

STRATEGIC PLAN

FY 2011-2012



DEFENSE TECHNOLOGY SECURITY ADMINISTRATION
WWW.DTSA.MIL

Ensuring the Edge



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Defense Technology Security Administration

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Message from the Director

ENSURING THE EDGE



It is my honor to present the FY2011 – 2012 Strategic Plan for the Defense Technology Security Administration (DTSA).

DTSA is charged with retaining a military technology and information advantage for the United States and its partners. To fulfill this responsibility, DTSA must remain vigilant in protecting critical technology and information from being acquired by hostile states and non-state actors.

Beyond our daily responsibilities, we enter 2011 with a changing export control environment, an emphasis on reassessing operational costs within the Department of Defense (DoD), and a Base Realignment and Closure (BRAC) driven relocation. To meet these new challenges, we have developed a plan that supports inter-agency export control reform efforts, focuses DTSA on operating with greater efficiency, prepares us for our new facility and focuses on developing the workforce. We see the challenges ahead of us and are rising to them.

The plan is comprised of Strategic Goals that focuses on five areas: Our National Security, our nation's allies, our industrial partners, our operations and our workforce. It challenges us to maintain and enhance our technological, policy and regulatory expertise and sets benchmarks we must meet to ensure we are fulfilling our responsibilities to the DoD, our interagency partners, industry stakeholders and our workforce.

The foundation of the plan is built on objectives and metrics that tie to every single member of our workforce. Our employees will be the key to achieving DTSA's strategic goals. It is their work and success that will build our collective ability to execute our paramount responsibility: protect the U.S. and partner warfighters on the battlefield via a technological advantage.

Thank you for your interest in DTSA and our Strategic Plan. We look forward to creating a more effective and efficient organization that continues to fulfill its responsibility to the American people.

James A. Hursch
James A. Hursch, Director



At the Core

Vision

Recognized experts creating technology security and foreign disclosure solutions that enhance the U.S. and allied warfighter's edge.

Mission

Ensure U.S. National Security interests by protecting critical technology and information while building partnership capacity.

Core Competencies

- :: Technology and weapons analysis
- :: Technology transfer, export control, and foreign disclosure risk management and mitigation
- :: International technology security engagement
- :: Foreign space launch compliance monitoring
- :: Integration of expertise, policy and regulations

Values

- :: Collaboration
- :: Professionalism
- :: Integrity
- :: Expertise
- :: Agility

Strategic Goals



TECHNOLOGY AND WEAPONS ANALYSIS

TECHNOLOGY TRANSFER, EXPORT CONTROL, AND
FOREIGN DISCLOSURE RISK MANAGEMENT AND
MITIGATION

INTERNATIONAL TECHNOLOGY SECURITY ENGAGEMENT

FOREIGN SPACE LAUNCH COMPLIANCE MONITORING

INTEGRATION OF EXPERTISE, POLICY AND REGULATIONS



Strategic Goals

- 1** Prevent the proliferation or diversion of technology that could prove detrimental to U.S. national security.
- 2** Engage U.S. allies and partners to increase interoperability and protect critical technology and information.
- 3** Facilitate the health of the U.S. industrial base.
- 4** Align and utilize resources to execute DTSA's mission.
- 5** Keep DTSA a great place to work.

Strategic Goal

Prevent the proliferation or diversion of technology that could prove detrimental to U.S. national security.

Objective 1: Identify critical military technologies to limit the transfer of dual-use and defense-related technology that would be detrimental to U.S. national security interests.

Metrics

- I.1A: Research and catalog issues for the pre-screening process by the end of FY11 Q3; develop recommendations to address these issues by the end of FY12 Q1; socialize those recommendations with the Licensing Directorate (LD), develop impact statements on the implementation of those recommendations, and approve or deny those recommendations by the end of FY12 Q2.
- I.1B: Track results of interagency escalation outcomes for commodity jurisdictions and dual-use items. Each quarter review final decisions which are inconsistent with DoD positions and identify causes for failed arguments, areas needing improvement and where other DoD organizations may contribute to promote and protect DoD national security interests. Develop template to address areas of improvement and continually update each quarter.

Objective 2: Identify proliferation and diversion destinations of concern, organizations with a history of diversion and end users with associations of concern.

Metric

- I.2: Conduct technology risk analysis to determine the existence of End-Users, Ultimate Consignee, and questionable Intermediate Consignees to determine if any entity under consideration is involved in any inappropriate activities or maintains associations of concerns. Ensure 90% of risk analyses are completed and properly recorded in the USXPORTS database no later than 10 days following the receipt of the license at DTSA.

Objective 3: Reshape and reform export control policy, processes, regulations and guidelines to preserve the U.S. critical military technology advantage.

Metrics

- I.3A: Ensure 100% of DTSA directives and policy documents fully address and bridge technology security policy gaps by developing corrective action on deficient policies and directives within 30 days of the identification of concerns.
- I.3B: Resolve inconsistencies in licensing Standard Operating Procedures (SOPs) within the Licensing, Policy (PD), and Technology (TD) Directorates by the end of FY11 Q3; ensure the development of an implementation plan to align those SOPs by the end of FY11 Q4; and meet all of the milestones in the implementation plan in the following quarters.
- I.3C: Complete Export Control Reform (ECR) tasks assigned to LD within the allotted time frame at least 90% of the time.
- I.3D: Develop licensing policies associated with the tiers of a single control list within the allotted time frame at least 90% of the time.
- I.3E: Update LD SOPs & guidance papers to implement ECR changes within the allotted time frame at least 90% of the time.

Objective 4: Conduct thorough, consistent, and expeditious reviews of international technology transfers, making recommendations commensurate with technology security policy and guidelines.

Metrics

- I.4A: Meet license review quality guidelines, as specified in LD SOPs and guidance papers, greater than 95% of the time.
- I.4B: Meet LD SOP and guidance paper timelines greater than 95% of the time.
- I.4C: Ensure greater than 95% of licenses are staffed appropriately to the relevant DoD components and stakeholders as required for each individual license, in accordance with SOPs and guidance papers.
- I.4D: Research and catalog problems with the Autostaff system by the end of FY11 Q3; develop solutions to mitigate those problems by the end of FY12 Q1; develop impact statements and approve or deny those recommendations by the end of FY12 Q2.
- I.4E: Research and catalog issues related to non license actions by the end of FY11 Q3; develop solutions to mitigate those problems by the end of FY12 Q1; develop impact statements and approve or deny those recommendations by the end of FY12 Q2.

2

Strategic Goal

Engage U.S. allies and partners to increase interoperability and protect critical technology and information.

Objective 1: Foster bilateral and multilateral relationships to develop a shared view of technology and information security and foreign disclosure policy with international partners.

Metrics

- 2.1A: Update the priority countries list quarterly.
- 2.1B: Report out from working groups within one week of conclusion of the working group 90% of the time.
- 2.1C: Review and update planned international and industry outreach weekly 100% of the time.
- 2.1D: Submit 100% of the National Disclosure Policy Committee (NDPC) security survey reports to the NDPC within 120 business days of survey completion.
- 2.1E: Seek State Department Circular 175 authority when necessary to negotiate and conclude General Security of Military Information Agreements (GSOMIA) or General Security of Information Agreements (GSOIA) within 60 business days of completion of a favorable NDPC security survey 100% of the time.
- 2.1F: Process 100% of agreements submitted by a DoD component for the assignment of foreign national personnel within two business days.

Objective 2: Ensure technology and information security and foreign disclosure policy is implemented consistently through flexible and agile security cooperation actions.

Metric

- 2.2: Create interagency and intra-agency counterpart list by the end of FY11 Q2; prioritize the list and develop an engagement schedule by the end of FY11 Q3; and meet all of the engagement schedule thereafter.

Objective 3: Employ technology and information security and foreign disclosure policies, standards and procedures to create additional force multipliers for coalition operations.

Metrics

- 2.3A: Review 100% of requests for an Exception to the National Disclosure Policy (ENDP) submitted by an NDPC member within one business day of receipt.
- 2.3B: Staff 100% of ENDPs to NDPC within two business days of receipt.
- 2.3C: Issue a Record of Action recording NDPC decisions relating to ENDP requests within five business days of voting completion 90% of the time.



3

Strategic Goal

Facilitate the health of the U.S. industrial base.

Objective 1: Mitigate U.S. national security risks associated with foreign investment in U.S.-based industry.

Metrics

- 3.1A: 100% of Committee on Foreign Investment, U.S. (CFIUS) filings will be referred to the appropriate DoD components within one business day of the filing date.
- 3.1B: 95% of action memos will be forwarded to the clearance authority within two business days of receipt of clearance recommendation from the appropriate DoD components.
- 3.1C: Develop a CFIUS mitigation compliance visitation schedule for DoD by the end of FY11 Q2, and meet the benchmarks in the schedule for the following quarters.

Objective 2: Stand up the Technology Security and Foreign Disclosure (TSFD) Office.

Metric

- 3.2: Establish TSFD office with initial operational capability by the end of FY11 Q2, full operational capability by the end of FY11 Q3.

Objective 3: Develop industrial security aspects of international security policy.

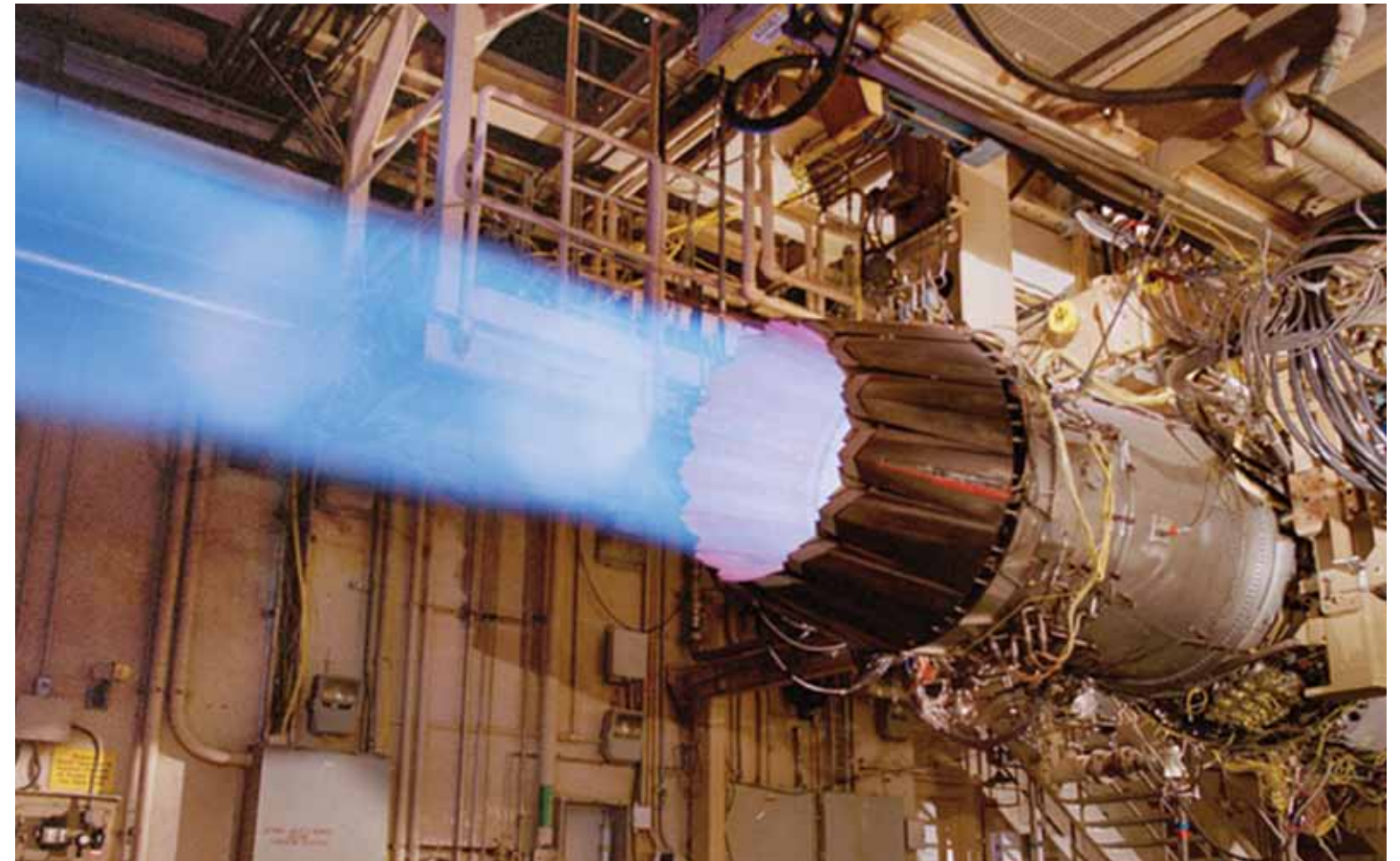
Metrics

- 3.3A: Process 100% of Program Security Instructions (PSI) submitted by a DoD component within 120 business days of receipt.
- 3.3B: When a determination is made that there is a need for an Industrial Security Agreement, initiate negotiations within 60 business days 100% of the time.

Objective 4: Foster U.S. industry compliance with applicable export control and technology security laws, regulations, and policies.

Metrics

- 3.4A: Develop a high volume product list, a list of related companies, and a sequenced project plan to develop and issue submission guidance by the end of FY11 Q3; meet all the milestones in the project plan in FY12 Q1; and meet all of the milestones in the project plan in FY12 Q2.
- 3.4B: Review and process 100% of data submitted, in accordance with quality and procedural guidelines, within 10 business days of receipt.
- 3.4C: Provide notification on all monitored activity to the management of the Space Directorate (SD) within one business day of occurrence 100% of the time.
- 3.4D: 90% of monitoring reports will be written within five days of return from a monitoring activity.



4

Strategic Goal

Align and utilize resources to execute DTSA's mission.

Objective 1: Align provided financial resources to DTSA mission objectives and requirements.

Metrics

- 4.1A: Achieve 98.5% obligation rate, and 90% disbursement rate for recorded operation and maintenance end of year actuals.
- 4.1B: Achieve 25% obligation rate for recorded procurement end of year actuals for first year availability and cumulative 99% obligation rate for second year availability. 100% of obligated funding will be disbursed by end of third year.
- 4.1C: 100% of travel vouchers will be transmitted to DTS within 5 days of returning from travel and 100% will be paid within 45 days of filed voucher.
- 4.1D: 100% of all corporate bills will be paid on time and disbursements will be validated monthly.
- 4.1E: 100% of accounts payable and accounts receivable will be reconciled monthly.

Objective 2: Establish and maintain IT tools that optimize organizational operations.

Metrics

- 4.2A: Respond to critical customer calls within 15 minutes, and close 95% of those calls within two business hours.
- 4.2B: Respond to non critical customer calls within 30 minutes, and close 95% of those calls within eight business hours.
- 4.2C: Establish a web-based training portal by FY11 Q3, and after it is established ensure that 80% of DTSA team members complete their required training each quarter.
- 4.2D: Provide 100% DTSA network availability.
- 4.2E: Develop a systems and network accreditation schedule by the end of FY11 Q3, and hit 100% of the milestones in that schedule thereafter.
- 4.2F: Meet 100% of the milestones contained in the project plan for the delivery of USXPORTS to the Department of State (DoS) by the end of FY11 Q4 and the Department of Commerce (DoC) by the end of FY12 Q2.
- 4.2G: Develop a USXPORTS Migration Memorandum of Agreement (MOA) with the Department of the Treasury (TREAS) and Department of Homeland Security (DHS) by FY12 Q1.
- 4.2H: Develop a U.S. Government Single Electronic IT System Development Strategy by FY12 Q1.
- 4.2I: Finalize DTSA IT Continuity of Operations Plan (COOP) and conduct one table top exercise by FY12 Q1.
- 4.2J: Deliver a reliable and sustained Virtual Private Network (VPN) solution in support of the agency's telework policy by the end of FY11 Q3.

Objective 3: Manage a compliant security program that enables the mission and sustains operations.

Metrics

- 4.3A: Conduct one COOP exercise by the end of FY11 Q3, and complete another COOP exercise by the end of FY12 Q2.
- 4.3B: Track the number of DTSA security violations per quarter, and the number of procedural violations identified in mishandling classified information. If there is a violation, perform corrective action 100% of the time.
- 4.3C: Conduct one Security Awareness Training module per quarter, and ensure participation by 100% of DTSA team members.
- 4.3D: Establish personnel security investigations applicant account 90 days prior to clearance expiration. Applicants will complete SF86 within 30 days of account establishment. Total processing time from start to finish of application and transmittal to OPM will not exceed 45 days prior to clearance expiration date.

Objective 4: Provide organization-wide services to effectively and efficiently facilitate the operations of DTSA while meeting organizational fiscal goals.

Metrics

- 4.4A: Review all contracts monthly to ensure they are accurately executing and if corrective action is required. When necessary, develop a corrective action plan and distribute to the contractor within five business days.
- 4.4B: 90 days prior to contract expiration, determine the next course of action (contract option, reduction, termination, etc.) 100% of the time.
- 4.4C: Prepare all contract approval packages at least 60 days prior to award date 100% of the time.
- 4.4D: Begin a review of office supply orders monthly in FY11 Q3, and reduce spending amount by 10% by FY12 Q3.
- 4.4E: Develop a plan for a DTSA-wide equipment audit by the end of FY11 Q3 and meet 100% of the project plan milestones in the following quarters.
- 4.4F: 100% of personnel action will be processed within five business days of receipt.
- 4.4G: Process and return 100% of Defense Logistics Agency (DLA) hiring benchmarks to the Washington Headquarters Service (WHS)/DLA within five business days of receipt.
- 4.4H: Process and submit to vendor 100% of training requests within three business days of receipt.

5

Strategic Goal

Keep DTSA a great place to work.

Objective 1: Minimize the impact of the BRAC move on the DTSA workforce.

Metrics

- 5.1A: Assess employee commuter options for BRAC 133 by the end of FY11 Q3. Develop a transportation plan by the end of FY11 Q3, and execute the plan by the end of FY11 Q4.
- 5.1B: Research the Mark Center building governance policies and procedures by the end of FY11 Q3. Develop and disseminate a fact sheet on relocation policies and procedures by the end of FY11 Q3.
- 5.1C: Research policy requirements for a DTSA telework program by the end of FY11 Q2 and develop an implementation plan for a telework program by the end of FY11 Q3. Deliver teleworking capability by the end of FY11 Q4.
- 5.1D: Hold monthly DTSA BRAC brown bag meetings beginning in FY11 Q3 and ending in FY12 Q1.

Objective 2: Maintain Grassroots Council's (GRC) role as an advocate for the DTSA workforce.

Metrics

- 5.2A: Approve or deny FY10 GRC recommendations by the end of FY11 Q3, develop implementation plans for approved recommendations by the end of FY11 Q3, and execute those plans by the end of FY11 Q4.
- 5.2B: Research and approve methods to collect workforce feedback and recommendations by the end of FY11 Q3, develop implementation plans for approved methods by the end of FY11 Q4, and meet the milestones in those implementation plans thereafter.

Objective 3: Develop the DTSA workforce.

Metrics

- 5.3A: 100% of DTSA team members will have an Individual Development Plan (IDP) and 100% of those plans will be reviewed annually and linked to Directorate training projections.
- 5.3B: Provide at least one agency funded professional development training opportunity for each employee, subject to the availability of resources.
- 5.3C: Develop a DTSA human capital strategy plan by the end of FY12 Q1.

Objective 4: Promote and foster a workplace that represents and respects diversity.

Metrics

- 5.4A: Ensure 100% of DTSA team members receive EEO refresher training annually.
- 5.4B: 100% of supervisors have at least one performance element addressing an awareness and support of EEO goals and objectives.



About DTSA: Directorates



PREVENT THE PROLIFERATION OR DIVERSION OF TECHNOLOGY THAT COULD PROVE DETRIMENTAL TO U.S. NATIONAL SECURITY

ENGAGE U.S. ALLIES AND PARTNERS TO INCREASE INTEROPERABILITY AND PROTECT CRITICAL TECHNOLOGY AND INFORMATION

FACILITATE THE HEALTH OF THE U.S. INDUSTRIAL BASE

ALIGN AND UTILIZE RESOURCES TO EXECUTE DTSA'S MISSION

KEEP DTSA A GREAT PLACE TO WORK

About DTSA: Directorates

LD	Licensing Directorate
PD	Policy Directorate
TD	Technology Directorate
SD	Space Directorate
ISD	International Security Directorate
MD	Management Directorate

LD



Licensing Directorate

LD is the DoD entry and exit point for all DoD actions related to the USG's licensing of export controlled goods and technology via direct commercial sales. LD is the DTSA Director's and DoD's principle source of advice on licensing and regulatory issues pertaining to the international transfer of dual-use and munitions controlled commodities and technologies. LD's critical role in executing DTSA's mission is identifying the national security concerns related to exports; developing and adjudicating DoD positions in order to effectively address these concerns; and assuring exports of military technology, defense articles, and/or dual-use commodities are commensurate with partnership needs.

Our mission is to define the DoD national security position on all licensing applications and regulations.

Core Competencies

- :: ITAR and EAR expertise
- :: Knowledge of critical technology use within DoD including pertinent organizational stakeholders
- :: Knowledge of critical technology use within DoD
- :: Thorough and impartial analysis

PD



Policy Directorate

PD consists of four divisions that focus on regional issues, negotiations and liaison, capabilities and systems, and intelligence assessment. Directorate personnel are tasked to support DTSA processes by bringing knowledge of USG policy in their assigned areas to all DTSA activities. They also lead the development of DoD and USG technology security policies for assigned areas. These activities support DTSA's mission by ensuring technology security concerns are integrated into broader policy decisions; and ensuring technology security policy reflects broader national security policies and decisions.

Our mission is to lead DoD efforts in developing technology security policy and its integration into U.S. national security policy and to convey interagency technology security arguments to the policy community and policy considerations to DTSA technical staffs.

Core Competencies

- :: Knowledge of U.S. technology security policies and processes
- :: Knowledge of foreign technology and security processes
- :: Intelligence assessments

TD



Technology Directorate

TD is DTSA's principal source of advice on technical matters pertaining to international transfers of defense-related commodities and technologies. TD's critical role in executing DTSA's mission is defining the point at which technology becomes critical from a national security perspective, and assuring that international transfers of military technology, defense articles, and/or dual-use commodities are commensurate with partnership needs.

Our mission is to evaluate international technology transfers and to shape technology security policy using engineering and scientific knowledge and defense-related technical expertise.

Core Competencies

- :: Engineering and scientific knowledge
- :: Expertise in critical military technologies, defense article capabilities and dual-use commodities
- :: Ability to communicate complex technical subjects to a broad audience

SD



Space Directorate

The purpose of the SD and goal of the monitors is to preserve critical U.S. military technological advantages and prevent the proliferation and diversion of technology that could prove detrimental to U.S. national security. In providing responsive monitoring services to industry's requests submitted in accordance with their State-approved export authorizations, SD is facilitating U.S. industry competitiveness in the international marketplace. The focus of SD over the next two years is to recruit and maintain a responsive workforce commensurate with the demands of industry while ensuring industry is provided with a readily accessible and straightforward means of requesting monitoring services.

Our mission is to monitor technical data and defense services regarding approved launches of U.S. satellites by non U.S. launch vehicles.

Core Competencies

- :: Space related technical expertise
- :: Export authorization and monitoring expertise

ISD



International Security Directorate

The International Security Directorate (ISD) carries out the responsibilities of the Secretary of Defense for the U.S. national policy governing the disclosure and protection of classified military information and material to foreign governments and international organizations and the implementation of NATO security policy within the USG.

Our mission is to develop and promulgate policy and procedures governing the disclosure and protection of classified military information and material to foreign governments and international organizations.

Core Competencies

- :: Foreign disclosure expertise
- :: International Security Program expertise

MD



Management Directorate

DTSA's success depends on the skills of its career civilians, military members, and contractors who represent a cadre of diverse and experienced subject-matter experts. The Management Directorate (MD) recognizes that effective management of financial, security, administrative, human capital, and information technology support functions are critical to achieve Directorate and DTSA strategic goals. MD will work toward these goals through development and retention of the best personnel and implementation of best business practices, using a customer-focused approach.

Our mission is to align and utilize resources to execute DTSA's mission.

Core Competencies

- :: Financial management
- :: Contract management
- :: Human capital management
- :: Technology integration
- :: Corporate security

Goals-Metrics Matrix

SPACE RELATED TECHNICAL EXPERTISE
 ITAR AND EAR EXPERTISE
 FOREIGN DISCLOSURE EXPERTISE
 ENGINEERING AND SCIENTIFIC KNOWLEDGE
 KNOWLEDGE OF CRITICAL TECHNOLOGY USE WITHIN
 DOD THOROUGH AND IMPARTIAL ANALYSIS
 KNOWLEDGE OF U.S. TECHNOLOGY SECURITY POLICIES
 AND PROCESSES
 KNOWLEDGE OF FOREIGN TECHNOLOGY AND SECURITY
 PROCESSES
 INTERNATIONAL SECURITY PROGRAM EXPERTISE
 EXPERTISE IN CRITICAL MILITARY TECHNOLOGIES,
 DEFENSE ARTICLE CAPABILITIES AND DUAL-USE
 COMMODITIES
 EXPORT AUTHORIZATION AND MONITORING EXPERTISE

Goals-Metrics Matrix

Strategic Goal I

Prevent the proliferation or diversion of technology that could prove detrimental to U.S. national security.

Objective 1: Identify critical military technologies to limit the transfer of dual-use and defense-related technology that would be detrimental to U.S. national security interests.

Metrics

- 1.1A: Research and catalog issues for the pre-screening process by the end of FY11 Q3; develop recommendations to address these issues by the end of FY12 Q1; socialize those recommendations with LD, develop impact statements on the implementation of those recommendations, and approve or deny those recommendations by the end of FY12 Q2. TD
- 1.1B: Track results of interagency escalation outcomes for commodity jurisdictions and dual-use items. Each quarter review final decisions which are inconsistent with DoD positions and identify causes for failed arguments, areas needing improvement and where other DoD organizations may contribute to promote and protect DoD national security interests. Develop template to address areas of improvement and continually update each quarter. LD

Objective 2: Identify proliferation and diversion destinations of concern, organizations with a history of diversion and end users with associations of concern.

Metric

- 1.2: Conduct technology risk analysis to determine the existence of End-Users Ultimate Consignee, and questionable Intermediate Consignees to determine if any entity under consideration is involved in any inappropriate activities or maintains associations of concerns. Ensure 90% of risk analyses are completed and properly recorded in the USXPORTS database no later than 10 days following the receipt of the license at DTSA. PD

Objective 3: Reshape and reform export control policy, processes, regulations and guidelines to preserve the U.S. critical military technology advantage.

Metrics

- 1.3A: Ensure 100% of DTSA directives and policy documents fully address and bridge technology security policy gaps by developing corrective action on deficient policies and directives within 30 days of the identification of concerns. PD
- 1.3B: Resolve inconsistencies in licensing SOPs within LD, PD and TD by the end of FY11 Q3; ensure the development of an implementation plan to align those SOPs by the end of FY11 Q4; and meet all of the milestones in the implementation plan in the following quarters. EO
- 1.3C: Complete ECR tasks assigned to LD within the allotted time frame at least 90% of the time. LD
- 1.3D: Develop licensing policies associated with the tiers of a single control list within the allotted time frame at least 90% of the time. LD
- 1.3E: Update LD SOPs & guidance papers to implement ECR changes within the allotted time frame at least 90% of the time. LD

Objective 4: Conduct thorough, consistent, and expeditious reviews of international technology transfers, making recommendations commensurate with technology security policy and guidelines.

Metrics

- I.4A: Meet license review quality guidelines, as specified in LD SOPs and guidance papers, greater than 95% of the time.
- I.4B: Meet LD SOP and guidance paper timelines greater than 95% of the time.
- I.4C: Ensure greater than 95% of licenses are staffed appropriately to the relevant DoD components and stakeholders as required for each individual license, in accordance with SOPs and guidance papers.
- I.4D: Research and catalog problems with the Autostaff system by the end of FY11 Q3; develop solutions to mitigate those problems by the end of FY12 Q1; develop impact statements and approve or deny those recommendations by the end of FY12 Q2.
- I.4E: Research and catalog issues related to non license actions by the end of FY11 Q3; develop solutions to mitigate those problems by the end of FY12 Q1; develop impact statements and approve or deny those recommendations by the end of FY12 Q2.

LD

LD

LD

TD

TD



Strategic Goal 2

Engage U.S. allies and partners to increase interoperability and protect critical technology and information.

Objective 1: Foster bilateral and multilateral relationships to develop a shared view of technology and information security and foreign disclosure policy with international partners.

Metrics

- 2.1A: Update the priority countries list quarterly.
- 2.1B: Report out from working groups within one week of conclusion of the working group 90% of the time.
- 2.1C: Review and update planned international and industry outreach weekly 100% of the time.
- 2.1D: Submit 100% of NDPC security survey reports to the NDPC within 120 business days of survey completion.
- 2.1E: Seek State Department Circular 175 authority when necessary to negotiate and conclude GSOMIAs or GSOIAs within 60 business days of completion of a favorable NDPC security survey 100% of the time.
- 2.1F: Process 100% of agreements submitted by a DoD component for the assignment of foreign national personnel within two business days.

PD

PD

PD

ISD

ISD

ISD

Objective 2: Ensure technology and information security and foreign disclosure policy is implemented consistently through flexible and agile security cooperation actions.

Metric

- 2.2: Create interagency and intra-agency counterpart list by the end of FY11 Q2; prioritize the list and develop an engagement schedule by the end of FY11 Q3; and meet all of the engagement schedule thereafter.

PD

Objective 3: Employ technology and information security and foreign disclosure policies, standards and procedures to create additional force multipliers for coalition operations.

Metrics

- 2.3A: Review 100% of requests for an exception to the ENDP submitted by an NDPC member within one business day of receipt.
- 2.3B: Staff 100% of ENDPs to NDPC within two business days of receipt.
- 2.3C: Issue a Record of Action recording NDPC decisions relating to ENDP requests within five business days of voting completion 90% of the time.

ISD

ISD

ISD

Strategic Goal 3

Facilitate the health of the U.S. industrial base.

Objective 1: Mitigate U.S. national security risks associated with foreign investment in U.S.-based industry.

Metrics

- 3.1A: 100% of CFIUS filings will be referred to the appropriate DoD components within one business day of the filing date. PD
- 3.1B: 95% of action memos will be forwarded to the clearance authority within two business days of receipt of clearance recommendation from the appropriate DoD components. PD
- 3.1C: Develop a CFIUS mitigation compliance visitation schedule for DoD by the end of FY11 Q2, and meet the benchmarks in the schedule for the following quarters. PD

Objective 2: Stand up the Technology Security and Foreign Disclosure (TSFD) Office.

Metric

- 3.2: Establish TSFD office with initial operational capability by the end of FY11 Q2, full operational capability by the end of FY11 Q3 PD

Objective 3: Develop industrial security aspects of international security policy.

Metrics

- 3.3A: Process 100% of PSIs submitted by a DoD component within 120 business days of receipt. ISD
- 3.3B: When a determination is made that there is a need for an Industrial Security Agreement, initiate negotiations within 60 business days 100% of the time. ISD

Objective 4: Foster U.S. industry compliance with applicable export control and technology security laws, regulations, and policies.

Metrics

- 3.4A: Develop a high volume product list, a list of related companies, and a sequenced project plan to develop and issue submission guidance by the end of FY11 Q3; meet all the milestones in the project plan in FY12 Q1; and meet all of the milestones in the project plan in FY12 Q2. TD
- 3.4B: Review and process 100% of data submitted, in accordance with quality and procedural guidelines, within 10 business days of receipt. SD
- 3.4C: Provide notification on all monitored activity to the management of the Space Directorate within one business day of occurrence 100% of the time. SD
- 3.4D: 90% of monitoring reports will be written within five days of return from a monitoring activity. SD

Strategic Goal 4

Align and utilize resources to execute DTSA's mission.

Objective 1: Align provided financial resources to DTSA mission objectives and requirements.

Metrics

- 4.1A: Achieve 98.5% obligation rate, and 90% disbursement rate for recorded operation and maintenance end of year actuals. MD
- 4.1B: Achieve 25% obligation rate for recorded procurement end of year actuals for first year availability and cumulative 99% obligation rate for second year availability. 100% of obligated funding will be disbursed by end of third year. MD
- 4.1C: 100% of travel vouchers will be transmitted to DTS within 5 days of returning from travel and 100% will be paid within 45 days of filed voucher. MD
- 4.1D: 100% of all corporate bills will be paid on time and disbursements will be validated monthly. MD
- 4.1E: 100% of accounts payable and accounts receivable will be reconciled monthly. MD

Objective 2: Establish and maintain IT tools that optimize organizational operations.

Metrics

- 4.2A: Respond to critical customer calls within 15 minutes, and close 95% of those calls within two business hours. MD
- 4.2B: Respond to non critical customer calls within 30 minutes, and close 95% of those calls within eight business hours. MD
- 4.2C: Establish a web-based training portal by FY11 Q3, and after it is established ensure that 80% of DTSA team members complete their required training each quarter. MD
- 4.2D: Provide 100% network availability. MD
- 4.2E: Develop a systems and network accreditation schedule by the end of FY11 Q3, and hit 100% of the milestones in that schedule thereafter. MD
- 4.2F: Meet 100% of the milestones contained in the project plan for the delivery of USXPORTS to the DoS by the end of FY11 Q4 and the DoC by the end of FY12 Q2. MD
- 4.2G: Develop a USXPORTS Migration MOA with the TREAS and DHS by FY12 Q1. MD
- 4.2H: Develop a U.S. Government Single Electronic IT System Development Strategy by FY12 Q1. MD
- 4.2I: Finalize DTSA IT COOP and conduct one table top exercise by FY12 Q1. MD
- 4.2J: Deliver a reliable and sustained VPN solution in support of the agency's telework policy by the end of FY11 Q3. MD

Objective 3: Manage a compliant security program that enables the mission and sustains operations.

Metrics

- 4.3A: Conduct one COOP exercise by the end of FY11 Q3, and complete another COOP exercise by the end of FY12 Q2. MD
- 4.3B: Track the number of DTSA-created security violations per quarter, and the number of procedural violations identified in mishandling classified information. If there is a violation, perform corrective action 100% of the time. MD
- 4.3C: Conduct one Security Awareness Training module per quarter, and ensure participation by 100% of DTSA team members. MD
- 4.3D: Establish personnel security investigations applicant account 90 days prior to clearance expiration. Applicants will complete SF86 within 30 days of account establishment. Total processing time from start to finish of application and transmittal to OPM will not exceed 45 days prior to clearance expiration date. MD

Objective 4: Provide organization-wide services to effectively and efficiently facilitate the operations of DTSA while meeting organizational fiscal goals.

Metrics

- 4.4A: Review all contracts monthly to ensure they are accurately executing and if corrective action is required. When necessary, develop a corrective action plan and distribute to the contractor within five business days. MD
- 4.4B: 90 days prior to contract expiration, determine the next course of action (contract option, reduction, termination, etc.) 100% of the time. MD
- 4.4C: Prepare all contract approval packages at least 60 days prior to award date 100% of the time. MD
- 4.4D: Begin a review of office supply orders monthly in FY11 Q3, and reduce spending amount by 10% by FY12 Q3. MD
- 4.4E: Develop a plan for a DTSA-wide equipment audit by the end of FY11 Q3 and meet 100% of the project plan milestones in the following quarters. MD
- 4.4F: 100% of personnel action will be processed within five business days of receipt. MD
- 4.4G: Process and return 100% of DLA hiring benchmarks to WHS/DLA within five business days of receipt. MD
- 4.4H: Process and submit to vendor 100% of training requests within three business days of receipt. MD

Strategic Goal 5

Keep DTSA a great place to work.

Objective 1: Minimize the impact of the BRAC move on the DTSA workforce.

Metrics

- 5.1A: Assess employee commuter options for BRAC 133 by the end of FY11 Q3. Develop transportation plan by the end of FY11 Q3, and execute the plan by the end of FY11 Q4. MD
BRAC
- 5.1B: Research the Mark Center building governance policies and procedures by the end of FY11 Q3. Develop and disseminate a fact sheet on relocation policies and procedures by the end of FY11 Q3. MD
- 5.1C: Research policy requirements for a DTSA telework program by the end of FY11 Q2 and develop an implementation plan for a telework program by the end of FY11 Q3. Deliver teleworking capability by the end of FY11 Q4. MD
- 5.1D: Hold monthly DTSA BRAC brown bag meetings beginning in FY11 Q3 and ending in FY12 Q1. MD

Objective 2: Maintain Grassroots Council's (GRC) role as an advocate for the DTSA workforce.

Metrics

- 5.2A: Approve or deny FY10 GRC recommendations by the end of FY11 Q3, develop implementation plans for approved recommendations by the end of FY11 Q3, and execute those plans by the end of FY11 Q4. GRC
EO
- 5.2B: Research and approve methods to collect workforce feedback and recommendations by the end of FY11 Q3, develop implementation plans for approved methods by the end of FY11 Q4, and meet the milestones in those implementation plans thereafter. GRC
MD

Objective 3: Develop the DTSA workforce.

Metrics

- 5.3A: 100% of DTSA team members will have an IDP and 100% of those plans will be reviewed annually and linked to Directorate training projections. ALL
- 5.3B: Provide at least one agency funded professional development training opportunity for each employee, subject to the availability of resources. MD
- 5.3C: Develop a DTSA human capital strategy plan by the end of FY12 Q1. MD

Objective 4: Promote and foster a workplace that represents and respects diversity.

Metrics

- 5.4A: Ensure 100% of DTSA team members receive EEO refresher training annually. MD
- 5.4B: 100% of supervisors have at least one performance element addressing an awareness and support of EEO goals and objectives. MD

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ENSURING THE EDGE

