCCUS INFECT NOS - PSEUDOMONAS INFECT NOS | Between | 0412 | 0417 | Or |
| Principal Diagnosis Code - MYCOPLASMA - HELICOBACTER PYLORI | Between | 04181 | 04186 | Or |
| Principal Diagnosis Code - OTH SPECF BACTERIA | = | 04189 | | Or |
| Principal Diagnosis Code - BACTERIAL INFECTION NOS | = | 0419 | | Or |
| Principal Diagnosis Code - Postvaricella myelitis | = | 0522 | | Or |
| Principal Diagnosis Code - Herpes zoster myelitis | = | 05314 | | Or |
| Principal Diagnosis Code - Herpes simplex myelitis | = | 05474 | | Or |
| Principal Diagnosis Code - West Nile fever | = | 0664 | | Or |
| Principal Diagnosis Code - SARS-associated coronavirus | = | 07982 | | Or |
| Principal Diagnosis Code - HEMOPHILUS MENINGITIS - STAPHYLOCOCC MENINGITIS | Between | 3200 | 3203 | Or |
| Principal Diagnosis Code - MENING IN OTH BACT DIS | = | 3207 | | Or |

| |
|--|
| <i>Readmission Number: 21</i> Infections After Discharge for Infection |
|--|

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - ANAEROBIC MENINGITIS - MNINGTS GRAM-NEG BCT NEC | Between | 32081 | 32082 | Or |
| Principal Diagnosis Code - MENINGITIS OTH SPCF BACT - MENINGIT D/T SARCOIDOSIS | Between | 32089 | 3214 | Or |
| Principal Diagnosis Code - MENING IN OTH NONBAC DIS | = | 3218 | | Or |
| Principal Diagnosis Code - NONPYOGENIC MENINGITIS - CHRONIC MENINGITIS | Between | 3220 | 3222 | Or |
| Principal Diagnosis Code - MENINGITIS NOS - PROTOZOAL ENCEPHALITIS | Between | 3229 | 3232 | Or |
| Principal Diagnosis Code - OTH ENCEPHALIT D/T INFEC* - POSTIMMUNIZAT ENCEPHALIT* | Between | 3234 | 3235 | Or |
| Principal Diagnosis Code - POSTINFECT ENCEPHALITIS* - Postinfectious myelitis | Between | 3236 | 32363 | Or |
| Principal Diagnosis Code - INTRACRANIAL ABSCESS - INTRASPINAL ABSCESS | Between | 3240 | 3241 | Or |
| Principal Diagnosis Code - CNS ABSCESS NOS | = | 3249 | | Or |
| Principal Diagnosis Code - Acute (transverse) myelitis - Acute myelitis in oth conditions | Between | 34120 | 34121 | Or |
| Principal Diagnosis Code - ACUTE CONJUNCTIVITIS NOS - AC ATOPIC CONJUNCTIVITIS | Between | 37200 | 37205 | Or |
| Principal Diagnosis Code - Inflammation (inf) postproc bleb, unspec - Inflammation (inf) postproc bleb, stage 3 | Between | 37960 | 37963 | Or |
| Principal Diagnosis Code - AC MYOCARDIT IN OTH DIS | = | 4220 | | Or |
| Principal Diagnosis Code - ACUTE MYOCARDITIS NOS | = | 42290 | | Or |
| Principal Diagnosis Code - SEPTIC MYOCARDITIS | = | 42292 | | Or |
| Principal Diagnosis Code - AC MAXILLARY SINUSITIS - AC SPHENOIDAL SINUSITIS | Between | 4610 | 4613 | Or |

| |
|--|
| <i>Readmission Number: 21</i> Infections After Discharge for Infection |
|--|

| | | | | |
|---|---------|-------|-------|----|
| Principal Diagnosis Code - OTHER ACUTE SINUSITIS - ACUTE SINUSITIS NOS | Between | 4618 | 4619 | Or |
| Principal Diagnosis Code - ACUTE PHARYNGITIS - AC EPIGLOTTITIS W OBSTR | Between | 462 | 46431 | Or |
| Principal Diagnosis Code - ACUTE BRONCHITIS | = | 4660 | | Or |
| Principal Diagnosis Code - ACU BRONCHOLITIS D/T RSV | = | 46611 | | Or |
| Principal Diagnosis Code - ACU BRNCHLTS D/T OTH ORG | = | 46619 | | Or |
| Principal Diagnosis Code - PERITONSILLAR ABSCESS | = | 475 | | Or |
| Principal Diagnosis Code - PARAPHARYNGEAL ABSCESS | = | 47822 | | Or |
| Principal Diagnosis Code - RETROPHARYNGEAL ABSCESS | = | 47824 | | Or |
| Principal Diagnosis Code - FLU W RESP MANIFEST NEC | = | 4871 | | Or |
| Principal Diagnosis Code - FLU W MANIFESTATION NEC | = | 4878 | | Or |
| Principal Diagnosis Code - BRONCHITIS NOS | = | 490 | | Or |
| Principal Diagnosis Code - ABSCESS OF MEDIASTINUM | = | 5131 | | Or |
| Principal Diagnosis Code - Acute gingivitis, plaque induced - Chronic periodontitiis, generalized | Between | 52300 | 52342 | Or |
| Principal Diagnosis Code - SALIVARY GLAND ABSCESS | = | 5273 | | Or |
| Principal Diagnosis Code - GASTROSTOMY INFECTION | = | 53641 | | Or |
| Principal Diagnosis Code - ANAL & RECTAL ABSCESS | = | 566 | | Or |
| Principal Diagnosis Code - PERITONITIS IN INFEC DIS - Other retroperitoneal infections | Between | 5670 | 56739 | Or |
| Principal Diagnosis Code - PERITONITIS NEC - PERITONITIS NOS | Between | 5678 | 5679 | Or |
| Principal Diagnosis Code - COLOSTY/ENTEROST INFECTN | = | 56961 | | Or |

| |
|--|
| <i>Readmission Number: 21</i> Infections After Discharge for Infection |
|--|

| | | | | |
|--|---------|-------|-------|----|
| Principal Diagnosis Code - ORCHITIS WITH ABSCESS | = | 6040 | | Or |
| Principal Diagnosis Code - SEMINAL VESICULITIS | = | 6080 | | Or |
| Principal Diagnosis Code - MALE GEN INFLAM DIS NEC | = | 6084 | | Or |
| Principal Diagnosis Code - AC PELV PERITONITIS-FEM | = | 6145 | | Or |
| Principal Diagnosis Code - BARTHOLIN'S GLND ABSCESS - ABSCESS OF VULVA NEC | Between | 6163 | 6164 | Or |
| Principal Diagnosis Code - CARBUNCLE OF FACE - CARBUNCLE NOS | Between | 6800 | 6809 | Or |
| Principal Diagnosis Code - CELLULITIS, FINGER NOS - ONYCHIA OF FINGER | Between | 68100 | 68102 | Or |
| Principal Diagnosis Code - CELLULITIS, TOE NOS - ONYCHIA OF TOE | Between | 68110 | 68111 | Or |
| Principal Diagnosis Code - CELLULITIS OF DIGIT NOS - PILONIDAL CYST W ABSCESS | Between | 6819 | 6850 | Or |
| Principal Diagnosis Code - PYODERMA NOS - PYODERMA GANGRENOSUM | Between | 68600 | 68601 | Or |
| Principal Diagnosis Code - PYODERMA NEC | = | 68609 | | Or |
| Principal Diagnosis Code - PYOGENIC GRANULOMA | = | 6861 | | Or |
| Principal Diagnosis Code - LOCAL SKIN INFECTION NEC - LOCAL SKIN INFECTION NOS | Between | 6868 | 6869 | Or |
| Principal Diagnosis Code - MATERNAL INFEC AFF NB | = | 7602 | | Or |
| Principal Diagnosis Code - CONGENITAL INFEC NEC - OTHER INFECTIONS SPEC TO PERINATL PERIOD | Between | 7712 | 77189 | Or |
| Principal Diagnosis Code - POSTTRAUM WND INFEC NEC | = | 9583 | | Or |
| Principal Diagnosis Code - SYS INFLAM RESP SYND INFEXN W/O ORG DYSFX - SYS INFLAM RESP SYND INFEXN W/ ORG DYSFXN | Between | 99591 | 99592 | Or |

Readmission Number: 21 Infections After Discharge for Infection

| | | | | |
|----------------------------|---------|-------|-------|----|
| Principal Diagnosis Code | Between | 99660 | 99661 | Or |
| - REACTION-UNSP DEVIC/GRFT | | | | |
| - REACT-CARDIAC DEV/GRAFT | | | | |
| Principal Diagnosis Code | = | 99762 | | Or |
| - INFECTION AMPUTAT STUMP | | | | |
| Principal Diagnosis Code | = | 99851 | | Or |
| - INFECTED POSTOP SEROMA | | | | |
| Principal Diagnosis Code | = | 99859 | | |
| - OTHER POSTOP INFECTION | | | | |

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - FLUID OVERLOAD | = | 2766 | | Or |
| Principal Diagnosis Code - Transfuse related acute lung inj (TRALI) | = | 5187 | | Or |
| Principal Diagnosis Code - CELLULITIS OF ARM - CELLULITIS OF HAND | Between | 6823 | 6824 | Or |
| Principal Diagnosis Code - AIR EMBOL COMP MED CARE - TRANSFUSION REACTION NEC | Between | 9991 | 9998 | Or |
| Principal Diagnosis Code - FAILURE STERILE INFUSION - FAIL STERILE PERFUSN NEC | Between | E8721 | E8722 | Or |
| Principal Diagnosis Code - EXCESS FLUID IN INFUSION - INCOR DILUT INFUSN FLUID | Between | E8730 | E8731 | Or |
| Principal Diagnosis Code - CONTAMINATED TRANSFUSION - CONTAMINATED DRUG NEC | Between | E8750 | E8752 | Or |
| Principal Diagnosis Code - MISMATCH BLOOD-TRANSFUSN - WRONG FLUID IN INFUSION | Between | E8760 | E8761 | |

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - CHR PYELONEPHRITIS NOS - CHR PYELONEPH W MED NECR | Between | 59000 | 59001 | Or |
| Principal Diagnosis Code - AC PYELONEPHRITIS NOS - AC PYELONEPHR W MED NECR | Between | 59010 | 59011 | Or |
| Principal Diagnosis Code - RENAL/PERIRENAL ABSCESS - PYELOURETERITIS CYSTICA | Between | 5902 | 5903 | Or |
| Principal Diagnosis Code - PYELONEPHRITIS NOS - PYELONEPHRIT IN OTH DIS | Between | 59080 | 59081 | Or |
| Principal Diagnosis Code - INFECTION OF KIDNEY NOS | = | 5909 | | Or |
| Principal Diagnosis Code - ACUTE CYSTITIS | = | 5950 | | Or |
| Principal Diagnosis Code - CYSTITIS NOS | = | 5959 | | Or |
| Principal Diagnosis Code - URETHRAL ABSCESS | = | 5970 | | Or |
| Principal Diagnosis Code - URETHR STRICT:INFECT NOS - URETH STRICT:OTH INFECT | Between | 59800 | 59801 | Or |
| Principal Diagnosis Code - URIN TRACT INFECTION NOS | = | 5990 | | |

Readmission Number: 24 Osteomyelitis and Septic Arthritis

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - PYOGEN ARTHRITIS-UNSPEC - PYOGEN ARTHRITIS-MULT | Between | 71100 | 71109 | Or |
| Principal Diagnosis Code - BACT ARTHRITIS-UNSPEC - MYCOTIC ARTHRITIS-MULT | Between | 71140 | 71169 | Or |
| Principal Diagnosis Code - INF ARTHRITIS NEC-UNSPEC - INF ARTHRITIS NEC-MULT | Between | 71180 | 71189 | Or |
| Principal Diagnosis Code - AC OSTEOMYELITIS-UNSPEC - OSTEOMYELITIS NOS-MULT | Between | 73000 | 73029 | |

Readmission Number: 25 Respiratory Complications

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-----------------------|-----------------|---------------|--------------------|
| Principal Diagnosis Code - SPONT TENS PNEUMOTHORAX - IATROGENIC PNEUMOTHORAX | Between | 5120 | 5121 | Or |
| Principal Diagnosis Code - SPONT PNEUMOTHORAX NEC | = | 5128 | | Or |
| Principal Diagnosis Code - PULMONARY COLLAPSE | = | 5180 | | Or |
| Principal Diagnosis Code - ACUTE LUNG EDEMA NOS - POST TRAUM PULM INSUFFIC | Between | 5184 | 5185 | Or |
| Principal Diagnosis Code - ACUTE RESPIRATRY FAILURE - OTHER PULMONARY INSUFF | Between | 51881 | 51882 | Or |
| Principal Diagnosis Code - ACUTE & CHRONC RESP FAIL | = | 51884 | | Or |
| Principal Diagnosis Code - PRIMARY APNEA OF NEWBORN - OTHER RESPIRATORY PROBLEMS AFTER BIRTH | Between | 77081 | 77089 | |

Readmission Number: 26 Obstetric Complications

Risk Group:

Comments: Testing on hold - will test in the future to determine if there are other ways to categorize OB complications.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|------------------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Obstetric Complications | = | G26 | | |

Readmission Number: 27 Neonatal and Infant Conditions

Risk Group:

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Group - Neonatal and Infant Conditions | = | G28 | | |

Risk Group Definitions With Titles

Group Name: G01 All Patients

Comments: Index Admission Rules for multiple Readmission categories

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|----------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List | | | | Is Present |

Group Name: G02 Planned Readmissions

Comments: Intentional error in rule code to force this category to not transfer into the RDL.

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--------------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code | Is Present | | | |

Group Name: G03 Diabetes Mellitus

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| | | | | ((|
| Any Diagnosis Code in List - DMII WO CMP NT ST UNCNR - DMI UNSPF UNCNRD | Between | 25000 | 25093 | Or |
| Any Diagnosis Code in List - DYSMETABOLIC SYNDROME X | = | 2777 | |) |
| | | | | And Not (|
| Any Procedure Code in List - CHORIORET LES DIATHERMY - CHORIORET LES RAD IMPLAN | Between | 1421 | 1427 | Or |
| Any Procedure Code in List - CHORIORET LES DESTR NEC | = | 1429 | |)) |

Group Name: G04 COPD

Comments: Included emphysema here because number of cases are very small and it is a type of COPD

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - BRONCHITIS NOS | = | 490 | | Or |
| Any Diagnosis Code in List - SIMPLE CHR BRONCHITIS - MUCOPURUL CHR BRONCHITIS | Between | 4910 | 4911 | Or |
| Any Diagnosis Code in List - OBS CHR BRNC W/O ACT EXA - Obstructive chr bronchitis with acute br | Between | 49120 | 49122 | Or |
| Any Diagnosis Code in List - CHRONIC BRONCHITIS NEC - CHRONIC BRONCHITIS NOS | Between | 4918 | 4919 | Or |
| Any Diagnosis Code in List - EMPHYSEMATOUS BLEB - EMPHYSEMA NEC | Between | 4920 | 4928 | Or |
| Any Diagnosis Code in List - BRONCHIECTAS W/O AC EXAC - BRONCHIECTASIS W AC EXAC | Between | 4940 | 4941 | Or |
| Any Diagnosis Code in List - FARMERS' LUNG - ALLERG ALVEOL/PNEUM NOS | Between | 4950 | 4959 | Or |
| Any Diagnosis Code in List - CHR AIRWAY OBSTRUCT NEC | = | 496 | | |

Group Name: G05 Heart Failure

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - RHEUMATIC HEART FAILURE | = | 39891 | | Or |
| Any Diagnosis Code in List - MAL HYPERT HRT DIS W CHF | = | 40201 | | Or |
| Any Diagnosis Code in List - BENIGN HYP HRT DIS W CHF | = | 40211 | | Or |
| Any Diagnosis Code in List - HYPERTEN HEART DIS W CHF | = | 40291 | | Or |
| Any Diagnosis Code in List - MAL HYPERT HRT/REN W CHF | = | 40401 | | Or |
| Any Diagnosis Code in List - MAL HYP HRT/REN W CHF&RF | = | 40403 | | Or |
| Any Diagnosis Code in List - BEN HYPERT HRT/REN W CHF | = | 40411 | | Or |
| Any Diagnosis Code in List - BEN HYP HRT/REN W CHF&RF | = | 40413 | | Or |
| Any Diagnosis Code in List - HYPERT HRT/REN NOS W CHF | = | 40491 | | Or |
| Any Diagnosis Code in List - HYP HT/REN NOS W CHF&RF | = | 40493 | | Or |
| Any Diagnosis Code in List - CONGESTIVE HEART FAILURE - MYOCARDITIS NOS | Between | 4280 | 4290 | |

Group Name: G06 Pneumonia

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - Pneumonia of SARS-associated coronavirus | = | 4803 | | Or |
| Principal Diagnosis Code - VIRAL PNEUMONIA NOS - PNEUMONIA OTH SPCF BACT | Between | 4809 | 48289 | Or |
| Principal Diagnosis Code - PNEU MYCPLSM PNEUMONIAE - PNEUMONIA D/T CHLAMYDIA | Between | 4830 | 4831 | Or |
| Principal Diagnosis Code - PNEUMON OTH SPEC ORGNSM | = | 4838 | | Or |
| Principal Diagnosis Code - BRONCHOPNEUMONIA ORG NOS - INFLUENZA WITH PNEUMONIA | Between | 485 | 4870 | Or |
| Principal Diagnosis Code - FOOD/VOMIT PNEUMONITIS | = | 5070 | | Or |
| Principal Diagnosis Code - EMPYEMA WITH FISTULA | = | 5100 | | Or |
| Principal Diagnosis Code - EMPYEMA W/O FISTULA | = | 5109 | | Or |
| Principal Diagnosis Code - BACT PLEUR/EFFUS NOT TB | = | 5111 | | Or |
| Principal Diagnosis Code - ABSCESS OF LUNG | = | 5130 | | |

Group Name: G07

Acute Myocardial Infarction

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - AMI ANTEROLATERAL,UNSPEC - AMI ANTEROLATERAL,SUBSEQ | Between | 41000 | 41002 | Or |
| Any Diagnosis Code in List - AMI ANTERIOR WALL,UNSPEC - AMI ANTERIOR WALL,SUBSEQ | Between | 41010 | 41012 | Or |
| Any Diagnosis Code in List - AMI INFEROLATERAL,UNSPEC - AMI INFEROLATERAL,SUBSEQ | Between | 41020 | 41022 | Or |
| Any Diagnosis Code in List - AMI INFEROPOST, UNSPEC - AMI INFEROPOST, SUBSEQ | Between | 41030 | 41032 | Or |
| Any Diagnosis Code in List - AMI INFERIOR WALL,UNSPEC - AMI INFERIOR WALL,SUBSEQ | Between | 41040 | 41042 | Or |
| Any Diagnosis Code in List - AMI LATERAL NEC, UNSPEC - AMI LATERAL NEC, SUBSEQ | Between | 41050 | 41052 | Or |
| Any Diagnosis Code in List - TRUE POST INFARCT,UNSPEC - TRUE POST INFARCT,SUBSEQ | Between | 41060 | 41062 | Or |
| Any Diagnosis Code in List - SUBENDO INFARCT, UNSPEC - SUBENDO INFARCT, SUBSEQ | Between | 41070 | 41072 | Or |
| Any Diagnosis Code in List - AMI NEC, UNSPECIFIED - AMI NEC, SUBSEQUENT | Between | 41080 | 41082 | Or |
| Any Diagnosis Code in List - AMI NOS, UNSPECIFIED - AMI NOS, SUBSEQUENT | Between | 41090 | 41092 | |

Group Name: G08 Asthma

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - EXT AST W/O STAT AST NOS - EXT ASTHMA W ACUTE EXAC | Between | 49300 | 49302 | Or |
| Any Diagnosis Code in List - INT AST W/O STAT AST NOS - INT ASTHMA W ACUTE EXAC | Between | 49310 | 49312 | Or |
| Any Diagnosis Code in List - CH OB AST W/O STA AS NOS - CH OBS ASTH W ACUTE EXAC | Between | 49320 | 49322 | Or |
| Any Diagnosis Code in List - Exercise induced bronchospasm - Cough variant asthma | Between | 49381 | 49382 | Or |
| Any Diagnosis Code in List - ASTH W/O STAT ASTHM NOS - ASTHMA W ACUTE EXACERBTN | Between | 49390 | 49392 | |

Group Name: G09

Atrial Fibrillation

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - ATRIAL FIBRILLATION | = | 42731 | | |

Group Name: G10 Coronary Artery Disease With Angina

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - INTERMED CORONARY SYND | = | 4111 | | Or |
| Any Diagnosis Code in List - ANGINA DECUBITUS - PRINZMETAL ANGINA | Between | 4130 | 4131 | Or |
| Any Diagnosis Code in List - ANGINA PECTORIS NEC/NOS | = | 4139 | | |

Group Name: G11 Depression

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - DEPRESS PSYCHOSIS-UNSPEC - DEPRESS PSYCHOSIS-SEVERE | Between | 29620 | 29623 | Or |
| Any Diagnosis Code in List - DEPR PSYCHOS-PART REMISS - DEPR PSYCHOS-FULL REMISS | Between | 29625 | 29626 | Or |
| Any Diagnosis Code in List - RECURR DEPR PSYCHOS-UNSP - RECUR DEPR PSYCH-SEVERE | Between | 29630 | 29633 | Or |
| Any Diagnosis Code in List - RECUR DEPR PSYC-PART REM - RECUR DEPR PSYC-FULL REM | Between | 29635 | 29636 | Or |
| Any Diagnosis Code in List - RECUR DEPR PSYC-FULL REM | = | 29636 | | Or |
| Any Diagnosis Code in List - NEUROTIC DEPRESSION | = | 3004 | | Or |
| Any Diagnosis Code in List - STRESS REACT, EMOTIONAL | = | 3080 | | Or |
| Any Diagnosis Code in List - BRIEF DEPRESSIVE REACT - PROLONG DEPRESSIVE REACT | Between | 3090 | 3091 | Or |
| Any Diagnosis Code in List - ADJ REACT-EMOTION/CONDUCT | = | 3094 | | Or |
| Any Diagnosis Code in List - DEPRESSIVE DISORDER NEC | = | 311 | | Or |
| Any Diagnosis Code in List - MISERY & UNHAPPINESS DIS | = | 3131 | | |

| |
|---|
| <i>Group Name: G12</i> Peptic Ulcer Disease |
|---|

Comments: Included esophageal ulcer here because it can be caused by reflux of stomach acid, therefore can be considered a peptic-type ulcer, per D. Schutt

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - Ulcer of esophagus without bleeding - Ulcer of esophagus with bleeding | Between | 53020 | 53021 | Or |
| Any Diagnosis Code in List - Barrett's esophagus | = | 53085 | | Or |
| Any Diagnosis Code in List - AC STOMACH ULCER W HEM - AC STOMAC ULC W HEM-OBST | Between | 53100 | 53101 | Or |
| Any Diagnosis Code in List - AC STOMACH ULCER W PERF - AC STOM ULC W PERF-OBST | Between | 53110 | 53111 | Or |
| Any Diagnosis Code in List - AC STOMAC ULC W HEM/PERF - AC STOM ULC HEM/PERF-OBS | Between | 53120 | 53121 | Or |
| Any Diagnosis Code in List - ACUTE STOMACH ULCER NOS - AC STOMACH ULC NOS-OBSTR | Between | 53130 | 53131 | Or |
| Any Diagnosis Code in List - CHR STOMACH ULC W HEM - CHR STOM ULC W HEM-OBSTR | Between | 53140 | 53141 | Or |
| Any Diagnosis Code in List - CHR STOMACH ULCER W PERF - CHR STOM ULC W PERF-OBST | Between | 53150 | 53151 | Or |
| Any Diagnosis Code in List - CHR STOMACH ULC HEM/PERF - CHR STOM ULC HEM/PERF-OB | Between | 53160 | 53161 | Or |
| Any Diagnosis Code in List - CHR STOMACH ULCER NOS - CHR STOMACH ULC NOS-OBST | Between | 53170 | 53171 | Or |
| Any Diagnosis Code in List - STOMACH ULCER NOS - STOMACH ULCER NOS-OBSTR | Between | 53190 | 53191 | Or |
| Any Diagnosis Code in List - AC DUODENAL ULCER W HEM - AC DUODEN ULC W HEM-OBST | Between | 53200 | 53201 | Or |
| Any Diagnosis Code in List - AC DUODENAL ULCER W PERF - AC DUODEN ULC PERF-OBSTR | Between | 53210 | 53211 | Or |
| Any Diagnosis Code in List - AC DUODEN ULC W HEM/PERF - AC DUOD ULC HEM/PERF-OBS | Between | 53220 | 53221 | Or |

| | |
|------------------------|----------------------|
| <i>Group Name: G12</i> | Peptic Ulcer Disease |
|------------------------|----------------------|

| | | | | |
|--|---------|-------|-------|----|
| Any Diagnosis Code in List - ACUTE DUODENAL ULCER NOS - AC DUODENAL ULC NOS-OBST | Between | 53230 | 53231 | Or |
| Any Diagnosis Code in List - CHR DUODEN ULCER W HEM - CHR DUODEN ULC HEM-OBSTR | Between | 53240 | 53241 | Or |
| Any Diagnosis Code in List - CHR DUODEN ULCER W PERF - CHR DUODEN ULC PERF-OBST | Between | 53250 | 53251 | Or |
| Any Diagnosis Code in List - CHR DUODEN ULC HEM/PERF - CHR DUOD ULC HEM/PERF-OB | Between | 53260 | 53261 | Or |
| Any Diagnosis Code in List - CHR DUODENAL ULCER NOS - CHR DUODEN ULC NOS-OBSTR | Between | 53270 | 53271 | Or |
| Any Diagnosis Code in List - DUODENAL ULCER NOS - DUODENAL ULCER NOS-OBSTR | Between | 53290 | 53291 | Or |
| Any Diagnosis Code in List - AC PEPTIC ULCER W HEMORR - AC PEPTIC ULC W HEM-OBST | Between | 53300 | 53301 | Or |
| Any Diagnosis Code in List - AC PEPTIC ULCER W PERFOR - AC PEPTIC ULC W PERF-OBS | Between | 53310 | 53311 | Or |
| Any Diagnosis Code in List - AC PEPTIC ULC W HEM/PERF - AC PEPT ULC HEM/PERF-OBS | Between | 53320 | 53321 | Or |
| Any Diagnosis Code in List - ACUTE PEPTIC ULCER NOS - AC PEPTIC ULCER NOS-OBST | Between | 53330 | 53331 | Or |
| Any Diagnosis Code in List - CHR PEPTIC ULCER W HEM - CHR PEPTIC ULC W HEM-OBS | Between | 53340 | 53341 | Or |
| Any Diagnosis Code in List - CHR PEPTIC ULCER W PERF - CHR PEPTIC ULC PERF-OBST | Between | 53350 | 53351 | Or |
| Any Diagnosis Code in List - CHR PEPT ULC W HEM/PERF - CHR PEPT ULC HEM/PERF-OB | Between | 53360 | 53361 | Or |
| Any Diagnosis Code in List - CHRONIC PEPTIC ULCER NOS - CHR PEPTIC ULCER NOS-OBS | Between | 53370 | 53371 | Or |
| Any Diagnosis Code in List - PEPTIC ULCER NOS - PEPTIC ULCER NOS-OBSTRUC | Between | 53390 | 53391 | Or |
| Any Diagnosis Code in List - AC MARGINAL ULCER W HEM - AC MARGIN ULC W HEM-OBST | Between | 53400 | 53401 | Or |

| |
|---|
| <i>Group Name: G12</i> Peptic Ulcer Disease |
|---|

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53410 | 53411 | Or |
| - AC MARGINAL ULCER W PERF | | | | |
| - AC MARGIN ULC W PERF-OBS | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53420 | 53421 | Or |
| - AC MARGIN ULC W HEM/PERF | | | | |
| - AC MARG ULC HEM/PERF-OBS | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53430 | 53431 | Or |
| - AC MARGINAL ULCER NOS | | | | |
| - AC MARGINAL ULC NOS-OBST | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53440 | 53441 | Or |
| - CHR MARGINAL ULCER W HEM | | | | |
| - CHR MARGIN ULC W HEM-OBS | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53450 | 53451 | Or |
| - CHR MARGINAL ULC W PERF | | | | |
| - CHR MARGIN ULC PERF-OBST | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53460 | 53461 | Or |
| - CHR MARGIN ULC HEM/PERF | | | | |
| - CHR MARG ULC HEM/PERF-OB | | | | |

| | | | | |
|----------------------------|---------|-------|-------|----|
| Any Diagnosis Code in List | Between | 53470 | 53471 | Or |
| - CHR MARGINAL ULCER NOS | | | | |
| - CHR MARGINAL ULC NOS-OBS | | | | |

| | | | | |
|----------------------------|---------|-------|-------|--|
| Any Diagnosis Code in List | Between | 53490 | 53491 | |
| - GASTROJEJUNAL ULCER NOS | | | | |
| - GASTROJEJUN ULC NOS-OBST | | | | |

Group Name: G13

Stroke or Transient Ischemic Attack

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - OCL BSLR ART W INFRCT | = | 43301 | | Or |
| Any Diagnosis Code in List - OCL CRTD ART W INFRCT | = | 43311 | | Or |
| Any Diagnosis Code in List - OCL VRTB ART W INFRCT | = | 43321 | | Or |
| Any Diagnosis Code in List - OCL MLT BI ART W INFRCT | = | 43331 | | Or |
| Any Diagnosis Code in List - OCL SPCF ART W INFRCT | = | 43381 | | Or |
| Any Diagnosis Code in List - OCL ART NOS W INFRCT | = | 43391 | | Or |
| Any Diagnosis Code in List - CRBL THRMBS W INFRCT | = | 43401 | | Or |
| Any Diagnosis Code in List - CRBL EMBLSM W INFRCT | = | 43411 | | Or |
| Any Diagnosis Code in List - CRBL ART OCL NOS W INFRC | = | 43491 | | Or |
| Any Diagnosis Code in List - BASILAR ARTERY SYNDROME - VERTBROBASLR ARTERY SYND | Between | 4350 | 4353 | Or |
| Any Diagnosis Code in List - TRANS CEREB ISCHEMIA NEC - TRANS CEREB ISCHEMIA NOS | Between | 4358 | 4359 | |

Group Name: G19 HIV or AIDS

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - HUMAN IMMUNO VIRUS DIS | = | 042 | | Or |
| Any Diagnosis Code in List - ASYMP HIV INFECTN STATUS | = | V08 | | |

Group Name: G20 Hypertension

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - MALIGNANT HYPERTENSION - BENIGN HYPERTENSION | Between | 4010 | 4011 | Or |
| Principal Diagnosis Code - HYPERTENSION NOS | = | 4019 | | Or |
| Principal Diagnosis Code - MAL HYPERTEN HRT DIS NOS - MAL HYPERT HRT DIS W CHF | Between | 40200 | 40201 | Or |
| Principal Diagnosis Code - BEN HYPERTEN HRT DIS NOS - BENIGN HYP HRT DIS W CHF | Between | 40210 | 40211 | Or |
| Principal Diagnosis Code - HYPERTENSIVE HRT DIS NOS - HYPERTEN HEART DIS W CHF | Between | 40290 | 40291 | Or |
| Principal Diagnosis Code - MAL HYP REN W/O REN FAIL - MAL HYP REN W RENAL FAIL | Between | 40300 | 40301 | Or |
| Principal Diagnosis Code - BEN HYP REN W/O REN FAIL - BEN HYP RENAL W REN FAIL | Between | 40310 | 40311 | Or |
| Principal Diagnosis Code - HYP REN NOS W/O REN FAIL - HYP RENAL NOS W REN FAIL | Between | 40390 | 40391 | Or |
| Principal Diagnosis Code - MAL HY HT/REN W/O CHF/RF - MAL HYP HRT/REN W CHF&RF | Between | 40400 | 40403 | Or |
| Principal Diagnosis Code - BEN HY HT/REN W/O CHF/RF - BEN HYP HRT/REN W CHF&RF | Between | 40410 | 40413 | Or |
| Principal Diagnosis Code - HY HT/REN NOS W/O CHF/RF - HYP HT/REN NOS W CHF&RF | Between | 40490 | 40493 | |

Group Name: G21

Infections After Discharge for Infection

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Diagnosis Code in List - GAS GANGRENE | = | 0400 | | Or |
| Any Diagnosis Code in List - Toxic shock syndrome | = | 04082 | | Or |
| Any Diagnosis Code in List - STREPTOCOCCUS UNSPECF - STREPTOCOCCUS GROUP G | Between | 04100 | 04105 | Or |
| Any Diagnosis Code in List - OTHER STREPTOCOCCUS - STAPHYLOCOCCUS AUREUS | Between | 04109 | 04111 | Or |
| Any Diagnosis Code in List - OTHER STAPHYLOCOCCUS | = | 04119 | | Or |
| Any Diagnosis Code in List - PNEUMOCOCCUS INFECT NOS - PSEUDOMONAS INFECT NOS | Between | 0412 | 0417 | Or |
| Any Diagnosis Code in List - MYCOPLASMA - HELICOBACTER PYLORI | Between | 04181 | 04186 | Or |
| Any Diagnosis Code in List - OTH SPECF BACTERIA | = | 04189 | | Or |
| Any Diagnosis Code in List - BACTERIAL INFECTION NOS | = | 0419 | | Or |
| Any Diagnosis Code in List - West Nile fever | = | 0664 | | Or |
| Any Diagnosis Code in List - SARS-associated coronavirus | = | 07982 | | Or |
| Any Diagnosis Code in List - HEMOPHILUS MENINGITIS - STAPHYLOCOCC MENINGITIS | Between | 3200 | 3203 | Or |
| Any Diagnosis Code in List - MENING IN OTH BACT DIS | = | 3207 | | Or |
| Any Diagnosis Code in List - ANAEROBIC MENINGITIS - MNINGTS GRAM-NEG BCT NEC | Between | 32081 | 32082 | Or |
| Any Diagnosis Code in List - MENINGITIS OTH SPCF BACT | = | 32089 | | Or |
| Any Diagnosis Code in List - BACTERIAL MENINGITIS NOS | = | 3209 | | Or |
| Any Diagnosis Code in List - CRYPTOCCAL MENINGITIS - MENINGIT D/T SARCOIDOSIS | Between | 3210 | 3214 | Or |

| | | | | |
|------------------------|--|--|--|--|
| <i>Group Name: G21</i> | Infections After Discharge for Infection | | | |
|------------------------|--|--|--|--|

| | | | | |
|--|---------|-------|-------|----|
| Any Diagnosis Code in List - MENING IN OTH NONBAC DIS | = | 3218 | | Or |
| Any Diagnosis Code in List - NONPYOGENIC MENINGITIS - CHRONIC MENINGITIS | Between | 3220 | 3222 | Or |
| Any Diagnosis Code in List - MENINGITIS NOS | = | 3229 | | Or |
| Any Diagnosis Code in List - ENCEPHALIT IN VIRAL DIS* - PROTOZOAL ENCEPHALITIS | Between | 3230 | 3232 | Or |
| Any Diagnosis Code in List - OTH ENCEPHALIT D/T INFEC* - POSTIMMUNIZAT ENCEPHALIT* | Between | 3234 | 3235 | Or |
| Any Diagnosis Code in List - INTRACRANIAL ABSCESS - INTRASPINAL ABSCESS | Between | 3240 | 3241 | Or |
| Any Diagnosis Code in List - CNS ABSCESS NOS | = | 3249 | | Or |
| Any Diagnosis Code in List - ACUTE CONJUNCTIVITIS NOS - AC ATOPIC CONJUNCTIVITIS | Between | 37200 | 37205 | Or |
| Any Diagnosis Code in List - AC MYOCARDIT IN OTH DIS | = | 4220 | | Or |
| Any Diagnosis Code in List - ACUTE MYOCARDITIS NOS | = | 42290 | | Or |
| Any Diagnosis Code in List - SEPTIC MYOCARDITIS | = | 42292 | | Or |
| Any Diagnosis Code in List - AC MAXILLARY SINUSITIS - AC SPHENOIDAL SINUSITIS | Between | 4610 | 4613 | Or |
| Any Diagnosis Code in List - OTHER ACUTE SINUSITIS - ACUTE SINUSITIS NOS | Between | 4618 | 4619 | Or |
| Any Diagnosis Code in List - ACUTE PHARYNGITIS - AC EPIGLOTTITIS W OBSTR | Between | 462 | 46431 | Or |
| Any Diagnosis Code in List - ACUTE BRONCHITIS | = | 4660 | | Or |
| Any Diagnosis Code in List - ACU BRONCHOLITIS D/T RSV | = | 46611 | | Or |
| Any Diagnosis Code in List - ACU BRNCHLTS D/T OTH ORG | = | 46619 | | Or |
| Any Diagnosis Code in List - PERITONSILLAR ABSCESS | = | 475 | | Or |
| Any Diagnosis Code in List - PARAPHARYNGEAL ABSCESS | = | 47822 | | Or |

| | | | | |
|------------------------|--|--|--|--|
| <i>Group Name: G21</i> | Infections After Discharge for Infection | | | |
|------------------------|--|--|--|--|

| | | | | |
|--|---------|-------|-------|----|
| Any Diagnosis Code in List - RETROPHARYNGEAL ABSCESS | = | 47824 | | Or |
| Any Diagnosis Code in List - FLU W RESP MANIFEST NEC | = | 4871 | | Or |
| Any Diagnosis Code in List - FLU W MANIFESTATION NEC | = | 4878 | | Or |
| Any Diagnosis Code in List - BRONCHITIS NOS | = | 490 | | Or |
| Any Diagnosis Code in List - ABSCESS OF MEDIASTINUM | = | 5131 | | Or |
| Any Diagnosis Code in List - SALIVARY GLAND ABSCESS | = | 5273 | | Or |
| Any Diagnosis Code in List - GASTROSTOMY INFECTION | = | 53641 | | Or |
| Any Diagnosis Code in List - ANAL & RECTAL ABSCESS | = | 566 | | Or |
| Any Diagnosis Code in List - PERITONITIS IN INFECTION - Other retroperitoneal infections | Between | 5670 | 56739 | Or |
| Any Diagnosis Code in List - PERITONITIS NEC - PERITONITIS NOS | Between | 5678 | 5679 | Or |
| Any Diagnosis Code in List - COLOSTY/ENTEROST INFECTION | = | 56961 | | Or |
| Any Diagnosis Code in List - ORCHITIS WITH ABSCESS | = | 6040 | | Or |
| Any Diagnosis Code in List - SEMINAL VESICULITIS | = | 6080 | | Or |
| Any Diagnosis Code in List - MALE GEN INFLAM DIS NEC | = | 6084 | | Or |
| Any Diagnosis Code in List - AC PELV PERITONITIS-FEM | = | 6145 | | Or |
| Any Diagnosis Code in List - BARTHOLIN'S GLAND ABSCESS - ABSCESS OF VULVA NEC | Between | 6163 | 6164 | Or |
| Any Diagnosis Code in List - CARBUNCLE OF FACE - CARBUNCLE NOS | Between | 6800 | 6809 | Or |
| Any Diagnosis Code in List - CELLULITIS, FINGER NOS - ONYCHIA OF FINGER | Between | 68100 | 68102 | Or |
| Any Diagnosis Code in List - CELLULITIS, TOE NOS - ONYCHIA OF TOE | Between | 68110 | 68111 | Or |

| | | | |
|------------------------|--|--|--|
| <i>Group Name: G21</i> | Infections After Discharge for Infection | | |
|------------------------|--|--|--|

| | | | | |
|--|---------|-------|-------|----|
| Any Diagnosis Code in List - CELLULITIS OF DIGIT NOS | = | 6819 | | Or |
| Any Diagnosis Code in List - CELLULITIS OF FACE - PILONIDAL CYST W ABSCESS | Between | 6820 | 6850 | Or |
| Any Diagnosis Code in List - PYODERMA NOS - PYODERMA GANGRENOSUM | Between | 68600 | 68601 | Or |
| Any Diagnosis Code in List - PYODERMA NEC | = | 68609 | | Or |
| Any Diagnosis Code in List - PYOGENIC GRANULOMA | = | 6861 | | Or |
| Any Diagnosis Code in List - LOCAL SKIN INFECTION NEC - LOCAL SKIN INFECTION NOS | Between | 6868 | 6869 | Or |
| Any Diagnosis Code in List - MATERNAL INFEC AFF NB | = | 7602 | | Or |
| Any Diagnosis Code in List - CONGENITAL INFEC NEC - OTHER INFECTIONS SPEC TO PERINATL PERIOD | Between | 7712 | 77189 | Or |
| Any Diagnosis Code in List - POSTTRAUM WND INFEC NEC | = | 9583 | | Or |
| Any Diagnosis Code in List - SYS INFLAM RESP SYND INFXN W/O ORG DYSFX - SYS INFLAM RESP SYND INFXN W/ ORG DYSFXN | Between | 99591 | 99592 | Or |
| Any Diagnosis Code in List - REACTION-UNSP DEVIC/GRFT - REACT-CARDIAC DEV/GRAFT | Between | 99660 | 99661 | Or |
| Any Diagnosis Code in List - INFECTION AMPUTAT STUMP | = | 99762 | | Or |
| Any Diagnosis Code in List - INFECTED POSTOP SEROMA | = | 99851 | | Or |
| Any Diagnosis Code in List - OTHER POSTOP INFECTION | = | 99859 | | |

| | |
|------------------------|------------------------------------|
| Group Name: G22 | Infusion, Transfusion Complication |
|------------------------|------------------------------------|

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-------------------------------|-------------------------|-----------------------|----------------------------|
| Any Procedure Code in List - Infuse drotrecogin alfa (activated - Inj/Infuse oxazolidinone antibiotic | Between | 0011 | 0014 | Or |
| Any Procedure Code in List - Infusion of vasopressor agent | = | 0017 | | Or |
| Any Procedure Code in List - PERIOP AUT TRANS HOL BLD - INJECT/INF THROMBO AGENT | Between | 9900 | 9910 | Or |
| Any Procedure Code in List - PARENT INFUS NUTRIT SUB | = | 9915 | | Or |
| Any Procedure Code in List - INJECT/INFUSE ELECTROLYT | = | 9918 | | Or |
| Any Procedure Code in List - INJ/INF PLATELET INHIBIT | = | 9920 | | Or |
| Any Procedure Code in List - INJECT CA CHEMOTHER NEC | = | 9925 | | Or |
| Any Procedure Code in List - IONTOPHORESIS - INJECT/INFUSE NEC | Between | 9927 | 9929 | Or |
| Any Procedure Code in List - THERAPEU PLASMAPHERESIS - 03AppAdhesionBar87Inhibdemsenspacr | Between | 9971 | 9977 | Or |
| Any Procedure Code in List - OTHER THERAPEU APHERESIS | = | 9979 | | |

Group Name: G26 Obstetric Complications

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|--|-------------------------------|-------------------------|-----------------------|----------------------------|
| Principal Diagnosis Code - SPON ABOR W PEL INF-UNSP - LATE EFFCT CMPLCATN PREG | Between | 63400 | 677 | |

Group Name: G28 Neonatal and Infant Conditions

Comments: Age can only be expressed in years - changed category title to show that infants up to 1 year old are included

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|------------------|-------------------------------|-------------------------|-----------------------|----------------------------|
| Age at Admission | < | | 1 | |

Comments:

| Variable | Relational Operand | (From) Value | (To) Value | Logical Operand |
|---|-----------------------|-----------------|---------------|--------------------|
| Any Procedure Code in List - Implant chemotherapy agent | = | 0010 | | Or |
| Any Procedure Code in List - High dose infusion interleukin-2 | = | 0015 | | Or |
| Any Procedure Code in List - Implant cardiac resynch pacer w/o defib - Insert/replace subcutaneous device for intracardiac hemodynamic monitoring | Between | 0050 | 0057 | Or |
| Any Procedure Code in List - CISTERNAL PUNCTURE - MANUAL ROTAT FETAL HEAD | Between | 0101 | 7351 | Or |
| Any Procedure Code in List - EPISIOTOMY - BRAIN/SKULL CONTRST XRAY | Between | 736 | 8702 | Or |
| Any Procedure Code in List - CONTRAST DACRYOCYSTOGRAM - CERVICAL LYMPHANGIOGRAM | Between | 8705 | 8708 | Or |
| Any Procedure Code in List - TM CONTRAST ARTHROGRAM - CONTRAST X-RAY OF SINUS | Between | 8713 | 8715 | Or |
| Any Procedure Code in List - CONTRAST MYELOGRAM | = | 8721 | | Or |
| Any Procedure Code in List - ENDOTRACHEAL BRONCHOGRAM - CONTR MAMMARY DUCTOGRAM | Between | 8731 | 8735 | Or |
| Any Procedure Code in List - PERC HEPAT CHOLANGIOGRAM - CONTRAST PANCREATOGRAM | Between | 8751 | 8766 | Or |
| Any Procedure Code in List - CHEST WALL SINOGRAM | = | 8738 | | Or |
| Any Procedure Code in List - C.A.T. SCAN OF KIDNEY - ILEAL CONDUITOGRAM | Between | 8771 | 8778 | Or |
| Any Procedure Code in List - X-RAY OF GRAVID UTERUS - PERCUTANEOUS HYSTEROGRAM | Between | 8781 | 8784 | Or |
| Any Procedure Code in List - CONTR SEMIN VESICULOGRAM | = | 8791 | | Or |
| Any Procedure Code in List - CONTRAST EPIDIDYMOGRAM - CONTRAST VASOGRAM | Between | 8793 | 8794 | Or |
| Any Procedure Code in List - ABDOMINAL WALL SINOGRAM - ABDOMINAL LYMPHANGIOGRAM | Between | 8803 | 8804 | Or |

| | |
|------------------------|------------------------------|
| <i>Group Name: G29</i> | Post-Procedure Complications |
|------------------------|------------------------------|

| | | | | |
|---|---------|------|------|----|
| Any Procedure Code in List - PELVIC DYE CONTRAST XRAY - RETROPERITON PNEUMOGRAM | Between | 8811 | 8815 | Or |
| Any Procedure Code in List - CONTRAST ARTHROGRAM | = | 8832 | | Or |
| Any Procedure Code in List - UPPER LIMB LYMPHANGIOGRM | = | 8834 | | Or |
| Any Procedure Code in List - LOWER LIMB LYMPHANGIOGRM | = | 8836 | | Or |
| Any Procedure Code in List - CONTRAST ARTERIOGRAM NOS - IMPEDANCE PHLEBOGRAM | Between | 8840 | 8868 | Or |
| Any Procedure Code in List - ARTERIAL PRESSURE MONIT - CORONARY BLD FLOW MONIT | Between | 8961 | 8969 | Or |
| Any Procedure Code in List - INTRACAROT AMOBARB TEST | = | 8910 | | Or |
| Any Procedure Code in List - THYROID SCAN/ISOTOP FUNC - STEREO RADIOSURGERY NEC | Between | 9201 | 9239 | Or |
| Any Procedure Code in List - P32 & EYE TRACER NEC | = | 9516 | | Or |
| Any Procedure Code in List - INSERT NASOPHARYN AIRWAY - INSERT RECTAL TUBE | Between | 9601 | 9609 | Or |
| Any Procedure Code in List - PERIOP AUT TRANS HOL BLD - VACCINATION NEC | Between | 9900 | 9955 | Or |
| Any Procedure Code in List - THERAPEU PLASMAPHERESIS - OTHER THERAPEU APHERESIS | Between | 9971 | 9979 | Or |
| Any Procedure Code in List - THERAPEUTC PHOTOPHERESIS | = | 9988 | | Or |
| Any Procedure Code in List - Intravac imaging extracranial vessels - Intravascular imaging unspecified vessel | Between | 0021 | 0029 | Or |
| Any Procedure Code in List - Percut angioplasty precerebral vessel - Percut insert intracranial vasc stent | Between | 0061 | 0065 | Or |
| Any Procedure Code in List - Insertion of palatal implant | = | 2764 | | Or |
| Any Procedure Code in List - Insert percut ext heeart assist device | = | 3768 | | Or |
| Any Procedure Code in List - Insert left atrial appendage device | = | 3790 | | Or |

| | |
|------------------------|------------------------------|
| <i>Group Name: G29</i> | Post-Procedure Complications |
|------------------------|------------------------------|

| | | | | |
|--|---------|------|------|----|
| Any Procedure Code in List - Implant prosthetic cardiac support devic | = | 3741 | | Or |
| Any Procedure Code in List - Other repair of heart and pericardium | = | 3749 | | Or |
| Any Procedure Code in List - Endovascular impantation of graft in tho | = | 3973 | | Or |
| Any Procedure Code in List - MICRO EXAM-PERITON NEC | = | 9119 | | Or |
| Any Procedure Code in List - Insertion of (cement) spacer - Implantation interspinous process decomp | Between | 8456 | 8458 | Or |
| Any Procedure Code in List - Adjunct codes for external fixator devic - Application of hybrid external fixator d | Between | 8471 | 8473 | Or |
| Any Procedure Code in List - Insert/replace single array rechargeable - Insert/replace dual array rechargeable n | Between | 8697 | 8698 | Or |
| Any Procedure Code in List - Insertion of liquid brachytherapy radioi | = | 9220 | | Or |
| Any Procedure Code in List - Infuse immunosuppressive antibody during | = | 0018 | | Or |
| Any Procedure Code in List - Adjunct Procedure on single vessel - Adjunct Insertion 4 or more vasular sten | Between | 0040 | 0048 | Or |
| Any Procedure Code in List - PTCA or coronary atherectomy | = | 0066 | | Or |
| Any Procedure Code in List - Rev hip replacement, both acetabular & f - Hip replacement bearing surface, ceramic on polyethylene | Between | 0070 | 0077 | Or |
| Any Procedure Code in List - Revision of knee replacement total (all - Resurfacing hip, partial, acetabulum | Between | 0080 | 0087 | |