

## 100 Heading–Personal Name (NR)

### First Indicator

Type of personal name entry element

- 0 Forename
- 1 Surname
- 3 Family name

### Second Indicator

Undefined

- Ø Undefined

### Subfield Codes

- |  |   |
|--|---|
| ‡a Personal name (NR)                                | ‡n Number of part/section of a work (R) |
| ‡b Numeration (NR)                                   | ‡o Arranged statement for music (NR)    |
| ‡c Titles and other words associated with a name (R) | ‡p Name of part/section of a work (R)   |
| ‡d Dates associated with a name (NR)                 | ‡q Fuller form of name (NR)             |
| ‡e Relator term (R)                                  | ‡r Key for music (NR)                   |
| ‡f Date of a work (NR)                               | ‡s Version (NR)                         |
| ‡g Miscellaneous information (NR)                    | ‡t Title of a work (NR)                 |
| ‡h Medium (NR)                                       | ‡v Form subdivision (NR)                |
| ‡j Attribution qualifier (R)                         | ‡x General subdivision (R)              |
| ‡k Form subheading (R)                               | ‡y Chronological subdivision (R)        |
| ‡l Language of a work (NR)                           | ‡z Geographic subdivision (R)           |
| ‡m Medium of performance for music (R)               | ‡6 Linkage (NR)                         |
|  | ‡8 Field link and sequence number (R)   |

### FIELD DEFINITION AND SCOPE

This field contains a personal name used in a name or name/title heading in an established heading record or in a traced or an untraced reference record. In an established heading record, field 100 contains the established form of a personal name. In a reference record, this field contains an unestablished form of a personal name.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying the content designators defined for field 100 and input conventions are given in the *X00 Personal Names–General Information* section.

### EXAMPLES

- 100 0b ‡a Manya K'Omalowete a Djonga, ‡d 1950-
- 100 1b ‡a Meyer
- 100 1b ‡a Jones, James E., ‡c Jr.
- 100 1b ‡a Soares, A. J. ‡q (António José)
- 100 1b ‡a Senapati, Fakir Mohan, ‡d 1843-1918. ‡t Selections. ‡l English. ‡f 1984
- 100 1b ‡a Casadesus, Henri Gustave, ‡d 1870-1947. ‡t Concertos, ‡m violoncello, orchestra, ‡r C minor
- 100 1b ‡a Vaughan Williams, Ralph, ‡d 1872-1958
- 100 3b ‡a Normandy, Dukes of
- 100 1b ‡a `Ubaydī, Maḥmūd `Abd Allāh Ibrāhīm

# 100

- 100 0b † aAmerican, † cpseud.
  - 100 1b † aLe Saout, Françoise
  - 100 3b † aClark family † vFiction
  - 100 0b † aE.S., † cMeister, † d15th cent., † jFollower of
  - 100 1b † aReynolds, Joshua, † cSir, † d1723-1792, † jPupil of
-

## 110 Heading–Corporate Name (NR)

### First Indicator

Type of corporate name entry element

- 0 Inverted name
- 1 Jurisdiction name
- 2 Name in direct order

### Second Indicator

Undefined

- ␣ Undefined

### Subfield Codes

- |  |  |
|--|--|
| ⊕a Corporate name or jurisdiction name as entry element (NR) | ⊕p Name of part/section of a work (R)  |
| ⊕b Subordinate unit (R)                                      | ⊕m Medium of performance for music (R) |
| ⊕c Location of meeting (NR)                                  | ⊕o Arranged statement for music (NR)   |
| ⊕d Date of meeting or treaty signing (R)                     | ⊕r Key for music (NR)                  |
| ⊕e Relator term (R)  | ⊕s Version (NR)                        |
| ⊕f Date of a work (NR)                                       | ⊕t Title of a work (NR)                |
| ⊕g Miscellaneous information (NR)                            | ⊕v Form subdivision (R)                |
| ⊕k Form subheading (R)                                       | ⊕x General subdivision (R)             |
| ⊕h Medium (NR)   | ⊕y Chronological subdivision (R)       |
| ⊕l Language of a work (NR)                                   | ⊕z Geographic subdivision (R)          |
| ⊕n Number of part/section/meeting (R)                        | ⊕6 Linkage (NR)                        |
|  | ⊕8 Field link and sequence number (R)  |

### FIELD DEFINITION AND SCOPE

This field contains a corporate name used in a name or name/title heading in an established heading record or in a traced or an untraced reference record. In an established heading record, field 110 contains the established form of a corporate name. In a reference record, this field contains an unestablished form of a corporate name.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying the content designators defined for field 110 and input conventions are given in the *X10 Corporate Names—General Information* section.

### EXAMPLES

- 110 1b⊕aConstantinople (Ecumenical patriarchate)
- 110 1b⊕aVenezuela. ⊕bFuerza Aérea Venezolana
- 110 2b⊕aAssociation for Childhood Education International. ⊕bSummer Seminar ⊕d(1980: ⊕cTaipei, Taiwan)
- 110 1b⊕aArgentina. ⊕tLey no. 20,771
- 110 1b⊕aUnited States. ⊕tTruth in Lending Act (1980)
- 110 1b⊕aEngland and Wales. ⊕tTreaties, etc. ⊕gSavoy (Duchy), ⊕d1669 Sept. 19
- 110 1b⊕aArlington National Cemetery (Va.)
- 110 1b⊕aMexico. ⊕tTreaties, etc. ⊕gUnited States, ⊕d1944 Feb. 3. ⊕kProtocols, etc., ⊕d1944 Nov. 14
- 110 2b⊕aReal Academia de la Historia (Spain). ⊕kManuscript. ⊕nH

## 110

- 110 2b ≠ aAlcazar (Madrid, Spain)
  - 110 2b ≠ aSociety of Friends ≠ zPennsylvania ≠ xHistory ≠ vMaps
  - 110 2b ≠ aEverett Literary Association (Brooklyn, New York, N.Y.)
  - 110 2b ≠ aYale University. ≠ bDept. of Manuscripts and Archives
  - 110 2b ≠ aTouring club italiano. ≠ bServizio cartografico. ≠ tCarta stradale d'Europa
  - 110 2b ≠ aCatholic Church ≠ xHistory ≠ yModern period, 1500-
  - 110 2b ≠ a"I hai" pien chi pu
  - 110 2b ≠ aNur (Firm)
-

## 111 Heading–Meeting Name (NR)

### First Indicator

- Type of meeting name entry element
- 0 Inverted name
  - 1 Jurisdiction name
  - 2 Name in direct order

### Second Indicator

- Undefined
- ␣ Undefined

### Subfield Codes

- |  |   |
|--|---|
| ⊕a Meeting name or jurisdiction name as entry element (NR) | ⊕p Name of part/section of a work (R)                             |
| ⊕d Date of meeting (NR)                                    | ⊕q Name of meeting following jurisdiction name entry element (NR) |
| ⊕c Location of meeting (NR)                                | ⊕s Version (NR)   |
| ⊕e Subordinate unit (R)                                    | ⊕t Title of a work (NR)   |
| ⊕g Miscellaneous information (NR)                          | ⊕v Form subdivision (R)   |
| ⊕f Date of a work (NR)                                     | ⊕x General subdivision (R)  |
| ⊕h Medium (NR)   | ⊕y Chronological subdivision (R)                                  |
| ⊕k Form subheading (R)                                     | ⊕z Geographic subdivision (R)                                     |
| ⊕l Language of a work (NR)                                 | ⊕6 Linkage (NR)   |
| ⊕n Number of part/section/meeting (R)                      | ⊕8 Field link and sequence number (R)                             |

### FIELD DEFINITION AND SCOPE

This field contains a meeting name used in a name or name/title heading in an established heading record or in a traced or an untraced reference record. In an established heading record, field 111 contains the established form of a meeting name. In a reference record, this field contains an unestablished form of a meeting name.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying the content designators defined for field 111 and input conventions are given in the *X11 Meeting Names–General Information* section.

### EXAMPLES

- 111 2b⊕aEuropean Congress of Biopharmaceutics and Pharmacokinetics
- 111 2b⊕aCentennial Exhibition⊕d(1876 :⊕cPhiladelphia, Pa.)
- 111 2b⊕aInternational Institute on the Prevention and Treatment of Alcoholism⊕n(26th:  
⊕d1980 :⊕cCardiff, South Glamorgan).⊕eEducation Section
- 111 2b⊕aUnited Nations Conference on the Law of the Sea⊕n(3rd :⊕d1973-1982 :⊕cNew  
York, N.Y., etc.).⊕eDelegations
- 111 2b⊕aInternational Purdue Workshop on Industrial Computer Systems.⊕eMan/Machine  
Interface Committee
- 111 2b⊕aConference on the Dynamics of Human Settlement Systems⊕n(1976:  
⊕cInternational Institute for Applied Systems Analysis)
- 111 2b⊕aWorkshop on Nuclear Physics with Stored, Cooled Beams⊕d(1984 :⊕cSpencer,  
Ind.)

# 111

- 111 **2b** ≠ **a**Geophysical Symposium ≠ **n**(21st : ≠ **d**1976 : ≠ **c**Leipzig, Germany). ≠ **t**Proceedings. ≠ **k**Selections
- 111 **2b** ≠ **a**"Foro sobre el Pueblo Guaymí y su Futuro" ≠ **d**(1981 : ≠ **c**Panama, Panama)
- 111 **2b** ≠ **a**Purdue Pest Control Conference ≠ **v**Periodicals
- 111 **2b** ≠ **a**Österreichischer Juristentag. ≠ **e**Arbeitsgemeinschaft Konsumentenschutz
- 111 **2b** ≠ **a**La Crosse Health and Sports Science Symposium
-

## 130 Heading–Uniform Title (NR)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Nonfiling characters  
 0-9 Number of nonfiling characters

### Subfield Codes

‡a Uniform title (NR)	‡p Name of part/section of a work (R)
‡d Date of treaty signing (R)	‡r Key for music (NR)
‡f Date of a work (NR)	‡s Version (NR)
‡g Miscellaneous information (NR)	‡t Title of a work (NR)
‡h Medium (NR)	‡v Form subdivision (R)
‡k Form subheading (R)	‡x General subdivision (R)
‡l Language of a work (NR)	‡y Chronological subdivision (R)
‡m Medium of performance for music (R)	‡z Geographic subdivision (R)
‡n Number of part/section of a work (R)	‡6 Linkage (NR)
‡o Arranged statement for music (NR)	‡8 Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a title used as a heading in either an established heading record or in a traced or untraced reference record. In an established heading record, field 130 contains the established form of a uniform title. In a reference record, this field contains an unestablished form of a uniform title.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying the content designators defined for field 130 and input conventions are given in the *X30 Uniform Titles–General Information* section.

### ■ EXAMPLES

130 b0 ‡a A l'épreuve  
 130 b0 ‡a Optiques–Hazan  
 130 b0 ‡a Publicação da série "D"  
 130 b0 ‡a Contribution (Victoria University of Wellington. Analytical Facility)  
 130 b0 ‡a Cinéma d'aujourd'hui (Lherminier (Firm))  
 130 b0 ‡a Aurex Jazz Festival '81 (Series)  
 130 b0 ‡a Oxford anthology of music (Sound recording). ‡p Medieval music. ‡h Sound recording  
 130 b0 ‡a Oinarrizko euskarazko argitalpenak. ‡n2. maila  
 130 b0 ‡a Bible. ‡p O.T. ‡p Pentateuch. ‡l Aramaic ‡x Versions, Palestinian  
 130 b0 ‡a Beowulf ‡x Language ‡v Glossaries, etc.  
 130 b0 ‡a Koran ‡x Geography ‡v Maps  
 130 b0 ‡a Bible ‡x Criticism, interpretation, etc. ‡x History ‡y Middle ages, 600-1500  
 130 b0 ‡a New York times ‡v Indexes

## 151 Heading–Geographic Name (NR)

### First Indicator

Undefined  
b Undefined

### Second Indicator

Undefined  
b Undefined

### Subfield Codes

≠a Geographic name (NR)	≠z Geographic subdivision (R)
≠v Form subdivision (R)	≠6 Linkage (NR)
≠x General subdivision (R)	≠8 Field link and sequence number (R)
≠y Chronological subdivision (R)	

### FIELD DEFINITION AND SCOPE

This field contains a geographic name used as a heading in an established heading record, an established heading and subdivision record, a traced or an untraced reference record, or a reference and subdivision record. In an established heading record, field 151 contains the established form of a geographic name. In records for references, this field contains an unestablished form of a geographic name.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying the content designators defined for field 151 and input conventions are given in the *X51 Geographic Names–General Information* section.

### ■ EXAMPLES

151 bb ≠ aNorth End (Boston, Mass.)  
 151 bb ≠ aGreat Lakes  
 151 bb ≠ aMississippi River  
 151 bb ≠ aGulf Stream  
 151 bb ≠ aTropics  
 151 bb ≠ aVenus (Planet)  
 151 bb ≠ aGreen River (Ky. : River)  
 151 bb ≠ aGreen River (Wyo.-Utah)  
 151 bb ≠ aAtlantic Wall (France and Belgium)  
 151 bb ≠ aDeveloping countries  
 151 bb ≠ aFort Alexander Indian Reserve (Man.)  
 151 bb ≠ aCasper (Wyo.) ≠ vMaps  
 151 bb ≠ aChorweiler (Cologne, Germany)  
 151 bb ≠ aUnited States ≠ xForeign relations ≠ zSoviet Union  
 151 bb ≠ aNotre Dame de Laeken (Belgium : Parish)  
 151 bb ≠ aLos Olmos Creek (Tex.)  
 151 bb ≠ aÅland Islands (Finland)  
 151 bb ≠ aLa Plata (Argentina)



## Tracings and References–General Information

*2XX-3XX Complex subject references*

- 260** Complex See Reference–Subject (R)
- 360** Complex See Also Reference–Subject (R)

*4XX See From tracings*

- 400** See From Tracing–Personal Name (R)
- 410** See From Tracing–Corporate Name (R)
- 411** See From Tracing–Meeting Name (R)
- 430** See From Tracing–Uniform Title (R)
- 450** See From Tracing–Topical Term (R)
- 451** See From Tracing–Geographic Name (R)
- 455** See From Tracing–Genre/Form Term (R)
- 480** See From Tracing–General Subdivision (R)
- 481** See From Tracing–Geographic Subdivision (R)
- 482** See From Tracing–Chronological Subdivision (R)
- 485** See From Tracing–Form Subdivision (R)

*5XX See Also From tracings*

- 500** See Also From Tracing–Personal Name (R)
- 510** See Also From Tracing–Corporate Name (R)
- 511** See Also From Tracing–Meeting Name (R)
- 530** See Also From Tracing–Uniform Title (R)
- 550** See Also From Tracing–Topical Term (R)
- 551** See Also From Tracing–Geographic Name (R)
- 555** See Also From Tracing–Genre/Form Term (R)
- 580** See Also From Tracing–General Subdivision (R)
- 581** See Also From Tracing–Geographic Subdivision (R)
- 582** See Also From Tracing–Chronological Subdivision (R)
- 585** See Also From Tracing–Form Subdivision (R)

*Special subfields used with 4XX and 5XX fields*

- ‡i** Reference instruction phrase (NR)
- ‡w** Control subfield (NR)
  - /0 Special relationship
  - /1 Tracing use restriction
  - /2 Earlier form of heading
  - /3 Reference display

*663-666 Complex name references*

- 663** Complex See Also Reference–Name (NR)
- 664** Complex See Reference–Name (NR)
- 665** History Reference (NR)
- 666** General Explanatory Reference–Name (NR)

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### DEFINITION AND SCOPE

A cross reference leads from an unauthorized heading to an authorized heading (see reference) or from one authorized heading to other another (see also reference). Actual cross references are

## Tracings and References

generally not carried explicitly in authority records. Instead, variant form and related headings are carried in authority records in either *tracing* or *reference note* fields. Cross reference displays may be generated by combining the contents of a tracing or a reference note field and the 1XX heading field of a record.

### ■ TRACING FIELDS

Tracing fields lead directly from one heading to a single other heading. A 4XX (See From Tracing) field leads from an unauthorized heading to an authorized heading. A 5XX see also from tracing field leads from one authorized heading to another authorized heading. The 4XX and 5XX fields are used only in established heading records and subdivision records.

Cross reference displays generated from the tracing fields are called *simple cross references*. In a simple cross reference display, the content of the 4XX or 5XX field is the *heading referred from*, and, following a reference instruction phrase, the content of the 1XX field is the *heading referred to*. In the tracing fields, the reference instruction phrase may be implicitly associated with either the field tag or a code in subfield  $\neq$ w/O (Special relationship). In unusual cases, the reference instruction phrase may be carried explicitly in subfield  $\neq$ i (Reference instruction phrase).

```
008/09    a           [established heading record]
100  1 $\neq$ aDe Angelini, Anna
400  1 $\neq$ aAngelini, Anna de
       [Reference instruction phrase is associated with field tag 400.]
```

*Cross reference display example:*

```
Angelini, Anna de
  search under:      De Angelini, Anna
```

```
008/09    d           [subdivision record]
180   $\neq$ xAcronyms
580   $\neq$ xAbbreviations
       [Reference instruction phrase is associated with field tag 580.]
```

*Cross reference display example:*

```
Abbreviations
  search also under:      Acronyms
```

### ■ REFERENCE NOTE FIELDS

Reference note fields lead from one heading to one or more other headings. A reference note field is used when more complex reference instruction is needed than can be conveyed by one or more simple cross references generated from 4XX and/or 5XX fields. Cross reference displays generated from the reference note fields are *complex cross references*. In a complex cross reference display, the content of the 1XX field of a record is the *heading referred from*, and, following a reference instruction phrase, the reference note field contains the *heading(s) referred to*. In field 260 and 360, the complete reference instruction may be a combination of a phrase implicitly associated with the field tag and explicit text in subfield  $\neq$ i (Explanatory text). In field 663, 664, 665, and 666, the reference instruction text is contained in subfield  $\neq$ a (Explanatory text).

```
008/09    f           [established heading and subdivision record]
150   $\neq$ aManagement
360   $\neq$ isubject subdivision $\neq$ aManagement $\neq$ iunder types of industries
       [Reference instruction phrase is associated with field tag 360 and is also explicit in
       360 $\neq$ i.]
```

## Tracings and References

### *Cross reference display example:*

Management

search also under: subject subdivision Management under types of industries

008/09 a [established heading record]

100 1b † aArlen, Harold, † d1905-1986. † tBloomer girl

**664** † b † aFor collections beginning with this title search under † bArlen, Harold, 1905-1986  
† tMusical comedies. Selections  
[Reference instruction phrase is explicit in field 664 † a.]

### *Cross reference display example:*

Arlen, Harold, 1905-1986 Bloomer girl

For collections beginning with this title search under: Arlen, Harold, 1905-1986 Musical comedies. Selections

There are two types of reference note fields: 1) those used in records for established headings; and 2) those used in reference records. The fields used for *see also* reference notes (field 360, 663, and 665) are used to lead from an established heading to other established headings. The fields used for *see* reference notes (field 260, 664, and 666) are used in reference records to lead from an unestablished heading to established headings.

Current cataloging practice does not encourage the use of a reference note field if cross references generated from tracings in one or more 4XX and/or 5XX fields will provide satisfactory user guidance. For example, instead of carrying a 665 history reference field in each of the established heading records involved in corporate body name changes, each name is traced in a 4XX or 5XX field. The earlier or later name relationship between each 4XX or 5XX field and the 1XX field is indicated by a code in subfield † w/O (Special relationship).

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## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for applying subfield † i (Reference instruction phrase) and subfield † w (Control subfield) in the 4XX and 5XX fields, as well as examples of simple cross reference displays are provided in this section. Guidelines for applying the other content designators defined for the 4XX and 5XX fields are provided in the *General Information* sections for each type of heading (X00 Personal Names; X10 Corporate Names; X11 Meeting Names; X30 Uniform Titles; X50 Topical Terms; X51 Geographic Names; X55 Genre/Form Terms; X80 General Subdivisions; X81 Geographic Subdivisions; X82 Chronological Subdivisions; X85 Form Subdivisions).

Examples of complex cross reference displays that may be generated from field 260, 360, 663, 664, 665, and 666 are also provided in this section. Guidelines for applying the content designators for these fields are not provided here but can be found in the separate sections for each field.

## ■ TRACING FIELDS—SIMPLE CROSS REFERENCES

Simple cross references are generated for display from tracing fields. The following description related to the tracing fields is arranged by the source of the reference instruction phrase used in the cross reference: tag related, subfield † i, and subfield † w. The character positions defined for subfield † w are also described here.

### **Tag Related Reference Instruction Phrase**

A reference instruction phrase such as *see:* or *search under:* may be implicitly associated with a 4XX field tag and may be used to generate a display of a cross reference. A reference

## Tracings and References

instruction phrase such as *see also:* or *search also under:* may be implicitly associated with a 5XX field and may be used to generate a display of a cross reference.

008/09 a [established heading record]  
100 1**b**  $\neq$  aArief, Barda Nawawi,  $\neq$  d1943-  
400 0**b**  $\neq$  aBarda Nawawi Arief,  $\neq$  d1943-

*Cross reference display example:*

Barda Nawawi Arief, 1943-  
search under: Arief, Barda Nawawi, 1943-

008/09 d [subdivision record]  
180 **b****b**  $\neq$  xMicroform catalogs  
580 **b****b**  $\neq$  xBibliography  $\neq$  xMicroform catalogs

*Cross reference display example:*

Bibliography–Microform catalogs  
search also under: Microform catalogs

### $\neq$ i - Reference instruction phrase

Subfield  $\neq$  i contains a special reference instruction phrase that may be used in a cross reference display. When a tracing field contains subfield  $\neq$  i, control subfield  $\neq$  w/0 contains code i (Reference instruction phrase in subfield  $\neq$  i). Code i indicates that the generation of a tag related reference instruction phrase in a cross reference display should be suppressed. The content of subfield  $\neq$  i is the reference instruction phrase that is used in the cross reference display.

008/09 a [established heading record]  
151 **b****b**  $\neq$  aSri Lanka  
451 **b****b**  $\neq$  w**i****b**  $\neq$  aCeylon  $\neq$  iFor subject entries search under  
551 **b****b**  $\neq$  w**a****a**  $\neq$  aCeylon  
[Two cross references are required because of varying subject and name reference structures.]

*Cross reference from field 451 display example:*

Ceylon  
For subject entries search under:  
Sri Lanka

*Cross reference from field 551 display example:*

Ceylon  
search also under later heading:  
Sri Lanka

### $\neq$ w - Control subfield

Subfield  $\neq$  w contains up to four character positions (designated as  $\neq$  w/0,  $\neq$  w/1, etc.) that indicate whether special instructions apply to the display of the heading in the 4XX or 5XX field and whether a tracing is restricted to the reference structure of a particular type of authority. Subfield  $\neq$  w need not be used if none of the characteristics is applicable. Because the definitions of the codes in subfield  $\neq$  w are dependent on the character position in which they occur, the coding of any character position mandates that each preceding character position contains a code or a fill character (|); succeeding character positions need not be used. For example, if  $\neq$  w/0 and  $\neq$  w/1 are not applicable but  $\neq$  w/2 is applicable, then  $\neq$  w/0 and  $\neq$  w/1 each contain code n (Not applicable) or a fill character (|).

## Tracings and References

### /0 - Special relationship

Subfield  $\neq$  w/O contains a one-character alphabetic code that describes a relationship between the heading in a 1XX field and a heading in a 4XX or 5XX field that is more specific than the relationship implicit in the tag. The codes may be used to generate a special reference instruction phrase in a cross reference display.

<b>a - Earlier heading</b>	<b>h - Narrower term</b>
<b>b - Later heading</b>	<b>i - Reference instruction phrase in subfield <math>\neq</math> i</b>
<b>d - Acronym</b>	<b>n - Not applicable</b>
<b>f - Musical composition</b>	<b>t - Immediate parent body</b>
<b>g - Broader term</b>	

#### a - Earlier heading

Code a indicates that the heading in the tracing field is an earlier name for the heading in the 1XX field. The code may be used to generate a special reference instruction phrase such as *search also under the later heading:* in a cross reference display.

110 1 $\neq$  aMissouri.  $\neq$  bState Highway Patrol.  $\neq$  bCriminal Records Division  
510 1 $\neq$  **wa**  $\neq$  aMissouri.  $\neq$  bState Highway Patrol.  $\neq$  bCriminal Records Section

*Cross reference display example:*

Missouri. State Highway Patrol. Criminal Records Section  
search also under the later heading:  
Missouri. State Highway Patrol. Criminal Records Division

#### b - Later heading

Code b indicates that the heading in the tracing field is a later name for the heading in the 1XX field. The code may be used to generate a special reference instruction phrase such as *search also under the earlier heading:* in a cross reference display.

110 1 $\neq$  aMissouri.  $\neq$  bState Highway Patrol.  $\neq$  bCriminal Records Section  
510 1 $\neq$  **wb**  $\neq$  aMissouri.  $\neq$  bState Highway Patrol.  $\neq$  bCriminal Records Division

*Cross reference display example:*

Missouri. State Highway Patrol. Criminal Records Division  
search also under the earlier heading:  
Missouri. State Highway Patrol. Criminal Records Section

#### d - Acronym

Code d indicates that the heading in the tracing field is an acronym for the heading in the 1XX field. The code may be used to generate a special reference instruction phrase such as *search under the full form of the heading:* in a cross reference display.

110 2 $\neq$  aAssociação Brasileira para o Desenvolvimento das Industrias de Base  
410 2 $\neq$  **wd**  $\neq$  aAbdib

*Cross reference display example:*

Abdib  
search under the full form of the heading:  
Associação Brasileira para o Desenvolvimento das Industrias de Base

#### f - Musical composition

Code f indicates that the heading in the 4XX or 5XX field is for a literary work upon which the musical composition in the heading in the 1XX field is based. The code may

## Tracings and References

be used to generate a special reference instruction phrase such as *for a musical composition based on this work, search also under:* in a cross reference display.

100 1b † aDebussy, Claude, † d1862-1918. † tChute de la maison Usher  
500 1b † wf † aPoe, Edgar Allan, † d1809-1849. † tFall of the house of Usher

*Cross reference display example:*

Poe, Edgar Allan, 1809-1849. Fall of the house of Usher  
for a musical composition based on this work, search also under:  
Debussy, Claude, 1862-1918. Chute de la maison Usher

### g - Broader term

Code g indicates that the heading in the 4XX or 5XX field is a broader term than the heading in the 1XX field. The code may be used to generate a special reference instruction phrase such as *search also under the narrower term:* in a cross reference display.

150 bb † aToes  
550 bb † wg † aFoot

*Cross reference display example:*

Foot  
search also under the narrower term: Toes

### h - Narrower term

Code h indicates that the heading in the 4XX or 5XX field is a narrower term than the heading in the 1XX field. The code may be used to generate a special reference instruction phrase such as *search also under the broader term:* in a cross reference display.

150 bb † aFoot  
550 bb † wh † aToes

*Cross reference display example:*

Toes  
search also under the broader term: Foot

### i - Reference instruction phrase in subfield † i

Code i is used in conjunction with subfield † i (Reference instruction phrase). Guidelines for applying code i are given under the description of subfield † i.

### n - Not applicable

Code n indicates that the relationship between the heading in the 4XX or 5XX field and the heading in the 1XX field is not one of the special relationships for which other codes are defined in subfield † w/O. A tag related reference instruction phrase such as *search under:* or *search also under:* may be used in a cross reference display from the related heading. If code n is applicable, subfield † w/O need not be coded unless a subsequent character position is required.

180 bb † xAesthetics  
480 bb † xViews on aesthetics

## Tracings and References

### *Cross reference display example:*

Views on aesthetics  
search under: Aesthetics

### **t - Immediate parent body**

Code t indicates that the heading in the 5XX field is the name of a parent body of the entity in the 1XX field. Code t is applicable only to corporate bodies. It may be used for archival material where there is a need to identify fully the hierarchical structure of a corporate entity.

110 2b † aLoblaw Companies Limited  
510 2b † wt † aGeorge Weston Limited

### *Cross reference display example:*

Loblaw Companies Limited  
search also under the immediate parent body:  
George Weston Limited

### **/1 - Tracing use restriction**

Subfield † w/1 contains a one-character alphabetic code that specifies the authority reference structure in which the use of a 4XX or 5XX field to generate a cross reference is appropriate. When the tracing reference structure use differs from the use of the heading in the 1XX field, a tracing use restriction code in subfield † w/1 enables suppression or generation of the cross reference as required for the type of reference structure.

- a - Name reference structure only**
- b - Subject reference structure only**
- c - Series reference structure only**
- d - Name and subject reference structures**
- e - Name and series reference structures**
- f - Subject and series reference structures**
- g - Name, subject, and series reference structures**
- n - Not applicable**

Code n indicates that the authority structure use is the same as the bibliographic record use coded in 008/14-16 for the heading in the 1XX field. If code n is applicable, subfield † w/1 need not be coded unless a subsequent character position is required.

### **/2 - Earlier form of heading**

Subfield † w/2 contains a one-character alphabetic code that indicates whether the heading in the 4XX field is an earlier form in which the name or subject was established either in the relevant national authority file or in an authority file other than the national authority file.

- a - Pre-AACR 2 form of heading (national name authority file)**
- e - Earlier established form of heading (national authority file)**
- o - Earlier established form of heading (other authority file)**
- n - Not applicable**

### **Precedence of codes**

When more than one code applies to the heading in a 4XX field, the following table is used to determine the precedence status of each code. Preference is given to codes higher on the lists. The *Names* column covers records for names formulated according to descriptive cataloging rules. The *Subjects* column covers records for subjects formulated according to subject heading system/thesaurus conventions.

## Tracings and References

### Names

- n Not applicable
- a Pre-AACR2 form of heading (national name authority file)
- e Earlier established form of heading (national authority file)
- o Earlier established form of heading (other authority file)

### Subjects

- n Not applicable
- e Earlier established form of heading (national authority file)
- o Earlier established form of heading (other authority file)

#### **a - Pre-AACR 2 form of heading (national name authority file)**

Code a indicates that the heading in the 4XX field is a pre-AACR2 form (of the heading in the 1XX field) that was the authorized heading in the national name authority file at the time of the changeover to the AACR2 rules.

100 1b ≠ aCallaghan, Bede, ≠ cSir, ≠ d1912-

400 1b ≠ wnna ≠ aCallaghan, Bede Bertrand, ≠ cSir, ≠ d1912-

*Cross reference display example:*

Callaghan, Bede Bertrand, Sir, 1912-

search under the later form of the heading:

Callaghan, Bede, Sir, 1912-

#### **e - Earlier established form of heading (national authority file)**

Code e indicates that the heading in the 4XX field is a form of the heading in the 1XX field that was formerly established in the relevant national authority file under a situation other than that specified by code a. For example, code e is used when the heading in the 4XX field is a previously-authorized heading from the national authority file now superseded by a later form of heading in the 1XX field. It is also used when the tracing is a pre-AACR2 form of a name, name-title, or uniform title heading that had been established earlier in the national authority file but was not the established heading at the time of the changeover to the AACR2 rules.

150 bb ≠ aMargarine

450 bb ≠ wnne ≠ aOleomargarine

151 bb ≠ aBoston (England)

451 bb ≠ wnne ≠ aBoston (Lincolnshire)

#### **o - Earlier established form of heading (other authority file)**

Code o indicates that the heading in the tracing field is an earlier form of the 1XX heading that was formerly established in an authority file other than the relevant national authority file.

#### **n - Not applicable**

Code n indicates that the tracing does not contain a formerly established form of the 1XX heading. If code n is applicable, subfield ≠w/2 need not be coded unless a subsequent character position is required.

#### **/3 - Reference display**

Subfield ≠w/3 contains a one-character alphabetic code that enables the generation or suppression of a cross reference from a 4XX or 5XX field.



## Tracings and References

- a - Reference not displayed
- b - Reference not displayed, field 664 used
- c - Reference not displayed, field 663 used
- d - Reference not displayed, field 665 used
- n - Not applicable

### a - Reference not displayed

Code a indicates that the generation of a cross reference display from a tracing field should be suppressed. Code a is used when the reason for suppression is not covered by code b, c, or d.

110 2b † aEntomological Society of Karachi

510 2b † wanna † aKarachi Entomological Society

*[Cross reference is suppressed because heading has not been used.]*

### b - Reference not displayed, field 664 used

Code b indicates that the generation of a cross reference display from a 4XX field should be suppressed because of the existence of a separate reference record containing a 664 field.

See the description of field 664 for examples of subfield † w/3 containing code b in 4XX fields in established heading records. See the *Reference Fields–Complex References* description in this section for examples of cross reference displays generated from field 664.

### c - Reference not displayed, field 663 used

Code c indicates that the generation of a cross reference display from a 5XX field should be suppressed due to the presence of field 663 in the same record.

See the description of field 663 for examples of subfield † w/3 containing code c in 5XX fields in established heading records. See the *Reference Fields–Complex Cross References* description in this section for examples of cross reference displays generated from field 663.

### d - Reference not displayed, field 665 used

Code d indicates that the generation of a cross reference display from a 4XX or 5XX field should be suppressed because of a 665 (History Reference) field in the same record.

See the field 665 description for examples of subfield † w/3 containing code d in 4XX and 5XX fields in established heading records. See the *Reference Field–Complex Cross References* description in this section for examples of cross reference displays generated from field 665.

### n - Not applicable

Code n indicates that there is no reference display restriction on the 4XX or 5XX field. If code n is applicable, subfield † w/3 need not be coded.

## ■ REFERENCE FIELDS–COMPLEX CROSS REFERENCES

Complex cross references are carried directly in records as reference note fields. The following description is arranged by the source of the reference instruction phrase: tag related (with subfield † i) and subfield † a.

## Tracings and References

### Tag related reference instruction phrase with subfield †i

Field 260 may be used to generate a display of a cross reference with a reference instruction phrase such as *see:* or *search under:*. Field 360 may be used to generate a cross reference with a reference instruction phrase such as *see also:* or *search also under:*. The text in subfield †i (Explanatory text) augments the tag related instruction phrase.

#### 260 Complex See Reference-Subject:

008/09 b [untraced reference record]

150 †b †a Catalogue . . .

260 †b †i subject headings beginning with the word †a Catalog

#### Cross reference display example:

Catalogue . . .

search under: subject headings beginning with the word Catalog

#### 260 Complex See Reference-Subject:

008/09 g [reference and subdivision record]

150 †b †a Amateurs' manuals

260 †b †i subdivision †a Amateurs' manuals †i under subjects, e.g. †a Radio-Amateurs' manuals

#### Cross reference display example:

Amateurs' manuals

search under: subdivision Amateurs' manuals under subjects, e.g. Radio-Amateurs' manuals

#### 360 Complex See Also Reference-Subject:

008/09 a [established heading record]

100 0†b †a Mary, †c Blessed Virgin, Saint †x Apparitions and miracles

360 †b †i names of particular apparitions and miracles, e.g. †a Fatima, Our Lady of

#### Cross reference display example:

Mary, Blessed Virgin, Saint-Apparitions and miracles

search also under: names of particular apparitions and miracles, e.g. Fatima, Our Lady of

### †a - Explanatory text

Subfield †a contains any reference instruction phrase needed in fields 663, 664, 665, and 666.

#### 663 Complex See Also Reference-Name:

008/09 a [established heading record]

100 1†b †a Japp, Alexander H. †q (Alexander Hay), †d 1839-1905

663 †b †a For works of this author written under pseudonyms, search also under †b Gray, E. Condor, 1839-1905 †a and †b Page, H. A., 1839-1905

#### Cross reference display example (The cross references from Gray and Page are similarly constructed):

Japp, Alexander H. (Alexander Hay), 1839-1905

For works of this author written under pseudonyms, search also under: Gray, E. Condor, 1839-1905 and Page, H. A., 1839-1905

#### 664 Complex See Reference-Name:

008/09 c [traced reference record]

100 1†b †a Reger, Max, †d 1873-1916. †t Dies irae

664 †b †a For this movement included in the composer's unfinished Requiem search under †b Reger, Max, 1873-1916. †t Requiem (Mass)

## Tracings and References

### *Cross reference display example:*

Reger, Max, 1873-1916. Dies irae

For this movement included in the composer's unfinished Requiem search under: Reger, Max, 1873-1916. Requiem (Mass)

### *666 General Explanatory Reference-Name:*

008/09 b [untraced reference record]

110 2b † aAktiebolaget . . .

**666** bb † aCorporate names beginning with this word are entered under the next word in the name.

### *Cross reference display example:*

Aktiebolaget . . .

Corporate names beginning with this word are entered under the next word in the name.

### *665 History Reference (The cross references from the other corporate names are similarly constructed):*

008/09 a [established heading record]

110 1b † aConnecticut. † bDept. of Social Services

**665** bb † aIn Jan. 1979 the Connecticut Dept. of Social Services split to form the Dept. of Human Resources and the Dept. of Income Maintenance. † aWorks by these bodies are found under the following headings according to the name used at the time of publication: † aConnecticut. Dept. of Social Services. † aConnecticut. Dept. of Human Resources. † aConnecticut. Dept. of Income Maintenance. † aSUBJECT ENTRY: † aWorks about these bodies are entered under one or more of the names resulting from the separation. Works limited in coverage to the pre-separation period are entered under the name of the original body.

### *Cross reference display example:*

Connecticut. Dept. of Social Services

In Jan. 1979 the Connecticut Dept. of Social Services split to form the Dept. of Human Resources and the Dept. of Income Maintenance. Works by these bodies are found under the following headings according to the name used at the time of publication:

Connecticut. Dept. of Social Services

Connecticut. Dept. of Human Resources

Connecticut. Dept. of Income Maintenance

SUBJECT ENTRY: Works about these bodies are entered under one or more of the names resulting from the separation. Works limited in coverage to the pre-separation period are entered under the name of the original body.

---

## INPUT CONVENTIONS

The general input conventions for the 4XX and 5XX fields are provided in the *General Information* sections for each type of heading (X00 Personal Names; X10 Corporate Names; X11 Meeting Names; X30 Uniform Titles; X50 Topical Terms; X51 Geographic Names; X55 Genre/Form Terms; X80 General Subdivisions; X81 Geographic Subdivisions; X82 Chronological Subdivisions; X85 Form Subdivisions.) The input conventions for the reference note fields are provided in the description of each field.

**Display Constants for Cross References** - In the 4XX and 5XX fields, reference instruction phrases such as those listed below are not carried in the MARC record. They may be system generated as display constants associated with the code in subfield † w/O or /2 or the field tag.

## Tracings and References

### Subfield ≠w/0 code related:

- a search also under [see also] the later heading:
- b search also under [see also] the earlier heading:
- d search under [see] the full form of the heading:
- g search also under [see also] the narrower term:
- h search also under [see also] the broader term:
- n *[A tag-related reference instruction phrase may be used.]*
- t search also under [see also] the parent body:

### Tag-related:

- see:
- see also:
- search under:
- search also under:

### Subfield ≠w/2 code related:

- a search under [see] the later form of heading:

In the *reference note* fields 260 and 360, the complete reference instruction may be a combination of a tag-related phrase, such as *see*, *see also*, *search under*, *search also under*, and explicit text in subfield ≠i (Explanatory text).

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## CONTENT DESIGNATOR HISTORY

≠w/0 *Print constant code [REDEFINED, 1997] [CAN/MARC only]*  
The following values were redefined: g (Full name), h (Real name), i (Real name (reputedly))  
The following values were made obsolete: j (Name originally), k (Name in religion), l (Secular name), m (Stage name of), o (Variant name), p (Abbreviated name), q (Official name), r (Broader term), s (Narrower term), x (Print constant generated from tag value), z (Text carried in subfield ≠i)

≠w/2 *Earlier form of heading*  
x *Tracing is not the form of heading established under earlier cataloguing rules [OBSOLETE, 1997] [CAN/MARC only]*

≠w/3 *Reference display*  
e *Note only generated from the tracing [OBSOLETE, 1997] [CAN/MARC only]*  
i *Invisible see from reference [OBSOLETE, 1997] [CAN/MARC only]*  
x *Reference is generated from the tracing [OBSOLETE, 1997] [CAN/MARC only]*

≠w/4 *Formerly established heading code [OBSOLETE, 1997] [CAN/MARC only]*  
The following values were defined: d (Formerly accepted heading replaced by the heading in the 1XX field), s (Formerly accepted heading replaced by other headings, one of which is in the 1XX field), x (Not a formerly accepted heading)

## 400 See From Tracing–Personal Name (R)

### First Indicator

Type of personal name element

- 0 Forename
- 1 Surname
- 3 Family name

### Second Indicator

Undefined  
b Undefined

---

### Subfield Codes

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>‡a Personal name (NR)</li> <li>‡b Numeration (NR)</li> <li>‡c Titles and other words associated with a name (R)</li> <li>‡d Dates associated with a name (NR)</li> <li>‡e Relator term (R)</li> <li>‡f Date of a work (NR)</li> <li>‡g Miscellaneous information (NR)</li> <li>‡h Medium (NR)</li> <li>‡i Reference instruction phrase (NR)</li> <li>‡j Attribution qualifier (R)</li> <li>‡k Form subheading (R)</li> <li>‡l Language of a work (NR)</li> <li>‡m Medium of performance for music (R)</li> <li>‡n Number of part/section of a work (R)</li> <li>‡o Arranged statement for music (NR)</li> <li>‡p Name of part/section of a work (R)</li> </ul> | <ul style="list-style-type: none"> <li>‡q Fuller form of name (NR)</li> <li>‡r Key for music (NR)</li> <li>‡s Version (NR)</li> <li>‡t Title of a work (NR)</li> <li>‡v Form subdivision (R)</li> <li>‡w Control subfield (NR)               <ul style="list-style-type: none"> <li>/0 Special relationship</li> <li>/1 Tracing use restriction</li> <li>/2 Earlier form of heading</li> <li>/3 Reference display</li> </ul> </li> <li>‡x General subdivision (R)</li> <li>‡y Chronological subdivision (R)</li> <li>‡z Geographic subdivision (R)</li> <li>‡5 Institution to which field applies (R)</li> <li>‡6 Linkage (NR)</li> <li>‡8 Field link and sequence number (R)</li> </ul> |
|---|--|

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### FIELD DEFINITION AND SCOPE

This field contains a tracing for a personal name *see from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see from reference from a personal name not used to an established heading.

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### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 400 are the same as those defined for field 100 (Heading–Personal Name), field 500 (See Also From Tracing–Personal Name), and field 700 (Established Heading Linking Entry–Personal Name). Description, guidelines, and input conventions for shared content designators are given in the *X00 Personal Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 400 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

100 0b ‡aBhagata Singha, ‡d1921-

400 1b ‡aSingh, Bhagat, ‡d1921-

## 400

- 100 1b † aBeethoven, Ludwig van, † d1770-1827. † tConcertos, † mviolin, orchestra, † nop.  
61, † rD major
- 400 1b † aBeethoven, Ludwig van, † d1770-1827. † tKonzert für Violine und Orchester D-Dur  
op. 61
- 100 1b † aSawyer, Lemuel, † d1777-1852
- 400 1b † aBlackbeard, Author of, † d1777-1852
- 400 0b † aAuthor of Blackbeard, † d1777-1852
- 100 0b † aJesus Christ † xHistory of doctrines † yEarly church, ca. 30-600
- 400 0b † aJesus Christ † xInterpretations, New Testament
- 100 3b † aAnjou, House of
- 400 3b † aAngiò, House of
- 100 1b † aCampbell, Pam. † tBibleLog for adults. † pThru the Old Testament series
- 400 1b † aCampbell, Stan. † tBibleLog for adults. † pThru the Old Testament series
-

## 410 See From Tracing–Corporate Name (R)

### First Indicator

Type of corporate name entry element

- 0 Inverted name
- 1 Jurisdiction name
- 2 Name in direct order

### Second Indicator

Undefined  
b Undefined

### Subfield Codes

‡a Corporate name or jurisdiction name as entry element (NR)	‡r Key for music (NR)
‡b Subordinate unit (R)	‡s Version (NR)
‡c Location of meeting (NR)	‡t Title of a work (NR)
‡d Date of meeting or treaty signing (R)	‡v Form subdivision (R)
‡e Relator term (R)	‡w Control subfield (NR)
‡f Date of a work (NR)	/0 Special relationship
‡g Miscellaneous information (NR)	/1 Tracing use restriction
‡k Form subheading (R)	/2 Earlier form of heading
‡h Medium (NR)	/3 Reference display
‡i Reference instruction phrase (NR)	‡x General subdivision (R)
‡l Language of a work (NR)	‡y Chronological subdivision (R)
‡m Medium of performance for music (R)	‡z Geographic subdivision (R)
‡n Number of part/section/meeting (R)	‡5 Institution to which field applies (R)
‡o Arranged statement for music (NR)	‡6 Linkage (NR)
‡p Name of part/section of a work (R)	‡8 Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a corporate name *see from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see from reference from a corporate name not used to an established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 410 are the same as those defined for field 110 (Heading–Corporate Name), field 510 (See Also From Tracing–Corporate Name), and field 710 (Established Heading Linking Entry–Corporate Name). Description, guidelines, and input conventions for shared content designators are given in the *X10 Corporate Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 410 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

110 1b ‡aHonduras. ‡bOficina de Estudios Territoriales  
**410 1b ‡aHonduras. ‡bEstudios Territoriales, Oficina de**

## 410

- 110 1b ≠ aVenezuela. ≠ tReforma del control de cambio no. 2. ≠ lEnglish & Spanish  
410 1b ≠ aVenezuela. ≠ tAmendment of exchange agreement no. 2
- 151 bb ≠ aChinatown (San Francisco, Calif.)  
410 1b ≠ aSan Francisco (Calif.). ≠ bChinatown
- 110 2b ≠ aConföderation Iranischer Studenten (N.U.)  
410 2b ≠ aCISNU  
410 2b ≠ wnaa ≠ aConföderation Iranischer Studenten
- 110 2b ≠ aLherminier (Firm)  
410 2b ≠ aPierre Lherminier (Firm)
- 130 b0 ≠ aLienzo Totomixtlahuaca  
410 2b ≠ aCentro de Estudios de Historia de México. ≠ kManuscript. ≠ sCódice Condumex
- 130 b0 ≠ aBiology research report  
410 2b ≠ aMuseum of Northern Arizona. ≠ tBiology research report
-



## 411 See From Tracing–Meeting Name (R)

### First Indicator

Type of meeting name entry element  
 0 Inverted name  
 1 Jurisdiction name  
 2 Name in direct order

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

‡a Meeting name or jurisdiction name as entry element (NR)	‡s Version (NR)
‡d Date of meeting (NR)	‡t Title of a work (NR)
‡c Location of meeting (NR)	‡v Form subdivision (R)
‡e Subordinate unit (R)	‡w Control subfield (NR)
‡g Miscellaneous information (NR)	/0 Special relationship
‡f Date of a work (NR)	/1 Tracing use restriction
‡h Medium (NR)	/2 Earlier form of heading
‡i Reference instruction phrase (NR)	/3 Reference display
‡k Form subheading (R)	‡x General subdivision (R)
‡l Language of a work (NR)	‡y Chronological subdivision (R)
‡n Number of part/section/meeting (R)	‡z Geographic subdivision (R)
‡p Name of part/section of a work (R)	‡5 Institution to which field applies (R)
‡q Name of meeting following jurisdiction name entry element (NR)	‡6 Linkage (NR)
	‡8 Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a meeting name *see from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see from reference from a meeting name not used to an established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 411 are the same as those defined for field 111 (Heading–Meeting Name), field 511 (See Also From Tracing–Meeting Name), and field 711 (Established Heading Linking Entry–Meeting Name). Description, guidelines, and input conventions for shared content designators are given in the *X11 Meeting Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 411 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

111 2b ‡aInternational Symposium on Laser Anemometry  
 411 2b ‡aSymposium on Laser Anemometry, International

## 411

- 111 2b † aBayreuther Festspiele. † eOrchester  
411 1b † wnaa † aBayreuth (Germany) † qFestspiele. † eOrchester
- 111 2b † aJakob-Stainer-Tagung † d(1983 : † cInnsbruck, Austria)  
411 2b † aJakob-Stainer-Symposium † d(1983 : † cInnsbruck, Austria)
- 111 2b † aInternational Population Conference † d(1959 : † cVienna, Austria). † eWorking  
Committee of the Conference  
411 2b † aInternational Population Conference † d(1959 : † cVienna, Austria).  
† eArbeitsausschuss des Kongresses
- 130 b0 † aPublications of the Carlsberg Expedition to Phoenicia  
411 2b † aCarlsberg Expedition to Phoenicia † d(1958-1959). † tPublications of the Carlsberg  
Expedition to Phoenicia
-

## 430 See From Tracing–Uniform Title (R)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Nonfiling characters  
 0-9 Number of nonfiling characters

### Subfield Codes

‡a Uniform title (NR)	‡t Title of a work (NR)
‡d Date of treaty signing (R)	‡v Form subdivision (R)
‡f Date of a work (NR)	‡w Control subfield (NR)
‡g Miscellaneous information (NR)	/0 Special relationship
‡h Medium (NR)	/1 Tracing use restriction
‡i Reference instruction phrase (NR)	/2 Earlier form of heading
‡k Form subheading (R)	/3 Reference display
‡l Language of a work (NR)	‡x General subdivision (R)
‡m Medium of performance for music (R)	‡y Chronological subdivision (R)
‡n Number of part/section of a work (R)	‡z Geographic subdivision (R)
‡o Arranged statement for music (NR)	‡5 Institution to which field applies (R)
‡p Name of part/section of a work (R)	‡6 Linkage (NR)
‡r Key for music (NR)	‡8 Field link and sequence number (R)
‡s Version (NR)	

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a uniform title *see from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see from reference from a uniform title not used to an established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 430 are the same as those defined for field 130 (Heading–Uniform Title), field 530 (See Also From Tracing–Uniform Title), and field 730 (Established Heading Linking Entry–Uniform Title). Description, guidelines, and input conventions for shared content designators are given in the *X30 Uniform Title–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 430 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

130 b0‡aCollection Les Grandes familles industrielles

430 b0‡aGrandes familles industrielles

100 1b‡aLewis, C. S. ‡q(Clive Staples), ‡d1898-1963. ‡tChronicles of Narnia (Collier Books (Firm))

430 b0‡aChronicles of Narnia (Collier Books (Firm))

## 430

130 b0 † aThémis. † pGestion  
430 b0 † aGestion (Presses universitaires de France)

130 b0 † aBible † xInfluence † xMedieval civilization  
430 b0 † aBible † xInfluence † yMiddle Ages

130 b0 † aBible † xGeography † vMaps  
430 b0 † aBible † vAtlases

150 bb † alran in the Koran  
430 b0 † aKoran † zIran

---

## 451 See From Tracing–Geographic Name (R)

### First Indicator

Undefined  
b Undefined

### Second Indicator

Undefined  
b Undefined

### Subfield Codes

<p>≠a Geographic name (NR)            ≠i Reference instruction phrase (NR)            ≠v Form subdivision (R)            ≠w Control subfield (NR)                /0 Special relationship                /1 Tracing use restriction                /2 Earlier form of heading                /3 Reference display</p>	<p>≠x General subdivision (R)            ≠y Chronological subdivision (R)            ≠z Geographic subdivision (R)            ≠5 Institution to which field applies (R)            ≠6 Linkage (NR)            ≠8 Field link and sequence number (R)</p>
--	---

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a geographic name *see from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see from reference from a geographic name not used to an established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 451 are the same as those defined for field 151 (Heading–Geographic Name), field 551 (See Also From Tracing–Geographic Name), and field 751 (Established Heading Linking Entry–Geographic Name). Description, guidelines, and input conventions for shared content designators are given in the *X51 Geographic Names–General Information* section.

Guidelines for applying the subfield ≠w (Control subfield) and subfield ≠i (Reference instruction phrase) in field 451 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

151 bb ≠aUrsa Major  
 451 bb ≠aDipper (Constellation)

151 bb ≠aAdirondack Mountains (N.Y.)  
 451 bb ≠aAdirondacks (N.Y.)

151 bb ≠aFort Lesley J. McNair (Washington, D.C.)  
 451 bb ≠aWashington Barracks (Washington, D.C.)

151 bb ≠aMedina (Ohio)  
 451 bb ≠wnnaa ≠aMedina, Ohio

# 451

151 bb † aGeorgetown (Washington, D.C.) † vMaps

451 bb † aWest Washington (D.C.) † vMaps

---

## 500 See Also From Tracing–Personal Name (R)

### First Indicator

Type of personal name entry element

- 0 Forename
- 1 Surname
- 3 Family name

### Second Indicator

- Undefined
- ‡ Undefined

---

### Subfield Codes

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>‡a Personal name (NR)</li> <li>‡b Numeration (NR)</li> <li>‡c Titles and other words associated with a name (R)</li> <li>‡d Dates associated with a name (NR)</li> <li>‡e Relator term (R)</li> <li>‡f Date of a work (NR)</li> <li>‡g Miscellaneous information (NR)</li> <li>‡h Medium (NR)</li> <li>‡i Reference instruction phrase (NR)</li> <li>‡j Attribution qualifier (R)</li> <li>‡k Form subheading (R)</li> <li>‡l Language of a work (NR)</li> <li>‡m Medium of performance for music (R)</li> <li>‡n Number of part/section of a work (R)</li> <li>‡o Arranged statement for music (NR)</li> <li>‡p Name of part/section of a work (R)</li> </ul> | <ul style="list-style-type: none"> <li>‡q Fuller form of name (NR)</li> <li>‡r Key for music (NR)</li> <li>‡s Version (NR)</li> <li>‡t Title of a work (NR)</li> <li>‡v Form subdivision (R)</li> <li>‡w Control subfield (NR)               <ul style="list-style-type: none"> <li>/0 Special relationship</li> <li>/1 Tracing use restriction</li> <li>/2 Earlier form of heading</li> <li>/3 Reference display</li> </ul> </li> <li>‡x General subdivision (R)</li> <li>‡y Chronological subdivision (R)</li> <li>‡z Geographic subdivision (R)</li> <li>‡0 Record control number (R)</li> <li>‡5 Institution to which field applies (R)</li> <li>‡6 Linkage (NR)</li> <li>‡8 Field link and sequence number (R)</li> </ul> |
|---|--|

---

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a personal name *see also from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see also from reference from an established personal name to a related established heading.

---

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 500 are the same as those defined for field 100 (Heading–Personal Name), field 400 (See From Tracing–Personal Name), and field 700 (Established Heading Linking Entry–Personal Name). Description, guidelines, and input conventions for shared content designators are given in the *X00 Personal Name–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 500 are given in the *Tracings and References–General Information* section.

# 500

## ■ EXAMPLES

110 2b † aCorinthian Hall (Kansas City, Mo.)  
500 1b † wg † aLong, Robert Alexander, † d1850-1934 † xHomes and haunts † zMissouri

100 1b † aFauré, Gabriel, † d1845-1924. † tBallades, † mpiano, orchestra † nop. 19  
500 1b † aFauré, Gabriel, † d1845-1924. † tBallades, † mpiano † nop. 19

100 3b † aVan Horn family  
500 3b † aHorn family

---

## CONTENT DESIGNATOR HISTORY

†3 *Authority record control number [OBSOLETE] [CAN/MARC only]*



## 510 See Also From Tracing–Corporate Name (R)

### First Indicator

Type of corporate name entry element  
 0 Inverted name  
 1 Jurisdiction name  
 2 Name in direct order

### Second Indicator

Undefined  
 b Undefined

---

### Subfield Codes

‡a Corporate name or jurisdiction name as entry element (NR)	‡r Key for music (NR)
‡b Subordinate unit (R)	‡s Version (NR)
‡c Location of meeting (NR)	‡t Title of a work (NR)
‡d Date of meeting or treaty signing (R)	‡v Form subdivision (R)
‡e Relator term (R)	‡w Control subfield (NR)
‡f Date of a work (NR)	/0 Special relationship
‡g Miscellaneous information (NR)	/1 Tracing use restriction
‡k Form subheading (R)	/2 Earlier form of heading
‡h Medium (NR)	/3 Reference display
‡i Reference instruction phrase (NR)	‡x General subdivision (R)
‡l Language of a work (NR)	‡y Chronological subdivision (R)
‡m Medium of performance for music (R)	‡z Geographic subdivision (R)
‡n Number of part/section/meeting (R)	‡0 Record control number (R)
‡o Arranged statement for music (NR)	‡5 Institution to which field applies (R)
‡p Name of part/section of a work (R)	‡6 Linkage (NR)
	‡8 Field link and sequence number (R)

---

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a corporate name *see also from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see also from reference from an established corporate name to a related established heading.

---

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 510 are the same as those defined for field 110 (Heading–Corporate Name), field 410 (See From Tracing–Corporate Name), and field 710 (Established Heading Linking Entry–Corporate Name). Description, guidelines, and input conventions for shared content designators are given in the *X10 Corporate Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 510 are given in the *Tracings and References–General Information* section.

# 510

## ■ EXAMPLES

110 2b † aOklahoma Council on Juvenile Justice

510 2b † wb † aOklahoma Council on Juvenile Delinquency

110 2b † aACM † bSpecial Interest Group on Personal Computing

510 2b † wb † aAssociation for Computing Machinery. † bSpecial Interest Group on Small and Personal Computing Systems and Applications

110 1b † aMaryland. † bBureau of Air Quality Control

510 1b † wa † aMaryland. † bAir Quality Programs

---

## CONTENT DESIGNATOR HISTORY

†3 *Authority record control number [OBSOLETE] [CAN/MARC only]*

## 511 See Also From Tracing–Meeting Name (R)

### First Indicator

Type of meeting name entry element  
 0 Inverted name  
 1 Jurisdiction name  
 2 Name in direct order

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

<p>‡a Meeting name or jurisdiction name as entry element (NR)          ‡d Date of meeting (NR)          ‡c Location of meeting (NR)          ‡e Subordinate unit (R)          ‡g Miscellaneous information (NR)          ‡f Date of a work (NR)          ‡h Medium (NR)          ‡i Reference instruction phrase (NR)          ‡k Form subheading (R)          ‡l Language of a work (NR)          ‡n Number of part/section/meeting (R)          ‡p Name of part/section of a work (R)          ‡q Name of meeting following jurisdiction name entry element (NR)</p>	<p>‡s Version (NR)          ‡t Title of a work (NR)          ‡v Form subdivision (R)          ‡w Control subfield (NR)            /0 Special relationship            /1 Tracing use restriction            /2 Earlier form of heading            /3 Reference display          ‡x General subdivision (R)          ‡y Chronological subdivision (R)          ‡z Geographic subdivision (R)          ‡0 Record control number (R)          ‡5 Institution to which field applies (R)          ‡6 Linkage (NR)          ‡8 Field link and sequence number (R)</p>
--	---

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a meeting name *see also from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see also from reference from an established meeting name to a related established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 511 are the same as those defined for field 111 (Heading–Meeting Name), field 411 (See From Tracing–Meeting Name), and field 711 (Established Heading Linking Entry–Meeting Name). Description, guidelines, and input conventions for shared content designators are given in the *X11 Meeting Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 511 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

111 2b ‡aInternational Drip Irrigation Congress  
 511 2b ‡wa ‡aInternational Drip Irrigation Meeting  
 511 2b ‡wb ‡aInternational Drip/Trickle Irrigation Congress

## 511

- 111 2b † aCongrès européen de droit rural  
511 2b † wa † aColloque européen de droit rural
- 111 2b † aEntretiens de Bichat  
511 2b † wb † aEntretiens de Bichat Pitié-Salpêtrière
- 110 1b † aUnited States. † bDelegation to the Mexico-United States Interparliamentary Conference, 19<sup>th</sup>, 1979, Mexico City and Ixtapa, Mexico  
511 2b † aMexico-United States Interparliamentary Conference. † eDelegations
- 130 b0 † aConference proceedings (Australian Institute of Criminology)  
511 2b † wa † aAIC Seminar. † tProceedings
- 

### CONTENT DESIGNATOR HISTORY

†3 *Authority record control number [OBSOLETE] [CAN/MARC only]*

## 530 See Also From Tracing–Uniform Title (R)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Nonfiling characters  
 0-9 Number of nonfiling characters

### Subfield Codes

‡a	Uniform title (NR)	‡t	Title of a work (NR)
‡d	Date of treaty signing (R)	‡v	Form subdivision (R)
‡f	Date of a work (NR)	‡w	Control subfield (NR)
‡g	Miscellaneous information (NR)	/0	Special relationship
‡h	Medium (NR)	/1	Tracing use restriction
‡i	Reference instruction phrase (NR)	/2	Earlier form of heading
‡k	Form subheading (R)	/3	Reference display
‡l	Language of a work (NR)	‡x	General subdivision (R)
‡m	Medium of performance for music (R)	‡y	Chronological subdivision (R)
‡n	Number of part/section of a work (R)	‡z	Geographic subdivision (R)
‡o	Arranged statement for music (NR)	‡0	Record control number (R)
‡p	Name of part/section of a work (R)	‡5	Institution to which field applies (R)
‡r	Key for music (NR)	‡6	Linkage (NR)
‡s	Version (NR)	‡8	Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a uniform title *see also from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see also from reference from an established uniform title to a related established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 530 are the same as those defined for field 130 (Heading–Uniform Title), field 430 (See From Tracing–Uniform Title), and field 730 (Established Heading Linking Entry–Uniform Title). Description, guidelines, and input conventions for shared content designators are given in the *X30 Uniform Titles–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 530 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

130 b0 ‡aHabakkuk commentary  
 530 b0 ‡aDead Sea scrolls

130 b0 ‡aOECD reviews of national policy for education  
 530 b0 ‡aReviews of national policies for education

## 530

- 130 b0 † aData report (Maryland. Air Quality Programs)  
530 b0 † wb † aData report (Maryland. Air Management Administration)
- 130 b0 † aDossiers Cinéma d'aujourd'hui  
530 b0 † aCollection Cinéma d'aujourd'hui. † pDossiers
- 130 b0 † aPublicaciones del Archivo Histórico de la Provincia de Buenos Aires. † pDocumentos del archivo  
530 b0 † wb † aPublicaciones del Archivo Histórico de la Provincia de Buenos Aires "Ricardo Levene." † nIII, † pDocumentos del archivo
- 150 bb † aGods, Vedic  
530 b0 † wg † aVedas † xCriticism, interpretation, etc.
- 

### CONTENT DESIGNATOR HISTORY

†3 Authority record control number [OBSOLETE] [CAN/MARC only]

## 551 See Also From Tracing–Geographic Name (R)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

‡a	Geographic name (NR)	‡x	General subdivision (R)
‡i	Reference instruction phrase (NR)	‡y	Chronological subdivision (R)
‡v	Form subdivision (R)	‡z	Geographic subdivision (R)
‡w	Control subfield (NR)	‡0	Record control number (R)
	/0 Special relationship	‡5	Institution to which field applies (R)
	/1 Tracing use restriction	‡6	Linkage (NR)
	/2 Earlier form of heading	‡8	Field link and sequence number (R)
	/3 Reference display		

### FIELD DEFINITION AND SCOPE

This field contains a tracing for a geographic name *see also from reference*. It is used in an established heading record or an established heading and subdivision record to trace a see also from reference from an established geographic name to a related established heading.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 551 are the same as those defined for field 151 (Heading–Geographic Name), field 451 (See From Tracing–Geographic Name), and field 751 (Established Heading Linking Entry–Geographic Name). Description, guidelines, and input conventions for shared content designators are given in the *X51 Geographic Names–General Information* section.

Guidelines for applying the subfield ‡w (Control subfield) and subfield ‡i (Reference instruction phrase) in field 551 are given in the *Tracings and References–General Information* section.

### ■ EXAMPLES

151 bb ‡aBuenos Aires (Argentina)  
 551 bb ‡aBelgrano (Buenos Aires, Argentina)

151 bb ‡aChelsea (London, England)  
 551 bb ‡aKensington and Chelsea (London, England)  
 551 bb ‡aLondon (England)

151 bb ‡aBarents Sea  
 551 bb ‡wg ‡aArctic Ocean

# 551

## CONTENT DESIGNATOR HISTORY

‡3 *Authority record control number [OBSOLETE] [CAN/MARC only]*



## 663 Complex See Also Reference–Name (NR)

### First Indicator

Undefined  
 Ⓟ Undefined

### Second Indicator

Undefined  
 Ⓟ Undefined

### Subfield Codes

Ⓟ a Explanatory text (R)	Ⓟ 6 Linkage (NR)
Ⓟ b Heading referred to (R)	Ⓟ 8 Field link and sequence number (R)
Ⓟ t Title referred to (R)	

## FIELD DEFINITION AND SCOPE

This field contains the *explanatory text* and the *headings referred to* that are required when relationships exist between an established name and other established names that cannot be adequately conveyed by one or more simple cross references generated from 5XX See Also From Tracing fields. The field is appropriate only in an established heading record for names.

The 1XX field in the record contains an established heading referred from. Field 663 contains the explanatory text for the see also reference and the other established headings referred to. The established heading in field 1XX is also traced in a 5XX field in a record for each of the established headings referred to in field 663. Subfield Ⓟ w/3 (Reference display) in each of the 5XX fields contains code c (Reference not displayed, field 663 used). Code c indicates that the generation of a simple cross reference from the 5XX field should be suppressed.

A cross reference may be generated from the 1XX and 663 fields. A reference instruction phrase is incorporated into the text of subfield Ⓟ a. Examples of complex cross reference displays are provided in the *Tracing and Reference Fields–General Information* section.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (Ⓟ).

### ■ SUBFIELD CODES

#### Ⓟ a - Explanatory text

Subfield Ⓟ a contains the explanatory text of the see also reference. The text includes a reference instruction phrase such as *see also:* or *search also under:*.

#### Ⓟ b - Heading referred to

Subfield Ⓟ b contains the related established headings to which the heading in field 1XX of the record refers. Except for subfield Ⓟ t, no subelements within the heading referred to are separately subfield coded. Each separate heading referred to is contained in a separate subfield Ⓟ b.

## 663

### ‡t - Title referred to

Subfield ‡t contains the title portion of a name/title heading referred to. The following example reflects the 663 fields and the corresponding 5XX tracing fields in the established heading records for an author who writes under his real name (Alexander H. Japp) and two pseudonyms (E. Condor Gray and H. A. Page):

```
008/09    a           [established heading record]
100 1b ‡aJapp, Alexander H. ‡q(Alexander Hay), ‡d1839-1905
500 1b ‡wnnnc ‡aGray, E. Condor, ‡d1839-1905
500 1b ‡wnnnc ‡aPage, H. A., ‡d1839-1905
663 1b ‡aFor works of this author written under pseudonyms, search also under ‡bGray,
    E. Condor, 1839-1905 ‡aand ‡bPage, H. A., 1839-1905
```

```
008/09    a           [established heading record]
100 1b ‡aGray, E. Condor, ‡d1839-1905
500 1b ‡wnnnc ‡aJapp, Alexander H. ‡q(Alexander Hay), ‡d1839-1905
500 1b ‡wnnnc ‡aPage, H. A., ‡d1839-1905
663 1b ‡aFor works of this author written under his real name, search also under ‡bJapp,
    Alexander H. (Alexander Hay), 1839-1905. ‡aFor works written under another
    pseudonym, search also under ‡bPage, H. A., 1839-1905
```

```
008/09    a           [established heading record]
100 1b ‡aPage, H. A., ‡d1839-1905
500 1b ‡wnnnc ‡aJapp, Alexander H. ‡q(Alexander Hay), ‡d1839-1905
500 1b ‡wnnnc ‡aGray, E. Condor, ‡d1839-1905
663 1b ‡aFor works of this author written under his real name, search also under ‡bJapp,
    Alexander H. (Alexander Hay), 1839-1905. ‡aFor works written under another
    pseudonym, search also under ‡bGray, E. Condor, 1839-1905
```

### ‡6 - Linkage

### ‡8 - Field link and sequence number

See description of these subfields in Appendix A.

---

## INPUT CONVENTIONS

**Punctuation and Spacing** - The punctuation and spacing conventions described in the name heading *General Information* sections are also applicable to the Heading (‡b) and Title (‡t) subfields in field 663. Field 663 does not end with a mark of punctuation unless the data ends with an abbreviation, an initial/ letter, or data that ends with a mark of punctuation.

---

## 664 Complex See Reference–Name (NR)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

‡a Explanatory text (R)	‡6 Linkage (NR)
‡b Heading referred to (R)	‡8 Field link and sequence number (R)
‡t Title referred to (R)	

## FIELD DEFINITION AND SCOPE

This field contains the *explanatory text* and the *headings referred to* that are required when relationships exist between an unestablished name and one or more established names that cannot be adequately conveyed by simple cross references generated from 4XX See From Tracing fields in established heading records. The field is appropriate only in traced reference records for names.

The 1XX field in the record contains an unestablished name referred from. Field 664 contains the explanatory text for the see reference and the established headings referred to. The unestablished heading in field 1XX is also traced in a 4XX field in a record for each of the established headings referred to in field 664. Subfield ‡w/3 (Reference display) in each of the 4XX fields contains code b (Reference not displayed, field 664 used). Code b indicates that the generation of a simple cross reference from the 4XX field should be suppressed.

A cross reference may be generated from the 1XX and 664 fields. A reference instruction phrase is incorporated into the text of subfield ‡a. Examples of complex cross reference displays are provided in the *Tracing and Reference Fields–General Information* section.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (b).

### ■ SUBFIELD CODES

#### ‡a - Explanatory text

Subfield ‡a contains the explanatory text of the see reference. The text includes a reference instruction phrase such as *see:* or *search under:*.

#### ‡b - Heading referred to

Subfield ‡b contains the established headings to which the heading in field 1XX of the record refers. Except for subfield ‡t, no subelements within the heading referred to are separately subfield coded. Each heading referred to is contained in a separate subfield ‡b.

## 664

### ‡t - Title referred to

Subfield ‡t contains the title portion of a name-title heading referred to. Each title referred to under a single name is contained in a separate subfield ‡t.

008/09 c [traced reference record]  
100 1‡ aReger, Max, ‡d1873-1916. ‡tDies irae  
664 ‡‡ aFor this movement included in the composer's unfinished Requiem search  
under ‡bReger, Max, 1873-1916. ‡tRequiem (Mass)

008/09 a [established heading record]  
100 1‡ aReger, Max, ‡d1873-1916. ‡tRequiem (Mass)  
400 1‡ ‡wnnnb ‡aReger, Max, ‡d1873-1916. ‡tDies irae

008/09 c [traced reference record]  
100 1‡ aMahfouz, Naguib  
664 ‡‡ asearch under ‡bMahfūz, Najīb, 1882- ‡bMahfūz, Najīb, 1912-

008/09 a [established heading record]  
100 1‡ aMahfūz, Najīb, ‡d1882-  
400 1‡ ‡wnnnb ‡aMahfouz, Naguib

008/09 a [established heading record]  
100 1‡ aMahfūz, Najīb, ‡d1912-  
400 1‡ ‡wnnnb ‡aMahfouz, Naguib

### ‡6 - Linkage

### ‡8 - Field link and sequence number

See description of these subfields in Appendix A.

---

## INPUT CONVENTIONS

**Reference Record Fields** - Reference records must contain a Leader, a Directory, and the following variable fields: 001 (Control Number), 003 (Control Number Identifier) 005 (Date and Time of Latest Transaction), 008 (Fixed-Length Data Elements), 040 (Cataloging Source), and 1XX (Heading).

**Punctuation and Spacing** - The punctuation and spacing conventions described in the name heading *General Information* sections are also applicable to the Heading (‡b) and Title (‡t) subfields in field 664. Field 664 does not end with a mark of punctuation unless the data ends with an abbreviation, an initial/letter, or data that ends with a mark of punctuation.

---

## 665 History Reference (NR)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

‡a History reference (R)	‡8 Field link and sequence number (R)
‡6 Linkage (NR)	

## FIELD DEFINITION AND SCOPE

The 665 field contains text for reference notes with historical information for names. It is provided when more information is needed about the relationships that exist than can be adequately conveyed by using simple cross references generated from the 5XX fields. The 665 field may also contain text describing the subject entry treatment for works about the various related headings. The field is appropriate only in an established heading record.

The 1XX field in the record contains an established heading referred from. Field 665 contains the history of the name changes and the other established headings referred to. The established heading in field 1XX is also traced in a 5XX field in the established heading records for the headings referred to in field 665. Subfield ‡w/3 (Reference display) in each of the tracing fields may contain code d (Reference not displayed, field 665 used). Code d indicates that the generation of a simple cross reference from the 5XX field should be suppressed.

A cross reference may be generated from the 1XX and 665 fields. A reference instruction phrase is incorporated into the text of subfield ‡a. Examples of complex cross reference displays are provided in the *Tracing and Reference Fields—General Information* section.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (b).

### ■ SUBFIELD CODES

#### ‡a - History reference

Subfield ‡a contains history information or text describing the relationships and the headings to which the heading in field 1XX of the record refers. No subelements within the headings referred to are separately subfield coded.

Subfield ‡a may be repeated to allow the generation of paragraphs and/or a list of the headings in a cross reference display.

008/09 a *[established heading record]*  
 110 1b † aConnecticut. † bDept. of Social Services  
 510 1b † wnnnd † aConnecticut. † bDept. of Human Resources  
 510 1b † wnnnd † aConnecticut. † bDept. of Income Maintenance  
**665** bb † aIn Jan. 1979 the Connecticut Dept. of Social Services split to form the Dept. of Human Resources and the Dept. of Income Maintenance. † aWorks by these bodies are found under the following headings according to the name used at the time of publication: † aConnecticut. Dept. of Social Services. † aConnecticut. Dept. of Human Resources. † aConnecticut. Dept. of Income Maintenance. † aSUBJECT ENTRY: Works about these bodies are entered under one or more of the names resulting from the separation. Works limited in coverage to the pre-separation period are entered under the name of the original body.

008/09 a *[established heading record]*  
 110 1b † aConnecticut. † bDept. of Human Resources  
 510 1b † wnnnd † aConnecticut. † bDept. of Social Services  
 510 1b † wnnnd † aConnecticut. † bDept. of Income Maintenance  
**665** bb † aIn Jan. 1979 the Connecticut Dept. of Social Services split to form the Dept. of Human Resources and the Dept. of Income Maintenance. † aWorks by these bodies are found under the following headings according to the name used at the time of publication: † aConnecticut. Dept. of Social Services. † aConnecticut. Dept. of Human Resources. † a Connecticut. Dept. of Income Maintenance. † aSUBJECT ENTRY: Works about these bodies are entered under one or more of the names resulting from the separation. Works limited in coverage to the pre-separation period are entered under the name of the original body.

008/09 a *[established heading record]*  
 110 1b † aConnecticut. † bDept. of Income Maintenance  
 510 1b † wnnnd † aConnecticut. † bDept. of Social Services  
 510 1b † wnnnd † aConnecticut. † bDept. of Human Resources  
**665** bb † aIn Jan. 1979 the Connecticut Dept. of Social Services split to form the Dept. of Human Resources and the Dept. of Income Maintenance. † aWorks by these bodies are found under the following headings according to the name used at the time of publication: † aConnecticut. Dept. of Social Services. † aConnecticut. Dept. of Human Resources. † aConnecticut. Dept. of Income Maintenance. † aSUBJECT ENTRY: Works about these bodies are entered under one or more of the names resulting from the separation. Works limited in coverage to the pre- separation period are entered under the name of the original body.

008/09 a *[established heading record]*  
 110 2b † aBritish Columbia Association of Hospitals and Health Organizations  
 510 2b † aBritish Columbia Hospitals' Association  
**665** bb † aName changed Oct. 1973 from British Columbia Hospitals' Association.

008/09 a *[established heading record]*  
 110 2b † aBritish Columbia Hospitals' Association  
 510 2b † aBritish Columbia Association of Hospitals and Health Organizations  
**665** bb † aEstablished 1918? Name changed Oct. 1973 to British Columbia Association of Hospitals and Health Organizations.

† 6 - Linkage

† 8 - Field link and sequence number

See description of these subfields in Appendix A.

## 666 General Explanatory Reference–Name (NR)

### First Indicator

Undefined  
 b Undefined

### Second Indicator

Undefined  
 b Undefined

### Subfield Codes

‡a General explanatory reference (R)                      ‡8 Field link and sequence number (R)  
 ‡6 Linkage (NR)

## FIELD DEFINITION AND SCOPE

This field contains text that explains how names having a common characteristic (e.g., surnames with separately written prefixes) are entered in a file. The field is appropriate only in an untraced reference record.

The 1XX field in the record contains the unestablished data element referred from. Field 666 contains the explanatory information. The heading in the 1XX field is not traced in a 4XX field in any established heading record for names beginning with the specified characteristic.

A cross reference display may be generated from the 1XX and 666 fields of the reference record. A reference instruction phrase is incorporated into the text of subfield ‡a. Examples of complex cross reference displays are provided in the *Tracing and Reference Fields–General Information* section.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (b).

### ■ SUBFIELD CODES

#### ‡a - General explanatory reference

Subfield ‡a contains the text of the explanatory reference.

008/09    b            *[untraced reference record]*

100    0b ‡aDe la

**666**    bb ‡aNames beginning with this prefix are also entered under La (e.g., La Bretèque, Pierre de) or under the name following the prefix (e.g., Torre, Marie de la)

008/09    b            *[untraced reference record]*

110    2b ‡aAktiebolaget . . .

**666**    bb ‡aNames of corporate bodies beginning with this word are entered under the next word in the name.

## 666

‡ 6 - Linkage

‡ 8 - Field link and sequence number

See description of these subfields in Appendix A.

---

### INPUT CONVENTIONS

**Reference Record Fields** - Reference records must contain a Leader, a Directory, and the following variable fields: 001 (Control Number), 003 (Control Number Identifier), 005 (Date and Time of Latest Transaction), 008 (Fixed-Length Data Elements), 040 (Cataloging Source), and 1XX (Heading).

**Punctuation** - Field 666 ends with a period unless the data ends with another mark of punctuation such as a closing parenthesis.

---



## 667 Nonpublic General Note (R)

### First Indicator

Undefined  
 ⑆ Undefined

### Second Indicator

Undefined  
 ⑆ Undefined

### Subfield Codes

⑆ a Nonpublic general note (NR)	⑆ 6 Linkage (NR)
⑆ 5 Institution to which field applies (R)	⑆ 8 Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a note that provides general information about a 1XX heading for which a specialized note field has not been defined. The information may be permanent or temporary and may or may not be written in a form that is adequate for public display. Each distinct item of information is contained in a separate 667 field.

A general note for public display is contained in field 680 (Public General Note).

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

#### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (⑆).

#### ■ SUBFIELD CODES

##### ⑆ a - Nonpublic general note

Subfield ⑆ a contains a nonpublic general note.

100 1⑆ ⑆ aMozart, Wolfgang Amadeus, ⑆ d1756-1791. ⑆ tFugues, ⑆ mpianos (2), ⑆ nK.  
 426, ⑆ rC minor

667 ⑆ ⑆ ⑆ aLater arr. for string orchestra as part of the Adagio und Fuge, K. 546

100 1⑆ ⑆ aShakespeare, William, ⑆ d1564-1616 ⑆ xRelations with men

667 ⑆ ⑆ ⑆ aFor works on relations with an individual man, assign an additional heading for the name of the man.

##### ⑆ 5 - Institution to which field applies

See description of this subfield in Appendix A.

130 ⑆ 0 ⑆ aQuarto book

667 ⑆ ⑆ ⑆ aGive phrase as quoted note. ⑆ 5DLC

## 667

‡ 6 - Linkage

‡ 8 - Field link and sequence number

See description of these subfields in Appendix A.

---

### CONTENT DESIGNATOR HISTORY

667 *Name Usage or Scope Note* [REDEFINED] [USMARC only]

‡a *Usage or scope note* [REDEFINED] [USMARC only]

In 1991, this field was redefined as a nonpublic general note and its scope was expanded for use in authority records for both names and subjects.

## 670 Source Data Found (R)

### First Indicator

Undefined  
 ⑆ Undefined

### Second Indicator

Undefined  
 ⑆ Undefined

### Subfield Codes

⊕a Source citation (NR)	⊕6 Linkage (NR)
⊕b Information found (NR)	⊕8 Field link and sequence number (R)

### FIELD DEFINITION AND SCOPE

This field contains a citation for a consulted source in which information is found about the 1XX heading in an established heading record, an established heading and subdivision record, or a subdivision record. The field may also include the information found in the source. Each source citation is contained in a separate 670 field.

A citation for a consulted source that yielded no information about the heading is contained in field 675 (Source Data Not Found).

The citations and information in this field are often not written in a form adequate for public user display.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

#### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (⑆).

#### ■ SUBFIELD CODES

##### ⊕a - Source citation

Subfield ⊕a contains a citation for a published work or a description of any source or action that provided information about the heading (e.g., a telephone call to a publisher). The citation includes enough information to identify the work, such as the title and imprint date. When a work has a non-distinctive title (e.g., a generic term), the citation may begin with the name of the author. When the author is the same as the 1XX heading, one of the following may be used in the citation: *His*, *Her*, *Author's* (gender unknown), or *Its* (not a personal name). When a 100 heading is used for more than one person (008/32, Undifferentiated personal name, code b), subfield ⊕a may contain a descriptive term for an author enclosed within brackets (e.g., [Author of Ireland]).

110 2⑆⊕aOxon Hill Manor (Md.)

670 ⑆⑆⊕aPhone call to National Register of Historic Places

## 670

- 110 2b † aWorld Bank  
670 bb † alts Annual report, 1981: † bp.3 (The World Bank is a group of three institutions, the International Bank for Reconstruction and Development (IBRD), the International Development Association (IDA), and the International Finance Corporation (IFC))
- 100 1b † aSmith, Howard  
670 bb † a[Added entry of Inside Japan]  
670 bb † aInside Japan, 1981 (a.e.) † bverso t.p. (Howard Smith)  
670 bb † a[Author of Ireland]  
670 bb † aHis Ireland, 1974: † bt.p. (Howard Smith)

### † b - Information found

Subfield † b contains a parenthetical statement of the information found in the source. A statement of the location of the information within the source, such as *cover, t.p., foreword*, may also be contained in subfield † b. Multiple occurrences of location/information found within the same source are contained in a single subfield † b.

- 100 1b † aDefoe, Daniel, † d1661?-1731  
670 bb † alnU/Wing STC files † b(usage: a lay-hand in the country)
- 110 2b † aTwin City Federal  
670 bb † aLund, D. A. Billions for homes, c1980 (subj.) † bt.p. (TCF) verso t.p. (Twin City Federal) p. 11 (founded 4-2-23)
- 110 2b † aBentley Historical Library  
670 bb † aBillington, R. A. † bLocal history is ... 1974.  
670 bb † alts Guide to manuscripts in the Bentley Historical Library, 1976: † bt.p. (Bentley Historical Library, Michigan Historical Collections, Univ. of Mich.)
- 130 b0 † aAstronomy library  
670 bb † aEicher, D.J. Beyond the solar system, c1992: † bt.p. (Astronomy library)

### † 6 - Linkage

### † 8 - Field link and sequence number

See description of these subfields in Appendix A.

---

## INPUT CONVENTIONS

**Punctuation and Spacing** - Punctuation and spacing is a matter of judgment. The examples used in the *Guidelines* area reflect current Library of Congress practice.

**Order** - The first 670 field in a record should contain the citation for the work for which the 1XX heading is being established whenever there is one. Other sources may be listed in any order.

---

## CONTENT DESIGNATOR HISTORY

† a *Source consulted and information found [REDEFINED, 1997] [CAN/MARC only]*

## 675 Source Data Not Found (NR)

### First Indicator

Undefined  
 ⑆ Undefined

### Second Indicator

Undefined  
 ⑆ Undefined

### Subfield Codes

⑆a Source citation (R)  
 ⑆6 Linkage (NR)

⑆8 Field link and sequence number (R)

## FIELD DEFINITION AND SCOPE

This field contains a citation for a consulted source in which no information is found about the 1XX heading in an established heading record, an established heading and subdivision record, or a subdivision record. In unusual instances, the field may also include questionable information that is found in the source (e.g., when the name found may refer to a different person). Multiple source citations are contained in a single 675 field.

A citation for a consulted source that yielded information about the heading is contained in field 670 (Source Data Found).

The citations and information in this field are often not written in a form adequate for public user display.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

### ■ INDICATORS

Both indicator positions are undefined; each contains a blank (⑆).

### ■ SUBFIELD CODES

#### ⑆a - Source citation

Subfield ⑆a contains a citation for a published work or a description of any source that provided no information about the heading. Each source citation is contained in a separate subfield ⑆a.

151 ⑆⑆ ⑆aSkarvsnes (Antarctica)

675 ⑆⑆ ⑆aLippincott; ⑆aRand McNally; ⑆aWeb. geog.; ⑆aRand McNally new international atlas

110 2⑆ ⑆alronmaster's House (Pa.)

675 ⑆⑆ ⑆aNat. reg. hist. pl.

100 1⑆ ⑆aFoote, Arthur, ⑆d1853-1937. ⑆tDuets, ⑆mpiano, 4 hands, ⑆nop. 21

675 ⑆⑆ ⑆aNew Grove; ⑆aThompson, 10th ed.

## 675

100 1b † aMiller, M.

675 bb † aWW in world Jewry, 1965; † aAcademic ww, 1973-74; † aWW in educ., 1974

130 b0 † aHeadline series

675 bb † aHarsch, Joseph C. Germany at war, 1942: ser. t.p. (Headline books)

† 6 - Linkage

† 8 - Field link and sequence number

See description of these subfields in Appendix A.

---

### INPUT CONVENTIONS

**Punctuation** - Multiple source citations are separated from each other by a semicolon.

---

## 678 Biographical or Historical Data (R)

### First Indicator

Type of data

- ␣ No information provided
- 0 Biographical sketch
- 1 Administrative history

### Second Indicator

Undefined

- ␣ Undefined

---

### Subfield Codes

- |  |                                       |
|--|---------------------------------------|
| ␣a Biographical or historical data (R) | ␣6 Linkage (NR)                       |
| ␣b Expansion (NR)                      | ␣8 Field link and sequence number (R) |
| ␣u Uniform Resource Identifier (R)     |                                       |

---

### FIELD DEFINITION AND SCOPE

This field contains biographical, historical, or other information about the 1XX heading in an established heading record, an established heading and subdivision record, or a subdivision record. The note is usually written in a form adequate for public display.

---

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

#### ■ INDICATORS

##### First Indicator - Type of data

The first indicator position contains a value that identifies the type of data contained in the field.

##### ␣ - No information provided

Value ␣ indicates that no information is provided as to the type of data in the field.

678 ␣␣␣aActive from March 15, 1905 until April 17, 1989.

##### 0 - Biographical sketch

Value 0 indicates that the information in the field is a biographical sketch.

678 0␣␣aBorn Kingston, N.Y., April 4, 1856; worked at J.J. Bufford's Lith. in Boston, 1890-1895.

##### 1 - Administrative history

Value 1 indicates that the information in the field is an administrative history of an organization.

## 678

**678 1b** ≠ **a**The Office of Geography provides research and other staff services for the interdepartmental Board on Geographic Names and the Secretary of the Interior on foreign geographic nomenclature. ≠ **b**The Office inherited functions and records of earlier boards and committees engaged in similar work. The earliest of these, the U.S. Board on Geographic Names, was created by an Executive order of September 4, 1890, to ensure uniform usage throughout the executive departments of the Government ...

### Second Indicator - Undefined

The second indicator position is undefined and contains a blank (b).

### ■ SUBFIELD CODES

#### ≠ **a** - Biographical or historical data

Subfield ≠ **a** contains a brief statement providing biographical information about an individual. It may also contain historical and administrative information relating to an organization.

100 1b ≠ **a**Jordan, Joseph A.

**678 bb** ≠ **a**M.D., M.R.C.O.G.; ≠ **a**Dept. of Obstetrics and Gynaecology, Birmingham Maternity Hosp., Queen Elizabeth Medical Center, Edgbaston.

100 1b ≠ **a**Haupt, Georges

**678 bb** ≠ **ab**. 1928

670 bb ≠ **a**His aspects of international socialism, 1871-1914: ≠ **b**CIP pref. (b. Szatmar; wrote in Rumanian, Hungarian, Russian; moved to Paris 1958; d. 1978)

#### ≠ **b** - Expansion

Subfield ≠ **b** contains an expansion of the biographical or historical data given in subfield ≠ **a**.

**678 1b** ≠ **a**The Office of Geography provides research and other staff services for the interdepartmental Board on Geographic Names and the Secretary of the Interior on foreign geographic nomenclature. ≠ **b**The Office inherited functions and records of earlier boards and committees engaged in similar work. The earliest of these, the U.S. Board on Geographic Names, was created by an Executive order of September 4, 1890, to ensure uniform usage throughout the executive departments of the Government ...

#### ≠ **u** - Uniform Resource Identifier

Subfield ≠ **u** contains the Uniform Resource Identifier (URI), for example a URL or URN, which provides electronic access data in a standard syntax. This data can be used for automated access to an electronic item using one of the Internet protocols.

**678 bb** ≠ **a**The Faribault State School and Hospital provided care, treatment, training, and a variety of other services to mentally retarded individuals and their families. It was operated by the State of Minnesota from 1879 to 1998 under different administrative structures and with different names. A more detailed history of the Hospital may be found at ≠ **u**<http://www.mnhs.org/library/findaids/80881.html>

#### ≠ **6** - Linkage

#### ≠ **8** - Field link and sequence number

See description of these subfields in Appendix A.



**INPUT CONVENTIONS**

**Punctuation** - Field 678 ends with a period unless another mark of punctuation is present.

---

**CONTENT DESIGNATOR HISTORY**

*‡u* *Uniform Resource Identifier*  
In 2000, subfield ‡u was defined to contain the Uniform Resource Identifier (URI)

## 7XX Heading Linking Entries–General Information

- 700 Established Heading Linking Entry–Personal Name (R)
- 710 Established Heading Linking Entry–Corporate Name (R)
- 711 Established Heading Linking Entry–Meeting Name (R)
- 730 Established Heading Linking Entry–Uniform Title (R)
- 750 Established Heading Linking Entry–Topical Term (R)
- 751 Established Heading Linking Entry–Geographic Name (R)
- 755 Established Heading Linking Entry–Genre/Form Term (R)
- 780 Subdivision Linking Entry–General Subdivision (R)
- 781 Subdivision Linking Entry–Geographic Subdivision (R)
- 782 Subdivision Linking Entry–Chronological Subdivision (R)
- 785 Subdivision Linking Entry–Form Subdivision (R)
- 788 Complex Linking Entry Data (NR)

*Special indicator and subfields used with 7XX fields*

Second indicator - Thesaurus

‡2 Source of heading or term

‡w Control subfield (NR)

/O Link display

### DEFINITION AND SCOPE

The 7XX Heading Linking Entry fields provide a machine link within a system between equivalent headings whether they are structured in the same or different form, are from the same or different authority files or printed thesauri, or whether they exist as separate authority records. The inclusion of a linking entry field in an established heading or established heading and subdivision record for a name, name/title, uniform title, topical term, form term, or extended subject heading or in an established heading record for an authorized subject subdivision may be used to relate such headings as:

- equivalent names in a multilingual thesaurus  
*Example:* National Library of Canada English heading *Francis, of Assisi, Saint, 1182-1226* and National Library of Canada French heading *François, d'Assise, saint, 1182-1226*
- equivalent topical headings in different authority systems  
*Example:* *Library of Congress Subject Headings (LCSH)* headings *Medical referral* and *Medical consultation* and *Medical Subject Headings (MeSH)* heading *Referral and Consultation*
- a topical term heading (field 150) and the same or similar term used as a subject subdivision (field 78X)  
*Example:* established term *History* and the subject subdivision *History*  
*Example:* established term *Twentieth century* and the subject subdivision *20th century*
- a geographic name heading (field 151) and the indirect form of that name used as a geographic subject subdivision (field 751)  
*Example:* established heading *Rome (N.Y.)* and the subject subdivision *New York (State)–Rome*.
- a genre/form term heading (field 155) and the same or similar term used as a form subject subdivision (field 755)  
*Example:* established heading *Periodicals* and the subject subdivision *Periodicals*.

The 7XX field tag identifies whether the heading linking entry field contains an established heading (fields 700-755) or an authorized subdivision heading (fields 780-785). The second indicator or the subfield ≠2 identify the authority system to which the heading in field 7XX belongs. Subfield ≠0 (Record control number) contains the system control number of the related record when a separate MARC authority record exists for the 7XX heading.

A relationship that cannot be adequately expressed by the 700-785 linking entry fields is described textually in field 788.

## GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Guidelines for the second indicator, subfield ≠2 (Source of heading or term), and subfield ≠w (Control subfield) in the 7XX fields, as well as special instructions for field 788 are provided in this section. Guidelines for applying the other content designators defined for fields 700-785 are provided in the *General Information* sections for each type of heading (X00 Personal Names, X10 Corporate Names, X11 Meeting Names, X30 Uniform Titles, X50 Topical Terms, X51 Geographic Names, X55 Genre/Form Terms, X80 General Subdivisions, X81 Geographic Subdivisions, X82 Chronological Subdivisions, and X85 Form Subdivisions).

The first indicator position and all of the subfield codes defined for field 788 (Complex Linking Entry Data) are described in the description of that field. The second indicator position is described in this section.

### ■ INDICATOR

#### Second Indicator - Thesaurus

The second indicator position specifies the name and/or subject heading system or thesaurus used in constructing the heading.

##### 0 - Library of Congress Subject Headings/Name authority file

Value 0 indicates that the heading conforms to the Library of Congress Subject Heading file and/or the Name Authority Cooperative (NACO) file that are both cooperatively maintained at the Library of Congress.

##### 1 - LC subject headings for children's literature

Value 1 indicates that the heading conforms to the "AC Subject Headings" section of *Library of Congress Subject Headings* that is maintained by the Library of Congress.

##### 2 - Medical Subject Headings/NLM name authority file

Value 2 indicates that the heading conforms to *Medical Subject Headings* (MeSH) and/or the National Library of Medicine name authority files.

##### 3 - National Agricultural Library subject authority file

Value 3 indicates that the heading conforms to the U.S. National Agricultural Library subject authority file.

##### 4 - Source not specified

Value 4 indicates that the heading conforms to a controlled list that cannot be specified by one of the subject heading system/thesauri covered by second indicator values 0-3, 5-6 or by an identifying MARC code in subfield ≠2.

**5 - Canadian Subject Headings/NLC name authority file**

Value 5 indicates that the heading conforms to *Canadian Subject Headings* and/or the National Library of Canada name authority file.

**6 - Répertoire de vedettes-matière**

Value 6 indicates that the heading conforms to *Répertoire de vedettes-matière* that is maintained by the Bibliothèque de l'Université de Laval.

**7 - Source specified in subfield ≠ 2**

Value 7 indicates that the formulation of the heading conforms to a controlled list other than that specified by one of the other defined values and for which an identifying MARC code is contained in subfield ≠ 2.

**■ SUBFIELD CODES****≠ w - Control subfield**

Subfield ≠ w contains one character position (designated by ≠ w/O) that controls the generation of a link display from a 700-785 field. Subfield ≠ w needs to be used only if the display is to be suppressed.

**/O - Link display**

Subfield ≠ w/O contains a one-character alphabetic code that controls the suppression of a link display from a 700-785 field.

**a - Link not displayed****b - Link not displayed, field 788 used****c - Link not displayed, non-7XX field used****n - Not applicable****a - Link not displayed**

Code a indicates that the generation of a link display from a 700-785 field is suppressed. Code a is used for a local system-dependent reason for suppression that is not covered by code b or c. For interchange purposes, code a is converted to code n or a fill character (|).

008/09 a [established heading record]

008/11 a [code indicating LCSH]

110 2b ≠ aLast Poets (Group)

**710 27 ≠ wa ≠ aLast Poets ≠ 2** <source code>

[Record for the linking entry form of the name is not in LC system.]

**b - Link not displayed, field 788 used**

Code b indicates that the generation of a link display from a 700-785 field is suppressed because of the existence of field 788 (Complex Linking Entry Data) in the record.

008/09 a [established heading record]

008/11 c [code indicating MeSH]

150 bb ≠ aReferral and Consultation

**750 b0 ≠ 81 ≠ wb ≠ aMedical referral**

**750 b0 ≠ 81 ≠ wb ≠ aMedical consultation**

[The two LCSH headings are the equivalent of the MeSH heading.]

**c - Link not displayed, non-7XX field used**

Code c indicates that the generation of a link display from a 700-785 field is suppressed because a non-7XX field (e.g., 360, 680) in the record describes the relationship.

```
008/09  a      [established heading record]
008/11  a      [code indicating LCSH]
150  bb † aCorrosion and anti-corrosives
360  bb † isubdivision † aCorrosion † iunder individual materials, metals and metal
      compounds and types of engineering structures, equipment, and vehicles.
      e.g. † aConcrete-Corrosion; Copper-Corrosion; Automobile -Corrosion
780  b0 † wc † xCorrosion
```

**n - Not applicable**

Code n indicates that there is no restriction on displaying a link from the 7XX field. If code n is applicable, subfield †w/O need not be used.

**†2 - Source of heading or term**

Subfield †2 contains a MARC code that identifies the thesaurus or authority file that is the source of the heading when the second indicator position contains value 7. The source of the code is the *MARC Code List for Relators, Sources, Description Conventions* that is maintained by the Library of Congress.

```
008/09  d      [subdivision record]
008/11  r      [code indicating AAT]
185  bb † vatlases
755  b7 † vatlases † 0 <record control number> † 2aat
```

```
008/09  a      [established heading record]
008/11  r      [code indicating AAT]
155  bb † aatlases
785  b7 † vatlases † 0 <record control number> † 2aat
      [The same heading is used both as a subject and as a subdivision term in the Art &
      Architecture Thesaurus]
```

**INPUT CONVENTIONS**

The general input conventions for the 7XX fields are provided in the *General Information* sections for each type of heading (X00 Personal Names; X10 Corporate Names; X11 Meeting Names; X30 Uniform Titles; X50 Topical Terms; X51 Geographic Names; X55 Genre/Form Terms; X80 General Subdivisions; X81 Geographic Subdivisions; X82 Chronological Subdivisions, X85 Form Subdivisions).

**Order of Subfields** - Subfields †6 and †5, when they are used, are the first and last subfields, respectively, in a field. When these and other control and linking subfields are used in a 7XX linking entry field, they occur in the following order.

†6 †8 †w <heading subfields: †a, etc.> †0 †2 †5

**Display Constants for Linking Entries** - In the 7XX linking entry fields, phrases such as those listed below are not carried in the MARC record. They may be system generated as display constants associated with the field tag.

Related heading:            Related subdivision:  
Equivalent heading:        Equivalent subdivision:

When the 1XX and 7XX headings are from different systems or thesauri, a display constant may be a combination of a tag-related phrase like one of the above and either coded or textual data generated by the value in the second indicator position (Subject heading system/thesaurus) or the source code in subfield #2 (Source of heading or term).

For field 788, the complete display constant may be a combination of a tag-related phrase and explicit text contained in subfield #i (Explanatory text).

---

## CONTENT DESIGNATOR HISTORY

#v *Form subdivision [REDEFINED] [USMARC only]*

#u *Record control number [OBSOLETE] [USMARC only]*

From 1993 to 1995, subfield #v was defined as *Record control number* in the 7XX Heading Linking Entry fields. In 1995, subfield #v was redefined as *Form subdivision* and subfield #u was defined as *Record control number*. In 1997, #0 was defined as *Record control number* and #u was made obsolete.

9XX *Equivalent Headings [OBSOLETE, 1997] [CAN/MARC only]*

The 9XX heading fields were made obsolete in 1997 since the USMARC 7XX fields were already defined for equivalent headings.

## 700 Established Heading Linking Entry–Personal Name (R)

### First Indicator

Type of personal name entry element

- 0 Forename
- 1 Surname
- 3 Family name

### Second Indicator

Thesaurus

- 0 Library of Congress Subject Headings/Name authority file
- 1 LC subject headings for children's literature
- 2 Medical Subject Headings/NLM name authority file
- 3 National Agricultural Library subject authority file
- 4 Source not specified
- 5 Canadian Subject Headings/NLC name authority file
- 6 Répertoire de vedettes-matière
- 7 Source specified in subfield ≠2

---

### Subfield Codes

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>≠a Personal name (NR)</li> <li>≠b Numeration (NR)</li> <li>≠c Titles and other words associated with a name (R)</li> <li>≠d Dates associated with a name (NR)</li> <li>≠e Relator term (R)</li> <li>≠f Date of a work (NR)</li> <li>≠g Miscellaneous information (NR)</li> <li>≠h Medium (NR)</li> <li>≠j Attribution qualifier (R)</li> <li>≠k Form subheading (R)</li> <li>≠l Language of a work (NR)</li> <li>≠m Medium of performance for music (R)</li> <li>≠n Number of part/section of a work (R)</li> <li>≠o Arranged statement for music (NR)</li> <li>≠p Name of part/section of a work (R)</li> </ul> | <ul style="list-style-type: none"> <li>≠q Fuller form of name (NR)</li> <li>≠r Key for music (NR)</li> <li>≠s Version (NR)</li> <li>≠t Title of a work (NR)</li> <li>≠v Form subdivision (R)</li> <li>≠w Control subfield (NR)<br/>/O Link display</li> <li>≠x General subdivision (R)</li> <li>≠y Chronological subdivision (R)</li> <li>≠z Geographic subdivision (R)</li> <li>≠0 Record control number (R)</li> <li>≠2 Source of heading or term (NR)</li> <li>≠5 Institution to which field applies (R)</li> <li>≠6 Linkage (NR)</li> <li>≠8 Field link and sequence number (R)</li> </ul> |
|---|--|

---

### FIELD DEFINITION AND SCOPE

This field contains a personal name that is equivalent to the name contained in the 100 field of the same record. It links headings within a system or from different thesauri or authority files.

---

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 700 are the same as those defined for field 100 (Heading–Personal Name), field 400 (See From Tracing–Personal Name), and field 500 (See Also From

Tracing–Personal Name). Description, guidelines, and input conventions for shared content designators are given in the *X00 Personal Names–General Information* section.

Guidelines for applying the second indicator, subfield †w (Control subfield), and subfield †2 (Source of heading or term) in field 700 are given in the *7XX Heading Linking Entries–General Information* section.

#### ■ EXAMPLE

##### ***Equivalent personal names from different thesauri:***

008/09 a [established heading record]  
 100 1b †aDostoyevsky, Fyodor, †d1821-1881. †tPrestuplenie i nakazenie. †lEnglish  
 [LC name heading]  
 700 11 †wa †aDostoyevsky, Fyodor, †d1821-1881. †tCrime and punishment  
 [LC name/title (as subject) heading for children's literature]

##### ***Equivalent personal name in a multilingual thesaurus:***

008/09 a [established heading record]  
 100 0b †aAugustine, †cSaint, Bishop of Hippo  
 [NLC English heading]  
 700 05 †wa †aAugustin, †csaint, évêque d'Hippone. †0(CaOONL)0053A1978Fb  
 [Corresponding NLC French heading]

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## 710 Established Heading Linking Entry—Corporate Name (R)

### First Indicator

Type of corporate name entry element

- 0 Inverted name
- 1 Jurisdiction name
- 2 Name in direct order

### Second Indicator

Thesaurus

- 0 Library of Congress Subject Headings/Name authority file
- 1 LC subject headings for children's literature
- 2 Medical Subject Headings/NLM name authority file
- 3 National Agricultural Library subject authority file
- 4 Source not specified
- 5 Canadian Subject Headings/NLC name authority file
- 6 Répertoire de vedettes-matière
- 7 Source specified in subfield †2

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### Subfield Codes

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li>†a Corporate name or jurisdiction name as entry element (NR)</li> <li>†b Subordinate unit (R)</li> <li>†c Location of meeting (NR)</li> <li>†d Date of meeting or treaty signing (R)</li> <li>†e Relator term (R)</li> <li>†f Date of a work (NR)</li> <li>†g Miscellaneous information (NR)</li> <li>†h Medium (NR)</li> <li>†k Form subheading (R)</li> <li>†l Language of a work (NR)</li> <li>†m Medium of performance for music (R)</li> <li>†n Number of part/section/meeting (R)</li> <li>†o Arranged statement for music (NR)</li> <li>†p Name of part/section of a work (R)</li> </ul> | <ul style="list-style-type: none"> <li>†r Key for music (NR)</li> <li>†s Version (NR)</li> <li>†t Title of a work (NR)</li> <li>†v Form subdivision (R)</li> <li>†w Control subfield (NR)</li> <li>/O Link display</li> <li>†x General subdivision (R)</li> <li>†y Chronological subdivision (R)</li> <li>†z Geographic subdivision (R)</li> <li>†0 Record control number (R)</li> <li>†2 Source of heading or term (NR)</li> <li>†5 Institution to which field applies (R)</li> <li>†6 Linkage (NR)</li> <li>†8 Field link and sequence number (R)</li> </ul> |
|--|--|

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### FIELD DEFINITION AND SCOPE

This field contains a corporate name that is equivalent to the name contained in the 110 field of the same record. It links headings within a system or from different thesauri or authority files.

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### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 710 are the same as those defined for field 110 (Heading—Corporate Name), field 410 (See From Tracing—Corporate Name), and field 510 (See Also From Tracing—Corporate Name). Description, guidelines, and input conventions for shared content designators are given in the *X10 Corporate Names—General Information* section.

## 710

Guidelines for applying the second indicator, subfield  $\dagger$ w (Control subfield), and  $\dagger$ 2 (Source of heading or term) in field 710 are given in the *7XX Heading Linking Entries - General Information* section.

### ■ EXAMPLE

#### ***Equivalent corporate names from different thesauri:***

008/09 a *[established heading record]*

110 2 $\dagger$ aRoyal Society of Medicine (Great Britain)  
*[Library of Congress heading]*

710 27 $\dagger$ wa $\dagger$ aRoyal Society of Medicine $\dagger$ 2<source code>  
*[British Library heading]*

#### ***Equivalent corporate names in a multilingual thesaurus:***

008/09 a *[established heading record]*

110 2 $\dagger$ aGalerie nationale du Canada  
*[NLC French heading]*

710 25 $\dagger$ wa $\dagger$ aNational Gallery of Canada $\dagger$ 0(CaOONL)0000J0193E $\dagger$   
*[Corresponding NLC English heading]*

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## 711 Established Heading Linking Entry–Meeting Name (R)

### First Indicator

Type of meeting name entry element

- 0 Inverted name
- 1 Jurisdiction name
- 2 Name in direct order

### Second Indicator

Thesaurus

- 0 Library of Congress Subject Headings/Name authority file
- 1 LC subject headings for children's literature
- 2 Medical Subject Headings/NLM name authority file
- 3 National Agricultural Library subject authority file
- 4 Source not specified
- 5 Canadian Subject Headings/NLC name authority file
- 6 Répertoire de vedettes-matière
- 7 Source specified in subfield †2

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### Subfield Codes

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>‡a Meeting name or jurisdiction name as entry element (NR)</li> <li>‡c Location of meeting (NR)</li> <li>‡d Date of meeting (NR)</li> <li>‡e Subordinate unit (R)</li> <li>‡f Date of a work (NR)</li> <li>‡g Miscellaneous information (NR)</li> <li>‡h Medium (NR)</li> <li>‡k Form subheading (R)</li> <li>‡l Language of a work (NR)</li> <li>‡n Number of part/section/meeting (R)</li> <li>‡p Name of part/section of a work (R)</li> <li>‡q Name of meeting following jurisdiction name entry element (NR)</li> </ul> | <ul style="list-style-type: none"> <li>‡s Version (NR)</li> <li>‡t Title of a work (NR)</li> <li>‡v Form subdivision (R)</li> <li>‡w Control subfield (NR)<br/>/0 Link display</li> <li>‡x General subdivision (R)</li> <li>‡y Chronological subdivision (R)</li> <li>‡z Geographic subdivision (R)</li> <li>‡0 Record control number (R)</li> <li>‡2 Source of heading or term (NR)</li> <li>‡5 Institution to which field applies (R)</li> <li>‡6 Linkage (NR)</li> <li>‡8 Field link and sequence number (R)</li> </ul> |
|---|--|

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### FIELD DEFINITION AND SCOPE

This field contains a meeting name that is equivalent to the name contained in the 111 field of the same record. It links headings within a system or from different thesauri or authority files.

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### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 711 are the same as those defined for field 111 (Heading–Meeting Name), field 411 (See From Tracing–Meeting Name), and field 511 (See Also From Tracing–Meeting Name). Description, guidelines, and input conventions for shared content designators are given in the *X11 Meeting Names–General Information* section.

## 711

Guidelines for applying the second indicator, subfield †w (Control subfield), and †2 (Source of heading or term) in field 711 are given in the *7XX Heading Linking Entries - General Information* section.

### ■ EXAMPLES

***Equivalent meeting names in a multilingual thesaurus:***

008/09 a *[established heading record]*

111 2†aCanadian Conference on Information Science †n(10th : †d1982 : †cOttawa, Ont.)  
*[NLC English heading]*

711 25 †wa †aConférence canadienne des sciences de l'information †n(10e : †d1982  
: †cOttawa, Ont.) †0(CaOONL)0014G4758F†b  
*[Corresponding NLC French heading]*

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## 730 Established Heading Linking Entry–Uniform Title (R)

### First Indicator

- Undefined
- ‡ Undefined

### Second Indicator

- Thesaurus
- 0 Library of Congress Subject Headings/Name authority file
- 1 LC subject headings for children's literature
- 2 Medical Subject Headings/NLM name authority file
- 3 National Agricultural Library subject authority file
- 4 Source not specified
- 5 Canadian Subject Headings/NLC name authority file
- 6 Répertoire de vedettes-matière
- 7 Source specified in subfield ‡2

### Subfield Codes

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li>‡a Uniform title (NR)</li> <li>‡d Date of treaty signing (R)</li> <li>‡f Date of a work (NR)</li> <li>‡g Miscellaneous information (NR)</li> <li>‡h Medium (NR)</li> <li>‡k Form subheading (R)</li> <li>‡l Language of a work (NR)</li> <li>‡m Medium of performance for music (R)</li> <li>‡n Number of part/section of a work (R)</li> <li>‡o Arranged statement for music (NR)</li> <li>‡p Name of part/section of a work (R)</li> <li>‡r Key for music (NR)</li> <li>‡s Version (NR)</li> </ul> | <ul style="list-style-type: none"> <li>‡t Title of a work (NR)</li> <li>‡v Form subdivision (R)</li> <li>‡w Control subfield (NR)<br/>/0 Link display</li> <li>‡x General subdivision (R)</li> <li>‡y Chronological subdivision (R)</li> <li>‡z Geographic subdivision (R)</li> <li>‡0 Record control number (R)</li> <li>‡2 Source of heading or term (NR)</li> <li>‡5 Institution to which field applies (R)</li> <li>‡6 Linkage (NR)</li> <li>‡8 Field link and sequence number (R)</li> </ul> |
|---|---|

### FIELD DEFINITION AND SCOPE

This field contains a uniform title that is equivalent to the title contained in the 130 field of the same record. It links equivalent headings within a system or from different thesauri or authority files.

### GUIDELINES FOR APPLYING CONTENT DESIGNATORS

Most of the content designators defined for field 730 are the same as those defined for field 130 (Heading–Uniform Title), field 430 (See From Tracing–Uniform Title), and field 530 (See Also From Tracing–Uniform Title). Description, guidelines, and input conventions for shared content designators are given in the *X30 Uniform Titles–General Information* section.

## 730

Guidelines for applying the second indicator, subfield  $\dagger$ w (Control subfield), and  $\dagger$ 2 (Source of heading or term) in field 730 are given in the *7XX Heading Linking Entries - General Information* section.

### ■ EXAMPLES

***Equivalent uniform title in a multilingual thesaurus:***

008/09 a *[established heading record]*

130  $\dagger$ 0  $\dagger$ aBible.  $\dagger$ pO.T.

*[NLC English heading]*

**730**  $\dagger$ 5  $\dagger$ wa  $\dagger$ aBible.  $\dagger$ pA.T.  $\dagger$ 0(CaOONL)0004E5217F **$\dagger$ b**

*[Corresponding NLC French heading]*

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## 751 Established Heading Linking Entry–Geographic Name (R)

**First Indicator**

Undefined  
 b Undefined

**Second Indicator**

Thesaurus  
 0 Library of Congress Subject Headings/Name authority file  
 1 LC subject headings for children's literature  
 2 Medical Subject Headings/NLM name authority file  
 3 National Agricultural Library subject authority file  
 4 Source not specified  
 5 Canadian Subject Headings/NLC name authority file  
 6 Répertoire de vedettes-matière  
 7 Source specified in subfield ≠2

**Subfield Codes**

≠a Geographic name (NR)	≠z Geographic subdivision (R)
≠v Form subdivision (R)	≠0 Record control number (R)
≠w Control subfield (NR) /O Link display	≠2 Source of heading or term (NR)
≠x General subdivision (R)	≠5 Institution to which field applies (R)
≠y Chronological subdivision (R)	≠6 Linkage (NR)
	≠8 Field link and sequence number (R)

**FIELD DEFINITION AND SCOPE**

This field contains a geographic name that is equivalent to the 151 geographic name or 181 geographic subdivision field of the same record. It links headings within a system or from different thesauri or authority files.

**GUIDELINES FOR APPLYING CONTENT DESIGNATORS**

Most of the content designators defined for field 751 are the same as those defined for field 151 (Heading–Geographic Name), field 451 (See From Tracing–Geographic Name), and field 551 (See Also From Tracing–Geographic Name). Description, guidelines, and input conventions for shared content designators are given in the *X51 Geographic Names–General Information* section.

Guidelines for applying the second indicator, subfield ≠w (Control subfield), and subfield ≠2 (Source of heading or term) in field 751 are given in the *7XX Heading Linking Entries–General Information* section.

# 751

## ■ EXAMPLES

### *Equivalent geographic names from different thesauri:*

008/09 a *[established heading record]*  
008/10 c *[code indicating a name heading]*  
008/11 a *[code indicating LCSH]*  
151 **bb** ≠ aNew York (N.Y.)  
**751** **b2** ≠ aNew York City  
*[Heading from MeSH]*

008/09 a *[established heading record]*  
008/10 c *[code indicating a name heading]*  
008/11 c *[code indicating MeSH]*  
151 **bb** ≠ aNew York City  
**751** **b0** ≠ aNew York (N.Y.)  
*[Heading from LCSH]*

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