

**U.S. Department of State  
Directorate of Defense Trade Controls**

**DS-4076 COMMODITY JURISDICTION (CJ) DETERMINATION REQUEST FORM**

**Notes:**

Classified Information must not be included, or referred to, in the form. For Issues that may pertain to classified information, contact the DDTC Response Team at [www.pmddtc.state.gov/response\\_team/index.html](http://www.pmddtc.state.gov/response_team/index.html).

Registration with DDTC is not required but if the Applicant or Submitter have been assigned a registration code, that information will be entered on the form.

The form will not be used for purposes of requesting clarification of existing U.S. Munitions List category or subcategory defense articles or defense services. Such requests are submitted under a General Correspondence (GC) to the Directorate of Defense Trade Controls Licensing Directorate, not the CJ website.

To view this form, Adobe Reader 8 or higher is required. To download Adobe Reader, visit [www.adobe.com](http://www.adobe.com).

All blocks must be completed and detailed attachments provided. Insufficient information will cause the CJ package to be returned. Provide brief descriptions in the space allotted and attach additional information as necessary. Putting "See Attached" in the description space will cause the CJ package to be returned.

Supplemental information in support of a submitted CJ cannot be uploaded electronically at this time. Information must be delivered to DDTC Policy as a PDF on a CD, properly marked to include CJ case number, CJ block number to which the information corresponds, and reason(s) for supplemental submission, such as another USG agency requested the information. The information will be downloaded into the official electronic CJ file and distributed to the interagency working group. Only information formally submitted to DDTC can be considered in the CJ review process. Recommend sending the CD via express mail service.

**Specific Block Instructions:**

- Block 2:** A Submitter is a party authorized by the Applicant in Block 1 to submit the DS-4076 on its behalf. If the Submitter has registered under 22 C.F.R. 122 or 129 and was issued a code, that code should be entered in Block 2. The Submitter must include an Authorization Letter from the Applicant authorizing the Submitter to file the CJ on its behalf. A suggested Authorization Letter template is available on the DDTC website.
- Block 5:** The information contained in this block will be released to the public. (See Block 15 for additional information.)
- Block 6:** For products including software, guidance in preparing supporting documentation may be found on "DS-4076 Commodity Jurisdiction (CJ) Guidance for Software".
- Block 14:** If you believe that the commodity may be covered by the U.S. Munitions List and has been exported without authorization from the U.S. Department of State, please be informed of the voluntary disclosure process at 22 CFR 127.12. Voluntary disclosures are handled by the Office of Defense Trade Controls Compliance, Directorate of Defense Trade Controls (DDTC). If you have any questions, please contact the DDTC Response Team at (202) 663-1282 or by email [DDTCResponseTeam@state.gov](mailto:DDTCResponseTeam@state.gov).
- Block 19:** The Applicant or Submitter certifies as to the accuracy and completeness of the information provided and has not knowingly omitted information that could have an impact on the final determination.

**Last Updated: 12/01/2010**