

FIPS 201 Evaluation Program Attestation Form for PIV Card Delivery

This form serves to assert that the offering being submitted for FIPS 201 conformance evaluation is accurately meeting the requirements stated in the Standard.

Applicant Information

Company Name	
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Product/Service Information

Name		
Part Number		
Hardware Version		
Software Version		
Firmware Version		

Lab Specific Information

Approval Procedure Version	9.0.0
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Requirements being attested to:

Identifier #	Requirement Description	Source
ISS.1	Delivery facilities shall use a common set of standard operating procedures for delivery of PIV Cards.	Derived Test Requirement
ISS.2	The [service] shall, at the time of issuance, verify that the individual to whom the credential is to be issued (and on whom the background investigation was completed) is the same as the intended applicant/recipient as approved by the appropriate authority.	FIPS 201, Section 2.3
ISS.3	The issuer shall perform a 1:1 biometric match of the applicant against the biometric included in the PIV Card or in the PIV enrollment record.	FIPS 201, Section 5.3.1
ISS.4	The PIV Card shall be released to the applicant only upon a successful biometric match.	FIPS 201, Section 5.3.1
ISS.5	The card issuer shall verify the cardholder's identity against the biometric information stored on the expiring card.	FIPS 201, Section 5.3.2.1
ISS.6	Expired PIV Cards must be collected and destroyed.	FIPS 201, Section 5.3.2.1
ISS.7	Delivery facilities shall maintain records as to which process, issuance or renewal, should be used for each PIV Card issued.	Derived Test Requirement
ISS.8	Delivery facilities shall employ personnel who are knowledgeable of the organization's operating procedures.	Derived Test Requirement
ISS.9	Delivery facilities shall employ personnel who are not on the list of known terrorists.	Derived Test Requirement
ISS.10	Delivery facilities shall perform background checks on personnel handling PIV Cards. Background checks must reflect Federal, State and Local databases to ensure felonies have not been committed by	Derived Test Requirement

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	PIV Card handlers.	
ISS.11	Delivery facilities shall maintain adequate security on the premise of the building.	Derived Test Requirement
ISS.12	Delivery facilities shall utilize a PIV Card storage container which contains a multi-factor form of authentication to access the contents of the container.	Derived Test Requirement
ISS.13	Delivery facilities shall maintain a log of visitors who enter the area which has been designated to deliver PIV Cards to cardholders.	Derived Test Requirement
ISS.14	Delivery facilities shall require cardholders to sign an acknowledgement form prior to the release of the PIV Card.	Derived Test Requirement
ISS.15	Delivery facilities shall utilize automated card inventory control, which utilizes the CHUID to track and manage the following transactions: <ul style="list-style-type: none"> • Inventory to be delivered from the personalization facility • Inventory on hand • Inventory which has been delivered to cardholders. 	Derived Test Requirement
ISS.16	Facility personnel shall demonstrate issuance of a card in accordance with the Facility Standard Operating Procedure	Derived Test Requirement
ISS.17	Delivery facilities shall utilize a mechanism to notify Agencies, on a regular basis, which PIV Cards have been received and delivered to cardholders.	Derived Test Requirement
ISS.18	Delivery facilities shall have a procedure in which to notify applicants, at a minimum using written communication, that their PIV Card is ready to be picked up.	Derived Test Requirement
ISS.19	Delivery facilities shall deliver renewed and reissued cards in accordance with Section 5.3 of FIPS 201.	Derived Test Requirement
ISS.20	Delivery facilities shall utilize only FIPS 201 approved equipment according to the GSA FIPS 201 Approved Product List (e.g. biometric readers or single fingerprint capture devices for 1:1 match of the biometric record to the Applicant prior to release of the PIV Card).	Derived Test Requirement
ISS.21	Any server which is publicly available on the Facility's network (e.g. web or email server), which holds information pertaining to applicants shall be tested using penetration tests to prove that the server is not vulnerable to common attacks through public networks.	Derived Test Requirement
ISS.22	All servers, which serve a purpose for the PIV Card Delivery Service, residing on the facility's network, shall be scanned for security vulnerabilities, and the appropriate security patches applied to the affected software.	Derived Test Requirement
ISS.23	IT Information Systems containing information in identifiable form (IIF), used to deliver a PIV Card to an applicant, shall have a Privacy	Derived Test Requirement

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Identifier #	Requirement Description	Source
	Impact Assessment (PIA), as directed by OMB Memorandum M-03-22 ¹ , performed on that system.	
ISS.24	PIV Card Delivery Facilities shall be reviewed every two (2) years, from the date of GSA approval, to ensure Standard Operating Procedures are followed by PIV Card Delivery Personnel	Derived Test Requirement

Signature

I hereby claim that I am authorized to sign this form on behalf of the above specified company. By signing this form I acknowledge that,

- I am aware of the requirements of FIPS 201 and its related publications that my Product needs to comply with and that the Product that has been submitted to the Lab is, to the best of my knowledge, complete and accurately meeting these requirements.
- The organization will notify the GSA FIPS 201 EP of any manufacturing or product (form, fit or function) change that the product may undergo from the date it was placed on the Approved Products List until it is removed and placed on the Removed Products List.
- The organization will not use any product's approval status in a way that, in the opinion of GSA EP:
 - Is inconsistent with the scope of the product's approval status.
 - Brings the credibility of GSA FIPS 201 EP into question.
 - Is misleading or inaccurate.
- The organization agrees upon withdrawal, suspension or revocation of compliance status to immediately cease and desist any and all advertising or statements claiming the approval status of the affected product(s).
- The organization will use the approval status only in the manner for which it was issued and reference only the requirements of the specific category to which the product was found to be compliant
- The organization is aware that any false claims could result in a penalty as defined by the Federal Acquisition Regulation (FAR) including removal of the product from the Approved Products List.

Signature		Date	
Name			
Title			

¹ Memorandum can be found at <http://www.whitehouse.gov/omb/memoranda/m03-22.html>