

FIPS 201 Evaluation Program

Attestation Form for Graphical Personalization

This form serves to assert that the offering being submitted for FIPS 201 conformance evaluation is accurately meeting the requirements stated in the Standard.

General Information

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| Company Name | |
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Product/Service Information

| | | |
|------------------|--|--|
| Name | | |
| Part Number | | |
| Hardware Version | | |
| Software Version | | |
| Firmware Version | | |

Lab Specific Information

| | |
|----------------------------|--------|
| Approval Procedure Version | 13.0.0 |
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Requirements being attested to:

| Identifier # | Requirement Description | Source |
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| GP.1 | The printing process shall not deposit debris on the printer rollers during printing and laminating. | FIPS 201-1, Section 4.1.1 Para 1 pg.35 |
| GP.2 | {The printing process} shall {print on the card such that} printed material {does not} interfere with the contact and contactless ICC(s) and related components. | FIPS 201-1, Section 4.1.1 Para 1 pg.35 |
| GP.3 | {The printing process shall support graphical personalization (i.e. printing).} | Derived |
| GP.4 | {The printing process used shall print to dual interface smart cards (i.e. smart cards that contain BOTH contact and contactless interfaces).} | Derived |
| GP.5 | {Only printed cards with an acceptable visual appearance with both the contact and contactless interfaces fully functional shall be released from the printing facility.} | Derived |
| GP.6 | {The printing process shall support a printing resolution of} 300 dots per inch (dpi) {or greater}. | FIPS 201-1, Section 4.1.4.1 Para 1 pg.17 |
| GP.7 | Personalized PIV Cards shall contain at least one security feature that aids in reducing counterfeiting, are resistant to tampering, and provide visual evidence of tampering attempts. Examples of such security features include: <ul style="list-style-type: none"> • Optical varying structures; • Optical varying inks; • Laser etching and engraving; | FIPS 201-1, Section 4.1.2 Para 1 pg.15 |

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| | <ul style="list-style-type: none"> • Holograms; • Holographic images; and • Watermarks | |
| GP.8 | Incorporation of security features shall: <ul style="list-style-type: none"> • Be in accordance with durability requirements of ISO-7810 Be free of defects, such as fading and discoloration; • Not obscure printed information; and • Not impede access to machine-readable information | FIPS 201-1, Section 4.1.2 Para 2 pg.16 |
| GP.9 | The PIV Card shall not be embossed. | FIPS 201-1, Section 4.1.3 Para 2 pg.16 |
| GP.10 | Decals shall not be adhered to the card. | FIPS 201-1, Section 4.1.3 Para 2 pg.16 |
| GP.11 | The reagents called out in Section 5.4.1.1 of ISO 10373 shall be modified to include a two percent soap solution. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.12 | {The ANSI 322 tests shall include the Bar Code Abrasion (1D) test. This test applies only if the printer has the ability to print Linear 3 of 9 barcodes.} | Derived |
| GP.13 | The card shall be subjected to actual, concentrated, or artificial sunlight to appropriately reflect 2000 hours of southwestern United States' sunlight exposure. The tests shall be in accordance with ANSI 322, Section 5.15. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.14 | The tests shall include the ANSI 322 {Daylight Exposure Image Stability – Xenon Arc}. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.15 | The card shall be subjected to the ISO 10373 dynamic bending test and shall have no visible cracks or failures. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.16 | {The ANSI 322 tests shall include the Image Abrasion Test.} | Derived |
| GP.17 | The ANSI 322 tests shall include the Temperature and Humidity Induced Dye Migration Test. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.18 | The ANSI 322 tests shall include the Plasticizer Induced Dye Migration Test. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.19 | The ANSI 322 tests shall include a laundry test. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |

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| GP.20 | The card material shall allow production of a flat card in accordance with ISO 7810 after lamination of one or both sides of the card. | FIPS 201-1, Section 4.1.3 Para 2 pg.17 |
| GP.21 | Cards shall not malfunction after hand cleaning with a mild soap and water mixture. | FIPS 201-1, Section 4.1.3 Para 1 pg.16 |
| GP.22 | Zone 1—Photograph. The photograph shall be placed in the upper left corner as depicted in Figure 4-1. | FIPS 201-1, Section 4.1.4.1 Para 1 pg.17 |
| GP.23 | Zone 2—Name. The full name, or alternatively, pseudonyms as provided under the law, shall be printed directly under the photograph. | FIPS 201-1, Section 4.1.4.1 Para 2 pg.18 |
| GP.24 | Zone 2—Name. The name shall be printed [directly below the photograph]. | FIPS 201-1, Section 4.1.4.1 Para 2 pg.18 |
| GP.25 | Zone 2—Name. The font shall be a minimum of 10 point. | FIPS 201-1, Section 4.1.4.1 Para 2 pg.18 |
| GP.26 | Zone 8—Employee Affiliation. A printed employee affiliation shall be printed on the card. Some examples of employee affiliation are "CONTRACTOR," "ACTIVE DUTY," and "CIVILIAN." | FIPS 201-1, Section 4.1.4.1 Para 3 pg.18 |
| GP.27 | Zone 10— Organizational Affiliation. The Organizational Affiliation shall be printed as depicted in Figure 4-1. | FIPS 201-1, Section 4.1.4.1 Para 4 pg.18 |
| GP.28 | Zone 14—Expiration Date. The card expiration date shall be printed in a YYYYMMDD format. | FIPS 201-1, Section 4.1.4.1 Para 5 pg.18 |
| GP.29 | Zone 1—Agency Card Serial Number. This item shall contain the unique serial number from the issuing department or agency. {This zone shall be left-justified.} | FIPS 201-1, Section 4.1.4.2 Para 1 pg.18 |
| GP.30 | Zone 2—Issuer Identification. This item shall consist of six characters for the department code, four characters for the agency code, and a five-digit number that uniquely identifies the issuing facility within the department or agency. {This zone shall be right-justified.} | FIPS 201-1, Section 4.1.4.2 Para 2 pg.18 |
| GP.31 | Zone 3—Signature. If used, the space for the signature shall not interfere with the contact and contactless placement. | FIPS 201-1, Section 4.1.4.3 Para 2 pg.18 |
| GP.32 | Zone 4—Agency Specific text area. If used, {[No requirement]}. | FIPS 201-1, Section 4.1.4.3 |

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| | | Para 3 pg.18 |
| GP.33 | Zone 5—Rank. If used, the cardholder's rank shall be printed in the area as illustrated. | FIPS 201-1, Section 4.1.4.3 Para 4 pg.18 |
| GP.34 | Zone 6—Portable Data File (PDF) Two-Dimensional Bar Code. If used, the PDF bar code placement shall be as depicted in the diagram (i.e., left side of the card). | FIPS 201-1, Section 4.1.4.3 Para 5 pg.18 |
| GP.35 | Zone 9— Header. If used, the text "United States Government" shall be placed as depicted in Figure 4-1. | FIPS 201-1, Section 4.1.4.3 Para 6 pg.19 |
| GP.36 | Zone 9— Header. If used, departments and agencies may choose to use this zone for other department or agency-specific information, such as identifying a Federal emergency responder role, as depicted in Figure 4-2. | FIPS 201-1, Section 4.1.4.3 Para 6 pg.19 |
| GP.37 | Zone 11—Agency Seal. If used, the seal selected by the issuing department, agency, or organization shall be printed in the area depicted. | FIPS 201-1, Section 4.1.4.3 Para 7 pg.19 |
| GP.38 | Zone 11—Agency Seal. If used, it shall be printed using the guidelines provided in Figure 4-2 to ensure information printed on the seal is legible and clearly visible. {This zone shall be 20 x 20 mm in dimensions.} | FIPS 201-1, Section 4.1.4.3 Para 7 pg.19 |
| GP.39 | Zone 12—Footer. If used, a department or agency may print "Federal Emergency Response Official" as depicted in Figure 4-2. | FIPS 201-1, Section 4.1.4.3 Para 8 pg.19 |
| GP.40 | Zone 12—Footer. If used, the departments or agency may also print a secondary line in Zone 9 to further identify the Federal emergency respondent's official role. Some examples of official roles are "Law Enforcement, "Firefighter" and "Emergency Response Team (ERT)". | FIPS 201-1, Section 4.1.4.3 Para 8 pg.19 |
| GP.41 | Zone 13—Issue Date. If used, the card issuance date shall be printed above of the expiration date in YYYYMMDD format as depicted in Figure 4-2. | FIPS 201-1, Section 4.1.4.3 Para 9 pg.19 |
| GP.42 | Zone 15—Color-Coding for Employee Affiliation. {If used}, color-coding shall be used as a background color for Zone 2 (name) as depicted in Figure 4-4. | FIPS 201-1, Section 4.1.4.3 Para 10 pg.19 |
| GP.43 | Zone 15—Color-Coding for Employee Affiliation. {If used}, the following color scheme shall be used for the noted categories: a. Blue—foreign nationals b. Red—emergency responder officials c. Green—contractors | FIPS 201-1, Section 4.1.4.3 Para 10 pg.19 |
| GP.44 | Zone 15—Color-Coding for Employee Affiliation. {If used}, blue, red and green shall be reserved color-codes and shall not be employed | FIPS 201-1, Section 4.1.4.3 |

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| | for other purposes. | Para 11 pg.19 |
| GP.45 | Zone 16—Photo Border for Employee Affiliation. {If used}, the photo border shall not obscure the photo. | FIPS 201-1, Section 4.1.4.3 Para 12 pg.19 |
| GP.46 | Zone 16—Photo Border for Employee Affiliation. {If used}, red shall be reserved for emergency response officials, blue for foreign nationals, and green for contractors. All other colors may be used at the department or agency's discretion. | FIPS 201-1, Section 4.1.4.3 Para 12 pg.19 |
| GP.47 | Zone 17—Agency Specific Data. {If used}, Zone 17 may display other department or agency-specific information, as depicted in Figure 4-5. {[Note that Zone 17 overlaps Zone 3 and Zone 12, and is only available if these other zones are not used.]} | FIPS 201-1, Section 4.1.4.3 Para 13 pg.19 |
| GP.48 | The card material shall withstand the effects of temperatures required by the application of a polyester laminate on one or both sides of the card by commercial off-the-shelf (COTS) equipment. | FIPS 201-1, Section 4.1.3 Para 4 pg.17 |
| GP.49 | Zone 4—Return To. If used, the "return if lost" language shall be generally placed on the back of the card as depicted in Figure 4-7. {The font shall be 5pt Arial Normal.} | FIPS 201-1, Section 4.1.4.4 Para 2 pg.19 |
| GP.50 | Zone 5—Physical Characteristics of Cardholder. If used, the cardholder physical characteristics (e.g., height, eye color, hair color) shall be printed in the general area illustrated in Figure 4-7. {English measurements shall be used.} | FIPS 201-1, Section 4.1.4.4 Para 3 pg.19 |
| GP.51 | Zone 6—Additional Language for Emergency Responder Officials. If used, this additional text shall not interfere with other printed text components or machine-readable components. {The font shall be 5pt Arial Normal.} | FIPS 201-1, Section 4.1.4.4 Para 4 pg.20 |
| GP.52 | Zone 7—Standard Section 499, Title 18 Language. If used, standard Section 499, Title 18, language warning against counterfeiting, altering, or misusing the card shall be printed in the general area depicted in Figure 4-7. {The font shall be 5pt Arial Normal.} | FIPS 201-1, Section 4.1.4.4 Para 5 pg.20 |
| GP.53 | Zone 8—Linear 3 of 9 Bar Code. If used, a linear 3 of 9 bar code shall be generally placed as depicted in Figure 4-7. | FIPS 201-1, Section 4.1.4.4 Para 6 pg.20 |
| GP.54 | Zone 8—Linear 3 of 9 Bar Code. If used, a linear 3 of 9 bar code shall be in accordance with Association for Automatic Identification and Mobility (AIM) standards. | FIPS 201-1, Section 4.1.4.4 Para 6 pg.20 |
| GP.55 | Zone 8—Linear 3 of 9 Bar Code. If used, beginning and end points of the bar code will be dependent on the embedded contactless module selected. | FIPS 201-1, Section 4.1.4.4 Para 6 pg.20 |
| GP.56 | Zone 9, Zone 10—Agency-Specific Text. {If used}, Zone 9 may display other department or agency-specific information, as depicted in Figure 4-8. {[Note that Zone 9 overlaps Zone 6 and Zone 7, and is | FIPS 201-1, Section 4.1.4.4 |

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| | only available if these other zones are not used.]] | Para 7 pg.20 |
| GP.57 | Unless otherwise specified, the recommended font size is 5pt normal weight for data labels (also referred to as tags). | FIPS 201-1, Section 4.1.4.4 Para 9 pg.21 |
| GP.58 | Unless otherwise specified, the recommended font size is 6pt bold for actual data. | FIPS 201-1, Section 4.1.4.4 Para 9 pg.21 |
| GP.59 | All text is to be printed using the Arial font. | FIPS 201-1, Section 4.1.4.4 Para 9 pg.21 |
| GP.60 | The thickness added due to a laminate layer shall not interfere with the smart card reader operation. | FIPS 201-1, Section 4.1.3 Para 4 pg.17 |
| GP.61 | {Zone areas printed on PIV Cards shall be precisely placed in position using the measurements defined by FIPS 201.} | Derived |
| GP.62 | All letterings on the PIV Card shall be printed in black except as {explicitly stated}. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.1 Para 1 pg.2 |
| GP.63 | The ERO color-coding, when used, shall be depicted at the footer location of Zone 12 and must print "Emergency Response Official" with white lettering on a red background. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.1 Para 4 pg.3 |
| GP.64 | When Zone 15 indicates Foreign National affiliation and the department or agency does not need to highlight ERO status, the footer location of Zone 12 may be used to denote the country or countries of citizenship. If so used, the department or agency shall print the country name or the three letter country abbreviation (alpha-3 format) in accordance with ISO 3166-1, Country Codes [ISO 3166]. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.1 Para 5 pg.3 |
| GP.65 | Zone 18—Affiliation Color Code. The affiliation color code "B" for Blue or "G" for Green shall be printed in a white circle in Zone 15. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 1 pg.6 |
| GP.66 | The diameter of the circle shall not be more than 5 mm <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 1 pg.6 |

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| GP.67 | The lettering shall correspond to the printed color in Zone 15. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 1 pg.6 |
| GP.68 | Zone 19—Expiration Date. The card expiration date shall be printed in a MMMYYYY format in the upper right hand corner. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 2 pg.6 |
| GP.69 | The expiration date shall be printed in Arial 12pt Bold. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 2 pg.6 |
| GP.70 | Zone 20—Organizational Affiliation Abbreviation. The organizational affiliation abbreviation may be printed in the upper right hand corner below the date as shown in Figure 1. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 3 pg.6 |
| GP.71 | If printed, the organizational affiliation abbreviation shall be printed in Arial 12pt Bold. <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.3 Para 3 pg.6 |
| GP.72 | {White color values are printed as follows}: <ul style="list-style-type: none"> • sRGB Tristimulus value {255, 255, 255} • sRGB value {255, 255, 255} • CMYK value {0, 0, 0, 0} • Pantone value {White} <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.4 Para 1, 2 pg.6 |
| GP.73 | {Green color values are printed as follows}: <ul style="list-style-type: none"> • sRGB Tristimulus value {153, 255, 153} • sRGB value {203, 255, 203} • CMYK value {40, 0, 40, 0} • Pantone value {359C} <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.4 Para 1, 2 pg.6 |
| GP.74 | {Blue color values are printed as follows}: <ul style="list-style-type: none"> • sRGB Tristimulus value {0, 255, 255} • sRGB value {0, 255, 255} • CMYK value {100, 0, 0, 0} • Pantone value {630C} <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, Section 2.4 Para 1, 2 pg.6 |
| GP.75 | {Red color values are printed as follows}: <ul style="list-style-type: none"> • sRGB Tristimulus value {255, 0, 0} • sRGB value {255, 0, 0} • CMYK value {0, 100, 100, 0} • Pantone value {630C} <i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i> | SP 800-104, |

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| | <ul style="list-style-type: none"> • sRGB Tristimulus value {253, 27, 20} • sRGB value {254, 92, 79} • CMYK value {0, 90, 86, 0} • Pantone value {032C} <p><i>(This requirement will be evaluated only if SP 800-104 zones are able to be printed)</i></p> | Section 2.4 Para 1, 2 pg.6 |
| GP.76 | {Personalization facilities shall use a common set of standard operating procedures for production of printed PIV Cards.} | Derived |
| GP.77 | {Delivery facilities shall employ personnel who are knowledgeable of the organization's operating procedures.} | Derived |
| GP.78 | {Delivery facilities shall employ personnel who are not on the list of known terrorists.} | Derived |
| GP.79 | {Delivery facilities shall perform background checks on personnel handling PIV Cards. Background checks must reflect Federal, State and Local databases to ensure felonies have not been committed by PIV Card handlers.} | Derived |
| GP.80 | {Delivery facilities shall maintain adequate security on the premise of the building.} | Derived |
| GP.81 | {Delivery facilities shall utilize a PIV Card storage container which contains a multi-factor form of authentication to access the contents of the container.} | Derived |
| GP.82 | {Delivery facilities shall maintain a log of visitors who enter the area which has been designated to print PIV Cards.} | Derived |
| GP.83 | <p>{Delivery facilities shall utilize automated card inventory control, which utilizes the Agency Serial Number, at a minimum, to track and manage the following transactions:</p> <ul style="list-style-type: none"> • Inventory of provisioned PIV Cards on hand; and • Inventory which has been delivered.} | Derived |
| GP.84 | {Delivery facilities shall utilize a mechanism to notify Agencies, on a regular basis, which printed cards have been received (if applicable) and delivered.} | Derived |
| GP.85 | {Delivery facilities must send personalized cards to their respective locations using a secure and reliable courier which provides delivery tracking and notification.} | Derived |
| GP.86 | {Facility personnel shall print all cards which are conformant to the requirements of Graphical Personalization Approval Procedure, Appendix A.} | Derived |
| GP.87 | {Information Technology systems used to store personally identifiable system shall have a Privacy Impact Assessment conducted.} | Derived |
| GP.88 | {The Supplier shall utilize a secure mechanism to transfer data related to the card printing request.} | Derived |
| GP.89 | {Graphical Personalization Facilities shall be reviewed every two (2) | Derived |

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| | years, from the date of GSA approval, to ensure the Standard Operating Procedures are followed by Facility Personnel.} | |
| GP.90 | {If implemented using an IT system, the mechanism used for protection of confidentiality and integrity of information exchanged between the contracting Agency and Service Provider is performed using a FIPS 140-2 approved cryptographic module.} | Derived |

Signature

I hereby claim that I am authorized to sign this form on behalf of the above specified company. By signing this form I acknowledge that,

- I am aware of the requirements of FIPS 201 and its related publications that my Product needs to comply with and that the Product that has been submitted to the Lab is, to the best of my knowledge, complete and accurately meeting these requirements.
- The organization will notify the GSA FIPS 201 EP of any manufacturing or product (form, fit or function) change that the product may undergo from the date it was placed on the Approved Products List until it is removed and placed on the Removed Products List.
- The organization will not use any product's approval status in a way that, in the opinion of GSA EP:
 - Is inconsistent with the scope of the product's approval status.
 - Brings the credibility of GSA FIPS 201 EP into question.
 - Is misleading or inaccurate.
- The organization agrees upon withdrawal, suspension or revocation of compliance status to immediately cease and desist any and all advertising or statements claiming the approval status of the affected product(s).
- The organization will use the approval status only in the manner for which it was issued and reference only the requirements of the specific category to which the product was found to be compliant
- The organization is aware that any false claims could result in a penalty as defined by the Federal Acquisition Regulation (FAR) including removal of the product from the Approved Products List.

| | | | |
|-----------|--|------|--|
| Signature | | Date | |
| Name | | | |
| Title | | | |