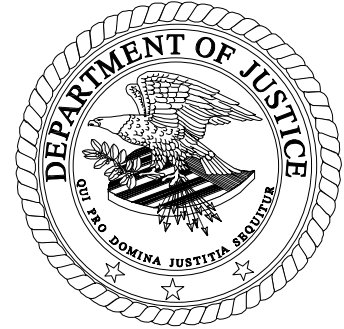


U.S. Department of Justice

Executive Office for United States Attorneys



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*United States Attorneys'*  
*Annual Statistical Report*

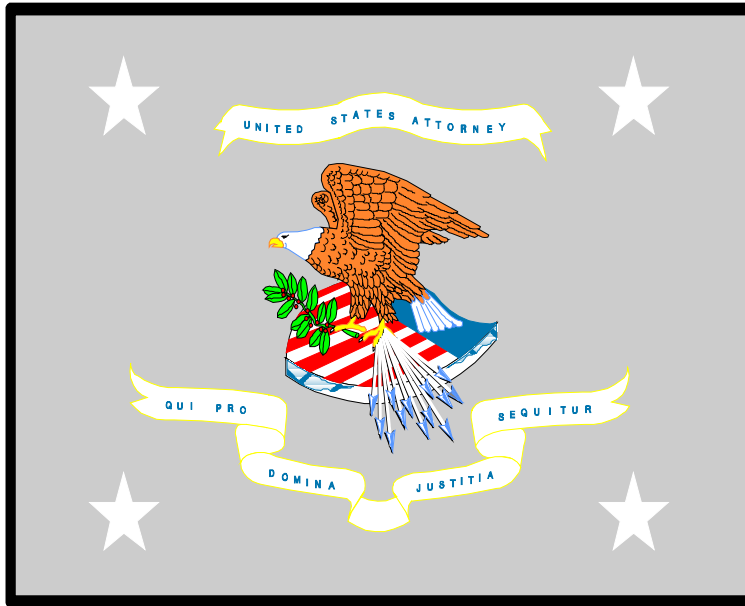
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*Fiscal Year 2001*

**UNITED STATES ATTORNEYS'  
ANNUAL STATISTICAL REPORT**

FISCAL YEAR 2001



*The United States Attorney  
is the representative not of an ordinary party to a controversy,  
but of a sovereignty whose obligation to govern impartially is  
as compelling as its obligation to govern at all;  
and whose interest, therefore, in a criminal prosecution is not that  
it shall win a case, but that justice shall be done.  
As such, he is in a peculiar and very definite sense the  
servant of the law, the twofold aim of which  
is that guilt shall not escape or innocence suffer.  
He may prosecute with earnestness and vigor -- indeed, he should do so.  
But, while he may strike hard blows,  
he is not at liberty to strike foul ones.  
It is as much his duty to refrain from improper methods  
calculated to produce a wrongful conviction as it is to  
use every legitimate means to bring about a just one.*

Quoted from the Statement of Mr. Justice Sutherland in  
Berger v. United States, 295 U.S. 88 (1935)



U.S. Department of Justice

Executive Office for United States Attorneys

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Office of the Director

Washington, DC 20530

### **MESSAGE FROM THE DIRECTOR**

It is my pleasure to present to you the United States Attorneys' Annual Statistical Report for Fiscal Year 2001. The report is made up of narrative information describing the United States Attorneys' programs and initiatives over the past year, summaries of some of the most interesting and important cases that culminated during Fiscal Year 2001, and statistical tables displaying both national and district caseload data. The report represents the work of the women and men of the United States Attorneys' offices in the 94 federal judicial districts located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. In the wake of September 11, 2001, the work of enforcing our federal laws and keeping our nation safe is more important than ever. The women and men of the United States Attorneys' offices are, as always, committed to enforcing these laws and representing the interests of the United States.

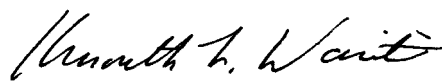
The United States Attorneys, under the direction of the Attorney General, are responsible for investigating and prosecuting those who violate our nation's laws, for asserting and defending the interests of the United States, its departments, and agencies through the conduct of civil litigation, and for representing the United States in our appellate courts. The United States Attorneys, appointed to serve in the 94 federal judicial districts throughout the country, are charged with carrying out these prosecution, litigation, and appellate responsibilities within their respective districts. Although there are 94 federal judicial districts, there are only 93 United States Attorneys because one United States Attorney is appointed to serve in both the Districts of Guam and the Northern Mariana Islands. The United States Attorneys direct and supervise the work of the Assistant United States Attorneys and support personnel located in each district's headquarters office and, as needed, in staffed branch offices. The United States Attorney system nationwide consisted of 94 headquarters offices and 126 staffed branch offices, as of the end of Fiscal Year 2001.

The United States Attorneys' offices conduct most of the criminal prosecutions and civil litigation handled by the Department of Justice. The offices investigate and prosecute a wide range of criminal activities, from domestic and international terrorism, to organized drug trafficking and firearms crimes, to white collar crime and other regulatory offenses. In the civil arena, the United States Attorneys' offices defend federal government agencies, for example, in tort suits brought by those who allege suffering as a result of government actions, or alleged medical malpractice by federal employees. The United States Attorneys also initiate civil cases against individuals or businesses to enforce the laws, such as in civil health care fraud cases, or to represent the government's interests, such as in bankruptcy actions.

The Executive Office for United States Attorneys provides management oversight and administrative support to the United States Attorneys' offices. The Executive Office for United States Attorneys provides services such as the information technology required to ensure that the United States Attorneys' offices have a standardized computer network and personal computers that are compatible and are updated to stay in step with current technology. Other areas of support include operational support for the Attorney General's Advisory Committee of United States Attorneys, facilities and financial management, legal counsel, personnel, security, and training. The Executive Office for United States Attorneys, through the National Advocacy Center, provides the United States Attorneys' offices with extensive legal training for Assistant United States Attorneys and support staff.

As an integral part of their prosecution and litigation efforts, the United States Attorneys report to the Executive Office for United States Attorneys information on the criminal and civil matters, cases, and appeals that they handle. A centralized computer database containing this information is maintained by the Executive Office for United States Attorneys. The charts and tables presented in the report reflect a statistical summary of the matters and cases handled by the United States Attorneys. These charts and tables, however, cannot and do not reflect the quality and complexity of the criminal prosecutions and civil litigation conducted by the offices. Additionally, the statistics are woefully inadequate in presenting a realistic picture of the time, effort, and skill required to prosecute and litigate the cases. Some examples of cases handled during the year are included in the text to illustrate caseload composition and, more importantly, the successful efforts of the many men and women who work in the United States Attorneys' offices. Finally, the significant liaison work performed by the United States Attorneys, Assistant United States Attorneys, and other members of the staffs with federal, state, and local law enforcement entities, as well as with the victims of crime, local communities, schools, and other organizations cannot be quantified. Since the role of the United States Attorneys is to see "that justice shall be done," (Berger v. United States, 295 U.S. 88 (1935)), the true and comprehensive accomplishments of the United States Attorneys cannot be tabulated statistically.

I hope that you find the United States Attorneys' Annual Statistical Report to be both interesting and useful. The report provides a concise, yet compelling, sample of the accomplishments of the United States Attorneys during Fiscal Year 2001. The women and men of the United States Attorneys' offices have done a commendable job during the year. It is a great privilege for me to share this information with you and to congratulate the United States Attorneys and their staffs for such a successful year.



Kenneth L. Wainstein  
Director

## FISCAL YEAR 2001 STATISTICAL HIGHLIGHTS

### OVERALL CRIMINAL PROSECUTIONS

- 53,339 cases filed against 73,674 defendants—case filings up one percent
- 49,834 cases against 68,418 defendants terminated—case terminations up eight percent
- 62,245 defendants convicted
- 91 percent conviction rate
- 80 percent of convicted defendants sentenced to prison
- 48 percent of prison sentences greater than three years
- 27 percent of prison sentences greater than five years

### VIOLENT CRIME

- 8,789 cases filed against 10,492 defendants—case filings up eight percent
- 7,858 cases against 9,328 defendants terminated—case terminations up 14 percent
- 8,260 defendants convicted
- 89 percent conviction rate
- 89 percent of convicted defendants sentenced to prison
- 67 percent of prison sentences greater than three years
- 43 percent of prison sentences greater than five years

### OVERALL NARCOTICS

- 17,200 cases filed against 29,896 defendants—case filings up five percent
  - *{17,620 cases filed against 30,614 defendants—case filings up five percent—when drug cases diverted to the Violent Crime and Government Regulatory/Money Laundering Program Categories are included}*
- 15,666 cases against 27,598 defendants terminated—case terminations up ten percent
- 25,292 defendants convicted
- 92 percent conviction rate
- 91 percent of convicted defendants sentenced to prison
- 61 percent of prison sentences greater than three years
- 38 percent of prison sentences greater than five years

### OCDEF

- 3,151 cases filed against 8,539 defendants—case filings up three percent
  - *{3,235 cases filed against 8,652 defendants—case filings up three percent--when drug cases diverted to the Violent Crime Program Category are included}*
- 2,906 cases against 8,297 defendants terminated—case terminations up six percent
- 7,505 defendants convicted
- 91 percent conviction rate
- 90 percent of convicted defendants sentenced to prison
- 75 percent of prison sentences greater than three years
- 52 percent of prison sentences greater than five years

### **NON-OCDETF**

- 14,049 cases filed against 21,357 defendants—case filings up five percent
  - *{14,385 cases filed against 21,962 defendants—case filings up five percent—when drug cases diverted to the Violent Crime and Government Regulatory/Money Laundering Program Categories are included}*
- 12,760 cases against 19,301 defendants terminated—case terminations up 11 percent
- 17,787 defendants convicted
- 92 percent conviction rate
- 91 percent of convicted defendants sentenced to prison
- 56 percent of prison sentences greater than three years
- 32 percent of prison sentences greater than five years

### **IMMIGRATION**

- 12,537 cases filed against 13,433 defendants—case filings down four percent
- 12,143 cases against 13,094 defendants terminated—case terminations up three percent
- 12,435 defendants convicted
- 95 percent conviction rate
- 83 percent of convicted defendants sentenced to prison
- 29 percent of prison sentences greater than three years
- Eight percent of prison sentences greater than five years

### **ORGANIZED CRIME**

- 216 cases filed against 499 defendants—case filings down 14 percent
  - *{263 cases filed against 599 defendants—case filings down 17 percent—when cases diverted to the Violent Crime Program Category are included}*
- 199 cases against 471 defendants terminated—case terminations up 19 percent
- 400 defendants convicted
- 85 percent conviction rate
- 57 percent of convicted defendants sentenced to prison
- 40 percent of prison sentences greater than three years
- 23 percent of prison sentences greater than five years

### **OFFICIAL CORRUPTION**

- 443 cases filed against 597 defendants—case filings down seven percent
- 484 cases against 633 defendants terminated—case terminations up nine percent
- 563 defendants convicted
- 89 percent conviction rate
- 46 percent of convicted defendants sentenced to prison
- 18 percent of prison sentences greater than three years
- Nine percent of prison sentences greater than five years

### **WHITE COLLAR CRIME**

- 6,380 cases filed against 8,756 defendants—case filings down four percent
- 6,020 cases against 7,988 defendants terminated—case terminations up five percent
- 7,212 defendants convicted
- 90 percent conviction rate
- 62 percent of convicted defendants sentenced to prison
- 14 percent of prison sentences greater than three years
- Four percent of prison sentences greater than five years

### **ASSET FORFEITURE LITIGATION**

- Asset forfeiture counts filed in 1,992 criminal cases—up 18 percent
- A total of 1,807 civil asset forfeiture actions filed—down 20 percent
- Estimated recoveries of \$199,043,103 in forfeited cash and property—down 36 percent

### **OVERALL CIVIL LITIGATION**

- 79,854 cases filed or responded to—cases filed/responded to up one percent
- 74,558 cases terminated—case terminations up one percent
- 22,104 judgments, or 75 percent, were in favor of the United States
- 17,227 settlements—23 percent of all cases terminated

### **AFFIRMATIVE CIVIL LITIGATION**

- 8,545 cases filed—case filings down seven percent
- 7,786 cases terminated—case terminations down one percent
- 4,694 judgments, or 96 percent, were in favor of the United States
- 1,109 settlements—14 percent of all cases terminated

### **AFFIRMATIVE CIVIL ENFORCEMENT**

- 1,803 cases filed—case filings down 27 percent
- 1,835 cases terminated—case terminations down 20 percent
- 502 judgments, or 94 percent, were in favor of the United States
- 518 settlements—28 percent of all cases terminated
- \$880 million recovered

### **DEFENSIVE CIVIL LITIGATION**

- 53,021 cases responded to—up five percent
- 49,091 cases terminated—up one percent
- 16,007 judgments, or 70 percent, were in favor of the United States
- 3,343 settlements—seven percent of all cases terminated

## **CIVIL LITIGATION WHERE THE UNITED STATES IS OTHERWISE DESIGNATED**

- 18,288 cases filed or responded to—down six percent
- 17,681 cases terminated—up less than one percent
- 1,403 judgments, or 87 percent, were in favor of the United States
- 12,775 settlements—72 percent of all cases terminated

## **DEBT COLLECTION**

- 117,620 debts opened
- \$7 billion in debts opened
- \$2.3 billion in debts collected and property recovered
- Amount collected equaled 1.9 times the amount of the entire United States Attorneys' operating budget nationwide
- 346,253 debts pending
- \$23 billion due in pending debts

## **CRIMINAL DEBT COLLECTION**

- 91,397 debts opened
- \$4.9 billion in debts opened
- \$804 million in debts collected and property recovered
- \$544 million deposited to the Crime Victims Fund
- 205,295 debts pending
- \$20 billion due in pending debts

## **CIVIL DEBT COLLECTION**

- 26,223 debts opened
- \$2 billion in debts opened
- \$1.5 billion in debts collected and property recovered
- 140,958 debts pending
- \$3 billion due in pending debts

## **CRIMINAL AND CIVIL APPEALS**

- 15,739 appeals filed—up 12 percent
- 9,581 criminal appeals filed—up 15 percent
- 6,158 civil appeals filed—up seven percent
- 83 percent of all criminal appeals terminated in favor of the United States
- 77 percent of all civil appeals terminated in favor of the United States
- 8,311 post-sentencing motions filed by incarcerated defendants—up 28 percent



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## I. OVERVIEW OF THE UNITED STATES ATTORNEYS' OFFICES

### Mission and Organization

The United States Attorneys serve as the nation's principal litigators. There are 93 United States Attorneys located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The United States Attorneys are appointed by, and serve at the discretion of, the President of the United States, with the advice and consent of the United States Senate. They report to the Attorney General, through the Deputy Attorney General. One United States Attorney is appointed to serve in each of the 94 federal judicial districts, with the exception of Guam and the Northern Mariana Islands, where a single United States Attorney serves in both districts. Each United States Attorney serves as the chief federal law enforcement officer within his or her judicial district.

The United States Attorneys are responsible for

- ▶ the prosecution of criminal cases brought by the federal government;
- ▶ the litigation and defense of civil cases in which the United States is a party;
- ▶ the handling of criminal and civil appellate cases before the United States Courts of Appeals; and
- ▶ the collection of debts owed the federal government which are administratively uncollectible.

The United States Attorneys also carry out the important role of liaison with federal, state, and local law enforcement officers, and members of the community on various crime reduction programs.

The Executive Office for United States Attorneys provides the United States Attorneys with assistance in all areas of administration. The mission of the Executive Office for United States Attorneys is to provide the 93 United States Attorneys with

- ▶ general executive assistance and direction;
- ▶ policy development;
- ▶ administrative management direction and oversight;
- ▶ operational support; and
- ▶ coordination with other components of the Department and other federal agencies.

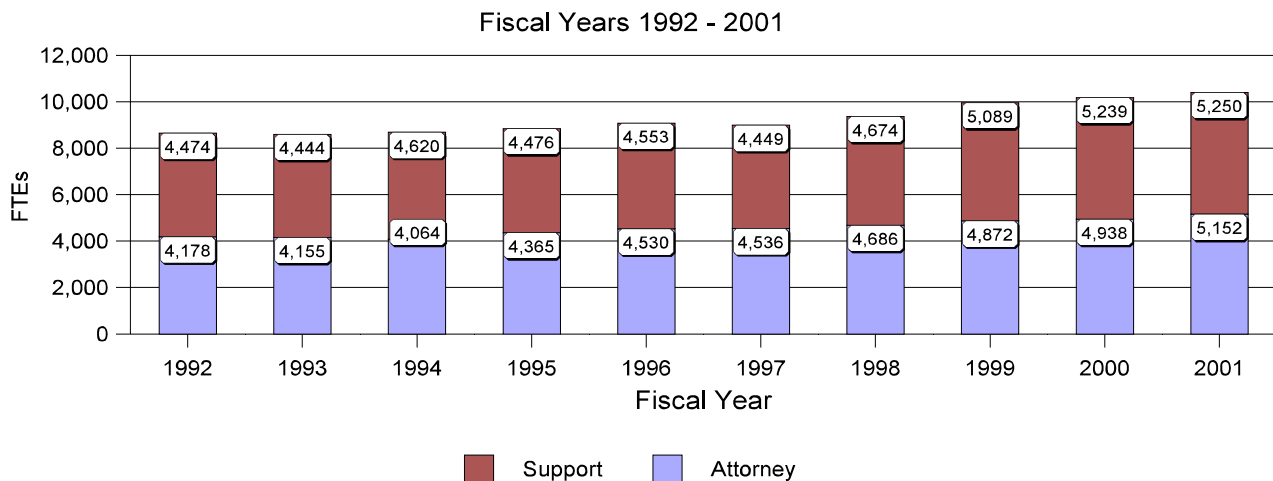
These responsibilities include certain legal, budgetary, administrative, and personnel services, as well as continuing legal education.

In Fiscal Year 2001, the United States Attorneys focused on a number of priority areas, which contributed to the continued decline in the crime rate in the United States. Along with their work in traditional, high priority crime areas, such as drug trafficking, firearms enforcement, and violent crime, the United States Attorneys worked to target specific crime problems. Some of these areas included terrorism, violent crime in Indian Country, border enforcement on both the southwestern and northern borders, enforcement of child exploitation laws, and computer and health care fraud.

## Staffing

The United States Attorneys' offices varied in size during Fiscal Year 2001 from 8.64 allocated Assistant United States Attorney positions in the District of Guam to 358.30 in the District of Columbia. In the District of Columbia, the United States Attorney's office also bears responsibility for the prosecution of local crimes in the District of Columbia Superior Court. The staffing levels in the United States Attorneys' offices nationwide equaled 5,152 full time equivalent (FTE) attorneys and 5,250 FTE support employees. See Overview Chart 1 below.

**OVERVIEW CHART 1 -- Full Time Equivalent (FTE) Personnel**



During Fiscal Year 2001, 113 attorney positions were allocated to United States Attorneys to augment existing firearms prosecutions. The additional resources enabled various United States Attorneys' offices to implement federal firearms programs modeled after successful pilot programs in Richmond, Virginia, and Boston, Massachusetts. An additional 33 positions were allocated to districts that include Native American reservations, to support prosecution of violent crime in Indian Country. This increase

was sought to reduce violent crime, gang-related violence, and juvenile crime on Indian reservations. An additional 28 attorney positions were also allocated to address computer crime and intellectual property theft. These resources were necessary to protect the nation’s businesses and citizens from computer crime and theft of intellectual property. Finally, to enhance immigration prosecutions along the Southwest Border, 13 attorney positions were allocated. These resources enabled the United States Attorneys to complement additional Immigration and Naturalization Service resources and to address the high volume of immigration cases filed.

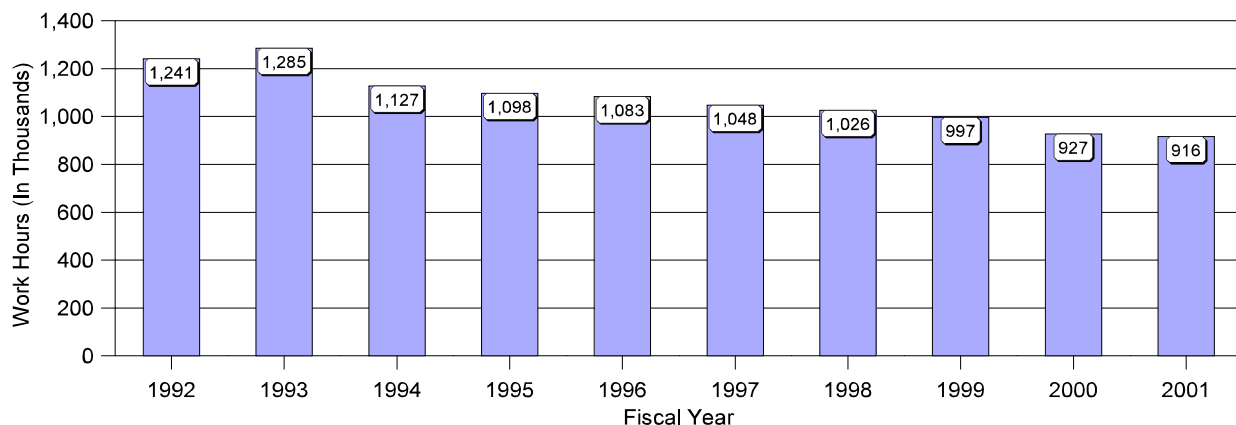
Assistant United States Attorneys constituted 52 percent of all Department of Justice attorneys and about 66 percent of those Department attorneys with prosecution or litigation responsibilities. Most new Assistant United States Attorneys have prior litigation experience with a prosecuting attorney’s office, a law firm, or another government agency. In addition to their prior legal experience, Assistant United States Attorneys nationwide have an average of 11 years’ experience in United States Attorneys’ offices.

While the civil caseload is larger numerically, about 77 percent of attorney personnel were devoted to criminal prosecutions and 23 percent to civil litigation. Ninety-three percent of all attorney work hours spent in United States District Courts were devoted to criminal prosecutions and seven percent to civil litigation. See Table 13.

During Fiscal Year 2001, a total of 915,978 attorney work hours were devoted to court-related activity. This represents a decrease of 11,094, or one percent, in the number of court-related work hours when compared to Fiscal Year 2000, and is the eighth consecutive year during which court-related time has decreased. See Overview Chart 2 below, and Table 13. Decreases were seen in United States District Court for both criminal and civil work hours. The number of work hours devoted to United States

## OVERVIEW CHART 2 -- Court Related Attorney Work Hours

Fiscal Years 1992 - 2001



Magistrate Court increased again during Fiscal Year 2001, reflecting the increased workload handled in the Magistrate Courts over the past several years.

Of the total court-related work hours, Assistant United States Attorneys spent 487,505 hours, or 53 percent, of their time in court. Sixty-three percent of their time in court was spent on criminal cases in United States District Courts, 21 percent in United States Magistrate Courts, five percent on civil cases in United States District Courts, and six percent on special hearings. The remaining five percent of the time was spent in the United States Courts of Appeals, United States Bankruptcy Courts, and in state courts. Of the other 428,473, or 47 percent, of court-related work hours, 13 percent was spent on grand jury proceedings, 21 percent on court travel time, and 66 percent on witness preparation.

## **Supporting Law Enforcement and the Community**

### **Law Enforcement Coordinating Committees**

The Law Enforcement Coordinating Committees (LECC) were created in an effort to move past territorial and jurisdictional concerns of federal, state, and local law enforcement entities, open the lines of communication, and make the most efficient use of law enforcement resources. LECC members represent a broad range of law enforcement agencies. In some of the larger districts, the full LECC may consist of several hundred individuals from federal agencies, state and local government and police departments, and in some cases, tribal or foreign law enforcement groups. During Fiscal Year 2001, the United States Attorneys continued to encourage joint investigations and projects through federal, state, and local task forces and working groups established to enhance efforts in areas such as violent crime, organized crime drug enforcement, firearms crime, and hate crime. The LECC Coordinators were also at the forefront of Department community initiatives, such as Project Safe Neighborhoods, and the Strategic Approaches to Community Safety Initiative.

An LECC Coordinator is not only responsible for improving communications and ensuring that the United States Attorney's office has a substantial role in public safety, but also for providing training. LECCs have the ability to identify specific training needs within their districts and to develop and sponsor training to fill those needs. During Fiscal Year 2001, LECC Coordinators throughout the country provided training and assistance in Department priority areas such as hate crimes, child exploitation, gun trafficking, racial profiling, and emerging drug trends. For example, in February 2001, the **Northern District of Alabama** LECC and High Intensity Drug Trafficking Area group co-sponsored a one-day training seminar entitled "Designer Drugs and Raves" for federal, state, and local law enforcement. This course acquainted officers with club drugs, patterns of abuse, symptomology, packaging, distribution, and usage, and identifying and investigating drug rapes. Other drugs were also covered, including cocaine, methamphetamine, heroin, and marijuana.

In April 2001, the **Northern District of Ohio** held the "Guns First: Training for Law Enforcement Officers to Help Reduce Illegal Trafficking of Firearms" program for federal, state, and local law



enforcement and prosecutors. The eight-hour course provided information about federal and state firearms statutes, improving methods of illegal firearms investigations, and sensitized police to the value of gathering and sharing information that will assist in the interdiction of illegal firearms. The train-the-trainer program format allowed each officer to receive instructional material for delivery to officers within their agencies. The "Guns First" national training curriculum was developed by the Police Executive Research Forum in conjunction with the Bureau of Justice Assistance and the Bureau of Alcohol, Tobacco and Firearms.

The **Northern District of Indiana**, along with the Indiana Criminal Justice Institute and the National Center for Missing and Exploited Children, sponsored the "Child Exploitation on the Internet - A Law Enforcement and Community Response" conference in July 2001. The program focused on training law enforcement investigators and prosecutors on how to properly investigate and prosecute child exploitation cases on the Internet. The training also focused on a public awareness effort to help law enforcement, educators, and youth service agencies combat child exploitation on the Internet.

The **Eastern and Western Districts of Michigan**, along with the Michigan Civil Rights Commission and Civil Rights Department, co-sponsored the "Michigan Alliance Against Hate Crimes Conference" in May 2001. Federal, state, and local law enforcement, prosecutors, and victim service providers attended. The conference provided training on basic crisis intervention and understanding the psychological impact of hate crimes on victims, legal issues, legislation, and statutes, and support protocol and procedures relating to community response and media relations.

The United States Attorneys also continued to strengthen and expand their roles with non-law enforcement entities within their districts during Fiscal Year 2001. The United States Attorneys, their Assistants, LECC and Victim-Witness Coordinators, and other members of their staffs worked with community, business, and social service representatives to provide assistance to the victims and witnesses of crime, to identify and address the particular violent crime problems within their local communities, to develop and implement prosecution and redevelopment strategies for selected neighborhood sites under the Weed and Seed program, and to encourage and initiate local activities to deter both drug use and violent crime among America's children.

### **Encouraging the Use of Community Strategies**

The United States Attorneys continued their work to reach out to communities plagued with crime, lower incomes, and juvenile delinquency. Through the United States Attorneys' leadership, their active involvement in communities, and the development of Weed and Seed sites, significant improvements are visible in communities throughout the United States.

As defined by the Department's Executive Office for Weed and Seed, Operation Weed and Seed is foremost a strategy—rather than a grant program—which aims to prevent, control, and reduce violent crime, drug abuse, and gang activity in targeted high-crime neighborhoods across the country. Weed and

Seed sites range in size from several neighborhood blocks to 15 square miles. The strategy involves a two-pronged approach: law enforcement agencies and prosecutors cooperate in “weeding out” criminals who participate in violent crime and drug abuse, attempting to prevent their return to the targeted area; and then “seeding” brings human services to the area, encompassing prevention, intervention, treatment, and neighborhood revitalization.

Working closely with the Executive Office for Weed and Seed, the United States Attorneys have played a major role in reducing crime, improving safety, and developing economic stability. A new initiative that was introduced to work in conjunction with Weed and Seed is Project Safe Neighborhoods: America’s Network Against Gun Violence. Project Safe Neighborhoods was announced by the President in May 2001. This strategy utilizes a network of existing programs that target gun crime, and provides tools at the local, state, and national level to support the network. Further discussion of Project Safe Neighborhoods is included under Firearms Prosecutions, Priority Criminal Prosecution Areas, in Chapter II.

## **Guaranteeing the Rights of Victims and Witnesses**

### **Victim/Witness Assistance**

During Fiscal Year 2001, the United States Attorneys continued their mission of guaranteeing the rights of all victims of crime through training of Assistant United States Attorneys, enhancing the services provided to crime victims, and better coordinating efforts to address victim issues. The District Specific Training Program enables United States Attorneys’ offices to develop and provide training and training materials within their respective districts to improve the response to federal victims of crime. Discipline-specific conferences and workshops are developed to meet the districts’ needs. Examples of training sponsored during Fiscal Year 2001 include the “Gulf Coast Regional Conference on Violence and Its Aftermath” where twelve United States Attorneys’ offices came together to host federal, state, local, and military victim service providers, law enforcement officials, and other members of the criminal justice system on topics including school violence, cyber crime, domestic violence, and other violent crime. Additionally, the **Northern District of Illinois** hosted a victim assistance conference in March 2001 for victim service providers in the state.

The Executive Office for United States Attorneys completed work on the Victim Notification System during Fiscal Year 2001. This system provides a seamless approach to providing notification and information to victims in the federal judicial system. Final system requirements were developed and the system was piloted in the **Middle District of Florida**. Some alterations were made to the system and the system was fully deployed in all United States Attorneys’ offices in Fiscal Year 2002.

## **Promoting Organizational Effectiveness**

The Executive Office for United States Attorneys and the United States Attorneys’ offices worked diligently during Fiscal Year 2001 to improve and enhance the management and administration of the

organization. A number of accomplishments were made during Fiscal Year 2001, several of which are addressed below. A continuing goal of the Executive Office for United States Attorneys is to better serve the United States Attorneys' offices and to achieve improved effectiveness and greater efficiency for the entire organization.

### **Training**

Fiscal Year 2001 marked another successful year of operation for the National Advocacy Center (NAC). The NAC is a state-of-the-art training center located on the campus of the University of South Carolina in Columbia, South Carolina. Training at the NAC is a cooperative effort for federal, state, and local prosecutors and support staff. The Executive Office for United States Attorneys' Office of Legal Education (OLE), in cooperation with the National District Attorneys' Association (NDAA) and the Executive Office for United States Trustees (EOUST), conducts courses and seminars at the NAC. During Fiscal Year 2001, OLE conducted training for nearly 13,000 students at the NAC. Another 2,100 students were trained through OLE's distance learning programs (videos and satellite broadcasts). In addition to OLE's students, NDAA and EOUST conducted training for another 3,600 students during the year. The majority of the students attending OLE's 194 on-site courses were Department of Justice employees, although other personnel in legal positions throughout the government also attend, space permitting.

In Fiscal Year 2001, OLE developed and conducted new courses on Capital Litigation, Eminent Domain and Fifth Amendment Takings, Federal Disability Rights Law, Forensics, Intellectual Property, Pretrial Practice in Civil Rights Cases, Prisoner Litigation, Prosecuting Juveniles in Federal Court, Sentencing Guidelines, Title III, and Worker Exploitation. These new courses were developed to meet the needs of Assistant United States Attorneys and Department of Justice trial attorneys, as well as to address the litigation priorities and initiatives of the Department.

The Justice Television Network (JTN) began regular broadcasts from the NAC during Fiscal Year 2001. OLE began broadcasting 40 hours a week in April 2001 with approximately 60 sites receiving the signal and, by late September, was broadcasting 60 hours weekly to nearly 170 sites around the country. These broadcasts supplement the existing training and give the NAC the capability of broadcasting a vast array of subject matter to all staffed United States Attorneys' offices. During the April-September period, subjects covered in broadcasts included a wide range of topics. In April 2001, OLE launched a week-long series of programs for Victim Awareness Week, which dealt with victims in a variety of cases, from civil rights violations to terrorism. In addition, OLE re-broadcast, on average, approximately 20 hours per week of legal training, including such subjects as White Collar Crime, Grand Jury Issues, Cybercrimes, Bankruptcy Cases, Title III Investigations, and Child Exploitation. Also in Fiscal Year 2001, in cooperation with the United States Department of Agriculture Graduate School, OLE broadcasted six day-long sessions of general interest, including three retirement seminars and courses on stress management and customer service excellence.

### **Internal Controls Program**

The Executive Office for United States Attorneys is responsible for the coordination, development, and maintenance of policy and procedural guidance relevant to the work of the United States Attorneys' offices. During Fiscal Year 2001, the Executive Office for United States Attorneys worked with the Attorney General's Advisory Committee of United States Attorneys and the Administrative Officers Working Group to establish a strong internal controls program. The development, publication, and maintenance of policy and procedural guidance represents an important aspect of that program. Further, keeping these materials accessible using robust information technology resources is one of the most important components of a healthy management program, where employees can get the information they need to do their jobs when and where they need it.

### **Paying Their Way**

The United States Attorneys' offices had a direct and positive impact on the federal budget through their vigorous federal debt collection efforts, affirmative civil enforcement litigation endeavors, and careful defense of monetary claims filed against the United States. As noted later in the report, the United States Attorneys' Fiscal Year 2001 civil and criminal collection efforts alone equaled 1.9 times the amount of the entire United States Attorneys' operating budget nationwide.

## II. CRIMINAL PROSECUTIONS

### United States District Court

The United States Attorneys' offices investigate and prosecute a wide range of criminal activities. The United States Attorneys are called upon to respond to changing priorities, and to become involved in specific crime reduction programs. After the events of September 11, 2001, the number one priority of the United States Attorneys became the prevention of terrorist acts, and the investigation and prosecution of those involved in terrorist attacks. During Fiscal Year 2001, the United States Attorneys also continued in their longstanding commitment to address drug and violent crimes. Within the violent crime category, the United States Attorneys addressed the continuing, illegal use of firearms by those who commit crimes and accompanying acts of violence in our communities. Drug prosecutions continued to be a priority of the United States Attorneys, with particular emphasis on the operations of large drug organizations. The Organized Crime Drug Enforcement Task Forces focus on these groups, and made significant progress during Fiscal Year 2001. The United States Attorneys also continued to work with other Department components to secure our nation's borders. Some of the other special emphasis areas included civil rights violations, securities fraud, health care fraud, and child abuse and exploitation.

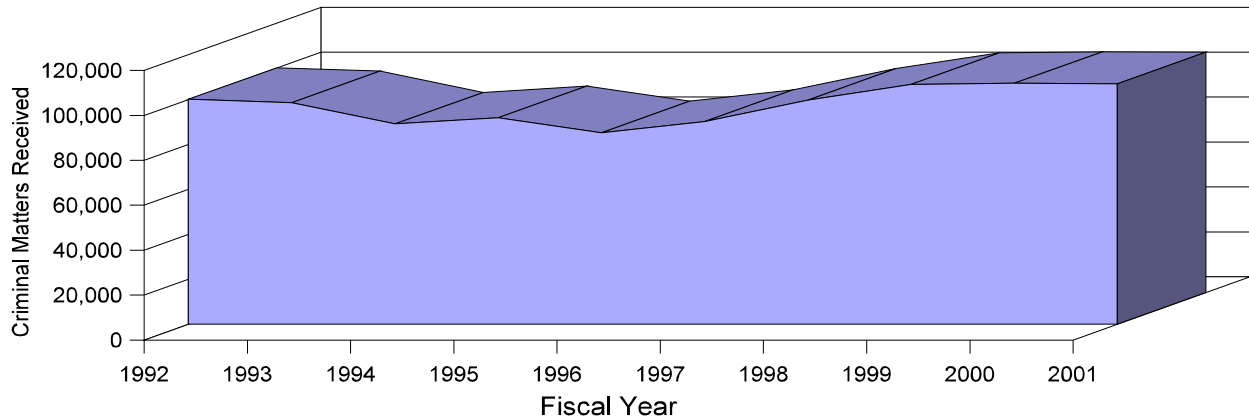
The United States Attorneys' offices handle most of the criminal cases prosecuted by the Department of Justice. The United States Attorneys receive most of their criminal referrals, or "matters," from federal investigative agencies, including the Drug Enforcement Administration, the Federal Bureau of Investigation, the Immigration and Naturalization Service, the Bureau of Alcohol, Tobacco, and Firearms, the United States Customs Service, the United States Secret Service, and others. They may also receive criminal matters from state and local investigative agencies or become aware of criminal activities in the course of investigating or prosecuting other cases. Occasionally, criminal violations are reported to the United States Attorneys by private citizens. After careful consideration of each criminal matter, the United States Attorney decides the appropriateness of bringing criminal charges and, when deemed appropriate, initiates prosecution. Except for misdemeanor offenses and instances in which an alleged offender waives the right to a grand jury indictment, the United States Attorney presents evidence against an alleged offender to a grand jury. The grand jurors decide whether to return an indictment. If an indictment is returned, the United States Attorney then presents the criminal charges in open court at the arraignment of the defendant.

Although, historically, the majority of criminal defendants enter a plea of guilty prior to trial, the United States Attorneys must always be prepared to go to trial. Consistent preparation for trial minimizes the risk of dismissal for noncompliance with the Speedy Trial Act and strengthens the government's position in negotiations with defense counsel for a guilty plea. When a guilty plea is not obtainable, a trial becomes necessary. The United States Attorney then presents factual evidence to convince the jury, or the judge in a non-jury trial, of the defendant's guilt. If the defendant is convicted, the United States Attorney defends the conviction at post-trial hearings and appeals. The United States Attorneys' offices handle most criminal appeals at the intermediate appellate level. After filing a brief, the United States Attorney may be required to participate in oral argument before the United States Court of Appeals. If there is a further appeal, the United States Attorney may be called upon to assist a Department litigating division and the Solicitor General in preparing the case for review by the United States Supreme Court.

During Fiscal Year 2001, the United States Attorneys' offices received 107,046 criminal matters, a decrease of 172, or less than one percent, in the number of criminal referrals received from law enforcement agencies, when compared to the prior year. See Criminal Chart 1 below, and Table 11. Matters received includes immediate declinations in addition to later declinations and files initiated in any court.

### CRIMINAL CHART 1 -- Criminal Matters Received

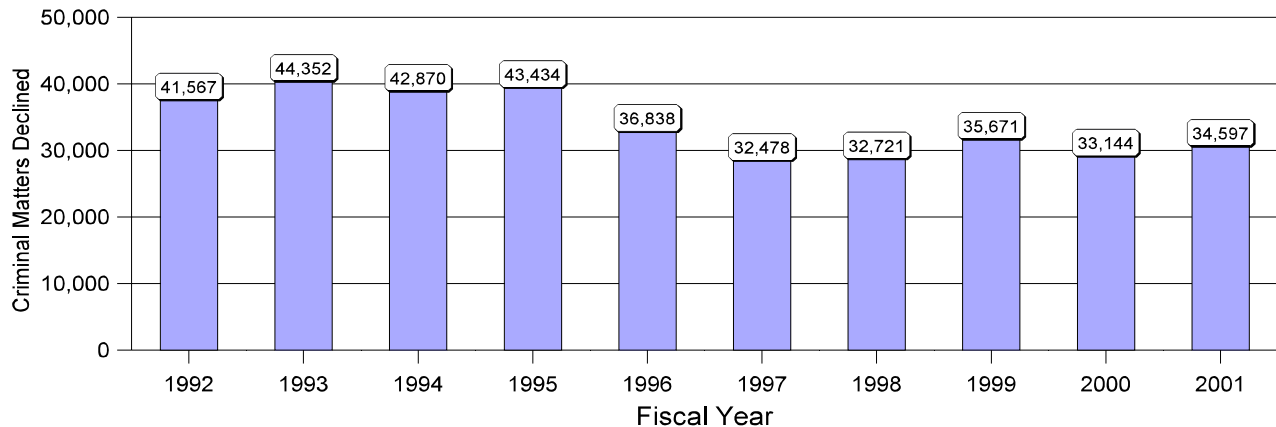
Fiscal Years 1992 - 2001



The offices reviewed and immediately or later declined a total of 34,597 criminal matters during the year. See Criminal Chart 2 below, and Tables 18 and 19. As reflected on Tables 18 and 19, the reasons most commonly reported for the declination of these matters included weak or insufficient evidence, suspect to be prosecuted by another authority, lack of criminal intent, minimal federal interest, office policy, agency request, and no federal offense committed.

### CRIMINAL CHART 2 -- Criminal Matters Declined

Fiscal Years 1992 - 2001

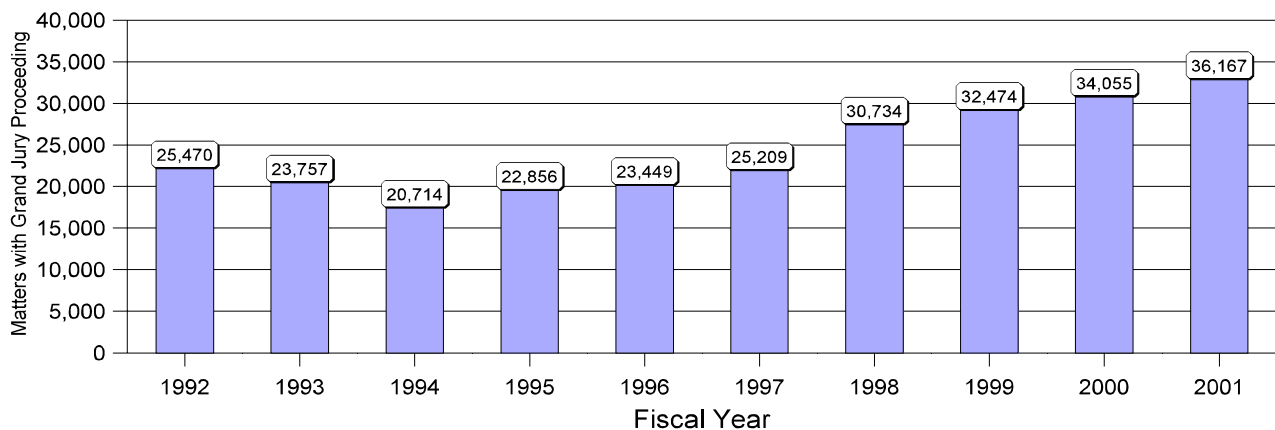


As of the end of Fiscal Year 2001, a total of 71,803 criminal matters were pending, an increase of 340, or less than one percent, when compared to the prior year. Of these, 8,219, or 11 percent, were matters where the defendant was a fugitive, was in a Pre-trial Diversion Program, was in a mental institution, or was unknown. See Table 14. Of all pending matters, 47,191, or 66 percent, had been pending for 24 months or less, and 55,726, or 78 percent, for 36 months or less, as of the end of the fiscal year.

The grand jury, a body of 16 to 23 citizens, functions to determine whether there is probable cause to believe that a person has committed a criminal offense. An Assistant United States Attorney's responsibility is to advise the grand jury on the law and to present evidence for the grand jury's consideration. The grand jurors decide whether to return an indictment. In instances where more information is required, the grand jury can issue subpoenas in order to obtain additional evidence. The United States Attorneys' offices handled a total of 36,167 criminal matters during Fiscal Year 2001 in which grand jury proceedings were conducted, an increase of 2,112, or six percent, over the previous year. See Table 11. Criminal Chart 3 below reflects the number of matters in which grand jury proceedings were conducted over the past ten years.

### CRIMINAL CHART 3 -- Proceedings Before Grand Jury

Fiscal Years 1992 - 2001

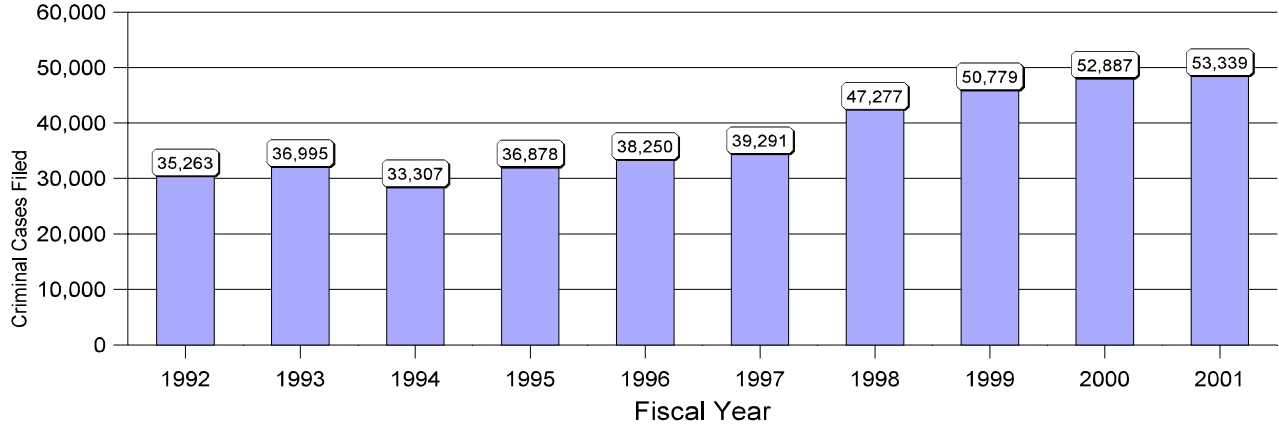


During Fiscal Year 2001, the United States Attorneys' offices filed 53,339 criminal cases against 73,674 defendants in United States District Court. See Criminal Chart 4 below, and Table 1. This represents a less than one percent increase in cases filed and a one percent increase in defendants filed when compared with the prior year, and represents the highest number of cases filed in recent years. The largest increase in the number of cases filed during Fiscal Year 2001 was in the violent crime program category which showed an increase of eight percent in the number of cases filed, when compared to the prior year. Increases were also observed in the number of drug cases filed, which increased by five percent over the prior year. Case filings also increased in the areas of counterfeiting and federal program fraud, which showed 13 and 18 percent increases, respectively.

See Criminal Chart 12 for a display of criminal cases filed by program category, or case type, for Fiscal Years 2000 and 2001. Criminal Charts 4 through 12 do not include United States Magistrate Court or appellate cases.

### CRIMINAL CHART 4 -- Criminal Cases Filed

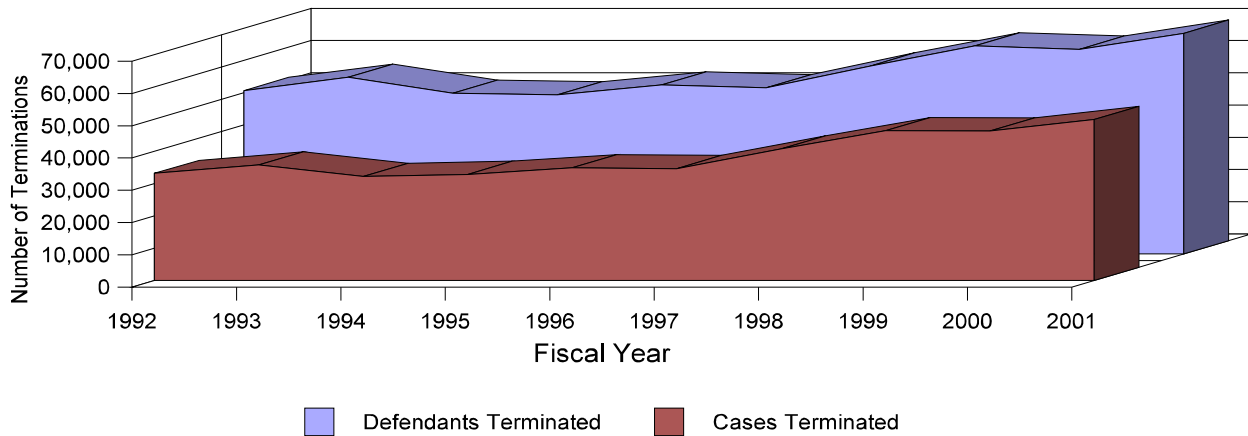
Fiscal Years 1992 - 2001



A total of 49,834 cases against 68,418 defendants were also terminated during Fiscal Year 2001, representing an increase of eight percent in the number of cases terminated and eight percent in the number of defendants terminated when compared to the prior year. See Criminal Chart 5 below.

### CRIMINAL CHART 5 -- Criminal Cases and Defendants Terminated

Fiscal Years 1992 - 2001



A total of 4,098, or six percent, of the terminated defendants were disposed of by trial. See Criminal Chart 6 below, and Table 2.

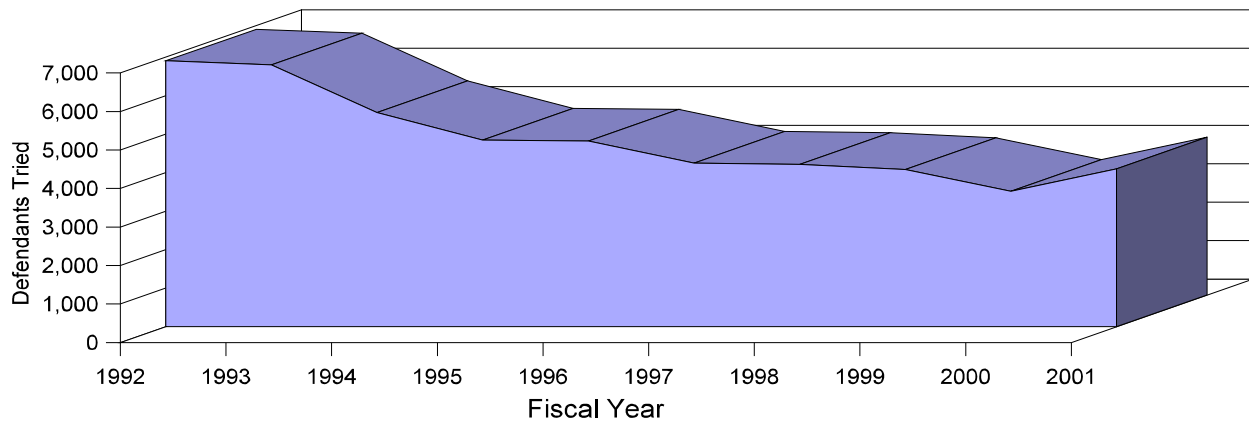


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## CRIMINAL CHART 6 -- Criminal Defendants Disposed of By Trial

Fiscal Years 1992 - 2001



During Fiscal Year 2001, 4,098, or six percent, of all terminated defendants were disposed of by trial, an increase of 16 percent when compared to the prior year and the first increase in trials since Fiscal Year 1991. Defendants in civil rights prosecutions and state and local official corruption prosecutions were the most likely to go to trial, with 17 percent of all terminated defendants in these two categories disposed of by trial.

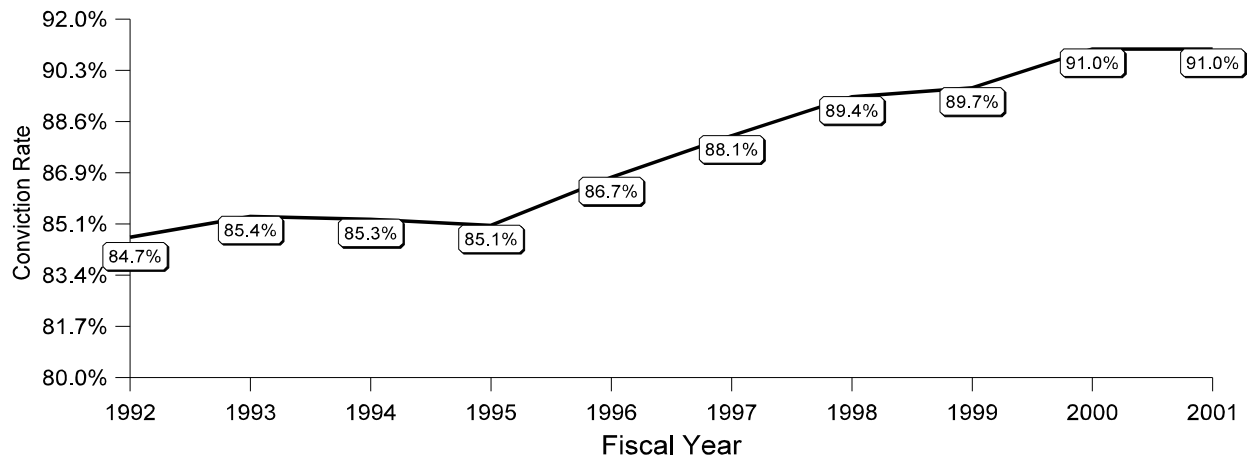
Of the 68,418 defendants terminated during Fiscal Year 2001, 62,245, or 91 percent, either pled guilty or were found guilty. See Criminal Chart 7 below, and Table 3. This rate of conviction equals that of Fiscal Year 2000, and continues to represent the highest conviction rate over the past several years. The highest conviction rate of any program category is seen in immigration and tax fraud cases, where 95 percent of all defendants terminated during Fiscal Year 2001 were convicted.

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## CRIMINAL CHART 7 -- Conviction Rate

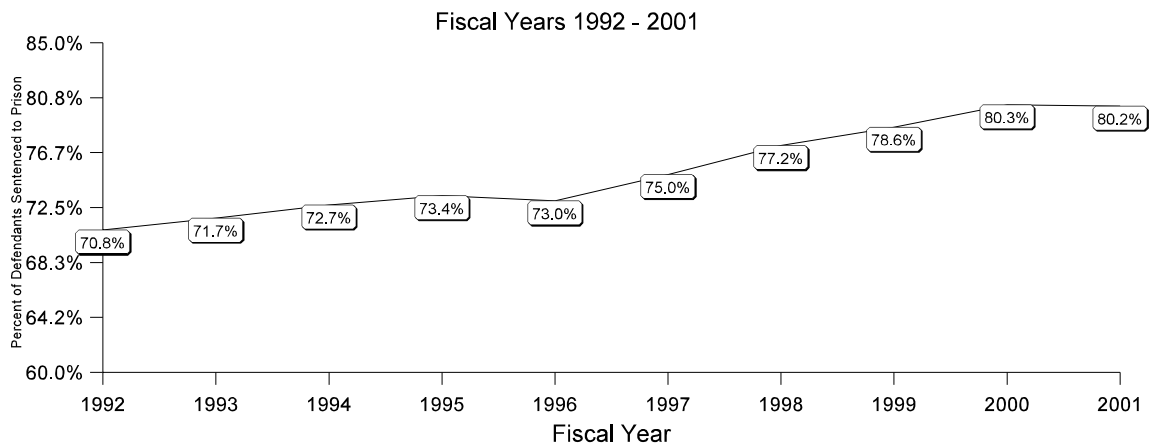
Fiscal Years 1992 - 2001



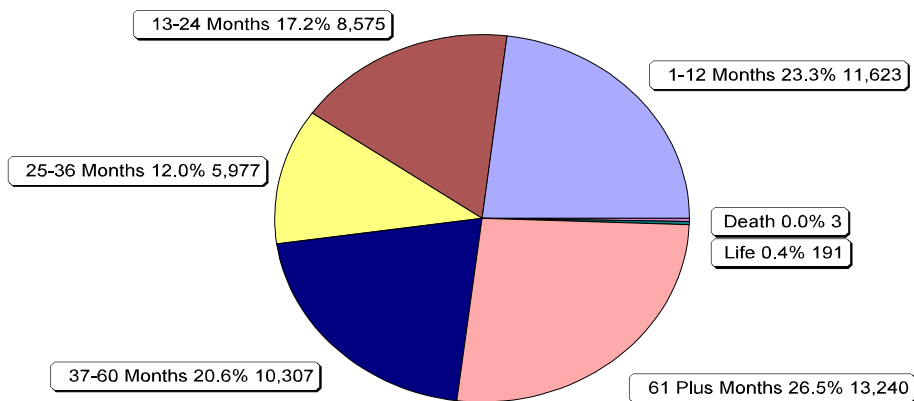
During Fiscal Year 2001, a total of 58,721, or 94 percent, of all convicted defendants pled guilty prior to or during trial. This represents a one percent decrease in the percentage of convicted defendants who pled guilty when compared to the prior year.

Of the 62,245 criminal defendants who either pled guilty or were found guilty during the fiscal year, 49,916, or 80 percent, received prison sentences. This represents the highest number and percentage of guilty defendants to receive prison sentences over the past several years, and a notable increase over Fiscal Year 1992, when 71 percent of guilty defendants were sentenced to prison. Significant increases in the percentage of guilty defendants who were sentenced to prison have occurred in the following program areas: white collar crime, which increased from 50 percent in Fiscal Year 1992 to 62 percent in Fiscal Year 2001; immigration, which increased from 65 percent in Fiscal Year 1992 to 83 percent in Fiscal Year 2001; and civil rights, which increased from 78 percent in Fiscal Year 1992 to 85 percent in Fiscal Year 2001. A total of 191 guilty defendants received sentences of life in prison during Fiscal Year 2001. See Criminal Charts 8 and 9 below.

**CRIMINAL CHART 8 -- Percentage of Guilty Defendants Sentenced to Prison**

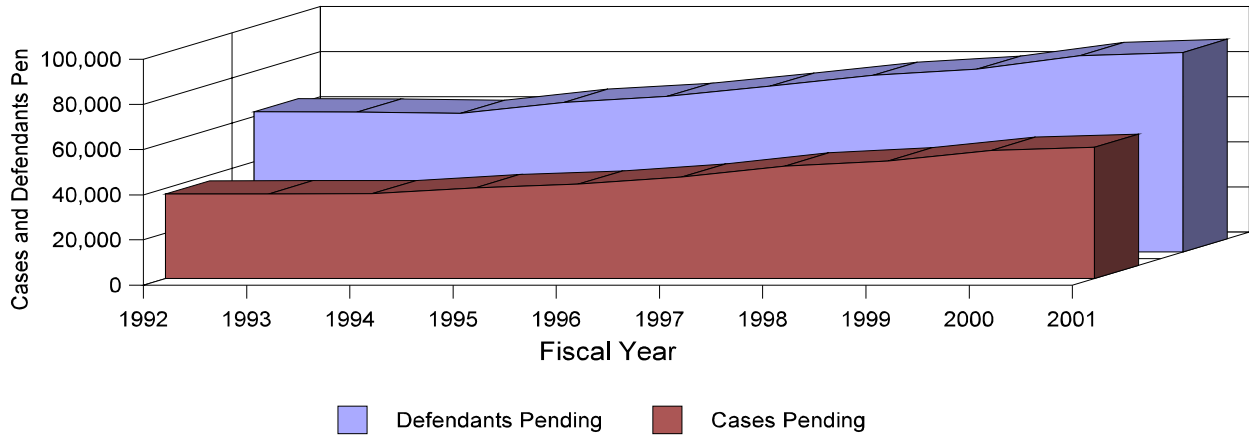


**CRIMINAL CHART 9 -- Length of Sentences for Defendants Sentenced to Prison**  
Fiscal Year 2001



## CRIMINAL CHART 10 -- Criminal Cases and Defendants Pending

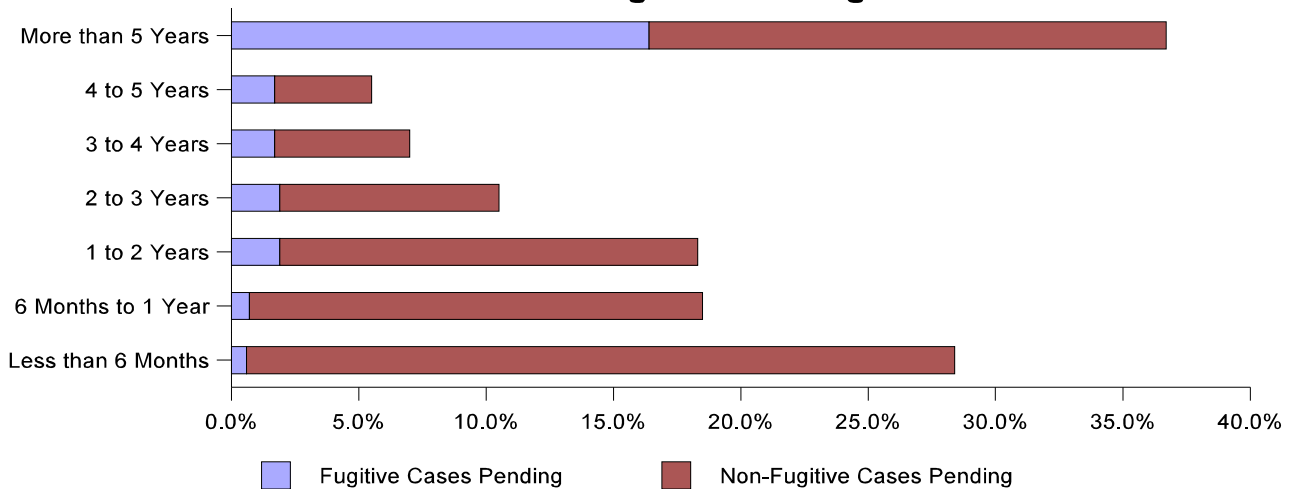
Fiscal Years 1992 - 2001



As of the end of Fiscal Year 2001, 58,135 criminal cases against 88,347 defendants were pending. This represents an increase of two percent in the number of cases pending and two percent in the number of defendants pending, when compared to the prior year. See Criminal Chart 10 above, and Table 1.

Of the 58,135 pending criminal cases, 35,891, or 62 percent, had been pending for 24 months or less, and 40,710, or 70 percent, had been pending for 36 months or less. See Table 15. In 26 percent of pending cases the defendant was a fugitive, was in a mental institution, or was in a Pre-trial Diversion Program. See Chart 11.

## CRIMINAL CHART 11 -- Age of Pending Criminal Cases



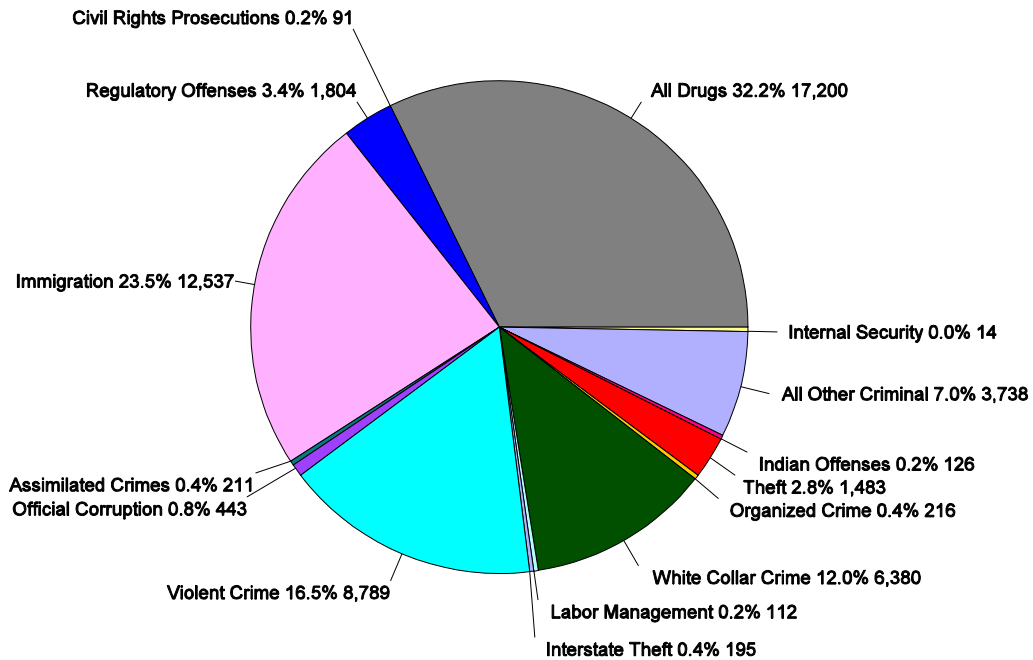
See Criminal Chart 13 below for a display of pending criminal cases by program category, or case type, as of the end of Fiscal Years 2000 and 2001. Criminal Chart 13 does not include cases pending in United States Magistrate Court or pending appellate cases.

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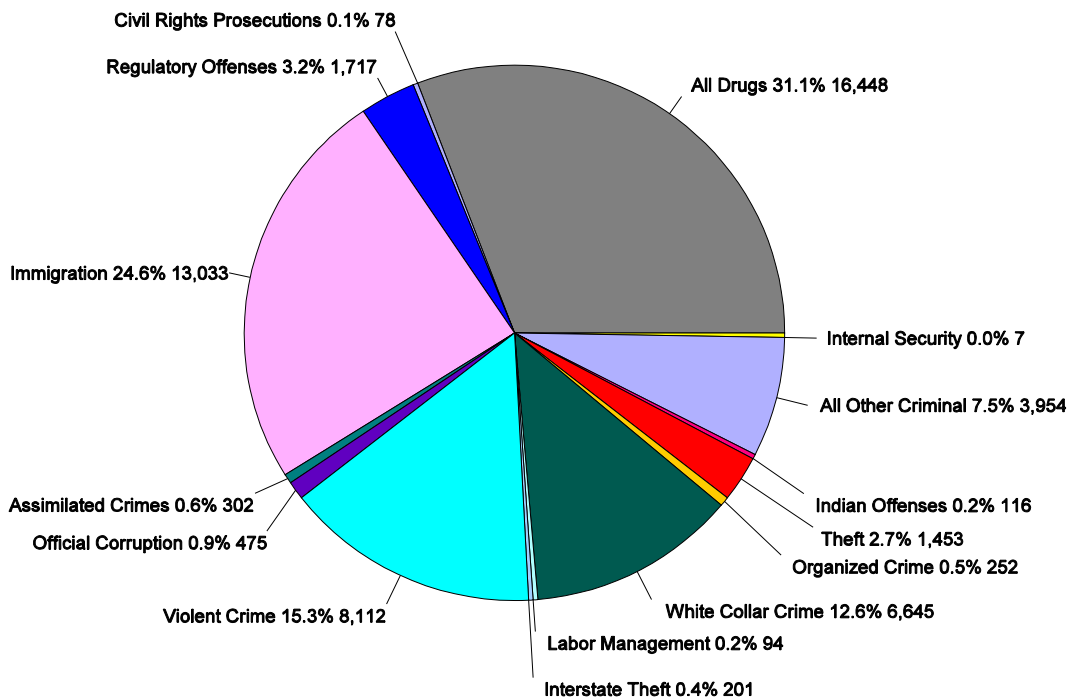
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## CRIMINAL CHART 12 -- Criminal Cases Filed by Program Category

Fiscal Year 2001 -- Total Cases Filed 53,339

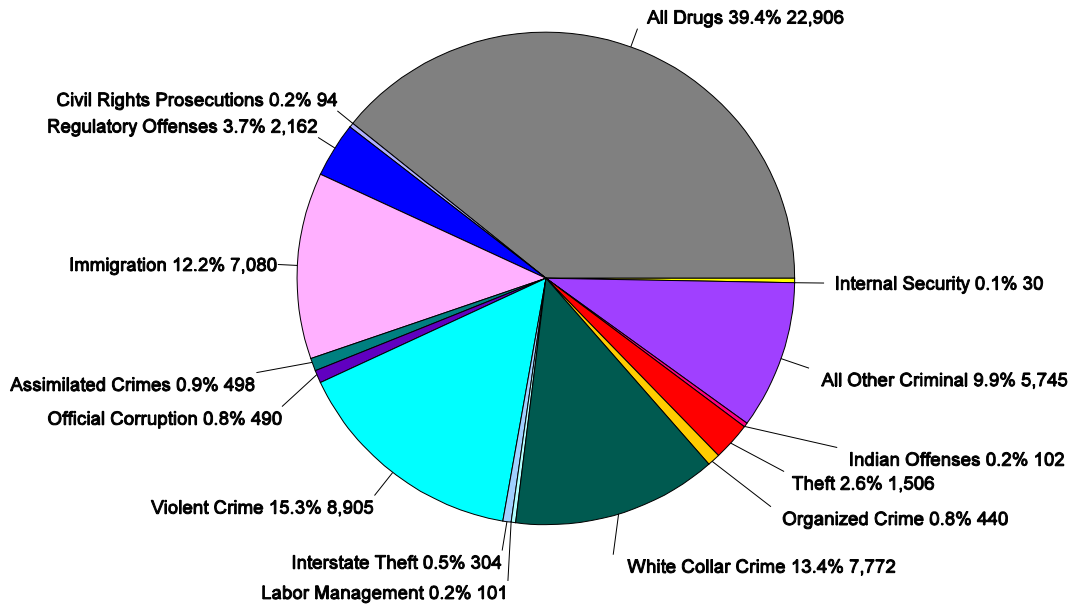


Fiscal Year 2000 -- Total Cases Filed 52,887

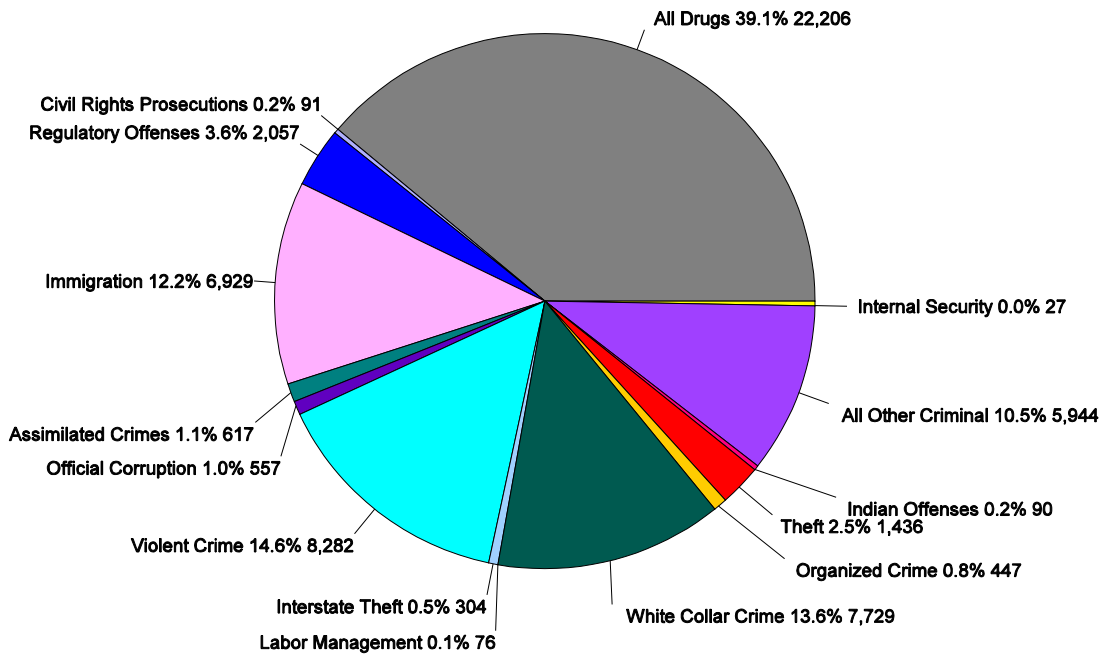


## CRIMINAL CHART 13 -- Criminal Cases Pending by Program Category

Fiscal Year 2001 -- Total Cases Pending 58,135



Fiscal Year 2000 -- Total Cases Pending 56,792



## United States Magistrate Court

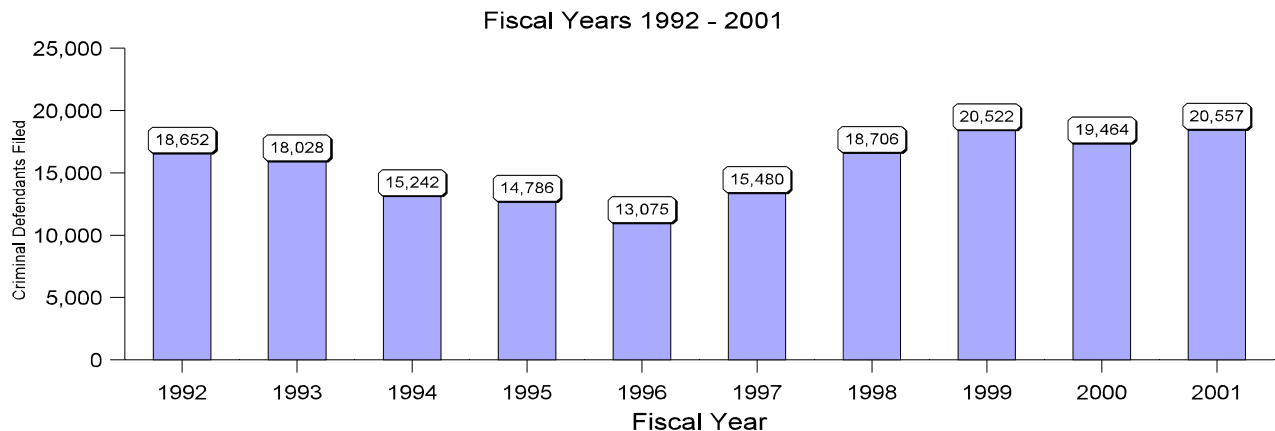
In addition to those criminal cases filed in the United States District Courts, the United States Attorneys also handle a considerable criminal caseload which is filed in the United States Magistrate Courts. Congress created the judicial office of Federal Magistrate in 1968. The United States District Judges in each district appoint Magistrate judges, who discharge many of the ancillary duties of the United States District Judges. The utilization of Magistrate judges varies from district to district in response to local conditions and changing caseloads.

Magistrate judges are authorized by statute to perform a variety of judicial duties as assigned by the United States District Courts, including misdemeanor trials, conducting preliminary hearings and entering rulings or recommended dispositions on pretrial motions. Spurred by the Civil Justice Reform Act of 1990, expanding caseloads, and tightening fiscal constraints, the United States District Courts continue to find new, innovative ways to use Magistrate judges. The flexibility of the Magistrate judge system was further enhanced in 1996 by the Federal Courts Improvement Act which abolished for certain petty offenses the requirement that defendants consent to adjudication by a Magistrate judge and allowed defendants in other misdemeanor cases to give their consent orally. In order to meet the dictates of the Speedy Trial Act, courts are referring an increasing number of motions, hearings, and conferences in felony cases to Magistrate judges.

In addition to those cases filed and handled in United States District Courts, the United States Attorneys filed criminal cases against an additional 20,557 defendants in United States Magistrate Courts during Fiscal Year 2001, an increase of six percent when compared to the prior year. See Criminal Chart 14 below, and Table 1. A total of 16,254 defendants were also terminated during the year, for an increase of six percent over Fiscal Year 2000. See Criminal Chart 15 below. As of the end of Fiscal Year 2001, criminal cases against 21,768 defendants were pending in United States Magistrate Courts, an increase of six percent over the number of defendants pending at the end of Fiscal Year 2000. See Table 1. Petty offenses handled in United States Magistrate Courts are not included in this data.

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**CRIMINAL CHART 14 -- Defendants Filed in U.S. Magistrate Court**

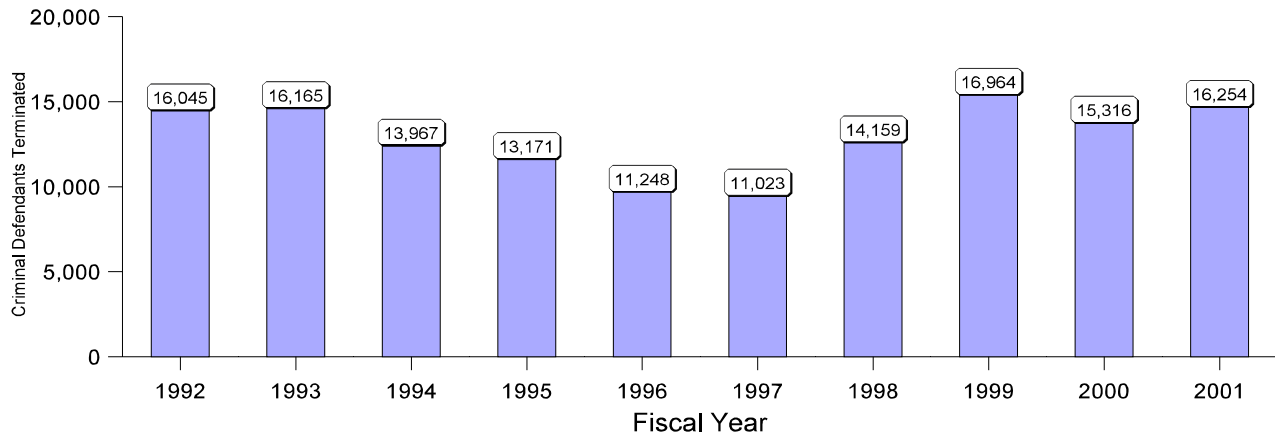


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### CRIMINAL CHART 15 -- Defendants Terminated in U.S. Magistrate Court

Fiscal Years 1992 - 2001



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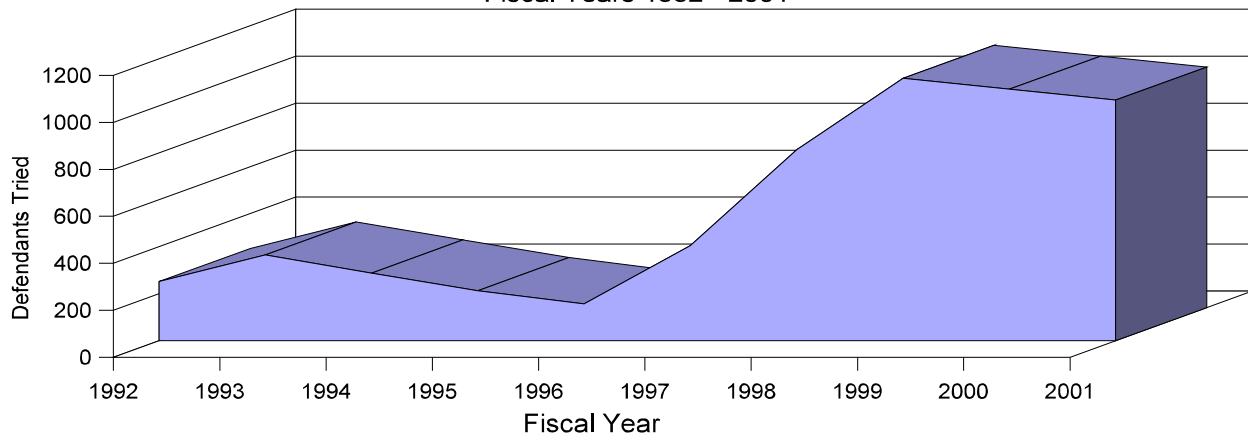
Of the defendants terminated in United States Magistrate Courts during Fiscal Year 2001, 1,025, or six percent, were terminated after a court or jury trial. See Criminal Chart 16 below, and Table 2A. This represents a decrease of 46 defendants disposed of by trial, or four percent, when compared to the prior year.

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### CRIMINAL CHART 16 -- Defendants Disposed of By Trial in U.S. Magistrate Court

Fiscal Years 1992 - 2001





## District of Columbia Superior Court

As noted earlier, the United States Attorney's Office for the District of Columbia also bears responsibility for the prosecution of criminal cases in the District of Columbia Superior Court. The Superior Court Division of the United States Attorney's Office handles the prosecution of criminal violations of the District of Columbia Code committed by adults and juveniles charged as adults. The Superior Court Division is comprised of six litigation sections: the Misdemeanor Trial Section; the Grand Jury/Intake Section; the General Felony Trial Section; the Homicide Section; the Sex Offense/Domestic Violence Section; and the Community Prosecution/Major Crimes Section. While violent crime and weapon offenses continued to be the primary focus of the Superior Court Division, the workload reflects cases brought as a result of a variety of initiatives including Community Prosecution, Operation Ceasefire, and domestic violence programs.

The following data detail the Superior Court Division's caseload during Fiscal Year 2001. This data is not included in the other charts and tables contained in this report.

|             | Cases Filed |                  | Cases Terminated |                  |
|-------------|-------------|------------------|------------------|------------------|
|             | Number      | Percent of Total | Number           | Percent of Total |
| Felony      | 8,082       | 35.6%            | 5,216            | 34.0%            |
| Misdemeanor | 14,616      | 64.4%            | 10,142           | 66.0%            |
| Total       | 22,698      |                  | 15,358           |                  |

| Cases Disposed of by Jury Trials |                  |               |                |                   |                    |                     |                      |
|----------------------------------|------------------|---------------|----------------|-------------------|--------------------|---------------------|----------------------|
|                                  | Number of Trials | Number Guilty | Percent Guilty | Number Not Guilty | Percent Not Guilty | Number of Mistrials | Percent of Mistrials |
| Felony                           | 283              | 165           | 58.3%          | 111               | 39.2%              | 7                   | 2.5%                 |
| Misdemeanor                      | 21               | 10            | 47.6%          | 11                | 52.4%              | 0                   | 0.0%                 |
| Total                            | 304              | 175           | 57.6%          | 122               | 40.1%              | 7                   | 2.3%                 |

| Cases Disposed of by Court Trials |                  |               |                |                   |                    |                     |                      |
|-----------------------------------|------------------|---------------|----------------|-------------------|--------------------|---------------------|----------------------|
|                                   | Number of Trials | Number Guilty | Percent Guilty | Number Not Guilty | Percent Not Guilty | Number of Mistrials | Percent of Mistrials |
| Felony                            | 75               | 52            | 69.3%          | 23                | 30.7%              | 0                   | 0.0%                 |
| Misdemeanor                       | 856              | 579           | 67.6%          | 277               | 32.4%              | 0                   | 0.0%                 |
| Total                             | 931              | 631           | 67.8%          | 300               | 32.2%              | 0                   | 0.0%                 |

| Case Dispositions |                        |                      |
|-------------------|------------------------|----------------------|
|                   | Number of Guilty Pleas | Number of Dismissals |
| Felony            | 3,093                  | 1,765                |
| Misdemeanor       | 3,638                  | 5,627                |
| Total             | 6,731                  | 7,392                |

| Convictions |                       |                 |
|-------------|-----------------------|-----------------|
|             | Number of Convictions | Conviction Rate |
| Felony      | 3,310                 | 63.5%           |
| Misdemeanor | 4,227                 | 41.7%           |
| Total       | 7,537                 | 49.1%           |

## Priority Criminal Prosecution Areas

In carrying out their criminal prosecution responsibilities, the United States Attorneys are guided by the law enforcement and special prosecution priorities of the Attorney General. Some of the Fiscal Year 2001 priority program prosecution areas are addressed separately below.

### International and Domestic Terrorism

The prevention of terrorist acts and the identification and prosecution of terrorist groups constitutes the top priority of federal law enforcement. Following the terrorist attacks of September 11, 2001, each United States Attorney's office established an Anti-terrorism Task Force within the district. Each task force is coordinated by an experienced federal prosecutor and is made up of members from federal, state, and local law enforcement agencies, as well as other federal and state agencies. The task forces are part of a national network that serves several purposes. First, the task forces are a conduit for training and information about suspected terrorists between federal and local agencies. Second, the task forces serve as a coordinating body for the prevention of terrorist attacks. Third, each task force serves as a standing organizational structure for a coordinated response to a terrorist incident within a district.

The United States Attorneys' offices also participated in a national conference on terrorism in November 2001 and provided anti-terrorism training for state and local law enforcement in January 2002. Each district established a Chief Information Officer along with an information sharing protocol to ensure the timely dissemination of terrorism-related information within each district.

Terrorism cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Southern District of New York**, three defendants were each sentenced to life in prison without the possibility of parole for their participation in the bombings of the American Embassies in Nairobi, Kenya, and Dar es Salaam, Tanzania, in August 1998 and the murder of hundreds of Kenyan, Tanzanian, and American citizens. They were also sentenced to additional life sentences for their participation in several conspiracies to murder United States nationals and United States government employees, as well as conspiracies to use weapons of mass destruction against United States persons and United States property, and other charges related to the embassy bombings. Two of these defendants had been eligible for the death penalty, which the jury voted not to impose. Another defendant, a United States citizen, was also sentenced to life in prison without the possibility of parole for participating in the conspiracies, as well as five years in prison for each of the 18 perjury counts in connection with his false testimony before a grand jury investigating the embassy bombings and the murder plots. The United States District Judge also ordered the defendants to pay at least \$33 million in restitution to the victims of their crimes. A jury convicted all four defendants after a five-month trial, on all 302 counts against them for their participation in a global plot, led by Osama bin Laden and his terrorist group al Qaeda. The trial evidence included more than 1,200 exhibits and testimony from nearly 100 witnesses. Three of the defendants received terrorist training in Afghanistan. The fourth defendant was a central facilitator, and for a time served as bin

Laden's personal assistant. He also obstructed the investigation into al Qaeda a year prior to the embassy bombings, and within weeks after they occurred, by lying to and misleading the grand jury and the Federal Bureau of Investigation. A fifth defendant pled guilty to charges that he conspired to kill American nationals outside the United States. Another defendant is awaiting trial both on the conspiracy charges and charges of attempting to murder a corrections officer at the Metropolitan Correctional Center in Manhattan as part of a plan to take hostages at the facility. Three additional defendants are presently in custody in London, England. They have been ordered extradited to the United States, but extradition has been delayed because of pending appeals. Thirteen additional defendants have been indicted in the Southern District of New York who remain fugitives, including bin Laden. The total number of persons charged in this case to date is 22.

In the **Southern District of New York**, a defendant was convicted, after just over one day of deliberations, of conspiracy to provide material support to a terrorist act. Specifically, the defendant was convicted of conspiring to provide material support to another terrorist attempt to bomb an airport in Los Angeles in December 1999 as part of an international millennium attack. The terrorist attempt was thwarted when the terrorist was arrested on December 14, 1999, as he transported explosives across the United States-Canadian border near Seattle, Washington. The evidence at trial demonstrated that the defendant assisted the terrorist by, among other things, providing him with \$3,000 in cash and a fake Canadian driver's license, and by arranging for a co-conspirator from Brooklyn, New York, to travel to Seattle to assist the terrorist in his operation once he crossed the border. In addition, the evidence showed that the defendant was planning to provide the terrorist with an Algerian passport following the attack so that he could flee to Algeria. The defendant was sentenced to 24 years in prison.

## **Espionage**

Espionage continues to be a Department of Justice concern especially in light of events occurring in Fiscal Year 2001. Even years after the fall of the Soviet Union, there continue to be both organizations interested in classified United States government information, and individuals with the access to that information and the will to provide it to those organizations. Espionage is a heinous crime of national betrayal that can lead to the compromise of critical national security information, can jeopardize the national defense, and can result in the injury and murder of citizens.

Espionage cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Middle District of Florida**, a former United States Army Reserve Lieutenant Colonel, age 75, was sentenced to life in prison following his June 2001 jury conviction for engaging in a 25-year conspiracy to sell classified military intelligence information to the Soviet Union. The trial included testimony from former KGB officers, as well as retired and current United States Army officers, some having attained the rank of General. The prosecution presented approximately six hours of video-taped evidence that showed the defendant meeting with an undercover Federal Bureau of Investigation agent who was posing as a KGB officer. In his civilian job, the defendant was in charge of the United

States Army element at the Joint Interrogation Center in Nuremberg, Germany, where he worked from 1969 through 1994. He had access to classified national defense documents, including Soviet and Warsaw Pact Orders of Battle detailing the United States' current state of knowledge of Soviet and Warsaw Pact military organizations and capabilities, which he sold to the Soviet Union. The case was investigated by the Federal Bureau of Investigation and the United States Army Intelligence and Security Command.

In the **Eastern District of Virginia**, a former agent with the Federal Bureau of Investigation (FBI) was sentenced to life in prison after pleading guilty to espionage. The defendant, a career FBI agent who worked in the FBI's National Security Division, was indicted on 19 counts of espionage, as well as the conspiracy to commit espionage and attempted espionage charges. He was accused of conspiring over 15 years with officers of the KGB and its successor Russian intelligence service to knowingly communicate, deliver, and transmit to the Union of Soviet Socialist Republics (USSR) and its successor, the Russian Federation, documents and information relating to the national defense of the United States, with intent and reason to believe that they would be used to the injury of the United States and to the advantage of the USSR and, later, the Russian Federation. The indictment also alleged that his violations resulted in the identification by the USSR of individuals acting as agents of the United States, and, consequently, the deaths of two of these individuals. The indictment also sought forfeiture of more than \$1.4 million the defendant was alleged to have received from the Soviets and/or Russians.

In the **Eastern District of Virginia**, a defendant, a 30-year old Australian, was sentenced to 15 years in prison for attempted espionage. Pursuant to a plea agreement, the United States has agreed that it will not object to the defendant's serving the last five years of his sentence in Australia, if a prisoner exchange treaty between the United States and Australia is then in effect. The defendant has also forfeited the \$120,000 paid to him during the undercover investigation of this case. The defendant was an analyst for the Australian Defense Intelligence Office in Canberra, Australia, from July 1998 through January 1999. On January 18, 1999, he walked into another government's embassy in Bangkok, Thailand, and attempted to sell classified United States information he had taken from his duty station in Australia. He sold more than 900 classified United States documents to an undercover Federal Bureau of Investigation agent posing as a foreign intelligence officer. The defendant was arrested at Dulles International Airport in May 1999 when he arrived to meet the undercover agent.

## **International Crime**

While the United States Attorneys are dedicated to the prosecution of perpetrators of federal crimes within their districts, an international aspect to many of these crimes is becoming increasingly common, especially those involving drug and arms trafficking, financial fraud, money laundering, migrant smuggling, trafficking in persons, intellectual property theft, and foreign official corruption. During Fiscal Year 2001, the United States Attorneys, through the Department of Justice, continued to work closely with the Department of State and the Department of the Treasury on international crime issues. Continued

cooperation among agencies of the United States government, as well as foreign governments, is crucial to success in these very complex investigations and prosecutions of criminals who reach into or beyond our boundaries.

International crime cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

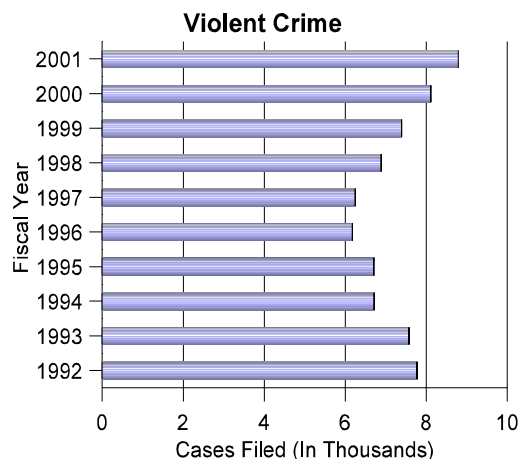
In the **Northern District of California**, a federally indicted fugitive was sentenced to five years in prison in the District Court of Tel-Aviv, Israel, for four separate bank frauds committed against Bay Area banks. The defendant was also ordered to pay a four million shekel fine (approximately \$1 million in United States currency) and to reimburse the government of Israel one million shekels (approximately \$250,000) for the costs of prosecution. He was also ordered to serve an additional two years of parole after his release from prison. A short time before the federal indictment, the defendant fled to Israel and refused to return to the United States to stand trial. The United States sought the extradition of the defendant but his extradition was not authorized under the law of Israel at that time. However, Israeli law did provide that prosecuting authorities in Israel could pursue the United States case in the courts of Israel. The District Attorney of Tel-Aviv filed criminal charges against the defendant in spring 1996 in the District Court of Tel-Aviv.

In the **Eastern District of Virginia**, a defendant who pled guilty to conspiracy to commit alien smuggling, was sentenced to nine years in prison for his part in an international criminal syndicate responsible for smuggling more than 2,000 Chinese citizens from mainland China to the United States. In return for fees as high as \$50,000, the syndicate smuggled these aliens by air and sea to the United States via Vietnam, Cambodia, and the Caribbean. The aliens often spent a year or more traveling clandestinely to the United States in cramped and dangerous conditions, and were detained for months in crowded quarters. Those aliens who failed to pay in full were held by the syndicate in the United States until their debts were satisfied. The defendant joined the syndicate in 1991 and directly assisted in the smuggling of more than 100 Chinese migrants. His primary responsibility was to shepherd aliens from the Caribbean into the United States and to ensure they or their families paid in full upon their arrival.

## **Violent Crime**

The number of violent crimes committed in 2000, as reported by local law enforcement to the Federal Bureau of Investigation for its Uniform Crime Report, decreased for the eighth year in a row and was the lowest recorded since 1987. The number of offenses reported during 2000, when compared to 1999, equals a less than one percent reduction. Comparing 2000 to 1992 yields a 26 percent reduction in violent crimes. While just one measure, this reduction is an indication that federal law enforcement officials working in cooperation with state and local officials is having a positive result in our nation's communities. The United States Attorneys are committed to building upon this success, and to help reduce violent crime with aggressive prosecution of these criminals.

During Fiscal Year 2001, the United States Attorneys filed a total of 8,789 criminal cases against 10,492 violent offenders, representing an eight percent increase in the number of cases filed and an eight percent increase in the number of defendants filed when compared to the prior year. The 8,789 violent crime cases filed represented 16 percent of all criminal cases filed by the United States Attorneys in Fiscal Year 2001. During the year, 14 percent of all criminal attorneys across the nation were devoted to violent crime cases. A total of 7,858 violent crime cases against 9,328 defendants were also terminated in Fiscal Year 2001, representing a 14 percent increase in the number of cases terminated and a ten percent increase in the number of defendants terminated when compared to the prior year. Of those defendants whose cases were terminated during the year, 89 percent were convicted. This represents the highest conviction rate for violent crime defendants over the last several years. Eighty-nine percent of all convicted defendants were sentenced to prison. Of the defendants sentenced to prison, 43 percent, were sentenced to terms of five or more years in prison, including 61 life sentences.



The Violent Crime program category was established in Fiscal Year 1992, when the Executive Office for United States Attorneys started classifying case and defendant data by program categories, or criminal case types. In Fiscal Year 1992, greater emphasis was also placed on the prosecution of violent crime. This resulted in a change in the way some criminal cases, primarily narcotics cases, were classified among program categories. Those cases involving narcotics that would otherwise be classified as narcotics cases based on the statutes charged were classified as violent crime cases if the defendants were also charged with a firearms offense or other violent crime, such as murder. Thus, beginning in Fiscal Year 1992, some narcotics cases or cases in which narcotics offenses were charged were classified under and rolled into the Violent Crime program category data.

Beginning in Fiscal Year 1996, in an effort to eliminate confusion and display both violent crime and narcotics case data, Table 3 of this report was broken out further to display those narcotics cases included under the Violent Crime program category. This display of the case data allows users of Table 3 to see all narcotics cases, without double counting cases and without losing information on an equally important criminal prosecution area, violent crime. As shown on Table 3, a total of 351 cases filed against 581 defendants during Fiscal Year 2001 were classified under the Violent Crime program category, but included narcotics charges.

The Violent Crime program category consists of the sum of the various violent crime case categories. Those categories, including the number of cases filed during Fiscal Year 2001 and the percentage of change from Fiscal Year 2000, are as follows:

| Case Category                     | Cases Filed in Fiscal Year 2001 | Percent Change from Fiscal Year 2000 |
|-----------------------------------|---------------------------------|--------------------------------------|
| Violent Crime in Indian Country   | 584                             | -8.6%                                |
| Violent Crime -- Non-OCDETF Drugs | 267                             | 23.6%                                |

|                                  |       |        |
|----------------------------------|-------|--------|
| Violent Crime -- OCDETF Drugs    | 84    | -18.4% |
| Violent Crime -- Organized Crime | 47    | -27.7% |
| Violent Crime -- Other           | 7,807 | 10.1%  |
| Violent Crime Total              | 8,789 | 8.3%   |

Some examples of successful violent crime prosecutions handled by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Central District of California**, a defendant was sentenced to 24 years and two months in prison for organizing and carrying out what is believed to be the largest cash armed robbery in United States history. The defendant and five other defendants were involved in the 1997 robbery of the Los Angeles office of Dunbar Armored Company. The defendant, who was fired by the company the day before the robbery, recruited the five co-defendants, and they all met to discuss security and the floor plan of the facility. The defendants used headsets to communicate with each other during the takeover-style robbery. They removed three video recording devices, two of which were hidden in a locked room. A plastic tail light lens recovered from the loading dock at the Dunbar facility provided authorities with a break that led to the discovery that one of the robbers rented a 14-foot U-Haul truck that was used to haul away the money. At this time, more than \$10 million of the stolen money is unaccounted for.

In the **District of Columbia**, a defendant was sentenced to 60 years to life in prison for the murder of his step-grandmother and her caretaker. The defendant pled guilty to two counts of first-degree murder. He had been living with his step-grandmother and caretaker since his discharge from the military in June 1999. On October 1, 1999, police were summoned to the home by a family member and found the deceased 65-year-old step-grandmother. Because she was terminally ill and there were no obvious physical injuries, the Medical Examiner's Office initially determined her death to be of natural causes, but family members were suspicious because of a large pool of blood at the front door, partially melted aerosol cans in the microwave, a strong odor of bleach in the house, and a blood trail in the kitchen area. When the family went into the basement where the defendant lived, they found the 53-year-old caretaker's lifeless body in the defendant's bed. Following autopsies, both deaths were determined to be homicides. The cause of the step-grandmother's death was manual strangulation, and the caretaker was killed by a single gunshot to the head. The defendant quickly became the prime suspect, and after an intensive search and a profile on "America's Most Wanted," he was apprehended just outside Roanoke, Virginia, driving a vehicle that had been stolen in an armed carjacking in Montgomery County, Maryland, after the murders. Prior to being taken into custody, the defendant attempted suicide by shooting himself in the head.

In the **District of Maryland**, a defendant, a career criminal, was sentenced to 11 years and eight months in prison for bank robbery. In January 1999, the defendant arrived at a Baltimore bank dressed in a United Parcel Service uniform and carrying a box. An employee let him in the back door, assuming he was making a delivery. The defendant then pointed a handgun at employees and ordered them to the floor in the customer service



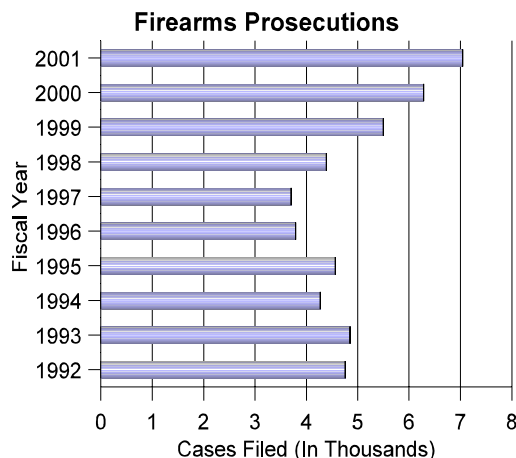
area. He then forced one employee at gunpoint to accompany him to the vault, where he removed approximately \$72,355 and placed it into the box he was carrying. During the course of the robbery, the defendant threatened several times to shoot the employees if they triggered any alarms or failed to cooperate. His previous convictions include robbery, use of a handgun in a crime of violence, and battery.

### **Firearms Prosecutions**

On May 14, 2001, the President and the Attorney General, along with the Bureau of Alcohol, Tobacco and Firearms, announced Project Safe Neighborhoods: America’s Network Against Gun Violence. Project Safe Neighborhoods (PSN) focuses on an invigorated enforcement effort that, through new resources and tools, either builds on the successful programs already in place or creates effective gun violence reduction programs. PSN consists of five essential elements: partnership; strategic planning; training; community outreach and public awareness; and accountability. Recognizing that each district has varying problems, PSN does not mandate a single program to be implemented in every district. PSN provides that each district tailor the above elements to meet their individual needs and violence problems. PSN also emphasizes the need to network existing local programs that target gun crime, promote heightened coordination among federal, state, and local agencies in a unified offense, and increase resources at the federal and local levels.

On June 28, 2001, the Attorney General directed all United States Attorneys to make it a priority to enforce the law against those persons who attempt to subvert the legitimate crime prevention objectives of the Brady Act and to incorporate this new focus into comprehensive prosecutive efforts. Each United States Attorney was requested to review the referral procedures for Brady/National Instant Check System (NICS) cases with the Bureau of Alcohol, Tobacco, and Firearms and make adjustments where appropriate to comply with the Attorney General’s directive.

The United States Attorneys rely on stiff federal penalties and the joint efforts of federal, state and local law enforcement agencies to prosecute firearms offenders successfully. During Fiscal Year 2001 the United States Attorneys charged a total of 8,845 defendants with offenses under 18 U.S.C. 922 or 924 in 7,041 criminal cases, which, in comparison to Fiscal Year 2000, represents a ten percent increase in defendants filed and a 12 percent increase in cases filed. The 8,845 defendants included those charged in cases that were handled by the United States Attorneys’ offices as purely firearms cases, and defendants charged with firearms offenses in any other criminal cases, such as narcotics cases, organized crime cases, violent crime in Indian Country cases, or other violent crime cases such as bank robberies. Ninety percent of all terminated defendants were convicted, the highest conviction rate over the last several years. Of the convicted defendants, 6,515, or 93 percent, were sentenced to prison. Of the defendants sentenced to prison, 3,259, or 50 percent, were sentenced to terms of five or more years in prison, including 78 life sentences.



The United States Attorneys classify criminal cases by selecting a “program category” that best defines the overall nature of a case. From Fiscal Year 1992 through Fiscal Year 1998, Table 3 of this report displayed data only for those firearms cases and defendants that the United States Attorneys classified under one of the three Violent Crime–Project Triggerlock program categories. This data, however, did not include cases and defendants that were classified under another criminal program category—such as Drug Offenses, Organized Crime, Violent Crime in Indian Country—but where the defendant was also charged with a firearms offense. As a result, the data included on Table 3 of prior year reports under-represented the number of defendants who were charged with firearms offenses, and the work of the United States Attorneys to enforce our federal firearms laws. Beginning in Fiscal Year 1999, a new Table 3A was added to this report, to present a full accounting of the volume of defendants charged with firearms offenses. Table 3A displays information on any and all criminal cases where a defendant was charged with a firearms offense under any provision of the primary federal firearms statutes, 18 U.S.C. 922 or 924. Also, Table 3 was modified to eliminate the separate displays of data for the three Violent Crime–Project Triggerlock program categories. As noted already, that data under-represents the overall number of defendants charged with firearms offenses. The Violent Crime–Project Triggerlock program category data has been included in the Other Violent Crime program category.

Some examples of successful firearms prosecutions handled by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Central District of Illinois**, a defendant, age 60, was sentenced to ten years in prison for possession and manufacture of machine guns and related charges. He used an armament company set up with his father-in-law and others as his vehicle to register an aircraft machine gun, known as a minigun, that can fire up to 6,000 bullets per minute. At sentencing, the judge referred to the defendant's "arsenal of very dangerous weapons." The defendant had four prior felony convictions, including two gun charges and two felony drug distribution charges.

In the **Southern District of Indiana**, a defendant was sentenced as an Armed Career Criminal to 19 years and seven months in prison after he pled guilty to being a felon in possession of a firearm. On July 30, 2000, while investigating a single-car accident in Pike County in southwestern Indiana, a Winslow police officer recovered a .38 caliber handgun from the unoccupied auto. Earlier that evening, the defendant allegedly fired the weapon into the ceiling of the home of another Winslow resident and then left in the car that was later involved in the accident and found abandoned by police. The defendant had prior convictions for arson, murder, armed robbery, burglary and shooting into a building, prompting the sentencing judge to describe his criminal history as "horrendous." The defendant is also pleading guilty in state court to a homicide charge, filed after the federal firearms prosecution began, for injecting his diabetic wife with a lethal overdose of insulin.

In the **District of Maryland**, a defendant was sentenced to 15 years in prison for being a felon in possession of a firearm. At sentencing, the judge found that the defendant was a career criminal based on his previous convictions for robbery, possession with intent

to distribute heroin, and conspiracy to distribute a controlled substance. On January 16, 2001, Baltimore City police officers were conducting surveillance at an intersection and observed the defendant walk across the street, remove a handgun from his waist, and place the gun in a paper bag. He then placed the bag inside an opening between two sections of the sidewalk. The officers then arrested him and recovered the paper bag containing the handgun, a loaded nine-millimeter Taurus semi-automatic pistol. This case was brought pursuant to Project DISARM, under which firearm violators arrested by local authorities are prosecuted federally when they are convicted felons possessing firearms. In Baltimore, DISARM operates in conjunction with the Bureau of Alcohol, Tobacco and Firearms, the Baltimore City Police Department, and the Baltimore State's Attorney's Office.

In the **Southern District of West Virginia**, a defendant, who was a New Jersey resident, was sentenced to 15 years in prison for making a false statement in the acquisition of a firearm and traveling interstate with the intent to engage in the business of dealing firearms without a license. The defendant traveled from New Jersey to West Virginia to purchase firearms for resale in New Jersey at a large profit. Since he was a convicted felon, the defendant paid an accomplice \$100 per gun in return for her completion of the required paperwork. One firearm that the defendant purchased was recovered in New Jersey after a criminal used the gun to critically wound two Orange, New Jersey, police officers. The suspect involved in the shooting was subsequently shot and killed by police.

### **Violence Against Women**

During Fiscal Year 2001, The United States Attorneys continued to prosecute domestic violence offenses using available laws. The Violence Against Women Act (VAWA), passed in 1994 and amended in 1996 and 2000, prohibits interstate travel to commit domestic violence, interstate stalking, cyber stalking, interstate violation of a protection order, possession of a firearm while subject to a qualifying protection order and possession of a firearm after conviction of a misdemeanor crime of domestic violence. These federal remedies continue to be important tools used to supplement the commitment at the state, local, and tribal level to reduce violence against women. Innovative programs in United States Attorneys' offices continue to emphasize community outreach and the custom tailoring of strategies to address effectively the domestic violence concerns in a particular community. For example, in the **District of Massachusetts**, the United States Attorney's office, as part and parcel of its Domestic Violence Reduction Program, continued its participation in the "Safety First" initiative. This collaborative effort of the United States Attorney's office, the District Attorney, the Mayor's Office, the Police Department, the Probation Office, and the Sheriff's Department in Brockton, Massachusetts, established a Domestic Violence Task Force that developed strategies to address the significant problem in this community. The focus of the initiative was serious repeat domestic violence offenders. This ongoing initiative has led to successful prosecutions and supervision of offenders through the cooperation of all the involved members of the law enforcement community.

In the **Eastern District of Michigan**, the United States Attorney's office participated in the Michigan Working Group on Full Faith and Credit, a collaboration of state, tribal, and federal representatives. One of the initiatives of the Working Group was the enactment of a Proposed Model Code

for use by Michigan Native American tribes in the enforcement of foreign protection orders. This Code will assist the Michigan tribal courts in the enforcement of protection orders issued by other state or tribal authorities to protect abused domestic violence victims.

During Fiscal Year 2001, the United States Attorneys charged 218 defendants with domestic violence offenses. Of the 222 defendants whose cases were terminated during the year, 87 percent were convicted. Of the convicted defendants, 82 percent were sentenced to prison, with 27 percent of these defendants sentenced to prison for five years or more, and three defendants sentenced to life in prison. During the year, the Executive Office for United States Attorneys also continued its efforts to implement and enforce VAWA. To emphasize the importance of the VAWA criminal remedies, the Executive Office for United States Attorneys and its Office of Legal Education presented a three-day Violence Against Women Seminar in Washington, DC. Attended by the VAWA Points of Contact from most of the United States Attorneys' offices, the conference provided an overview of the federal laws, an emphasis on victims' rights, a discussion of the specific issues presented in the investigation and prosecution of domestic violence cases, a presentation on violent crime initiatives that address domestic violence problems within a given community, and a blueprint for community outreach and coordination within the domestic violence community. The commitment of the Department of Justice to the enforcement of VAWA was reinforced to the audience both by the Attorney General and the Chairperson of the Attorney General's Advisory Committee of United States Attorneys.

Successful prosecutions handled by the United States Attorneys during Fiscal Year 2001 include the following:

In the **District of Minnesota**, a defendant was sentenced to 15 years in prison on charges of kidnaping and interstate domestic violence for abducting and forcing his estranged wife to travel to Mexico against her will and assaulting her. The defendant and his victim were stopped by a United States Customs Inspector who noticed the defendant acting suspiciously as the two tried to enter the United States through the pedestrian lane. He was arrested after the Customs Inspector identified a warrant for him.

In the **District of Minnesota**, a Long Beach, California, man who sent threatening e-mails to a Minnesota woman, was convicted by a jury of interstate cyber stalking and sending threatening communications. He began an on-line relationship with the woman, who claimed to be a widow. He and the woman arranged to meet in California, but she backed out of the meeting. The defendant tracked her down and learned the woman was married. A short time later, he began sending threatening e-mail messages to the victim. The e-mails included threats to murder the victim's children. He also created web sites on which he posted pictures of the woman's children with their home address and phone number. On the web sites and in chat rooms, he also pretended to be the children and claimed the children enjoyed being raped. The defendant was sentenced to ten years in prison, which was an upward departure from the defendant's original sentencing guidelines range. The upward departure was granted on the government's motion based on extreme psychological injury and extreme conduct.

In the **Eastern District of Oklahoma**, a former Oklahoma Highway Patrol Trooper pled guilty to a felony indictment charging him under the Violence Against Women Act with entering Indian Country to commit a crime of domestic violence. The defendant was on probation from Pontotoc County on a shoplifting charge at the time he committed this offense. He entered the Chickasaw Tribal Complex in Ada, Oklahoma, through a non-public door, physically battered his intimate partner, and forced her into his vehicle. The victim's co-workers notified law enforcement authorities. He took the victim to her house and forced her to engage in sexual acts. The defendant was sentenced to one year and nine months in prison, to be followed by three years of supervised release.

In the **Western District of Virginia**, a defendant pled guilty pursuant to Rule 11(e)(1)(C), to one count of interstate domestic violence that resulted in serious bodily injury or that was committed with a dangerous weapon. The agreement mandates a sentence of ten years in prison, three years of supervised release, and restitution. The defendant agreed to drive the victim, his former intimate partner, from Elkton to Virginia Beach to get an abortion. During the trip, he asked the victim if she had been faithful to him, and although she responded that she had, he slapped her, causing profuse bleeding. The defendant also made various threats to the victim, including that he should just kill her. He has a significant history of domestic violence against his ex-wife, who agreed to testify for the government.

### **Violence Against Juveniles**

During Fiscal Year 2001, the United States Attorneys continued to pursue the prosecution of those who harm America's children through the commission of criminal acts. This included prosecution of offenses for traveling in interstate or foreign commerce to sexually molest minors and also other child abuse and child pornography offenses.

In July 2001, Assistant United States Attorneys and other Department attorneys who prosecute child exploitation and child pornography cases were provided with advanced training pertaining to successful investigation and prosecution of these types of offenses. Topics covered in the training included the following: searches of computers; presentation of computer evidence in child exploitation cases; an overview of child abuse and pornography crimes/statutes; psychology of offenders; identification of victims; and a discussion of federal and state cooperation in child exploitation investigations. In addition, many United States Attorneys' offices including those in the **Northern District of Alabama, Eastern District of California, District of New Hampshire, Western District of Michigan, Middle District of North Carolina, and District of Wyoming**, each provided training in their respective districts to state prosecutors and/or state law enforcement officers to increase awareness of computers as potential instruments of crime against children. The training programs also covered investigation and prosecution of these offenses.

Because the cooperation of federal, state, and local law enforcement agencies is beneficial, and oftentimes necessary, in these types of cases, the Department has established the Internet Crime Against Children (ICAC) Task Force program. This initiative encourages the formation of regional multi-jurisdictional task forces to prevent and respond to online crimes against children. There are currently over

75 task forces from 39 states participating in the program. ICAC Task Forces have investigated cases that have been prosecuted in both state and federal courts. In addition, the ICAC program has provided education to thousands of children, parents, and educators about online safety for minors. A similar program operating during Fiscal Year 2001 was the Federal Bureau of Investigation's Innocent Images National Initiative which assists with coordination and analysis of multi-jurisdictional case information.

Also in Fiscal Year 2001, the Department provided funding for the CyberTipline in order to encourage reporting incidents of on-line child exploitation. The CyberTipline can be reached by calling 1-800-843-5678 or by going on-line to <http://www.missingkids.com/cybertip>. Programs such as the CyberTipline and multi-jurisdictional initiatives, such as the ICAC Task Forces and Innocent Images, have helped generate new cases that the United States Attorneys' offices have handled to remove predators of children from our communities.

Some of the cases involving violence against juveniles and child exploitation that were successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **District of Utah**, a defendant was sentenced to ten years in prison for producing a video of himself raping his granddaughter, who was under the age of two at the time. The United States District Judge in the case ordered the sentence to be served at the conclusion of the state sentence the defendant is serving for rape, child sodomy, and aggravated sexual abuse of a child. The defendant's first appearance before the state parole authorities is in 2009. While the state prosecuted the rape case, a federal grand jury indicted the defendant on one count of sexual exploitation of a child and one count of possession of child pornography. The possession count was dismissed as part of a plea agreement. The sentencing judge said that given the unspeakable nature of the crime and his belief that the federal charges were unrelated to the state case, he would not agree to a defense request to give the defendant a concurrent sentence.

In the **Eastern District of Washington**, a defendant was sentenced to seven years and six months in prison and three years of supervised release after he pled guilty to travel with intent to engage in a sexual act with a juvenile. The defendant initially contacted the 12-year-old victim on the Internet in a poetry chat room. He traveled to Wenatchee, Washington, to meet the victim, and the two took a bus to Spokane and then to Montana, where they attended Rainbow Fest. From there, they traveled to Kansas, where Federal Bureau of Investigation agents arrested the defendant and returned the victim to her family.

In the **Western District of Wisconsin**, a defendant was sentenced to two years and nine months in prison for possession of child pornography. He was also ordered to pay a \$60,000 fine and to forfeit video and computer equipment used in connection with the crime. In November 1998, the defendant was caught in the men's locker room of the YMCA in Stevens Point, Wisconsin, with a video camera concealed in a duffel bag. The investigation established that the defendant had covertly videotaped nude children in the locker room for five years.

## **Violent Crime in Indian Country**

Nationwide, there are over 550 federally recognized Indian tribes. Federal law creates jurisdiction for most violent felony offenses that occur within Indian country in over 20 federal judicial districts. There is more limited federal Indian country jurisdiction in over 15 other federal judicial districts.

The United States Attorneys continued their efforts to fight violent crime in Indian country during Fiscal Year 2001. Some of this effort has been directed toward bringing services closer to the native people that they serve. Many United States Attorneys' offices, for example in the **Districts of Arizona, Colorado, Idaho, Nevada, South Dakota, Eastern Washington, and Wyoming**, now have branch offices in or near reservation communities. In addition, for Fiscal Year 2001, Congress authorized and appropriated funds for the United States Attorneys to hire 33 new Assistant United States Attorneys to prosecute violent crime in Indian country and another 27 positions for paralegals and support staff.

The United States Attorneys have worked with the Federal Bureau of Investigation, the Bureau of Indian Affairs, and tribal, state, and other national and local law enforcement agencies to form inter-jurisdictional task forces in order to enhance police work and ultimately to strengthen prosecutions. Such task forces operate in the **Districts of Arizona, Nevada, New Mexico, South Dakota, Utah, and Eastern Wisconsin**.

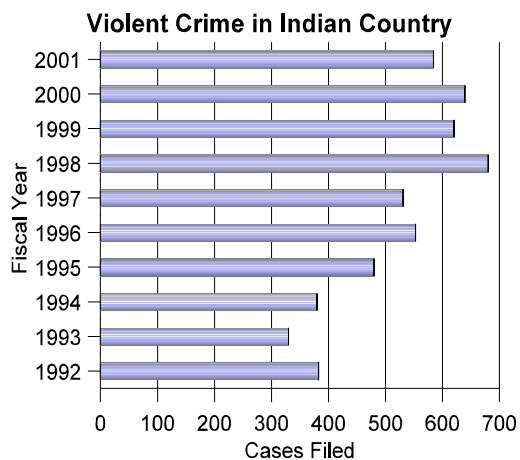
The CIRCLE project is a three-year federal pilot initiative designed to assist tribes to more effectively fight crime, violence, and substance abuse in their communities. The goal of the CIRCLE Project is to enhance tribal governments' response to public safety and to improve the quality of life in three tribal communities. Through the CIRCLE Project, participating tribes are given special consideration for technical assistance and training related to strategy development and implementation, and continue to use funding for law enforcement, tribal courts, detention facilities, and youth programs. The United States Attorneys in the districts where the sites are located play a role in the CIRCLE Project. The three CIRCLE Project sites are the Northern Cheyenne reservation in the **District of Montana**, the Oglala Sioux Pine Ridge reservation in the **District of South Dakota**, and the Pueblo of Zuni in the **District of New Mexico**.

In addition to responding to violent crimes in Indian Country, some United States Attorneys' offices have increased contact with tribal communities through innovative means. For example, the United States Attorney's office for the **District of Arizona** published a detailed 2001 Indian Country Report online at <http://www.usdoj.gov/usao/az/indrpt00.html>. The **District of Nevada** and the **District of New Mexico** each published a newsletter which was sent to tribal communities to provide awareness of Indian country law enforcement activities in their respective districts.

Some districts also co-sponsored regional conferences during Fiscal Year 2001 to address Indian country law enforcement issues common to many tribal communities. Examples of such conferences include: the "District of Nevada Native American Conference" held in Winnemucca, Nevada, which was sponsored by the United States Attorney for the **District of Nevada**; the "Great Lakes Native American Conference: Addressing the Challenges Facing Our Native American Communities" which was co-sponsored by the **Eastern and Western Districts of Michigan, the District of Minnesota, and the Eastern and Western Districts of Wisconsin**; the "Great Plains Regional Conference: Family Violence Is Not a Tradition" which was co-sponsored by the **Districts of Nebraska, North Dakota, South Dakota, and Wyoming**; the "Northwest Regional Native American Conference: Communities Growing Together"

which was co-sponsored by the **Districts of Idaho, Montana, Oregon, and Eastern Washington**; and the “Oklahoma/Kansas Conference on Child Abuse and Neglect: Cooperation and Collaboration Between Prevention, Intervention, and Treatment” which was co-sponsored by the **District of Kansas, and the Eastern, Northern, and Western Districts of Oklahoma**. The “Four Corners Indian Country Conference: Interjurisdictional Cooperation - Keys To Justice For Victims” which was scheduled to begin on September 12, 2001, was cancelled due to the terrorist attacks of September 11<sup>th</sup>. This conference had been scheduled to be held in St. George, Utah, and was being co-sponsored by the **Districts of Arizona, Colorado, New Mexico, and Utah**.

During Fiscal Year 2001, the United States Attorneys filed a total of 584 cases charging 677 defendants with violent crimes in Indian Country, representing a decrease of nine percent in the number of cases filed and a decrease of four percent in the number of defendants filed when compared to the prior year. In addition, 589 cases against 653 defendants were terminated which represented an increase of seven percent in cases and three percent in defendants terminated when compared to the prior year. Eighty-six percent of the defendants whose cases were terminated during the year were convicted. Seventy-eight percent of all convicted defendants were sentenced to prison, with 121, or 28 percent, of these defendants sentenced to terms of five years or more in prison, including two life sentences.



Cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **District of Idaho**, a woman who admitted stabbing a man to death at his home on the Fort Hall Indian Reservation was sentenced to 14 years in prison. The defendant admitted meeting the victim at a bar and going with him to his house. During the dispute that followed, the defendant stabbed the victim seven times. She fled in his pickup truck, abandoned it along I-15 in southeast Idaho, and was later arrested at a motel in Wendover, Utah.

In the **District of Nevada**, a defendant, who was a 56-year-old non-Indian, was sentenced for the second degree murder of a four-year-old Indian child on the Duck Valley Indian Reservation in Owyhee, Nevada. The deceased child was one of triplet girls placed in the defendant's home for foster care. She died of blunt force trauma inflicted by the defendant as "discipline." The defendant asked for the court's forgiveness, but the court sentenced the defendant to the maximum sentence under the United States Sentencing Guidelines, 12 years and seven months in prison, followed by five years of supervised release.

In the **Western District of Washington**, a defendant, age 18, was sentenced to nine years in prison, followed by five years of supervised release, for second degree murder in



Indian Country. The defendant, an enrolled member of the Lummi Indian Nation, pled guilty to the charge, admitting that in the early morning hours of February 23, 2000, he killed his 77-year-old victim after entering the victim's mobile home on the Lummi Reservation. The defendant further admitted that he killed the victim by strangling him.

### **Violent Street Gangs**

Street gangs have been part of America's urban landscape for most of the country's history. However, historically, most street gangs were small groups involved in delinquent acts or relatively minor crimes. Increasingly today, there are different types of street gangs. Individual members, gang cliques, or entire gang organizations traffic in drugs, commit shootings, assaults, robbery, extortion, and other felonies, and terrorize neighborhoods. Some of the most ambitious gangs have spread out from their home jurisdictions to other cities and states. An increasing number of gangs are supported by the sale of cocaine, heroin, and other illegal drugs, and have access to more firepower than the average police officer. The United States Attorneys, along with other prosecutors throughout the country, are striving to give communities relief from these violent gangs by building strong cases that remove violent gang members from the streets. The United States Attorneys have successfully prosecuted violent gang members, and have seen harsh sentences handed down. Prosecutions handled during Fiscal Year 2001 include the following:

In the **District of Columbia**, a federal jury returned a sentencing verdict of life in prison without the possibility of parole for a defendant, the leader of the 1-5 Mob, thereby sparing him from the death penalty. The verdict concludes a seven-month prosecution in which the defendant and five members of his organization were convicted of various offenses, including narcotics conspiracy, RICO conspiracy, Continuing Criminal Enterprise murder, and possession with intent to distribute heroin, cocaine, and cocaine base. Various members of the organization were also convicted of first-degree murder, numerous assaults with intent to murder, and various federal and local firearms violations. The investigation and subsequent indictment of the 1-5 Mob was the result of the Federal Bureau of Investigation/Metropolitan Police Department joint investigation, "Safe Streets Task Force," which focused on the 1-5 Mob's violent narcotics trafficking operation in the Stanton Dwellings public housing complex in Southeast, Washington, DC. The organization was led by the defendant, who supplied cocaine, cocaine base, and heroin to the other defendants, who then sold the drugs to Virginia, Maryland, and DC customers who came to their neighborhood. Between 1985 and 1998, this organization was responsible for the distribution of hundreds of kilograms of cocaine, cocaine base, and heroin. The defendant and members of his organization were also convicted of numerous shootings in Southeast Washington, Prince George's County, Maryland, and Alamance County, North Carolina. The jury found that the defendant and members of the organization frequently used violence for various purposes -- to protect themselves from rival drug dealers, to engage in retaliatory acts, to wage wars over drug territory with rival drug gangs, and to silence potential witnesses. Evidence was presented identifying several expensive foreign automobiles, furs, jewelry, a recording studio, and other businesses purchased with the illegal drug proceeds.

In the **Northern District of Indiana**, a defendant was sentenced to three life sentences plus five years in prison, fined \$25,000, and ordered to pay \$6,171 in restitution after a two-week trial in which he and a co-defendant were convicted on drug and murder charges. The restitution is to be paid to the victim's mother, to reimburse her for funeral expenses for the burial of her son, who was killed as a result of the drug activities of the defendant's gang, the 22nd Avenue Boys, a faction of the Vice Lords. The trial was the fifth in connection with "Operation Catch 22," an intensive investigation by the Northwest Indiana Gangs Task Force working in conjunction with the Gary Response Investigative Team. The defendant was found guilty of all charges, including separate counts of causing the deaths of two victims. To date, 12 members or associates of the 22nd Avenue Boys have been convicted of conspiracy to distribute controlled substances and related charges.

In the **Southern District of New York**, a defendant, known as "Pistol Pete," the leader of a gang known as Sex, Money and Murder (SMM), was sentenced to life plus 105 years' imprisonment without the possibility of parole on racketeering and related charges. In 1998, the defendant and ten others were charged in a 21-count federal racketeering indictment with being members or associates of SMM, a violent Bloods gang whose members were responsible for a series of murders and attempted murders that left eight people dead and at least four others seriously injured. The indictment also charged that the defendant and others trafficked in cocaine and cocaine base in New York City, Pittsburgh, and North Carolina. The defendant pled guilty to the charges, accepting a mandatory life sentence and restrictive conditions of confinement, including 23 hour-per-day lockdown. He admitted murdering or ordering the murders of six people, attempting to murder one person, and conspiring to kill another two people who were ultimately killed by members of his gang. His sentencing and prior arrests of his associates are part of a broader effort to suppress gang activity in the 43rd Precinct. These efforts have focused not only on gang activity, but also on preventive measures, such as the opening of a Safe Haven in a neighborhood school that now serves 700 children and their families. This is a collaborative effort funded through the Department of Justice's Weed and Seed Program. As each gang member has been sentenced, notices have been posted of the sentence received. The investigation into SMM has so far resulted in the convictions of 13 former members and indictments of a number of others.

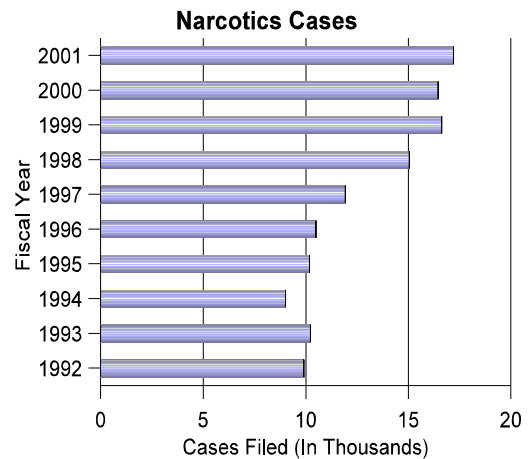
## **Narcotics Prosecutions**

The drug problem in the United States is a far-reaching and all-encompassing one that continues to weigh heavily on our communities. The United States Attorneys remain committed not only to eliminating the drug supply by prosecuting drug traffickers, but also by working on reducing the demand for drugs through training and prevention programs.

The United States Attorneys' drug strategy is to target and prosecute significant drug traffickers and highly structured drug organizations. Task forces are utilized throughout the country to benefit from the expertise and criminal intelligence of federal, state, and local law enforcement officials. The Organized Crime Drug Enforcement Task Forces (OCDETF) are an integral element of the United States

Attorneys' drug enforcement effort and focus attention on significant drug traffickers and organizations, including violent drug offenders, organized gangs, money laundering organizations, and corrupt public officials.

During Fiscal Year 2001, the United States Attorneys again directed substantial resources to the prosecution of narcotics and OCDETF cases. These cases represented 32 percent of all criminal cases filed during the year. During Fiscal Year 2001, a total of 17,200 cases were filed against 29,896 drug defendants and classified under the Narcotics and Dangerous Drugs (Non-OCDETF) and the OCDETF program categories. See Table 3. This represents an increase of five percent in the number of cases filed and three percent in the number of defendants filed when compared to the prior year. A total of 15,666 cases against 27,598 defendants were also terminated, representing increases of ten percent in the number of cases terminated and 11 percent in the number of defendants terminated when compared to the prior year. Ninety-two percent of all terminated defendants were convicted, the highest narcotics conviction rate over the last several years. Of the convicted defendants, 23,025, or 91 percent, were sentenced to prison. Of the defendants sentenced to prison, 8,719, or 38 percent, were sentenced to terms of five years or more in prison, including 109 life sentences.

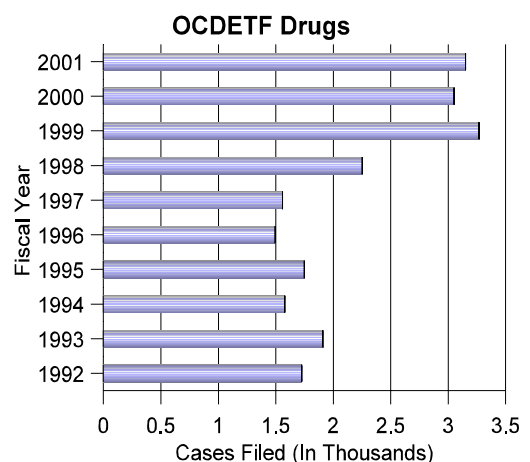


As noted in the Violent Crime section, beginning in Fiscal Year 1996 Table 3 was broken out further to display those narcotics cases that are classified under the Violent Crime and the Government Regulatory Offense/Money Laundering program categories. Of the cases falling under these two program categories during Fiscal Year 2001, 420 cases filed against 718 defendants were narcotics cases.

**OCDETF**

During Fiscal Year 2001, the Department began work to reinvigorate the OCDETF Program. The Attorney General and Deputy Attorney General look to the United States Attorneys to restore the OCDETF program to the focused and vital force it was and is becoming again. Under the OCDETF Program, attorneys across the country work closely with agents from federal investigative agencies and state and local law enforcement officials to target the most serious drug trafficking offenders in their districts and to dismantle those organizations through sophisticated investigations and prosecutions.

The United States Attorneys filed 3,151 OCDETF cases against 8,539 defendants during Fiscal Year 2001. This represents an increase of three percent in the number of cases filed and an increase of three percent in the number of defendants filed, when compared to the prior year. In



addition, 2,906 cases against 8,297 OCDETF defendants were terminated, representing an increase of six percent in the number of cases terminated and a nine percent increase in the number of defendants terminated, when compared to the prior year. Ninety-one percent of the defendants whose cases were terminated during Fiscal Year 2001 were convicted. Ninety percent of the convicted defendants were sentenced to prison. Of the defendants sentenced to prison, 3,499, or 52 percent, were sentenced to prison terms of five years or more, including 66 life sentences.

As noted in the section on Violent Crime, during Fiscal Year 1996 Table 3 was broken out further to display those narcotics cases that are classified under the Violent Crime and the Government Regulatory Offenses/Money Laundering program categories. This display of the data allows users of Table 3 to see all narcotics cases, without double counting cases. As shown on Table 3, an additional 84 OCDETF cases were filed against 113 defendants during Fiscal Year 2001, but were classified under the Violent Crime program category.

OCDETF drug cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Western District of Michigan**, a defendant was sentenced to life in prison plus 50 years following his jury conviction for drug conspiracy, firearms murder, and weapons violations. The defendant was one of two gunmen who was sent to collect a cocaine debt from a customer in Grand Rapids, Michigan. The customer was shot in the head with a sawed-off shotgun and seriously injured. The customer's girlfriend was killed instantly by another blast of the shotgun. The defendant's federal sentence will not begin until he completes a 20-40 year state sentence for an unrelated bank robbery. Another co-defendant, the drug dealer who solicited the shooting, was previously sentenced to consecutive life terms following his conviction in a separate trial. His wife pled guilty to reduced charges and was sentenced to seven years in prison. This case was the result of a joint OCDETF investigation by the Grand Rapids Police Department and the Bureau of Alcohol, Tobacco and Firearms.

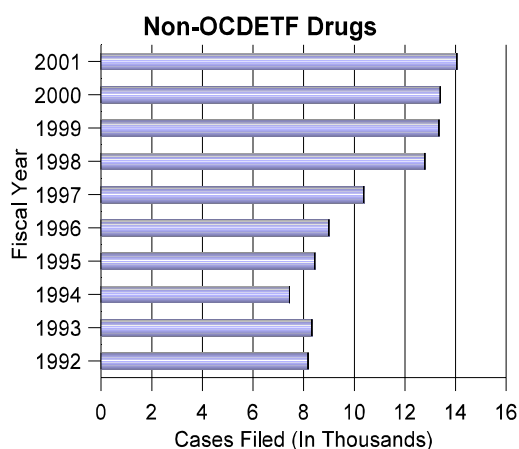
In the **District of Nevada**, following an eight-day jury trial, a defendant was convicted of manufacturing methamphetamine. The defendant, who had three prior felony convictions for drug manufacturing-related offenses and has served approximately two years and three months in state prison, received the statutory maximum sentence of 30 years in prison, to be followed by six years of supervised release. He acquired large quantities of materials used for manufacturing methamphetamine, such as pseudoephedrine, established methamphetamine manufacturing laboratories at multiple locations in Las Vegas, and taught at least five other individuals how to "cook" methamphetamine. Agents from the Drug Enforcement Administration and Las Vegas Metropolitan Police Department detectives found the defendant's fingerprints in three methamphetamine laboratories over a five-month period. The case arose from an OCDETF operation which targets for federal prosecution large-quantity and recidivist methamphetamine "cooks" in southern Nevada. More than 30 defendants have been prosecuted under this initiative.

In the **Middle District of Tennessee**, a defendant and four others were sentenced for their involvement in one of Nashville's largest cocaine distribution rings. The defendant was sentenced to life in prison and was fined \$4 million. During a subsequent hearing, he was also ordered to forfeit \$15 million and a 1992 Mercedes Benz vehicle as proceeds of drug trafficking. His co-defendants were sentenced to 15 years in prison followed by ten years of supervised release, to eight years and nine months in prison followed by five years of supervised release, to five years in prison followed by five years of supervised release, and to seven years in prison followed by five years of supervised release, respectively. So far, 28 defendants have pled guilty as a result of this four-year OCDETF investigation in which the Metropolitan Nashville Police Department assisted various federal agencies.

In the **Western District of Virginia**, eleven defendants were sentenced to prison terms ranging from three years and ten months in prison to 21 years and ten months in prison for a variety of drug trafficking offenses charged as a result of an OCDETF investigation that revealed a multi-drug distribution network headed by three of the defendants. The organization operated out of the Winchester, Virginia, area and in portions of West Virginia. Starting in 1998, using two of the leaders' contacts in Texas and North Carolina, the organization obtained more than a 1,000 kilograms of marijuana and multiple kilograms of both cocaine and methamphetamine. They also obtained and distributed small quantities of heroin, LSD, ecstasy, and PCP. After receiving the larger shipments, two of the leaders would divide the loads and deliver smaller quantities to co-defendants, who used their own distribution networks to reach street level drug users. The sentenced defendants included driver/couriers, a "bookkeeper," and street level dealers, as well as two of the leaders. The continuing investigation has spun-off into prosecutions in the Middle District of Florida and the Western District of Texas.

**Non-OCDETF Drugs**

In addition to OCDETF cases, a total of 14,049 cases were filed by the United States Attorneys against 21,357 non-OCDETF drug defendants during Fiscal Year 2001 and classified under the Narcotics and Dangerous Drugs (Non-OCDETF) program category. This represents an increase of five percent in the number of cases filed and an increase of three percent in the number of defendants filed, when compared to the prior year. A total of 12,760 cases against 19,301 non-OCDETF drug defendants were also terminated, representing an increase of 11 percent in the number of cases terminated and an increase of 12 percent in the number of defendants terminated, when compared to the prior year. Ninety-two percent of all terminated defendants were convicted, with 91 percent of the convicted defendants sentenced to prison. Of the 16,265 defendants who were sentenced to prison, 5,220, or 32 percent, were sentenced to prison terms of five years or more, including 43 defendants who were sentenced to life in prison.



As noted in both the Violent Crime and the OCDETF sections, beginning in Fiscal Year 1996 Table 3 was broken out further to display those narcotics cases that are classified under the Violent Crime and the Government Regulatory Offense/Money Laundering program categories. Of the cases falling under these two program categories during Fiscal Year 2001, 336 cases filed against 605 defendants were non-OCDETF drug cases.

Non-OCDETF drug cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **District of Colorado**, a defendant, a member of the Mexican Criminal Mafia, was sentenced to 60 years in prison for various drug trafficking offenses. The defendant was involved in the large-scale distribution of cocaine, crack, methamphetamine, and marijuana. He was one of 35 individuals named in three separate indictments returned by a federal grand jury in Denver, Colorado. One of his co-conspirators was previously sentenced to 51 years in prison, and another was sentenced to 30 years and five months in prison for related drug trafficking offenses.

In the **Southern District of Florida**, a former Jamaican Shower Posse leader and violent international drug trafficker was sentenced to life in prison. The defendant was convicted in December 2000 of conspiring to import more than 100 kilos of cocaine into Miami from the island of St. Kitts. The case was the result of a United States Customs Service and Drug Enforcement Administration investigation of employees of AmeriJet International who were using the freight air carrier to smuggle cocaine. The investigation connected to a parallel investigation being conducted on St. Kitts by New Scotland Yard. That investigation centered on the assassination of a St. Kitts Police Superintendent and the disappearance of two citizens, one of whom was suspected of being involved in the cocaine smuggling conspiracy. Members of New Scotland Yard were able to discover a cassette tape of the defendant and others discussing plans to ship the cocaine to Miami via AmeriJet. The recording was made by the victim, whom the defendant eventually killed because he knew too much.

In the **Northern District of Ohio**, "Operation Wild West," conducted by the Federal Bureau of Investigation and the Caribbean Gang Drug Task Force, ended on May 31, 2001, with the sentencing of the last of 39 defendants involved in crack cocaine trafficking in Cleveland. The operation was part of the district's violent crime initiative aimed at combating violent crime, youth gang criminal activity, and drug trafficking in selected Cleveland neighborhoods. During the investigation, undercover agents made more than 70 controlled purchases of crack cocaine, and tens of thousands of dollars worth of crack cocaine was recovered. Seven indictments charging 39 individuals were returned. The defendants received sentences ranging from three to 15 years in prison.

In the **Western District of Oklahoma**, the self-proclaimed "head chef" of Oklahoma City methamphetamine cooks, was sentenced to 200 years in prison following his conviction on charges of manufacturing and distributing methamphetamine, conspiracy, possession of chemicals and equipment used in manufacturing a controlled substance, various firearms violations, and conspiracy to escape from a penal institution. Some of the

defendant's activities occurred in the Oklahoma City Weed & Seed area. Two co-defendants were each sentenced to 30 years in prison on some of the same charges. Six additional defendants were previously sentenced for their participation in the drug conspiracy and the conspiracy to escape from a penal institution.

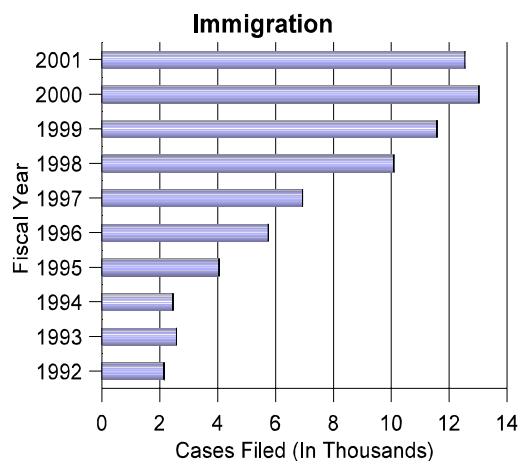
## **Immigration**

### **Border Enforcement**

Preliminary statistics from the Immigration and Naturalization Service (INS) show that more than 174,000 undocumented immigrants, 40 percent of whom had criminal records, were removed from the United States during Fiscal Year 2001. Although efforts have been stepped up in recent years by INS and the United States Attorneys, more work needs to be done to enforce our immigration laws not only preventing illegal immigration, but also dealing with the ramifications of illegal immigrants through the justice system. During Fiscal Year 2001, the United States Attorneys continued to work with the INS and other Department components in taking an aggressive stance to secure the borders of the United States.

The United States Attorneys on the Northern border are coordinating their efforts to address the increasing number of cross-border crimes including alien smuggling, telemarketing fraud, traditional organized crime, and the smuggling of drugs, currency, firearms, alcohol, and tobacco. The efforts of the United States Attorneys' offices along the Northern border have been focused on increasing law enforcement resources on the Northern border, enhancing cross-border intelligence sharing, and conducting joint training with Canadian and United States prosecutors to enhance mutual understanding of each other's criminal laws and procedures. Efforts at improving working relationships between United States and Canadian law enforcement agencies and prosecutors have also been advanced through the establishment of the United States/Canadian Cross-Border Crime Forum.

The United States Attorneys filed 12,537 immigration cases against 13,433 defendants during Fiscal Year 2001, representing a four percent decrease in the number of cases filed and a five percent decrease in the number of defendants filed when compared with the prior year. Ninety-five percent of the 13,094 defendants whose cases were terminated during Fiscal Year 2001 were convicted, with 83 percent of the convicted defendants sentenced to prison. The immigration caseload represented 24 percent of all criminal cases filed by the United States Attorneys during Fiscal Year 2001. Sixty-three percent of the immigration cases filed during Fiscal Year 2001 were filed by the five United States Attorneys' offices along the Southwest border.



Immigration cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **District of Alaska**, a defendant, the owner of two local companies, was sentenced to two years and six months in prison and ordered to pay \$224,132.90 in restitution for back wages to 29 former employees after he pled guilty to accepting counterfeit immigration documents, harboring illegal aliens, encouraging aliens to remain in the United States illegally, and witness tampering. The defendant admitted that he knowingly employed illegal aliens with counterfeit documents, and that he provided housing for some workers to hide them from immigration authorities. He instructed his illegal workers that if they were ever caught by the Immigration and Naturalization Service (INS), they should lie to the INS and say it was their first day working for the defendant. He further told the illegal workers that if they were deported, he would send money to them and help them return to Alaska. The defendant was also seen threatening an employee to keep the employee from going to authorities. During the time he employed illegal aliens, the defendant consistently failed to pay required overtime wages for work in excess of 40 hours per week. The defendant told his workers that the legals would be paid first, and if the money ran out, the illegal workers would get nothing. He completely missed his payroll and failed to pay workers for any work done in June 1999 when the INS made its sweep and discovered the illegal aliens.

In the **District of Arizona**, all five defendants in this case were sentenced to life in prison. The life sentences included consecutive terms of years, and there is no possibility of parole. The defendants were convicted of charges including hostage taking resulting in death, possession or use of a firearm during a crime of violence, and illegal alien in possession of a firearm. During the nine-week trial it was established that ten Mexican nationals who had entered the United States illegally, were taken at gunpoint from a drop house located in Phoenix, Arizona. The hostages were transported to a motel in Phoenix, and while in the motel room the defendants brandished and loaded their guns in an effort to intimidate the hostages. A ransom meeting was arranged for the return of three hostages to their family members. When the defendants arrived to receive their ransom, they were confronted by the original smugglers from whom the aliens had been taken. A shoot-out ensued during which three people were killed, including one of the original smugglers, one of the kidnapers and a 15-year-old boy being held hostage.

In the **Northern District of California**, a defendant was sentenced to eight years and one month in prison following a guilty plea of one count of conspiring to commit immigration fraud, two counts of transportation of minors for illegal sexual activity, and one count of subscribing to a false tax return. The defendant was also ordered to pay \$2 million to three sexual abuse victims and the parents of a teenager who died of monoxide poisoning. He admitted that between 1986 and January 2000, he arranged for the entry of between 25 and 99 Indian nationals into the United States using fraudulent visas. Some of the aliens were vulnerable victims because they were young women and girls who came from poor families in India, and who were dependent upon the defendant for employment, housing, sustenance, and income, both in India and in the United States. The defendant's brother and sister-in-law pled guilty to conspiring with him to commit immigration fraud.



His sons are charged with conspiracy to bring aliens into the United States illegally and other related offenses, including the importation and harboring of aliens for immoral purposes and travel in foreign commerce for sex with a juvenile.

In the **District of Utah**, a Miami couple convicted of operating an immigration fraud scheme, was sentenced to prison terms and ordered to pay close to \$250,000 in restitution. The husband was sentenced to ten years in prison and his wife to six years and six months in prison. A jury found the defendants guilty of 26 counts of conspiracy, wire fraud, mail fraud, and interstate transportation of a victim in a scheme to defraud. The defendants bilked at least 1,250 victims in more than 20 states, including more than 250 victims in Utah, by falsely holding themselves out to be attorneys or expert consultants in the immigration area. They also claimed to have insider connections with the Federal Bureau of Investigation and the Immigration and Naturalization Service that would help speed their clients' applications for green cards, while at the same time protect them from being deported while their residency applications were pending. Immigrants were charged an initial application fee of \$1,500 to \$10,000. The defendants then had the victims come to Miami, telling them that they had been scheduled for a personal interview with the Immigration and Naturalization Service. The defendants then asked for more money to pay for additional processing, medical examinations, and background checks. When they had obtained the bulk of the money they demanded, the defendants stalled their victims by creating excuses for delays in the process and repeated promises that the applications were successfully being processed. Later they would refuse calls. The federal judge sentencing the defendants ruled that evidence showed that the defendants defrauded immigrants of between \$1.5 million and \$2.5 million.

### **Anti-Smuggling Initiative**

During Fiscal Year 2000, the Alien Smuggling Task Force was created to coordinate the Department's interagency efforts to combat alien smuggling. The Task Force works with various agencies, including the United States Attorneys' offices, the Immigration and Naturalization Service, the Federal Bureau of Investigation, and other components of the Department that are involved in enforcing laws against alien smuggling and related crimes. The Task Force also works with the Department of State, the intelligence community, the United States Coast Guard and other parts of the federal government as well as with foreign governments, on smuggling related matters. In addition, the Task Force is responsible for helping Assistant United States Attorneys with legal issues which arise in immigration-related criminal cases. Finally, the Task Force prosecutes some alien smuggling and related crimes directly.

Illegal aliens are often smuggled into the United States under extremely dangerous conditions. They are vulnerable to the brutality of smugglers, and may face extreme and inhumane conditions due to climate or methods of transportation. The Task Force has recently helped to establish a bilateral working group with Mexico on issues related to alien smuggling and trafficking in persons. The Task Force also participates in bilateral discussions with China, Cuba, and other countries concerning alien smuggling issues.

During Fiscal Year 2001, the United States Attorneys filed 1,784 cases against 2,605 defendants, which represents a decrease of ten percent in both cases and defendants filed in comparison to Fiscal Year

2000. Ninety-one percent of the 2,536 defendants whose cases were terminated during the year were convicted, with 78 percent of these defendants sentenced to prison. Seventy-seven percent of the cases filed during the year were filed in the five Southwest border districts. Examples of successful anti-smuggling prosecutions during Fiscal Year 2001 include the following:

In the **District of Arizona**, a defendant, of Mexico, was sentenced to eight years in prison after a jury found him guilty of alien smuggling. The defendant led a family of six on a journey through the Sonoran Desert where temperatures exceeded 110 degrees with only ten gallons of water. The family was found by United States Border Patrol agents after spending three days in the desert. The mother was airlifted to a regional medical center with a body temperature of 108 degrees and was determined to have suffered severe heat stroke and third degree burns to 15 percent of her body. She required multiple skin grafts.

In the **Central District of California**, a defendant was sentenced to life in prison following his conviction on six felony counts, including conspiracy to transport and harbor illegal aliens, harboring and transporting illegal aliens and causing serious bodily injury to them, hostage-taking, and assaulting a federal officer with a deadly weapon. The defendant brought illegal aliens into the United States and ordered the physical abuse of the aliens if smuggling fees were not promptly paid. In late 1999, several aliens were severely beaten and two women were raped by smugglers. The defendant and his co-conspirators were captured after authorities found two aliens who were beaten and dumped in a remote area north of Los Angeles. Six other defendants have pled guilty. The defendant was convicted in another alien smuggling case in the district that led to a 35-year prison sentence.

In the **Southern District of California**, a defendant was sentenced to three years and five months in prison as a result of her participation in a smuggling organization which operated at a mobile home park in Chula Vista, California. The defendant was the matriarch of a smuggling organization which provided false immigration documents to undocumented aliens, and guided them through the port of entry. The undocumented aliens were then transported in Chula Vista and then to Los Angeles. Once in the Los Angeles area, they were delivered to their United States sponsors in exchange for a \$1,500-\$2,500 per person smuggling fee.

In the **Southern District of Florida**, a defendant was sentenced to five years in prison, three years of supervised release, and a \$3,900 special assessment following his guilty plea to charges of conspiracy, smuggling aliens into the United States, and smuggling aliens for profit. In late evening on August 15, 2000, Fisher Island security officers discovered 18 Haitian migrants walking along a roadway. They also saw the defendant fleeing the scene on foot, jumping into the water, and swimming in the direction of nearby Virginia Key. Strong currents prevented the defendant from making it across and he returned to shore, where he was apprehended. Officers found the smuggling vessel abandoned and floating near the area where the migrants were first seen. On board the boat they discovered the body of a young Haitian woman who had died during the trip.

In the **Central District of Illinois**, a citizen of the Czech Republic was sentenced to six years and seven months in prison and fined \$2,500 for hiring and recruiting Czech

aliens for employment as janitors and exotic dancers in the United States. He was charged with harboring aliens, encouraging aliens to reside in the United States, money laundering, engaging in a pattern of unlawfully hiring aliens, and marriage fraud. During an eight-day trial, the government presented more than 700 exhibits and testimony by more than 30 witnesses, including 12 Czech citizens who, according to their testimony, were harbored and employed by the defendant.

### **Detention and Removal of Criminal Aliens**

Criminal aliens--non-citizens who commit crimes--are a growing threat to public safety and national security, as well as a continuing drain on our criminal justice resources. For years, criminal aliens formally deported by the INS, after the commission of serious felonies, repeatedly found their way back into the country only to commit further serious felonies. Once again in Fiscal Year 2001, the United States Attorneys handled an increased number of prosecutions brought for attempted re-entry of aliens previously convicted of felonies and deported. A total of 8,786 cases were filed against 8,887 defendants during the year, representing a six percent increase in the number of cases filed and a six percent increase in the number of defendants filed when compared with the prior year. Eighty-seven percent of the 8,288 defendants whose cases were terminated during Fiscal Year 2001 were convicted. Of the convicted defendants, 94 percent were sentenced to prison. During Fiscal Year 2001, 64 percent of the criminal alien cases filed by the United States Attorneys were filed by the five Southwest border districts.

Successful criminal re-entry prosecutions handled by the United States Attorneys during Fiscal Year 2001 include the following:

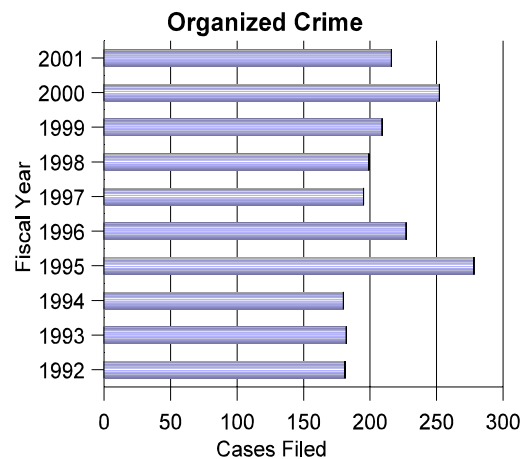
In the **District of Arizona**, a defendant was sentenced to ten years in prison following his conviction on three counts of assault on a federal officer and one count of illegal re-entry. The evidence at trial proved that while United States Border Patrol agents were performing an inspection of the Grand Avenue tunnel in Nogales, Arizona, they encountered a group of suspected undocumented aliens. When the agents attempted to apprehend the group, everyone in the group, except the defendant, fled back to Mexico. While the three agents attempted to arrest the defendant, he fought vigorously with the agents, dragging them with him while attempting to flee back to Mexico. During the scuffle, the defendant managed to get his hand on one agent's weapon, which was holstered and was able to unsnap the holster. The agent immediately gained control of the weapon and secured it. The defendant bit one of the agents in the forearm and attempted to punch the agents before they were able to handcuff and secure him. The defendant is an undocumented alien with an extensive criminal record and numerous prior deportations.

In the **Eastern District of Washington**, a defendant was sentenced as a career offender to 15 years and eight months in prison after pleading guilty to charges of distributing methamphetamine and being an alien in the United States after deportation. The defendant, who sold the methamphetamine to an undercover officer, qualified as a career offender as a result of two state drug trafficking convictions and prior Section 1326 convictions. A co-defendant, who cooperated in the investigation and was a first-time offender, was sentenced to two years in prison following her guilty plea to methamphetamine distribution.

## Organized Crime

With the availability of modern technology, and the emergence of organizations from all over the world, organized crime operations are becoming ever more sophisticated and far reaching. During Fiscal Year 2001, the United States Attorneys continued to work to eliminate the acts of violence and the many criminal enterprises of the La Cosa Nostra families and their associates as well as other non-traditional organized crime groups. Organized crime can encompass violations relating to gambling, extortion, drug trafficking, and the infiltration of legitimate business. According to the Federal Bureau of Investigation in a statement to the House Judiciary Committee, Subcommittee on Crime, in December 2000, it is no longer possible to consider organized crime, drug trafficking and terrorist acts as insular, distinct activities that can be contained and eradicated through traditional enforcement. These have become integrated activities which have a far-reaching impact on our national interest, and we must understand all aspects of these threats in order to effectively address them.

During the year, the United States Attorneys filed a total of 216 cases against 499 defendants that were classified under the Organized Crime program category. This represents a 14 percent decrease in the number of cases filed and a 17 percent decrease in the number of defendants filed when compared with the prior year. Additionally, a total of 199 cases against 471 defendants were terminated which represents an increase of 19 percent in the number of cases terminated and 20 percent in the number of defendants terminated over the prior year. Of those defendants whose cases were terminated during Fiscal Year 2001, 85 percent were convicted. Fifty-seven percent of these defendants received prison sentences, with four life sentences obtained. Also during Fiscal Year 2001, an additional 47 organized crime cases were filed against 100 defendants, but were classified under the Violent Crime program category.



Cases successfully prosecuted during Fiscal Year 2001 include the following:

In the **District of Massachusetts**, after more than six years of pre-trial litigation, a defendant was sentenced in two separate hearings in United States District Court on charges of extortion, money laundering, and obstruction of justice. He was sentenced in the first hearing to ten years in prison following his guilty plea to six counts of extortion charged against him in 1995 and one count of money laundering conspiracy charged in 1997. The defendant was sentenced in a second hearing to three years and five months in prison following a guilty plea to conspiracy and obstruction of justice charges filed against him in a 1999 indictment. As a result of these sentencing, three of the four federal cases brought against the defendant are now resolved. The co-conspirator remains a fugitive and has been named to the Federal Bureau of Investigation's Ten Most Wanted list. According to the terms of the plea agreement, in addition to his terms of imprisonment, the defendant must forfeit approximately \$2 million worth of real estate and cash.

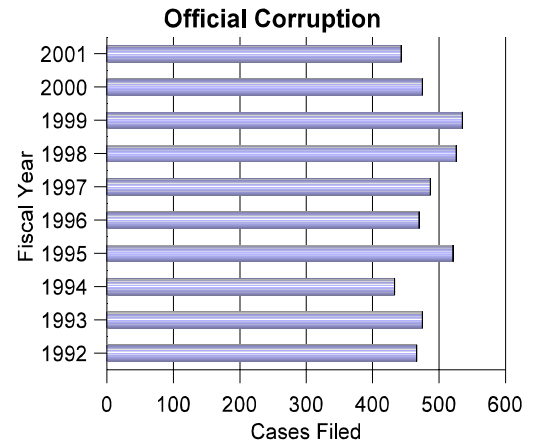
In the **District of Nevada**, defendants received sentences ranging from 25 years in prison to five years of probation following a seven-year investigation and prosecution of over 22 Los Angeles and Buffalo La Cosa Nostra (LCN) members and associates in Las Vegas, Nevada. The investigation, known as the “Thin Crust” case, was prosecuted primarily through a 50-count indictment which charged 18 of the defendants with violating various federal statutes. The indictment charged that the defendants and other individuals in Las Vegas associated with the Los Angeles and Buffalo LCN families, engaged in a large number and wide array of racketeering acts, including the extortion and murder-for-hire of a former associate of the Chicago LCN, the extortion of several individuals and businesses, money laundering, mail and wire fraud involving counterfeit cashier checks, counterfeit traveler’s checks, false vehicle theft claims, fake diamonds, possession of counterfeit currency, and interstate movement of stolen property. The indictment’s most serious charges included participation in a racketeering enterprise, conspiracy to participate in a racketeering enterprise, murder in aid of racketeering activity, use of an interstate facility in commission of murder-for-hire, and extortion. The investigation was conducted by the Federal Bureau of Investigation, with assistance from the Las Vegas Metropolitan Police Department Intelligence and Homicide Divisions and the Nevada Department of Investigation.

In the **Eastern District of New York**, a captain in the Luchese Organized Crime Family of La Cosa Nostra who was indicted with six other defendants pled guilty to extortion charges. The indictment alleged that the defendant, who served as organized crime's leader of the Long Island carting industry cartel, perpetuated his control of the industry by repeatedly having fires set to the trucks and buildings of carting companies that attempted to compete for carting business on Long Island. The defendant is currently serving a ten-year prison sentence for his involvement in the conspiracy to kill two victims, Long Island carters who were murdered in their office in August 1987. In pleading guilty, he admitted continuing his extortion of the Long Island carting industry and was sentenced to an additional five years in prison. With his son, he has agreed to forfeit to the government \$6.5 million of ill-gotten gains from the extortion. The son also pled guilty to extortion in the carting industry, and the defendant's son-in-law pled guilty to racketeering, including—on behalf of the Luchese family—a September 15, 1992, arson of the trucks and a November 29, 1991, arson of the premises of a tire service company. The son received a sentence of five years in prison and has agreed to repay \$1 million in federal back taxes, in addition to the \$6.5 million forfeiture. The son-in-law was sentenced to three years and six months in prison. Previously, a Luchese family associate, the operator of two carting companies, also pled guilty to racketeering, arson for insurance money, and extortion. The associate received a sentence of six years and eight months in prison and agreed to forfeit \$350,000 to the government. Another co-defendant, also a Luchese family associate, pled guilty to assisting the associate in an arson and to assisting the defendant in committing tax fraud and received a sentence of one year and six months in prison. Two other defendants pled guilty to arson and misprision of a felony and were sentenced to two years in prison and three years of probation, respectively.

## Official Corruption

The United States Attorneys handle public corruption cases to ensure that the trust of the American people in their public officials is not betrayed. Over the years, federal and state legislators, governors, judges, and other federal, state, and local public officials have been prosecuted for violating their oaths of office. During Fiscal Year 2001, the United States Attorneys continued their prosecution of public officials and employees for misconduct in, or misuse of, office, including attempts by private citizens to bribe or otherwise corrupt public employees. These prosecutions included bribery, graft, conflicts of interest, and other violations by federal, state, and local officials and law enforcement personnel. The unique nature of the federal criminal justice system provides maximum support in prosecuting these sensitive and often complex cases.

During Fiscal Year 2001, the United States Attorneys filed 443 criminal cases charging 597 defendants with official corruption, representing a seven percent decrease in the number of cases filed and a four percent decrease in the number of defendants filed when compared to the prior year. The United States Attorneys also terminated 484 cases against 633 official corruption defendants during the year. This represents an increase of nine percent in cases terminated and an increase of eight percent in the number of defendants terminated when compared to the prior year. A total of 563, or 89 percent, of the terminated defendants were convicted. Of the convicted defendants, 259, or 46 percent were sentenced to prison.



The Official Corruption program category consists of several case categories. These categories, including the number of cases filed during Fiscal Year 2001 and the percentage of change from Fiscal Year 2000, are as follows:

| Program Category              | Cases Filed in Fiscal Year 2001 | Percent Change from Fiscal Year 2000 |
|-------------------------------|---------------------------------|--------------------------------------|
| Federal Procurement           | 45                              | -2.2%                                |
| Federal Program               | 63                              | -6.0%                                |
| Federal Law Enforcement       | 40                              | 81.8%                                |
| Other Federal Corruption      | 124                             | -5.3%                                |
| Local Corruption              | 109                             | 4.8%                                 |
| State Corruption              | 25                              | -57.6%                               |
| All Other Official Corruption | 37                              | -19.6%                               |
| Official Corruption Total     | 443                             | -6.7%                                |

Examples of official corruption cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Southern District of Georgia**, in a case investigated jointly by the Federal Bureau of Investigation and the Georgia Bureau of Investigation, a defendant, the former County Commissioner in Bacon County, Georgia, was sentenced to five years in prison, to be followed by five years of supervised release, for conspiring with others to grow and distribute marijuana and distribute cocaine.

In the **Northern District of Illinois**, a former Inspector General for the Illinois Secretary of State was sentenced to one year and one day in prison for obstructing justice in Operation Safe Road, a federal investigation of bribery and other corruption by Secretary of State employees. The defendant, who was Inspector General for seven years after being appointed by the governor in 1992, admitted that he suggested to a former secretary, who was cooperating with investigators at the time, that she destroy documents subpoenaed by a grand jury that connected illegal commercial driver's license-selling with campaign fund-raising. The defendant, a former police chief in Kankakee, also acknowledged that the government would be able to prove allegations that he quashed other license-selling investigations and failed to refer evidence of wrongdoing to proper authorities. He is one of 20 current or former Secretary of State employees among 41 defendants who have been charged since Operation Safe Road began in 1998. So far, 37 defendants have been convicted.

In the **Northern Mariana Islands**, a former commonwealth official was sentenced to two years and nine months in prison to be followed by three years of supervised release, \$56,461.98 in restitution, and a \$15,000 fine following his conviction on three counts of theft concerning programs receiving federal funds. The charges stemmed from the defendant's activities as Secretary of Finance, a cabinet-level position in the government of the Commonwealth of the Northern Mariana Islands (CNMI), and involved three separate schemes. He was convicted of improperly receiving more than \$5,000 in "typhoon differential pay" (hardship pay for hours worked during typhoons) that he was not entitled to receive. He was also convicted of improperly keeping a \$20,000 travel advance. Finally, the defendant was convicted of misapplying more than \$30,000 in government funds by authorizing a payment to an accomplice on a government contract that had already been fully paid. The defendant was acquitted on bribery charges related to the \$30,000 disbursement. Evidence also showed that during his tenure as Secretary of Finance, he obtained improper reimbursements from the CNMI government exceeding \$100,000.

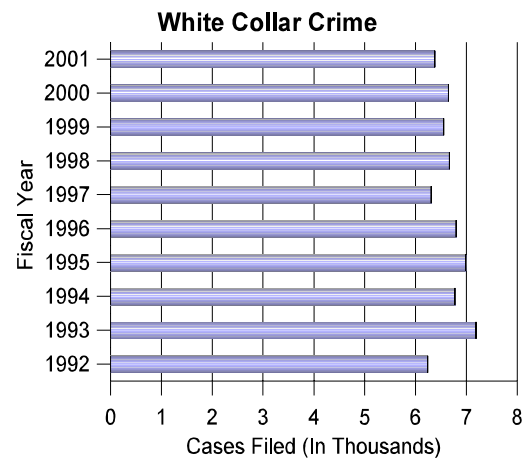
In the **Eastern District of Pennsylvania**, the former majority leader of the Pennsylvania State Senate was sentenced to six months in prison after he pled guilty to corruptly endeavoring to obstruct Internal Revenue Service (IRS) laws. He was also fined \$20,000 and ordered to serve one year of supervised release following his prison term. The defendant, who resigned from the Pennsylvania State Senate as part of his guilty plea, was charged with creating false documents for submission to the IRS in order to conceal his receipt of more than \$120,000 in consulting payments from a co-conspirator who operated a municipal tax collection business. Between 1993 and 1997, the co-conspirator and his firm, Municipal Tax Bureau (MTB), paid the defendant more than \$330,000 in consulting fees. The defendant, however, concealed his financial relationship with the co-conspirator, including failing to disclose the co-conspirator or MTB as a source of income on financial

disclosure forms that must be filed annually by all state officials. The defendant had represented the 26th legislative district in Delaware County, Pennsylvania, since 1979, and had served as majority leader since 1994.

## White Collar Crime

The United States Attorneys handled a myriad of white collar crime prosecutions during Fiscal Year 2001, including identity fraud, health care fraud, financial institution fraud, federal program fraud, securities fraud, and computer crime. White collar criminals use ingenious methods to conceal their crimes, which often means that the investigation of white collar crimes are long and laborious. The sophisticated nature of the crimes, the professionals involved, and the fact that the criminal offenders can usually afford the best defense lawyers requires considerable investigative and prosecutorial expertise.

In all, the United States Attorneys filed 6,380 cases charging 8,756 defendants with white collar crime during Fiscal Year 2001, a decrease of four percent in the number of cases filed, and a decrease of less than one percent in the number of defendants filed when compared to the prior year. A total of 6,020 cases against 7,988 defendants were also terminated, representing a five percent increase in the number of cases terminated and a six percent increase in the number of defendants terminated over Fiscal Year 2000. Ninety percent of the defendants whose cases were terminated during the year were convicted. Sixty-two percent of the convicted defendants were sentenced to prison. The rate of conviction during Fiscal Year 2001, and the percentage of guilty defendants who received prison sentences, continued the upward trend of the past several years and represented substantially higher conviction and prison sentencing rates than those of earlier years.



The White Collar Crime program category consists of a number of fraud and other white collar crime case categories. These categories, including the number of cases filed during Fiscal Year 2001 and the percentage of change from Fiscal Year 2000, are as follows:

| Program Category                    | Cases Filed in Fiscal Year 2001 | Percent Change from Fiscal Year 2000 |
|-------------------------------------|---------------------------------|--------------------------------------|
| Advance Fee Schemes                 | 60                              | -32.6%                               |
| Fraud Against Business Institutions | 572                             | 0.5%                                 |
| Antitrust Violations                | 21                              | -44.7%                               |
| Bank Fraud and Embezzlement         | 2,228                           | -7.6%                                |
| Bankruptcy Fraud                    | 154                             | -14.4%                               |
| Commodities Fraud                   | 23                              | 4.5%                                 |
| Computer Fraud                      | 131                             | 28.4%                                |
| Consumer Fraud                      | 162                             | 8.0%                                 |



|                           |       |        |
|---------------------------|-------|--------|
| Federal Procurement Fraud | 81    | -12.0% |
| Federal Program Fraud     | 758   | 17.7%  |
| Health Care Fraud         | 441   | -3.3%  |
| Insurance Fraud           | 124   | -19.5% |
| Other Investment Fraud    | 67    | -14.1% |
| Securities Fraud          | 202   | -1.0%  |
| Tax Fraud                 | 554   | -16.3% |
| All Other Fraud           | 802   | 1.0%   |
| White Collar Crime Total  | 6,380 | -4.0%  |

Discussions of some of the priority white collar crime prosecution areas follow.

### **Health Care Fraud**

The detection and eradication of health care fraud and abuse is a top priority of federal law enforcement. Efforts to combat fraud were consolidated and strengthened considerably by the Health Insurance Portability and Accountability Act (HIPAA) of 1996. Although United States Attorneys' offices dedicated resources to prosecuting health care fraud and abuse prior to the enactment of HIPAA, HIPAA resources have considerably supplemented these efforts.

Health care fraud involves many different types of schemes that defraud Medicare, Medicaid, the Department of Veterans Affairs, or other insurers or providers. The fraudulent activity may include double billing schemes, kickbacks, billing for unnecessary or unperformed tests, or may be related to the quality of the medical care provided. The United States Attorneys criminally and civilly prosecute health care professionals, providers, and other business entities who engage in health care fraud. In doing so, the United States Attorneys frequently work with the Department's Civil and Criminal Divisions, the Federal Bureau of Investigation, the Department of Health and Human Services' (HHS) Office of the Inspector General, and other agencies.

During Fiscal Year 2001, the United States Attorneys continued to strengthen ties with numerous federal, state, and local law enforcement agencies involved in the prevention, detection, evaluation, and investigation of health care fraud. In addition to the HHS Office of Inspector General and the Centers for Medicare and Medicaid Services, these agencies included the Drug Enforcement Administration, the Food and Drug Administration, the Department of Defense's TRICARE Support Office (formerly CHAMPUS), Inspectors General of other federal agencies, and state Medicaid Fraud Control Units. To facilitate coordination and communication at the local and state level, each United States Attorney's office has appointed a criminal and a civil Health Care Fraud Coordinator. Health care fraud working groups continued at the national, regional, and local levels to enable federal and state prosecutors and investigators from the Federal Bureau of Investigation, the HHS Office of Inspector General, the Defense Criminal Investigative Service, state Attorneys General and Medicaid Fraud Control Units, and other agencies to discuss enforcement strategies and other useful information. The Department has also continued its work with HHS in establishing a national health care fraud data collection program for the reporting of final adverse actions against health care providers who have committed fraud.

Over the past year, United States Attorneys' offices have worked diligently to enhance provider understanding of the Department's enforcement responsibilities and efforts. A number of outreach presentations have been made to health care professionals, provider organizations, and beneficiary groups around the country in this regard.

During Fiscal Year 2001, the United States Attorneys filed 441 health care fraud cases against 597 defendants. This represents a three percent decrease in the number of cases filed and an 11 percent decrease in the number of defendants filed when compared to the prior year. During the year, 372 cases against 527 defendants were also terminated, an increase of two percent in the number of cases terminated and a three percent increase in the number of defendants terminated over Fiscal Year 2000. A total of 463, or 88 percent, of the terminated defendants were convicted. Fifty-one percent of the convicted defendants received prison sentences.

The United States Attorneys have coordinated civil and criminal enforcement programs to target fraud in innovative and productive ways. Some of the United States Attorneys' successful prosecutions during Fiscal Year 2001 include the following:

In the **Southern District of Florida**, a physician was sentenced to 12 years in prison for conspiracy to submit false claims to Medicare and to prepare false health benefit claim forms, conspiracy to violate the Medicare kickback statute, and for conspiracy to distribute prescription narcotics. The physician was also ordered to pay restitution in the amount of \$350,000 and was ordered to forfeit an additional \$250,000. The physician, who purportedly ran a pain management clinic, in fact ran a prescription drug "pill mill" which provided addicts with large quantities of prescription pain killers. The physician submitted claims to the Medicare, Medicaid and private insurers claiming to have provided non-rendered medical treatment to the addicts. In the same case, the physician's in-house pharmacist was convicted of conspiracy to distribute prescription narcotics and was sentenced to 15 years in prison.

In the **Southern District of Indiana**, a podiatrist was sentenced to five years and eight months in prison for fraudulently billing the Medicare, Medicaid, and TRICARE programs for a number of complex services that were medically unnecessary. In addition to being sentenced to prison, the podiatrist was ordered to make restitution in the amount of \$2.76 million: \$2.4 million to the Indiana Medicaid Program; \$504,000 to Medicare; and \$16,000 to TRICARE.

In the **Eastern District of New York**, a physician pled guilty to one count of health care fraud for fraudulently billing Medicare and private health insurance companies for over \$10 million in a scheme through which the physician billed for "nerve block" injections when in fact he only performed considerably less expensive acupuncture treatments. As a result of the false billings, the physician received \$800,000 from Medicare and an additional \$600,000 from ten private insurance companies. Pursuant to the plea agreement, the physician has agreed to forfeit \$820,000 to the government, repay the private insurance companies \$529,000 in restitution, and pay \$500,000 to settle a civil False Claims Act case.

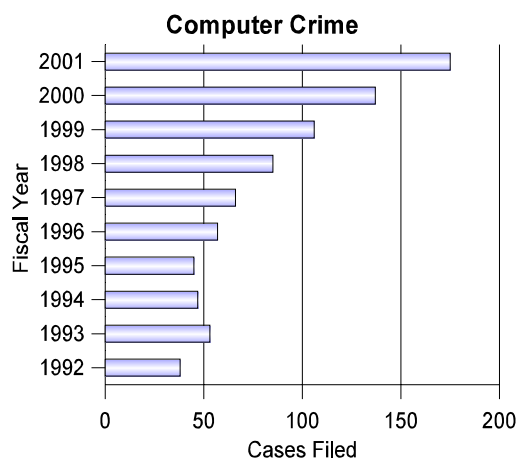
In the **Eastern District of Virginia**, the president of a medical transport company was sentenced to three years and one month in prison for fraudulently billing the Medicare Program for approximately \$1.4 million. In addition to the prison term, the defendant was required to pay \$1.4 million in restitution and to forfeit an additional \$375,000. The scheme involved the submission of thousands of claims fraudulently seeking payment for the transportation of ambulatory patients who were falsely billed to Medicaid as being wheelchair-bound patients, and the submission of billings for services not rendered, and for transportation to destinations not covered by Medicaid.

### **Computer Crime**

In July 2001, the Department established Computer Hacking and Intellectual Property (CHIP) units in ten United States Attorneys' offices, including those in the Central, Northern, and Southern Districts of California, the Northern District of Georgia, the District of Massachusetts, the Eastern and Southern Districts of New York, the Northern District of Texas, the Eastern District of Virginia, and the Western District of Washington. These districts were selected because they either have significant concentrations of high tech industry, are experiencing growth in that arena, or have other likely targets for computer intrusion or intellectual property crimes. Each of these districts received between two and four new attorney positions and committed to devoting an additional two to four attorney positions out of existing resources to their CHIP unit.

The Initiative's focus has four components: prosecution; prevention/outreach; training; and, anticipating future trends. The CHIP units will handle computer intrusions (hacking), copyright and trademark violations, theft of trade secrets, and economic espionage. To the extent resources allow, the CHIP units will also handle cases involving theft of computer and high technology components, and Internet fraud, including auction fraud and other fraud schemes where the Internet is used. An important aspect of the CHIP units is that they make themselves known to the high tech community and build the partnerships and trust necessary to address the reluctance for the high tech community to report intrusions.

During Fiscal Year 2001, the United States Attorneys filed 175 computer crime cases against 225 defendants. This represents a 28 percent increase in the number of cases filed and a 28 percent increase in the number of defendants filed when compared to the prior year. During the same period of time, a total of 133 cases against 166 defendants were terminated, representing a 51 percent increase in cases terminated and a 41 percent increase in the number of defendants terminated, when compared to Fiscal Year 2000. Eighty percent of those defendants whose cases were terminated during the year were convicted. Forty-seven percent of the convicted defendants received prison sentences. The data reported here include those cases classified under the White Collar Crime/Computer Fraud program category, as well as cases including other computer crime charges. Examples of cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:



In the **Northern District of California**, two defendants were each sentenced to one year and nine months in prison and three years of supervised release after they pled guilty to charges of distributing and selling counterfeit copyrighted computer software while operating Software-Inc.com, an Internet-based business. The defendants admitted that between March 1998 and September 2000, they made unauthorized copies of copyrighted computer software, offered that software for sale on the Internet web site www.Software-Inc.com and on Internet auction sites, fraudulently represented on the web site and the auction sites that the copies of copyrighted computer software were authorized copies, mailed the software to purchasers, and received approximately \$900,000 in gross revenue from such sales. The defendants were also ordered, jointly and severally, to pay \$900,000 in restitution. They agreed to forfeit to the government their ownership of their domain name. This is believed to be the first criminal forfeiture of a web site in an intellectual property case. The defendants also agreed to forfeit approximately \$900,000, a 2000 Chevrolet Corvette convertible, and a large quantity of computer and other electronic components.

In the **Southern District of Florida**, two defendants were sentenced to three years and ten months in prison and one year and eight months in prison, respectively, on charges stemming from a multi-million dollar credit card fraud conspiracy involving theft of more than 39,000 credit card account numbers from computer systems of Florida restaurants. The United States Secret Service investigation showed that since at least July 1999, the defendants illegally tapped the restaurants' computer networks, and through the cover of a dummy corporation called Mobli Oli Adms, Inc., fraudulently obtained credit card account numbers of more than 39,000 restaurant patrons. They then distributed the stolen numbers to others, who made more than 78,000 charges using the stolen account numbers. As part of the scheme, one defendant represented himself as a computer technician for a company that serviced various restaurants. Credit card companies estimated that the fraud loss attributable to this scheme exceeded \$8 million.

In the **Southern District of Indiana**, a defendant was sentenced to one year and six months in prison following his guilty plea to charges of trafficking in counterfeit computer software and tax evasion. Doing business as The Atlanta Group, Atlanta Micro Systems, Indiana Micro Systems, Atlanta Group of Companies, and World Technologies Group, the defendant sold computer software and hardware by telephone, and through vendor booths at weekend computer shows in Indiana and other states in the Midwest. From December 1997 until May 30, 1998, the defendant and a co-defendant sold numerous copies of computer programs that were produced without authorization from the legitimate copyright holders, which included Adobe Systems, Inc., and other software manufacturers. The defendants bought these unauthorized copies from sources in the United States and sold them to retail customers. They also produced their own unauthorized copies by using compact disk recording hardware. In both instances, the true copyright holders were not compensated for the use of their software. The two defendants received a total of \$25,053 from the sale of illegal software. If the same software had been sold through authorized distribution channels with proper authorization, together with the inventory of infringing software that the defendants had on hand, the copyright holders allegedly would have

received approximately \$1,434,589. The defendant was also sentenced to four months of community confinement, three years of probation, and restitution of \$25,053.

In the **District of Oregon**, a defendant was sentenced to one year and one day in prison for criminal copyright infringement. He was also ordered to pay \$101,178 in restitution to the victim, Adobe Systems, Inc. In pleading guilty, the defendant admitted that he willfully infringed copyrights of Adobe Systems for purposes of private financial gain, and that the commission of this offense resulted in a retail loss to Adobe Systems of \$490,644. The case arose in December 1999 while the defendant was a college student in Oregon. The Eugene Police Department and the Federal Bureau of Investigation became aware that he was selling copyrighted Adobe Systems software on the Internet without the permission of the copyright holder. After an undercover investigation confirmed that the defendant, using an alias, was selling pirated software on the Internet, search warrants were served on his apartment, his post office box, his car, and a bank account. Pirated software, \$4,600 in cash, and a substantial amount of computer equipment were seized from his apartment, and more than \$9,000 was seized from his bank account. The defendant has agreed to forfeit a substantial amount of computer equipment. In addition to the restitution, Adobe Systems will also receive \$13,786.19 in seized cash. After being released from prison, the defendant will serve three years of supervised release.

### **Identity Fraud**

The Identity Theft and Assumption Deterrence Act (Identity Theft Act) was enacted in 1998, and contains the primary statutes used for prosecuting identity theft. To put it simply, identity theft is the theft of identity information such as a Social Security Number, name, or credit card number. The Identity Theft Act added an important distinction to the prosecution of identity theft cases because prior to the passage of the Act in 1998, the primary statute for prosecution of these cases, 18 U.S.C. 1028, addressed only the fraudulent creation, use, or transfer of identification documents, not the theft or criminal use of the underlying personal information. The Identity Theft Act added a subsection to the statute which criminalizes fraud in connection with the unlawful theft and misuse of personal identifying information, regardless of whether the information appears or is used in documents.

Cases successfully prosecuted during Fiscal Year 2001 include the following:

In the **Middle District of Louisiana**, a defendant was sentenced to one year and six months in prison and ordered to pay restitution for assuming the identity of another person. The defendant, who was employed as a Finance Manager for a Baton Rouge car dealership, used the dealership's computer to obtain the credit history, credit report, and personal identifying information of the victim. For over a year, the defendant used the victim's information to fraudulently obtain six loans and eight credit cards, thereby defrauding fourteen businesses of more than \$100,000. At sentencing, the victim testified that she had spent hundreds of hours attempting to repair the damage the defendant caused to her credit and reputation. The victim testified that she had been threatened with arrest and had been denied credit and the privilege of writing checks at various establishments.

In the **District of Nevada**, an Armenian national who was a member of a Russian organized crime group operating out of Los Angeles, was sentenced to two concurrent prison terms of one year and three months, followed by three years of supervised release, and fined \$3,000 for stealing the identity of a California resident. The defendant, carrying false identification documents, traveled from California to Las Vegas with the intent of defrauding area casinos and obtaining funds to assist with the promotion of the ongoing criminal enterprise in Los Angeles. Armed with the victim's California driver's license and a Costco wholesale membership card bearing the defendant's photograph, as well as the victim's Social Security number and Bank of America checking account number, the defendant applied for lines of credit of \$30,000 at both the Bellagio Hotel and Casino and the Aladdin Hotel and Casino. The United States Secret Service had alerted the casinos to be on the look-out for the defendant. The defendant was then arrested and taken into federal custody after applying for the lines of credit. The defendant initially refused to provide his true identity, causing charges to be brought against him in a criminal complaint against John Doe. During the prosecution, he refused to discuss the charges with either the United States Secret Service or the United States Attorney's office. On May 14, 2001, the defendant pled guilty in his true name, without benefit of a plea agreement, to a two-count indictment charging him with identity theft. The Immigration and Naturalization Service, which assisted in the investigation and prosecution, determined that the defendant had been living in the United States illegally since 1992 when his tourist visa expired. He faces deportation after completing his sentence.

In the **Western District of Washington**, a defendant was sentenced to one year and one day in prison, to be followed by five years of supervised release, for his scheme to defraud several financial institutions. He was also ordered to pay restitution of \$57,275 to the victims. The defendant opened checking accounts using the names and identification information of third persons and then deposited into these accounts counterfeit checks, checks drawn on other bank accounts with non-sufficient funds, and checks drawn on closed accounts.

In the **Western District of Washington**, a defendant was sentenced to two years and three months in prison, to be followed by three years of supervised release, on charges of possession of a counterfeit postal key, possession of stolen mail, and identity theft. The defendant was also ordered to pay restitution of \$10,700. Items recovered during a search of his hotel room included numerous pieces of stolen mail and two counterfeit Washington State driver's licenses with photographs of the defendant but with the names and addresses of individuals who had been mail theft victims. In January 2001, a search warrant was executed on the defendant's Seattle residence in which numerous pieces of stolen mail, stolen identity information, counterfeit United States Postal Service keys and a lock, counterfeit identification documents, a computer used to produce counterfeit identification documents, and counterfeit checks were seized.

### **Other White Collar Crime**

The United States Attorneys' offices handled other white collar crime prosecutions during Fiscal Year 2001, such as fraud against business institutions, commodities fraud, consumer fraud, insurance

fraud, procurement fraud, tax fraud, telemarketing fraud, and investment fraud. Some of the cases successfully prosecuted by the United States Attorneys during the year include the following:

In the **Eastern District of California**, a defendant and her husband were sentenced to lengthy prison terms in connection with a massive conspiracy to defraud the Internal Revenue Service (IRS) by selling bogus trusts. The defendant was sentenced to 11 years and three months in prison, three years of supervised release, and costs of \$4,094.47. Her husband was sentenced to six years and six months in prison, three years supervised release, and costs of \$4,094.47. Both sentences are the longest permissible under the United States Sentencing Guidelines. The defendant was convicted following a two-week trial of conspiracy to defraud the IRS, three counts of aiding and assisting in the presentation of false tax returns, and two counts of perjury. Her husband was convicted of conspiracy and three counts of aiding and assisting in the presentation of false tax returns. Both defendants have been in custody since the jury verdict. The case is part of a nationwide initiative by the IRS and the Department of Justice focused on tax evasion schemes involving fraudulent trusts. The evidence at trial established that from 1993 through 1998, the defendants, operating through an entity called "G&D Associates," sold packages of bogus trusts to their clients and advised them how to use the trusts to generate fraudulent tax deductions. The clients, who included doctors, dentists, consultants, and others, would transfer their businesses, homes, and other assets into the trusts and were appointed trust "managers," but in fact continued to control those assets.

In the **Southern District of Florida**, a defendant, who was a Florida attorney, was sentenced to five years in prison, and ordered to pay \$8 million in restitution as a result of his guilty plea to conspiracy to commit mail and wire fraud in connection with the Financial Federated Title & Trust viatical investment scheme. The defendant acknowledged that his fraud scheme caused more than 3,500 victims to lose more than \$117 million. He was the escrow agent who received approximately \$9 million from victims, which was forwarded to Financial Federated.

In the **Northern District of Ohio**, a defendant was sentenced to 30 years in prison following his conviction on multiple counts of conspiracy, mail fraud, and tax evasion relating to his operation of a \$26.6 million Ponzi and pyramid scheme. His wife and his friend were convicted of the same offenses and were each sentenced to ten years and one month in prison. The defendant's daughter pled guilty to a single count of wire fraud the day before trial. She was sentenced to three years of probation and ordered to pay \$32,000 in restitution. During the two-week trial, the government showed how the defendant's scheme, called The Infinity Group Company, took in more than \$26.6 million from "investors" nationwide. He promised that investors' money would be pooled to purchase "prime bank instruments" in the European market with guaranteed interest rates of between 138 percent and 181 percent. The defendant dispatched approximately \$11 million to foreign and domestic "investments" but never earned a dime. Instead, several of the people with whom he "invested" his money have been, or are being, prosecuted by the United States and Great Britain for similar crimes. Untroubled by the loss of his \$11 million, the defendant, in his monthly newsletter to investors, continued to tout the success of his program. The defendants used investors' money for their personal living expenses, which

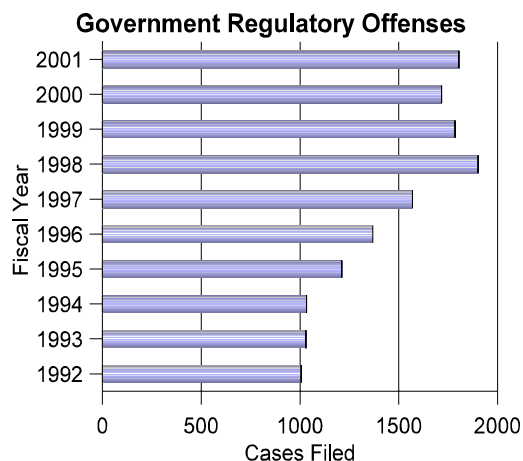
included cars, school tuition, rural real estate, and a new, 7,500 square foot house. Swift enforcement actions by the State of Ohio's Department of Commerce Division of Securities, and the Securities and Exchange Commission (SEC) in Philadelphia forced the Infinity Group to close before the fund could be further depleted. The SEC-appointed trustee has collected more than \$10 million in assets and returned them to the victims.

In the **Northern District of Texas**, a defendant was sentenced to two years and six months in prison and ordered to pay \$36,054,990 in restitution following his conviction, after a three-week jury trial, on 80 counts of conspiracy, fraud, and firearms offenses. In addition, a \$35 million forfeiture order was entered. The defendant ran an elaborate Ponzi scheme, as well as other fraudulent investment schemes, and defrauded his investors of approximately \$50 million. His victims included international businessmen, individuals throughout the southern United States, and nearly 300 investors from his wife's small hometown of Brady, Texas. The family members and friends from Brady lost nearly \$5 million of their savings to the defendant. The defendant promised investors their money was insured by bonds worth billions of dollars. He failed to invest their money, and instead used it to live a lavish lifestyle that included a lakeside mansion, jets, a helicopter, expensive jewelry, and gifts. Most of the investors' money is still missing.

### Government Regulatory Offenses

Government regulatory offenses is a wide-ranging category of cases involving such diverse criminal activities as violation of United States Customs regulations relating to the payment of duty, the illegal importation or exportation of United States' currency or monetary instruments, and money laundering. This category of cases also involves the violation of statutes relating to the sale or pricing of federally regulated energy sources, violations of federal health and safety regulations, violations of statutes relating to copyrighted material, including motion pictures and sound recordings, and violations of our nation's environmental statutes and regulations.

During Fiscal Year 2001, the United States Attorneys filed 1,804 cases charging 2,768 defendants with government regulatory offenses. This represents a five percent increase in the number of cases filed when compared to the prior year while the number of defendants increased by eight percent over the prior year. The United States Attorneys also terminated 1,619 cases against 2,397 defendants during the year, representing an increase of less than one percent in the number of cases terminated and a one percent increase in the number of defendants terminated, when compared to the prior year. A total of 2,121 of the terminated defendants were convicted, representing an 89 percent conviction rate. Of the convicted defendants, 50 percent received prison sentences.



The Government Regulatory Offenses program category consists of a number of specific case categories. One of the categories which has gained momentum in recent years under Government



Regulatory Offenses is Money Laundering. Although Money Laundering is displayed below as a program category, we more accurately report these offenses by selecting the cases in which one or more of the Money Laundering statutes is charged. When viewed by statute, the number of Money Laundering cases filed in Fiscal Year 2001 is 964 as opposed to the 183 reported by program category below. All of the program categories classified under Government Regulatory Offenses, including the number of cases filed during Fiscal Year 2001 and the percentage of change from Fiscal Year 2000, are as follows:

| Program Category                     | Cases Filed in Fiscal Year 2001 | Percent Change from Fiscal Year 2000 |
|--------------------------------------|---------------------------------|--------------------------------------|
| Copyright Violations                 | 43                              | 30.3%                                |
| Counterfeiting                       | 883                             | 12.8%                                |
| Customs Violations -- Duty           | 47                              | -20.3%                               |
| Customs Violations -- Currency       | 113                             | -22.6%                               |
| Energy Pricing Violations            | 1                               | 0.0%                                 |
| Environmental Offenses               | 296                             | 7.6%                                 |
| Health and Safety Violations         | 27                              | 58.8%                                |
| Money Laundering -- Narcotics        | 69                              | -19.8%                               |
| Money Laundering -- Other            | 114                             | 10.7%                                |
| Trafficking in Cigarettes            | 5                               | -54.5%                               |
| All Other Regulatory Offenses        | 206                             | 1.5%                                 |
| Government Regulatory Offenses Total | 1,804                           | 5.1%                                 |

Cases successfully prosecuted during Fiscal Year 2001 include the following:

In the **Central District of California**, a defendant was sentenced to three years and nine months in prison following his guilty plea to four counts of money laundering in connection with a scheme to defraud companies across the country that were interested in minority outreach. The defendant obtained from various sources the names of the human resources, public affairs, or financial officers for the companies and then contacted them, claiming to represent publications such as Minority Outreach Post, Minorities in Affirmative Action, American Hispanic News, Veterans Post, and National Black Employment - none of which existed. He would bill the victim companies, and their officers individually, for "advertising" in one of a number of false minority publications. In some cases, he would call the company and attempt to convince an employee that an "advertisement" had been authorized earlier and that payment was now due. When he persuaded a victim to authorize a single "advertisement," he would then bill multiple times from each of the various "publications."

In the **Eastern District of California**, a defendant was sentenced to 11 years and three months in prison following his conviction, after an 11-day jury trial, on eight counts of wire fraud and one count of money laundering. The defendant and his brother, co-owners of Dallas-based computer broker Hardwarehouse, engaged in a wide-ranging scheme to defraud Hewlett Packard from 1995 to 1998. They secretly bought a pirated

copy of an internal Hewlett Packard (HP) software utility called SS\_CONFIG, which allowed the defendant to illegally upgrade used computer servers he received from HP. He then caused the company to transfer licenses on those servers in their upgraded state, without paying HP for the upgrades. The defendant bribed an HP employee who controlled the inventory of HP leased equipment being returned. In exchange, the HP employee allowed the defendants nearly exclusive access to valuable HP computer equipment that allowed their company to reap millions of dollars in revenues. Over a period of about three years, the defendants gave the HP employee and her husband more than \$250,000 in cash, gifts, and travel. The defendants used the ill-gotten gains from these schemes to transform a small operation to a 40-employee company with gross revenues of more than \$10 million in both 1997 and 1998.

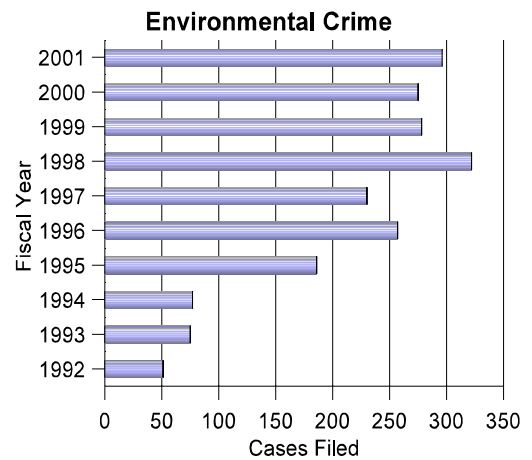
In the **Middle District of Georgia**, a local minister was sentenced to one year and six months in prison for copyright infringement. On January 4, 2001, a search of a location associated with the defendant in Macon, Georgia, revealed commercial equipment and supplies for the creation of audio compact disks. Also found in the search were approximately 4,350 counterfeit disks in cases with labels. The defendant had three individuals sell the counterfeit compact disks on a street corner for \$5.00 each. The approximate total retail value of the recordings created was \$62,500.

In the **Northern District of Georgia**, an Atlanta attorney was sentenced to 13 years in prison and ordered to pay a \$5,000 fine following his conviction, after an 11-day trial, for money laundering and structuring transactions. The sentence was an upward departure, at the discretion of the United States District Judge, who ruled that the defendant had committed "wholesale perjury" at trial and had committed obstructive behavior in doing so. According to the indictment and evidence in the case, between December 1998 and February 1999, the defendant, a prominent Atlanta area personal injury and criminal defense attorney, took more than \$100,000 in cash in exchange for checks drawn on his law firm, minus a "fee" that he charged to launder the money, from an individual he believed was a drug dealer. In fact, the "drug dealer" was an undercover Internal Revenue Service agent. In more than eight hours of secretly recorded audio tapes, the defendant told the "drug dealer" that he could help him use his funds "with legitimacy" so that the funds would "come back clean." He also referred to himself as a "laundromat." The defendant took cash from the undercover agent and wrote law firm checks with notes that the checks were for "settlement of personal injury case," "loan repayment," and "independent contracting." He also offered the same services to others who admitted in court to being drug dealers.

### **Environmental Crime**

The United States Attorneys, in conjunction with the Department's Environment and Natural Resources Division (ENRD), enforce the nation's criminal and civil environmental laws, including the Clean Air Act, the Resource Conservation and Recovery Act, and the Safe Drinking Water Act. During Fiscal Year 2001, the partnership between the United States Attorneys and the ENRD continued in the investigation and prosecution of environmental crimes.

During Fiscal Year 2001, the United States Attorneys filed 296 criminal environmental cases against 460 defendants. This represents an increase of eight percent in the number of cases filed and an increase of eight percent in the number of defendants filed when compared to the prior year. Also during the year, 291 cases against 444 defendants were terminated. Both the number of cases terminated and the number of defendants terminated increased by five percent when compared to the prior year. Eighty-seven percent of the defendants whose cases were terminated during the year were convicted, with 23 percent of the convicted defendants sentenced to prison.



Some of the cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Central District of California**, Matson Navigation Company (Matson) pled guilty to four counts of making false statements in a matter within the jurisdiction of the United States Coast Guard, and was sentenced to pay a total criminal fine of \$3 million in three districts: \$2 million in the Central District of California; \$500,000 in the Northern District of California; and \$500,000 in the Western District of Washington. Approximately \$1.5 million of the criminal fine will be used to support environmental projects in the three districts. Crew members of Matson's ships were charged with falsifying entries in oil pollution prevention records required by the Coast Guard. The entries falsely represented that oil pollution equipment was used to treat oily-wastewaters prior to discharge overboard when, in fact, the equipment was not used. Matson also entered a plea of guilty to one count of making false statements in both the Northern District of California and the Western District of Washington.

In the **Southern District of Florida**, a defendant and his son were sentenced on charges of conspiracy to evade federal excise taxes and conspiracy to violate the Clean Water Act, illegal distribution of ozone-depleting refrigerant chemicals in violation of the Clean Air Act, and the evasion of \$7 million in excise taxes from 1992 through 1994. The defendant was sentenced to two years in prison, and his son received a prison sentence of two years and four months. The judge further ordered the defendant to pay \$500,000 to the Internal Revenue Service (IRS), and his son to pay \$250,000 to the IRS. Both defendants, who have been incarcerated since November 2000, are required to satisfy and resolve all civil tax liabilities. At the time of their arrest, the defendants were residents of Panama, where they moved from Florida. In pleading guilty to the charges, the defendants admitted causing the controlled refrigerant gas "freon," or "CFC-12," to be distributed within the United States without holding consumption allowances required by the Environmental Protection Agency. They also filed false documents with the United States Customs Service, the Environmental Protection Agency, and the Internal Revenue Service.

In the **Southern District of Illinois**, Chemetco, Inc., a secondary copper smelter in Hartford, Illinois, was sentenced on its plea to four felony charges of Clean Water Act

violations and false statements. The indictment charged Chemetco and several of its employees with conspiring, from September 1986 through September 18, 1996, to violate the Clean Water Act by discharging pollutants, including lead, cadmium, and zinc, through a secret pipe into Long Lake and its adjacent wetlands. Chemetco was also charged with two counts of making materially false statements regarding the secret discharges to the Environmental Protection Agency (EPA), and, in one count, to the United States Army Corps of Engineers. The United States District Court imposed a total fine of \$3,865,100 and five years' probation. The court also ordered Chemetco to comply with the Illinois EPA's closure and remediation plan for the contaminated site and to submit quarterly progress reports. In 1986, Chemetco installed the secret discharge pipe, which was connected to a recently installed storm water run-off control system. The secret pipe drained into a ditch tributary to Long Lake, a tributary to the Mississippi River. Chemetco discharged pollutants into the lake from the secret pipe until its discovery by state and federal EPA inspectors. Former and current managers were also convicted of felony violations of the Clean Water Act. Each was sentenced to home confinement, probation, and a fine.

### **Civil Rights Prosecutions**

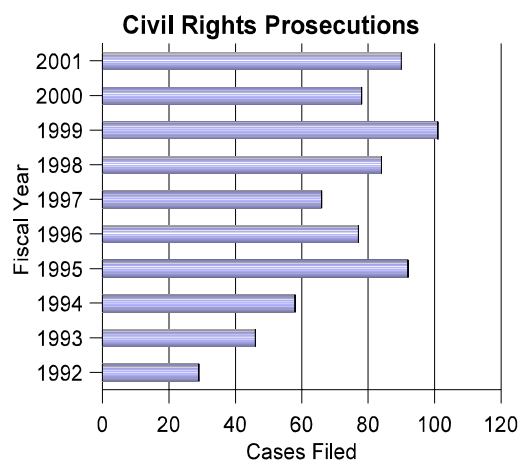
The United States Attorneys handle civil rights prosecutions in their districts in consultation and coordination with the Department's Civil Rights Division. Among other civil rights violations, the United States Attorneys' offices prosecute migrant smugglers and those trafficking in humans, incidents of violence or threats against individuals perceived to be of Middle-Eastern origin, violations of voting rights, and violations of the Americans with Disabilities Act of 1990. The United States Attorneys and the Civil Rights Division continued to work closely together during Fiscal Year 2001 to ensure consistent handling of these cases.

The United States Attorneys also continued their efforts to ensure that any problems of hate crimes in their districts were adequately addressed. After the events of September 11, 2001, the prosecution of those who perpetrated threats or violence against individuals who were perceived to be of Middle-Eastern origin became a priority of the Department. The Attorney General appealed to the public saying that we should not descend to the level of those who committed the violent acts of September 11, 2001.

During Fiscal Year 2001, the Civil Rights Division and the United States Attorneys continued to focus attention on the prosecution of crimes under the Americans With Disabilities Act (ADA). The Civil Rights Division and the United States Attorneys' offices work jointly to educate local and state law enforcement officers about the ADA. The ADA prohibits discrimination by state and local governments against individuals on the basis of a disability. This means that state and local law enforcement officers must possess the ability to recognize a person with a disability and assess the needs of that person and meet those needs from initial contact through incarceration.

The enforcement of the ADA was re-emphasized with the President's issuance of the *Olmstead* Executive Order in June 2001. This Order directs federal agencies to assist states and localities in ensuring prompt and effective implementation of the United States Supreme Court's 1999 *Olmstead* decision. The *Olmstead* decision requires states to place individuals with disabilities in community settings rather than in institutions, when appropriate.

During Fiscal Year 2001, the United States Attorneys filed 91 criminal civil rights cases against 145 defendants. This represents a 17 percent increase in the number of cases filed and a 24 percent increase in the number of defendants filed when compared to the prior year. The United States Attorneys also terminated a total of 85 cases against 111 defendants, representing a 33 percent increase in cases terminated and an eight percent increase in the number of defendants terminated when compared to Fiscal Year 2000. Seventy-nine percent of the defendants whose cases were terminated during the year were convicted. A total of 75, or 85 percent, of these defendants received prison sentences.



Civil rights cases successfully prosecuted by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Central District of California**, two defendants were each sentenced to one year and six months in prison after they pled guilty to civil rights conspiracy and interference with an individual's housing rights. The charges related to the defendants' admitted arson attack on a vehicle parked outside the home of an African-American man. The defendants confessed to committing the crime against the victim because of his race in an effort to intimidate him. One defendant also admitted that on another occasion, he was driving a car from which a passenger threw a flare into a car parked at the victim's residence.

In the **Middle District of Georgia**, two defendants, one a former member of the Richland City Council, pled guilty to violating the civil rights of a minority couple and were sentenced, respectively, to one year and six months in prison and three years of probation. According to their plea agreements, the defendants and a co-conspirator, built a cross, placed it in front of the residence of an African-American couple, and set it on fire in order to intimidate the victims.

In the **District of New Mexico**, a former correctional sergeant was sentenced to seven years and three months in prison after a jury found him guilty of two counts of deprivation of civil rights under the color of law--the right to be free from bodily injury. The court chose not to sentence the defendant to the lower end of the United States Sentencing Guidelines' range in view of purported evidence that he had also distributed drugs to inmates and traded confidential information for methamphetamine at the facility. At trial, numerous former correctional center inmates testified that they were solicited by the defendant to beat other inmates, or to observe the beatings, or were victims of the beatings that the defendant had solicited. As part of the same investigation, two other correctional officers were sentenced for depriving female inmates of their civil rights to be free from sexual assault.

## **Trafficking In Persons**

Trafficking in persons is a modern-day form of slavery, and is a significant problem in the United States and abroad. Victims are often lured from outside the United States with false promises of better economic opportunities and good jobs, and then are forced to work under inhumane conditions. Many trafficking victims are forced to work in the sex industry, in labor settings involving domestic servitude, or in prison-like factories.

In Fiscal Year 2001, Congress enacted the Trafficking Victims Protection Act of 2000 (TVPA). This new law increases penalties for peonage, enticement into slavery, and sale into involuntary servitude. The TVPA also creates new sections that: prohibit forced labor; prohibit trafficking for peonage, slavery, involuntary servitude, or forced labor; prohibit sex trafficking of children by force, fraud, or coercion; and prohibit unlawful use of documents for trafficking, peonage, slavery, involuntary servitude, or forced labor.

In order to ensure strong enforcement of the TVPA, the Department of Justice took a number of steps including: issuing guidance to all United States Attorneys' offices concerning federal prosecution under the TVPA; drafting regulations that would enable victims of trafficking to obtain special non-immigrant visas in order to allow them to remain in the United States to assist with the prosecution of offenders; and providing permanent funding for the Trafficking in Persons and Worker Exploitation Task Force toll-free hotline (1-888-428-7581). The Executive Office for United States Attorneys also conducted training at the National Advocacy Center for approximately 140 Victim-Witness Coordinators from the United States Attorneys' offices and the Immigration and Naturalization Service. Additionally, the Executive Office for United States Attorneys conducted two training sessions relating to trafficking in persons issues to the United States Attorneys' offices nationwide on the Justice Television Network.

As awareness of the TVPA increases in both the public's eye and in the law enforcement community, prosecution of cases involving trafficking in persons should increase. An example of a case successfully prosecuted by a United States Attorney during Fiscal Year 2001 follows:

In the **Southern District of Texas**, two defendants were each sentenced to two years in prison for their roles in a conspiracy to import and transport females from Thailand to the United States for prostitution, and for encouraging unlawful immigration. Each defendant pled guilty to the charges. The first defendant previously pled guilty to conspiracy and 16 additional charges, including encouraging others to unlawfully immigrate into the United States, fraud relating to immigration documents, and causing others to travel in interstate and foreign commerce for prostitution. The second defendant previously pled guilty to conspiracy and 14 substantive counts. Three other defendants were previously sentenced to terms ranging from three years of probation to three years and nine months in prison. The convicted defendants admitted that they participated in an international smuggling ring based in Bangkok that imported Thai females, as well as Chinese nationals, into the United States. This was the first investigation involving federal agents traveling overseas to meet with human trafficking organization leaders. In November 2000, the United Nations released a report warning that the surge in international smuggling of women, children, and slave laborers is the world's fastest growing organized criminal activity.

## **National Church Arson Task Force**

The United States Attorneys, through local task forces, continued to work with the National Church Arson Task Force which was established to investigate and prosecute those responsible for attacks on houses of worship. While it was the number of fires at African-American churches that brought these crimes to national attention, the Task Force was formed to investigate and prosecute arsons and bombings of all houses of worship, regardless of their denomination, congregational, or racial composition. Arsons investigated to date have been motivated by a wide array of factors, including blatant racism or religious hatred, financial profit, burglary, vandalism, and personal revenge.

Many United States Attorneys also performed significant outreach activities, meeting with church and community leaders to address their concerns, to discuss the law enforcement response, and to provide information about arson prevention. An example of a successful prosecution handled by a United States Attorney during Fiscal Year 2001 follows:

In the **District of Nevada**, four self-proclaimed white supremacists each pled guilty and were sentenced on charges of conspiracy against the rights of citizens, damage to religious property, and use of fire or explosives to commit a felony in connection with the attempted firebombing of Temple Emanu-El Jewish synagogue in Reno, Nevada. The four defendants were sentenced to 15 years, to 14 years and one month, to 14 years and six months, and to 14 years in prison, respectively. A co-defendant, who was not present during the attack but furnished the group with a gasoline can, pled guilty to the conspiracy and damage to religious property, as well as to bombing property in and affecting interstate commerce. He was sentenced to five months in prison. Each sentence also included a three year term of supervised release and a joint and several restitution order of \$3,743.50 for damage to the synagogue. On November 30, 1999, the defendants threw a molotov cocktail at a window of the synagogue, damaging it but not breaking it. Within three days of the incident, federal and state law enforcement officers were able to identify and arrest the suspects.

### III. ASSET FORFEITURE LITIGATION

The asset forfeiture laws are designed to attack the profit motive for crime, to seize assets used to commit crimes, and generally to deter criminal activity. Asset forfeiture has proven to be an effective law enforcement tool. In addition to disgorging criminal proceeds and deterring crime, asset forfeiture has been used to facilitate the return of funds to victims of fraud, and has resulted in millions of dollars being transferred to state, local and international law enforcement efforts through equitable sharing.

The United States Attorneys' offices use both criminal and civil asset forfeiture laws to strip away property derived from criminal activity such as narcotics violations, money laundering, racketeering and fraud, as well as property used to facilitate the commission of certain crimes. Whether through civil or criminal proceedings, the laws governing asset forfeiture provide due process to all persons claiming an ownership interest in the property.

Fiscal Year 2001 was the first full year in which the Civil Asset Forfeiture Reform Act (CAFRA) of 2000 was in effect. When it took effect, on August 23, 2000, CAFRA brought many procedural changes to civil asset forfeiture practice, and added several important law enforcement benefits affecting both criminal and civil asset forfeiture. In civil cases, CAFRA

- Imposes a 60-day notice deadline for administrative forfeitures (90 days if the case is adopted from a state or local agency);
- Eliminates cost bonds;
- Imposes a 90-day deadline for filing a civil complaint after a claim has been made;
- Authorizes appointment of counsel if a claimant is indigent and has a Criminal Justice Act appointed counsel in a related criminal case, or the property being forfeited is the claimant's primary residence;
- Raises the government's burden of proof to preponderance of the evidence; and
- Awards attorney fees to all claimants who "substantially prevail" except for those claims the government readily acknowledges and does not contest.

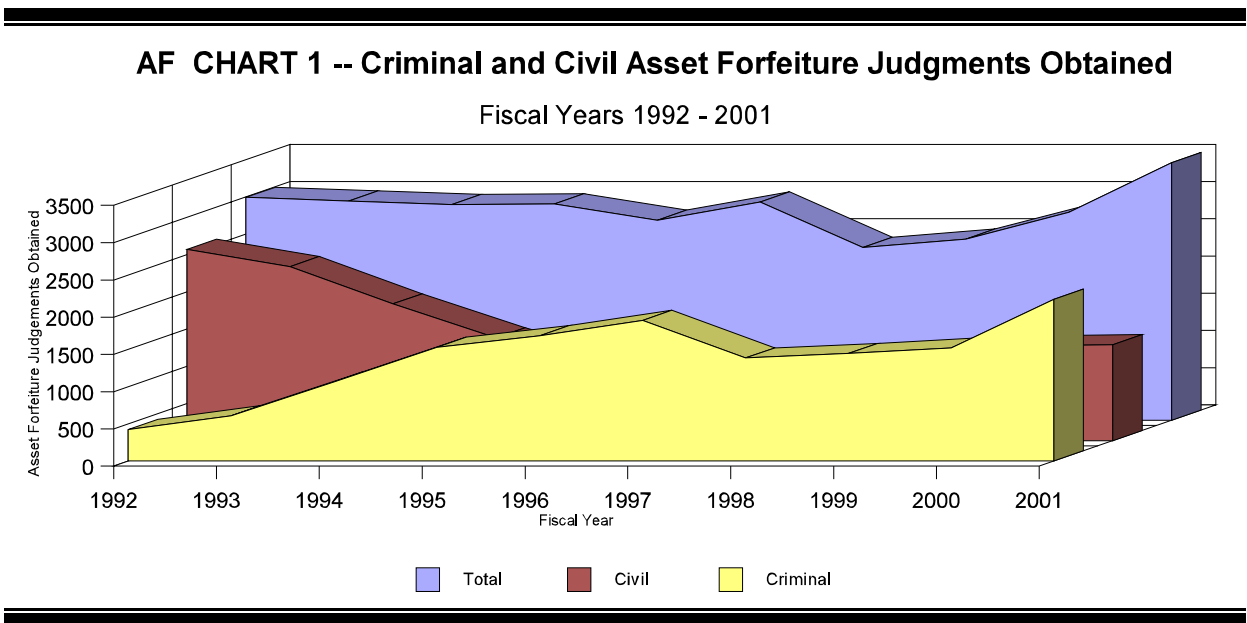
CAFRA also strengthens law enforcement in the following areas:

- Expands civil asset forfeiture to include the proceeds of all offenses constituting a "specified unlawful activity" under the money laundering statutes;
- Expands criminal asset forfeiture to all cases in which civil forfeiture is authorized;
- Permits the use of forfeited funds to pay restitution to crime victims;
- Requires claimants to provide access to foreign financial records;
- Expands forfeiture in alien smuggling cases to include gross proceeds of the offense and property traceable thereto;
- Codifies the fugitive disentitlement doctrine; and
- Permits criminal Assistant United States Attorneys (AUSAs) to share grand jury information with civil AUSAs.



As reflected on Table 20, the United States Attorneys' offices filed asset forfeiture counts in 1,992 criminal cases which sought forfeiture as a criminal penalty during Fiscal Year 2001, representing an increase of 18 percent over the prior year. At the end of the fiscal year, there were 2,935 criminal asset forfeiture cases pending, an increase of six percent over Fiscal Year 2000. Additionally, 1,807 civil forfeiture actions were filed by the United States Attorneys during the fiscal year, a decrease of 20 percent when compared to the prior year.

As shown on Table 5, the United States Attorneys also obtained 1,289 civil asset forfeiture judgments in favor of the United States during the year which represents a one percent increase over the prior year. Asset Forfeiture Chart 1 below reflects the number of judgments the United States Attorneys' offices obtained in criminal and civil asset forfeiture cases during the past ten years. The chart does not include federal administrative asset forfeiture matters or state court filings.

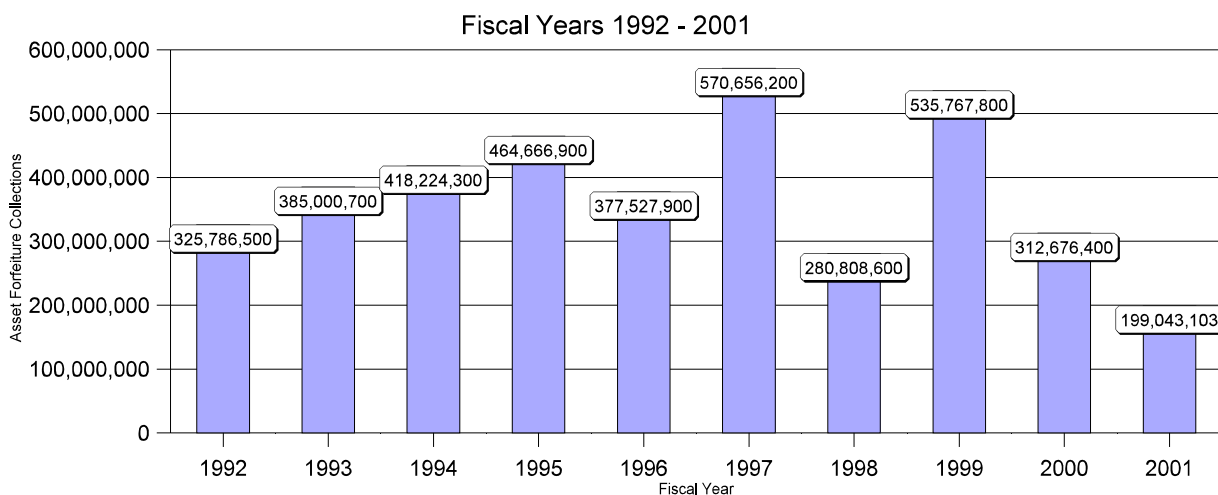


The United States Attorneys' work on judicial asset forfeitures resulted in an estimated recovery during Fiscal Year 2001 of \$199,043,103 in forfeited cash and property. This represents a decrease of 36 percent when compared to Fiscal Year 2000. In addition, approximately \$2,726,922, or slightly over one percent of the forfeited property, was retained for official law enforcement use. Approximately \$8,756,309 of asset forfeiture proceeds were applied to restitution in victim-related offenses. See Table 20. All other assets were converted to cash value and the proceeds used for law enforcement purposes by federal, state, local, and foreign law enforcement.

Asset Forfeiture Chart 2 below shows combined civil and criminal asset forfeiture recoveries reported through collections by United States Attorneys' offices over the past ten years. The chart does not include federal administrative forfeitures or state court forfeitures.

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## AF CHART 2 -- Criminal and Civil Asset Forfeiture Collections



Examples of asset forfeiture cases successfully handled by the United States Attorneys during Fiscal Year 2001 include the following:

In the **Eastern District of California**, a defendant was sentenced to 24 years and four months in federal prison for aiding and abetting the manufacture of methamphetamine statewide in California through his business, Custom Lab Supply, of Oakland, California. He was also ordered to forfeit \$20,435,850, the amount of cash his company received from January 1, 1995, to October 31, 1997, in exchange for the chemicals and equipment used for methamphetamine manufacturing. On November 4, 1997, arrest warrants and a federal search warrant were served at Custom Lab Supply's office. A jury later convicted the defendant and two others, on 22 of 24 charges against them after a five-week trial. Two co-defendants were sentenced to 19 years and seven months in prison and to ten years in prison, respectively. The same forfeiture judgment entered against the defendant was also entered against the co-defendants as a joint and several liability. All three defendants participated in sales of chemicals and equipment to persons who used them to illegally manufacture methamphetamine. A third co-defendant cooperated with the government in its investigation and testified against the defendants at trial.

In the **District of Colorado**, the United States Attorney distributed nearly \$3.5 million in forfeited drug proceeds to seven state and local agencies, the United States Customs Service, and the Internal Revenue Service. The funds were part of nearly \$6 million in cash seized by Drug Enforcement Agency task force agents from a storage locker in Ft. Collins, Colorado. This was the largest cash seizure ever in the District of Colorado. Nearly \$50,000 more was seized from bank accounts, and the total of over \$6 million was forfeited civilly. The money represents drug proceeds of a nationwide marijuana trafficker. At the same time the money was being seized in Colorado, the defendant was stopped for

a traffic offense while driving through Kansas. During the traffic stop, the defendant and a Colby, Kansas, police officer struggled and the defendant committed suicide. A search of the car revealed another \$3.8 million in cash drug proceeds, which funds were forfeited under Kansas state law. The \$3.5 million was the largest amount ever shared in one case in Colorado.

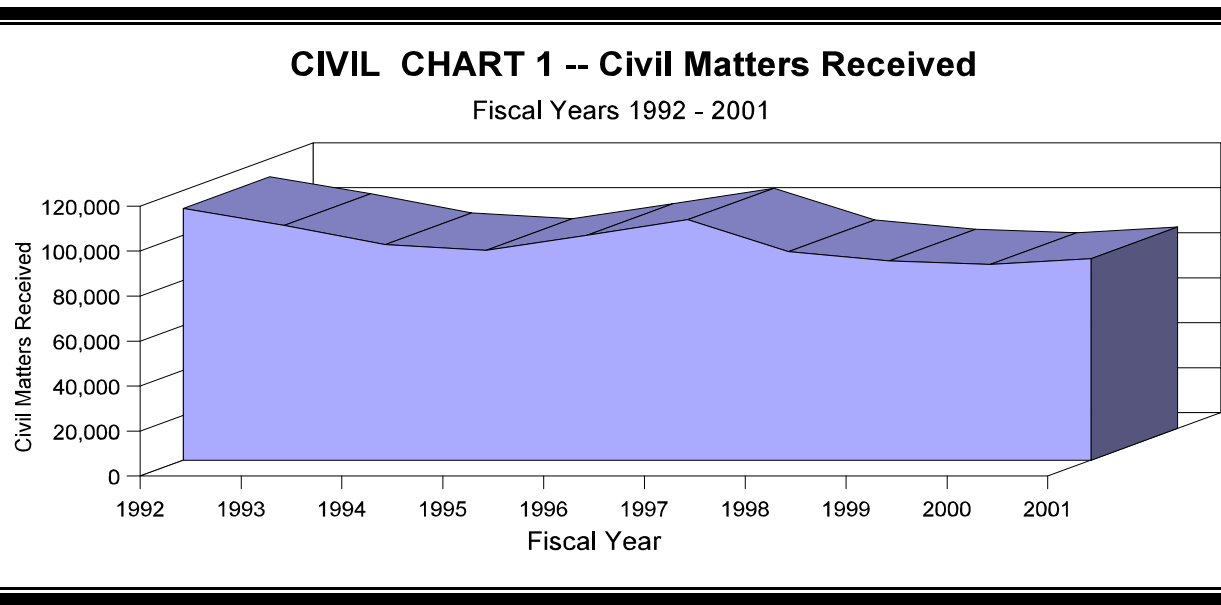
In the **District of Connecticut**, a podiatrist pled guilty to wire fraud and criminal forfeiture and agreed to a civil consent judgment against him in a health care fraud case. The defendant admitted that he performed non-reimbursable routine foot care (toenail clipping) on patients, but sought reimbursement from Medicare by electronically submitting claims for avulsions, a surgical procedure that involves the partial or total removal of toenails. He agreed to the criminal forfeiture of his office building, valued at \$140,840, and also agreed to pay \$986,801 to settle federal civil fraud claims against him. This is the largest civil settlement with an individual health care provider in the district's history. The defendant was also permanently excluded from the Medicare Program. The case against him was formally initiated by an injunction under 18 U.S.C. § 1345, which froze most of his assets.

In the **District of Rhode Island**, the United States District Court granted, in part, a motion to compel defense attorneys to turn over forfeitable assets received as attorneys fees from a money launderer. The money launderer is serving 660 years in prison for laundering drug profits for Colombian traffickers and, as part of his sentence, was ordered to forfeit approximately \$136 million. The government had sought, as substitute assets to satisfy a forfeiture order, approximately \$1.9 million paid to five attorneys who defended the money launderer. The court granted the government's motion as to \$539,485 that was paid to three attorneys after the conviction, but denied it as to \$687,500 that was paid to four attorneys prior to the conviction. The court found that the government had not demonstrated that the attorneys had reason to believe prior to the conviction that the fees came from criminally-derived assets, but that the attorneys should have known after the conviction that the source of the fees was suspect.

## IV. CIVIL LITIGATION

Civil litigation by the United States Attorneys arises in various contexts: affirmative litigation, in which the United States as plaintiff initiates actions to assert and protect government interests; defensive litigation, in which the United States as defendant protects its interests in lawsuits filed against the government; bankruptcy litigation, in which the United States is a creditor, an intervenor, a party in interest, or is otherwise involved in a bankruptcy matter; and a variety of other matters, not easily categorized, in which the United States has an interest and which require the expertise of civil attorneys.

During Fiscal Year 2001, the United States Attorneys' offices received a total of 89,629 civil matters. The client agencies for the majority of civil matters received during the year were the Internal Revenue Service, components of the Department of Justice, and the Department of Agriculture. See Table 6 and Chart 1 below. Of the matters received, 15,334, or 17 percent, were affirmative matters, 53,859 or 60 percent, were defensive matters, and 20,436, or 23 percent were other civil matters. During the same period of time, the United States Attorneys' offices terminated a total of 9,030 matters. United States Attorneys terminate civil matters for a variety of reasons, including when settlements are reached with the opposing party, when referrals are made for agency actions such as administrative recoupments, and when, under the circumstances, declination is appropriate.



Civil matters and cases represent a significant portion of the United States Attorneys' caseload. As of the end of Fiscal Year 2001, there were a total of 125,359 pending civil matters and cases, representing 49 percent of the 255,297 total pending criminal and civil matters and cases in the United States Attorneys' offices. Of the pending civil matters and cases as of the end of Fiscal Year 2001, 25,393, or 20 percent, were affirmative litigation, 80,737, or 65 percent, were defensive litigation, 19,229, or 15 percent, were other civil cases and matters handled by the United States Attorneys. See Table 5.

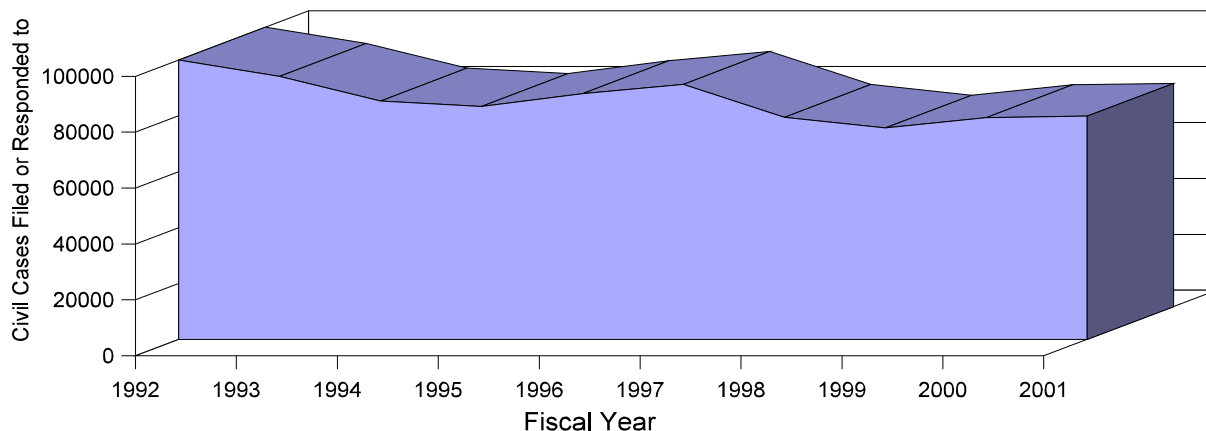
While the pending civil matters and cases represent a diverse range of causes of action, 75 percent of the matters and cases were classified as Bankruptcy, Commercial Litigation, Prisoner Litigation, Program Litigation, and Social Security. See Table 5. The data on Table 5 does not reflect case complexity, and, as with any statistical representation of workload, cannot paint an accurate picture of the time and effort required to investigate and litigate the matters and cases. For example, matters and cases in the areas of asset forfeiture, employment discrimination, constitutional torts, and fraud are some of the most complex cases handled by the United States Attorneys' offices, and represent only 16 percent of all pending matters and cases, but may involve months of investigation, depositions, discovery, and a lengthy trial, while a tax lien case may involve one short appearance before a judge. Nonetheless, each matter and case is treated the same for statistical purposes.

A total of 18,299 civil matters were pending as of the end of Fiscal Year 2001. Of these pending matters, 5,550, or 30 percent, had been pending for less than six months, 8,815, or 48 percent, had been pending for less than 12 months, and 12,706, or 69 percent, had been pending for less than 24 months. See Table 16.

During Fiscal Year 2001, the United States Attorneys' offices filed or responded to a total of 79,854 civil cases, an increase of one percent when compared to the prior year. The client agencies for the majority of civil cases filed or responded to during the year were the Internal Revenue Service, the Department of Justice Agencies, and the Department of Agriculture. See Table 6 and Chart 2 below.

**CIVIL CHART 2 -- Civil Cases Filed or Responded to**

Fiscal Years 1992 - 2001



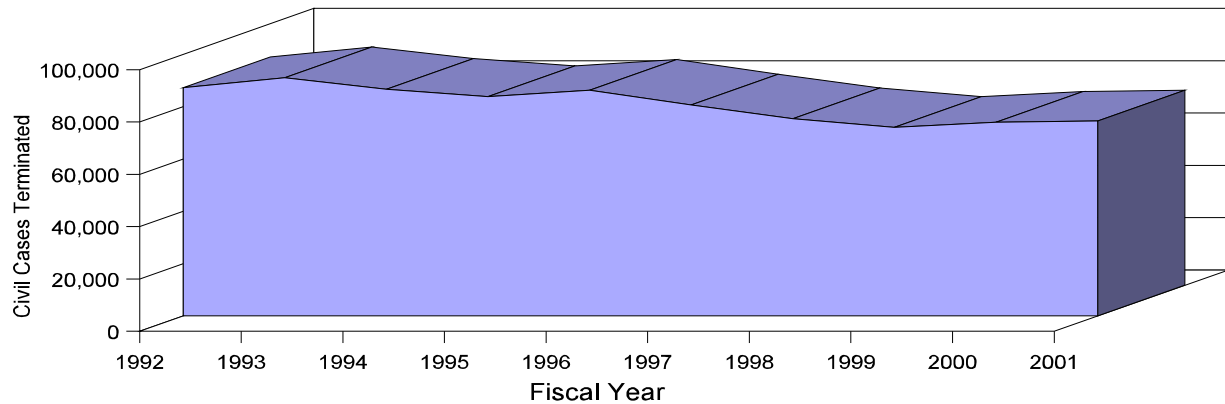
Of the 79,854 civil cases filed or responded to by the United States, 8,545, or 11 percent, were affirmative civil cases, 53,021, or 66 percent, were defensive civil cases, and 18,288, or 23 percent, were other civil cases. Also during Fiscal Year 2001, the United States Attorneys' offices terminated a total of 74,558 cases. Judgments were issued in 29,508, or 40 percent, of these cases. A total of 22,104, or 75 percent, of these judgments were in favor of the United States. Additionally, 17,227, or 23 percent, of the cases were settled. See Civil Chart 3 and 4 below, and Table 5.

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### CIVIL CHART 3 -- Civil Cases Terminated

Fiscal Years 1992 - 2001

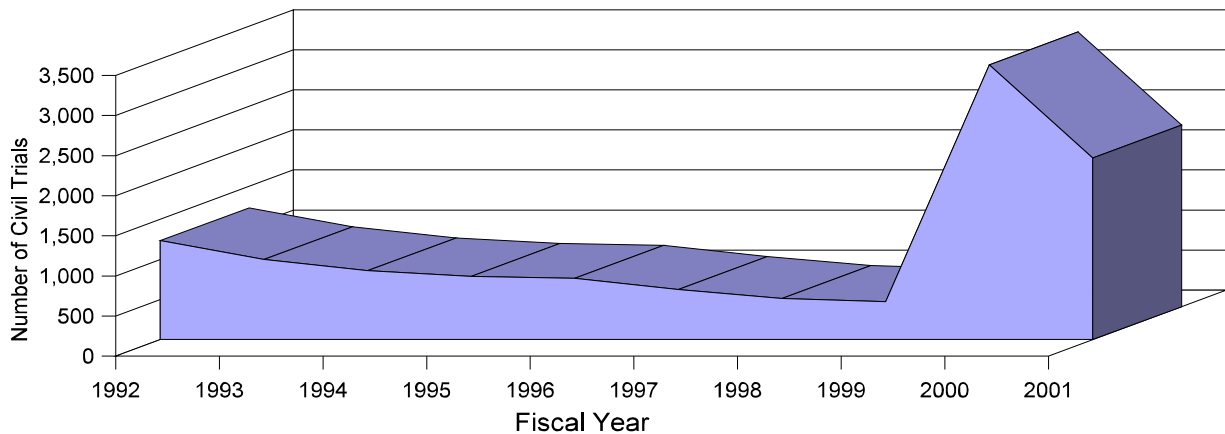


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### CIVIL CHART 4 -- Civil Cases Disposed of by Trial

Fiscal Years 1992 - 2001



Beginning in Fiscal Year 2000, the civil disposition codes used in the LIONS case management system were revised to more accurately represent the outcomes in civil cases. The definitions of the codes used for civil cases disposed of by trial were expanded to include evidentiary hearings. Thus, the Fiscal Year 2000 data for civil cases disposed of by trial cannot be compared to data for prior years.

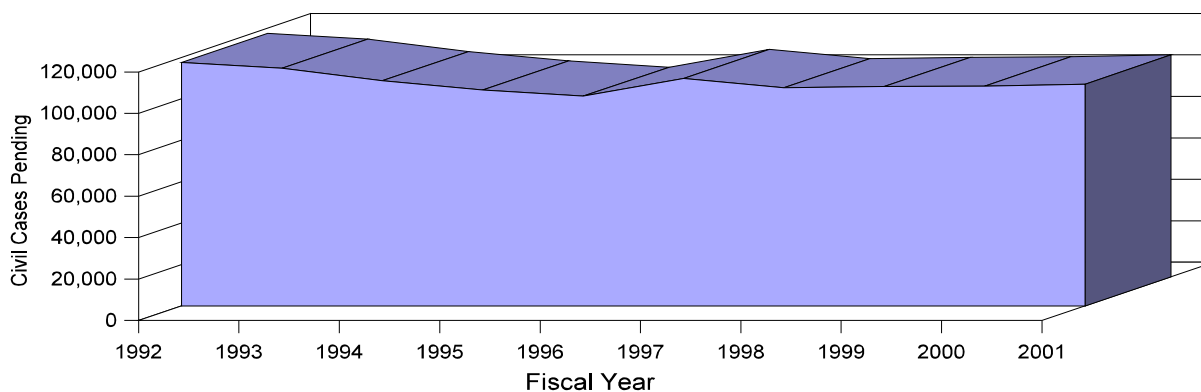
A total of 107,060 civil cases were pending as of the end of Fiscal Year 2001. Of these pending cases, 29,410 or 27 percent, had been pending for less than six months, 50,525, or 47 percent, had been pending for less than 12 months, and 73,288, or 68 percent, had been pending for less than 24 months. See Civil Chart 5 and 6 below, and Table 17.

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### CIVIL CHART 5 -- Civil Cases Pending

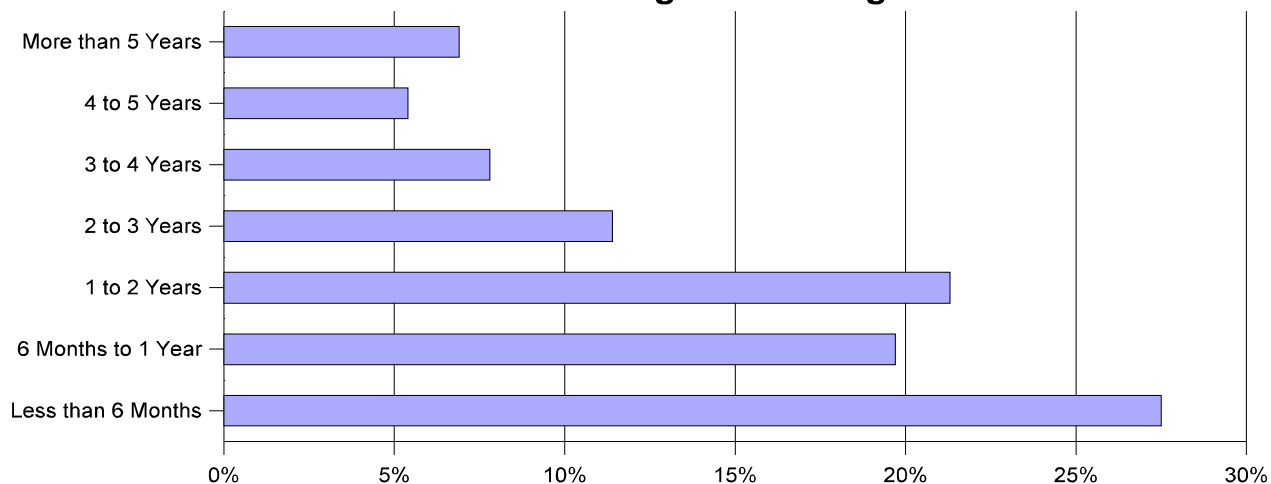
Fiscal Years 1992 - 2001



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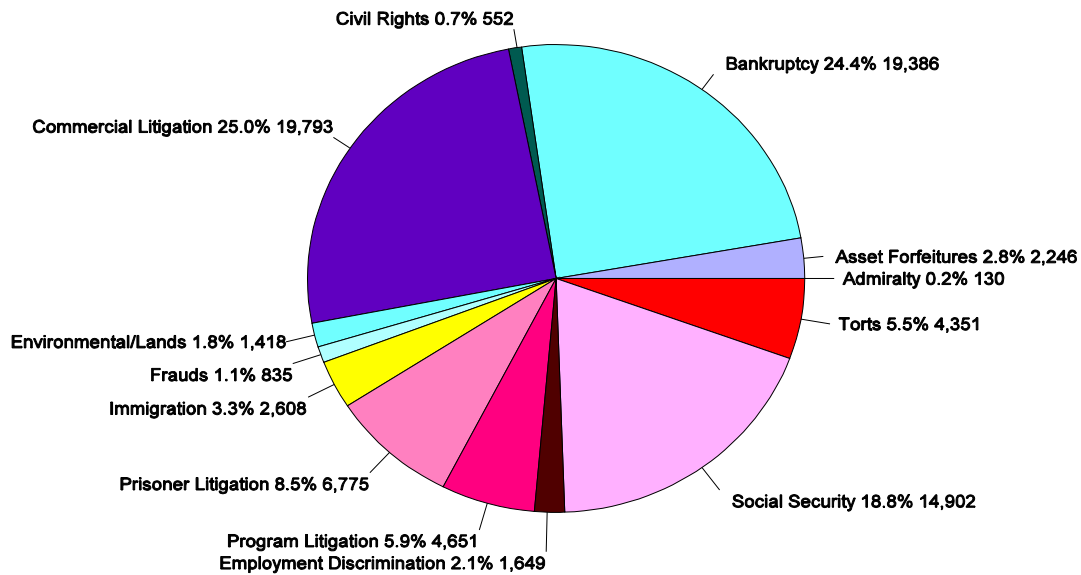
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### CIVIL CHART 6 -- Age of Pending Civil Cases

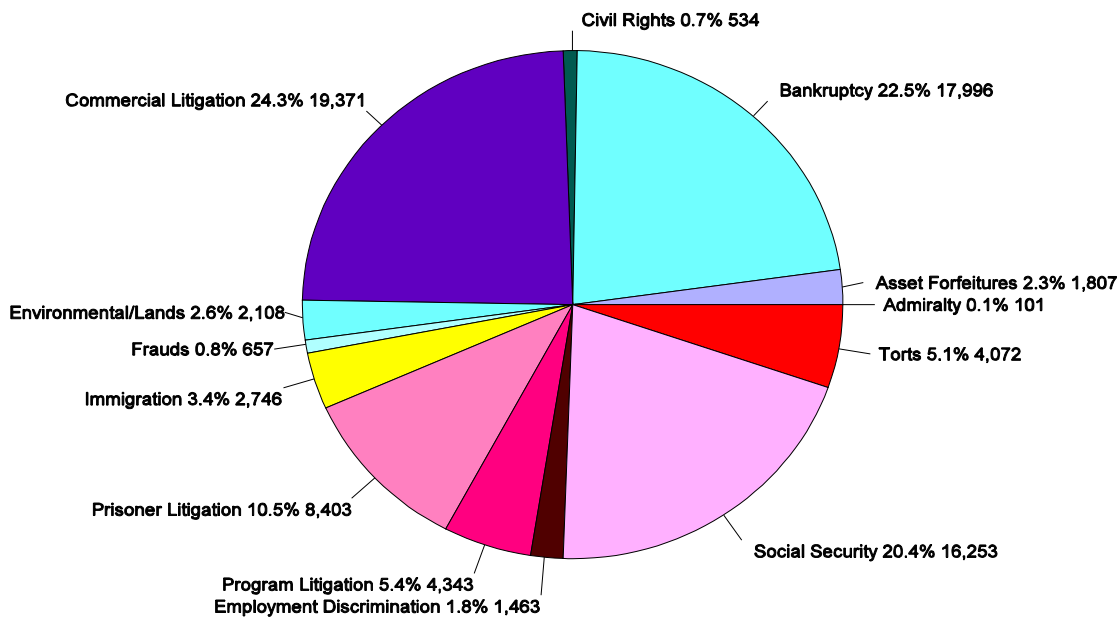


Civil Chart 7 below displays civil cases filed or responded to by cause of action, or case type, during Fiscal Year 2001, while Civil Chart 8 below displays civil cases pending by cause of action or case type as of the end of Fiscal Year 2001.

**CIVIL CHART 7 -- Civil Cases Filed or Responded to by Cause of Action**  
**Fiscal Year 2000 -- Total Cases Filed 79,296**

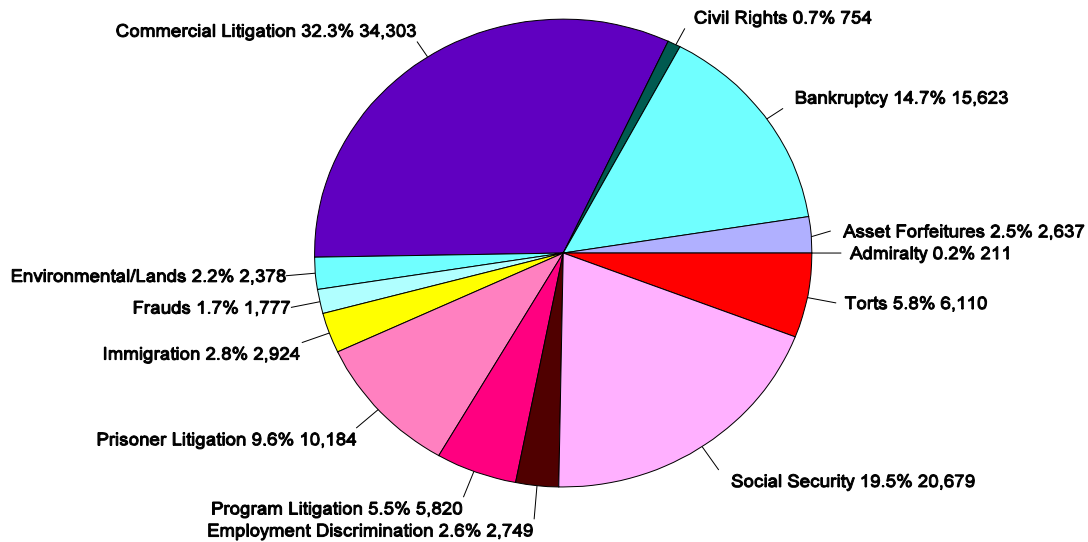


**Fiscal Year 2001 -- Total Cases Filed 79,854**

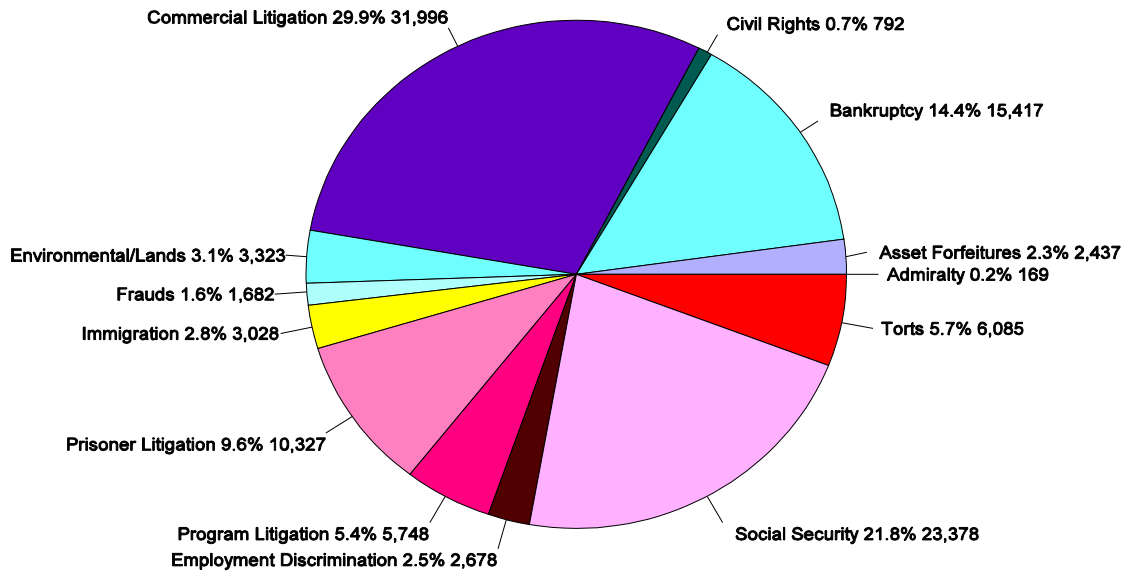




**CIVIL CHART 8 -- Civil Cases Pending by Cause of Action**  
**Fiscal Year 2000 -- Total Cases Pending 106,149**



**Fiscal Year 2001 -- Total Cases Pending 107,060**



## Affirmative Civil Litigation

Affirmative civil litigation includes several practice areas, specifically, affirmative civil enforcement (ACE), civil asset forfeiture, and bankruptcy adversarial proceedings. It also includes two other groups of cases: commercial litigation, which comprises such affirmative cases as collection of defaulted Health Education Assistance loans, National Health Service Corps scholarships, and other student loans; and program litigation, which refers to such affirmative matters as enforcement of administrative subpoenas, judicial assistance provided on behalf of international requests, and tax related cases and matters which are not seeking a tax refund.

The United States Attorneys received a total of 15,334 affirmative civil matters, and 8,545 affirmative civil cases during Fiscal Year 2001. This represents a decrease of two percent in the number of matters received and seven percent in the number of cases filed when compared to the prior year. The client agencies for the majority of affirmative civil matters and cases received were the Internal Revenue Service, the Department of Justice Agencies, and the Department of Agriculture.

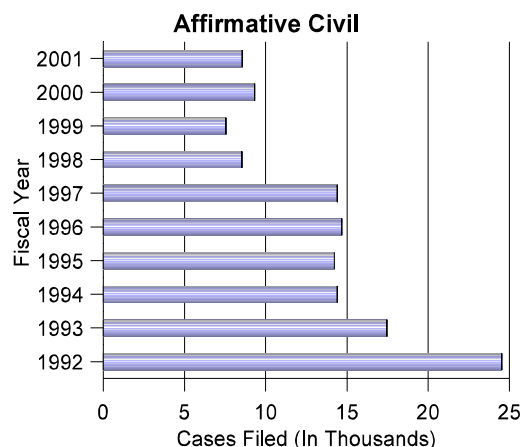
As of the end of Fiscal Year 2001, a total of 25,393 affirmative civil matters and cases were pending, representing 13,641 civil affirmative matters and 11,752 civil affirmative cases. The largest category of affirmative civil matters received was asset forfeiture (28 percent of all matters received), followed by commercial litigation (26 percent) and fraud (14 percent). The largest category of affirmative civil cases filed was commercial litigation (33 percent of all cases filed), followed by asset forfeiture (20 percent) and environmental/lands (15 percent).

During Fiscal Year 2001, 4,874 affirmative civil cases were resolved by judgments, with 4,694 of these judgments in favor of the United States. The United States Attorneys terminated a total of 6,016 affirmative civil matters in Fiscal Year 2001. As noted above, the United States Attorneys terminate matters for a number of reasons including settlements, referrals to agencies for administrative recoupment, and declinations under appropriate circumstances.

## Affirmative Civil Enforcement

The Affirmative Civil Enforcement (ACE) program is an essential component of the United States Attorneys' successful prosecution of fraud, waste, and abuse in federal programs. ACE litigation recovers funds wrongfully paid by the United States, and helps ensure that the government is fully compensated for the losses and damages caused by those who have enriched themselves at the government's expense. Further, beyond recouping the government's losses, ACE advances federal agencies' goals for program integrity by deterring future misconduct.

The primary statutory tool of ACE attorneys is the civil False Claims Act, which provides the United States with a cause of action against any person who knowingly presents, or causes to be presented, a false or fraudulent claim for money or property to the United States; makes or causes to be made a false



statement to get a false claim paid or approved; conspires to defraud the government by getting a false claim paid; or makes, uses, or causes to be made or used, a false statement to conceal, avoid, or decrease an obligation to the government. The statute provides for treble damages for the government’s loss, plus penalties for each false claim.

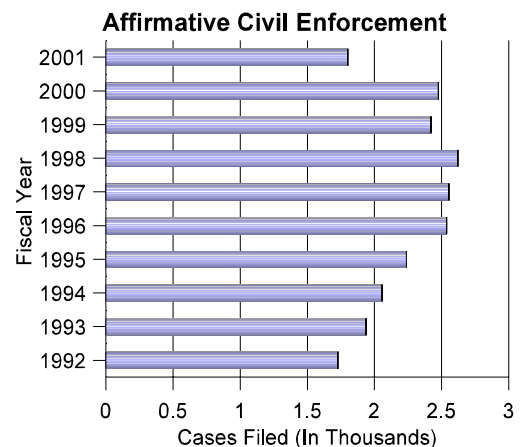
In addition, ACE attorneys may use other statutes and common law legal remedies to recoup monies wrongfully obtained from the United States and obtain compensation for the government’s losses. These include the Medical Care Recovery Act, the Truth in Negotiations Act, the Buy American Act, the Civil Racketeer Influenced and Corrupt Organizations Act, the Anti-Kickback Act, and common law causes of action for fraud, negligent misrepresentation, and breach of contract.

Although many of the False Claims Act matters and cases handled by the United States Attorneys are referred directly from federal or state agencies, a significant number of them result from filings by private persons known as “relators” who file suits on behalf of the federal government under the qui tam provisions in the Act. When a qui tam complaint is filed, the government inquires into the relator’s allegations and decides whether to pursue them. If a qui tam lawsuit ultimately results in a recovery for the United States, the relator may be entitled to share in that recovery.

Another significant aspect of the United States Attorneys’ ACE programs is the use of the civil remedies provided in many federal statutes to enforce the United States’ laws and ensure that those who have imposed illegal burdens on the public accept responsibility for them. Examples include civil cases brought under the Comprehensive Drug Abuse Prevention and Control Act, the Consumer Products Safety Act, and various environmental and civil rights statutes.

In Fiscal Year 2001, the United States Attorneys reported the recovery of \$880 million through the ACE program. This amount does not include an additional \$745 million recovered in a civil settlement with the Healthcare Corporation (formerly Columbia/HCA). Several United States Attorneys’ offices worked with other litigating components of the Department of Justice to achieve this settlement. For accounting purposes within the Department of Justice, the HCA recovery was not recorded as part of the United States Attorneys’ ACE program recoveries for Fiscal Year 2001. If the amount had been included, the United States Attorneys’ ACE recoveries for Fiscal Year 2001 would increase to \$1.6 billion, which compares favorably with the Fiscal Year 2000 recoveries of more than \$1.4 billion. During Fiscal Year 2001, 1,566 ACE cases and matters were settled or resulted in judgments.

During Fiscal Year 2001, the United States Attorneys received 4,071 ACE matters, a decrease of 14 percent when compared with the prior year, and filed or responded to 1,803 ACE cases, a decrease of 27 percent. At the end of Fiscal Year 2001, 8,355 ACE matters and cases were pending, down from 9,528 at the end of Fiscal Year 2000. As in previous years, a major focus of the United States Attorneys’ ACE activities is the prosecution of health care fraud. As of the end of Fiscal Year 2001, 1,746 civil health care fraud matters were pending. A large majority of civil health care fraud cases and matters are settled without a complaint ever



being filed. During Fiscal Year 2001, the United States Attorneys filed or responded to 188 civil health care fraud cases, representing a decrease of 19 percent when compared to the prior year.

There are numerous examples of successful ACE prosecutions during Fiscal Year 2001. Some of these include the following:

In the **Eastern District of California**, Rain and Hail Insurance Service, Inc., paid \$10 million to settle allegations that the company defrauded the government by falsifying documents and inflating claims. The settlement is by far the largest False Claims Act recovery by the Federal Crop Insurance Corporation (FCIC) in its history. The United States alleged that Rain and Hail, in its handling of Fresno area raisin crop insurance claims in 1994, falsely represented to the government that raisins were not reconditionable because of rain damage, thereby causing the FCIC to pay insurance claims submitted by raisin growers. Despite those representations, the raisins were in fact reconditioned and sold at full market value. Moreover, the government alleged that Rain and Hail duplicated, falsified, and fabricated documents used to support the false insurance claims, including Agricultural Marketing Service test forms, reconditioner statements, and reconditioner bids, and also removed weigh tickets evidencing reconditioning from claim files to conceal the fraud. In the settlement agreement, Rain and Hail denied that it submitted false or fraudulent claims to the FCIC.

In the **Districts of Connecticut and New Jersey**, Savin Corporation of Stamford, Connecticut, and Ricoh Corporation of West Caldwell, New Jersey, reached a civil settlement with the United States under which the companies will jointly pay \$400,000 to the government. The United States asserted that Savin and Ricoh, both sellers of photocopying machines, violated the Trade Agreements Act and the False Claims Act by not disclosing that many of the copying machines and materials supplied to the government between 1993 and 1997 were manufactured in countries that were not approved for trade. Specifically, the machines were manufactured in the People's Republic of China and the Republic of Korea, neither of which was approved under the Trade Agreements Act.

In the **Middle District of Louisiana**, the United States Attorney announced a record-setting civil settlement and the unsealing of four guilty pleas and an indictment, all of which resulted from a four-year parallel investigation into the providing of non-approved and substandard coated metal pipe for use in Louisiana highway construction projects from 1992 to 1997. Ispat-Inland Incorporated, formally known as Inland Steel Company of Chicago, Illinois, and Contech Construction Products Incorporated of Middletown, Ohio, the successor in interest to Caldwell Culvert Company of Greenville, Mississippi, agreed to pay the United States and the State of Louisiana a total of \$30 million dollars to settle allegations that arose from two qui tam suits under the False Claims Act. This settlement is the largest fraud recovery in the history of the United States Department of Transportation as well as the Middle District of Louisiana. In conjunction with the civil investigation, a parallel criminal investigation resulted in guilty pleas from four individuals, along with the indictment of a fifth person, all of which were unsealed by the United States District Court.

In the **Eastern District of Texas**, Shell Oil Company agreed to pay \$110 million to resolve allegations under the False Claims Act and administrative claims that it underpaid royalties due for oil produced on federal and Indian leases, from January 1, 1980, until December 31, 1998. Federal leases are administered by the Minerals Management Service of the United States Department of the Interior. Each month Shell is required to report the amount of oil produced and the value of the oil produced on federal and Indian leases. Shell pays royalties based on the value of the oil it reports. The settlement resolved allegations that Shell systematically under-reported the value of oil it produced on federal and Indian leases and, consequently, that it paid less in royalties than it owed. Previously, the Department of Justice reached settlement agreements with several other oil companies for underpayment of royalties, including: BP Amoco, \$32 million; Burlington, \$8.5 million; Chevron, \$95 million; Conoco, \$26 million; Kerr-McGee, \$12.75 million; Mobil Oil, \$45 million; Oxy USA, Inc., \$7.3 million; Pennzoil, \$11.9 million; Sun Oil, \$200,000; Texaco, \$43 million; and Union Pacific Resources, \$2.4 million. The total amount recovered to date is \$392 million.

### **Bankruptcy**

It is in the vital interest of the United States to have a strong voice in bankruptcy proceedings. The primary purposes of bankruptcy are two-fold: a fresh start for the bankruptcy debtor and an equitable distribution of assets to the creditors. The United States usually participates in those bankruptcy cases where it is a creditor for unpaid taxes or uncollected government loans. When a debtor submits to the jurisdiction of the bankruptcy court, a court of equity, the creditors, including the United States in that role, must abide by the provisions of the Bankruptcy Code (Title 11) and the Federal Rules of Bankruptcy Procedure, which severely restrict their collection actions.

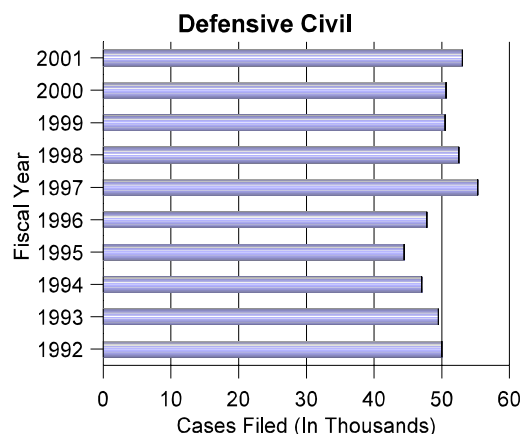
The United States Attorneys filed or responded to a total of 17,996 bankruptcy cases, in which the United States was: the plaintiff in 697 adversary proceedings, or separate litigation within a bankruptcy case; the defendant in 1,600 adversary proceedings, and a creditor or party-in-interest in 15,699 cases which are classified under "other designations." Cases opened under this designation are in response to the filing of a bankruptcy petition by a debtor against whom the United States has a claim, usually referred to a United States Attorney's office by another government agency. Bankruptcy cases filed in United States Bankruptcy Courts for Fiscal Year 2001 totaled 1,437,354, a fiscal year historic high during the 12-month period ending September 30, 2001. Although the United States Attorneys are only involved in a fraction of the bankruptcy cases filed, if the caseload of the United States Bankruptcy Courts is increasing, the United States Attorneys' bankruptcy caseload will follow suit.

### **Defensive Civil Litigation**

As noted previously, the United States Attorneys represent and defend the interests of the federal government in lawsuits filed against the United States, or defensive civil litigation. Such litigation includes, for example, tort suits brought by those who allege they were harmed as a result of government action, the adjudication of Social Security disability claims, alleged contract violations, habeas corpus cases, and race, sex, and age discrimination actions. The United States Attorneys' offices represent and

defend the government in its many roles -- employer, regulator, law enforcer, medical care provider, revenue collector, contractor, procurer, property owner, judicial and correctional system manager, administrator of federal benefits, and others.

All lawsuits filed against the government must be defended, and the number of defensive civil cases handled by the United States Attorneys has represented a significant portion of all civil cases handled during the past several years. During Fiscal Year 2001, the United States Attorneys' offices received 53,859 defensive civil matters from federal agencies. These defensive civil referrals represented 60 percent of all civil matters received during the year. Social Security actions, commercial litigation, prisoner litigation, torts, and program litigation accounted for 87 percent of all defensive civil matters received during the year. See Table 5.



The United States Attorneys represented the government in 53,021 defensive civil cases that were filed in court during Fiscal Year 2001, a five percent increase when compared to the prior year. Defensive civil cases represented 66 percent of all civil cases during the year. During the same period of time, the offices terminated 49,091 defensive civil cases, an increase of one percent when compared to the prior year. Judgments were issued in 23,017 of these cases, with a total of 16,007, or 70 percent, of these judgments in favor of the United States. An additional 5,062, or ten percent, of cases filed against the United States were dismissed. As of the end of Fiscal Year 2001, a total of 79,230 defensive civil cases were pending, an increase of one percent when compared to the prior year.

Social Security cases represented the largest category of cases in the United States Attorneys' defensive civil program. During Fiscal Year 2001, the United States Attorneys responded to 16,199 defensive Social Security cases, which represented 31 percent of all defensive civil cases. The second largest category was commercial litigation, with 16,041 cases responded to, which represented 30 percent of all defensive civil cases.

Unlike affirmative civil litigation where the United States initiates legal action, the successes of defensive litigation are difficult to quantify. In some cases, liability issues must be resolved and the United States Attorney's office represents the interests of the United States in the resolution of those issues. In other cases, the United States may have apparent liability to a plaintiff and the United States Attorney's role is to confirm liability and then negotiate or litigate a reasonable damages award. Often, a plaintiff may sue the United States seeking to enforce a regulation or law, or restrain the United States from enforcing a regulation or law. In these cases, the United States Attorney's office represents not only the fiscal interests of the government, but also the government's intangible interest in the implementation of lawful policies and practices. Many defensive civil cases were handled successfully by the United States Attorneys' offices during Fiscal Year 2001. Some examples include the following:

In the **District of Alaska**, the United States settled Federal Tort Claims Act claims of \$1 million filed against the United States Coast Guard (USCG) for \$25,000. The plaintiff claimed that the USCG was negligent in the way it conducted and supervised its

annual Coast Guard Day picnic. The plaintiff, at the time the spouse of a USCG employee, was a spectator at the children's pinata game. Specifically, she claimed USCG personnel supervising the game failed to control a ten-year-old boy after he hit the pinata with a baseball bat, and his next swing hit her in the head. Although she did not lose consciousness, she was bleeding and was taken to the local emergency room. She later complained of headaches, memory loss, dizziness, vertigo, non-specified brain injury, diffuse muscular pain, and emotional distress for up to three years after the accident.

In the **Central District of California**, the government prevailed in a sexual harassment case involving a housekeeping manager at a Navy Lodge motel in Long Beach, California. The plaintiff alleged that a co-worker, the security manager, sexually harassed her on several occasions and that after reporting the conduct to their superior, the superior retaliated against the plaintiff by unfairly criticizing her work performance. The government defense was based on the incidents that the plaintiff reported as sexual harassment were innocuous events that the plaintiff mis-perceived because of sexual abuse that she suffered as a child at the hands of her father, and the alleged retaliation pre-dated the plaintiff's reporting of the alleged sexual harassment. Particularly persuasive on the first defense was the testimony of an expert witness psychiatrist, who showed the jury that the plaintiff's unfortunate childhood experiences with her father caused her to misinterpret actions by older male authority figures, that is, her superior and her co-worker. As to the second defense, the government effectively showed that the plaintiff's job performance was substandard from the beginning of her tenure, and that the plaintiff made the sexual harassment allegation after she became aware that she was being investigated for fraud.

## **Debt Collection**

During Fiscal Year 2001, more than \$2.3 billion in civil and criminal debts, including those paid to other agencies and the courts, was collected due, in part, to work by the United States Attorneys' offices. In addition, the offices recovered property valued at \$41.8 million, for a grand total of \$2,383,884,821 collected in Fiscal Year 2001. See Table 12E, Grand Totals. The grand totals on Table 12E exclude asset forfeiture recoveries. If estimated recoveries for asset forfeiture were added to the grand totals for Fiscal Year 2001, the amount collected would increase to \$2,582,927,924. The grand total for civil and criminal collections for Fiscal Year 2001 equaled 1.9 times the amount of the entire United States Attorneys' operating budget nationwide. Collections Chart 1 below displays the total amount of criminal and civil debts collected for Fiscal Years 1992 - 2001.

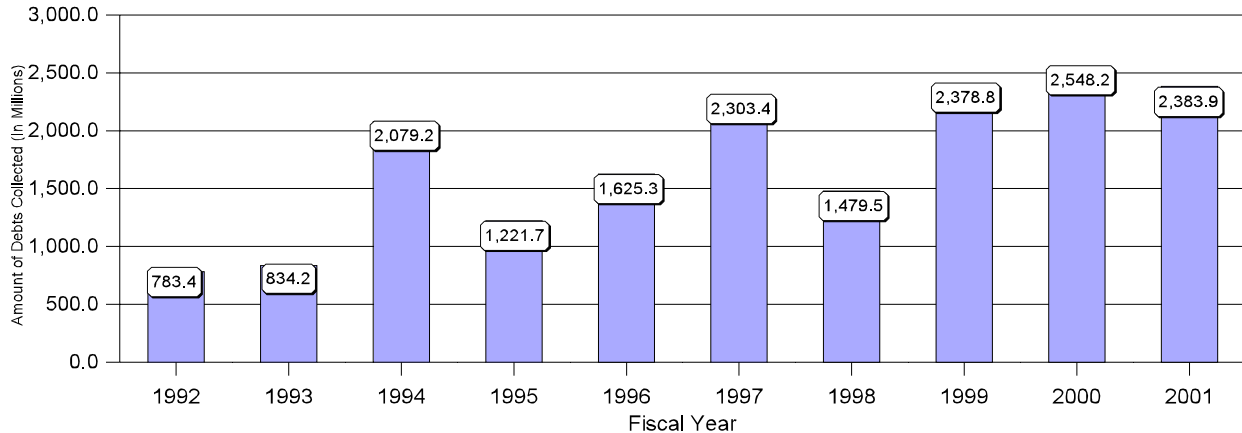
A significant portion of the United States Attorneys' recoveries is the result of debt collection litigation on behalf of federal agencies for the collection of defaulted loans, overpayments, and administratively assessed penalties. In an effort to improve communication and coordination with the United States Attorneys' debt collection clients, representatives of the Executive Office for United States Attorneys met on a regular basis throughout the year with representatives from the Departments of Education, Agriculture, Health and Human Services, Housing and Urban Development, and Veterans Affairs, the Small Business Administration, and the Environmental Protection Agency.

In addition to collecting civil debts for federal agencies, the United States Attorneys' offices are responsible for enforcing the collection of unpaid fines and restitution imposed in federal court in criminal cases. Most fine payments are deposited into the Department's Crime Victims Fund for distribution to

state victim assistance programs. During Fiscal Year 2001, the Crime Victims Fund received deposits of over \$544 million as a result of criminal prosecutions. To further this effort, the Executive Office for United States Attorneys informed hundreds of prosecutors, probation officers, and collection personnel about new restitution laws at local training programs sponsored by United States Attorneys' offices throughout the country. In addition, representatives of the Executive Office for United States Attorneys met regularly throughout the year with representatives from the Administrative Office of the United States Courts to discuss criminal debt collection issues.

## COLLECTIONS CHART 1 -- Criminal and Civil Debts Collected

Fiscal Years 1992 - 2000



The grand total of the 117,620 civil and criminal debts opened by the United States Attorneys during Fiscal Year 2001 amounted to over \$7 billion. The grand total balance due on the 346,253 debts pending as of the end of Fiscal Year 2001 was more than \$23 billion, up from \$18.6 billion the prior year.

### Criminal Debt Collection

Debts are ordered to be collected from a criminal defendant when the defendant is sentenced by the court. These debts may be in the form of restitution to victims of crime, fines imposed by the court to penalize criminals, special assessments on each criminal conviction count, costs of prosecution and other costs, and forfeitures of appearance bonds. Interest may also be collected in certain cases as part of a criminal debt. In instances where restitution is ordered, the United States Attorneys are involved in collecting federal restitution payments, or restitution which is owed to the United States, and in collecting non-federal restitution, or that which is owed to private individuals and entities.

In criminal collections, some of the work performed by the United States Attorneys involves efforts to maximize the recovery of fines, restitution, and special assessments, working with Probation Officers and United States Marshals to collect criminal debts and identify assets, and coordinating with the United States Bureau of Prisons to promote prisoners' payment of their criminal debts through the Inmate Financial Responsibility Program.



During Fiscal Year 2001, the United States Attorneys' offices opened a total of 91,397 criminal debts worth \$4,972,840,017. This represents a four percent increase in the number of criminal debts opened and a 25 percent increase in the amount opened when compared to the prior year. Also during Fiscal Year 2001, a total of 72,448 criminal debts were closed. The United States Attorneys reported collections of \$800,533,564 in cash and \$3,768,390 worth of property, for a total of \$804,301,954 collected in criminal cases by their offices, the courts, and the Bureau of Prisons. The Crime Victims Fund received deposits of over \$544 million during Fiscal Year 2001 as a result of these collection efforts.

A total of 205,295 criminal debts worth \$20,020,919,721 were pending as of the end of Fiscal Year 2001. The number of criminal debts pending represents an increase of seven percent when compared to the prior year, and a 25 percent increase over the dollar amount of pending criminal debts owed when compared with the prior year. See Table 12C - Criminal Total. This table includes the debts on Table 12A - Criminal Debts Owed the United States, and Table 12B - Criminal Debts Owed to Third Parties.

### **Civil Debt Collection**

The United States Attorneys are the federal government's debt collection attorneys, responsible for litigating civil debts for federal agencies. When, for example, federal agencies loan money and the recipients default on repayment of the loans, or federal agencies have paid on guaranteed loans and have not been repaid as provided for in the lending agreement, the United States Attorneys become the legal representatives for the agencies to pursue repayment of the debts. The Departments of Agriculture, Education, Health and Human Services, Housing and Urban Development, Transportation, and Veterans Affairs, and the Small Business Administration are some of these client agencies. Some of the work includes filing suit to gain judgments to collect debts, foreclosing on real property, compelling physicians either to repay or fulfill their commitment to the Public Health Service in return for education grants, suing to set aside fraudulent transfers of property which could be used to satisfy defaulted loans, and managing debtor repayment schedules.

During Fiscal Year 2001, the United States Attorneys' offices opened a total of 26,223 debts worth \$2,031,476,566. The United States Attorneys collected \$1,541,558,813 in payments to satisfy civil debts during Fiscal Year 2001. Additionally, \$38,024,054 in property was collected, for a total of \$1,579,582,867 collected. As a result of collections, debts returned to agencies, assists by other districts, and debts closed as uncollectible, the United States Attorneys were able to close 38,265 debts during the year. As of the end of Fiscal Year 2001, the United States Attorneys' offices had a total of 140,958 pending civil debts worth \$3,033,850,897. See Table 12D - Civil Total.

### **Private Counsel Debt Collection Program**

The Private Counsel Debt Collection Program, which authorizes the referral of certain federal debts to private attorneys for enforced collection, continued in operation during Fiscal Year 2001. The United States Attorneys' offices that participate in the program include those in the Central District of California (Los Angeles), the Northern District of California (San Francisco), the District of Connecticut (New Haven), the District of Columbia (Washington, DC), the Middle District of Florida (Tampa), the Southern District of Florida (Miami), the Northern District of Illinois (Chicago), the Eastern District of Michigan (Detroit), the District of New Jersey (Newark), the Eastern District of New York (Brooklyn), the Northern District of New York (Syracuse), the Western District of New York (Buffalo), the Eastern District of

Pennsylvania (Philadelphia), the Western District of Pennsylvania (Pittsburgh), and the Southern District of Texas (Houston). The debts that were referred to private counsel are included in the Table 12 collections data.

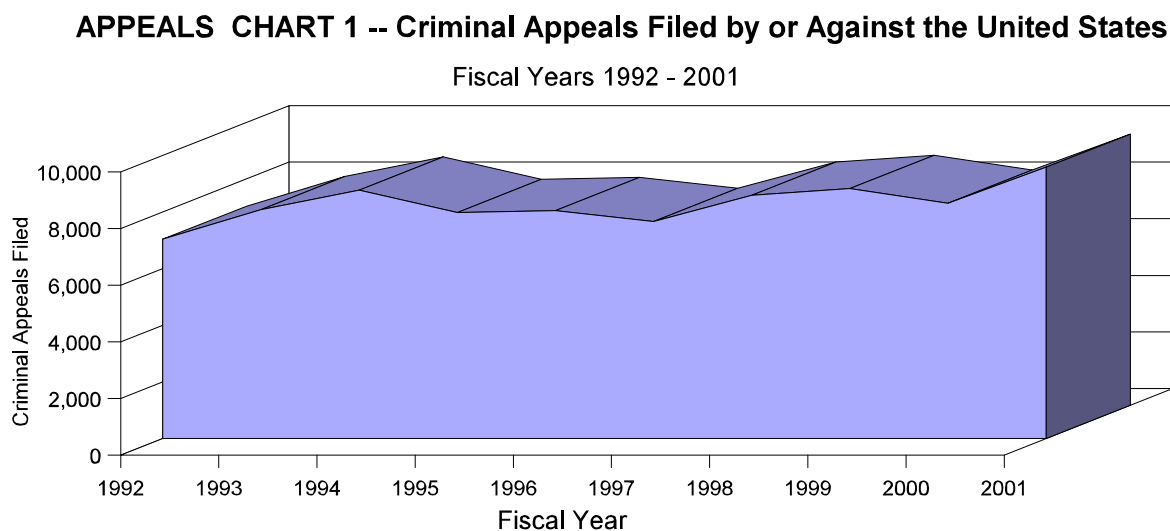
## V. CRIMINAL AND CIVIL APPEALS

### Criminal Appeals

Appeals, in general, are very time consuming, requiring a thorough review of the entire record in the case, the filing of a brief and reply brief and, in most cases, participation in an oral argument which requires travel to the city where the United States Court of Appeals for the circuit is located. Furthermore, the complexity of appellate work and the time required to handle that work increases when convictions are based on complex facts such as those found in organized crime drug enforcement and other narcotics cases, financial institution fraud, organized crime, armed career criminal, public corruption, health care fraud, and computer fraud cases.

As a result of the implementation of the Sentencing Guidelines in November 1987, Assistant United States Attorneys now spend far more time than before on sentencing issues, such as preparing sentencing memoranda, conducting lengthy sentencing hearings, and handling sentencing appeals. While deemed necessary, the additional sentencing and sentencing appeals work associated with the Sentencing Guidelines has affected the United States Attorneys' ability to pursue the investigation and prosecution of more cases.

During Fiscal Year 2001, the United States Attorneys handled a total of 9,581 criminal appeals filed by or against the United States, representing a 15 percent increase when compared to Fiscal Year 2000. See Appeals Chart 1 below.



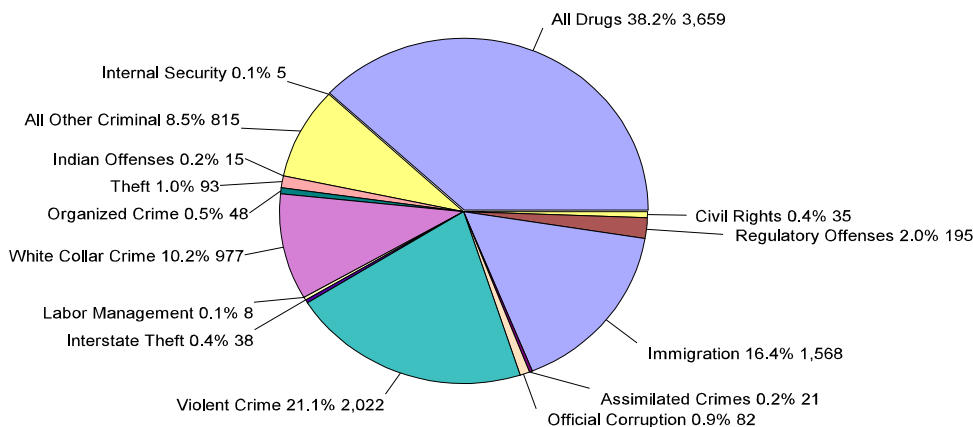
A total of 8,703 appeals were terminated during Fiscal Year 2001, representing an increase of 13 percent in the number of appeals terminated when compared to Fiscal Year 2000. The United States Courts of Appeals ruled in favor of the United States in 7,189, or 83 percent, of the appeals terminated during the year. See Table 7.

The largest category of criminal appeals filed during Fiscal Year 2001 was narcotics, which accounted for 3,659, or 38 percent, of all criminal appeals filed during the year. See Appeals Chart 2 below. Other large categories of criminal appeals included violent crime, with 2,022 appeals filed in Fiscal Year 2001, and immigration, with 1,568 appeals filed during the year. Immigration appeals increased by 37 percent in Fiscal Year 2001 when compared to Fiscal Year 2000, continuing the trend of the past several years and resulting in a 912 percent increase over Fiscal Year 1992.

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## APPEALS CHART 2 -- Criminal Appeals Filed by Program Category

Fiscal Year 2001 -- Total Appeals Filed 9,581



Examples of criminal appeals successfully handled by the United States Attorneys during Fiscal Year 2001 include the following:

In a case from the **Southern District of Florida**, the United States Court of Appeals for the Eleventh Circuit reinstated the RICO conspiracy convictions of two defendants, formerly attorneys for the Cali Cartel. The Court of Appeals also affirmed the defendants' convictions for money laundering conspiracy and denied their request for a new trial. The defendants were found guilty by an eleven-member jury, after the District Judge dismissed one juror during deliberations, finding that she "was set on a course of disregarding the law." The Court of Appeals found that the District Court had not abused its discretion in dismissing the juror. The Court of Appeals further found that the government had presented sufficient evidence that the defendants knew that the money they received from the head of the cartel and transmitted to arrested cartel members and their families were narcotics proceeds or

had come from funds commingled with narcotics proceeds. The court found that the defendants knowingly engaged in transactions designed to conceal the source of the funds when the defendants arranged for and delivered payments to family members of arrested cartel members, such payments being contingent upon these members remaining silent about the cartel and signing false affidavits. The court found that the RICO conspiracy verdicts should be reinstated because, in addition to the money laundering acts, the evidence had shown the defendants' participation in the cartel's scheme to obstruct justice by collecting false sworn affidavits from its arrested members in order to assist the cartel's head from being extradited to and prosecuted in the United States.

In the **District of Minnesota**, a defendant was re-sentenced to ten years in prison for running an advance fee scheme that defrauded dozens of individuals and businesses of an estimated \$3.5 million. The defendant was also ordered to pay \$2.7 million in restitution. In April 2000, the United States Court of Appeals affirmed his wire fraud and money laundering convictions. The Court of Appeals also found the trial court erred in departing downward from the applicable money laundering Sentencing Guidelines range, which the government had contended was 151-188 months, when it sentenced the defendant to seven years and three months in prison, and the case was remanded for re-sentencing. As part of the defendant's scheme, he promised those seeking financing that his company could and would fund their loans provided they paid the required "fees" in advance, including a \$10,000 expense retainer. Later, clients were asked to pay a "commitment fee," as well as additional funds for the cost of preparing the closing documents. Trial evidence showed that the defendant never intended to fully fund any loan, and neither he nor his company had enough funds to fund any loan request.

In the **District of New Jersey**, an Assistant United States Attorney was investigating an attorney for laundering the proceeds of narcotics activities. The attorney who was the subject of the investigation was representing a narcotics conspirator in the **Northern District of Florida**. The Assistant United States Attorney from Florida working on the conspiracy case requested information about whether the attorney should be disqualified because of a potential conflict of interest. The Assistant United States Attorney in New Jersey revealed that an investigation was on-going and that the attorney was the subject. Rejecting the attorney's claim of a violation of the Federal Rules of Criminal Procedure 6(e) which addresses grand jury secrecy exceptions, the United States Court of Appeals for the Third Circuit ruled that Rule 6(e)(3), which permits disclosure of matters before the grand jury to "an attorney for the government for use in the performance of such attorney's duty," is not restricted to disclosures to attorneys conducting the same "criminal matters" or on the same "prosecution team," despite use of such language in the Supreme Court's decision in United States v. Sells Engineering, Inc. The Court of Appeals also ruled that Rule 6(e)(3) is not restricted to Assistant United States Attorneys in

the same district. Instead, the Court of Appeals held that disclosure is permitted “to any attorney for the government for use in the performance of his duty to enforce federal criminal law.”

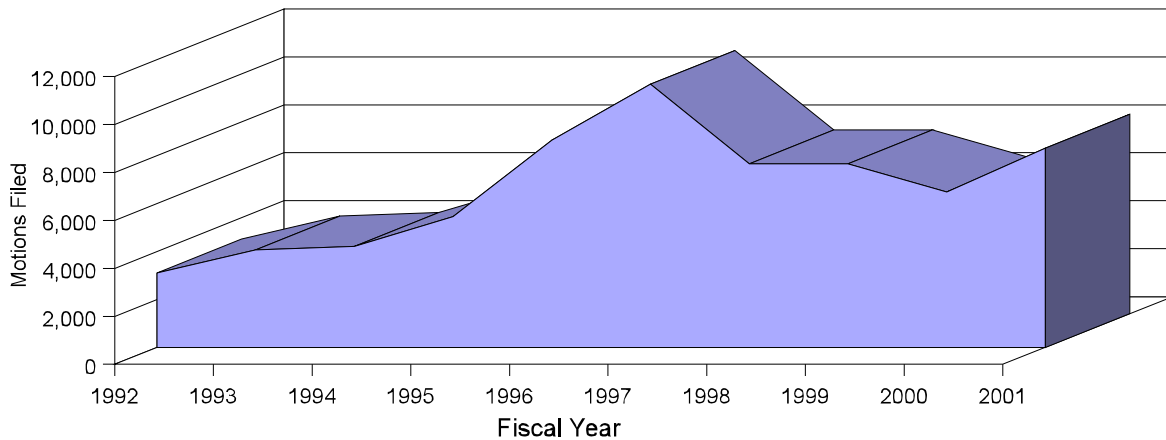
### Post-Sentencing Motions

Between Fiscal Year 1988, when the Sentencing Guidelines went into effect, and Fiscal Year 1997, there was a dramatic increase year to year in the number of post-sentencing motions filed by incarcerated defendants under 28 U.S.C. § 2255. These motions, filed by defendants primarily to vacate a sentence, increased from 1,500 in Fiscal Year 1988 to 10,974 in Fiscal Year 1997, or a 632 percent increase. In Fiscal Year 1997 alone, the number increased by 2,342, or 27 percent, when compared with the prior year. See Appeals Chart 3 below. These post-sentencing motions are in addition to the criminal appeals discussed above. The work required of Assistant United States Attorneys to respond to these motions is time consuming and burdensome.

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**APPEALS CHART 3 -- Post Sentencing Motions Filed by Incarcerated Defendants**

Fiscal Years 1992 - 2001



The Prison Litigation Reform Act, which included provisions intended to curb abuses and excesses in prisoner litigation, was enacted in Fiscal Year 1996, and was expected to result in a reduction in post-sentencing motions. The Act required that prisoners pursue an administrative claim before a complaint could be filed in United States District Court, made prisoners responsible for filing fees, and subjected prisoners to sanctions for frequent and frivolous claims. However, two factors, perhaps among others, caused the number of motions to continue to increase during Fiscal Years 1996 and 1997. The United States Supreme Court’s decision in Bailey, which changed in a major way how the law was viewed in firearms cases, resulted in the subsequent filing of additional post-sentencing motions. This decision led many inmates who had received enhanced penalties to

file for sentence reductions. Additionally, because the newly enacted Prison Litigation Reform Act included a one-year statute of limitations, many incarcerated defendants and defense lawyers quickly filed hundreds of motions.

The reduction in the volume of prisoner litigation that was expected after the Prison Litigation Reform Act was enacted appears to have occurred during Fiscal Year 1998 and again in Fiscal Year 1999. During Fiscal Year 1998, 7,592 post-sentencing motions were filed, a decrease of 3,382, or 31 percent, when compared to the prior year. In Fiscal Year 1999, this decrease continued with 6,652 motions filed, showing a further decline of 12 percent when compared to Fiscal Year 1998. See Appeals Chart 3 above. Although that trend slowed, the number of motions filed continued to decrease in Fiscal Year 2000 with 6,489 post-sentencing motions filed, a decrease of three percent when compared with Fiscal Year 1999. In Fiscal Year 2001, there was a resurgence in these filings to 8,311, for an increase of 28 percent over Fiscal Year 2000. The 8,311 motions filed in Fiscal Year 2001 represented a 454 percent increase over Fiscal Year 1988 when the Sentencing Guidelines went into effect.

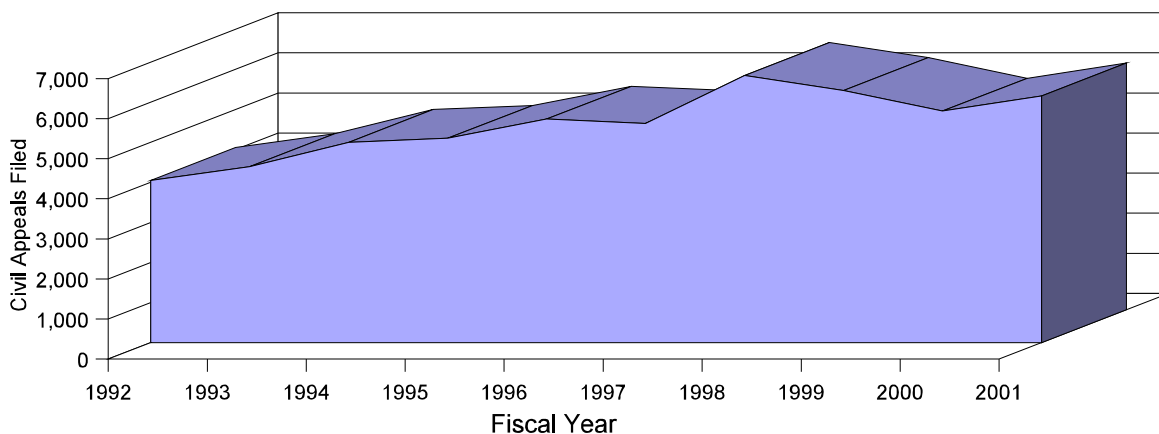
## Civil Appeals

During Fiscal Year 2001, the United States Attorneys' offices also handled a total of 6,158 civil appeals filed by or against the United States. This represents an increase of seven percent in the number of appeals handled when compared to the prior year. See Appeals Chart 4 below. The United States Attorneys terminated 5,720 civil appeals during the year, an increase of one percent when compared to the prior year. Of the appeals terminated during the year, 4,390, or 77 percent, were decided in favor of the United States. See Table 7.

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**APPEALS CHART 4 -- Civil Appeals Filed by or Against the United States**

Fiscal Years 1992 - 2001



The following is an example of a civil appeal successfully handled by a United States Attorney during Fiscal Year 2001:

In the **District of Minnesota**, in a case of first impression, the United States Court of Appeals for the Eighth Circuit held that the United States Department of Housing and Urban Development (HUD) was immune from a city's enforcement of its nuisance abatement ordinance. The Court of Appeals affirmed the District Court's issuance of a permanent injunction against the City of St. Paul, which prevents the city from ordering HUD to abate any nuisance condition that the city identifies in any home owned by HUD and from demolishing, as a nuisance building, any structure located on property owned by HUD. The United States commenced a declaratory judgment action against the city after the city threatened to demolish homes acquired by HUD under the Single Family Mortgage Insurance Program. Under HUD's regulations, HUD makes repairs only to immediate hazards in the homes it acquires



under the program and then sells the homes "as is" without warranties or repairs. When the city moved to demolish a HUD home for which HUD had found a buyer, the United States sought an injunction against the city, which was issued by the United States District Court. The Court also entered summary judgment in favor of the United States, ruling that the city's ordinance directly interfered with HUD's affordable housing obligations under the National Housing Act. The city then appealed the case to the Court of Appeals, after its motion for a stay of the injunction was denied. On August 2, 2001, the Court of Appeals held that the city's ordinance "retards, impedes, burdens, and interferes with" HUD's operation of a constitutional federal law, the National Housing Act. The court noted that HUD must be able to carry out its federal functions in "a relatively uniform fashion" and that HUD cannot be subjected to "a vast multitude of municipal ordinances throughout the United States," especially ordinances that require HUD to spend federal funds, post performance bonds, and obtain local building permits. The United States Supreme Court is currently considering a certiorari petition from the City of St. Paul regarding the preemption of HUD property disposition rules.

## VI. RECONCILIATION OF FEDERAL CASE PROCESSING DATA

The Executive Office for United States Attorneys, Administrative Office of the United States Courts, and United States Sentencing Commission each collect data describing criminal case processing events. However, different methods of reporting and different definitions are used to identify, tabulate and report the information based on the specific needs and missions of the individual agencies. For example, the Administrative Office of the United States Courts publishes data that identifies cases based upon the criminal charge carrying the longest sentence that could be imposed. In contrast, the Executive Office for United States Attorneys, which maintains case data for the 94 United States Attorneys' offices, publishes criminal case and defendant data based upon program categories or criminal case types, such as violent crime, official corruption, or organized crime. See Table 3. As a result of such differences, data published by these three agencies are not readily comparable.

The Executive Office for United States Attorneys started classifying case and defendant data by program category, or criminal case type, in Fiscal Year 1992. Prior to that, the data was classified and displayed by criminal offense. Also in Fiscal Year 1992, greater emphasis was placed on the prosecution of violent crime. This resulted in a change in the way some criminal cases, primarily narcotics cases, were classified. Those cases involving narcotics that would otherwise be classified as narcotics cases based on the statutes charged were classified as violent crime cases if the defendants were also charged with a firearms offense or other violent crime, such as murder. Thus, beginning in Fiscal Year 1992, not all narcotics cases or cases in which narcotics offenses were charged were classified and displayed under the Narcotics and Dangerous Drugs or the Organized Crime Drug Enforcement Task Force program categories on Table 3.

Beginning in Fiscal Year 1996, in an effort to eliminate confusion and display both violent crime and narcotics case data without double counting, the Executive Office for United States Attorneys further broke out Table 3 to show those narcotics cases included under the Violent Crime program category. This display of the case data allows users of Table 3 to see all narcotics cases without losing information on an equally important criminal prosecution area, violent crime. The display also shows those narcotics cases classified under the Government Regulatory Offenses/Money Laundering program category. It should be emphasized that the display of data on Table 3 does not result in the double counting of cases. The Executive Office for United States Attorneys has not double counted cases in the past and has maintained its long-standing policy that cases should not be double counted in displaying data on Table 3.

The Attorney General recognizes the need for greater consistency in the way the federal criminal agencies capture and report information and, to this end, components of the Department, the Administrative Office of the United States Courts, and the United States Sentencing Commission began working together in Fiscal Year 1997 to address the issue of data reconciliation. During Fiscal Years 1997 and 1998, representatives of this interagency Data Reconciliation Working Group met on a regular basis to identify data differences and try to make the data more compatible and readily understandable. The working group provided a report to the Attorney General, entitled Comparing Case Processing Statistics, that summarized the findings of the working group in identifying data differences. A copy of the report is provided on the following pages. The working group also conducted a comparison of terminated defendants contained in the Executive Office for United States Attorneys and Administrative Office of the

United States Courts' databases for four judicial districts. This comparison showed that the majority of defendants matched. For a complete discussion of the reconciliation of federal case processing statistics, see the report Reconciling Federal Criminal Case Processing Statistics, which was published by the Department of Justice's Bureau of Justice Statistics in September 1999.



◆ Administrative Office of the United States Courts ◆ Bureau of Justice Statistics  
◆ Executive Office for the United States Attorneys ◆ Federal Bureau of Prisons  
◆ United States Sentencing Commission

April 1998, NCJ-169274

# Comparing Case Processing Statistics

Information describing Federal criminal case processing is decentralized. Each of the Federal criminal justice agencies collects similar data describing criminal case processing events. However, based on the specific needs and missions of the individual agencies, different criteria are used to tabulate and report these data. Therefore, statistics published or reported by the agencies are not directly comparable. The differences are primarily due to the way in which the agencies:

- Tally *defendants and cases processed*
- Define *defendants processed*
- Classify *offense committed*
- Classify *disposition and sentence imposed*.

## Federal criminal justice agencies

The *Department of Justice* collects data on the workload and activities of the United States attorneys. According to the Executive Office for the U.S. Attorneys, the U.S. attorneys initiate approximately 95 percent of the criminal cases prosecuted by the Department. Litigating divisions of the Department handle the remaining cases. While many of the cases initiated by the litigating divisions are included in the U.S. attorneys' database, each division maintains its own separate data collection system to track cases processed.

**Comparison of the number of defendants processed by Federal agencies, 1996**

| Defendants —            | All offenses                        |  |                            |                           | Drug trafficking offenses only      |  |                            |                           |
|-------------------------|-------------------------------------|--|----------------------------|---------------------------|-------------------------------------|--|----------------------------|---------------------------|
|                         | Executive Office for U.S. Attorneys | Administrative Office of the U.S. Courts | U.S. Sentencing Commission | Federal Bureau of Prisons | Executive Office for U.S. Attorneys | Administrative Office of the U.S. Courts | U.S. Sentencing Commission | Federal Bureau of Prisons |
| Cases filed             | 58,141                              | 67,700                                   | NA                         | NA                        | 20,788                              | 21,528                                   | NA                         | NA                        |
| Cases terminated        | 52,366                              | 62,946                                   | NA                         | NA                        | 18,692                              | 19,267                                   | NA                         | NA                        |
| Convicted and sentenced | 45,380                              | 52,270                                   | 42,436                     | NA                        | 16,083                              | 16,461                                   | 16,251                     | NA                        |
| Imprisoned              | 33,136                              | 37,579                                   | 33,962                     | 35,254                    | 14,432                              | 15,535                                   | 15,371                     | 15,203                    |

The table illustrates how these differences affect the number of defendants reported by the agencies at various stages of the Federal criminal justice system. For example, the difference in the number of defendant in cases filed that is reported by the U.S. attorneys and the Federal judiciary is primarily attributable to the handling of defendants in cases filed before U.S. magistrates: the judiciary includes these defendants in their official statistics whereas U.S. attorneys do not.

The differences identified can be reconciled by standardizing certain case processing concepts. The agencies agreed that the Bureau of Justice Statistics will reconcile the case processing statistics reported by the agencies. These reconciled statistics will be part of the annual report *Federal Criminal Case Processing Statistics*. This report, which will highlight specific aspects of the Federal criminal justice system, will conform to the standards used in the BJS *Compendium of Federal Justice Statistics*. The *Compendium*, also an annual report provides greater detail about defendants processed at each phase of the Federal criminal justice system.

The *Administrative Office of the United States Courts* collects data on the caseload and activities of the Federal judiciary including district court judges, magistrate judges, and the probation and pretrial services system. The Federal judiciary handles all Federal criminal cases whether initiated by the U.S. attorney offices in each judicial district or by other divisions within the Department of Justice.

The *United States Sentencing Commission*, while not collecting workload statistics, collects data on defendants convicted in Federal courts who were sentenced pursuant to the provisions of the Sentencing Reform Act of 1984. It is estimated that approximately 86 percent of all defendants convicted are sentenced pursuant to the Federal sentencing guidelines.

The *Bureau of Prisons* collects data on Federal prisoners. Reported statistics include size (including admissions, releases, and standing count) and composition of the Federal prison population.

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## Comparing published Federal case processing statistics

While each of the Federal agencies processing criminal defendants generally reports on the same individuals, many of the case processing statistics vary across agencies. The differences in these reported statistics are attributable, in part, to the differing needs and missions of the agencies. The primary differences in data collection techniques are described below:

### *Tally of defendants and cases processed*

While each agency's statistical publication reports on the same 12-month period (October 1 through September 30), the agencies' reports do not necessarily describe the same records. Except for the U.S. attorneys, the agencies report on those records for which an event actually *occurred* during the reporting period. The U.S. attorneys, on the other hand, report on those records for which an event was recorded or *posted* in their database during the reporting period even if the event actually occurred prior to the start of the reporting period. In addition, because the Sentencing Commission relies on the submission of paper documents, cases for which documents were received after a certain cut-off date are not reported.

### *Defendants processed*

Even though there is an identifiable cohort of individuals processed in the criminal justice system, the definition of a defendant can vary across agencies. The U.S. attorneys and the Federal judiciary have similar definitions of a criminal defendant. The U.S. attorneys define a criminal defendant as a person for whom a significant paper has been filed in Federal court before either a U.S. district court judge or a U.S. magistrate judge. The Federal judiciary defines a criminal defendant as a person against whom a U.S. district court judge or a U.S. magistrate judge has taken a specific action. (While the U.S. attorneys and the Federal judiciary define defendants in similar ways, the U.S. attorneys report separately the number of cases filed before U.S. magistrate judges.) For both the U.S. attorneys and the Federal judiciary, an individual person may be counted more than once if the defendant appeared in more than one case. The Sentencing Commission, on the other hand, defines a defendant as a person for whom a sentencing event has occurred. Unlike the U.S. attorneys and the Federal judiciary, the Sentencing Commission counts individual defendants more than once only if the defendant was sentenced on more than one occasion. Therefore, defendant counts reported by the Sentencing Commission are typically lower than those reported by the U.S. attorneys and the Federal judiciary.

### *Offenses committed*

The Federal code defines hundreds of laws for which defendants can be criminally prosecuted. While all agencies collect statute-specific offense data, data describing criminal statutes are typically consolidated into more descriptive offense categories for reporting or management purposes. Even though each agency consolidates the Federal statutes using the same generic criteria, like the substance of the offense (e.g., murder, fraud, and drugs), the composition of these categories often varies by agency. Unlike offense categories reported by the Federal judiciary, the U.S. Sentencing Commission, and the Bureau of Prisons, those reported by the U.S. attorneys are not based entirely on statutes. The U.S. attorneys assign program categories and charges according to the type of criminal action

or specific departmental initiative. For some offenses, particularly fraud offenses, the U.S. attorneys' program categories are more descriptive than the offense categories used by other agencies. For example, an offense charged under a specific fraud statute would be assigned a program category by the U.S. attorneys that details a specific type of fraud, e.g., health care fraud; or, an offense charged under a weapons statute might be assigned a program category that indicates *Project Triggerlock* if the defendant was a repeat weapons offender.

The U.S. attorneys assign the most significant, or most serious, offense in a manner that also differs from the Federal judiciary, the Sentencing Commission, and the Bureau of Prisons. The U.S. attorneys assign the most significant offense based on the priority of a particular program category within the Department of Justice, whereas the other agencies define the most significant offense based on the offense statutory maximum (or, in the case of the Bureau of Prisons, the actual sentence imposed).

### *Disposition and sentence imposed*

Only the U.S. attorneys and the Federal judiciary report on the number of defendants in cases terminated during a given reporting period. In describing the offenses for which defendants were convicted, classification of the terminating offense differs by agency. The U.S. attorneys report the original program category assigned regardless of whether the defendant was convicted of the charge associated with that program category. The other agencies report the most serious offense for which the defendant was actually adjudicated.

Each agency reports whether imprisonment or probation was imposed and the duration of the term as well as whether a fine and/or restitution was ordered. However, the reporting of sentences imposed has a different priority within each agency. For instance, in furtherance of its mission to promulgate the Federal sentencing guidelines, the Commission collects the most detailed data on sentences imposed and the mechanisms for determining the sentence.

## Bureau of Justice Statistics

Recognizing the incomparability of case processing statistics across Federal agencies, in 1982, the Bureau of Justice Statistics implemented the Federal Justice Statistics Program. The goals of the Federal Justice Statistics Program are to provide uniform case processing statistics across different stages of the Federal criminal justice system and to track individual defendants from one stage of the process to another. Using data obtained from each of the Federal agencies, the Bureau of Justice Statistics compiles comprehensive information describing individuals processed in the Federal criminal justice system. Uniform definitions are applied to commonly used statistics describing data from each stage of the criminal justice process. Further, because the definitions used in the Federal Justice Statistics Program are consistent with the definitions used by other Bureau of Justice Statistics programs, the comparison of Federal and State case processing statistics is facilitated.

In addition to the reconciled statistics, the BJS publishes two series of publications describing the Federal criminal justice system: the *Compendium of Federal Justice Statistics*, an annual publication that describes events occurring in the Federal criminal justice system, and a series of Special Reports addressing specific aspects of the Federal criminal justice system, specific offenses, or other special issues of interest.

## VII. CONCLUSION

The United States Attorneys' Annual Statistical Report is intended to provide a narrative and statistical summary of the work of the United States Attorneys' offices during Fiscal Year 2001. The report serves to illustrate the many prosecution and litigation accomplishments of the men and women who work in the offices. The report also addresses the significant, and critically important, liaison work that is performed by the United States Attorneys and their staffs with federal, state, and local law enforcement officials, the victims of crime, local communities, schools, and other organizations.

At the end of Fiscal Year 2001, the United States witnessed the brutal terrorist attacks of September 11th. The United States Attorneys' antiterrorism efforts are bringing together law enforcement at all levels, federal, state, local, and international, in order to share information in the prevention of terrorist acts worldwide. During Fiscal Year 2001, the United States Attorneys addressed the continuing, illegal use of firearms by those who perpetrate crimes and accompanying acts of violence in our communities. The President and the Attorney General introduced Project Safe Neighborhoods to invigorate the enforcement of gun laws. Drug prosecutions continued to be a priority of the United States Attorneys with the emphasis on large drug organizations. The Organized Crime Drug Enforcement Task Forces targeted these groups and made significant progress during Fiscal Year 2001. The United States Attorneys continued their immigration initiatives and their work with other Department components to secure the country's borders. They also continued to prosecute white collar crime, official corruption, and civil rights violations. The United States Attorneys worked with other components of the Department of Justice and other federal agencies to address and deter criminal activity with initiatives such as the National Money Laundering Strategy, which partners the Department of Justice with the Department of the Treasury to combat money laundering on a broad range of fronts. As always, the United States Attorneys worked diligently in Fiscal Year 2001 to prosecute and curb criminal offenses across the country.

In the judicial asset forfeiture area, the United States Attorneys used both the criminal and civil asset forfeiture laws to strip away, by court procedures containing due process protection, criminally used and criminally acquired property from drug dealers, money launderers, racketeers, and other criminals.

In addition, the United States Attorneys asserted and defended the interests of the United States through their work in the civil arena. During the year, Assistant United States Attorneys continued their work in Affirmative Civil Enforcement (ACE). ACE is important as a powerful legal tool to help ensure that federal funds are recovered, that federal laws are obeyed, and that violators provide compensation to the government for losses and damages they cause as a result of fraud, waste, and abuse of government funds and resources.

The United States Attorneys also continued to aggressively pursue criminal and civil debts due to the government. In Fiscal Year 2001, the offices together collected more than \$2.3 billion due the United States, an amount far exceeding the total annual budget for all United States Attorneys' offices.

As this Annual Statistical Report illustrates, the work of the United States Attorneys and their staffs encompasses a wide range of activities from prosecuting the most violent criminals to protecting the federal fisc, from training local and state law enforcement to assisting crime victims and witnesses. The statistics provided here, the accompanying narrative, and the summaries of cases represent the outstanding work that has been performed by the United States Attorneys and their staffs throughout the country.

## VIII. DETAILED STATISTICAL TABLES

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**Table 1**  
**Criminal Cases Handled By United States Attorneys**  
**Fiscal Year Ended September 30, 2001**

| District             | Criminal Cases in<br>----- United States District Court ----- |          |               |         |       | Criminal Defendants in<br>----- United States District Court ----- |          |               |         |       | Criminal Defendants in 1/<br>----- United States Magistrate Court ----- |       |               |         |  |
|----------------------|---|----------|---------------|---------|-------|--|----------|---------------|---------|-------|---|-------|---------------|---------|--|
|                      | Begin   |          | End           |         |       | Begin  |          | End           |         |       | Begin   |       | End           |         |  |
|                      | Pending   | Filed 2/ | Terminated 3/ | Pending |       | Pending  | Filed 2/ | Terminated 3/ | Pending |       | Pending   | Filed | Terminated 4/ | Pending |  |
| Alabama, Middle      | 95  | 125      | 88            | 132     | 164   | 217  | 160      | 221           | 52      | 87    | 40  | 99    |               |         |  |
| Alabama, Northern    | 277   | 407      | 373           | 311     | 359   | 511  | 459      | 411           | 93      | 160   | 143   | 110   |               |         |  |
| Alabama, Southern    | 166   | 213      | 188           | 191     | 257   | 335  | 283      | 309           | 13      | 7     | 9   | 11    |               |         |  |
| Alaska               | 125   | 174      | 198           | 101     | 153   | 236  | 243      | 146           | 23      | 60    | 57  | 26    |               |         |  |
| Arizona              | 2,084   | 3,069    | 2,597         | 2,556   | 2,979 | 3,776  | 3,263    | 3,492         | 522     | 776   | 399   | 899   |               |         |  |
| Arkansas, Eastern    | 173   | 212      | 184           | 201     | 305   | 312  | 310      | 307           | 21      | 26    | 31  | 16    |               |         |  |
| Arkansas, Western    | 112   | 144      | 143           | 113     | 141   | 163  | 175      | 129           | 14      | 44    | 47  | 11    |               |         |  |
| California, Central  | 2,228   | 1,449    | 1,143         | 2,534   | 3,438 | 2,219  | 1,665    | 3,992         | 1,365   | 974   | 984   | 1,355 |               |         |  |
| California, Eastern  | 790   | 825      | 728           | 887     | 1,263 | 1,063  | 1,001    | 1,325         | 314     | 221   | 174   | 361   |               |         |  |
| California, Northern | 1,352   | 745      | 828           | 1,269   | 2,012 | 1,013  | 979      | 2,046         | 553     | 382   | 253   | 682   |               |         |  |
| California, Southern | 2,434   | 3,794    | 3,911         | 2,317   | 3,369 | 4,304  | 4,435    | 3,238         | 678     | 1,102 | 961   | 819   |               |         |  |
| Colorado             | 598   | 408      | 422           | 584     | 1,052 | 652  | 552      | 1,152         | 162     | 74    | 56  | 180   |               |         |  |
| Connecticut          | 311   | 239      | 215           | 335     | 521   | 405  | 326      | 600           | 120     | 52    | 24  | 148   |               |         |  |
| Delaware             | 52  | 74       | 50            | 76      | 67    | 88   | 56       | 99            | 35      | 45    | 26  | 54    |               |         |  |
| District of Columbia | 603   | 457      | 303           | 757     | 848   | 594  | 377      | 1,065         | 69      | 62    | 78  | 53    |               |         |  |
| Florida, Middle      | 1,393   | 1,078    | 1,056         | 1,415   | 2,030 | 1,690  | 1,544    | 2,176         | 127     | 140   | 95  | 172   |               |         |  |
| Florida, Northern    | 307   | 228      | 221           | 314     | 459   | 342  | 347      | 454           | 54      | 137   | 81  | 110   |               |         |  |
| Florida, Southern    | 3,541   | 1,841    | 1,734         | 3,648   | 5,540 | 2,776  | 2,541    | 5,775         | 305     | 512   | 469   | 348   |               |         |  |
| Georgia, Middle      | 224   | 258      | 274           | 208     | 364   | 427  | 448      | 343           | 66      | 50    | 82  | 34    |               |         |  |
| Georgia, Northern    | 655   | 741      | 642           | 754     | 1,216 | 1,063  | 919      | 1,360         | 230     | 319   | 223   | 326   |               |         |  |
| Georgia, Southern    | 137   | 222      | 217           | 142     | 193   | 337  | 334      | 196           | 256     | 232   | 219   | 269   |               |         |  |
| Guam                 | 129   | 110      | 82            | 157     | 150   | 148  | 106      | 192           | 6       | 24    | 19  | 11    |               |         |  |
| Hawaii               | 599   | 204      | 192           | 611     | 900   | 349  | 280      | 969           | 817     | 95    | 43  | 869   |               |         |  |
| Idaho                | 103   | 145      | 123           | 125     | 138   | 231  | 157      | 212           | 20      | 43    | 17  | 46    |               |         |  |
| Illinois, Central    | 274   | 321      | 272           | 323     | 327   | 398  | 311      | 414           | 24      | 34    | 19  | 39    |               |         |  |
| Illinois, Northern   | 986   | 636      | 568           | 1,054   | 1,551 | 996  | 852      | 1,695         | 607     | 269   | 162   | 714   |               |         |  |
| Illinois, Southern   | 274   | 195      | 279           | 190     | 372   | 278  | 372      | 278           | 17      | 30    | 18  | 29    |               |         |  |
| Indiana, Northern    | 325   | 348      | 327           | 346     | 438   | 469  | 413      | 494           | 6       | 32    | 17  | 21    |               |         |  |
| Indiana, Southern    | 132   | 185      | 182           | 135     | 234   | 310  | 273      | 271           | 25      | 35    | 19  | 41    |               |         |  |
| Iowa, Northern       | 265   | 306      | 271           | 300     | 339   | 384  | 343      | 380           | 6       | 22    | 13  | 15    |               |         |  |
| Iowa, Southern       | 217   | 226      | 219           | 224     | 317   | 342  | 340      | 319           | 3       | 6     | 6   | 3     |               |         |  |
| Kansas               | 385   | 440      | 385           | 440     | 528   | 572  | 543      | 557           | 36      | 18    | 26  | 28    |               |         |  |
| Kentucky, Eastern    | 323   | 410      | 453           | 280     | 482   | 648  | 709      | 421           | 27      | 47    | 50  | 24    |               |         |  |



**Table 1 (Continued)**

| District                 | Criminal Cases in<br>----- United States District Court ----- |          |               |         |       | Criminal Defendants in<br>----- United States District Court ----- |          |               |         |     | Criminal Defendants in 1/<br>----- United States Magistrate Court ----- |       |               |         |  |
|--------------------------|---|----------|---------------|---------|-------|--|----------|---------------|---------|-----|---|-------|---------------|---------|--|
|                          | Begin   |          | End           |         |       | Begin  |          | End           |         |     | Begin   |       | End           |         |  |
|                          | Pending   | Filed 2/ | Terminated 3/ | Pending |       | Pending  | Filed 2/ | Terminated 3/ | Pending |     | Pending   | Filed | Terminated 4/ | Pending |  |
| Kentucky, Western        | 187   | 268      | 193           | 262     | 264   | 387  | 256      | 395           | 22      | 23  | 24  | 21    |               |         |  |
| Louisiana, Eastern       | 364   | 290      | 341           | 313     | 603   | 425  | 541      | 487           | 29      | 96  | 110   | 15    |               |         |  |
| Louisiana, Middle        | 177   | 188      | 164           | 201     | 210   | 203  | 196      | 217           | 3       | 17  | 14  | 6     |               |         |  |
| Louisiana, Western       | 132   | 235      | 165           | 202     | 283   | 342  | 280      | 345           | 319     | 706 | 694   | 331   |               |         |  |
| Maine                    | 166   | 165      | 189           | 142     | 203   | 181  | 224      | 160           | 12      | 48  | 33  | 27    |               |         |  |
| Maryland                 | 545   | 546      | 467           | 624     | 845   | 710  | 653      | 902           | 729     | 741 | 611   | 859   |               |         |  |
| Massachusetts            | 603   | 462      | 362           | 703     | 1,157 | 722  | 531      | 1,348         | 132     | 77  | 58  | 151   |               |         |  |
| Michigan, Eastern        | 740   | 548      | 498           | 790     | 1,521 | 857  | 915      | 1,463         | 744     | 493 | 369   | 868   |               |         |  |
| Michigan, Western        | 205   | 354      | 303           | 256     | 293   | 485  | 403      | 375           | 49      | 60  | 53  | 56    |               |         |  |
| Minnesota                | 301   | 325      | 309           | 317     | 475   | 485  | 490      | 470           | 1       | 2   | 2   | 1     |               |         |  |
| Mississippi, Northern    | 118   | 130      | 149           | 99      | 156   | 201  | 209      | 148           | 0       | 0   | 0   | 0     |               |         |  |
| Mississippi, Southern    | 292   | 371      | 369           | 294     | 437   | 494  | 555      | 376           | 24      | 101 | 98  | 27    |               |         |  |
| Missouri, Eastern        | 364   | 580      | 569           | 375     | 437   | 744  | 700      | 481           | 82      | 96  | 87  | 91    |               |         |  |
| Missouri, Western        | 420   | 504      | 446           | 478     | 724   | 727  | 711      | 740           | 26      | 40  | 31  | 35    |               |         |  |
| Montana                  | 244   | 320      | 272           | 292     | 365   | 435  | 397      | 403           | 8       | 20  | 19  | 9     |               |         |  |
| Nebraska                 | 387   | 437      | 402           | 422     | 521   | 620  | 539      | 602           | 25      | 19  | 27  | 17    |               |         |  |
| Nevada                   | 707   | 538      | 548           | 697     | 1,072 | 678  | 762      | 988           | 231     | 260 | 268   | 223   |               |         |  |
| New Hampshire            | 155   | 153      | 160           | 148     | 194   | 172  | 188      | 178           | 2       | 8   | 5   | 5     |               |         |  |
| New Jersey               | 903   | 688      | 666           | 925     | 1,307 | 828  | 850      | 1,285         | 456     | 191 | 193   | 454   |               |         |  |
| New Mexico               | 1,229   | 1,442    | 1,356         | 1,315   | 1,612 | 1,831  | 1,715    | 1,728         | 105     | 624 | 178   | 551   |               |         |  |
| New York, Eastern        | 1,846   | 1,330    | 995           | 2,181   | 3,029 | 1,991  | 1,440    | 3,580         | 1,233   | 752 | 388   | 1,597 |               |         |  |
| New York, Northern       | 509   | 540      | 506           | 543     | 1,052 | 632  | 797      | 887           | 66      | 103 | 56  | 113   |               |         |  |
| New York, Southern       | 3,786   | 1,020    | 1,254         | 3,552   | 6,291 | 1,467  | 2,054    | 5,704         | 1,072   | 564 | 252   | 1,384 |               |         |  |
| New York, Western        | 434   | 373      | 379           | 428     | 779   | 506  | 497      | 788           | 212     | 274 | 185   | 301   |               |         |  |
| North Carolina, Eastern  | 337   | 432      | 331           | 438     | 523   | 575  | 503      | 595           | 27      | 22  | 20  | 29    |               |         |  |
| North Carolina, Middle   | 313   | 390      | 409           | 294     | 443   | 543  | 582      | 404           | 5       | 4   | 5   | 4     |               |         |  |
| North Carolina, Western  | 483   | 328      | 339           | 472     | 988   | 704  | 713      | 979           | 36      | 40  | 30  | 46    |               |         |  |
| North Dakota             | 86  | 158      | 154           | 90      | 114   | 211  | 199      | 126           | 3       | 8   | 10  | 1     |               |         |  |
| Northern Mariana Islands | 27  | 22       | 33            | 16      | 44    | 34   | 56       | 22            | 12      | 9   | 21  | 0     |               |         |  |
| Ohio, Northern           | 388   | 536      | 497           | 427     | 646   | 912  | 851      | 707           | 99      | 110 | 130   | 79    |               |         |  |
| Ohio, Southern           | 307   | 339      | 322           | 324     | 440   | 465  | 430      | 475           | 138     | 164 | 163   | 139   |               |         |  |
| Oklahoma, Eastern        | 50  | 89       | 74            | 65      | 59    | 126  | 95       | 90            | 5       | 8   | 6   | 7     |               |         |  |
| Oklahoma, Northern       | 165   | 118      | 98            | 185     | 210   | 214  | 145      | 279           | 3       | 17  | 12  | 8     |               |         |  |
| Oklahoma, Western        | 168   | 211      | 209           | 170     | 223   | 250  | 261      | 212           | 74      | 101 | 90  | 85    |               |         |  |
| Oregon                   | 777   | 714      | 724           | 767     | 985   | 827  | 854      | 958           | 103     | 98  | 95  | 106   |               |         |  |

**Table 1 (Continued)**

| District                | Criminal Cases in<br>----- United States District Court ----- |          |               |         |  | Criminal Defendants in<br>----- United States District Court ----- |          |               |         |  | Criminal Defendants in 1/<br>----- United States Magistrate Court ----- |        |               |         |  |
|-------------------------|---|----------|---------------|---------|--|--|----------|---------------|---------|--|---|--------|---------------|---------|--|
|                         | Begin   |          | End           |         |  | Begin  |          | End           |         |  | Begin   |        | End           |         |  |
|                         | Pending   | Filed 2/ | Terminated 3/ | Pending |  | Pending  | Filed 2/ | Terminated 3/ | Pending |  | Pending   | Filed  | Terminated 4/ | Pending |  |
| Pennsylvania, Eastern   | 950   | 677      | 608           | 1,019   |  | 1,622  | 1,024    | 929           | 1,717   |  | 110   | 74     | 47            | 137     |  |
| Pennsylvania, Middle    | 347   | 358      | 301           | 404     |  | 544  | 521      | 431           | 634     |  | 41  | 40     | 32            | 49      |  |
| Pennsylvania, Western   | 282   | 282      | 240           | 324     |  | 388  | 371      | 322           | 437     |  | 41  | 68     | 21            | 88      |  |
| Puerto Rico             | 737   | 785      | 984           | 538     |  | 1,761  | 1,323    | 1,568         | 1,516   |  | 20  | 17     | 15            | 22      |  |
| Rhode Island            | 101   | 135      | 124           | 112     |  | 117  | 156      | 143           | 130     |  | 0   | 3      | 3             | 0       |  |
| South Carolina          | 693   | 639      | 607           | 725     |  | 1,135  | 1,224    | 1,042         | 1,317   |  | 123   | 113    | 115           | 121     |  |
| South Dakota            | 203   | 344      | 294           | 253     |  | 244  | 420      | 359           | 305     |  | 7   | 31     | 32            | 6       |  |
| Tennessee, Eastern      | 335   | 549      | 424           | 460     |  | 455  | 809      | 574           | 690     |  | 18  | 33     | 20            | 31      |  |
| Tennessee, Middle       | 208   | 198      | 137           | 269     |  | 314  | 357      | 204           | 467     |  | 30  | 12     | 12            | 30      |  |
| Tennessee, Western      | 271   | 347      | 293           | 325     |  | 389  | 599      | 443           | 545     |  | 12  | 7      | 8             | 11      |  |
| Texas, Eastern          | 388   | 480      | 455           | 413     |  | 589  | 792      | 675           | 706     |  | 60  | 29     | 41            | 48      |  |
| Texas, Northern         | 915   | 852      | 875           | 892     |  | 1,273  | 1,426    | 1,255         | 1,444   |  | 83  | 148    | 111           | 120     |  |
| Texas, Southern         | 2,848   | 4,153    | 3,471         | 3,530   |  | 3,891  | 5,072    | 4,273         | 4,690   |  | 177   | 469    | 290           | 356     |  |
| Texas, Western          | 3,271   | 4,162    | 4,021         | 3,412   |  | 4,404  | 5,514    | 5,265         | 4,653   |  | 961   | 2,422  | 2,163         | 1,220   |  |
| Utah                    | 461   | 608      | 443           | 626     |  | 643  | 680      | 540           | 783     |  | 231   | 89     | 45            | 275     |  |
| Vermont                 | 143   | 125      | 83            | 185     |  | 200  | 201      | 125           | 276     |  | 10  | 14     | 12            | 12      |  |
| Virgin Islands          | 262   | 466      | 556           | 172     |  | 313  | 497      | 601           | 209     |  | 12  | 57     | 17            | 52      |  |
| Virginia, Eastern       | 858   | 971      | 880           | 949     |  | 1,233  | 1,427    | 1,306         | 1,354   |  | 2,314   | 3,686  | 3,031         | 2,969   |  |
| Virginia, Western       | 290   | 256      | 275           | 271     |  | 512  | 474      | 456           | 530     |  | 55  | 182    | 177           | 60      |  |
| Washington, Eastern     | 338   | 355      | 394           | 299     |  | 338  | 355      | 394           | 299     |  | 16  | 40     | 34            | 22      |  |
| Washington, Western     | 507   | 386      | 420           | 473     |  | 797  | 535      | 583           | 749     |  | 251   | 792    | 616           | 427     |  |
| West Virginia, Northern | 90  | 137      | 117           | 110     |  | 143  | 284      | 220           | 207     |  | 8   | 5      | 6             | 7       |  |
| West Virginia, Southern | 168   | 239      | 241           | 166     |  | 252  | 330      | 359           | 223     |  | 14  | 30     | 24            | 20      |  |
| Wisconsin, Eastern      | 183   | 215      | 208           | 190     |  | 275  | 315      | 297           | 293     |  | 65  | 55     | 56            | 64      |  |
| Wisconsin, Western      | 88  | 121      | 109           | 100     |  | 111  | 172      | 149           | 134     |  | 18  | 29     | 32            | 15      |  |
| Wyoming                 | 109   | 126      | 154           | 81      |  | 137  | 164      | 197           | 104     |  | 35  | 99     | 97            | 37      |  |
| All Districts           | 54,630  | 53,339   | 49,834        | 58,135  |  | 83,091   | 73,674   | 68,418        | 88,347  |  | 17,465  | 20,557 | 16,254        | 21,768  |  |

1/ Magistrate Court cases do not include petty offenses.

2/ Includes 526 cases or 541 defendants initiated by transfer under Rule 20.

3/ Includes 396 cases or 478 defendants terminated by transfer under Rule 20.

4/ Includes 64 cases or 71 defendants terminated by transfer under Rule 20.

**Table 2**  
**Disposition of Criminal Cases and Defendants in United States District Court**  
**Fiscal Year Ended September 30, 2001**

| District             | ----- Criminal Cases ----- |            |           |           |         |       | ----- Criminal Defendants ----- |            |           |           |         | --- Disposition --- |                    |                   |
|----------------------|----------------------------|------------|-----------|-----------|---------|-------|---------------------------------|------------|-----------|-----------|---------|---------------------|--------------------|-------------------|
|                      | Guilty                     | Not Guilty |           | Dismissed | Rule 20 | Other | Guilty                          | Not Guilty |           | Dismissed | Rule 20 | Other               | After Court Trials | After Jury Trials |
|                      |                            | Guilty     | Dismissed |           |         |       |                                 | Guilty     | Dismissed |           |         |                     |                    |                   |
| Alabama, Middle      | 75                         | 2          | 9         | 2         | 0       | 134   | 4                               | 19         | 3         | 0         | 0       | 0                   | 11                 |                   |
| Alabama, Northern    | 332                        | 1          | 34        | 5         | 1       | 406   | 3                               | 44         | 5         | 1         | 1       | 1                   | 21                 |                   |
| Alabama, Southern    | 167                        | 2          | 15        | 4         | 0       | 257   | 2                               | 20         | 4         | 0         | 0       | 0                   | 12                 |                   |
| Alaska               | 172                        | 1          | 15        | 5         | 5       | 203   | 1                               | 25         | 5         | 9         | 3       | 3                   | 13                 |                   |
| Arizona              | 2,468                      | 3          | 124       | 2         | 0       | 3,066 | 4                               | 190        | 3         | 0         | 8       | 8                   | 56                 |                   |
| Arkansas, Eastern    | 163                        | 1          | 16        | 4         | 0       | 264   | 6                               | 35         | 5         | 0         | 1       | 1                   | 23                 |                   |
| Arkansas, Western    | 123                        | 0          | 19        | 1         | 0       | 145   | 0                               | 29         | 1         | 0         | 0       | 0                   | 3                  |                   |
| California, Central  | 1,019                      | 14         | 89        | 17        | 4       | 1,486 | 18                              | 133        | 19        | 9         | 3       | 3                   | 101                |                   |
| California, Eastern  | 678                        | 4          | 41        | 1         | 4       | 917   | 6                               | 73         | 1         | 4         | 4       | 4                   | 52                 |                   |
| California, Northern | 672                        | 4          | 144       | 7         | 1       | 793   | 6                               | 171        | 7         | 2         | 1       | 1                   | 26                 |                   |
| California, Southern | 3,703                      | 10         | 194       | 1         | 3       | 4,156 | 14                              | 259        | 2         | 4         | 3       | 3                   | 128                |                   |
| Colorado             | 367                        | 3          | 48        | 4         | 0       | 453   | 7                               | 88         | 4         | 0         | 0       | 0                   | 32                 |                   |
| Connecticut          | 196                        | 3          | 10        | 6         | 0       | 302   | 3                               | 15         | 6         | 0         | 0       | 0                   | 20                 |                   |
| Delaware             | 46                         | 0          | 2         | 2         | 0       | 52    | 0                               | 2          | 2         | 0         | 9       | 9                   | 2                  |                   |
| District of Columbia | 261                        | 13         | 28        | 0         | 1       | 321   | 15                              | 40         | 0         | 1         | 0       | 0                   | 47                 |                   |
| Florida, Middle      | 971                        | 10         | 61        | 13        | 1       | 1,374 | 29                              | 121        | 15        | 5         | 2       | 2                   | 103                |                   |
| Florida, Northern    | 208                        | 2          | 8         | 2         | 1       | 322   | 6                               | 16         | 2         | 1         | 3       | 3                   | 54                 |                   |
| Florida, Southern    | 1,643                      | 26         | 51        | 14        | 0       | 2,391 | 52                              | 82         | 16        | 0         | 6       | 6                   | 223                |                   |
| Georgia, Middle      | 247                        | 2          | 24        | 1         | 0       | 376   | 5                               | 66         | 1         | 0         | 25      | 25                  | 27                 |                   |
| Georgia, Northern    | 594                        | 2          | 35        | 11        | 0       | 839   | 5                               | 62         | 13        | 0         | 1       | 1                   | 50                 |                   |
| Georgia, Southern    | 178                        | 3          | 27        | 7         | 2       | 270   | 3                               | 47         | 9         | 5         | 3       | 3                   | 16                 |                   |
| Guam                 | 71                         | 1          | 10        | 0         | 0       | 85    | 7                               | 14         | 0         | 0         | 1       | 1                   | 11                 |                   |
| Hawaii               | 178                        | 0          | 13        | 1         | 0       | 265   | 0                               | 14         | 1         | 0         | 1       | 1                   | 16                 |                   |
| Idaho                | 110                        | 1          | 6         | 5         | 1       | 141   | 1                               | 9          | 5         | 1         | 1       | 1                   | 10                 |                   |
| Illinois, Central    | 232                        | 2          | 33        | 2         | 3       | 266   | 2                               | 37         | 3         | 3         | 1       | 1                   | 19                 |                   |
| Illinois, Northern   | 539                        | 3          | 26        | 0         | 0       | 812   | 4                               | 36         | 0         | 0         | 3       | 3                   | 63                 |                   |
| Illinois, Southern   | 261                        | 4          | 11        | 3         | 0       | 351   | 4                               | 13         | 3         | 1         | 1       | 1                   | 26                 |                   |
| Indiana, Northern    | 293                        | 2          | 29        | 3         | 0       | 360   | 4                               | 46         | 3         | 0         | 0       | 0                   | 41                 |                   |
| Indiana, Southern    | 170                        | 0          | 7         | 4         | 1       | 250   | 0                               | 18         | 4         | 1         | 0       | 0                   | 13                 |                   |
| Iowa, Northern       | 265                        | 0          | 5         | 0         | 1       | 331   | 1                               | 10         | 0         | 1         | 0       | 0                   | 25                 |                   |
| Iowa, Southern       | 201                        | 1          | 12        | 1         | 4       | 310   | 3                               | 22         | 1         | 4         | 0       | 0                   | 17                 |                   |
| Kansas               | 340                        | 8          | 33        | 3         | 1       | 472   | 12                              | 54         | 3         | 2         | 1       | 1                   | 29                 |                   |
| Kentucky, Eastern    | 417                        | 3          | 28        | 3         | 2       | 628   | 7                               | 69         | 3         | 2         | 0       | 0                   | 18                 |                   |

Table 2 (Continued)

| District                 | ----- Criminal Cases ----- |            |           |         |       | ----- Criminal Defendants ----- |            |           |         |       | --- Disposition --- |                   |
|--------------------------|----------------------------|------------|-----------|---------|-------|---------------------------------|------------|-----------|---------|-------|---------------------|-------------------|
|                          | Guilty                     | Not Guilty | Dismissed | Rule 20 | Other | Guilty                          | Not Guilty | Dismissed | Rule 20 | Other | After Court Trials  | After Jury Trials |
| Kentucky, Western        | 178                        | 2          | 9         | 4       | 0     | 234                             | 3          | 15        | 4       | 0     | 5                   | 15                |
| Louisiana, Eastern       | 325                        | 1          | 12        | 3       | 0     | 510                             | 3          | 23        | 5       | 0     | 2                   | 16                |
| Louisiana, Middle        | 134                        | 0          | 26        | 4       | 0     | 165                             | 0          | 27        | 4       | 0     | 0                   | 2                 |
| Louisiana, Western       | 144                        | 3          | 18        | 0       | 0     | 243                             | 4          | 31        | 2       | 0     | 0                   | 20                |
| Maine                    | 180                        | 1          | 6         | 2       | 0     | 212                             | 3          | 7         | 2       | 0     | 3                   | 12                |
| Maryland                 | 424                        | 5          | 35        | 2       | 1     | 590                             | 12         | 48        | 2       | 1     | 5                   | 55                |
| Massachusetts            | 333                        | 4          | 20        | 1       | 4     | 486                             | 5          | 32        | 2       | 6     | 0                   | 20                |
| Michigan, Eastern        | 441                        | 3          | 49        | 2       | 3     | 805                             | 10         | 93        | 4       | 3     | 6                   | 54                |
| Michigan, Western        | 283                        | 0          | 15        | 4       | 1     | 368                             | 1          | 28        | 5       | 1     | 2                   | 24                |
| Minnesota                | 281                        | 6          | 14        | 7       | 1     | 433                             | 7          | 41        | 8       | 1     | 0                   | 42                |
| Mississippi, Northern    | 135                        | 3          | 10        | 1       | 0     | 183                             | 4          | 20        | 2       | 0     | 0                   | 12                |
| Mississippi, Southern    | 321                        | 7          | 31        | 9       | 1     | 464                             | 10         | 62        | 15      | 4     | 2                   | 32                |
| Missouri, Eastern        | 536                        | 2          | 25        | 5       | 1     | 658                             | 2          | 34        | 5       | 1     | 2                   | 20                |
| Missouri, Western        | 419                        | 2          | 23        | 2       | 0     | 663                             | 6          | 38        | 4       | 0     | 0                   | 33                |
| Montana                  | 234                        | 4          | 34        | 0       | 0     | 320                             | 10         | 64        | 2       | 1     | 5                   | 44                |
| Nebraska                 | 357                        | 8          | 31        | 5       | 1     | 467                             | 15         | 48        | 7       | 2     | 3                   | 27                |
| Nevada                   | 469                        | 3          | 67        | 9       | 0     | 659                             | 5          | 88        | 10      | 0     | 1                   | 36                |
| New Hampshire            | 125                        | 2          | 27        | 6       | 0     | 149                             | 2          | 30        | 6       | 1     | 0                   | 11                |
| New Jersey               | 638                        | 7          | 13        | 8       | 0     | 808                             | 15         | 19        | 8       | 0     | 4                   | 42                |
| New Mexico               | 1,281                      | 8          | 65        | 0       | 2     | 1,603                           | 11         | 98        | 1       | 2     | 2                   | 24                |
| New York, Eastern        | 968                        | 3          | 24        | 0       | 0     | 1,390                           | 10         | 36        | 2       | 2     | 1                   | 70                |
| New York, Northern       | 471                        | 4          | 28        | 3       | 0     | 741                             | 4          | 48        | 4       | 0     | 0                   | 15                |
| New York, Southern       | 1,220                      | 4          | 19        | 11      | 0     | 1,997                           | 18         | 25        | 14      | 0     | 0                   | 114               |
| New York, Western        | 351                        | 1          | 24        | 2       | 1     | 458                             | 2          | 33        | 2       | 2     | 0                   | 8                 |
| North Carolina, Eastern  | 312                        | 0          | 15        | 4       | 0     | 461                             | 3          | 32        | 6       | 1     | 0                   | 33                |
| North Carolina, Middle   | 373                        | 1          | 26        | 9       | 0     | 530                             | 2          | 40        | 10      | 0     | 1                   | 32                |
| North Carolina, Western  | 303                        | 4          | 30        | 1       | 1     | 660                             | 9          | 41        | 2       | 1     | 1                   | 49                |
| North Dakota             | 139                        | 2          | 13        | 0       | 0     | 179                             | 2          | 18        | 0       | 0     | 9                   | 8                 |
| Northern Mariana Islands | 15                         | 1          | 16        | 1       | 0     | 27                              | 1          | 27        | 1       | 0     | 0                   | 3                 |
| Ohio, Northern           | 469                        | 3          | 22        | 3       | 0     | 790                             | 4          | 51        | 6       | 0     | 2                   | 26                |
| Ohio, Southern           | 285                        | 1          | 24        | 11      | 1     | 386                             | 2          | 30        | 11      | 1     | 0                   | 12                |
| Oklahoma, Eastern        | 61                         | 2          | 8         | 1       | 2     | 79                              | 3          | 10        | 1       | 2     | 0                   | 6                 |
| Oklahoma, Northern       | 81                         | 2          | 13        | 1       | 1     | 113                             | 3          | 27        | 1       | 1     | 2                   | 17                |
| Oklahoma, Western        | 176                        | 3          | 24        | 5       | 1     | 224                             | 4          | 27        | 5       | 1     | 0                   | 26                |
| Oregon                   | 628                        | 2          | 88        | 2       | 4     | 731                             | 4          | 112       | 3       | 4     | 48                  | 21                |

**Table 2 (Continued)**

| District                | ----- Criminal Cases ----- |            |           |         |       | ----- Criminal Defendants ----- |            |           |         |       | --- Disposition --- |                   |
|-------------------------|----------------------------|------------|-----------|---------|-------|---------------------------------|------------|-----------|---------|-------|---------------------|-------------------|
|                         | Guilty                     | Not Guilty | Dismissed | Rule 20 | Other | Guilty                          | Not Guilty | Dismissed | Rule 20 | Other | After Court Trials  | After Jury Trials |
| Pennsylvania, Eastern   | 575                        | 4          | 22        | 7       | 0     | 882                             | 7          | 31        | 9       | 0     | 3                   | 71                |
| Pennsylvania, Middle    | 285                        | 1          | 13        | 2       | 0     | 400                             | 4          | 25        | 2       | 0     | 0                   | 18                |
| Pennsylvania, Western   | 220                        | 1          | 13        | 6       | 0     | 295                             | 1          | 20        | 6       | 0     | 0                   | 24                |
| Puerto Rico             | 923                        | 8          | 52        | 1       | 0     | 1,442                           | 12         | 113       | 1       | 0     | 631                 | 28                |
| Rhode Island            | 111                        | 2          | 9         | 1       | 1     | 128                             | 2          | 10        | 1       | 2     | 0                   | 10                |
| South Carolina          | 503                        | 3          | 81        | 20      | 0     | 901                             | 6          | 115       | 20      | 0     | 4                   | 41                |
| South Dakota            | 263                        | 8          | 22        | 1       | 0     | 319                             | 13         | 26        | 1       | 0     | 2                   | 33                |
| Tennessee, Eastern      | 384                        | 1          | 33        | 5       | 1     | 521                             | 3          | 44        | 5       | 1     | 0                   | 32                |
| Tennessee, Middle       | 117                        | 2          | 13        | 5       | 0     | 165                             | 2          | 29        | 8       | 0     | 1                   | 10                |
| Tennessee, Western      | 246                        | 1          | 41        | 5       | 0     | 356                             | 4          | 77        | 6       | 0     | 5                   | 12                |
| Texas, Eastern          | 413                        | 3          | 29        | 7       | 3     | 597                             | 6          | 54        | 11      | 7     | 1                   | 34                |
| Texas, Northern         | 800                        | 3          | 55        | 16      | 1     | 1,131                           | 8          | 91        | 23      | 2     | 5                   | 39                |
| Texas, Southern         | 3,165                      | 23         | 270       | 13      | 0     | 3,843                           | 37         | 378       | 15      | 0     | 33                  | 145               |
| Texas, Western          | 3,832                      | 16         | 158       | 14      | 1     | 4,950                           | 29         | 262       | 19      | 5     | 8                   | 99                |
| Utah                    | 388                        | 3          | 44        | 4       | 4     | 457                             | 5          | 70        | 4       | 4     | 4                   | 11                |
| Vermont                 | 77                         | 0          | 5         | 1       | 0     | 113                             | 0          | 10        | 1       | 1     | 0                   | 3                 |
| Virgin Islands          | 522                        | 7          | 25        | 2       | 0     | 540                             | 20         | 39        | 2       | 0     | 0                   | 28                |
| Virginia, Eastern       | 834                        | 5          | 35        | 5       | 1     | 1,229                           | 6          | 62        | 7       | 2     | 23                  | 86                |
| Virginia, Western       | 253                        | 3          | 16        | 2       | 1     | 417                             | 3          | 32        | 2       | 2     | 4                   | 33                |
| Washington, Eastern     | 348                        | 2          | 42        | 2       | 0     | 348                             | 2          | 42        | 2       | 0     | 1                   | 14                |
| Washington, Western     | 376                        | 5          | 33        | 5       | 1     | 519                             | 8          | 49        | 6       | 1     | 2                   | 28                |
| West Virginia, Northern | 105                        | 1          | 9         | 2       | 0     | 194                             | 8          | 16        | 2       | 0     | 1                   | 15                |
| West Virginia, Southern | 226                        | 1          | 10        | 4       | 0     | 337                             | 2          | 16        | 4       | 0     | 1                   | 31                |
| Wisconsin, Eastern      | 184                        | 0          | 23        | 1       | 0     | 261                             | 0          | 34        | 2       | 0     | 1                   | 23                |
| Wisconsin, Western      | 103                        | 0          | 6         | 0       | 0     | 142                             | 0          | 6         | 1       | 0     | 0                   | 14                |
| Wyoming                 | 134                        | 1          | 16        | 3       | 0     | 174                             | 1          | 19        | 3       | 0     | 2                   | 7                 |
| All Districts           | 45,837                     | 339        | 3,186     | 396     | 76    | 62,245                          | 622        | 4,952     | 478     | 121   | 920                 | 3,178             |

Not guilty counts include 15 verdicts of not guilty by reason of insanity involving 16 defendants.

Other dispositions include transfers, dismissals other than by court, pretrial diversions, and proceedings suspended indefinitely by court.

**Table 2A**  
**Disposition of Criminal Cases and Defendants in United States Magistrate Court**  
**Fiscal Year Ended September 30, 2001**

| District             | ----- Criminal Cases ----- |            |           |         |       |  | ----- Criminal Defendants ----- |            |           |         |       | --- Disposition --- |                   |
|----------------------|----------------------------|------------|-----------|---------|-------|--|---------------------------------|------------|-----------|---------|-------|---------------------|-------------------|
|                      | Guilty                     | Not Guilty | Dismissed | Rule 20 | Other |  | Guilty                          | Not Guilty | Dismissed | Rule 20 | Other | After Court Trials  | After Jury Trials |
| Alabama, Middle      | 18                         | 0          | 14        | 4       | 0     |  | 21                              | 0          | 15        | 4       | 0     | 0                   | 1                 |
| Alabama, Northern    | 65                         | 1          | 66        | 0       | 1     |  | 66                              | 1          | 75        | 0       | 1     | 5                   | 1                 |
| Alabama, Southern    | 1                          | 0          | 7         | 0       | 0     |  | 1                               | 0          | 8         | 0       | 0     | 0                   | 0                 |
| Alaska               | 30                         | 3          | 13        | 3       | 3     |  | 30                              | 3          | 16        | 3       | 5     | 9                   | 0                 |
| Arizona              | 178                        | 0          | 140       | 0       | 9     |  | 196                             | 0          | 175       | 0       | 28    | 3                   | 0                 |
| Arkansas, Eastern    | 2                          | 0          | 26        | 2       | 0     |  | 2                               | 0          | 27        | 2       | 0     | 0                   | 0                 |
| Arkansas, Western    | 30                         | 0          | 10        | 1       | 6     |  | 30                              | 0          | 10        | 1       | 6     | 0                   | 0                 |
| California, Central  | 18                         | 3          | 314       | 3       | 580   |  | 19                              | 4          | 348       | 4       | 609   | 1                   | 0                 |
| California, Eastern  | 72                         | 1          | 56        | 4       | 4     |  | 75                              | 1          | 70        | 4       | 24    | 13                  | 1                 |
| California, Northern | 54                         | 1          | 164       | 2       | 15    |  | 56                              | 1          | 177       | 3       | 16    | 3                   | 1                 |
| California, Southern | 11                         | 0          | 614       | 2       | 151   |  | 11                              | 0          | 781       | 2       | 167   | 0                   | 0                 |
| Colorado             | 23                         | 0          | 26        | 0       | 1     |  | 23                              | 0          | 32        | 0       | 1     | 1                   | 1                 |
| Connecticut          | 0                          | 0          | 24        | 0       | 0     |  | 0                               | 0          | 24        | 0       | 0     | 0                   | 0                 |
| Delaware             | 10                         | 0          | 9         | 0       | 2     |  | 10                              | 0          | 11        | 0       | 5     | 2                   | 0                 |
| District of Columbia | 42                         | 0          | 22        | 2       | 0     |  | 48                              | 0          | 28        | 2       | 0     | 0                   | 0                 |
| Florida, Middle      | 6                          | 0          | 68        | 2       | 4     |  | 6                               | 0          | 74        | 4       | 11    | 3                   | 1                 |
| Florida, Northern    | 54                         | 0          | 14        | 2       | 3     |  | 55                              | 0          | 18        | 2       | 6     | 18                  | 0                 |
| Florida, Southern    | 3                          | 0          | 60        | 3       | 325   |  | 3                               | 0          | 99        | 3       | 364   | 0                   | 0                 |
| Georgia, Middle      | 54                         | 1          | 13        | 2       | 2     |  | 56                              | 6          | 16        | 2       | 2     | 7                   | 0                 |
| Georgia, Northern    | 3                          | 0          | 50        | 4       | 152   |  | 3                               | 0          | 53        | 4       | 163   | 0                   | 1                 |
| Georgia, Southern    | 131                        | 0          | 86        | 0       | 0     |  | 131                             | 0          | 87        | 0       | 1     | 1                   | 0                 |
| Guam                 | 0                          | 0          | 16        | 0       | 0     |  | 0                               | 0          | 19        | 0       | 0     | 0                   | 0                 |
| Hawaii               | 15                         | 0          | 11        | 1       | 11    |  | 15                              | 0          | 14        | 1       | 13    | 0                   | 0                 |
| Idaho                | 8                          | 0          | 6         | 0       | 1     |  | 9                               | 1          | 6         | 0       | 1     | 5                   | 0                 |
| Illinois, Central    | 1                          | 0          | 11        | 1       | 2     |  | 1                               | 0          | 13        | 1       | 4     | 0                   | 0                 |
| Illinois, Northern   | 0                          | 0          | 100       | 1       | 3     |  | 0                               | 0          | 158       | 1       | 3     | 0                   | 0                 |
| Illinois, Southern   | 1                          | 0          | 5         | 0       | 10    |  | 1                               | 0          | 5         | 0       | 12    | 0                   | 0                 |
| Indiana, Northern    | 13                         | 0          | 3         | 0       | 0     |  | 14                              | 0          | 3         | 0       | 0     | 1                   | 1                 |
| Indiana, Southern    | 2                          | 0          | 11        | 0       | 0     |  | 2                               | 0          | 14        | 0       | 3     | 0                   | 0                 |
| Iowa, Northern       | 4                          | 0          | 5         | 0       | 4     |  | 4                               | 0          | 5         | 0       | 4     | 0                   | 0                 |
| Iowa, Southern       | 0                          | 0          | 3         | 0       | 0     |  | 0                               | 0          | 6         | 0       | 0     | 0                   | 0                 |
| Kansas               | 5                          | 0          | 9         | 1       | 2     |  | 9                               | 0          | 13        | 1       | 3     | 1                   | 0                 |
| Kentucky, Eastern    | 3                          | 0          | 25        | 0       | 17    |  | 3                               | 0          | 29        | 0       | 18    | 0                   | 0                 |

Table 2A (Continued)

| District                 | ----- Criminal Cases ----- |            |           |         |       | ----- Criminal Defendants ----- |            |           |         |       | --- Disposition --- |                   |
|--------------------------|----------------------------|------------|-----------|---------|-------|---------------------------------|------------|-----------|---------|-------|---------------------|-------------------|
|                          | Guilty                     | Not Guilty | Dismissed | Rule 20 | Other | Guilty                          | Not Guilty | Dismissed | Rule 20 | Other | After Court Trials  | After Jury Trials |
| Kentucky, Western        | 12                         | 0          | 5         | 0       | 1     | 18                              | 0          | 5         | 0       | 1     | 8                   | 0                 |
| Louisiana, Eastern       | 58                         | 0          | 5         | 1       | 0     | 87                              | 1          | 20        | 2       | 0     | 1                   | 2                 |
| Louisiana, Middle        | 2                          | 0          | 3         | 0       | 5     | 2                               | 0          | 4         | 0       | 8     | 1                   | 0                 |
| Louisiana, Western       | 548                        | 0          | 117       | 28      | 0     | 548                             | 0          | 118       | 28      | 0     | 0                   | 0                 |
| Maine                    | 14                         | 0          | 4         | 0       | 1     | 21                              | 0          | 8         | 0       | 4     | 0                   | 0                 |
| Maryland                 | 508                        | 6          | 96        | 0       | 1     | 508                             | 6          | 96        | 0       | 1     | 492                 | 0                 |
| Massachusetts            | 1                          | 0          | 39        | 3       | 8     | 1                               | 0          | 42        | 3       | 12    | 0                   | 0                 |
| Michigan, Eastern        | 7                          | 1          | 325       | 0       | 8     | 8                               | 1          | 351       | 0       | 9     | 3                   | 0                 |
| Michigan, Western        | 10                         | 0          | 38        | 0       | 0     | 10                              | 0          | 42        | 0       | 1     | 2                   | 0                 |
| Minnesota                | 2                          | 0          | 0         | 0       | 0     | 2                               | 0          | 0         | 0       | 0     | 0                   | 0                 |
| Mississippi, Northern    | 0                          | 0          | 0         | 0       | 0     | 0                               | 0          | 0         | 0       | 0     | 0                   | 0                 |
| Mississippi, Southern    | 55                         | 0          | 27        | 0       | 13    | 55                              | 0          | 29        | 0       | 14    | 7                   | 1                 |
| Missouri, Eastern        | 0                          | 0          | 41        | 19      | 10    | 0                               | 0          | 53        | 19      | 15    | 0                   | 0                 |
| Missouri, Western        | 4                          | 0          | 15        | 2       | 5     | 4                               | 0          | 19        | 2       | 6     | 0                   | 0                 |
| Montana                  | 12                         | 0          | 5         | 0       | 1     | 12                              | 0          | 6         | 0       | 1     | 3                   | 0                 |
| Nebraska                 | 6                          | 0          | 13        | 2       | 4     | 6                               | 0          | 14        | 2       | 5     | 0                   | 0                 |
| Nevada                   | 148                        | 3          | 105       | 3       | 2     | 151                             | 3          | 109       | 3       | 2     | 11                  | 0                 |
| New Hampshire            | 0                          | 0          | 3         | 0       | 0     | 0                               | 0          | 4         | 0       | 1     | 0                   | 0                 |
| New Jersey               | 60                         | 0          | 114       | 13      | 1     | 62                              | 0          | 117       | 13      | 1     | 1                   | 0                 |
| New Mexico               | 9                          | 0          | 70        | 0       | 43    | 9                               | 0          | 89        | 0       | 80    | 0                   | 0                 |
| New York, Eastern        | 0                          | 0          | 169       | 3       | 28    | 0                               | 0          | 227       | 3       | 158   | 0                   | 0                 |
| New York, Northern       | 17                         | 0          | 31        | 0       | 0     | 18                              | 0          | 38        | 0       | 0     | 0                   | 0                 |
| New York, Southern       | 32                         | 0          | 95        | 0       | 4     | 32                              | 0          | 134       | 0       | 86    | 0                   | 0                 |
| New York, Western        | 87                         | 0          | 36        | 1       | 11    | 119                             | 0          | 42        | 1       | 23    | 0                   | 0                 |
| North Carolina, Eastern  | 8                          | 1          | 4         | 0       | 2     | 8                               | 1          | 8         | 0       | 3     | 1                   | 0                 |
| North Carolina, Middle   | 0                          | 0          | 5         | 0       | 0     | 0                               | 0          | 5         | 0       | 0     | 0                   | 0                 |
| North Carolina, Western  | 13                         | 0          | 13        | 0       | 0     | 16                              | 0          | 14        | 0       | 0     | 2                   | 0                 |
| North Dakota             | 4                          | 0          | 5         | 0       | 0     | 4                               | 0          | 6         | 0       | 0     | 2                   | 0                 |
| Northern Mariana Islands | 0                          | 0          | 12        | 0       | 1     | 0                               | 0          | 20        | 0       | 1     | 0                   | 0                 |
| Ohio, Northern           | 13                         | 0          | 93        | 0       | 13    | 14                              | 0          | 97        | 0       | 19    | 5                   | 0                 |
| Ohio, Southern           | 90                         | 4          | 54        | 4       | 3     | 90                              | 4          | 59        | 4       | 6     | 6                   | 1                 |
| Oklahoma, Eastern        | 0                          | 0          | 3         | 0       | 2     | 1                               | 0          | 3         | 0       | 2     | 0                   | 0                 |
| Oklahoma, Northern       | 0                          | 1          | 5         | 1       | 3     | 0                               | 1          | 5         | 1       | 5     | 0                   | 1                 |
| Oklahoma, Western        | 17                         | 0          | 40        | 0       | 13    | 17                              | 0          | 43        | 0       | 30    | 13                  | 0                 |
| Oregon                   | 5                          | 0          | 54        | 3       | 27    | 5                               | 0          | 55        | 3       | 32    | 0                   | 0                 |

Table 2A (Continued)

| District                | ----- Criminal Cases ----- |            |           |         |       | ----- Criminal Defendants ----- |            |           |         |       | --- Disposition --- |                   |
|-------------------------|----------------------------|------------|-----------|---------|-------|---------------------------------|------------|-----------|---------|-------|---------------------|-------------------|
|                         | Guilty                     | Not Guilty | Dismissed | Rule 20 | Other | Guilty                          | Not Guilty | Dismissed | Rule 20 | Other | After Court Trials  | After Jury Trials |
| Pennsylvania, Eastern   | 15                         | 0          | 23        | 1       | 0     | 21                              | 0          | 24        | 1       | 1     | 9                   | 0                 |
| Pennsylvania, Middle    | 11                         | 0          | 14        | 1       | 2     | 11                              | 0          | 18        | 1       | 2     | 0                   | 0                 |
| Pennsylvania, Western   | 1                          | 0          | 20        | 0       | 0     | 1                               | 0          | 20        | 0       | 0     | 0                   | 0                 |
| Puerto Rico             | 0                          | 0          | 4         | 4       | 1     | 0                               | 0          | 10        | 4       | 1     | 0                   | 0                 |
| Rhode Island            | 2                          | 0          | 0         | 0       | 0     | 3                               | 0          | 0         | 0       | 0     | 2                   | 0                 |
| South Carolina          | 52                         | 0          | 41        | 0       | 3     | 57                              | 0          | 54        | 0       | 4     | 0                   | 0                 |
| South Dakota            | 10                         | 0          | 18        | 1       | 2     | 11                              | 0          | 18        | 1       | 2     | 1                   | 0                 |
| Tennessee, Eastern      | 3                          | 0          | 10        | 0       | 3     | 3                               | 0          | 13        | 0       | 4     | 1                   | 0                 |
| Tennessee, Middle       | 1                          | 0          | 8         | 1       | 0     | 1                               | 0          | 9         | 2       | 0     | 1                   | 0                 |
| Tennessee, Western      | 1                          | 0          | 2         | 0       | 3     | 1                               | 0          | 4         | 0       | 3     | 0                   | 0                 |
| Texas, Eastern          | 0                          | 0          | 31        | 3       | 4     | 0                               | 0          | 34        | 3       | 4     | 0                   | 0                 |
| Texas, Northern         | 45                         | 0          | 37        | 1       | 12    | 45                              | 0          | 47        | 1       | 18    | 2                   | 0                 |
| Texas, Southern         | 42                         | 0          | 164       | 4       | 7     | 48                              | 0          | 221       | 6       | 15    | 0                   | 0                 |
| Texas, Western          | 1,725                      | 2          | 291       | 11      | 34    | 1,745                           | 2          | 353       | 11      | 52    | 10                  | 7                 |
| Utah                    | 5                          | 0          | 28        | 1       | 6     | 6                               | 0          | 31        | 1       | 7     | 0                   | 0                 |
| Vermont                 | 2                          | 0          | 3         | 0       | 0     | 6                               | 0          | 5         | 0       | 1     | 0                   | 0                 |
| Virgin Islands          | 0                          | 0          | 11        | 1       | 4     | 0                               | 0          | 12        | 1       | 4     | 0                   | 0                 |
| Virginia, Eastern       | 2,314                      | 27         | 672       | 1       | 1     | 2,324                           | 27         | 678       | 1       | 1     | 264                 | 32                |
| Virginia, Western       | 116                        | 3          | 55        | 2       | 1     | 116                             | 3          | 55        | 2       | 1     | 28                  | 1                 |
| Washington, Eastern     | 3                          | 0          | 10        | 1       | 20    | 3                               | 0          | 10        | 1       | 20    | 3                   | 0                 |
| Washington, Western     | 492                        | 0          | 100       | 10      | 3     | 498                             | 0          | 102       | 10      | 6     | 11                  | 0                 |
| West Virginia, Northern | 0                          | 0          | 2         | 0       | 3     | 0                               | 0          | 3         | 0       | 3     | 0                   | 0                 |
| West Virginia, Southern | 1                          | 0          | 7         | 0       | 1     | 1                               | 0          | 12        | 0       | 11    | 0                   | 0                 |
| Wisconsin, Eastern      | 5                          | 0          | 38        | 1       | 6     | 5                               | 0          | 41        | 2       | 8     | 0                   | 0                 |
| Wisconsin, Western      | 15                         | 0          | 7         | 1       | 9     | 15                              | 0          | 7         | 1       | 9     | 3                   | 0                 |
| Wyoming                 | 76                         | 0          | 12        | 0       | 1     | 80                              | 0          | 16        | 0       | 1     | 6                   | 0                 |
| All Districts           | 7,536                      | 58         | 5,253     | 168     | 1,644 | 7,729                           | 66         | 6,108     | 177     | 2,174 | 972                 | 53                |

Magistrate Court case data does not include petty offenses.

Other dispositions include transfers, dismissals other than by court, pretrial diversions, and proceedings suspended indefinitely by court.



**Table 3**  
**Criminal Cases and Defendants in United States District Court**  
**Fiscal Year Ended September 30, 2001**

| Program Category                                 | ----- Cases ----- |                     | ----- Defendants ----- |                     | ----- Dispositions ----- |               |              |           |           |  |
|--|-------------------|---------------------|------------------------|---------------------|--------------------------|---------------|--------------|-----------|-----------|--|
|  | Filed             | 1/<br>Terminated 2/ | Filed                  | 1/<br>Terminated 2/ | Guilty                   | Not Guilty 3/ | Dismissed 4/ | Rule 20   | Other     |  |
| Assimilated Crimes                               | 211               | 290                 | 225                    | 301                 | 179                      | 1             | 119          | 1         | 1         |  |
| Civil Rights Prosecutions                        | 91                | 85                  | 145                    | 111                 | 88                       | 9             | 9            | 4         | 1         |  |
| <b>Government Regulatory Offenses - Total 5/</b> | <b>1,804</b>      | <b>1,619</b>        | <b>2,768</b>           | <b>2,397</b>        | <b>2,121</b>             | <b>25</b>     | <b>215</b>   | <b>30</b> | <b>6</b>  |  |
| Copyright Violations                             | 43                | 34                  | 58                     | 52                  | 46                       | 0             | 4            | 2         | 0         |  |
| Counterfeiting                                   | 883               | 737                 | 1,422                  | 1,121               | 1,014                    | 8             | 89           | 8         | 2         |  |
| Customs Violations - Duty                        | 47                | 35                  | 79                     | 65                  | 53                       | 0             | 10           | 2         | 0         |  |
| Customs Violations - Currency                    | 113               | 133                 | 135                    | 156                 | 144                      | 1             | 9            | 2         | 0         |  |
| Energy Pricing and Related Fraud                 | 1                 | 1                   | 4                      | 1                   | 1                        | 0             | 0            | 0         | 0         |  |
| Environmental Offenses                           | 296               | 291                 | 460                    | 444                 | 386                      | 2             | 45           | 10        | 1         |  |
| Health and Safety Violations                     | 27                | 29                  | 46                     | 45                  | 36                       | 0             | 9            | 0         | 0         |  |
| Money Laundering - Narcotics                     | 69                | 57                  | 137                    | 103                 | 87                       | 3             | 10           | 2         | 1         |  |
| Money Laundering - Other                         | 114               | 97                  | 176                    | 150                 | 138                      | 2             | 7            | 2         | 1         |  |
| Trafficking in Contraband Cigarettes             | 5                 | 13                  | 12                     | 26                  | 16                       | 0             | 10           | 0         | 0         |  |
| Other Regulatory Offenses                        | 206               | 192                 | 239                    | 234                 | 200                      | 9             | 22           | 2         | 1         |  |
| Immigration                                      | 12,537            | 12,143              | 13,433                 | 13,094              | 12,435                   | 28            | 619          | 7         | 5         |  |
| Internal Security Offenses                       | 14                | 8                   | 18                     | 11                  | 9                        | 0             | 2            | 0         | 0         |  |
| Interstate Theft                                 | 195               | 188                 | 391                    | 328                 | 288                      | 3             | 32           | 4         | 1         |  |
| <b>Labor Management Offenses - Total</b>         | <b>112</b>        | <b>82</b>           | <b>138</b>             | <b>102</b>          | <b>93</b>                | <b>0</b>      | <b>7</b>     | <b>2</b>  | <b>0</b>  |  |
| Corruption - Bribery                             | 8                 | 10                  | 8                      | 10                  | 8                        | 0             | 1            | 1         | 0         |  |
| Corruption - Pension Benefit                     | 32                | 26                  | 36                     | 30                  | 29                       | 0             | 1            | 0         | 0         |  |
| Labor Racketeering                               | 9                 | 8                   | 22                     | 8                   | 8                        | 0             | 0            | 0         | 0         |  |
| Other Labor Offenses                             | 63                | 38                  | 72                     | 54                  | 48                       | 0             | 5            | 1         | 0         |  |
| <b>All Drug Offenses - Total</b>                 | <b>17,200</b>     | <b>15,666</b>       | <b>29,896</b>          | <b>27,598</b>       | <b>25,292</b>            | <b>229</b>    | <b>1,945</b> | <b>90</b> | <b>42</b> |  |
| Organized Crime Drug Enforcement Task Force      | 3,151             | 2,906               | 8,539                  | 8,297               | 7,505                    | 99            | 652          | 26        | 15        |  |
| Non-OCDETF Drugs - Subtotal                      | 14,049            | 12,760              | 21,357                 | 19,301              | 17,787                   | 130           | 1,293        | 64        | 27        |  |
| Drug Dealing                                     | 13,706            | 12,368              | 20,814                 | 18,681              | 17,212                   | 127           | 1,252        | 63        | 27        |  |
| Drug Possession                                  | 343               | 392                 | 543                    | 620                 | 575                      | 3             | 41           | 1         | 0         |  |
| <b>Official Corruption - Total</b>               | <b>443</b>        | <b>484</b>          | <b>597</b>             | <b>633</b>          | <b>563</b>               | <b>17</b>     | <b>46</b>    | <b>7</b>  | <b>0</b>  |  |
| Federal Procurement                              | 45                | 50                  | 63                     | 73                  | 62                       | 5             | 3            | 3         | 0         |  |
| Federal Program                                  | 63                | 70                  | 75                     | 98                  | 84                       | 3             | 11           | 0         | 0         |  |
| Federal Law Enforcement                          | 40                | 30                  | 43                     | 34                  | 29                       | 1             | 4            | 0         | 0         |  |
| Federal Corruption Other                         | 124               | 140                 | 143                    | 158                 | 148                      | 0             | 8            | 2         | 0         |  |
| Local Corruption                                 | 109               | 100                 | 153                    | 123                 | 116                      | 3             | 4            | 0         | 0         |  |
| State Corruption                                 | 25                | 58                  | 47                     | 101                 | 83                       | 4             | 12           | 2         | 0         |  |
| Other Official Corruption                        | 37                | 36                  | 73                     | 46                  | 41                       | 1             | 4            | 0         | 0         |  |

**Table 3 (Continued)**

| Program Category                    | ----- Cases ----- |                     | ----- Defendants ----- |                     | ----- Dispositions ----- |               |              |            |            |  |
|-------------------------------------|-------------------|---------------------|------------------------|---------------------|--------------------------|---------------|--------------|------------|------------|--|
|                                     | Filed             | 1/<br>Terminated 2/ | Filed                  | 1/<br>Terminated 2/ | Guilty                   | Not Guilty 3/ | Dismissed 4/ | Rule 20    | Other      |  |
| Organized Crime                     | 216               | 199                 | 499                    | 471                 | 400                      | 10            | 55           | 5          | 1          |  |
| <b>Theft - Total</b>                | <b>1,483</b>      | <b>1,356</b>        | <b>1,867</b>           | <b>1,674</b>        | <b>1,511</b>             | <b>13</b>     | <b>127</b>   | <b>20</b>  | <b>3</b>   |  |
| Checks/Postal                       | 1,036             | 924                 | 1,309                  | 1,156               | 1,066                    | 3             | 71           | 13         | 3          |  |
| Motor Vehicle Theft                 | 66                | 65                  | 112                    | 92                  | 80                       | 1             | 11           | 0          | 0          |  |
| Theft of Government Property        | 381               | 367                 | 446                    | 426                 | 365                      | 9             | 45           | 7          | 0          |  |
| <b>Violent Crime - Total 5/</b>     | <b>8,789</b>      | <b>7,858</b>        | <b>10,492</b>          | <b>9,328</b>        | <b>8,260</b>             | <b>165</b>    | <b>777</b>   | <b>109</b> | <b>17</b>  |  |
| Violent Crime in Indian Country     | 584               | 589                 | 677                    | 653                 | 563                      | 22            | 68           | 0          | 0          |  |
| Violent Crime Non-OCDETF Drugs      | 267               | 220                 | 468                    | 393                 | 353                      | 7             | 33           | 0          | 0          |  |
| Violent Crime OCDETF Drugs          | 84                | 100                 | 113                    | 160                 | 145                      | 1             | 14           | 0          | 0          |  |
| Violent Crime Organized Crime       | 47                | 55                  | 100                    | 133                 | 119                      | 4             | 10           | 0          | 0          |  |
| Violent Crime Other                 | 7,807             | 6,894               | 9,134                  | 7,989               | 7,080                    | 131           | 652          | 109        | 17         |  |
| Other Indian Country Offenses       | 126               | 114                 | 153                    | 162                 | 146                      | 1             | 13           | 2          | 0          |  |
| <b>White Collar Crime - Total</b>   | <b>6,380</b>      | <b>6,020</b>        | <b>8,756</b>           | <b>7,988</b>        | <b>7,212</b>             | <b>81</b>     | <b>563</b>   | <b>111</b> | <b>21</b>  |  |
| Advance Fee Schemes                 | 60                | 65                  | 114                    | 106                 | 89                       | 3             | 13           | 0          | 1          |  |
| Fraud Against Business Institutions | 572               | 559                 | 861                    | 738                 | 674                      | 5             | 42           | 12         | 5          |  |
| Antitrust Violations                | 21                | 14                  | 24                     | 21                  | 21                       | 0             | 0            | 0          | 0          |  |
| Bank Fraud and Embezzlement         | 2,228             | 2,149               | 2,966                  | 2,803               | 2,579                    | 12            | 159          | 46         | 7          |  |
| Bankruptcy Fraud                    | 154               | 151                 | 188                    | 191                 | 169                      | 1             | 21           | 0          | 0          |  |
| Commodities Fraud                   | 23                | 19                  | 30                     | 25                  | 25                       | 0             | 0            | 0          | 0          |  |
| Computer Fraud                      | 131               | 92                  | 168                    | 116                 | 106                      | 2             | 7            | 1          | 0          |  |
| Consumer Fraud                      | 162               | 169                 | 228                    | 226                 | 207                      | 3             | 14           | 1          | 1          |  |
| Federal Procurement Fraud           | 81                | 87                  | 114                    | 126                 | 110                      | 1             | 10           | 4          | 1          |  |
| Federal Program Fraud               | 758               | 653                 | 936                    | 811                 | 687                      | 14            | 104          | 5          | 1          |  |
| Health Care Fraud                   | 441               | 372                 | 597                    | 527                 | 463                      | 13            | 47           | 4          | 0          |  |
| Insurance Fraud                     | 124               | 168                 | 186                    | 232                 | 204                      | 6             | 20           | 2          | 0          |  |
| Other Investment Fraud              | 67                | 80                  | 98                     | 107                 | 99                       | 0             | 7            | 1          | 0          |  |
| Securities Fraud                    | 202               | 165                 | 414                    | 352                 | 327                      | 8             | 12           | 5          | 0          |  |
| Tax Fraud                           | 554               | 550                 | 690                    | 632                 | 598                      | 6             | 19           | 8          | 1          |  |
| Other Fraud                         | 802               | 727                 | 1,142                  | 975                 | 854                      | 7             | 88           | 22         | 4          |  |
| All Other                           | 3,738             | 3,722               | 4,296                  | 4,220               | 3,648                    | 40            | 423          | 86         | 23         |  |
| <b>Totals</b>                       | <b>53,339</b>     | <b>49,834</b>       | <b>73,674</b>          | <b>68,418</b>       | <b>62,245</b>            | <b>622</b>    | <b>4,952</b> | <b>478</b> | <b>121</b> |  |

1/ Includes 526 cases or 541 defendants initiated by transfer under Rule 20.

2/ Includes 396 cases or 478 defendants terminated by transfer under Rule 20.

3/ Includes 15 verdicts of not guilty by reason of insanity involving 16 defendants.

4/ Includes transfers, dismissals other than by court, pretrial diversions, and proceedings suspended indefinitely by court.

5/ Subcategories were added to Government Regulatory /Money Laundering Offenses and Violent Crime in FY 1996 to identify drug cases which were classified as either Government Regulatory Offenses or Violent Crime.

Data on this table does not include Magistrate Cases

**Table 3A**  
**Criminal Cases in which a Firearms Offense was Charged Under 18 U.S.C. 922 or 924\***  
**Fiscal Year Ended September 30, 2001**

|         | ----- Cases ----- |            | ----- Defendants ----- |            | Defendants<br>Guilty of<br>Firearms Offense | Percentage of<br>Defendants<br>Guilty of<br>Firearms Offense | Defendants<br>Guilty of<br>Firearms or Other<br>Non-Firearms<br>Offense | Percentage of<br>Defendants<br>Guilty of<br>Firearms or Other<br>Non-Firearms<br>Offense | Guilty<br>Defendants<br>Sentenced<br>to Prison | Percentage of<br>Guilty<br>Defendants<br>Sentenced<br>to Prison |
|---------|-------------------|------------|------------------------|------------|---|--|---|--|--|---|
|         | Filed             | Terminated | Filed                  | Terminated |   |  |   |  |  |   |
| FY 1992 | 4,754             | 4,516      | 7,059                  | 5,824      | 4,396                                       | 75.5%  | 5,064   | 87.0%  | 4,482  | 88.5%   |
| FY 1993 | 4,852             | 4,921      | 6,896                  | 6,450      | 4,939                                       | 76.6%  | 5,676   | 88.0%  | 5,165  | 91.0%   |
| FY 1994 | 4,274             | 4,485      | 6,275                  | 6,023      | 4,481                                       | 74.4%  | 5,258   | 87.3%  | 4,833  | 91.9%   |
| FY 1995 | 4,564             | 4,261      | 6,667                  | 5,734      | 4,193                                       | 73.1%  | 4,993   | 87.1%  | 4,602  | 92.2%   |
| FY 1996 | 3,793             | 4,120      | 5,489                  | 5,516      | 3,925                                       | 71.2%  | 4,877   | 88.4%  | 4,480  | 91.9%   |
| FY 1997 | 3,703             | 3,381      | 5,150                  | 4,418      | 3,257                                       | 73.7%  | 3,789   | 85.8%  | 3,421  | 90.3%   |
| FY 1998 | 4,391             | 3,921      | 5,876                  | 5,032      | 3,612                                       | 71.8%  | 4,256   | 84.6%  | 3,895  | 91.5%   |
| FY 1999 | 5,500             | 4,269      | 7,057                  | 5,439      | 3,985                                       | 73.3%  | 4,830   | 88.8%  | 4,518  | 93.5%   |
| FY 2000 | 6,281             | 5,258      | 8,054                  | 6,859      | 5,012                                       | 73.1%  | 6,113   | 89.1%  | 5,656  | 92.5%   |
| FY 2001 | 7,041             | 6,096      | 8,845                  | 7,776      | 5,927                                       | 76.2%  | 7,031   | 90.4%  | 6,515  | 92.7%   |

\*Includes any and all criminal cases where 18 U.S.C. 922 or 924 was brought as any charge in a case against a defendant. Both statutes were run together to eliminate double counting of cases/defendants when more than one subsection of Section 922 or 924 was charged in the same case, or both Sections 922 and 924 were charged in the same case.

**Table 3B**  
**Criminal Cases and Defendants in United States District Court By Referring Agency**  
**Fiscal Year Ended September 30, 2001**

| Agency                                    | ----- Cases ----- |                     | ----- Defendants ----- |                     | ----- Dispositions ----- |               |              |            |  |
|---|-------------------|---------------------|------------------------|---------------------|--------------------------|---------------|--------------|------------|--|
|   | Filed             | 1/<br>Terminated 2/ | Filed                  | 1/<br>Terminated 2/ | Guilty                   | Not Guilty 3/ | Dismissed 4/ | Other      |  |
| Agriculture                               | 176               | 191                 | 254                    | 296                 | 246                      | 16            | 32           | 2          |  |
| Commerce                                  | 18                | 17                  | 35                     | 26                  | 19                       | 0             | 7            | 0          |  |
| <b>Department of Defense - Total</b>      | <b>892</b>        | <b>1,157</b>        | <b>960</b>             | <b>1,213</b>        | <b>1,076</b>             | <b>9</b>      | <b>120</b>   | <b>8</b>   |  |
| Air Force                                 | 62                | 80                  | 65                     | 84                  | 68                       | 1             | 14           | 1          |  |
| Army                                      | 222               | 215                 | 233                    | 226                 | 165                      | 2             | 54           | 5          |  |
| Marine Corps                              | 10                | 11                  | 13                     | 11                  | 10                       | 0             | 1            | 0          |  |
| Navy                                      | 457               | 727                 | 469                    | 740                 | 702                      | 4             | 33           | 1          |  |
| All Other Defense                         | 141               | 124                 | 180                    | 152                 | 131                      | 2             | 18           | 1          |  |
| Education                                 | 79                | 29                  | 98                     | 37                  | 33                       | 0             | 4            | 0          |  |
| Energy                                    | 4                 | 1                   | 4                      | 1                   | 1                        | 0             | 0            | 0          |  |
| Health and Human Services                 | 376               | 381                 | 480                    | 468                 | 395                      | 12            | 48           | 13         |  |
| Housing and Urban Development             | 139               | 104                 | 181                    | 135                 | 121                      | 0             | 13           | 1          |  |
| Interior                                  | 580               | 590                 | 762                    | 767                 | 634                      | 19            | 103          | 11         |  |
| <b>Department of Justice - Total</b>      | <b>32,146</b>     | <b>30,222</b>       | <b>46,167</b>          | <b>43,073</b>       | <b>39,383</b>            | <b>350</b>    | <b>2,941</b> | <b>399</b> |  |
| Drug Enforcement Administration           | 7,852             | 7,030               | 14,993                 | 13,671              | 12,413                   | 128           | 1,052        | 78         |  |
| Federal Bureau of Investigation           | 10,659            | 10,005              | 16,387                 | 14,963              | 13,375                   | 176           | 1,129        | 283        |  |
| Immigration & Naturalization Service      | 12,633            | 12,288              | 13,684                 | 13,448              | 12,736                   | 36            | 664          | 12         |  |
| Marshals Service                          | 582               | 529                 | 608                    | 570                 | 467                      | 4             | 77           | 22         |  |
| All Other Justice                         | 420               | 370                 | 495                    | 421                 | 392                      | 6             | 19           | 4          |  |
| Labor                                     | 176               | 151                 | 196                    | 189                 | 164                      | 2             | 20           | 3          |  |
| Postal Service                            | 1,933             | 1,765               | 2,577                  | 2,299               | 2,102                    | 12            | 154          | 31         |  |
| State                                     | 397               | 384                 | 513                    | 481                 | 433                      | 4             | 36           | 8          |  |
| Transportation                            | 86                | 77                  | 128                    | 109                 | 74                       | 4             | 28           | 3          |  |
| <b>Department of the Treasury - Total</b> | <b>13,406</b>     | <b>12,071</b>       | <b>17,196</b>          | <b>15,442</b>       | <b>14,183</b>            | <b>149</b>    | <b>1,031</b> | <b>79</b>  |  |
| Alcohol, Tobacco, & Firearms              | 4,933             | 4,254               | 5,784                  | 4,937               | 4,373                    | 84            | 459          | 21         |  |
| Customs                                   | 5,819             | 5,388               | 7,434                  | 6,997               | 6,628                    | 46            | 306          | 17         |  |
| Internal Revenue Service                  | 847               | 885                 | 1,191                  | 1,202               | 1,100                    | 7             | 77           | 18         |  |
| Secret Service                            | 1,736             | 1,476               | 2,644                  | 2,218               | 2,010                    | 10            | 176          | 22         |  |
| All Other Treasury                        | 71                | 68                  | 143                    | 88                  | 72                       | 2             | 13           | 1          |  |
| Department of Veteran Affairs             | 94                | 93                  | 110                    | 100                 | 80                       | 3             | 16           | 1          |  |
| Environmental Protection Agency           | 122               | 107                 | 194                    | 145                 | 127                      | 5             | 12           | 1          |  |
| General Services Administration           | 14                | 10                  | 17                     | 10                  | 9                        | 0             | 1            | 0          |  |
| Small Business Administration             | 15                | 16                  | 23                     | 21                  | 15                       | 0             | 6            | 0          |  |
| Securities & Exchange Commission          | 22                | 10                  | 36                     | 44                  | 40                       | 4             | 0            | 0          |  |
| All Other Agencies                        | 2,664             | 2,458               | 3,743                  | 3,562               | 3,110                    | 33            | 380          | 39         |  |
| All Agencies                              | <b>53,339</b>     | <b>49,834</b>       | <b>73,674</b>          | <b>68,418</b>       | <b>62,245</b>            | <b>622</b>    | <b>4,952</b> | <b>599</b> |  |

1/ Includes 526 cases or 541 defendants initiated by transfer under Rule 20.

2/ Includes 396 cases or 478 defendants terminated by transfer under Rule 20.

3/ Includes 15 verdicts of not guilty by reason of insanity involving 16 defendants.

4/ Includes transfers, dismissals other than by court, pretrial diversions, and proceedings suspended indefinitely by court.

Data on this table does not include Magistrate Cases

**Table 4**  
**Civil Cases Handled By United States Attorneys**  
**Fiscal Year Ended September 30, 2001**

| District             | ----- Civil Cases ----- |       |            |                | ----- Dispositions ----- |             |                         |           |       | --- Disposition ---   |                      |
|----------------------|-------------------------|-------|------------|----------------|--------------------------|-------------|-------------------------|-----------|-------|-----------------------|----------------------|
|                      | Begin<br>Pending        | Filed | Terminated | End<br>Pending | Judgment<br>For U.S.     | Settlements | Judgment<br>Versus U.S. | Dismissed | Other | After Court<br>Trials | After Jury<br>Trials |
| Alabama, Middle      | 864                     | 641   | 720        | 785            | 193                      | 379         | 113                     | 13        | 22    | 7                     | 1                    |
| Alabama, Northern    | 1,120                   | 1,086 | 1,177      | 1,029          | 775                      | 29          | 135                     | 30        | 208   | 9                     | 1                    |
| Alabama, Southern    | 351                     | 487   | 395        | 443            | 107                      | 199         | 25                      | 15        | 49    | 3                     | 5                    |
| Alaska               | 185                     | 164   | 172        | 177            | 81                       | 43          | 17                      | 14        | 17    | 23                    | 7                    |
| Arizona              | 1,265                   | 852   | 480        | 1,637          | 251                      | 70          | 47                      | 34        | 78    | 6                     | 1                    |
| Arkansas, Eastern    | 3,184                   | 1,437 | 868        | 3,753          | 362                      | 357         | 38                      | 6         | 105   | 12                    | 16                   |
| Arkansas, Western    | 411                     | 918   | 606        | 723            | 155                      | 175         | 9                       | 43        | 224   | 1                     | 6                    |
| California, Central  | 4,864                   | 2,602 | 2,351      | 5,115          | 815                      | 368         | 258                     | 404       | 506   | 84                    | 9                    |
| California, Eastern  | 1,408                   | 1,023 | 1,064      | 1,367          | 335                      | 80          | 129                     | 341       | 179   | 16                    | 31                   |
| California, Northern | 1,147                   | 937   | 821        | 1,263          | 304                      | 187         | 164                     | 84        | 82    | 9                     | 11                   |
| California, Southern | 814                     | 760   | 782        | 792            | 236                      | 189         | 94                      | 77        | 186   | 1                     | 3                    |
| Colorado             | 974                     | 672   | 679        | 967            | 327                      | 143         | 41                      | 31        | 137   | 14                    | 5                    |
| Connecticut          | 2,275                   | 897   | 753        | 2,419          | 129                      | 139         | 33                      | 41        | 411   | 14                    | 1                    |
| Delaware             | 385                     | 439   | 351        | 473            | 30                       | 138         | 6                       | 9         | 168   | 6                     | 2                    |
| District of Columbia | 1,798                   | 920   | 804        | 1,914          | 540                      | 130         | 16                      | 44        | 74    | 0                     | 0                    |
| Florida, Middle      | 3,158                   | 3,414 | 3,108      | 3,464          | 782                      | 232         | 905                     | 502       | 687   | 60                    | 9                    |
| Florida, Northern    | 534                     | 693   | 667        | 560            | 286                      | 53          | 60                      | 75        | 193   | 25                    | 45                   |
| Florida, Southern    | 3,683                   | 3,414 | 2,796      | 4,301          | 503                      | 119         | 624                     | 384       | 1,166 | 12                    | 11                   |
| Georgia, Middle      | 626                     | 479   | 449        | 656            | 146                      | 163         | 16                      | 13        | 111   | 15                    | 10                   |
| Georgia, Northern    | 1,120                   | 1,458 | 1,300      | 1,278          | 588                      | 291         | 96                      | 61        | 264   | 35                    | 1                    |
| Georgia, Southern    | 528                     | 926   | 961        | 493            | 169                      | 683         | 43                      | 33        | 33    | 8                     | 1                    |
| Guam                 | 44                      | 65    | 51         | 58             | 23                       | 9           | 4                       | 5         | 10    | 3                     | 1                    |
| Hawaii               | 367                     | 323   | 334        | 356            | 66                       | 110         | 24                      | 28        | 106   | 3                     | 0                    |
| Idaho                | 241                     | 205   | 191        | 255            | 64                       | 85          | 15                      | 3         | 24    | 7                     | 4                    |
| Illinois, Central    | 365                     | 454   | 397        | 422            | 174                      | 77          | 28                      | 52        | 66    | 2                     | 6                    |
| Illinois, Northern   | 3,274                   | 1,765 | 1,353      | 3,686          | 394                      | 214         | 98                      | 98        | 549   | 5                     | 0                    |
| Illinois, Southern   | 282                     | 379   | 300        | 361            | 167                      | 58          | 17                      | 18        | 40    | 6                     | 1                    |
| Indiana, Northern    | 491                     | 677   | 658        | 510            | 160                      | 246         | 30                      | 34        | 188   | 18                    | 6                    |
| Indiana, Southern    | 1,077                   | 803   | 961        | 919            | 157                      | 583         | 51                      | 80        | 90    | 0                     | 31                   |
| Iowa, Northern       | 279                     | 374   | 355        | 298            | 71                       | 208         | 45                      | 16        | 15    | 4                     | 0                    |
| Iowa, Southern       | 256                     | 437   | 374        | 319            | 183                      | 58          | 67                      | 36        | 30    | 5                     | 1                    |
| Kansas               | 765                     | 1,146 | 1,083      | 828            | 296                      | 467         | 72                      | 83        | 165   | 14                    | 3                    |
| Kentucky, Eastern    | 1,495                   | 1,349 | 1,182      | 1,662          | 460                      | 136         | 343                     | 36        | 207   | 4                     | 13                   |

Table 4 (Continued)

| District                 | ----- Civil Cases ----- |       |            | ----- Dispositions ----- |                      |             |                         |           |       | --- Disposition ---   |                      |
|--------------------------|-------------------------|-------|------------|--------------------------|----------------------|-------------|-------------------------|-----------|-------|-----------------------|----------------------|
|                          | Begin<br>Pending        | Filed | Terminated | End<br>Pending           | Judgment<br>For U.S. | Settlements | Judgment<br>Versus U.S. | Dismissed | Other | After Court<br>Trials | After Jury<br>Trials |
| Kentucky, Western        | 907                     | 1,076 | 964        | 1,019                    | 328                  | 232         | 29                      | 90        | 285   | 5                     | 4                    |
| Louisiana, Eastern       | 467                     | 474   | 486        | 455                      | 289                  | 67          | 27                      | 32        | 71    | 17                    | 1                    |
| Louisiana, Middle        | 176                     | 179   | 146        | 209                      | 19                   | 46          | 3                       | 8         | 70    | 3                     | 1                    |
| Louisiana, Western       | 490                     | 629   | 585        | 534                      | 205                  | 184         | 26                      | 9         | 161   | 97                    | 3                    |
| Maine                    | 339                     | 224   | 235        | 328                      | 29                   | 62          | 15                      | 29        | 100   | 5                     | 0                    |
| Maryland                 | 1,325                   | 1,103 | 1,192      | 1,236                    | 355                  | 498         | 83                      | 54        | 202   | 10                    | 8                    |
| Massachusetts            | 746                     | 394   | 317        | 823                      | 120                  | 64          | 16                      | 28        | 89    | 18                    | 1                    |
| Michigan, Eastern        | 1,055                   | 1,071 | 838        | 1,288                    | 444                  | 151         | 40                      | 71        | 132   | 5                     | 4                    |
| Michigan, Western        | 993                     | 460   | 203        | 1,250                    | 88                   | 38          | 6                       | 7         | 64    | 15                    | 0                    |
| Minnesota                | 567                     | 814   | 730        | 651                      | 295                  | 250         | 40                      | 52        | 93    | 13                    | 12                   |
| Mississippi, Northern    | 258                     | 249   | 264        | 243                      | 61                   | 101         | 23                      | 22        | 57    | 24                    | 0                    |
| Mississippi, Southern    | 455                     | 386   | 362        | 479                      | 141                  | 148         | 10                      | 29        | 34    | 13                    | 4                    |
| Missouri, Eastern        | 560                     | 551   | 398        | 713                      | 190                  | 71          | 56                      | 8         | 73    | 11                    | 1                    |
| Missouri, Western        | 636                     | 809   | 578        | 867                      | 282                  | 65          | 97                      | 36        | 98    | 11                    | 2                    |
| Montana                  | 235                     | 260   | 253        | 242                      | 94                   | 60          | 29                      | 39        | 31    | 10                    | 9                    |
| Nebraska                 | 276                     | 385   | 407        | 254                      | 169                  | 49          | 34                      | 21        | 134   | 9                     | 19                   |
| Nevada                   | 537                     | 288   | 283        | 542                      | 143                  | 22          | 30                      | 52        | 36    | 2                     | 16                   |
| New Hampshire            | 116                     | 193   | 180        | 129                      | 39                   | 60          | 12                      | 10        | 59    | 1                     | 0                    |
| New Jersey               | 7,810                   | 2,234 | 4,320      | 5,724                    | 381                  | 178         | 137                     | 17        | 3,607 | 10                    | 2                    |
| New Mexico               | 539                     | 644   | 513        | 670                      | 106                  | 138         | 86                      | 48        | 135   | 15                    | 1                    |
| New York, Eastern        | 5,833                   | 3,089 | 3,414      | 5,508                    | 368                  | 488         | 107                     | 491       | 1,960 | 134                   | 6                    |
| New York, Northern       | 1,491                   | 968   | 800        | 1,659                    | 139                  | 101         | 7                       | 7         | 546   | 2                     | 0                    |
| New York, Southern       | 4,648                   | 1,570 | 1,113      | 5,105                    | 121                  | 438         | 8                       | 10        | 536   | 4                     | 7                    |
| New York, Western        | 1,767                   | 1,032 | 640        | 2,159                    | 119                  | 115         | 22                      | 32        | 352   | 4                     | 2                    |
| North Carolina, Eastern  | 477                     | 538   | 478        | 537                      | 323                  | 31          | 16                      | 52        | 56    | 15                    | 9                    |
| North Carolina, Middle   | 332                     | 303   | 236        | 399                      | 146                  | 10          | 16                      | 13        | 51    | 1                     | 1                    |
| North Carolina, Western  | 314                     | 314   | 320        | 308                      | 206                  | 34          | 23                      | 7         | 50    | 2                     | 3                    |
| North Dakota             | 174                     | 218   | 235        | 157                      | 103                  | 108         | 6                       | 7         | 11    | 2                     | 1                    |
| Northern Mariana Islands | 8                       | 12    | 10         | 10                       | 5                    | 0           | 0                       | 0         | 5     | 0                     | 0                    |
| Ohio, Northern           | 2,763                   | 2,243 | 2,176      | 2,830                    | 499                  | 490         | 372                     | 400       | 415   | 7                     | 4                    |
| Ohio, Southern           | 2,346                   | 1,589 | 1,682      | 2,253                    | 258                  | 128         | 163                     | 196       | 937   | 11                    | 2                    |
| Oklahoma, Eastern        | 351                     | 395   | 334        | 412                      | 76                   | 23          | 70                      | 36        | 129   | 65                    | 4                    |
| Oklahoma, Northern       | 376                     | 438   | 465        | 349                      | 129                  | 51          | 68                      | 100       | 117   | 2                     | 1                    |
| Oklahoma, Western        | 591                     | 1,065 | 870        | 786                      | 347                  | 252         | 35                      | 58        | 178   | 2                     | 0                    |
| Oregon                   | 827                     | 983   | 1,002      | 808                      | 243                  | 80          | 422                     | 69        | 188   | 27                    | 250                  |

**Table 4 (Continued)**

| District                | ----- Civil Cases ----- |        |            | ----- Dispositions ----- |                      |             |                         |           |        | --- Disposition ---   |                      |
|-------------------------|-------------------------|--------|------------|--------------------------|----------------------|-------------|-------------------------|-----------|--------|-----------------------|----------------------|
|                         | Begin<br>Pending        | Filed  | Terminated | End<br>Pending           | Judgment<br>For U.S. | Settlements | Judgment<br>Versus U.S. | Dismissed | Other  | After Court<br>Trials | After Jury<br>Trials |
| Pennsylvania, Eastern   | 1,652                   | 1,071  | 1,063      | 1,660                    | 241                  | 67          | 78                      | 87        | 590    | 34                    | 9                    |
| Pennsylvania, Middle    | 1,066                   | 930    | 726        | 1,270                    | 359                  | 176         | 18                      | 46        | 127    | 8                     | 11                   |
| Pennsylvania, Western   | 1,045                   | 1,368  | 986        | 1,427                    | 271                  | 52          | 78                      | 58        | 527    | 6                     | 0                    |
| Puerto Rico             | 1,066                   | 801    | 757        | 1,110                    | 339                  | 298         | 52                      | 42        | 26     | 8                     | 0                    |
| Rhode Island            | 236                     | 150    | 104        | 282                      | 47                   | 20          | 6                       | 4         | 27     | 5                     | 0                    |
| South Carolina          | 2,000                   | 1,699  | 1,779      | 1,920                    | 907                  | 263         | 496                     | 32        | 81     | 110                   | 5                    |
| South Dakota            | 175                     | 246    | 191        | 230                      | 65                   | 54          | 15                      | 10        | 47     | 20                    | 4                    |
| Tennessee, Eastern      | 915                     | 792    | 653        | 1,054                    | 227                  | 152         | 61                      | 33        | 180    | 11                    | 3                    |
| Tennessee, Middle       | 470                     | 406    | 315        | 561                      | 77                   | 102         | 11                      | 23        | 102    | 26                    | 5                    |
| Tennessee, Western      | 740                     | 1,054  | 1,077      | 717                      | 126                  | 920         | 15                      | 6         | 10     | 10                    | 12                   |
| Texas, Eastern          | 867                     | 971    | 920        | 918                      | 185                  | 501         | 43                      | 113       | 78     | 10                    | 1                    |
| Texas, Northern         | 1,486                   | 1,533  | 1,774      | 1,245                    | 669                  | 446         | 28                      | 231       | 400    | 11                    | 2                    |
| Texas, Southern         | 1,714                   | 1,631  | 1,219      | 2,126                    | 406                  | 203         | 22                      | 46        | 542    | 38                    | 3                    |
| Texas, Western          | 2,008                   | 1,343  | 1,135      | 2,216                    | 410                  | 407         | 90                      | 71        | 157    | 126                   | 3                    |
| Utah                    | 323                     | 204    | 176        | 351                      | 80                   | 39          | 14                      | 9         | 34     | 6                     | 14                   |
| Vermont                 | 253                     | 245    | 292        | 206                      | 93                   | 68          | 13                      | 49        | 69     | 6                     | 8                    |
| Virgin Islands          | 129                     | 57     | 60         | 126                      | 28                   | 6           | 9                       | 8         | 9      | 8                     | 1                    |
| Virginia, Eastern       | 1,060                   | 1,254  | 1,262      | 1,052                    | 345                  | 675         | 34                      | 37        | 171    | 26                    | 5                    |
| Virginia, Western       | 502                     | 676    | 705        | 473                      | 291                  | 202         | 141                     | 33        | 38     | 3                     | 0                    |
| Washington, Eastern     | 239                     | 359    | 312        | 286                      | 61                   | 140         | 22                      | 14        | 75     | 9                     | 11                   |
| Washington, Western     | 1,175                   | 1,399  | 1,421      | 1,153                    | 202                  | 615         | 216                     | 161       | 227    | 31                    | 0                    |
| West Virginia, Northern | 255                     | 253    | 265        | 243                      | 108                  | 53          | 74                      | 19        | 11     | 5                     | 1                    |
| West Virginia, Southern | 552                     | 487    | 416        | 623                      | 184                  | 99          | 29                      | 7         | 97     | 3                     | 2                    |
| Wisconsin, Eastern      | 888                     | 1,009  | 949        | 948                      | 91                   | 250         | 3                       | 59        | 546    | 10                    | 2                    |
| Wisconsin, Western      | 410                     | 434    | 376        | 468                      | 90                   | 164         | 33                      | 12        | 77     | 0                     | 2                    |
| Wyoming                 | 123                     | 106    | 53         | 176                      | 13                   | 4           | 6                       | 3         | 27     | 5                     | 4                    |
| All Districts           | 101,764                 | 79,854 | 74,558     | 107,060                  | 22,104               | 17,227      | 7,404                   | 6,096     | 21,727 | 1,538                 | 728                  |

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

Other dispositions include transfers, dismissals other than by court, and proceedings suspended for administrative reasons.

**Table 5**  
**Civil Matters and Cases by Cause of Action**  
**Fiscal Year Ended September 30, 2001**

| Cause of Action                   | ---- Matters ---- |         |            | ---- Cases ---- |         |            | Judgment |             | Judgment    |           | Other  |
|-----------------------------------|-------------------|---------|------------|-----------------|---------|------------|----------|-------------|-------------|-----------|--------|
|                                   | Received          | Pending | Terminated | Filed           | Pending | Terminated | For U.S. | Settlements | Versus U.S. | Dismissed |        |
| <b>United States as Plaintiff</b> |                   |         |            |                 |         |            |          |             |             |           |        |
| Admiralty                         | 28                | 4       | 3          | 26              | 39      | 36         | 9        | 8           | 1           | 6         | 12     |
| Asset Forfeiture                  | 4,313             | 3,628   | 2,005      | 1,738           | 2,351   | 1,730      | 1,248    | 234         | 38          | 101       | 109    |
| Bankruptcy                        | 700               | 14      | 8          | 697             | 663     | 679        | 386      | 226         | 16          | 14        | 37     |
| Civil Rights                      | 287               | 462     | 155        | 100             | 192     | 94         | 20       | 56          | 1           | 2         | 15     |
| Commercial Litigation             | 3,930             | 2,803   | 1,120      | 2,780           | 3,335   | 3,155      | 2,108    | 220         | 42          | 263       | 522    |
| Employment Discrimination         | 6                 | 6       | 1          | 5               | 12      | 7          | 1        | 4           | 0           | 1         | 1      |
| Environmental/Lands               | 1,747             | 2,013   | 166        | 1,296           | 2,019   | 365        | 185      | 82          | 40          | 24        | 34     |
| Fraud                             | 2,118             | 3,420   | 1,809      | 571             | 1,462   | 600        | 198      | 171         | 13          | 121       | 97     |
| Immigration                       | 85                | 91      | 16         | 58              | 68      | 29         | 14       | 2           | 2           | 2         | 9      |
| Prisoner Litigation               | 519               | 38      | 31         | 501             | 553     | 424        | 320      | 1           | 12          | 17        | 74     |
| Program Litigation                | 1,322             | 1,044   | 552        | 643             | 891     | 542        | 174      | 61          | 5           | 74        | 228    |
| Social Security                   | 32                | 9       | 7          | 22              | 35      | 32         | 15       | 0           | 5           | 3         | 9      |
| Torts                             | 247               | 109     | 143        | 108             | 132     | 93         | 16       | 44          | 5           | 3         | 25     |
| Totals                            | 15,334            | 13,641  | 6,016      | 8,545           | 11,752  | 7,786      | 4,694    | 1,109       | 180         | 631       | 1,172  |
| <b>United States as Defendant</b> |                   |         |            |                 |         |            |          |             |             |           |        |
| Admiralty                         | 69                | 2       | 1          | 69              | 113     | 94         | 22       | 10          | 7           | 17        | 38     |
| Asset Forfeiture                  | 86                | 47      | 32         | 64              | 81      | 95         | 38       | 6           | 3           | 7         | 41     |
| Bankruptcy                        | 1,603             | 5       | 4          | 1,600           | 1,694   | 1,501      | 245      | 748         | 125         | 97        | 286    |
| Civil Rights                      | 426               | 45      | 29         | 400             | 541     | 317        | 192      | 31          | 13          | 34        | 47     |
| Commercial Litigation             | 16,065            | 192     | 154        | 16,041          | 27,860  | 17,507     | 1,461    | 289         | 2,162       | 2,472     | 11,123 |
| Employment Discrimination         | 1,456             | 33      | 10         | 1,445           | 2,649   | 1,455      | 798      | 375         | 37          | 120       | 125    |
| Environmental/Lands               | 798               | 54      | 29         | 777             | 1,216   | 670        | 150      | 89          | 47          | 114       | 270    |
| Fraud                             | 41                | 59      | 26         | 30              | 79      | 31         | 11       | 8           | 0           | 5         | 7      |
| Immigration                       | 2,702             | 93      | 53         | 2,663           | 2,927   | 2,610      | 911      | 255         | 284         | 743       | 417    |
| Prisoner Litigation               | 7,842             | 273     | 123        | 7,658           | 9,545   | 6,104      | 4,958    | 11          | 241         | 217       | 677    |
| Program Litigation                | 3,074             | 472     | 367        | 2,704           | 3,933   | 2,593      | 1,012    | 383         | 482         | 284       | 432    |
| Social Security                   | 16,238            | 42      | 35         | 16,199          | 23,310  | 12,994     | 5,025    | 93          | 3,476       | 551       | 3,849  |
| Torts                             | 3,459             | 190     | 113        | 3,371           | 5,282   | 3,120      | 1,184    | 1,045       | 133         | 401       | 357    |
| Totals                            | 53,859            | 1,507   | 976        | 53,021          | 79,230  | 49,091     | 16,007   | 3,343       | 7,010       | 5,062     | 17,669 |



**Table 5 (Continued)**

| Cause of Action           | ---- Matters ---- |         |            | ---- Cases ---- |         |            | Judgment |             | Judgment    |           |        |
|---------------------------|-------------------|---------|------------|-----------------|---------|------------|----------|-------------|-------------|-----------|--------|
|                           | Received          | Pending | Terminated | Filed           | Pending | Terminated | For U.S. | Settlements | Versus U.S. | Dismissed | Other  |
| All Other Designations    |                   |         |            |                 |         |            |          |             |             |           |        |
| Admiralty                 | 8                 | 3       | 1          | 6               | 17      | 4          | 0        | 1           | 0           | 1         | 2      |
| Asset Forfeiture          | 23                | 20      | 20         | 5               | 5       | 6          | 3        | 2           | 0           | 0         | 1      |
| Bankruptcy                | 15,729            | 198     | 81         | 15,699          | 13,060  | 15,324     | 866      | 12,619      | 147         | 226       | 1,466  |
| Civil Rights              | 75                | 79      | 63         | 34              | 59      | 31         | 13       | 3           | 0           | 1         | 14     |
| Commercial Litigation     | 629               | 98      | 73         | 550             | 801     | 481        | 111      | 43          | 34          | 81        | 212    |
| Employment Discrimination | 17                | 9       | 0          | 13              | 17      | 12         | 5        | 1           | 1           | 2         | 3      |
| Environmental/Lands       | 56                | 43      | 26         | 35              | 88      | 29         | 10       | 5           | 1           | 1         | 12     |
| Fraud                     | 85                | 118     | 54         | 56              | 141     | 62         | 18       | 13          | 4           | 12        | 15     |
| Immigration               | 70                | 109     | 56         | 25              | 33      | 15         | 5        | 1           | 1           | 5         | 3      |
| Prisoner Litigation       | 254               | 11      | 11         | 244             | 229     | 147        | 118      | 1           | 6           | 3         | 19     |
| Program Litigation        | 2,743             | 2,331   | 1,552      | 996             | 924     | 933        | 116      | 34          | 12          | 34        | 737    |
| Social Security           | 37                | 4       | 5          | 32              | 33      | 34         | 9        | 9           | 1           | 2         | 13     |
| Torts                     | 710               | 128     | 96         | 593             | 671     | 603        | 129      | 43          | 7           | 35        | 389    |
| Totals                    | 20,436            | 3,151   | 2,038      | 18,288          | 16,078  | 17,681     | 1,403    | 12,775      | 214         | 403       | 2,886  |
| Grand Totals              | 89,629            | 18,299  | 9,030      | 79,854          | 107,060 | 74,558     | 22,104   | 17,227      | 7,404       | 6,096     | 21,727 |

Data on this table includes land acquisition and other civil actions in U.S. District, Bankruptcy, and state courts.

Other dispositions includes transfers, dismissals other than by court, and proceedings suspended for administrative reasons.

The data provided where the United States is otherwise designated includes counsel for third parties, amicus, creditor, intervenor, and other appearances by the U.S. Attorney.

The criteria used in this table were changed in FY 1995. Direct comparisons to prior years should not be made.

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison cannot be made of this data with data for Fiscal Year 1999 and prior years.

**Table 6**  
**Civil Matters and Cases by Referring Agency**  
**Fiscal Year Ended September 30, 2001**

| Referring Agency                | ----- Matters ----- |         |            | ----- Cases ----- |         |            | ----- Dispositions ----- |                         |                         |           |       |  |
|---------------------------------|---------------------|---------|------------|-------------------|---------|------------|--------------------------|-------------------------|-------------------------|-----------|-------|--|
|                                 | Received            | Pending | Terminated | Filed             | Pending | Terminated | Judgment<br>For U.S.     | Judgment<br>Settlements | Judgment<br>Versus U.S. | Dismissed | Other |  |
| United States as Plaintiff      |                     |         |            |                   |         |            |                          |                         |                         |           |       |  |
| Agriculture                     | 3,306               | 2,027   | 574        | 2,317             | 2,551   | 2,081      | 1,502                    | 178                     | 17                      | 143       | 241   |  |
| Commerce                        | 13                  | 15      | 6          | 7                 | 22      | 11         | 5                        | 5                       | 0                       | 1         | 0     |  |
| Defense                         | 247                 | 377     | 171        | 83                | 240     | 100        | 23                       | 30                      | 4                       | 20        | 23    |  |
| Education                       | 734                 | 330     | 240        | 417               | 216     | 754        | 578                      | 43                      | 2                       | 113       | 18    |  |
| Energy                          | 19                  | 19      | 14         | 7                 | 41      | 9          | 0                        | 2                       | 1                       | 3         | 3     |  |
| Environmental Protection Agency | 188                 | 279     | 71         | 149               | 391     | 146        | 75                       | 41                      | 2                       | 10        | 18    |  |
| Equal Employment                | 24                  | 14      | 2          | 24                | 29      | 40         | 2                        | 31                      | 1                       | 2         | 4     |  |
| General Services Administration | 31                  | 29      | 14         | 15                | 30      | 7          | 0                        | 3                       | 0                       | 3         | 1     |  |
| Health and Human Services       | 1,182               | 1,947   | 994        | 334               | 897     | 347        | 72                       | 114                     | 9                       | 70        | 82    |  |
| Housing and Urban Development   | 161                 | 230     | 443        | 74                | 175     | 255        | 23                       | 24                      | 2                       | 4         | 202   |  |
| Interior                        | 1,405               | 1,553   | 69         | 1,031             | 1,399   | 98         | 34                       | 18                      | 33                      | 4         | 9     |  |
| Justice                         | 4,423               | 3,890   | 2,102      | 1,955             | 2,706   | 1,736      | 1,107                    | 236                     | 42                      | 104       | 247   |  |
| Labor                           | 107                 | 90      | 57         | 57                | 88      | 57         | 28                       | 9                       | 4                       | 3         | 13    |  |
| Postal Service                  | 217                 | 204     | 119        | 72                | 124     | 72         | 41                       | 15                      | 0                       | 5         | 11    |  |
| Small Business Administration   | 99                  | 186     | 62         | 80                | 251     | 110        | 41                       | 31                      | 14                      | 11        | 13    |  |
| State                           | 26                  | 48      | 27         | 4                 | 5       | 6          | 2                        | 0                       | 1                       | 0         | 3     |  |
| Transportation                  | 69                  | 90      | 33         | 40                | 69      | 37         | 17                       | 11                      | 1                       | 4         | 4     |  |
| Treasury, excluding IRS         | 563                 | 400     | 248        | 329               | 373     | 328        | 260                      | 33                      | 7                       | 10        | 18    |  |
| Internal Revenue Service        | 1,550               | 718     | 312        | 1,101             | 1,320   | 1,051      | 546                      | 207                     | 27                      | 88        | 183   |  |
| General Accounting Office       | 0                   | 1       | 0          | 0                 | 0       | 1          | 0                        | 0                       | 0                       | 1         | 0     |  |
| Department of Veteran Affairs   | 217                 | 353     | 49         | 66                | 215     | 100        | 55                       | 13                      | 0                       | 5         | 27    |  |
| Other                           | 753                 | 841     | 409        | 383               | 610     | 440        | 283                      | 65                      | 13                      | 27        | 52    |  |
| Totals*                         | 15,334              | 13,641  | 6,016      | 8,545             | 11,752  | 7,786      | 4,694                    | 1,109                   | 180                     | 631       | 1,172 |  |
| United States as Defendant      |                     |         |            |                   |         |            |                          |                         |                         |           |       |  |
| Agriculture                     | 1,172               | 47      | 30         | 1,151             | 1,616   | 1,061      | 310                      | 165                     | 127                     | 202       | 257   |  |
| Commerce                        | 98                  | 2       | 2          | 97                | 167     | 94         | 41                       | 19                      | 10                      | 6         | 18    |  |
| Defense                         | 1,087               | 49      | 35         | 1,057             | 1,723   | 1,082      | 443                      | 338                     | 45                      | 143       | 113   |  |
| Education                       | 756                 | 34      | 25         | 853               | 771     | 796        | 236                      | 294                     | 86                      | 81        | 99    |  |
| Energy                          | 56                  | 4       | 0          | 54                | 99      | 57         | 26                       | 16                      | 4                       | 5         | 6     |  |
| Environmental Protection Agency | 110                 | 12      | 7          | 102               | 230     | 70         | 36                       | 14                      | 1                       | 7         | 12    |  |
| Equal Employment                | 39                  | 2       | 0          | 38                | 38      | 37         | 16                       | 3                       | 1                       | 2         | 15    |  |
| General Services Administration | 63                  | 3       | 7          | 62                | 110     | 63         | 29                       | 15                      | 1                       | 12        | 6     |  |
| Health and Human Services       | 2,186               | 81      | 40         | 2,162             | 3,013   | 1,506      | 453                      | 209                     | 259                     | 145       | 440   |  |
| Housing and Urban Development   | 1,977               | 28      | 13         | 1,964             | 2,931   | 1,539      | 170                      | 66                      | 265                     | 289       | 749   |  |
| Interior                        | 450                 | 37      | 17         | 433               | 776     | 337        | 123                      | 89                      | 23                      | 49        | 53    |  |
| Justice                         | 12,397              | 771     | 433        | 11,917            | 15,503  | 10,655     | 6,415                    | 426                     | 604                     | 1,174     | 2,036 |  |
| Labor                           | 118                 | 13      | 2          | 113               | 174     | 116        | 62                       | 12                      | 5                       | 16        | 21    |  |

**Table 6 (Continued)**

| Referring Agency                       | ----- Matters ----- |         |            | ----- Cases ----- |         |            | Judgment<br>For U.S. | ----- Dispositions ----- |                         |           |        |
|--|---------------------|---------|------------|-------------------|---------|------------|----------------------|--------------------------|-------------------------|-----------|--------|
|  | Received            | Pending | Terminated | Filed             | Pending | Terminated |                      | Settlements              | Judgment<br>Versus U.S. | Dismissed | Other  |
| United States as Defendant (Continued) |                     |         |            |                   |         |            |                      |                          |                         |           |        |
| Postal Service                         | 1,404               | 58      | 32         | 1,383             | 2,106   | 1,391      | 589                  | 450                      | 56                      | 174       | 122    |
| Small Business Administration          | 599                 | 14      | 11         | 594               | 1,324   | 762        | 92                   | 29                       | 210                     | 118       | 313    |
| State                                  | 48                  | 11      | 5          | 42                | 70      | 37         | 27                   | 2                        | 0                       | 1         | 7      |
| Transportation                         | 249                 | 7       | 5          | 245               | 443     | 220        | 73                   | 54                       | 15                      | 33        | 45     |
| Treasury, excluding IRS                | 219                 | 14      | 9          | 219               | 442     | 179        | 90                   | 42                       | 6                       | 20        | 21     |
| Internal Revenue Service               | 13,348              | 107     | 100        | 13,254            | 23,062  | 14,540     | 1,261                | 662                      | 1,492                   | 1,850     | 9,275  |
| General Accounting Office              | 4                   | 0       | 0          | 4                 | 10      | 2          | 1                    | 0                        | 0                       | 1         | 0      |
| Department of Veteran Affairs          | 773                 | 28      | 18         | 755               | 1,168   | 717        | 216                  | 187                      | 34                      | 99        | 181    |
| Other                                  | 16,706              | 185     | 185        | 16,522            | 23,454  | 13,830     | 5,298                | 251                      | 3,766                   | 635       | 3,880  |
| Totals                                 | 53,859              | 1,507   | 976        | 53,021            | 79,230  | 49,091     | 16,007               | 3,343                    | 7,010                   | 5,062     | 17,669 |
| All Other Designations                 |                     |         |            |                   |         |            |                      |                          |                         |           |        |
| Agriculture                            | 1,851               | 25      | 24         | 1,836             | 1,570   | 1,849      | 226                  | 1,345                    | 14                      | 53        | 211    |
| Commerce                               | 9                   | 3       | 3          | 8                 | 10      | 6          | 1                    | 1                        | 1                       | 0         | 3      |
| Defense                                | 157                 | 45      | 32         | 127               | 175     | 115        | 25                   | 42                       | 2                       | 12        | 34     |
| Education                              | 545                 | 13      | 6          | 542               | 497     | 423        | 45                   | 298                      | 19                      | 16        | 45     |
| Energy                                 | 10                  | 6       | 1          | 9                 | 14      | 6          | 0                    | 3                        | 1                       | 0         | 2      |
| Environmental Protection Agency        | 42                  | 17      | 14         | 30                | 66      | 17         | 4                    | 5                        | 0                       | 1         | 7      |
| Equal Employment                       | 5                   | 10      | 2          | 3                 | 2       | 3          | 2                    | 0                        | 0                       | 0         | 1      |
| General Services Administration        | 8                   | 1       | 0          | 8                 | 20      | 8          | 1                    | 2                        | 0                       | 0         | 5      |
| Health and Human Services              | 708                 | 163     | 119        | 574               | 691     | 563        | 33                   | 109                      | 2                       | 24        | 395    |
| Housing and Urban Development          | 150                 | 23      | 14         | 137               | 181     | 132        | 14                   | 78                       | 1                       | 6         | 33     |
| Interior                               | 38                  | 24      | 19         | 26                | 63      | 26         | 2                    | 8                        | 1                       | 1         | 14     |
| Justice                                | 2,529               | 2,077   | 1,330      | 981               | 1,019   | 875        | 228                  | 68                       | 16                      | 28        | 535    |
| Labor                                  | 55                  | 23      | 16         | 33                | 38      | 40         | 6                    | 18                       | 0                       | 1         | 15     |
| Postal Service                         | 107                 | 28      | 24         | 87                | 101     | 101        | 39                   | 16                       | 3                       | 8         | 35     |
| Small Business Administration          | 290                 | 20      | 2          | 281               | 484     | 279        | 6                    | 234                      | 5                       | 3         | 31     |
| State                                  | 64                  | 171     | 128        | 10                | 9       | 14         | 6                    | 0                        | 0                       | 0         | 8      |
| Transportation                         | 36                  | 10      | 5          | 28                | 71      | 27         | 4                    | 10                       | 0                       | 0         | 13     |
| Treasury, excluding IRS                | 58                  | 14      | 8          | 53                | 65      | 38         | 7                    | 7                        | 1                       | 1         | 22     |
| Internal Revenue Service               | 12,981              | 202     | 117        | 12,938            | 10,272  | 12,698     | 673                  | 10,336                   | 140                     | 220       | 1,329  |
| General Accounting Office              | 0                   | 0       | 0          | 0                 | 0       | 0          | 0                    | 0                        | 0                       | 0         | 0      |
| Department of Veteran Affairs          | 209                 | 18      | 21         | 188               | 159     | 163        | 12                   | 93                       | 4                       | 7         | 47     |
| Other                                  | 584                 | 258     | 153        | 389               | 571     | 298        | 69                   | 102                      | 4                       | 22        | 101    |
| Totals                                 | 20,436              | 3,151   | 2,038      | 18,288            | 16,078  | 17,681     | 1,403                | 12,775                   | 214                     | 403       | 2,886  |
| Grand Totals                           | 89,629              | 18,299  | 9,030      | 79,854            | 107,060 | 74,558     | 22,104               | 17,227                   | 7,404                   | 6,096     | 21,727 |

Data on this table includes land acquisition and other civil actions in U.S. District, Bankruptcy, and state courts.

Other dispositions includes transfers, dismissals other than by court, and proceedings suspended for administrative reasons.

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Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

**Table 7**  
**Appeals Filed and Closed By United States Attorneys**  
**Fiscal Year Ended September 30, 2001**

| District             | Criminal<br>Filed | ----- Criminal Closed ----- |                 |       |     | Total | Civil<br>Filed | ----- Civil Closed ----- |                 |       |  | Total |
|----------------------|-------------------|-----------------------------|-----------------|-------|-----|-------|----------------|--------------------------|-----------------|-------|--|-------|
|                      |                   | in Favor of<br>U.S.         | Against<br>U.S. | Other |     |       |                | in Favor of<br>U.S.      | Against<br>U.S. | Other |  |       |
| Alabama, Middle      | 17                | 13                          | 2               | 3     | 18  | 20    | 17             | 0                        | 2               | 19    |  |       |
| Alabama, Northern    | 62                | 55                          | 5               | 25    | 85  | 68    | 34             | 4                        | 15              | 53    |  |       |
| Alabama, Southern    | 68                | 95                          | 7               | 4     | 106 | 30    | 28             | 6                        | 4               | 38    |  |       |
| Alaska               | 43                | 29                          | 6               | 3     | 38  | 30    | 14             | 2                        | 2               | 18    |  |       |
| Arizona              | 318               | 158                         | 9               | 50    | 217 | 74    | 51             | 4                        | 12              | 67    |  |       |
| Arkansas, Eastern    | 43                | 48                          | 4               | 4     | 56  | 41    | 34             | 4                        | 2               | 40    |  |       |
| Arkansas, Western    | 18                | 23                          | 1               | 2     | 26  | 20    | 14             | 3                        | 2               | 19    |  |       |
| California, Central  | 384               | 411                         | 24              | 6     | 441 | 261   | 190            | 9                        | 33              | 232   |  |       |
| California, Eastern  | 122               | 47                          | 15              | 11    | 73  | 155   | 93             | 14                       | 11              | 118   |  |       |
| California, Northern | 89                | 105                         | 7               | 2     | 114 | 130   | 88             | 14                       | 25              | 127   |  |       |
| California, Southern | 259               | 196                         | 44              | 1     | 241 | 102   | 99             | 14                       | 0               | 113   |  |       |
| Colorado             | 81                | 61                          | 3               | 7     | 71  | 175   | 107            | 9                        | 25              | 141   |  |       |
| Connecticut          | 49                | 28                          | 1               | 3     | 32  | 12    | 8              | 0                        | 4               | 12    |  |       |
| Delaware             | 17                | 8                           | 2               | 4     | 14  | 9     | 2              | 1                        | 0               | 3     |  |       |
| District of Columbia | 149               | 110                         | 1               | 4     | 115 | 170   | 197            | 0                        | 8               | 205   |  |       |
| Florida, Middle      | 385               | 198                         | 5               | 197   | 400 | 302   | 74             | 4                        | 174             | 252   |  |       |
| Florida, Northern    | 133               | 100                         | 8               | 18    | 126 | 91    | 47             | 1                        | 33              | 81    |  |       |
| Florida, Southern    | 566               | 553                         | 27              | 0     | 580 | 333   | 298            | 9                        | 1               | 308   |  |       |
| Georgia, Middle      | 50                | 39                          | 2               | 9     | 50  | 47    | 29             | 2                        | 7               | 38    |  |       |
| Georgia, Northern    | 145               | 133                         | 8               | 22    | 163 | 122   | 119            | 3                        | 7               | 129   |  |       |
| Georgia, Southern    | 48                | 37                          | 1               | 17    | 55  | 80    | 26             | 2                        | 19              | 47    |  |       |
| Guam                 | 11                | 11                          | 0               | 1     | 12  | 6     | 2              | 0                        | 2               | 4     |  |       |
| Hawaii               | 54                | 29                          | 2               | 6     | 37  | 23    | 16             | 1                        | 2               | 19    |  |       |
| Idaho                | 22                | 15                          | 1               | 3     | 19  | 21    | 9              | 2                        | 3               | 14    |  |       |
| Illinois, Central    | 55                | 48                          | 2               | 8     | 58  | 34    | 25             | 1                        | 8               | 34    |  |       |
| Illinois, Northern   | 167               | 144                         | 12              | 2     | 158 | 133   | 108            | 9                        | 12              | 129   |  |       |
| Illinois, Southern   | 59                | 47                          | 6               | 13    | 66  | 33    | 44             | 1                        | 7               | 52    |  |       |
| Indiana, Northern    | 50                | 32                          | 5               | 12    | 49  | 9     | 9              | 1                        | 4               | 14    |  |       |
| Indiana, Southern    | 38                | 21                          | 2               | 6     | 29  | 36    | 20             | 1                        | 25              | 46    |  |       |
| Iowa, Northern       | 78                | 54                          | 3               | 18    | 75  | 16    | 14             | 2                        | 0               | 16    |  |       |
| Iowa, Southern       | 52                | 32                          | 3               | 9     | 44  | 31    | 22             | 4                        | 3               | 29    |  |       |
| Kansas               | 76                | 70                          | 3               | 17    | 90  | 49    | 59             | 3                        | 4               | 66    |  |       |
| Kentucky, Eastern    | 76                | 61                          | 6               | 9     | 76  | 75    | 84             | 2                        | 14              | 100   |  |       |

**Table 7 (Continued)**

| District                 | Criminal<br>Filed | ----- Criminal Closed ----- |                 |       |     | Total | Civil<br>Filed | ----- Civil Closed ----- |                 |       |  | Total |
|--------------------------|-------------------|-----------------------------|-----------------|-------|-----|-------|----------------|--------------------------|-----------------|-------|--|-------|
|                          |                   | in Favor of<br>U.S.         | Against<br>U.S. | Other |     |       |                | in Favor of<br>U.S.      | Against<br>U.S. | Other |  |       |
| Kentucky, Western        | 0                 | 39                          | 1               | 0     | 40  | 17    | 16             | 0                        | 1               | 17    |  |       |
| Louisiana, Eastern       | 70                | 47                          | 1               | 9     | 57  | 73    | 55             | 3                        | 8               | 66    |  |       |
| Louisiana, Middle        | 22                | 15                          | 2               | 3     | 20  | 8     | 1              | 0                        | 0               | 1     |  |       |
| Louisiana, Western       | 44                | 36                          | 3               | 5     | 44  | 19    | 9              | 1                        | 3               | 13    |  |       |
| Maine                    | 64                | 24                          | 3               | 0     | 27  | 10    | 7              | 0                        | 3               | 10    |  |       |
| Maryland                 | 94                | 56                          | 1               | 13    | 70  | 115   | 74             | 6                        | 39              | 119   |  |       |
| Massachusetts            | 91                | 54                          | 3               | 14    | 71  | 63    | 26             | 4                        | 7               | 37    |  |       |
| Michigan, Eastern        | 155               | 100                         | 8               | 25    | 133 | 191   | 87             | 10                       | 27              | 124   |  |       |
| Michigan, Western        | 68                | 30                          | 3               | 15    | 48  | 31    | 14             | 1                        | 10              | 25    |  |       |
| Minnesota                | 56                | 56                          | 3               | 4     | 63  | 75    | 70             | 4                        | 2               | 76    |  |       |
| Mississippi, Northern    | 26                | 7                           | 3               | 5     | 15  | 23    | 23             | 2                        | 3               | 28    |  |       |
| Mississippi, Southern    | 40                | 29                          | 3               | 6     | 38  | 30    | 19             | 1                        | 19              | 39    |  |       |
| Missouri, Eastern        | 38                | 45                          | 0               | 0     | 45  | 61    | 29             | 1                        | 3               | 33    |  |       |
| Missouri, Western        | 101               | 108                         | 8               | 2     | 118 | 95    | 69             | 11                       | 1               | 81    |  |       |
| Montana                  | 65                | 30                          | 17              | 7     | 54  | 17    | 15             | 2                        | 7               | 24    |  |       |
| Nebraska                 | 86                | 74                          | 5               | 8     | 87  | 40    | 25             | 1                        | 3               | 29    |  |       |
| Nevada                   | 143               | 99                          | 27              | 23    | 149 | 114   | 23             | 2                        | 27              | 52    |  |       |
| New Hampshire            | 31                | 18                          | 0               | 5     | 23  | 25    | 24             | 2                        | 2               | 28    |  |       |
| New Jersey               | 160               | 74                          | 5               | 24    | 103 | 126   | 76             | 23                       | 7               | 106   |  |       |
| New Mexico               | 62                | 52                          | 10              | 8     | 70  | 48    | 18             | 5                        | 10              | 33    |  |       |
| New York, Eastern        | 197               | 185                         | 15              | 1     | 201 | 175   | 133            | 9                        | 60              | 202   |  |       |
| New York, Northern       | 63                | 46                          | 4               | 2     | 52  | 44    | 26             | 2                        | 1               | 29    |  |       |
| New York, Southern       | 22                | 27                          | 1               | 0     | 28  | 80    | 66             | 5                        | 2               | 73    |  |       |
| New York, Western        | 42                | 32                          | 6               | 3     | 41  | 25    | 28             | 3                        | 5               | 36    |  |       |
| North Carolina, Eastern  | 117               | 75                          | 2               | 0     | 77  | 67    | 63             | 3                        | 7               | 73    |  |       |
| North Carolina, Middle   | 107               | 62                          | 5               | 5     | 72  | 10    | 2              | 1                        | 2               | 5     |  |       |
| North Carolina, Western  | 129               | 91                          | 6               | 16    | 113 | 80    | 45             | 2                        | 8               | 55    |  |       |
| North Dakota             | 14                | 7                           | 1               | 2     | 10  | 17    | 14             | 8                        | 7               | 29    |  |       |
| Northern Mariana Islands | 2                 | 5                           | 2               | 0     | 7   | 2     | 2              | 0                        | 0               | 2     |  |       |
| Ohio, Northern           | 84                | 57                          | 8               | 22    | 87  | 87    | 96             | 4                        | 5               | 105   |  |       |
| Ohio, Southern           | 50                | 57                          | 5               | 11    | 73  | 54    | 44             | 2                        | 5               | 51    |  |       |
| Oklahoma, Eastern        | 21                | 11                          | 1               | 0     | 12  | 18    | 11             | 5                        | 0               | 16    |  |       |
| Oklahoma, Northern       | 43                | 27                          | 2               | 7     | 36  | 25    | 16             | 4                        | 5               | 25    |  |       |
| Oklahoma, Western        | 67                | 54                          | 3               | 0     | 57  | 78    | 60             | 2                        | 3               | 65    |  |       |
| Oregon                   | 81                | 41                          | 6               | 19    | 66  | 107   | 91             | 13                       | 33              | 137   |  |       |

**Table 7 (Continued)**

| District                | Criminal<br>Filed | ----- Criminal Closed ----- |                 |       |       | Total | Civil<br>Filed | ----- Civil Closed ----- |                 |       |  | Total |
|-------------------------|-------------------|-----------------------------|-----------------|-------|-------|-------|----------------|--------------------------|-----------------|-------|--|-------|
|                         |                   | in Favor of<br>U.S.         | Against<br>U.S. | Other |       |       |                | in Favor of<br>U.S.      | Against<br>U.S. | Other |  |       |
| Pennsylvania, Eastern   | 196               | 140                         | 9               | 9     | 158   | 52    | 33             | 0                        | 0               | 33    |  |       |
| Pennsylvania, Middle    | 102               | 55                          | 3               | 8     | 66    | 85    | 64             | 3                        | 3               | 70    |  |       |
| Pennsylvania, Western   | 74                | 35                          | 6               | 11    | 52    | 97    | 61             | 2                        | 9               | 72    |  |       |
| Puerto Rico             | 274               | 77                          | 6               | 13    | 96    | 60    | 40             | 1                        | 5               | 46    |  |       |
| Rhode Island            | 82                | 61                          | 4               | 6     | 71    | 13    | 8              | 0                        | 1               | 9     |  |       |
| South Carolina          | 132               | 108                         | 10              | 4     | 122   | 86    | 74             | 3                        | 3               | 80    |  |       |
| South Dakota            | 33                | 27                          | 1               | 6     | 34    | 18    | 32             | 1                        | 2               | 35    |  |       |
| Tennessee, Eastern      | 98                | 75                          | 5               | 15    | 95    | 35    | 26             | 0                        | 5               | 31    |  |       |
| Tennessee, Middle       | 26                | 24                          | 2               | 5     | 31    | 46    | 27             | 1                        | 6               | 34    |  |       |
| Tennessee, Western      | 118               | 108                         | 9               | 23    | 140   | 21    | 19             | 1                        | 9               | 29    |  |       |
| Texas, Eastern          | 79                | 79                          | 4               | 19    | 102   | 31    | 24             | 1                        | 4               | 29    |  |       |
| Texas, Northern         | 258               | 184                         | 6               | 30    | 220   | 122   | 115            | 5                        | 17              | 137   |  |       |
| Texas, Southern         | 597               | 504                         | 13              | 6     | 523   | 93    | 93             | 2                        | 2               | 97    |  |       |
| Texas, Western          | 487               | 346                         | 0               | 1     | 347   | 138   | 126            | 2                        | 3               | 131   |  |       |
| Utah                    | 66                | 30                          | 3               | 12    | 45    | 29    | 23             | 2                        | 6               | 31    |  |       |
| Vermont                 | 12                | 12                          | 0               | 3     | 15    | 16    | 7              | 0                        | 5               | 12    |  |       |
| Virgin Islands          | 25                | 21                          | 1               | 0     | 22    | 6     | 7              | 0                        | 2               | 9     |  |       |
| Virginia, Eastern       | 152               | 107                         | 2               | 9     | 118   | 81    | 53             | 2                        | 8               | 63    |  |       |
| Virginia, Western       | 58                | 58                          | 7               | 5     | 70    | 54    | 49             | 0                        | 4               | 53    |  |       |
| Washington, Eastern     | 115               | 88                          | 4               | 5     | 97    | 19    | 7              | 11                       | 3               | 21    |  |       |
| Washington, Western     | 98                | 75                          | 17              | 0     | 92    | 116   | 50             | 23                       | 66              | 139   |  |       |
| West Virginia, Northern | 42                | 38                          | 3               | 3     | 44    | 35    | 10             | 1                        | 9               | 20    |  |       |
| West Virginia, Southern | 89                | 62                          | 3               | 12    | 77    | 17    | 9              | 0                        | 4               | 13    |  |       |
| Wisconsin, Eastern      | 67                | 54                          | 3               | 21    | 78    | 40    | 18             | 1                        | 13              | 32    |  |       |
| Wisconsin, Western      | 34                | 28                          | 0               | 4     | 32    | 40    | 48             | 3                        | 2               | 53    |  |       |
| Wyoming                 | 28                | 12                          | 0               | 3     | 15    | 20    | 9              | 0                        | 5               | 14    |  |       |
| All Districts           | 9,581             | 7,189                       | 521             | 993   | 8,703 | 6,158 | 4,390          | 339                      | 991             | 5,720 |  |       |

Due to a data posting problem in the district, no appeals are being reported for the Western District of Kentucky for Fiscal Year 2001.

**Table 8**  
**Cases Filed in United States District Courts and State Courts**  
**Fiscal Year 2001 Compared with Fiscal Year 2000**

| District             | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|----------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                      | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Alabama, Middle      | 106                  | 125     | UP   | 17.92          | 727               | 641     | DOWN | 11.83          | 833               | 766     | DOWN | 8.05           |
| Alabama, Northern    | 334                  | 407     | UP   | 21.85          | 1,239             | 1,086   | DOWN | 12.35          | 1,573             | 1,493   | DOWN | 5.09           |
| Alabama, Southern    | 219                  | 213     | DOWN | 2.74           | 452               | 487     | UP   | 7.74           | 671               | 700     | UP   | 4.32           |
| Alaska               | 222                  | 174     | DOWN | 21.63          | 221               | 164     | DOWN | 25.80          | 443               | 338     | DOWN | 23.71          |
| Arizona              | 2,865                | 3,069   | UP   | 7.12           | 1,235             | 852     | DOWN | 31.02          | 4,100             | 3,921   | DOWN | 4.37           |
| Arkansas, Eastern    | 191                  | 212     | UP   | 10.99          | 1,161             | 1,437   | UP   | 23.77          | 1,352             | 1,649   | UP   | 21.96          |
| Arkansas, Western    | 150                  | 144     | DOWN | 4.00           | 706               | 918     | UP   | 30.02          | 856               | 1,062   | UP   | 24.06          |
| California, Central  | 1,252                | 1,449   | UP   | 15.73          | 2,816             | 2,602   | DOWN | 7.60           | 4,068             | 4,051   | DOWN | 0.42           |
| California, Eastern  | 915                  | 825     | DOWN | 9.84           | 1,158             | 1,023   | DOWN | 11.66          | 2,073             | 1,848   | DOWN | 10.86          |
| California, Northern | 935                  | 745     | DOWN | 20.33          | 995               | 937     | DOWN | 5.83           | 1,930             | 1,682   | DOWN | 12.85          |
| California, Southern | 3,885                | 3,794   | DOWN | 2.35           | 721               | 760     | UP   | 5.40           | 4,606             | 4,554   | DOWN | 1.13           |
| Colorado             | 474                  | 408     | DOWN | 13.93          | 727               | 672     | DOWN | 7.57           | 1,201             | 1,080   | DOWN | 10.08          |
| Connecticut          | 238                  | 239     | UP   | 0.42           | 999               | 897     | DOWN | 10.22          | 1,237             | 1,136   | DOWN | 8.17           |
| Delaware             | 72                   | 74      | UP   | 2.77           | 337               | 439     | UP   | 30.26          | 409               | 513     | UP   | 25.42          |
| District of Columbia | 391                  | 457     | UP   | 16.87          | 1,049             | 920     | DOWN | 12.30          | 1,440             | 1,377   | DOWN | 4.38           |
| Florida, Middle      | 1,208                | 1,078   | DOWN | 10.77          | 3,642             | 3,414   | DOWN | 6.27           | 4,850             | 4,492   | DOWN | 7.39           |
| Florida, Northern    | 240                  | 228     | DOWN | 5.00           | 701               | 693     | DOWN | 1.15           | 941               | 921     | DOWN | 2.13           |
| Florida, Southern    | 1,678                | 1,841   | UP   | 9.71           | 2,512             | 3,414   | UP   | 35.90          | 4,190             | 5,255   | UP   | 25.41          |
| Georgia, Middle      | 204                  | 258     | UP   | 26.47          | 584               | 479     | DOWN | 17.98          | 788               | 737     | DOWN | 6.48           |
| Georgia, Northern    | 720                  | 741     | UP   | 2.91           | 1,244             | 1,458   | UP   | 17.20          | 1,964             | 2,199   | UP   | 11.96          |
| Georgia, Southern    | 193                  | 222     | UP   | 15.02          | 899               | 926     | UP   | 3.00           | 1,092             | 1,148   | UP   | 5.12           |
| Guam                 | 119                  | 110     | DOWN | 7.57           | 44                | 65      | UP   | 47.72          | 163               | 175     | UP   | 7.36           |
| Hawaii               | 244                  | 204     | DOWN | 16.40          | 342               | 323     | DOWN | 5.56           | 586               | 527     | DOWN | 10.07          |
| Idaho                | 140                  | 145     | UP   | 3.57           | 283               | 205     | DOWN | 27.57          | 423               | 350     | DOWN | 17.26          |
| Illinois, Central    | 305                  | 321     | UP   | 5.24           | 323               | 454     | UP   | 40.55          | 628               | 775     | UP   | 23.40          |
| Illinois, Northern   | 658                  | 636     | DOWN | 3.35           | 1,514             | 1,765   | UP   | 16.57          | 2,172             | 2,401   | UP   | 10.54          |
| Illinois, Southern   | 323                  | 195     | DOWN | 39.63          | 324               | 379     | UP   | 16.97          | 647               | 574     | DOWN | 11.29          |
| Indiana, Northern    | 344                  | 348     | UP   | 1.16           | 597               | 677     | UP   | 13.40          | 941               | 1,025   | UP   | 8.92           |
| Indiana, Southern    | 170                  | 185     | UP   | 8.82           | 943               | 803     | DOWN | 14.85          | 1,113             | 988     | DOWN | 11.24          |
| Iowa, Northern       | 288                  | 306     | UP   | 6.25           | 356               | 374     | UP   | 5.05           | 644               | 680     | UP   | 5.59           |
| Iowa, Southern       | 273                  | 226     | DOWN | 17.22          | 338               | 437     | UP   | 29.28          | 611               | 663     | UP   | 8.51           |
| Kansas               | 413                  | 440     | UP   | 6.53           | 1,049             | 1,146   | UP   | 9.24           | 1,462             | 1,586   | UP   | 8.48           |
| Kentucky, Eastern    | 423                  | 410     | DOWN | 3.08           | 1,254             | 1,349   | UP   | 7.57           | 1,677             | 1,759   | UP   | 4.88           |

Table 8 (Continued)

| District                 | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|--------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                          | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Kentucky, Western        | 208                  | 268     | UP   | 28.84          | 1,083             | 1,076   | DOWN | 0.65           | 1,291             | 1,344   | UP   | 4.10           |
| Louisiana, Eastern       | 319                  | 290     | DOWN | 9.10           | 506               | 474     | DOWN | 6.33           | 825               | 764     | DOWN | 7.40           |
| Louisiana, Middle        | 163                  | 188     | UP   | 15.33          | 183               | 179     | DOWN | 2.19           | 346               | 367     | UP   | 6.06           |
| Louisiana, Western       | 146                  | 235     | UP   | 60.95          | 639               | 629     | DOWN | 1.57           | 785               | 864     | UP   | 10.06          |
| Maine                    | 202                  | 165     | DOWN | 18.32          | 279               | 224     | DOWN | 19.72          | 481               | 389     | DOWN | 19.13          |
| Maryland                 | 542                  | 546     | UP   | 0.73           | 1,055             | 1,103   | UP   | 4.54           | 1,597             | 1,649   | UP   | 3.25           |
| Massachusetts            | 436                  | 462     | UP   | 5.96           | 454               | 394     | DOWN | 13.22          | 890               | 856     | DOWN | 3.83           |
| Michigan, Eastern        | 559                  | 548     | DOWN | 1.97           | 994               | 1,071   | UP   | 7.74           | 1,553             | 1,619   | UP   | 4.24           |
| Michigan, Western        | 277                  | 354     | UP   | 27.79          | 910               | 460     | DOWN | 49.46          | 1,187             | 814     | DOWN | 31.43          |
| Minnesota                | 332                  | 325     | DOWN | 2.11           | 726               | 814     | UP   | 12.12          | 1,058             | 1,139   | UP   | 7.65           |
| Mississippi, Northern    | 152                  | 130     | DOWN | 14.48          | 247               | 249     | UP   | 0.80           | 399               | 379     | DOWN | 5.02           |
| Mississippi, Southern    | 382                  | 371     | DOWN | 2.88           | 442               | 386     | DOWN | 12.67          | 824               | 757     | DOWN | 8.14           |
| Missouri, Eastern        | 639                  | 580     | DOWN | 9.24           | 416               | 551     | UP   | 32.45          | 1,055             | 1,131   | UP   | 7.20           |
| Missouri, Western        | 496                  | 504     | UP   | 1.61           | 645               | 809     | UP   | 25.42          | 1,141             | 1,313   | UP   | 15.07          |
| Montana                  | 274                  | 320     | UP   | 16.78          | 277               | 260     | DOWN | 6.14           | 551               | 580     | UP   | 5.26           |
| Nebraska                 | 392                  | 437     | UP   | 11.47          | 420               | 385     | DOWN | 8.34           | 812               | 822     | UP   | 1.23           |
| Nevada                   | 473                  | 538     | UP   | 13.74          | 328               | 288     | DOWN | 12.20          | 801               | 826     | UP   | 3.12           |
| New Hampshire            | 131                  | 153     | UP   | 16.79          | 162               | 193     | UP   | 19.13          | 293               | 346     | UP   | 18.08          |
| New Jersey               | 717                  | 688     | DOWN | 4.05           | 2,090             | 2,234   | UP   | 6.88           | 2,807             | 2,922   | UP   | 4.09           |
| New Mexico               | 1,632                | 1,442   | DOWN | 11.65          | 656               | 644     | DOWN | 1.83           | 2,288             | 2,086   | DOWN | 8.83           |
| New York, Eastern        | 1,125                | 1,330   | UP   | 18.22          | 3,491             | 3,089   | DOWN | 11.52          | 4,616             | 4,419   | DOWN | 4.27           |
| New York, Northern       | 580                  | 540     | DOWN | 6.90           | 887               | 968     | UP   | 9.13           | 1,467             | 1,508   | UP   | 2.79           |
| New York, Southern       | 1,216                | 1,020   | DOWN | 16.12          | 1,512             | 1,570   | UP   | 3.83           | 2,728             | 2,590   | DOWN | 5.06           |
| New York, Western        | 399                  | 373     | DOWN | 6.52           | 1,005             | 1,032   | UP   | 2.68           | 1,404             | 1,405   | UP   | 0.07           |
| North Carolina, Eastern  | 346                  | 432     | UP   | 24.85          | 555               | 538     | DOWN | 3.07           | 901               | 970     | UP   | 7.65           |
| North Carolina, Middle   | 388                  | 390     | UP   | 0.51           | 325               | 303     | DOWN | 6.77           | 713               | 693     | DOWN | 2.81           |
| North Carolina, Western  | 369                  | 328     | DOWN | 11.12          | 392               | 314     | DOWN | 19.90          | 761               | 642     | DOWN | 15.64          |
| North Dakota             | 172                  | 158     | DOWN | 8.14           | 279               | 218     | DOWN | 21.87          | 451               | 376     | DOWN | 16.63          |
| Northern Mariana Islands | 34                   | 22      | DOWN | 35.30          | 22                | 12      | DOWN | 45.46          | 56                | 34      | DOWN | 39.29          |
| Ohio, Northern           | 555                  | 536     | DOWN | 3.43           | 2,059             | 2,243   | UP   | 8.93           | 2,614             | 2,779   | UP   | 6.31           |
| Ohio, Southern           | 349                  | 339     | DOWN | 2.87           | 1,531             | 1,589   | UP   | 3.78           | 1,880             | 1,928   | UP   | 2.55           |
| Oklahoma, Eastern        | 73                   | 89      | UP   | 21.91          | 358               | 395     | UP   | 10.33          | 431               | 484     | UP   | 12.29          |
| Oklahoma, Northern       | 144                  | 118     | DOWN | 18.06          | 497               | 438     | DOWN | 11.88          | 641               | 556     | DOWN | 13.27          |
| Oklahoma, Western        | 215                  | 211     | DOWN | 1.87           | 784               | 1,065   | UP   | 35.84          | 999               | 1,276   | UP   | 27.72          |
| Oregon                   | 805                  | 714     | DOWN | 11.31          | 965               | 983     | UP   | 1.86           | 1,770             | 1,697   | DOWN | 4.13           |



Table 8 (Continued)

| District                | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|-------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                         | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Pennsylvania, Eastern   | 606                  | 677     | UP   | 11.71          | 925               | 1,071   | UP   | 15.78          | 1,531             | 1,748   | UP   | 14.17          |
| Pennsylvania, Middle    | 323                  | 358     | UP   | 10.83          | 778               | 930     | UP   | 19.53          | 1,101             | 1,288   | UP   | 16.98          |
| Pennsylvania, Western   | 287                  | 282     | DOWN | 1.75           | 1,172             | 1,368   | UP   | 16.72          | 1,459             | 1,650   | UP   | 13.09          |
| Puerto Rico             | 777                  | 785     | UP   | 1.02           | 852               | 801     | DOWN | 5.99           | 1,629             | 1,586   | DOWN | 2.64           |
| Rhode Island            | 134                  | 135     | UP   | 0.74           | 199               | 150     | DOWN | 24.63          | 333               | 285     | DOWN | 14.42          |
| South Carolina          | 618                  | 639     | UP   | 3.39           | 1,662             | 1,699   | UP   | 2.22           | 2,280             | 2,338   | UP   | 2.54           |
| South Dakota            | 324                  | 344     | UP   | 6.17           | 241               | 246     | UP   | 2.07           | 565               | 590     | UP   | 4.42           |
| Tennessee, Eastern      | 414                  | 549     | UP   | 32.60          | 830               | 792     | DOWN | 4.58           | 1,244             | 1,341   | UP   | 7.79           |
| Tennessee, Middle       | 202                  | 198     | DOWN | 1.99           | 519               | 406     | DOWN | 21.78          | 721               | 604     | DOWN | 16.23          |
| Tennessee, Western      | 295                  | 347     | UP   | 17.62          | 1,034             | 1,054   | UP   | 1.93           | 1,329             | 1,401   | UP   | 5.41           |
| Texas, Eastern          | 481                  | 480     | DOWN | 0.21           | 880               | 971     | UP   | 10.34          | 1,361             | 1,451   | UP   | 6.61           |
| Texas, Northern         | 997                  | 852     | DOWN | 14.55          | 2,063             | 1,533   | DOWN | 25.70          | 3,060             | 2,385   | DOWN | 22.06          |
| Texas, Southern         | 3,647                | 4,153   | UP   | 13.87          | 1,534             | 1,631   | UP   | 6.32           | 5,181             | 5,784   | UP   | 11.63          |
| Texas, Western          | 4,073                | 4,162   | UP   | 2.18           | 1,363             | 1,343   | DOWN | 1.47           | 5,436             | 5,505   | UP   | 1.26           |
| Utah                    | 519                  | 608     | UP   | 17.14          | 181               | 204     | UP   | 12.70          | 700               | 812     | UP   | 16.00          |
| Vermont                 | 103                  | 125     | UP   | 21.35          | 265               | 245     | DOWN | 7.55           | 368               | 370     | UP   | 0.54           |
| Virgin Islands          | 655                  | 466     | DOWN | 28.86          | 67                | 57      | DOWN | 14.93          | 722               | 523     | DOWN | 27.57          |
| Virginia, Eastern       | 945                  | 971     | UP   | 2.75           | 1,288             | 1,254   | DOWN | 2.64           | 2,233             | 2,225   | DOWN | 0.36           |
| Virginia, Western       | 301                  | 256     | DOWN | 14.96          | 677               | 676     | DOWN | 0.15           | 978               | 932     | DOWN | 4.71           |
| Washington, Eastern     | 426                  | 355     | DOWN | 16.67          | 307               | 359     | UP   | 16.93          | 733               | 714     | DOWN | 2.60           |
| Washington, Western     | 499                  | 386     | DOWN | 22.65          | 1,574             | 1,399   | DOWN | 11.12          | 2,073             | 1,785   | DOWN | 13.90          |
| West Virginia, Northern | 121                  | 137     | UP   | 13.22          | 254               | 253     | DOWN | 0.40           | 375               | 390     | UP   | 4.00           |
| West Virginia, Southern | 235                  | 239     | UP   | 1.70           | 509               | 487     | DOWN | 4.33           | 744               | 726     | DOWN | 2.42           |
| Wisconsin, Eastern      | 196                  | 215     | UP   | 9.69           | 956               | 1,009   | UP   | 5.54           | 1,152             | 1,224   | UP   | 6.25           |
| Wisconsin, Western      | 106                  | 121     | UP   | 14.15          | 397               | 434     | UP   | 9.31           | 503               | 555     | UP   | 10.33          |
| Wyoming                 | 169                  | 126     | DOWN | 25.45          | 103               | 106     | UP   | 2.91           | 272               | 232     | DOWN | 14.71          |
| All Districts           | 52,887               | 53,339  | UP   | 0.85           | 79,296            | 79,854  | UP   | 0.70           | 132,183           | 133,193 | UP   | 0.76           |

Criminal data includes cases instituted by transfer under Rule 20. Data on this table does not include Magistrate cases.

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

**Table 9**  
**Cases Terminated in United States District Courts and State Courts**  
**Fiscal Year 2001 Compared with Fiscal Year 2000**

| District             | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|----------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                      | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Alabama, Middle      | 87                   | 88      | UP   | 1.14           | 658               | 720     | UP   | 9.42           | 745               | 808     | UP   | 8.45           |
| Alabama, Northern    | 309                  | 373     | UP   | 20.71          | 1,010             | 1,177   | UP   | 16.53          | 1,319             | 1,550   | UP   | 17.51          |
| Alabama, Southern    | 183                  | 188     | UP   | 2.73           | 470               | 395     | DOWN | 15.96          | 653               | 583     | DOWN | 10.72          |
| Alaska               | 208                  | 198     | DOWN | 4.81           | 219               | 172     | DOWN | 21.47          | 427               | 370     | DOWN | 13.35          |
| Arizona              | 2,642                | 2,597   | DOWN | 1.71           | 513               | 480     | DOWN | 6.44           | 3,155             | 3,077   | DOWN | 2.48           |
| Arkansas, Eastern    | 200                  | 184     | DOWN | 8.00           | 867               | 868     | UP   | 0.11           | 1,067             | 1,052   | DOWN | 1.41           |
| Arkansas, Western    | 119                  | 143     | UP   | 20.16          | 552               | 606     | UP   | 9.78           | 671               | 749     | UP   | 11.62          |
| California, Central  | 892                  | 1,143   | UP   | 28.13          | 1,912             | 2,351   | UP   | 22.96          | 2,804             | 3,494   | UP   | 24.60          |
| California, Eastern  | 814                  | 728     | DOWN | 10.57          | 808               | 1,064   | UP   | 31.68          | 1,622             | 1,792   | UP   | 10.48          |
| California, Northern | 619                  | 828     | UP   | 33.76          | 941               | 821     | DOWN | 12.76          | 1,560             | 1,649   | UP   | 5.70           |
| California, Southern | 3,695                | 3,911   | UP   | 5.84           | 566               | 782     | UP   | 38.16          | 4,261             | 4,693   | UP   | 10.13          |
| Colorado             | 308                  | 422     | UP   | 37.01          | 775               | 679     | DOWN | 12.39          | 1,083             | 1,101   | UP   | 1.66           |
| Connecticut          | 212                  | 215     | UP   | 1.41           | 987               | 753     | DOWN | 23.71          | 1,199             | 968     | DOWN | 19.27          |
| Delaware             | 66                   | 50      | DOWN | 24.25          | 299               | 351     | UP   | 17.39          | 365               | 401     | UP   | 9.86           |
| District of Columbia | 347                  | 303     | DOWN | 12.69          | 578               | 804     | UP   | 39.10          | 925               | 1,107   | UP   | 19.67          |
| Florida, Middle      | 1,015                | 1,056   | UP   | 4.03           | 3,426             | 3,108   | DOWN | 9.29           | 4,441             | 4,164   | DOWN | 6.24           |
| Florida, Northern    | 240                  | 221     | DOWN | 7.92           | 724               | 667     | DOWN | 7.88           | 964               | 888     | DOWN | 7.89           |
| Florida, Southern    | 1,347                | 1,734   | UP   | 28.73          | 2,160             | 2,796   | UP   | 29.44          | 3,507             | 4,530   | UP   | 29.17          |
| Georgia, Middle      | 213                  | 274     | UP   | 28.63          | 609               | 449     | DOWN | 26.28          | 822               | 723     | DOWN | 12.05          |
| Georgia, Northern    | 690                  | 642     | DOWN | 6.96           | 1,353             | 1,300   | DOWN | 3.92           | 2,043             | 1,942   | DOWN | 4.95           |
| Georgia, Southern    | 171                  | 217     | UP   | 26.90          | 782               | 961     | UP   | 22.89          | 953               | 1,178   | UP   | 23.60          |
| Guam                 | 129                  | 82      | DOWN | 36.44          | 38                | 51      | UP   | 34.21          | 167               | 133     | DOWN | 20.36          |
| Hawaii               | 223                  | 192     | DOWN | 13.91          | 333               | 334     | UP   | 0.30           | 556               | 526     | DOWN | 5.40           |
| Idaho                | 126                  | 123     | DOWN | 2.39           | 217               | 191     | DOWN | 11.99          | 343               | 314     | DOWN | 8.46           |
| Illinois, Central    | 315                  | 272     | DOWN | 13.66          | 283               | 397     | UP   | 40.28          | 598               | 669     | UP   | 11.87          |
| Illinois, Northern   | 564                  | 568     | UP   | 0.70           | 1,758             | 1,353   | DOWN | 23.04          | 2,322             | 1,921   | DOWN | 17.27          |
| Illinois, Southern   | 274                  | 279     | UP   | 1.82           | 351               | 300     | DOWN | 14.53          | 625               | 579     | DOWN | 7.36           |
| Indiana, Northern    | 273                  | 327     | UP   | 19.78          | 483               | 658     | UP   | 36.23          | 756               | 985     | UP   | 30.29          |
| Indiana, Southern    | 149                  | 182     | UP   | 22.14          | 659               | 961     | UP   | 45.82          | 808               | 1,143   | UP   | 41.46          |
| Iowa, Northern       | 233                  | 271     | UP   | 16.30          | 369               | 355     | DOWN | 3.80           | 602               | 626     | UP   | 3.98           |
| Iowa, Southern       | 243                  | 219     | DOWN | 9.88           | 354               | 374     | UP   | 5.64           | 597               | 593     | DOWN | 0.68           |
| Kansas               | 319                  | 385     | UP   | 20.68          | 1,067             | 1,083   | UP   | 1.49           | 1,386             | 1,468   | UP   | 5.91           |
| Kentucky, Eastern    | 383                  | 453     | UP   | 18.27          | 1,125             | 1,182   | UP   | 5.06           | 1,508             | 1,635   | UP   | 8.42           |

Table 9 (Continued)

| District                 | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|--------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                          | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Kentucky, Western        | 189                  | 193     | UP   | 2.11           | 1,005             | 964     | DOWN | 4.08           | 1,194             | 1,157   | DOWN | 3.10           |
| Louisiana, Eastern       | 281                  | 341     | UP   | 21.35          | 427               | 486     | UP   | 13.81          | 708               | 827     | UP   | 16.80          |
| Louisiana, Middle        | 109                  | 164     | UP   | 50.45          | 148               | 146     | DOWN | 1.36           | 257               | 310     | UP   | 20.62          |
| Louisiana, Western       | 170                  | 165     | DOWN | 2.95           | 587               | 585     | DOWN | 0.35           | 757               | 750     | DOWN | 0.93           |
| Maine                    | 157                  | 189     | UP   | 20.38          | 194               | 235     | UP   | 21.13          | 351               | 424     | UP   | 20.79          |
| Maryland                 | 450                  | 467     | UP   | 3.77           | 820               | 1,192   | UP   | 45.36          | 1,270             | 1,659   | UP   | 30.62          |
| Massachusetts            | 354                  | 362     | UP   | 2.25           | 353               | 317     | DOWN | 10.20          | 707               | 679     | DOWN | 3.97           |
| Michigan, Eastern        | 576                  | 498     | DOWN | 13.55          | 841               | 838     | DOWN | 0.36           | 1,417             | 1,336   | DOWN | 5.72           |
| Michigan, Western        | 264                  | 303     | UP   | 14.77          | 423               | 203     | DOWN | 52.01          | 687               | 506     | DOWN | 26.35          |
| Minnesota                | 303                  | 309     | UP   | 1.98           | 655               | 730     | UP   | 11.45          | 958               | 1,039   | UP   | 8.45           |
| Mississippi, Northern    | 106                  | 149     | UP   | 40.56          | 218               | 264     | UP   | 21.10          | 324               | 413     | UP   | 27.46          |
| Mississippi, Southern    | 294                  | 369     | UP   | 25.51          | 427               | 362     | DOWN | 15.23          | 721               | 731     | UP   | 1.38           |
| Missouri, Eastern        | 616                  | 569     | DOWN | 7.63           | 565               | 398     | DOWN | 29.56          | 1,181             | 967     | DOWN | 18.13          |
| Missouri, Western        | 381                  | 446     | UP   | 17.06          | 597               | 578     | DOWN | 3.19           | 978               | 1,024   | UP   | 4.70           |
| Montana                  | 209                  | 272     | UP   | 30.14          | 269               | 253     | DOWN | 5.95           | 478               | 525     | UP   | 9.83           |
| Nebraska                 | 423                  | 402     | DOWN | 4.97           | 403               | 407     | UP   | 0.99           | 826               | 809     | DOWN | 2.06           |
| Nevada                   | 545                  | 548     | UP   | 0.55           | 202               | 283     | UP   | 40.09          | 747               | 831     | UP   | 11.24          |
| New Hampshire            | 129                  | 160     | UP   | 24.03          | 199               | 180     | DOWN | 9.55           | 328               | 340     | UP   | 3.65           |
| New Jersey               | 584                  | 666     | UP   | 14.04          | 3,919             | 4,320   | UP   | 10.23          | 4,503             | 4,986   | UP   | 10.72          |
| New Mexico               | 1,455                | 1,356   | DOWN | 6.81           | 677               | 513     | DOWN | 24.23          | 2,132             | 1,869   | DOWN | 12.34          |
| New York, Eastern        | 1,069                | 995     | DOWN | 6.93           | 4,146             | 3,414   | DOWN | 17.66          | 5,215             | 4,409   | DOWN | 15.46          |
| New York, Northern       | 584                  | 506     | DOWN | 13.36          | 766               | 800     | UP   | 4.43           | 1,350             | 1,306   | DOWN | 3.26           |
| New York, Southern       | 820                  | 1,254   | UP   | 52.92          | 1,943             | 1,113   | DOWN | 42.72          | 2,763             | 2,367   | DOWN | 14.34          |
| New York, Western        | 366                  | 379     | UP   | 3.55           | 854               | 640     | DOWN | 25.06          | 1,220             | 1,019   | DOWN | 16.48          |
| North Carolina, Eastern  | 233                  | 331     | UP   | 42.06          | 570               | 478     | DOWN | 16.15          | 803               | 809     | UP   | 0.74           |
| North Carolina, Middle   | 271                  | 409     | UP   | 50.92          | 326               | 236     | DOWN | 27.61          | 597               | 645     | UP   | 8.04           |
| North Carolina, Western  | 355                  | 339     | DOWN | 4.51           | 344               | 320     | DOWN | 6.98           | 699               | 659     | DOWN | 5.73           |
| North Dakota             | 170                  | 154     | DOWN | 9.42           | 225               | 235     | UP   | 4.44           | 395               | 389     | DOWN | 1.52           |
| Northern Mariana Islands | 41                   | 33      | DOWN | 19.52          | 24                | 10      | DOWN | 58.34          | 65                | 43      | DOWN | 33.85          |
| Ohio, Northern           | 476                  | 497     | UP   | 4.41           | 2,024             | 2,176   | UP   | 7.50           | 2,500             | 2,673   | UP   | 6.92           |
| Ohio, Southern           | 328                  | 322     | DOWN | 1.83           | 1,676             | 1,682   | UP   | 0.35           | 2,004             | 2,004   | 0    |                |
| Oklahoma, Eastern        | 59                   | 74      | UP   | 25.42          | 218               | 334     | UP   | 53.21          | 277               | 408     | UP   | 47.29          |
| Oklahoma, Northern       | 129                  | 98      | DOWN | 24.04          | 516               | 465     | DOWN | 9.89           | 645               | 563     | DOWN | 12.72          |
| Oklahoma, Western        | 212                  | 209     | DOWN | 1.42           | 804               | 870     | UP   | 8.20           | 1,016             | 1,079   | UP   | 6.20           |
| Oregon                   | 728                  | 724     | DOWN | 0.55           | 613               | 1,002   | UP   | 63.45          | 1,341             | 1,726   | UP   | 28.70          |

**Table 9 (Continued)**

| District                | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|-------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                         | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Pennsylvania, Eastern   | 553                  | 608     | UP   | 9.94           | 845               | 1,063   | UP   | 25.79          | 1,398             | 1,671   | UP   | 19.52          |
| Pennsylvania, Middle    | 244                  | 301     | UP   | 23.36          | 475               | 726     | UP   | 52.84          | 719               | 1,027   | UP   | 42.83          |
| Pennsylvania, Western   | 258                  | 240     | DOWN | 6.98           | 800               | 986     | UP   | 23.25          | 1,058             | 1,226   | UP   | 15.87          |
| Puerto Rico             | 433                  | 984     | UP   | 127.25         | 988               | 757     | DOWN | 23.39          | 1,421             | 1,741   | UP   | 22.51          |
| Rhode Island            | 132                  | 124     | DOWN | 6.07           | 113               | 104     | DOWN | 7.97           | 245               | 228     | DOWN | 6.94           |
| South Carolina          | 575                  | 607     | UP   | 5.56           | 1,821             | 1,779   | DOWN | 2.31           | 2,396             | 2,386   | DOWN | 0.42           |
| South Dakota            | 307                  | 294     | DOWN | 4.24           | 231               | 191     | DOWN | 17.32          | 538               | 485     | DOWN | 9.86           |
| Tennessee, Eastern      | 324                  | 424     | UP   | 30.86          | 762               | 653     | DOWN | 14.31          | 1,086             | 1,077   | DOWN | 0.83           |
| Tennessee, Middle       | 176                  | 137     | DOWN | 22.16          | 420               | 315     | DOWN | 25.00          | 596               | 452     | DOWN | 24.17          |
| Tennessee, Western      | 318                  | 293     | DOWN | 7.87           | 848               | 1,077   | UP   | 27.00          | 1,166             | 1,370   | UP   | 17.49          |
| Texas, Eastern          | 424                  | 455     | UP   | 7.31           | 792               | 920     | UP   | 16.16          | 1,216             | 1,375   | UP   | 13.07          |
| Texas, Northern         | 826                  | 875     | UP   | 5.93           | 1,898             | 1,774   | DOWN | 6.54           | 2,724             | 2,649   | DOWN | 2.76           |
| Texas, Southern         | 3,469                | 3,471   | UP   | 0.05           | 1,476             | 1,219   | DOWN | 17.42          | 4,945             | 4,690   | DOWN | 5.16           |
| Texas, Western          | 3,447                | 4,021   | UP   | 16.65          | 1,238             | 1,135   | DOWN | 8.32           | 4,685             | 5,156   | UP   | 10.05          |
| Utah                    | 540                  | 443     | DOWN | 17.97          | 171               | 176     | UP   | 2.92           | 711               | 619     | DOWN | 12.94          |
| Vermont                 | 104                  | 83      | DOWN | 20.20          | 212               | 292     | UP   | 37.73          | 316               | 375     | UP   | 18.67          |
| Virgin Islands          | 534                  | 556     | UP   | 4.11           | 63                | 60      | DOWN | 4.77           | 597               | 616     | UP   | 3.18           |
| Virginia, Eastern       | 735                  | 880     | UP   | 19.72          | 1,161             | 1,262   | UP   | 8.69           | 1,896             | 2,142   | UP   | 12.97          |
| Virginia, Western       | 301                  | 275     | DOWN | 8.64           | 836               | 705     | DOWN | 15.67          | 1,137             | 980     | DOWN | 13.81          |
| Washington, Eastern     | 316                  | 394     | UP   | 24.68          | 234               | 312     | UP   | 33.33          | 550               | 706     | UP   | 28.36          |
| Washington, Western     | 432                  | 420     | DOWN | 2.78           | 1,310             | 1,421   | UP   | 8.47           | 1,742             | 1,841   | UP   | 5.68           |
| West Virginia, Northern | 155                  | 117     | DOWN | 24.52          | 206               | 265     | UP   | 28.64          | 361               | 382     | UP   | 5.81           |
| West Virginia, Southern | 221                  | 241     | UP   | 9.04           | 423               | 416     | DOWN | 1.66           | 644               | 657     | UP   | 2.01           |
| Wisconsin, Eastern      | 181                  | 208     | UP   | 14.91          | 941               | 949     | UP   | 0.85           | 1,122             | 1,157   | UP   | 3.11           |
| Wisconsin, Western      | 83                   | 109     | UP   | 31.32          | 420               | 376     | DOWN | 10.48          | 503               | 485     | DOWN | 3.58           |
| Wyoming                 | 176                  | 154     | DOWN | 12.50          | 105               | 53      | DOWN | 49.53          | 281               | 207     | DOWN | 26.34          |
| All Districts           | 46,308               | 49,834  | UP   | 7.61           | 74,013            | 74,558  | UP   | 0.73           | 120,321           | 124,392 | UP   | 3.38           |

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

Criminal data includes cases terminated by transfer under Rule 20 and cases dismissed because of superseding indictments or informations. Data on this table does not include Magistrate cases.

**Table 10**  
**Cases Pending in United States District Courts and State Courts**  
**Fiscal Year 2001 Compared with Fiscal Year 2000**

| District             | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|----------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                      | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Alabama, Middle      | 95                   | 132     | UP   | 38.94          | 864               | 785     | DOWN | 9.15           | 959               | 917     | DOWN | 4.38           |
| Alabama, Northern    | 277                  | 311     | UP   | 12.27          | 1,120             | 1,029   | DOWN | 8.13           | 1,397             | 1,340   | DOWN | 4.09           |
| Alabama, Southern    | 166                  | 191     | UP   | 15.06          | 351               | 443     | UP   | 26.21          | 517               | 634     | UP   | 22.63          |
| Alaska               | 125                  | 101     | DOWN | 19.20          | 185               | 177     | DOWN | 4.33           | 310               | 278     | DOWN | 10.33          |
| Arizona              | 2,084                | 2,556   | UP   | 22.64          | 1,265             | 1,637   | UP   | 29.40          | 3,349             | 4,193   | UP   | 25.20          |
| Arkansas, Eastern    | 173                  | 201     | UP   | 16.18          | 3,184             | 3,753   | UP   | 17.87          | 3,357             | 3,954   | UP   | 17.78          |
| Arkansas, Western    | 112                  | 113     | UP   | 0.89           | 411               | 723     | UP   | 75.91          | 523               | 836     | UP   | 59.84          |
| California, Central  | 2,228                | 2,534   | UP   | 13.73          | 4,864             | 5,115   | UP   | 5.16           | 7,092             | 7,649   | UP   | 7.85           |
| California, Eastern  | 790                  | 887     | UP   | 12.27          | 1,408             | 1,367   | DOWN | 2.92           | 2,198             | 2,254   | UP   | 2.54           |
| California, Northern | 1,352                | 1,269   | DOWN | 6.14           | 1,147             | 1,263   | UP   | 10.11          | 2,499             | 2,532   | UP   | 1.32           |
| California, Southern | 2,434                | 2,317   | DOWN | 4.81           | 814               | 792     | DOWN | 2.71           | 3,248             | 3,109   | DOWN | 4.28           |
| Colorado             | 598                  | 584     | DOWN | 2.35           | 974               | 967     | DOWN | 0.72           | 1,572             | 1,551   | DOWN | 1.34           |
| Connecticut          | 311                  | 335     | UP   | 7.71           | 2,275             | 2,419   | UP   | 6.32           | 2,586             | 2,754   | UP   | 6.49           |
| Delaware             | 52                   | 76      | UP   | 46.15          | 385               | 473     | UP   | 22.85          | 437               | 549     | UP   | 25.62          |
| District of Columbia | 603                  | 757     | UP   | 25.53          | 1,798             | 1,914   | UP   | 6.45           | 2,401             | 2,671   | UP   | 11.24          |
| Florida, Middle      | 1,393                | 1,415   | UP   | 1.57           | 3,158             | 3,464   | UP   | 9.68           | 4,551             | 4,879   | UP   | 7.20           |
| Florida, Northern    | 307                  | 314     | UP   | 2.28           | 534               | 560     | UP   | 4.86           | 841               | 874     | UP   | 3.92           |
| Florida, Southern    | 3,541                | 3,648   | UP   | 3.02           | 3,683             | 4,301   | UP   | 16.77          | 7,224             | 7,949   | UP   | 10.03          |
| Georgia, Middle      | 224                  | 208     | DOWN | 7.15           | 626               | 656     | UP   | 4.79           | 850               | 864     | UP   | 1.64           |
| Georgia, Northern    | 655                  | 754     | UP   | 15.11          | 1,120             | 1,278   | UP   | 14.10          | 1,775             | 2,032   | UP   | 14.47          |
| Georgia, Southern    | 137                  | 142     | UP   | 3.64           | 528               | 493     | DOWN | 6.63           | 665               | 635     | DOWN | 4.52           |
| Guam                 | 129                  | 157     | UP   | 21.70          | 44                | 58      | UP   | 31.81          | 173               | 215     | UP   | 24.27          |
| Hawaii               | 599                  | 611     | UP   | 2.00           | 367               | 356     | DOWN | 3.00           | 966               | 967     | UP   | 0.10           |
| Idaho                | 103                  | 125     | UP   | 21.35          | 241               | 255     | UP   | 5.80           | 344               | 380     | UP   | 10.46          |
| Illinois, Central    | 274                  | 323     | UP   | 17.88          | 365               | 422     | UP   | 15.61          | 639               | 745     | UP   | 16.58          |
| Illinois, Northern   | 986                  | 1,054   | UP   | 6.89           | 3,274             | 3,686   | UP   | 12.58          | 4,260             | 4,740   | UP   | 11.26          |
| Illinois, Southern   | 274                  | 190     | DOWN | 30.66          | 282               | 361     | UP   | 28.01          | 556               | 551     | DOWN | 0.90           |
| Indiana, Northern    | 325                  | 346     | UP   | 6.46           | 491               | 510     | UP   | 3.86           | 816               | 856     | UP   | 4.90           |
| Indiana, Southern    | 132                  | 135     | UP   | 2.27           | 1,077             | 919     | DOWN | 14.68          | 1,209             | 1,054   | DOWN | 12.83          |
| Iowa, Northern       | 265                  | 300     | UP   | 13.20          | 279               | 298     | UP   | 6.81           | 544               | 598     | UP   | 9.92           |
| Iowa, Southern       | 217                  | 224     | UP   | 3.22           | 256               | 319     | UP   | 24.60          | 473               | 543     | UP   | 14.79          |
| Kansas               | 385                  | 440     | UP   | 14.28          | 765               | 828     | UP   | 8.23           | 1,150             | 1,268   | UP   | 10.26          |
| Kentucky, Eastern    | 323                  | 280     | DOWN | 13.32          | 1,495             | 1,662   | UP   | 11.17          | 1,818             | 1,942   | UP   | 6.82           |

Table 10 (Continued)

| District                 | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|--------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                          | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Kentucky, Western        | 187                  | 262     | UP   | 40.10          | 907               | 1,019   | UP   | 12.34          | 1,094             | 1,281   | UP   | 17.09          |
| Louisiana, Eastern       | 364                  | 313     | DOWN | 14.02          | 467               | 455     | DOWN | 2.57           | 831               | 768     | DOWN | 7.59           |
| Louisiana, Middle        | 177                  | 201     | UP   | 13.55          | 176               | 209     | UP   | 18.75          | 353               | 410     | UP   | 16.14          |
| Louisiana, Western       | 132                  | 202     | UP   | 53.03          | 490               | 534     | UP   | 8.97           | 622               | 736     | UP   | 18.32          |
| Maine                    | 166                  | 142     | DOWN | 14.46          | 339               | 328     | DOWN | 3.25           | 505               | 470     | DOWN | 6.94           |
| Maryland                 | 545                  | 624     | UP   | 14.49          | 1,325             | 1,236   | DOWN | 6.72           | 1,870             | 1,860   | DOWN | 0.54           |
| Massachusetts            | 603                  | 703     | UP   | 16.58          | 746               | 823     | UP   | 10.32          | 1,349             | 1,526   | UP   | 13.12          |
| Michigan, Eastern        | 740                  | 790     | UP   | 6.75           | 1,055             | 1,288   | UP   | 22.08          | 1,795             | 2,078   | UP   | 15.76          |
| Michigan, Western        | 205                  | 256     | UP   | 24.87          | 993               | 1,250   | UP   | 25.88          | 1,198             | 1,506   | UP   | 25.70          |
| Minnesota                | 301                  | 317     | UP   | 5.31           | 567               | 651     | UP   | 14.81          | 868               | 968     | UP   | 11.52          |
| Mississippi, Northern    | 118                  | 99      | DOWN | 16.11          | 258               | 243     | DOWN | 5.82           | 376               | 342     | DOWN | 9.05           |
| Mississippi, Southern    | 292                  | 294     | UP   | 0.68           | 455               | 479     | UP   | 5.27           | 747               | 773     | UP   | 3.48           |
| Missouri, Eastern        | 364                  | 375     | UP   | 3.02           | 560               | 713     | UP   | 27.32          | 924               | 1,088   | UP   | 17.74          |
| Missouri, Western        | 420                  | 478     | UP   | 13.80          | 636               | 867     | UP   | 36.32          | 1,056             | 1,345   | UP   | 27.36          |
| Montana                  | 244                  | 292     | UP   | 19.67          | 235               | 242     | UP   | 2.97           | 479               | 534     | UP   | 11.48          |
| Nebraska                 | 387                  | 422     | UP   | 9.04           | 276               | 254     | DOWN | 7.98           | 663               | 676     | UP   | 1.96           |
| Nevada                   | 707                  | 697     | DOWN | 1.42           | 537               | 542     | UP   | 0.93           | 1,244             | 1,239   | DOWN | 0.41           |
| New Hampshire            | 155                  | 148     | DOWN | 4.52           | 116               | 129     | UP   | 11.20          | 271               | 277     | UP   | 2.21           |
| New Jersey               | 903                  | 925     | UP   | 2.43           | 7,810             | 5,724   | DOWN | 26.71          | 8,713             | 6,649   | DOWN | 23.69          |
| New Mexico               | 1,229                | 1,315   | UP   | 6.99           | 539               | 670     | UP   | 24.30          | 1,768             | 1,985   | UP   | 12.27          |
| New York, Eastern        | 1,846                | 2,181   | UP   | 18.14          | 5,833             | 5,508   | DOWN | 5.58           | 7,679             | 7,689   | UP   | 0.13           |
| New York, Northern       | 509                  | 543     | UP   | 6.67           | 1,491             | 1,659   | UP   | 11.26          | 2,000             | 2,202   | UP   | 10.10          |
| New York, Southern       | 3,786                | 3,552   | DOWN | 6.19           | 4,648             | 5,105   | UP   | 9.83           | 8,434             | 8,657   | UP   | 2.64           |
| New York, Western        | 434                  | 428     | DOWN | 1.39           | 1,767             | 2,159   | UP   | 22.18          | 2,201             | 2,587   | UP   | 17.53          |
| North Carolina, Eastern  | 337                  | 438     | UP   | 29.97          | 477               | 537     | UP   | 12.57          | 814               | 975     | UP   | 19.77          |
| North Carolina, Middle   | 313                  | 294     | DOWN | 6.08           | 332               | 399     | UP   | 20.18          | 645               | 693     | UP   | 7.44           |
| North Carolina, Western  | 483                  | 472     | DOWN | 2.28           | 314               | 308     | DOWN | 1.92           | 797               | 780     | DOWN | 2.14           |
| North Dakota             | 86                   | 90      | UP   | 4.65           | 174               | 157     | DOWN | 9.78           | 260               | 247     | DOWN | 5.00           |
| Northern Mariana Islands | 27                   | 16      | DOWN | 40.75          | 8                 | 10      | UP   | 25.00          | 35                | 26      | DOWN | 25.72          |
| Ohio, Northern           | 388                  | 427     | UP   | 10.05          | 2,763             | 2,830   | UP   | 2.42           | 3,151             | 3,257   | UP   | 3.36           |
| Ohio, Southern           | 307                  | 324     | UP   | 5.53           | 2,346             | 2,253   | DOWN | 3.97           | 2,653             | 2,577   | DOWN | 2.87           |
| Oklahoma, Eastern        | 50                   | 65      | UP   | 30.00          | 351               | 412     | UP   | 17.37          | 401               | 477     | UP   | 18.95          |
| Oklahoma, Northern       | 165                  | 185     | UP   | 12.12          | 376               | 349     | DOWN | 7.19           | 541               | 534     | DOWN | 1.30           |
| Oklahoma, Western        | 168                  | 170     | UP   | 1.19           | 591               | 786     | UP   | 32.99          | 759               | 956     | UP   | 25.95          |
| Oregon                   | 777                  | 767     | DOWN | 1.29           | 827               | 808     | DOWN | 2.30           | 1,604             | 1,575   | DOWN | 1.81           |

**Table 10 (Continued)**

| District                | ----- Criminal ----- |         |      |                | ----- Civil ----- |         |      |                | ----- Total ----- |         |      |                |
|-------------------------|----------------------|---------|------|----------------|-------------------|---------|------|----------------|-------------------|---------|------|----------------|
|                         | FY 2000              | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change | FY 2000           | FY 2001 |      | Percent Change |
| Pennsylvania, Eastern   | 950                  | 1,019   | UP   | 7.26           | 1,652             | 1,660   | UP   | 0.48           | 2,602             | 2,679   | UP   | 2.95           |
| Pennsylvania, Middle    | 347                  | 404     | UP   | 16.42          | 1,066             | 1,270   | UP   | 19.13          | 1,413             | 1,674   | UP   | 18.47          |
| Pennsylvania, Western   | 282                  | 324     | UP   | 14.89          | 1,045             | 1,427   | UP   | 36.55          | 1,327             | 1,751   | UP   | 31.95          |
| Puerto Rico             | 737                  | 538     | DOWN | 27.01          | 1,066             | 1,110   | UP   | 4.12           | 1,803             | 1,648   | DOWN | 8.60           |
| Rhode Island            | 101                  | 112     | UP   | 10.89          | 236               | 282     | UP   | 19.49          | 337               | 394     | UP   | 16.91          |
| South Carolina          | 693                  | 725     | UP   | 4.61           | 2,000             | 1,920   | DOWN | 4.00           | 2,693             | 2,645   | DOWN | 1.79           |
| South Dakota            | 203                  | 253     | UP   | 24.63          | 175               | 230     | UP   | 31.42          | 378               | 483     | UP   | 27.77          |
| Tennessee, Eastern      | 335                  | 460     | UP   | 37.31          | 915               | 1,054   | UP   | 15.19          | 1,250             | 1,514   | UP   | 21.12          |
| Tennessee, Middle       | 208                  | 269     | UP   | 29.32          | 470               | 561     | UP   | 19.36          | 678               | 830     | UP   | 22.41          |
| Tennessee, Western      | 271                  | 325     | UP   | 19.92          | 740               | 717     | DOWN | 3.11           | 1,011             | 1,042   | UP   | 3.06           |
| Texas, Eastern          | 388                  | 413     | UP   | 6.44           | 867               | 918     | UP   | 5.88           | 1,255             | 1,331   | UP   | 6.05           |
| Texas, Northern         | 915                  | 892     | DOWN | 2.52           | 1,486             | 1,245   | DOWN | 16.22          | 2,401             | 2,137   | DOWN | 11.00          |
| Texas, Southern         | 2,848                | 3,530   | UP   | 23.94          | 1,714             | 2,126   | UP   | 24.03          | 4,562             | 5,656   | UP   | 23.98          |
| Texas, Western          | 3,271                | 3,412   | UP   | 4.31           | 2,008             | 2,216   | UP   | 10.35          | 5,279             | 5,628   | UP   | 6.61           |
| Utah                    | 461                  | 626     | UP   | 35.79          | 323               | 351     | UP   | 8.66           | 784               | 977     | UP   | 24.61          |
| Vermont                 | 143                  | 185     | UP   | 29.37          | 253               | 206     | DOWN | 18.58          | 396               | 391     | DOWN | 1.27           |
| Virgin Islands          | 262                  | 172     | DOWN | 34.36          | 129               | 126     | DOWN | 2.33           | 391               | 298     | DOWN | 23.79          |
| Virginia, Eastern       | 858                  | 949     | UP   | 10.60          | 1,060             | 1,052   | DOWN | 0.76           | 1,918             | 2,001   | UP   | 4.32           |
| Virginia, Western       | 290                  | 271     | DOWN | 6.56           | 502               | 473     | DOWN | 5.78           | 792               | 744     | DOWN | 6.07           |
| Washington, Eastern     | 338                  | 299     | DOWN | 11.54          | 239               | 286     | UP   | 19.66          | 577               | 585     | UP   | 1.38           |
| Washington, Western     | 507                  | 473     | DOWN | 6.71           | 1,175             | 1,153   | DOWN | 1.88           | 1,682             | 1,626   | DOWN | 3.33           |
| West Virginia, Northern | 90                   | 110     | UP   | 22.22          | 255               | 243     | DOWN | 4.71           | 345               | 353     | UP   | 2.31           |
| West Virginia, Southern | 168                  | 166     | DOWN | 1.20           | 552               | 623     | UP   | 12.86          | 720               | 789     | UP   | 9.58           |
| Wisconsin, Eastern      | 183                  | 190     | UP   | 3.82           | 888               | 948     | UP   | 6.75           | 1,071             | 1,138   | UP   | 6.25           |
| Wisconsin, Western      | 88                   | 100     | UP   | 13.63          | 410               | 468     | UP   | 14.14          | 498               | 568     | UP   | 14.05          |
| Wyoming                 | 109                  | 81      | DOWN | 25.69          | 123               | 176     | UP   | 43.08          | 232               | 257     | UP   | 10.77          |
| All Districts           | 54,630               | 58,135  | UP   | 6.41           | 101,764           | 107,060 | UP   | 5.20           | 156,394           | 165,195 | UP   | 5.62           |

Criminal data does not include Magistrate cases.

Civil data includes land acquisition cases.

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

**Table 11**  
**Grand Jury Proceedings and Criminal and Civil Matters Received**  
**Fiscal Year 2001 Compared with Fiscal Year 2000**

| District             | ----- Grand Jury Proceedings ----- |         |      |                | ----- Criminal Matters Received ----- |         |      |                | ----- Civil Matters Received ----- |         |      |                |
|----------------------|------------------------------------|---------|------|----------------|---------------------------------------|---------|------|----------------|------------------------------------|---------|------|----------------|
|                      | FY 2000                            | FY 2001 |      | Percent Change | FY 2000                               | FY 2001 |      | Percent Change | FY 2000                            | FY 2001 |      | Percent Change |
| Alabama, Middle      | 92                                 | 100     | UP   | 8.69           | 241                                   | 267     | UP   | 10.78          | 761                                | 698     | DOWN | 8.28           |
| Alabama, Northern    | 265                                | 317     | UP   | 19.62          | 837                                   | 937     | UP   | 11.94          | 1,308                              | 1,135   | DOWN | 13.23          |
| Alabama, Southern    | 176                                | 178     | UP   | 1.13           | 372                                   | 419     | UP   | 12.63          | 433                                | 499     | UP   | 15.24          |
| Alaska               | 122                                | 104     | DOWN | 14.76          | 527                                   | 379     | DOWN | 28.09          | 265                                | 210     | DOWN | 20.76          |
| Arizona              | 1,072                              | 1,976   | UP   | 84.32          | 4,141                                 | 4,202   | UP   | 1.47           | 1,075                              | 986     | DOWN | 8.28           |
| Arkansas, Eastern    | 118                                | 147     | UP   | 24.57          | 1,080                                 | 1,447   | UP   | 33.98          | 1,216                              | 1,496   | UP   | 23.02          |
| Arkansas, Western    | 99                                 | 116     | UP   | 17.17          | 290                                   | 356     | UP   | 22.75          | 603                                | 929     | UP   | 54.06          |
| California, Central  | 912                                | 1,054   | UP   | 15.57          | 2,819                                 | 2,962   | UP   | 5.07           | 2,999                              | 2,844   | DOWN | 5.17           |
| California, Eastern  | 716                                | 610     | DOWN | 14.81          | 1,621                                 | 1,393   | DOWN | 14.07          | 1,254                              | 1,103   | DOWN | 12.05          |
| California, Northern | 512                                | 408     | DOWN | 20.32          | 1,958                                 | 1,760   | DOWN | 10.12          | 1,327                              | 1,213   | DOWN | 8.60           |
| California, Southern | 1,715                              | 1,605   | DOWN | 6.42           | 4,762                                 | 4,724   | DOWN | 0.80           | 825                                | 863     | UP   | 4.60           |
| Colorado             | 385                                | 338     | DOWN | 12.21          | 968                                   | 917     | DOWN | 5.27           | 847                                | 763     | DOWN | 9.92           |
| Connecticut          | 116                                | 123     | UP   | 6.03           | 469                                   | 500     | UP   | 6.60           | 1,140                              | 1,141   | UP   | 0.08           |
| Delaware             | 48                                 | 47      | DOWN | 2.09           | 239                                   | 174     | DOWN | 27.20          | 390                                | 488     | UP   | 25.12          |
| District of Columbia | 184                                | 220     | UP   | 19.56          | 1,063                                 | 1,118   | UP   | 5.17           | 1,272                              | 1,078   | DOWN | 15.26          |
| Florida, Middle      | 826                                | 666     | DOWN | 19.38          | 2,527                                 | 2,601   | UP   | 2.92           | 3,629                              | 3,855   | UP   | 6.22           |
| Florida, Northern    | 176                                | 192     | UP   | 9.09           | 557                                   | 550     | DOWN | 1.26           | 661                                | 778     | UP   | 17.70          |
| Florida, Southern    | 1,298                              | 1,534   | UP   | 18.18          | 3,136                                 | 2,970   | DOWN | 5.30           | 3,708                              | 4,117   | UP   | 11.03          |
| Georgia, Middle      | 136                                | 177     | UP   | 30.14          | 499                                   | 488     | DOWN | 2.21           | 613                                | 497     | DOWN | 18.93          |
| Georgia, Northern    | 403                                | 480     | UP   | 19.10          | 1,520                                 | 1,416   | DOWN | 6.85           | 1,677                              | 1,639   | DOWN | 2.27           |
| Georgia, Southern    | 128                                | 171     | UP   | 33.59          | 641                                   | 633     | DOWN | 1.25           | 930                                | 1,007   | UP   | 8.27           |
| Guam                 | 68                                 | 70      | UP   | 2.94           | 198                                   | 175     | DOWN | 11.62          | 71                                 | 93      | UP   | 30.98          |
| Hawaii               | 169                                | 139     | DOWN | 17.76          | 545                                   | 445     | DOWN | 18.35          | 401                                | 347     | DOWN | 13.47          |
| Idaho                | 91                                 | 84      | DOWN | 7.70           | 586                                   | 572     | DOWN | 2.39           | 332                                | 256     | DOWN | 22.90          |
| Illinois, Central    | 194                                | 236     | UP   | 21.64          | 484                                   | 508     | UP   | 4.95           | 340                                | 456     | UP   | 34.11          |
| Illinois, Northern   | 395                                | 403     | UP   | 2.02           | 1,851                                 | 1,492   | DOWN | 19.40          | 1,770                              | 2,368   | UP   | 33.78          |
| Illinois, Southern   | 238                                | 167     | DOWN | 29.84          | 508                                   | 427     | DOWN | 15.95          | 385                                | 443     | UP   | 15.06          |
| Indiana, Northern    | 248                                | 276     | UP   | 11.29          | 573                                   | 659     | UP   | 15.00          | 626                                | 722     | UP   | 15.33          |
| Indiana, Southern    | 78                                 | 104     | UP   | 33.33          | 384                                   | 343     | DOWN | 10.68          | 1,084                              | 877     | DOWN | 19.10          |
| Iowa, Northern       | 197                                | 218     | UP   | 10.65          | 464                                   | 445     | DOWN | 4.10           | 386                                | 442     | UP   | 14.50          |
| Iowa, Southern       | 210                                | 184     | DOWN | 12.39          | 408                                   | 351     | DOWN | 13.98          | 369                                | 472     | UP   | 27.91          |
| Kansas               | 328                                | 338     | UP   | 3.04           | 993                                   | 1,091   | UP   | 9.86           | 1,113                              | 1,140   | UP   | 2.42           |
| Kentucky, Eastern    | 325                                | 316     | DOWN | 2.77           | 1,906                                 | 1,489   | DOWN | 21.88          | 1,361                              | 1,617   | UP   | 18.80          |



Table 11 (Continued)

| District                 | ----- Grand Jury Proceedings ----- |         |      |                | ----- Criminal Matters Received ----- |         |      |                | ----- Civil Matters Received ----- |         |      |                |
|--------------------------|------------------------------------|---------|------|----------------|---------------------------------------|---------|------|----------------|------------------------------------|---------|------|----------------|
|                          | FY 2000                            | FY 2001 |      | Percent Change | FY 2000                               | FY 2001 |      | Percent Change | FY 2000                            | FY 2001 |      | Percent Change |
| Kentucky, Western        | 143                                | 197     | UP   | 37.76          | 650                                   | 642     | DOWN | 1.24           | 1,164                              | 1,163   | DOWN | 0.09           |
| Louisiana, Eastern       | 222                                | 204     | DOWN | 8.11           | 891                                   | 840     | DOWN | 5.73           | 571                                | 620     | UP   | 8.58           |
| Louisiana, Middle        | 100                                | 112     | UP   | 12.00          | 305                                   | 325     | UP   | 6.55           | 172                                | 200     | UP   | 16.27          |
| Louisiana, Western       | 83                                 | 128     | UP   | 54.21          | 1,084                                 | 1,083   | DOWN | 0.10           | 704                                | 668     | DOWN | 5.12           |
| Maine                    | 133                                | 94      | DOWN | 29.33          | 550                                   | 549     | DOWN | 0.19           | 322                                | 274     | DOWN | 14.91          |
| Maryland                 | 406                                | 370     | DOWN | 8.87           | 1,700                                 | 1,830   | UP   | 7.64           | 1,234                              | 1,257   | UP   | 1.86           |
| Massachusetts            | 236                                | 265     | UP   | 12.28          | 809                                   | 793     | DOWN | 1.98           | 551                                | 515     | DOWN | 6.54           |
| Michigan, Eastern        | 304                                | 334     | UP   | 9.86           | 1,662                                 | 1,600   | DOWN | 3.74           | 1,131                              | 1,225   | UP   | 8.31           |
| Michigan, Western        | 175                                | 235     | UP   | 34.28          | 560                                   | 593     | UP   | 5.89           | 601                                | 488     | DOWN | 18.81          |
| Minnesota                | 245                                | 245     | —    | 0              | 562                                   | 607     | UP   | 8              | 766                                | 883     | UP   | 15.27          |
| Mississippi, Northern    | 106                                | 100     | DOWN | 5.67           | 328                                   | 301     | DOWN | 8.24           | 371                                | 293     | DOWN | 21.03          |
| Mississippi, Southern    | 282                                | 282     | —    | 0              | 680                                   | 764     | UP   | 12.35          | 488                                | 424     | DOWN | 13.12          |
| Missouri, Eastern        | 444                                | 454     | UP   | 2.25           | 1,138                                 | 1,173   | UP   | 3.07           | 512                                | 649     | UP   | 26.75          |
| Missouri, Western        | 375                                | 389     | UP   | 3.73           | 939                                   | 972     | UP   | 3.51           | 766                                | 948     | UP   | 23.75          |
| Montana                  | 208                                | 252     | UP   | 21.15          | 476                                   | 529     | UP   | 11.13          | 309                                | 270     | DOWN | 12.63          |
| Nebraska                 | 317                                | 371     | UP   | 17.03          | 782                                   | 763     | DOWN | 2.43           | 448                                | 436     | DOWN | 2.68           |
| Nevada                   | 395                                | 409     | UP   | 3.54           | 1,051                                 | 1,088   | UP   | 3.52           | 357                                | 322     | DOWN | 9.81           |
| New Hampshire            | 98                                 | 121     | UP   | 23.46          | 300                                   | 242     | DOWN | 19.34          | 197                                | 221     | UP   | 12.18          |
| New Jersey               | 310                                | 267     | DOWN | 13.88          | 1,968                                 | 1,673   | DOWN | 14.99          | 2,280                              | 2,427   | UP   | 6.44           |
| New Mexico               | 508                                | 555     | UP   | 9.25           | 2,396                                 | 2,277   | DOWN | 4.97           | 688                                | 695     | UP   | 1.01           |
| New York, Eastern        | 751                                | 940     | UP   | 25.16          | 2,050                                 | 2,225   | UP   | 8.53           | 3,554                              | 3,164   | DOWN | 10.98          |
| New York, Northern       | 162                                | 154     | DOWN | 4.94           | 1,061                                 | 929     | DOWN | 12.45          | 992                                | 936     | DOWN | 5.65           |
| New York, Southern       | 599                                | 531     | DOWN | 11.36          | 2,200                                 | 2,139   | DOWN | 2.78           | 1,735                              | 1,790   | UP   | 3.17           |
| New York, Western        | 162                                | 145     | DOWN | 10.50          | 1,094                                 | 997     | DOWN | 8.87           | 1,109                              | 1,163   | UP   | 4.86           |
| North Carolina, Eastern  | 245                                | 291     | UP   | 18.77          | 691                                   | 785     | UP   | 13.60          | 660                                | 709     | UP   | 7.42           |
| North Carolina, Middle   | 349                                | 333     | DOWN | 4.59           | 620                                   | 640     | UP   | 3.22           | 337                                | 324     | DOWN | 3.86           |
| North Carolina, Western  | 287                                | 255     | DOWN | 11.15          | 775                                   | 699     | DOWN | 9.81           | 451                                | 407     | DOWN | 9.76           |
| North Dakota             | 108                                | 99      | DOWN | 8.34           | 489                                   | 489     | 0    | 250.00         | 234                                | DOWN    | 6.4  |                |
| Northern Mariana Islands | 11                                 | 16      | UP   | 45.45          | 52                                    | 37      | DOWN | 28.85          | 27                                 | 20      | DOWN | 25.93          |
| Ohio, Northern           | 368                                | 361     | DOWN | 1.91           | 1,546                                 | 1,457   | DOWN | 5.76           | 2,291                              | 2,587   | UP   | 12.92          |
| Ohio, Southern           | 148                                | 138     | DOWN | 6.76           | 1,049                                 | 1,021   | DOWN | 2.67           | 1,581                              | 1,720   | UP   | 8.79           |
| Oklahoma, Eastern        | 51                                 | 64      | UP   | 25.49          | 269                                   | 262     | DOWN | 2.61           | 362                                | 371     | UP   | 2.48           |
| Oklahoma, Northern       | 77                                 | 75      | DOWN | 2.60           | 376                                   | 346     | DOWN | 7.98           | 506                                | 460     | DOWN | 9.10           |
| Oklahoma, Western        | 136                                | 98      | DOWN | 27.95          | 886                                   | 826     | DOWN | 6.78           | 865                                | 1,146   | UP   | 32.48          |
| Oregon                   | 615                                | 562     | DOWN | 8.62           | 1,299                                 | 1,189   | DOWN | 8.47           | 967                                | 1,059   | UP   | 9.51           |

**Table 11 (Continued)**

| District                | ----- Grand Jury Proceedings ----- |         |      |                | ----- Criminal Matters Received ----- |         |      |                | ----- Civil Matters Received ----- |         |      |                |
|-------------------------|------------------------------------|---------|------|----------------|---------------------------------------|---------|------|----------------|------------------------------------|---------|------|----------------|
|                         | FY 2000                            | FY 2001 |      | Percent Change | FY 2000                               | FY 2001 |      | Percent Change | FY 2000                            | FY 2001 |      | Percent Change |
| Pennsylvania, Eastern   | 398                                | 453     | UP   | 13.81          | 1,386                                 | 1,466   | UP   | 5.77           | 890                                | 1,154   | UP   | 29.66          |
| Pennsylvania, Middle    | 203                                | 196     | DOWN | 3.45           | 757                                   | 648     | DOWN | 14.40          | 866                                | 1,102   | UP   | 27.25          |
| Pennsylvania, Western   | 202                                | 231     | UP   | 14.35          | 639                                   | 743     | UP   | 16.27          | 1,184                              | 1,637   | UP   | 38.26          |
| Puerto Rico             | 230                                | 303     | UP   | 31.73          | 1,369                                 | 1,316   | DOWN | 3.88           | 1,025                              | 919     | DOWN | 10.35          |
| Rhode Island            | 87                                 | 98      | UP   | 12.64          | 278                                   | 322     | UP   | 15.82          | 201                                | 192     | DOWN | 4.48           |
| South Carolina          | 498                                | 525     | UP   | 5.42           | 1,174                                 | 1,243   | UP   | 5.87           | 2,013                              | 2,061   | UP   | 2.38           |
| South Dakota            | 269                                | 276     | UP   | 2.60           | 1,379                                 | 980     | DOWN | 28.94          | 240                                | 280     | UP   | 16.66          |
| Tennessee, Eastern      | 285                                | 425     | UP   | 49.12          | 850                                   | 1,047   | UP   | 23.17          | 868                                | 824     | DOWN | 5.07           |
| Tennessee, Middle       | 157                                | 151     | DOWN | 3.83           | 324                                   | 407     | UP   | 25.61          | 522                                | 440     | DOWN | 15.71          |
| Tennessee, Western      | 234                                | 281     | UP   | 20.08          | 558                                   | 574     | UP   | 2.86           | 1,063                              | 1,111   | UP   | 4.51           |
| Texas, Eastern          | 340                                | 369     | UP   | 8.52           | 1,016                                 | 991     | DOWN | 2.47           | 919                                | 992     | UP   | 7.94           |
| Texas, Northern         | 730                                | 616     | DOWN | 15.62          | 1,663                                 | 1,726   | UP   | 3.78           | 2,317                              | 1,666   | DOWN | 28.10          |
| Texas, Southern         | 3,029                              | 3,580   | UP   | 18.19          | 4,853                                 | 5,053   | UP   | 4.12           | 1,678                              | 1,859   | UP   | 10.78          |
| Texas, Western          | 3,661                              | 3,621   | DOWN | 1.10           | 6,528                                 | 7,016   | UP   | 7.47           | 1,576                              | 1,596   | UP   | 1.26           |
| Utah                    | 459                                | 535     | UP   | 16.55          | 1,103                                 | 1,168   | UP   | 5.89           | 217                                | 259     | UP   | 19.35          |
| Vermont                 | 72                                 | 90      | UP   | 25.00          | 205                                   | 211     | UP   | 2.92           | 306                                | 267     | DOWN | 12.75          |
| Virgin Islands          | 47                                 | 40      | DOWN | 14.90          | 742                                   | 524     | DOWN | 29.39          | 80                                 | 65      | DOWN | 18.75          |
| Virginia, Eastern       | 661                                | 660     | DOWN | 0.16           | 4,728                                 | 5,420   | UP   | 14.63          | 1,485                              | 1,531   | UP   | 3.09           |
| Virginia, Western       | 231                                | 191     | DOWN | 17.32          | 696                                   | 669     | DOWN | 3.88           | 693                                | 680     | DOWN | 1.88           |
| Washington, Eastern     | 355                                | 306     | DOWN | 13.81          | 1,062                                 | 870     | DOWN | 18.08          | 351                                | 396     | UP   | 12.82          |
| Washington, Western     | 199                                | 144     | DOWN | 27.64          | 1,469                                 | 1,700   | UP   | 15.72          | 1,681                              | 1,496   | DOWN | 11.01          |
| West Virginia, Northern | 84                                 | 93      | UP   | 10.71          | 278                                   | 292     | UP   | 5.03           | 285                                | 293     | UP   | 2.80           |
| West Virginia, Southern | 132                                | 119     | DOWN | 9.85           | 619                                   | 620     | UP   | 0.16           | 544                                | 520     | DOWN | 4.42           |
| Wisconsin, Eastern      | 138                                | 162     | UP   | 17.39          | 459                                   | 469     | UP   | 2.17           | 988                                | 1,053   | UP   | 6.57           |
| Wisconsin, Western      | 76                                 | 77      | UP   | 1.31           | 250                                   | 279     | UP   | 11.60          | 471                                | 466     | DOWN | 1.07           |
| Wyoming                 | 79                                 | 81      | UP   | 2.53           | 378                                   | 397     | UP   | 5.02           | 128                                | 130     | UP   | 1.56           |
| All Districts           | 34,055                             | 36,167  | UP   | 6.20           | 107,218                               | 107,046 | DOWN | 0.17           | 87,081                             | 89,629  | UP   | 2.92           |

Criminal data does not include Magistrate cases.

Civil data includes land acquisition cases.

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

**Table 12A**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Criminal Debts Owed the United States**

| District             | Amount<br>Opened   | Debts<br>Opened | Collected       | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|----------------------|--------------------|-----------------|-----------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Alabama, Middle      | \$228,428.20       | 229             | \$174,987.11    | \$0.00                | \$67,877.40        | 256             | \$5,679,224.80     | 362              |
| Alabama, Northern    | \$71,462,650.71    | 748             | \$10,013,095.40 | \$0.00                | \$809,274.19       | 530             | \$81,778,077.08    | 1,908            |
| Alabama, Southern    | \$366,503.52       | 318             | \$3,034,047.82  | \$0.00                | \$473,715.98       | 312             | \$6,071,137.20     | 468              |
| Alaska               | \$1,931,265.31     | 298             | \$302,560.25    | \$0.00                | \$75,073.76        | 280             | \$14,393,623.17    | 387              |
| Arizona              | \$9,708,166.02     | 2,573           | \$7,597,710.10  | \$0.00                | \$9,655,902.02     | 1,845           | \$44,687,898.24    | 4,358            |
| Arkansas, Eastern    | \$697,203.07       | 287             | \$193,444.60    | \$0.00                | \$24,463.00        | 265             | \$7,534,351.37     | 559              |
| Arkansas, Western    | \$356,183.42       | 233             | \$229,494.27    | \$0.00                | \$39,184.91        | 194             | \$1,982,864.66     | 590              |
| California, Central  | \$52,433,069.04    | 2,119           | \$20,458,707.44 | \$257,402.00          | \$2,869,436.21     | 2,023           | \$358,796,770.85   | 5,309            |
| California, Eastern  | \$20,352,553.26    | 923             | \$1,838,456.79  | -\$50,000.00          | \$399,269.74       | 925             | \$60,949,381.83    | 1,613            |
| California, Northern | \$70,571,904.87    | 1,199           | \$64,711,501.89 | \$5,147.46            | \$308,969.90       | 862             | \$133,688,070.42   | 3,281            |
| California, Southern | \$4,624,494.17     | 1,993           | \$1,931,579.29  | \$3,900.00            | \$3,016,976.49     | 1,642           | \$33,968,482.29    | 2,919            |
| Colorado             | \$805,982.10       | 750             | \$516,219.27    | \$0.00                | \$241,787.39       | 732             | \$39,401,306.11    | 809              |
| Connecticut          | \$4,750,876.45     | 485             | \$4,970,131.15  | \$0.00                | \$198,062.60       | 380             | \$36,997,354.03    | 848              |
| Delaware             | \$327,434.61       | 101             | \$64,109.91     | \$0.00                | \$55,583.05        | 135             | \$680,509.34       | 122              |
| District of Columbia | \$13,178,245.17    | 556             | \$11,279,940.98 | \$0.00                | -\$232,659.86      | 734             | \$281,197,238.56   | 1,538            |
| Florida, Middle      | \$50,039,448.24    | 1,657           | \$34,958,617.95 | \$26,335.48           | \$523,489.92       | 1,387           | \$260,410,317.13   | 3,797            |
| Florida, Northern    | \$1,413,738,000.55 | 665             | \$1,506,596.86  | \$0.00                | \$3,331,710.87     | 498             | \$1,498,250,196.20 | 1,428            |
| Florida, Southern    | \$60,865,283.29    | 3,573           | \$6,814,643.99  | \$913.50              | \$17,693,922.61    | 2,880           | \$431,908,177.60   | 7,054            |
| Georgia, Middle      | \$1,481,594.56     | 689             | \$988,430.97    | \$0.00                | \$290,964.42       | 606             | \$4,876,006.49     | 732              |
| Georgia, Northern    | \$4,704,781.68     | 1,051           | \$4,971,410.34  | \$16,000.00           | \$1,205,314.23     | 1,019           | \$48,236,482.91    | 3,131            |
| Georgia, Southern    | \$1,037,122.52     | 663             | \$298,970.37    | \$1,150.00            | \$442,035.46       | 577             | \$19,343,011.09    | 885              |
| Guam*                | \$96,160.44        | 146             | \$324,577.54    | \$0.00                | \$72,634.54        | 186             | \$1,469,761.47     | 312              |
| Hawaii               | \$889,834.20       | 523             | \$480,259.45    | \$192.10              | \$22,936.54        | 438             | \$12,600,170.78    | 794              |
| Idaho                | \$285,481.28       | 220             | \$257,811.11    | \$0.00                | \$13,766.32        | 197             | \$4,800,698.77     | 498              |
| Illinois, Central    | \$1,321,389.76     | 373             | \$847,096.99    | \$0.00                | \$1,122,747.79     | 394             | \$8,795,192.13     | 617              |
| Illinois, Northern   | \$18,260,901.47    | 1,343           | \$7,683,555.76  | \$0.00                | \$1,805,096.49     | 1,069           | \$162,971,564.32   | 3,416            |
| Illinois, Southern   | \$3,691,215.73     | 684             | \$1,412,933.70  | \$0.00                | \$236,548.73       | 541             | \$16,923,393.89    | 1,775            |
| Indiana, Northern    | \$3,132,814.20     | 447             | \$979,787.65    | \$0.00                | \$468,293.45       | 457             | \$10,573,970.95    | 837              |
| Indiana, Southern    | \$5,364,405.51     | 484             | \$722,507.79    | \$0.00                | \$65,508.38        | 385             | \$10,248,777.76    | 1,007            |
| Iowa, Northern       | \$330,818.26       | 370             | \$202,074.58    | \$0.00                | \$237,325.38       | 310             | \$4,930,289.91     | 409              |
| Iowa, Southern       | \$207,565.73       | 337             | \$197,103.38    | \$0.00                | \$114,729.93       | 366             | \$2,884,043.66     | 415              |
| Kansas               | \$796,852.03       | 601             | \$320,902.13    | \$0.00                | \$432,220.81       | 432             | \$18,222,087.96    | 993              |
| Kentucky, Eastern    | \$609,764.20       | 687             | \$350,700.40    | \$0.00                | \$285,970.67       | 522             | \$4,772,941.28     | 776              |

Table 12A (Continued)

| District                  | Amount<br>Opened  | Debts<br>Opened | Collected       | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|---------------------------|-------------------|-----------------|-----------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Kentucky, Western         | \$498,430.70      | 978             | \$1,301,470.25  | \$112,271.47          | \$189,703.37       | 870             | \$10,573,505.66    | 808              |
| Louisiana, Eastern        | \$3,263,725.33    | 920             | \$2,237,362.91  | \$0.00                | \$879,879.93       | 719             | \$45,471,633.00    | 1,653            |
| Louisiana, Middle         | \$1,493,627.18    | 273             | \$1,428,640.77  | \$0.00                | \$1,400.99         | 174             | \$10,994,413.84    | 357              |
| Louisiana, Western        | \$1,208,277.34    | 1,266           | \$500,620.43    | \$0.00                | \$912,211.48       | 1,235           | \$32,677,004.86    | 701              |
| Maine                     | \$295,895.52      | 295             | \$150,241.31    | \$0.00                | \$8,862.62         | 226             | \$1,974,804.84     | 427              |
| Maryland                  | \$9,039,698.58    | 823             | \$2,198,357.40  | \$25,000.00           | \$2,174,505.03     | 698             | \$30,500,273.66    | 1,734            |
| Massachusetts             | -\$19,583,643.86  | 662             | \$67,079,000.40 | \$0.00                | \$27,554.82        | 527             | \$159,065,638.76   | 2,575            |
| Michigan, Eastern         | \$5,920,758.93    | 996             | \$2,477,455.31  | \$0.00                | \$1,799,565.10     | 1,061           | \$103,548,990.69   | 1,994            |
| Michigan, Western         | \$342,258.99      | 590             | \$608,955.76    | \$0.00                | \$256,207.87       | 449             | \$12,698,069.96    | 1,359            |
| Minnesota                 | \$1,812,166.39    | 488             | \$835,219.66    | \$0.00                | \$1,056,072.95     | 444             | \$7,949,303.07     | 800              |
| Mississippi, Northern     | \$477,521.00      | 192             | \$143,002.33    | \$0.00                | \$91,652.87        | 197             | \$5,039,964.34     | 314              |
| Mississippi, Southern     | \$16,902,065.38   | 697             | \$3,634,745.28  | \$0.00                | \$89,529.96        | 512             | \$19,255,708.29    | 1,265            |
| Missouri, Eastern         | \$1,575,065.77    | 684             | \$1,538,548.37  | \$0.00                | \$221,237.59       | 678             | \$4,435,433.95     | 1,156            |
| Missouri, Western         | \$4,768,738.83    | 870             | \$719,428.62    | \$1,991.00            | \$242,001.24       | 753             | \$17,569,182.91    | 1,381            |
| Montana                   | \$497,093.34      | 425             | \$279,455.93    | \$0.00                | \$31,017.68        | 358             | \$5,667,733.05     | 493              |
| Nebraska                  | \$1,057,114.41    | 509             | \$383,838.11    | \$9,268.08            | \$49,413.03        | 437             | \$1,987,957.41     | 673              |
| Nevada                    | \$1,508,151.35    | 776             | \$754,029.20    | \$0.00                | \$195,726.50       | 665             | \$12,475,399.50    | 1,775            |
| New Hampshire             | \$3,842,952.45    | 170             | \$408,257.81    | \$0.00                | \$12,240.16        | 152             | \$15,141,025.76    | 289              |
| New Jersey                | \$6,435,157.50    | 1,206           | \$3,192,304.04  | \$45,940.55           | \$2,377,403.77     | 1,015           | \$60,626,741.20    | 3,550            |
| New Mexico                | \$1,497,406.98    | 1,122           | \$661,244.54    | \$0.00                | \$49,134.28        | 945             | \$9,524,232.17     | 2,362            |
| New York, Eastern         | \$33,877,187.42   | 1,687           | \$9,761,049.86  | \$125,010.92          | \$3,573,644.48     | 1,664           | \$220,055,127.29   | 4,224            |
| New York, Northern        | \$4,355,505.56    | 855             | \$1,830,431.33  | \$4,020.00            | \$535,486.48       | 767             | \$9,718,226.17     | 778              |
| New York, Southern        | -\$404,277,811.49 | 2,162           | \$7,143,594.35  | \$109,761.28          | \$2,484,399.01     | 1,788           | \$852,102,649.38   | 4,243            |
| New York, Western         | \$1,054,026.00    | 690             | \$1,026,116.78  | \$0.00                | \$481,481.86       | 611             | \$4,230,634.27     | 949              |
| North Carolina, Eastern** | \$2,644,437.80    | 530             | \$407,878.62    | \$0.00                | \$71,836.97        | 253             | \$3,575,288.38     | 744              |
| North Carolina, Middle    | \$637,690.52      | 505             | \$210,318.77    | \$0.00                | \$62,476.90        | 387             | \$5,504,672.98     | 836              |
| North Carolina, Western   | \$297,227.10      | 409             | \$530,144.84    | \$0.00                | \$162,896.25       | 594             | \$14,432,616.62    | 1,628            |
| North Dakota              | \$352,754.61      | 218             | \$291,807.61    | \$0.00                | \$279,941.32       | 202             | \$4,474,538.28     | 291              |
| Northern Mariana Islands* | \$0.00            | 0               | \$0.00          | \$0.00                | \$0.00             | 0               | \$0.00             | 0                |
| Ohio, Northern            | \$27,155,776.66   | 1,224           | \$2,849,428.27  | \$0.00                | \$2,649,644.72     | 904             | \$89,865,994.96    | 1,953            |
| Ohio, Southern            | \$1,349,586.23    | 663             | \$1,236,113.88  | \$0.00                | \$111,410.16       | 702             | \$21,532,744.64    | 1,776            |
| Oklahoma, Eastern         | \$338,677.66      | 118             | \$49,146.31     | \$0.00                | \$195,190.13       | 96              | \$1,314,945.78     | 128              |
| Oklahoma, Northern        | \$479,309.39      | 189             | \$366,029.75    | \$0.00                | \$344,685.58       | 261             | \$18,969,865.84    | 489              |
| Oklahoma, Western         | \$435,952.25      | 257             | \$272,816.32    | \$0.00                | \$684,079.29       | 251             | \$13,106,735.17    | 492              |
| Oregon                    | \$1,185,612.25    | 888             | \$679,059.21    | \$0.00                | \$94,698.85        | 732             | \$3,656,645.46     | 2,052            |

**Table 12A (Continued)**

| District                | Amount<br>Opened   | Debts<br>Opened | Collected        | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|-------------------------|--------------------|-----------------|------------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Pennsylvania, Eastern   | \$152,024,578.97   | 1,343           | \$161,873,073.76 | \$0.00                | \$4,277,765.84     | 985             | \$285,886,689.59   | 4,294            |
| Pennsylvania, Middle    | \$2,077,350.34     | 526             | \$522,046.60     | \$0.00                | \$37,766.05        | 487             | \$6,393,029.70     | 806              |
| Pennsylvania, Western   | \$1,047,251.89     | 379             | \$656,025.73     | \$0.00                | \$5,455,536.56     | 413             | \$10,868,420.07    | 662              |
| Puerto Rico             | \$580,185.44       | 1,191           | \$623,558.75     | \$0.00                | \$306,645.75       | 890             | \$118,603,635.64   | 1,473            |
| Rhode Island            | \$8,366,563.87     | 164             | \$557,670.51     | \$0.00                | \$31,061.40        | 156             | \$69,425,162.22    | 732              |
| South Carolina          | \$1,238,264.08     | 1,170           | \$786,253.79     | \$0.00                | \$128,585.26       | 960             | \$17,293,649.31    | 1,609            |
| South Dakota            | \$42,995,710.60    | 399             | \$388,736.62     | \$0.00                | \$20,136.02        | 376             | \$46,863,886.62    | 539              |
| Tennessee, Eastern      | \$2,221,663.30     | 701             | \$563,760.33     | \$0.00                | \$1,508,245.01     | 493             | \$8,195,535.80     | 1,021            |
| Tennessee, Middle       | \$33,096,515.19    | 315             | \$27,946,929.78  | \$0.00                | \$117,748.56       | 249             | \$14,745,520.60    | 495              |
| Tennessee, Western      | \$1,423,499.80     | 426             | \$279,352.89     | \$0.00                | \$15,791.77        | 408             | \$13,349,227.20    | 1,338            |
| Texas, Eastern          | \$1,954,317.92     | 719             | \$1,558,009.71   | \$0.00                | \$1,937,173.59     | 652             | \$13,471,314.53    | 1,419            |
| Texas, Northern         | \$45,147,513.95    | 1,524           | \$31,493,634.64  | \$2,999.42            | \$7,104,792.40     | 1,360           | \$254,991,980.05   | 3,169            |
| Texas, Southern         | \$26,181,868.17    | 4,465           | \$16,480,548.34  | \$0.00                | \$291,541.69       | 3,193           | \$364,713,395.99   | 12,949           |
| Texas, Western          | \$38,623,442.43    | 6,282           | \$36,989,090.72  | \$0.00                | \$1,000,858.47     | 3,946           | \$182,510,977.06   | 16,047           |
| Utah                    | \$1,792,754.31     | 1,084           | \$1,051,315.92   | \$0.00                | \$244,041.46       | 884             | \$4,254,369.16     | 1,997            |
| Vermont                 | \$103,846.72       | 140             | \$167,569.97     | \$0.00                | \$1,425,211.49     | 142             | \$2,111,357.86     | 145              |
| Virgin Islands          | \$896,642.42       | 138             | \$253,400.68     | \$0.00                | \$3,477.36         | 74              | \$7,922,913.32     | 360              |
| Virginia, Eastern       | \$9,808,034.02     | 4,502           | \$4,323,540.97   | \$38,225.44           | \$3,244,320.39     | 3,889           | \$42,468,767.33    | 4,822            |
| Virginia, Western       | \$864,445.76       | 773             | \$935,605.20     | \$0.00                | \$3,783,795.62     | 843             | \$7,331,301.15     | 1,464            |
| Washington, Eastern     | \$294,624.78       | 496             | \$644,370.99     | \$0.00                | \$784,419.37       | 446             | \$1,968,224.34     | 609              |
| Washington, Western     | \$3,980,269.98     | 1,738           | \$2,319,129.58   | \$91,407.20           | \$2,049,041.21     | 1,516           | \$51,322,308.69    | 2,001            |
| West Virginia, Northern | \$498,780.27       | 221             | \$1,018,104.57   | \$0.00                | \$117,710.34       | 235             | \$25,568,640.74    | 382              |
| West Virginia, Southern | \$913,151.25       | 420             | \$314,347.34     | \$2,700.00            | \$115,143.16       | 357             | \$6,506,103.75     | 854              |
| Wisconsin, Eastern      | \$801,517.57       | 492             | \$676,014.19     | \$0.00                | \$68,569.05        | 354             | \$9,410,353.20     | 1,092            |
| Wisconsin, Western      | \$1,634,935.15     | 190             | \$410,379.77     | \$0.00                | \$111,764.00       | 161             | \$4,380,733.25     | 214              |
| Wyoming                 | \$161,283.92       | 324             | \$154,849.87     | \$0.00                | \$521,052.74       | 296             | \$937,574.27       | 539              |
| All Districts           | \$1,910,115,799.74 | 81,784          | \$600,807,402.78 | \$829,635.90          | \$103,467,521.12   | 68,471          | \$7,031,236,147.83 | 161,228          |

Data on this table excludes Asset Forfeitures.

Collected amount includes payments received by the United States Attorneys, the Courts, and other agencies.

Other decreases includes transfers, remands, presidential pardons, death of debtor, etc.

A negative number results when adjustments to amounts recorded in prior fiscal years exceed actual Fiscal Year 2001 totals.

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

\*\*Criminal collections data for the Eastern District of North Carolina is a compilation of Fine Center and district data.

**Table 12B**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Criminal Debts Owed to Third Parties**

| District             | Amount<br>Opened | Debts<br>Opened | Property<br>Collected | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|----------------------|------------------|-----------------|-----------------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Alabama, Middle      | \$2,214,805      | 28              | \$275,021             | \$0                   | \$10,702           | 18              | \$7,793,942        | 195              |
| Alabama, Northern    | \$8,808,634      | 107             | \$602,130             | -\$24,000             | \$490,541          | 54              | \$50,597,228       | 621              |
| Alabama, Southern    | \$1,902,361      | 66              | \$1,009,825           | \$0                   | \$1,235,726        | 29              | \$16,499,980       | 283              |
| Alaska               | \$2,481,049      | 68              | \$568,212             | \$0                   | \$312,177          | 41              | \$8,554,869        | 210              |
| Arizona              | \$40,839,104     | 219             | \$3,235,485           | \$146                 | \$1,455,190        | 147             | \$150,026,894      | 1,100            |
| Arkansas, Eastern    | \$3,104,761      | 64              | \$381,711             | \$0                   | \$141,455          | 23              | \$12,209,853       | 245              |
| Arkansas, Western    | \$4,262,524      | 29              | \$336,569             | \$0                   | \$101,897          | 9               | \$9,137,604        | 126              |
| California, Central  | \$187,800,460    | 363             | \$4,885,082           | \$8,675               | \$30,853,828       | 99              | \$1,214,872,474    | 2,668            |
| California, Eastern  | \$43,952,741     | 96              | \$622,832             | \$6,212               | \$800,299          | 46              | \$107,424,051      | 463              |
| California, Northern | \$29,903,759     | 150             | \$5,667,064           | \$0                   | \$4,473,477        | 114             | \$428,248,211      | 1,279            |
| California, Southern | \$82,719,694     | 72              | \$10,351,415          | \$33,666              | \$2,032,127        | 45              | \$172,459,499      | 391              |
| Colorado             | \$6,386,744      | 114             | \$610,353             | \$0                   | \$1,372,823        | 66              | \$40,864,362       | 374              |
| Connecticut          | \$14,385,875     | 85              | \$1,736,919           | \$8,137               | \$1,436,191        | 22              | \$84,930,588       | 235              |
| Delaware             | \$2,595,069      | 38              | \$314,536             | \$5,632               | \$1,113,769        | 27              | \$14,498,241       | 132              |
| District of Columbia | \$5,330,653      | 91              | \$2,347,301           | \$0                   | \$27,746,480       | 78              | \$48,650,177       | 496              |
| Florida, Middle      | \$72,160,956     | 232             | \$4,038,902           | \$638,542             | \$5,139,348        | 57              | \$611,520,738      | 1,331            |
| Florida, Northern    | \$26,850,195     | 82              | \$3,245,298           | \$0                   | \$146,388          | 22              | \$46,430,741       | 239              |
| Florida, Southern    | \$257,138,553    | 311             | \$20,803,823          | \$96,526              | \$34,179,790       | 81              | \$824,879,861      | 1,720            |
| Georgia, Middle      | \$2,892,771      | 57              | \$1,180,267           | \$0                   | \$297,296          | 41              | \$13,211,966       | 222              |
| Georgia, Northern    | \$48,195,379     | 153             | \$2,703,248           | \$88,123              | \$711,475          | 36              | \$222,044,092      | 710              |
| Georgia, Southern    | \$1,043,204      | 58              | \$315,163             | \$785,541             | \$1,861,169        | 31              | \$14,317,389       | 179              |
| Guam*                | \$678,329        | 14              | \$93,220              | \$0                   | \$197,933          | 10              | \$3,210,994        | 74               |
| Hawaii               | \$1,493,219      | 44              | \$537,894             | \$2,087               | \$221,307          | 43              | \$14,002,229       | 307              |
| Idaho                | \$954,162        | 35              | \$388,130             | \$0                   | \$94,578           | 28              | \$9,724,629        | 141              |
| Illinois, Central    | \$7,517,570      | 72              | \$792,949             | \$0                   | \$840,303          | 37              | \$57,002,646       | 360              |
| Illinois, Northern   | \$112,239,088    | 323             | \$3,126,299           | \$0                   | \$10,961,539       | 224             | \$377,124,849      | 1,232            |
| Illinois, Southern   | \$8,521,060      | 40              | \$177,776             | \$0                   | \$3,050,534        | 14              | \$37,435,509       | 165              |
| Indiana, Northern    | \$4,659,814      | 40              | \$342,747             | \$0                   | \$1,372,022        | 46              | \$44,222,455       | 282              |
| Indiana, Southern    | \$44,746,876     | 98              | \$655,203             | \$0                   | \$360,207          | 18              | \$68,209,965       | 299              |
| Iowa, Northern       | \$17,245,579     | 42              | \$304,024             | \$0                   | \$335,104          | 31              | \$32,915,197       | 233              |
| Iowa, Southern       | \$1,485,352      | 25              | \$426,977             | \$0                   | \$149,267          | 11              | \$13,178,656       | 134              |
| Kansas               | \$8,081,460      | 84              | \$1,198,962           | \$0                   | \$1,738,307        | 32              | \$72,686,945       | 351              |
| Kentucky, Eastern    | \$4,206,470      | 88              | \$559,327             | \$23,981              | \$2,619,468        | 57              | \$39,241,370       | 356              |

Table 12B (Continued)

| District                  | Amount<br>Opened | Debts<br>Opened | Collected   | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|---------------------------|------------------|-----------------|-------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Kentucky, Western         | \$4,138,892      | 68              | \$772,906   | \$0                   | \$1,021,345        | 33              | \$27,324,223       | 331              |
| Louisiana, Eastern        | \$7,465,274      | 87              | \$1,373,490 | \$0                   | \$65,172           | 28              | \$75,040,146       | 403              |
| Louisiana, Middle         | \$2,901,738      | 33              | \$246,879   | \$571                 | \$1,033,975        | 18              | \$27,199,040       | 140              |
| Louisiana, Western        | \$16,765,889     | 46              | \$290,711   | \$135,000             | \$12,923           | 14              | \$44,489,958       | 172              |
| Maine                     | \$1,482,340      | 37              | \$123,390   | \$0                   | \$92,894           | 16              | \$20,143,542       | 144              |
| Maryland                  | \$12,940,662     | 101             | \$583,992   | \$0                   | \$174,841          | 25              | \$64,852,468       | 419              |
| Massachusetts             | \$25,846,222     | 80              | \$573,253   | \$0                   | \$623,843          | 5               | \$555,448,538      | 624              |
| Michigan, Eastern         | \$13,259,648     | 165             | \$2,769,561 | \$0                   | \$2,989,468        | 94              | \$158,580,330      | 954              |
| Michigan, Western         | \$8,823,003      | 90              | \$3,747,492 | \$0                   | \$2,339,648        | 76              | \$31,357,668       | 406              |
| Minnesota                 | \$27,712,062     | 86              | \$1,714,479 | \$0                   | \$2,344,577        | 34              | \$104,458,936      | 381              |
| Mississippi, Northern     | \$389,179        | 31              | \$156,326   | \$0                   | \$47,685           | 27              | \$11,568,779       | 149              |
| Mississippi, Southern     | \$3,746,886      | 68              | \$1,174,024 | \$0                   | \$9,607            | 28              | \$29,870,592       | 273              |
| Missouri, Eastern         | \$25,462,355     | 96              | \$1,333,719 | \$0                   | \$3,374,070        | 53              | \$83,592,404       | 447              |
| Missouri, Western         | \$5,237,750      | 113             | \$608,826   | \$0                   | \$8,779,722        | 54              | \$76,100,000       | 396              |
| Montana                   | \$1,536,878      | 87              | \$440,212   | \$0                   | \$126,239          | 46              | \$11,921,614       | 348              |
| Nebraska                  | \$31,426,712     | 48              | \$366,644   | \$0                   | \$70,820           | 16              | \$46,416,018       | 197              |
| Nevada                    | \$52,621,060     | 194             | \$970,670   | \$0                   | \$2,447,261        | 80              | \$175,926,363      | 1,013            |
| New Hampshire             | \$3,821,317      | 41              | \$186,871   | \$0                   | \$27,776           | 6               | \$13,430,694       | 130              |
| New Jersey                | \$28,986,899     | 160             | \$1,722,225 | \$315,557             | \$205,755          | 38              | \$139,426,146      | 779              |
| New Mexico                | \$1,501,601      | 94              | \$307,467   | \$0                   | \$156,699          | 39              | \$8,809,139        | 289              |
| New York, Eastern         | \$337,294,838    | 239             | \$4,156,481 | \$0                   | \$9,946,255        | 82              | \$1,197,861,396    | 939              |
| New York, Northern        | \$48,401,346     | 59              | \$2,597,309 | \$20,498              | \$239,895          | 22              | \$78,416,496       | 231              |
| New York, Southern        | \$766,900,299    | 288             | \$4,960,495 | \$0                   | \$3,397,431        | 68              | \$2,703,267,491    | 1,222            |
| New York, Western         | \$4,258,740      | 61              | \$887,263   | \$0                   | \$454,346          | 53              | \$45,467,888       | 386              |
| North Carolina, Eastern** | \$10,286,702     | 74              | \$170,936   | \$0                   | \$915              | 2               | \$16,018,240       | 141              |
| North Carolina, Middle    | \$9,163,421      | 96              | \$449,081   | \$0                   | \$232,556          | 25              | \$21,972,485       | 348              |
| North Carolina, Western   | \$5,179,137      | 34              | \$318,626   | \$0                   | \$238,779          | 33              | \$43,580,718       | 458              |
| North Dakota              | \$2,139,334      | 37              | \$98,147    | \$0                   | \$22,819           | 17              | \$7,169,742        | 189              |
| Northern Mariana Islands* | \$0              | 0               | \$0         | \$0                   | \$0                | 0               | \$0                | 0                |
| Ohio, Northern            | \$46,783,220     | 328             | \$1,200,364 | \$0                   | \$731,325          | 54              | \$158,323,752      | 1,546            |
| Ohio, Southern            | \$13,109,355     | 121             | \$853,200   | \$0                   | \$5,754,023        | 28              | \$60,844,934       | 578              |
| Oklahoma, Eastern         | \$6,063,536      | 36              | \$859,492   | \$0                   | \$31,374           | 7               | \$7,116,642        | 82               |
| Oklahoma, Northern        | \$8,570,322      | 68              | \$630,133   | \$0                   | \$523,512          | 52              | \$19,452,729       | 251              |
| Oklahoma, Western         | \$2,571,285      | 55              | \$367,364   | \$0                   | \$220,728          | 27              | \$46,554,359       | 257              |
| Oregon                    | \$108,075,744    | 156             | \$497,055   | \$0                   | \$274,757          | 47              | \$131,973,020      | 676              |

**Table 12B (Continued)**

| District                | Amount<br>Opened | Debts<br>Opened | Collected     | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|-------------------------|------------------|-----------------|---------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Pennsylvania, Eastern   | \$15,507,565     | 156             | \$1,499,514   | \$0                   | \$354,443          | 38              | \$183,173,483      | 635              |
| Pennsylvania, Middle    | \$9,991,112      | 114             | \$528,719     | \$0                   | \$402,896          | 22              | \$26,793,464       | 303              |
| Pennsylvania, Western   | \$3,883,710      | 72              | \$53,218,006  | \$0                   | \$1,071,776        | 35              | \$30,501,550       | 265              |
| Puerto Rico             | \$342,333        | 16              | \$101,053     | \$0                   | \$70,220           | 8               | \$12,570,061       | 128              |
| Rhode Island            | \$9,868,046      | 21              | \$157,069     | \$0                   | \$69,353           | 5               | \$70,120,000       | 170              |
| South Carolina          | \$7,007,029      | 280             | \$934,636     | \$0                   | \$205,691          | 74              | \$38,291,643       | 901              |
| South Dakota            | \$4,321,160      | 141             | \$755,392     | \$0                   | \$224,676          | 65              | \$18,684,976       | 406              |
| Tennessee, Eastern      | \$19,304,906     | 92              | \$591,886     | \$0                   | \$1,680,824        | 29              | \$58,875,510       | 328              |
| Tennessee, Middle       | \$8,411,241      | 73              | \$322,530     | \$0                   | \$476,064          | 29              | \$24,041,159       | 223              |
| Tennessee, Western      | \$8,909,679      | 84              | \$232,645     | \$0                   | \$592,243          | 16              | \$27,888,350       | 413              |
| Texas, Eastern          | \$7,779,477      | 72              | \$1,491,907   | \$0                   | \$8,565,228        | 64              | \$46,890,866       | 364              |
| Texas, Northern         | \$90,424,280     | 291             | \$8,117,200   | \$14,644              | \$4,304,049        | 109             | \$238,910,411      | 1,176            |
| Texas, Southern         | \$39,073,945     | 136             | \$1,342,520   | \$0                   | \$83,626           | 23              | \$271,535,909      | 1,007            |
| Texas, Western          | \$46,120,264     | 158             | \$2,381,396   | \$0                   | \$1,609,599        | 47              | \$242,956,963      | 989              |
| Utah                    | \$4,045,607      | 116             | \$295,381     | \$6,499               | \$2,100,197        | 38              | \$33,177,677       | 371              |
| Vermont                 | \$2,183,934      | 27              | \$1,313,978   | \$0                   | \$72,505           | 10              | \$22,642,793       | 79               |
| Virgin Islands          | \$620,371        | 23              | \$237,390     | \$0                   | \$389,824          | 13              | \$2,577,532        | 58               |
| Virginia, Eastern       | \$18,379,721     | 368             | -\$376,632    | \$58,231              | \$3,706,859        | 104             | \$116,720,648      | 1,399            |
| Virginia, Western       | \$7,779,189      | 68              | \$3,384,211   | \$0                   | \$52,745           | 43              | \$27,437,184       | 186              |
| Washington, Eastern     | \$10,908,801     | 53              | \$207,422     | \$0                   | \$2,862,295        | 29              | \$25,495,113       | 216              |
| Washington, Western     | \$23,864,366     | 181             | \$6,416,994   | \$651,174             | \$5,039,475        | 47              | \$217,872,137      | 831              |
| West Virginia, Northern | \$1,027,821      | 13              | \$404,359     | \$0                   | \$26,868           | 8               | \$6,261,607        | 96               |
| West Virginia, Southern | \$3,363,335      | 60              | \$876,781     | \$63,311              | \$1,768,766        | 36              | \$38,836,861       | 220              |
| Wisconsin, Eastern      | \$8,863,386      | 80              | \$2,308,751   | \$0                   | \$694,651          | 63              | \$58,730,947       | 475              |
| Wisconsin, Western      | \$4,238,090      | 49              | \$1,190,778   | \$0                   | \$1,857,287        | 33              | \$21,637,868       | 174              |
| Wyoming                 | \$4,842,936      | 104             | \$448,531     | \$0                   | \$1,034,802        | 105             | \$14,954,166       | 202              |
| All Districts           | \$3,062,724,217  | 9,613           | \$199,726,161 | \$2,938,754           | \$225,182,709      | 3,977           | \$12,989,683,573   | 44,067           |

Data on this table excludes Asset Forfeitures.

Collected amount includes payments received by the United States Attorneys, the Courts, and other agencies.

Other decreases includes transfers, remands, presidential pardons, death of debtor, etc.

A negative number results when adjustments to amounts recorded in prior fiscal years exceed actual Fiscal Year 2001 totals.

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

\*\*Criminal collections data for the Eastern District of North Carolina is a compilation of Fine Center and district data.



**Table 12C**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Criminal Total**

| District             | Amount<br>Opened | Debts<br>Opened | Collected    | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|----------------------|------------------|-----------------|--------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Alabama, Middle      | \$2,443,233      | 257             | \$450,009    | \$0                   | \$78,580           | 274             | \$13,473,167       | 557              |
| Alabama, Northern    | \$80,271,285     | 855             | \$10,615,226 | -\$24,000             | \$1,299,815        | 584             | \$132,375,305      | 2,529            |
| Alabama, Southern    | \$2,268,864      | 384             | \$4,043,873  | \$0                   | \$1,709,442        | 341             | \$22,571,117       | 751              |
| Alaska               | \$4,412,314      | 366             | \$870,773    | \$0                   | \$387,251          | 321             | \$22,948,493       | 597              |
| Arizona              | \$50,547,270     | 2,792           | \$10,833,195 | \$146                 | \$11,111,092       | 1,992           | \$194,714,792      | 5,458            |
| Arkansas, Eastern    | \$3,801,964      | 351             | \$575,156    | \$0                   | \$165,918          | 288             | \$19,744,205       | 804              |
| Arkansas, Western    | \$4,618,708      | 262             | \$566,063    | \$0                   | \$141,082          | 203             | \$11,120,468       | 716              |
| California, Central  | \$240,233,529    | 2,482           | \$25,343,789 | \$266,077             | \$33,723,265       | 2,122           | \$1,573,669,245    | 7,977            |
| California, Eastern  | \$64,305,294     | 1,019           | \$2,461,288  | -\$43,788             | \$1,199,569        | 971             | \$168,373,433      | 2,076            |
| California, Northern | \$100,475,663    | 1,349           | \$70,378,566 | \$5,147               | \$4,782,446        | 976             | \$561,936,281      | 4,560            |
| California, Southern | \$87,344,188     | 2,065           | \$12,282,994 | \$37,566              | \$5,049,104        | 1,687           | \$206,427,981      | 3,310            |
| Colorado             | \$7,192,726      | 864             | \$1,126,573  | \$0                   | \$1,614,610        | 798             | \$80,265,668       | 1,183            |
| Connecticut          | \$19,136,752     | 570             | \$6,707,050  | \$8,137               | \$1,634,254        | 402             | \$121,927,942      | 1,083            |
| Delaware             | \$2,922,504      | 139             | \$378,646    | \$5,632               | \$1,169,352        | 162             | \$15,178,751       | 254              |
| District of Columbia | \$18,508,898     | 647             | \$13,627,242 | \$0                   | \$27,513,820       | 812             | \$329,847,416      | 2,034            |
| Florida, Middle      | \$122,200,404    | 1,889           | \$38,997,520 | \$664,878             | \$5,662,838        | 1,444           | \$871,931,055      | 5,128            |
| Florida, Northern    | \$1,440,588,196  | 747             | \$4,751,895  | \$0                   | \$3,478,099        | 520             | \$1,544,680,937    | 1,667            |
| Florida, Southern    | \$318,003,836    | 3,884           | \$27,618,467 | \$97,440              | \$51,873,712       | 2,961           | \$1,256,788,038    | 8,774            |
| Georgia, Middle      | \$4,374,366      | 746             | \$2,168,698  | \$0                   | \$588,260          | 647             | \$18,087,973       | 954              |
| Georgia, Northern    | \$52,900,160     | 1,204           | \$7,674,659  | \$104,123             | \$1,916,789        | 1,055           | \$270,280,575      | 3,841            |
| Georgia, Southern    | \$2,080,326      | 721             | \$614,133    | \$786,691             | \$2,303,204        | 608             | \$33,660,400       | 1,064            |
| Guam*                | \$774,489        | 160             | \$417,798    | \$0                   | \$270,568          | 196             | \$4,680,755        | 386              |
| Hawaii               | \$2,383,053      | 567             | \$1,018,153  | \$2,279               | \$244,243          | 481             | \$26,602,400       | 1,101            |
| Idaho                | \$1,239,644      | 255             | \$645,942    | \$0                   | \$108,344          | 225             | \$14,525,328       | 639              |
| Illinois, Central    | \$8,838,959      | 445             | \$1,640,046  | \$0                   | \$1,963,051        | 431             | \$65,797,838       | 977              |
| Illinois, Northern   | \$130,499,989    | 1,666           | \$10,809,854 | \$0                   | \$12,766,635       | 1,293           | \$540,096,413      | 4,648            |
| Illinois, Southern   | \$12,212,275     | 724             | \$1,590,710  | \$0                   | \$3,287,083        | 555             | \$54,358,903       | 1,940            |
| Indiana, Northern    | \$7,792,628      | 487             | \$1,322,535  | \$0                   | \$1,840,315        | 503             | \$54,796,426       | 1,119            |
| Indiana, Southern    | \$50,111,281     | 582             | \$1,377,710  | \$0                   | \$425,715          | 403             | \$78,458,743       | 1,306            |
| Iowa, Northern       | \$17,576,398     | 412             | \$506,098    | \$0                   | \$572,429          | 341             | \$37,845,487       | 642              |
| Iowa, Southern       | \$1,692,918      | 362             | \$624,081    | \$0                   | \$263,997          | 377             | \$16,062,700       | 549              |
| Kansas               | \$8,878,312      | 685             | \$1,519,864  | \$0                   | \$2,170,527        | 464             | \$90,909,033       | 1,344            |
| Kentucky, Eastern    | \$4,816,234      | 775             | \$910,028    | \$23,981              | \$2,905,438        | 579             | \$44,014,311       | 1,132            |

Table 12C (Continued)

| District                  | Amount<br>Opened | Debts<br>Opened | Collected    | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|---------------------------|------------------|-----------------|--------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Kentucky, Western         | \$4,637,323      | 1,046           | \$2,074,377  | \$112,271             | \$1,211,049        | 903             | \$37,897,729       | 1,139            |
| Louisiana, Eastern        | \$10,728,999     | 1,007           | \$3,610,853  | \$0                   | \$945,052          | 747             | \$120,511,779      | 2,056            |
| Louisiana, Middle         | \$4,395,365      | 306             | \$1,675,520  | \$571                 | \$1,035,376        | 192             | \$38,193,454       | 497              |
| Louisiana, Western        | \$17,974,166     | 1,312           | \$791,332    | \$135,000             | \$925,134          | 1,249           | \$77,166,963       | 873              |
| Maine                     | \$1,778,235      | 332             | \$273,632    | \$0                   | \$101,757          | 242             | \$22,118,347       | 571              |
| Maryland                  | \$21,980,361     | 924             | \$2,782,349  | \$25,000              | \$2,349,346        | 723             | \$95,352,741       | 2,153            |
| Massachusetts             | \$6,262,578      | 742             | \$67,652,253 | \$0                   | \$651,397          | 532             | \$714,514,177      | 3,199            |
| Michigan, Eastern         | \$19,180,407     | 1,161           | \$5,247,016  | \$0                   | \$4,789,033        | 1,155           | \$262,129,321      | 2,948            |
| Michigan, Western         | \$9,165,262      | 680             | \$4,356,447  | \$0                   | \$2,595,856        | 525             | \$44,055,738       | 1,765            |
| Minnesota                 | \$29,524,229     | 574             | \$2,549,699  | \$0                   | \$3,400,650        | 478             | \$112,408,239      | 1,181            |
| Mississippi, Northern     | \$866,700        | 223             | \$299,329    | \$0                   | \$139,338          | 224             | \$16,608,743       | 463              |
| Mississippi, Southern     | \$20,648,951     | 765             | \$4,808,770  | \$0                   | \$99,137           | 540             | \$49,126,301       | 1,538            |
| Missouri, Eastern         | \$27,037,421     | 780             | \$2,872,267  | \$0                   | \$3,595,308        | 731             | \$88,027,838       | 1,603            |
| Missouri, Western         | \$10,006,489     | 983             | \$1,328,255  | \$1,991               | \$9,021,723        | 807             | \$93,669,183       | 1,777            |
| Montana                   | \$2,033,971      | 512             | \$719,668    | \$0                   | \$157,257          | 404             | \$17,589,347       | 841              |
| Nebraska                  | \$32,483,826     | 557             | \$750,482    | \$9,268               | \$120,233          | 453             | \$48,403,975       | 870              |
| Nevada                    | \$54,129,212     | 970             | \$1,724,699  | \$0                   | \$2,642,988        | 745             | \$188,401,763      | 2,788            |
| New Hampshire             | \$7,664,269      | 211             | \$595,129    | \$0                   | \$40,016           | 158             | \$28,571,720       | 419              |
| New Jersey                | \$35,422,056     | 1,366           | \$4,914,529  | \$361,498             | \$2,583,159        | 1,053           | \$200,052,888      | 4,329            |
| New Mexico                | \$2,999,008      | 1,216           | \$968,711    | \$0                   | \$205,833          | 984             | \$18,333,371       | 2,651            |
| New York, Eastern         | \$371,172,025    | 1,926           | \$13,917,531 | \$125,011             | \$13,519,899       | 1,746           | \$1,417,916,523    | 5,163            |
| New York, Northern        | \$52,756,851     | 914             | \$4,427,741  | \$24,518              | \$775,382          | 789             | \$88,134,722       | 1,009            |
| New York, Southern        | \$362,622,487    | 2,450           | \$12,104,089 | \$109,761             | \$5,881,830        | 1,856           | \$3,555,370,141    | 5,465            |
| New York, Western         | \$5,312,766      | 751             | \$1,913,380  | \$0                   | \$935,828          | 664             | \$49,698,523       | 1,335            |
| North Carolina, Eastern*  | \$12,931,140     | 604             | \$578,814    | \$0                   | \$72,752           | 255             | \$19,593,528       | 885              |
| North Carolina, Middle    | \$9,801,112      | 601             | \$659,400    | \$0                   | \$295,033          | 412             | \$27,477,158       | 1,184            |
| North Carolina, Western   | \$5,476,364      | 443             | \$848,771    | \$0                   | \$401,675          | 627             | \$58,013,335       | 2,086            |
| North Dakota              | \$2,492,088      | 255             | \$389,954    | \$0                   | \$302,760          | 219             | \$11,644,280       | 480              |
| Northern Mariana Islands* | \$0              | 0               | \$0          | \$0                   | \$0                | 0               | \$0                | 0                |
| Ohio, Northern            | \$73,938,997     | 1,552           | \$4,049,792  | \$0                   | \$3,380,969        | 958             | \$248,189,747      | 3,499            |
| Ohio, Southern            | \$14,458,941     | 784             | \$2,089,313  | \$0                   | \$5,865,433        | 730             | \$82,377,679       | 2,354            |
| Oklahoma, Eastern         | \$6,402,214      | 154             | \$908,638    | \$0                   | \$226,564          | 103             | \$8,431,588        | 210              |
| Oklahoma, Northern        | \$9,049,631      | 257             | \$996,163    | \$0                   | \$868,198          | 313             | \$38,422,595       | 740              |
| Oklahoma, Western         | \$3,007,238      | 312             | \$640,181    | \$0                   | \$904,807          | 278             | \$59,661,095       | 749              |
| Oregon                    | \$109,261,356    | 1,044           | \$1,176,114  | \$0                   | \$369,456          | 779             | \$135,629,666      | 2,728            |

Table 12C (Continued)

| District                | Amount<br>Opened | Debts<br>Opened | Collected     | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|-------------------------|------------------|-----------------|---------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Pennsylvania, Eastern   | \$167,532,144    | 1,499           | \$163,372,587 | \$0                   | \$4,632,209        | 1,023           | \$469,060,172      | 4,929            |
| Pennsylvania, Middle    | \$12,068,463     | 640             | \$1,050,765   | \$0                   | \$440,662          | 509             | \$33,186,493       | 1,109            |
| Pennsylvania, Western   | \$4,930,962      | 451             | \$53,874,032  | \$0                   | \$6,527,313        | 448             | \$41,369,970       | 927              |
| Puerto Rico             | \$922,518        | 1,207           | \$724,612     | \$0                   | \$376,866          | 898             | \$131,173,697      | 1,601            |
| Rhode Island            | \$18,234,609     | 185             | \$714,739     | \$0                   | \$100,415          | 161             | \$139,545,162      | 902              |
| South Carolina          | \$8,245,294      | 1,450           | \$1,720,890   | \$0                   | \$334,276          | 1,034           | \$55,585,292       | 2,510            |
| South Dakota            | \$47,316,871     | 540             | \$1,144,128   | \$0                   | \$244,812          | 441             | \$65,548,862       | 945              |
| Tennessee, Eastern      | \$21,526,569     | 793             | \$1,155,646   | \$0                   | \$3,189,069        | 522             | \$67,071,045       | 1,349            |
| Tennessee, Middle       | \$41,507,756     | 388             | \$28,269,460  | \$0                   | \$593,813          | 278             | \$38,786,680       | 718              |
| Tennessee, Western      | \$10,333,179     | 510             | \$511,998     | \$0                   | \$608,034          | 424             | \$41,237,578       | 1,751            |
| Texas, Eastern          | \$9,733,795      | 791             | \$3,049,917   | \$0                   | \$10,502,402       | 716             | \$60,362,181       | 1,783            |
| Texas, Northern         | \$135,571,794    | 1,815           | \$39,610,834  | \$17,644              | \$11,408,841       | 1,469           | \$493,902,391      | 4,345            |
| Texas, Southern         | \$65,255,814     | 4,601           | \$17,823,068  | \$0                   | \$375,167          | 3,216           | \$636,249,305      | 13,956           |
| Texas, Western          | \$84,743,706     | 6,440           | \$39,370,486  | \$0                   | \$2,610,458        | 3,993           | \$425,467,940      | 17,036           |
| Utah                    | \$5,838,361      | 1,200           | \$1,346,697   | \$6,499               | \$2,344,238        | 922             | \$37,432,046       | 2,368            |
| Vermont                 | \$2,287,781      | 167             | \$1,481,548   | \$0                   | \$1,497,716        | 152             | \$24,754,151       | 224              |
| Virgin Islands          | \$1,517,014      | 161             | \$490,790     | \$0                   | \$393,301          | 87              | \$10,500,445       | 418              |
| Virginia, Eastern       | \$28,187,755     | 4,870           | \$3,946,909   | \$96,457              | \$6,951,179        | 3,993           | \$159,189,416      | 6,221            |
| Virginia, Western       | \$8,643,635      | 841             | \$4,319,816   | \$0                   | \$3,836,541        | 886             | \$34,768,485       | 1,650            |
| Washington, Eastern     | \$11,203,426     | 549             | \$851,793     | \$0                   | \$3,646,714        | 475             | \$27,463,338       | 825              |
| Washington, Western     | \$27,844,636     | 1,919           | \$8,736,123   | \$742,581             | \$7,088,516        | 1,563           | \$269,194,446      | 2,832            |
| West Virginia, Northern | \$1,526,602      | 234             | \$1,422,464   | \$0                   | \$144,579          | 243             | \$31,830,248       | 478              |
| West Virginia, Southern | \$4,276,487      | 480             | \$1,191,129   | \$66,011              | \$1,883,909        | 393             | \$45,342,965       | 1,074            |
| Wisconsin, Eastern      | \$9,664,904      | 572             | \$2,984,765   | \$0                   | \$763,220          | 417             | \$68,141,300       | 1,567            |
| Wisconsin, Western      | \$5,873,025      | 239             | \$1,601,158   | \$0                   | \$1,969,051        | 194             | \$26,018,601       | 388              |
| Wyoming                 | \$5,004,220      | 428             | \$603,381     | \$0                   | \$1,555,855        | 401             | \$15,891,740       | 741              |
| All Districts           | \$4,972,840,017  | 91,397          | \$800,533,564 | \$3,768,390           | \$328,650,230      | 72,448          | \$20,020,919,720   | 205,295          |

Data on this table excludes Asset Forfeitures.

Collected amount includes payments received by the United States Attorneys, the Courts, and other agencies.

Other decreases includes transfers, remands, presidential pardons, death of debtor, etc.

A negative number results when adjustments to amounts recorded in prior fiscal years exceed actual Fiscal Year 2001 totals.

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

\*\*Criminal collections data for the Eastern District of North Carolina is a compilation of Fine Center and district data.

**Table 12D**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Civil Total**

| District             | Amount<br>Opened | Debts<br>Opened | Property<br>Collected | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|----------------------|------------------|-----------------|-----------------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Alabama, Middle      | \$7,531,771      | 141             | \$614,102             | \$0                   | \$6,634,201        | 272             | \$1,969,245        | 197              |
| Alabama, Northern    | \$30,955,304     | 268             | \$28,885,893          | \$0                   | \$2,694,300        | 388             | \$6,718,846        | 258              |
| Alabama, Southern    | \$1,391,294      | 150             | \$1,280,360           | \$0                   | \$478,741          | 231             | \$1,762,629        | 244              |
| Alaska               | \$4,035,814      | 40              | \$1,486,691           | \$0                   | \$2,566,236        | 52              | \$6,103,088        | 146              |
| Arizona              | \$11,737,074     | 245             | \$8,240,774           | \$0                   | \$2,310,715        | 263             | \$28,868,182       | 602              |
| Arkansas, Eastern    | \$15,112,067     | 347             | \$7,725,593           | \$1,329,135           | \$4,430,711        | 330             | \$113,461,541      | 403              |
| Arkansas, Western    | \$9,715,481      | 133             | \$7,679,044           | \$459,612             | \$2,021,967        | 166             | \$812,312          | 69               |
| California, Central  | \$190,540,057    | 638             | \$112,652,047         | \$48,136              | \$46,255,482       | 2,948           | \$265,380,071      | 22,731           |
| California, Eastern  | \$47,172,043     | 107             | \$38,961,367          | \$1,378,540           | \$8,889,386        | 114             | \$9,125,926        | 285              |
| California, Northern | \$159,832,660    | 156             | \$152,619,349         | \$17,462,162          | \$4,937,578        | 654             | \$208,056,942      | 8,007            |
| California, Southern | \$5,186,842      | 122             | \$5,271,224           | \$0                   | \$1,715,982        | 243             | \$5,215,796        | 205              |
| Colorado             | \$46,523,339     | 38              | \$44,896,759          | \$0                   | \$90,089           | 44              | \$6,394,181        | 171              |
| Connecticut          | \$36,023,043     | 374             | \$15,804,559          | \$1,594,701           | \$3,555,672        | 261             | \$54,664,889       | 566              |
| Delaware             | \$4,430,093      | 44              | \$4,376,466           | \$0                   | \$1,125,530        | 139             | \$388,451          | 78               |
| District of Columbia | \$2,999,027      | 49              | \$11,559,117          | \$8,135               | \$2,244,072        | 236             | \$25,574,605       | 2,442            |
| Florida, Middle      | \$138,241,774    | 1,154           | \$47,182,381          | \$101,450             | \$8,151,407        | 550             | \$143,445,834      | 3,375            |
| Florida, Northern    | \$13,732,401     | 380             | \$6,477,146           | \$0                   | \$7,175,208        | 377             | \$14,445,621       | 589              |
| Florida, Southern    | \$51,652,935     | 450             | \$32,633,266          | \$944,022             | \$22,047,848       | 1,793           | \$132,583,503      | 11,181           |
| Georgia, Middle      | \$1,537,657      | 174             | \$1,246,612           | \$0                   | \$1,700,411        | 252             | \$2,661,086        | 285              |
| Georgia, Northern    | \$23,882,955     | 561             | \$22,836,045          | \$3,657               | \$3,379,588        | 618             | \$35,444,868       | 2,064            |
| Georgia, Southern    | \$2,374,395      | 118             | \$280,320             | \$50                  | \$719,748          | 134             | \$8,057,813        | 210              |
| Guam*                | \$2,172,225      | 38              | \$205,497             | \$0                   | \$1,225,669        | 82              | \$3,630,367        | 75               |
| Hawaii               | \$8,836,325      | 112             | \$6,792,999           | \$112,874             | \$3,154,067        | 126             | \$8,069,957        | 202              |
| Idaho                | \$1,280,694      | 62              | \$831,541             | \$411,541             | \$1,852,548        | 123             | \$7,037,242        | 190              |
| Illinois, Central    | \$10,517,575     | 288             | \$8,420,658           | \$125,550             | \$7,828,277        | 374             | \$4,194,810        | 271              |
| Illinois, Northern   | \$54,160,992     | 844             | \$21,627,312          | \$87,889              | \$8,750,205        | 574             | \$180,725,571      | 3,176            |
| Illinois, Southern   | \$5,650,761      | 134             | \$3,439,306           | \$146,835             | \$2,521,179        | 377             | \$1,901,937        | 197              |
| Indiana, Northern    | \$18,083,374     | 278             | \$14,648,219          | \$355,499             | \$1,804,180        | 347             | \$7,875,293        | 513              |
| Indiana, Southern    | \$22,454,923     | 573             | \$9,450,811           | \$2,207,858           | \$8,893,424        | 893             | \$21,285,261       | 668              |
| Iowa, Northern       | \$1,834,883      | 153             | \$1,265,705           | \$0                   | \$1,651,181        | 225             | \$2,472,923        | 183              |
| Iowa, Southern       | \$5,250,086      | 229             | \$4,160,803           | \$367,277             | \$1,406,374        | 283             | \$10,310,774       | 491              |
| Kansas               | \$9,288,535      | 436             | \$5,363,731           | \$48,500              | \$4,872,092        | 605             | \$5,308,316        | 293              |
| Kentucky, Eastern    | \$15,480,481     | 561             | \$5,978,677           | \$36,546              | \$8,059,232        | 588             | \$27,311,500       | 606              |

Table 12D (Continued)

| District                  | Amount<br>Opened | Debts<br>Opened | Collected     | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|---------------------------|------------------|-----------------|---------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Kentucky, Western         | \$55,599,094     | 324             | \$26,135,720  | \$206,950             | \$4,302,938        | 309             | \$32,185,672       | 497              |
| Louisiana, Eastern        | \$7,925,018      | 353             | \$5,287,510   | \$0                   | \$3,508,299        | 378             | \$10,368,676       | 830              |
| Louisiana, Middle         | \$33,901,243     | 31              | \$31,448,924  | \$0                   | \$376,140          | 75              | \$9,184,797        | 86               |
| Louisiana, Western        | \$4,649,976      | 366             | \$4,410,737   | \$0                   | \$2,267,471        | 530             | \$5,888,102        | 816              |
| Maine                     | \$5,583,626      | 150             | \$2,020,694   | \$268,255             | \$3,190,466        | 135             | \$3,762,440        | 281              |
| Maryland                  | \$9,275,814      | 317             | \$5,820,905   | \$0                   | \$1,417,195        | 213             | \$32,461,025       | 1,821            |
| Massachusetts             | \$30,518,672     | 101             | \$100,211,742 | \$0                   | \$1,278,589        | 188             | \$35,648,571       | 564              |
| Michigan, Eastern         | \$25,862,200     | 381             | \$19,387,075  | \$40,538              | \$9,554,326        | 1,133           | \$105,064,926      | 15,895           |
| Michigan, Western         | \$4,089,003      | 240             | \$4,254,962   | \$0                   | \$2,273,464        | 427             | \$11,385,414       | 647              |
| Minnesota                 | \$8,913,421      | 609             | \$7,133,407   | \$0                   | \$4,733,571        | 1,249           | \$17,961,215       | 1,783            |
| Mississippi, Northern     | \$1,280,293      | 89              | \$1,080,973   | \$0                   | \$279,360          | 124             | \$1,846,818        | 107              |
| Mississippi, Southern     | \$13,073,832     | 166             | \$13,278,161  | \$84,315              | \$2,953,454        | 514             | \$4,254,898        | 379              |
| Missouri, Eastern         | \$4,409,195      | 598             | \$3,466,761   | \$0                   | \$2,534,968        | 548             | \$15,326,551       | 1,380            |
| Missouri, Western         | \$4,421,382      | 265             | \$4,824,945   | \$0                   | \$3,190,933        | 274             | \$14,794,373       | 998              |
| Montana                   | \$11,275,589     | 128             | \$7,937,948   | \$362,347             | \$3,461,482        | 139             | \$5,059,814        | 166              |
| Nebraska                  | \$9,694,874      | 277             | \$5,116,735   | \$763,997             | \$7,440,963        | 439             | \$6,083,915        | 224              |
| Nevada                    | \$703,988        | 54              | \$640,726     | \$0                   | \$1,132,889        | 137             | \$1,489,223        | 89               |
| New Hampshire             | \$9,631,934      | 52              | \$2,998,238   | \$0                   | \$6,324,829        | 80              | \$3,368,881        | 162              |
| New Jersey                | \$38,942,201     | 490             | \$50,659,012  | \$0                   | \$5,955,375        | 1,089           | \$138,238,941      | 1,168            |
| New Mexico                | \$2,236,323      | 250             | \$7,844,542   | \$1,100,000           | \$1,393,947        | 257             | \$4,848,498        | 484              |
| New York, Eastern         | \$15,922,041     | 194             | \$13,970,328  | \$32,118              | \$30,867,509       | 1,244           | \$119,837,910      | 10,617           |
| New York, Northern        | \$31,307,171     | 137             | \$7,577,946   | \$41,202              | \$6,053,141        | 100             | \$72,036,685       | 1,086            |
| New York, Southern        | \$24,848,933     | 347             | \$28,254,234  | \$566                 | \$42,453,106       | 479             | \$72,290,470       | 1,254            |
| New York, Western         | \$19,248,970     | 253             | \$14,076,722  | \$0                   | \$4,723,897        | 211             | \$9,185,931        | 742              |
| North Carolina, Eastern   | \$4,442,848      | 356             | \$3,991,117   | \$0                   | \$2,786,261        | 414             | \$25,749,892       | 975              |
| North Carolina, Middle    | \$3,427,976      | 165             | \$2,980,650   | \$0                   | \$1,291,018        | 237             | \$2,591,975        | 363              |
| North Carolina, Western   | \$3,674,754      | 80              | \$2,683,317   | \$0                   | \$379,560          | 76              | \$7,784,474        | 569              |
| North Dakota              | \$10,627,878     | 181             | \$4,559,478   | \$170,496             | \$3,476,057        | 205             | \$25,369,620       | 363              |
| Northern Mariana Islands* | \$0              | 0               | \$0           | \$0                   | \$0                | 0               | \$0                | 0                |
| Ohio, Northern            | \$30,667,083     | 1,554           | \$28,579,699  | \$0                   | \$4,542,003        | 964             | \$34,915,695       | 4,084            |
| Ohio, Southern            | \$16,488,921     | 416             | \$11,125,137  | \$0                   | \$4,036,537        | 496             | \$30,781,844       | 1,376            |
| Oklahoma, Eastern         | \$8,407,427      | 96              | \$3,289,354   | \$373,880             | \$5,402,368        | 129             | \$1,588,668        | 103              |
| Oklahoma, Northern        | \$20,121,937     | 187             | \$18,329,010  | \$663,250             | \$1,993,223        | 324             | \$1,227,102        | 111              |
| Oklahoma, Western         | \$24,122,362     | 217             | \$18,844,588  | \$1,179,707           | \$7,007,624        | 271             | \$14,700,664       | 500              |
| Oregon                    | \$9,500,603      | 267             | \$15,990,437  | \$0                   | \$3,456,037        | 279             | \$4,773,875        | 160              |

**Table 12D (Continued)**

| District                | Amount<br>Opened | Debts<br>Opened | Collected       | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|-------------------------|------------------|-----------------|-----------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Pennsylvania, Eastern   | \$36,077,550     | 343             | \$31,185,933    | \$0                   | \$40,577,934       | 535             | \$72,331,914       | 833              |
| Pennsylvania, Middle    | \$9,749,324      | 327             | \$4,994,377     | \$236,298             | \$2,428,889        | 287             | \$20,721,862       | 534              |
| Pennsylvania, Western   | \$10,602,309     | 810             | \$6,055,566     | \$23,150              | \$11,558,938       | 1,049           | \$18,661,518       | 1,074            |
| Puerto Rico             | \$17,267,564     | 410             | \$11,618,715    | \$1,051,667           | \$5,420,860        | 554             | \$15,062,036       | 355              |
| Rhode Island            | \$1,922,543      | 78              | \$2,515,858     | \$0                   | \$79,540           | 101             | \$24,459,052       | 192              |
| South Carolina          | \$27,572,968     | 867             | \$21,063,353    | \$113,372             | \$9,418,405        | 931             | \$44,910,622       | 1,046            |
| South Dakota            | \$13,475,339     | 81              | \$12,208,676    | \$119,394             | \$1,611,541        | 72              | \$4,804,210        | 72               |
| Tennessee, Eastern      | \$5,926,344      | 89              | \$1,849,238     | \$0                   | \$1,280,023        | 124             | \$13,150,462       | 257              |
| Tennessee, Middle       | \$3,470,301      | 250             | \$2,198,391     | \$0                   | \$1,113,789        | 227             | \$5,091,513        | 325              |
| Tennessee, Western      | \$6,499,561      | 276             | \$6,351,346     | \$0                   | \$339,528          | 196             | \$11,232,133       | 954              |
| Texas, Eastern          | \$241,080,424    | 72              | \$240,703,861   | \$0                   | \$934,604          | 132             | \$3,002,797        | 68               |
| Texas, Northern         | \$15,733,686     | 1,032           | \$9,156,756     | \$0                   | \$52,748,551       | 1,158           | \$34,282,133       | 1,754            |
| Texas, Southern         | \$126,570,594    | 245             | \$33,474,100    | \$81,746              | \$74,022,639       | 1724            | \$400,453,357      | 17,223           |
| Texas, Western          | \$3,625,166      | 571             | \$3,323,626     | \$0                   | \$1,659,200        | 662             | \$25,181,638       | 1,548            |
| Utah                    | \$2,691,273      | 108             | \$813,274       | \$0                   | \$1,669,141        | 126             | \$6,257,560        | 199              |
| Vermont                 | \$4,330,292      | 121             | \$1,569,597     | \$2,691,421           | \$877,380          | 148             | \$912,167          | 75               |
| Virgin Islands          | \$1,363,809      | 23              | \$573,892       | \$140,017             | \$203,870          | 14              | \$2,899,496        | 41               |
| Virginia, Eastern       | \$14,208,633     | 288             | \$19,635,782    | \$292,232             | \$10,743,959       | 320             | \$52,742,411       | 1,165            |
| Virginia, Western       | \$5,253,827      | 54              | \$3,351,440     | \$0                   | \$1,212,927        | 172             | \$6,498,599        | 102              |
| Washington, Eastern     | \$2,713,384      | 84              | \$2,460,994     | \$0                   | \$1,382,587        | 126             | \$1,788,289        | 100              |
| Washington, Western     | \$4,381,385      | 104             | \$5,114,836     | \$0                   | \$575,136          | 125             | \$11,334,165       | 139              |
| West Virginia, Northern | \$934,886        | 51              | \$789,294       | \$0                   | \$279,879          | 94              | \$1,552,022        | 188              |
| West Virginia, Southern | \$3,613,237      | 170             | \$1,168,109     | \$494,946             | \$2,128,118        | 175             | \$7,291,764        | 249              |
| Wisconsin, Eastern      | \$2,784,758      | 448             | \$2,761,258     | \$262,219             | \$2,809,488        | 529             | \$6,710,224        | 448              |
| Wisconsin, Western      | \$5,134,490      | 203             | \$3,486,648     | \$0                   | \$1,396,554        | 325             | \$4,924,924        | 256              |
| Wyoming                 | \$77,459         | 30              | \$27,790        | \$0                   | \$81,061           | 30              | \$4,277,094        | 108              |
| All Districts           | \$2,031,476,566  | 26,223          | \$1,541,558,813 | \$38,024,054          | \$597,736,841      | 38,265          | \$3,033,850,897    | 140,958          |

Data on this table excludes Asset Forfeitures.

Collected amount includes payments received by the United States Attorneys, the Courts, and other agencies.

Data for the following districts should not be compared with data reported for Fiscal Year 2000 due to a data extraction error in Fiscal Year 2000: California, Central; California, Northern;

District of Columbia; Florida, Southern; Michigan, Eastern; New York, Eastern; and Texas, Western.

Civil data for the following districts was provided by the Justice Management Division: California, Central; California, Northern; District of Columbia; Florida, Southern; Illinois, Northern; Michigan, Eastern;

New York, Eastern; New York, Northern; and Texas, Western.

Other decreases includes transfers, closed as uncollectible, compromised, returned to agency, etc.

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

**Table 12E**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Grand Total**

| District             | Amount<br>Opened | Debts<br>Opened | Property<br>Collected | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|----------------------|------------------|-----------------|-----------------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Alabama, Middle      | \$9,975,004      | 398             | \$1,064,110           | \$0                   | \$6,712,781        | 546             | \$15,442,412       | 754              |
| Alabama, Northern    | \$111,226,588    | 1,123           | \$39,501,118          | -\$24,000             | \$3,994,115        | 972             | \$139,094,151      | 2,787            |
| Alabama, Southern    | \$3,660,158      | 534             | \$5,324,233           | \$0                   | \$2,188,183        | 572             | \$24,333,747       | 995              |
| Alaska               | \$8,448,128      | 406             | \$2,357,463           | \$0                   | \$2,953,487        | 373             | \$29,051,580       | 743              |
| Arizona              | \$62,284,344     | 3,037           | \$19,073,969          | \$146                 | \$13,421,806       | 2,255           | \$223,582,974      | 6,060            |
| Arkansas, Eastern    | \$18,914,031     | 698             | \$8,300,749           | \$1,329,135           | \$4,596,630        | 618             | \$133,205,746      | 1,207            |
| Arkansas, Western    | \$14,334,189     | 395             | \$8,245,107           | \$459,612             | \$2,163,049        | 369             | \$11,932,781       | 785              |
| California, Central  | \$430,773,586    | 3,120           | \$137,995,836         | \$314,213             | \$79,978,747       | 5,070           | \$1,839,049,316    | 30,708           |
| California, Eastern  | \$111,477,337    | 1,126           | \$41,422,655          | \$1,334,752           | \$10,088,955       | 1,085           | \$177,499,359      | 2,361            |
| California, Northern | \$260,308,324    | 1,505           | \$222,997,915         | \$17,467,310          | \$9,720,024        | 1,630           | \$769,993,223      | 12,567           |
| California, Southern | \$92,531,030     | 2,187           | \$17,554,218          | \$37,566              | \$6,765,086        | 1,930           | \$211,643,778      | 3,515            |
| Colorado             | \$53,716,065     | 902             | \$46,023,332          | \$0                   | \$1,704,699        | 842             | \$86,659,848       | 1,354            |
| Connecticut          | \$55,159,795     | 944             | \$22,511,609          | \$1,602,839           | \$5,189,925        | 663             | \$176,592,831      | 1,649            |
| Delaware             | \$7,352,597      | 183             | \$4,755,112           | \$5,632               | \$2,294,881        | 301             | \$15,567,202       | 332              |
| District of Columbia | \$21,507,926     | 696             | \$25,186,359          | \$8,135               | \$29,757,892       | 1,048           | \$355,422,021      | 4,476            |
| Florida, Middle      | \$260,442,178    | 3,043           | \$86,179,900          | \$766,328             | \$13,814,244       | 1,994           | \$1,015,376,889    | 8,503            |
| Florida, Northern    | \$1,454,320,596  | 1,127           | \$11,229,041          | \$0                   | \$10,653,307       | 897             | \$1,559,126,558    | 2,256            |
| Florida, Southern    | \$369,656,771    | 4,334           | \$60,251,733          | \$1,041,462           | \$73,921,561       | 4,754           | \$1,389,371,541    | 19,955           |
| Georgia, Middle      | \$5,912,022      | 920             | \$3,415,310           | \$0                   | \$2,288,671        | 899             | \$20,749,059       | 1,239            |
| Georgia, Northern    | \$76,783,115     | 1,765           | \$30,510,704          | \$107,780             | \$5,296,377        | 1,673           | \$305,725,443      | 5,905            |
| Georgia, Southern    | \$4,454,721      | 839             | \$894,453             | \$786,741             | \$3,022,953        | 742             | \$41,718,212       | 1,274            |
| Guam*                | \$2,946,714      | 198             | \$623,295             | \$0                   | \$1,496,237        | 278             | \$8,311,122        | 461              |
| Hawaii               | \$11,219,379     | 679             | \$7,811,152           | \$115,153             | \$3,398,311        | 607             | \$34,672,357       | 1,303            |
| Idaho                | \$2,520,338      | 317             | \$1,477,483           | \$411,541             | \$1,960,892        | 348             | \$21,562,569       | 829              |
| Illinois, Central    | \$19,356,535     | 733             | \$10,060,703          | \$125,550             | \$9,791,328        | 805             | \$69,992,648       | 1,248            |
| Illinois, Northern   | \$184,660,981    | 2,510           | \$32,437,166          | \$87,889              | \$21,516,841       | 1,867           | \$720,821,985      | 7,824            |
| Illinois, Southern   | \$17,863,037     | 858             | \$5,030,015           | \$146,835             | \$5,808,261        | 932             | \$56,260,840       | 2,137            |
| Indiana, Northern    | \$25,876,002     | 765             | \$15,970,754          | \$355,499             | \$3,644,495        | 850             | \$62,671,719       | 1,632            |
| Indiana, Southern    | \$72,566,204     | 1,155           | \$10,828,522          | \$2,207,858           | \$9,319,139        | 1,296           | \$99,744,004       | 1,974            |
| Iowa, Northern       | \$19,411,281     | 565             | \$1,771,803           | \$0                   | \$2,223,610        | 566             | \$40,318,410       | 825              |
| Iowa, Southern       | \$6,943,004      | 591             | \$4,784,884           | \$367,277             | \$1,670,371        | 660             | \$26,373,474       | 1,040            |
| Kansas               | \$18,166,847     | 1,121           | \$6,883,595           | \$48,500              | \$7,042,619        | 1,069           | \$96,217,349       | 1,637            |
| Kentucky, Eastern    | \$20,296,715     | 1,336           | \$6,888,704           | \$60,527              | \$10,964,670       | 1,167           | \$71,325,811       | 1,738            |

Table 12E (Continued)

| District                  | Amount<br>Opened | Debts<br>Opened | Collected     | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|---------------------------|------------------|-----------------|---------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Kentucky, Western         | \$60,236,417     | 1,370           | \$28,210,096  | \$319,221             | \$5,513,987        | 1,212           | \$70,083,401       | 1,636            |
| Louisiana, Eastern        | \$18,654,017     | 1,360           | \$8,898,363   | \$0                   | \$4,453,351        | 1,125           | \$130,880,455      | 2,886            |
| Louisiana, Middle         | \$38,296,608     | 337             | \$33,124,444  | \$571                 | \$1,411,517        | 267             | \$47,378,251       | 583              |
| Louisiana, Western        | \$22,624,142     | 1,678           | \$5,202,069   | \$135,000             | \$3,192,606        | 1,779           | \$83,055,065       | 1,689            |
| Maine                     | \$7,361,861      | 482             | \$2,294,325   | \$268,255             | \$3,292,223        | 377             | \$25,880,786       | 852              |
| Maryland                  | \$31,256,175     | 1,241           | \$8,603,254   | \$25,000              | \$3,766,541        | 936             | \$127,813,767      | 3,974            |
| Massachusetts             | \$36,781,250     | 843             | \$167,863,995 | \$0                   | \$1,929,986        | 720             | \$750,162,748      | 3,763            |
| Michigan, Eastern         | \$45,042,608     | 1,542           | \$24,634,091  | \$40,538              | \$14,343,359       | 2,288           | \$367,194,247      | 18,843           |
| Michigan, Western         | \$13,254,266     | 920             | \$8,611,410   | \$0                   | \$4,869,320        | 952             | \$55,441,152       | 2,412            |
| Minnesota                 | \$38,437,649     | 1,183           | \$9,683,106   | \$0                   | \$8,134,221        | 1,727           | \$130,369,454      | 2,964            |
| Mississippi, Northern     | \$2,146,992      | 312             | \$1,380,301   | \$0                   | \$418,698          | 348             | \$18,455,561       | 570              |
| Mississippi, Southern     | \$33,722,783     | 931             | \$18,086,931  | \$84,315              | \$3,052,591        | 1,054           | \$53,381,199       | 1,917            |
| Missouri, Eastern         | \$31,446,616     | 1,378           | \$6,339,028   | \$0                   | \$6,130,276        | 1,279           | \$103,354,389      | 2,983            |
| Missouri, Western         | \$14,427,871     | 1,248           | \$6,153,199   | \$1,991               | \$12,212,656       | 1,081           | \$108,463,556      | 2,775            |
| Montana                   | \$13,309,560     | 640             | \$8,657,616   | \$362,347             | \$3,618,739        | 543             | \$22,649,161       | 1,007            |
| Nebraska                  | \$42,178,700     | 834             | \$5,867,217   | \$773,265             | \$7,561,196        | 892             | \$54,487,890       | 1,094            |
| Nevada                    | \$54,833,199     | 1,024           | \$2,365,425   | \$0                   | \$3,775,877        | 882             | \$189,890,986      | 2,877            |
| New Hampshire             | \$17,296,203     | 263             | \$3,593,367   | \$0                   | \$6,364,845        | 238             | \$31,940,601       | 581              |
| New Jersey                | \$74,364,257     | 1,856           | \$55,573,541  | \$361,498             | \$8,538,533        | 2,142           | \$338,291,828      | 5,497            |
| New Mexico                | \$5,235,331      | 1,466           | \$8,813,253   | \$1,100,000           | \$1,599,780        | 1,241           | \$23,181,869       | 3,135            |
| New York, Eastern         | \$387,094,066    | 2,120           | \$27,887,859  | \$157,129             | \$44,387,408       | 2,990           | \$1,537,754,433    | 15,780           |
| New York, Northern        | \$84,064,022     | 1,051           | \$12,005,686  | \$65,720              | \$6,828,523        | 889             | \$160,171,407      | 2,095            |
| New York, Southern        | \$387,471,420    | 2,797           | \$40,358,323  | \$110,327             | \$48,334,936       | 2,335           | \$3,627,660,611    | 6,719            |
| New York, Western         | \$24,561,736     | 1,004           | \$15,990,102  | \$0                   | \$5,659,725        | 875             | \$58,884,454       | 2,077            |
| North Carolina, Eastern** | \$17,373,988     | 960             | \$4,569,931   | \$0                   | \$2,859,013        | 669             | \$45,343,420       | 1,860            |
| North Carolina, Middle    | \$13,229,087     | 766             | \$3,640,049   | \$0                   | \$1,586,051        | 649             | \$30,069,133       | 1,547            |
| North Carolina, Western   | \$9,151,118      | 523             | \$3,532,087   | \$0                   | \$781,235          | 703             | \$65,797,809       | 2,655            |
| North Dakota              | \$13,119,966     | 436             | \$4,949,432   | \$170,496             | \$3,778,817        | 424             | \$37,013,900       | 843              |
| Northern Mariana Islands* | \$0              | 0               | \$0           | \$0                   | \$0                | 0               | \$0                | 0                |
| Ohio, Northern            | \$104,606,080    | 3,106           | \$32,629,491  | \$0                   | \$7,922,972        | 1,922           | \$283,105,442      | 7,583            |
| Ohio, Southern            | \$30,947,863     | 1,200           | \$13,214,450  | \$0                   | \$9,901,970        | 1,226           | \$113,159,524      | 3,730            |
| Oklahoma, Eastern         | \$14,809,641     | 250             | \$4,197,992   | \$373,880             | \$5,628,931        | 232             | \$10,020,256       | 313              |
| Oklahoma, Northern        | \$29,171,568     | 444             | \$19,325,173  | \$663,250             | \$2,861,421        | 637             | \$39,649,697       | 851              |
| Oklahoma, Western         | \$27,129,599     | 529             | \$19,484,769  | \$1,179,707           | \$7,912,431        | 549             | \$74,361,758       | 1,249            |
| Oregon                    | \$118,761,959    | 1,311           | \$17,166,551  | \$0                   | \$3,825,493        | 1,058           | \$140,403,540      | 2,888            |



**Table 12E (Continued)**

| District                | Amount<br>Opened | Debts<br>Opened | Collected       | Property<br>Recovered | Other<br>Decreases | Debts<br>Closed | Current<br>Balance | Debts<br>Pending |
|-------------------------|------------------|-----------------|-----------------|-----------------------|--------------------|-----------------|--------------------|------------------|
| Pennsylvania, Eastern   | \$203,609,695    | 1,842           | \$194,558,520   | \$0                   | \$45,210,142       | 1,558           | \$541,392,086      | 5,762            |
| Pennsylvania, Middle    | \$21,817,786     | 967             | \$6,045,142     | \$236,298             | \$2,869,551        | 796             | \$53,908,356       | 1,643            |
| Pennsylvania, Western   | \$15,533,271     | 1,261           | \$59,929,597    | \$23,150              | \$18,086,251       | 1,497           | \$60,031,487       | 2,001            |
| Puerto Rico             | \$18,190,082     | 1,617           | \$12,343,327    | \$1,051,667           | \$5,797,726        | 1,452           | \$146,235,733      | 1,956            |
| Rhode Island            | \$20,157,153     | 263             | \$3,230,597     | \$0                   | \$179,955          | 262             | \$164,004,214      | 1,094            |
| South Carolina          | \$35,818,261     | 2,317           | \$22,784,242    | \$113,372             | \$9,752,681        | 1,965           | \$100,495,914      | 3,556            |
| South Dakota            | \$60,792,210     | 621             | \$13,352,804    | \$119,394             | \$1,856,353        | 513             | \$70,353,072       | 1,017            |
| Tennessee, Eastern      | \$27,452,913     | 882             | \$3,004,885     | \$0                   | \$4,469,092        | 646             | \$80,221,508       | 1,606            |
| Tennessee, Middle       | \$44,978,057     | 638             | \$30,467,851    | \$0                   | \$1,707,601        | 505             | \$43,878,194       | 1,043            |
| Tennessee, Western      | \$16,832,739     | 786             | \$6,863,343     | \$0                   | \$947,562          | 620             | \$52,469,710       | 2,705            |
| Texas, Eastern          | \$250,814,218    | 863             | \$243,753,779   | \$0                   | \$11,437,006       | 848             | \$63,364,978       | 1,851            |
| Texas, Northern         | \$151,305,480    | 2,847           | \$48,767,590    | \$17,644              | \$64,157,392       | 2,627           | \$528,184,524      | 6,099            |
| Texas, Southern         | \$191,826,407    | 4,846           | \$51,297,168    | \$81,746              | \$74,397,806       | 4,940           | \$1,036,702,663    | 31,179           |
| Texas, Western          | \$88,368,872     | 7,011           | \$42,694,113    | \$0                   | \$4,269,657        | 4,655           | \$450,649,579      | 18,584           |
| Utah                    | \$8,529,634      | 1,308           | \$2,159,971     | \$6,499               | \$4,013,379        | 1,048           | \$43,689,606       | 2,567            |
| Vermont                 | \$6,618,072      | 288             | \$3,051,144     | \$2,691,421           | \$2,375,097        | 300             | \$25,666,317       | 299              |
| Virgin Islands          | \$2,880,823      | 184             | \$1,064,683     | \$140,017             | \$597,171          | 101             | \$13,399,942       | 459              |
| Virginia, Eastern       | \$42,396,389     | 5,158           | \$23,582,691    | \$388,689             | \$17,695,139       | 4,313           | \$211,931,827      | 7,386            |
| Virginia, Western       | \$13,897,462     | 895             | \$7,671,256     | \$0                   | \$5,049,468        | 1,058           | \$41,267,084       | 1,752            |
| Washington, Eastern     | \$13,916,810     | 633             | \$3,312,787     | \$0                   | \$5,029,301        | 601             | \$29,251,626       | 925              |
| Washington, Western     | \$32,226,021     | 2,023           | \$13,850,959    | \$742,581             | \$7,663,652        | 1,688           | \$280,528,611      | 2,971            |
| West Virginia, Northern | \$2,461,487      | 285             | \$2,211,757     | \$0                   | \$424,458          | 337             | \$33,382,269       | 666              |
| West Virginia, Southern | \$7,889,723      | 650             | \$2,359,238     | \$560,957             | \$4,012,027        | 568             | \$52,634,729       | 1,323            |
| Wisconsin, Eastern      | \$12,449,662     | 1,020           | \$5,746,022     | \$262,219             | \$3,572,709        | 946             | \$74,851,524       | 2,015            |
| Wisconsin, Western      | \$11,007,515     | 442             | \$5,087,806     | \$0                   | \$3,365,605        | 519             | \$30,943,525       | 644              |
| Wyoming                 | \$5,081,680      | 458             | \$631,171       | \$0                   | \$1,636,916        | 431             | \$20,168,834       | 849              |
| All Districts           | \$7,004,316,583  | 117,620         | \$2,342,092,377 | \$41,792,444          | \$926,387,071      | 110,713         | \$23,054,770,618   | 346,253          |

Data on this table excludes Asset Forfeitures.

Collected amount includes payments received by the United States Attorneys, the Courts, and other agencies.

A negative number results when adjustments to amounts recorded in prior fiscal years exceed actual Fiscal Year 2001 totals.

Data for the following districts should not be compared with data reported for Fiscal Year 2000 due to a data extraction error in Fiscal Year 2000: California, Central; California, Northern;

District of Columbia; Florida, Southern; Michigan, Eastern; New York, Eastern; and Texas, Western.

Civil data for the following districts was provided by the Justice Management Division: California, Central; California, Northern; District of Columbia; Florida, Southern; Illinois, Northern; Michigan, Eastern;

New York, Eastern; New York, Northern; and Texas, Western.

Other decreases includes transfers, remands, presidential pardons, closed as uncollectible, compromised, returned to agency, death of debtor, etc.

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

\*\*Criminal collections data for the Eastern District of North Carolina is a compilation of Fine Center and district data.

**Table 12F**  
**United States Attorney Debt Collection for Fiscal Year 2001**  
**Criminal Debts in Suspense**

| District             | Criminal Debts Owed U.S. |                 | Federal Restitution |               | Non-Federal Restitution |               |
|----------------------|--------------------------|-----------------|---------------------|---------------|-------------------------|---------------|
|                      | Number                   | Balance         | Number              | Balance       | Number                  | Balance       |
| Alabama, Middle      | 29                       | \$2,045,240     | 40                  | \$3,427,914   | 112                     | \$5,485,088   |
| Alabama, Northern    | 927                      | \$19,116,807    | 140                 | \$5,709,726   | 526                     | \$49,618,935  |
| Alabama, Southern    | 57                       | \$5,171,422     | 15                  | \$529,216     | 95                      | \$14,345,734  |
| Alaska               | 47                       | \$2,490,644     | 30                  | \$8,680,386   | 74                      | \$3,883,990   |
| Arizona              | 696                      | \$29,972,422    | 97                  | \$7,528,415   | 339                     | \$115,470,809 |
| Arkansas, Eastern    | 46                       | \$4,389,303     | 10                  | \$219,688     | 33                      | \$1,541,708   |
| Arkansas, Western    | 606                      | \$1,428,359     | 12                  | \$299,878     | 88                      | \$8,570,793   |
| California, Central  | 451                      | \$127,236,165   | 226                 | \$143,420,218 | 780                     | \$936,923,730 |
| California, Eastern  | 1,879                    | \$4,109,571     | 353                 | \$56,299,199  | 498                     | \$106,598,285 |
| California, Northern | 1,388                    | \$38,621,067    | 132                 | \$45,908,757  | 513                     | \$220,181,238 |
| California, Southern | 558                      | \$10,529,558    | 89                  | \$14,661,660  | 204                     | \$90,850,352  |
| Colorado             | 90                       | \$21,780,409    | 23                  | \$14,307,010  | 121                     | \$29,458,160  |
| Connecticut          | 609                      | \$6,777,774     | 89                  | \$17,021,977  | 183                     | \$73,363,056  |
| Delaware             | 160                      | \$87,233        | 18                  | \$507,800     | 148                     | \$14,319,834  |
| District of Columbia | 161                      | \$7,952,926     | 96                  | \$269,155,087 | 314                     | \$40,780,235  |
| Florida, Middle      | 218                      | \$142,067,063   | 200                 | \$74,146,426  | 625                     | \$483,899,569 |
| Florida, Northern    | 456                      | \$1,452,845,071 | 31                  | \$36,156,557  | 116                     | \$19,558,227  |
| Florida, Southern    | 356                      | \$146,447,300   | 123                 | \$182,625,184 | 230                     | \$668,103,773 |
| Georgia, Middle      | 126                      | \$3,064,034     | 48                  | \$1,191,006   | 120                     | \$12,175,246  |
| Georgia, Northern    | 450                      | \$19,572,854    | 89                  | \$13,113,820  | 262                     | \$169,746,310 |
| Georgia, Southern    | 713                      | \$8,440,229     | 38                  | \$8,414,987   | 144                     | \$11,359,030  |
| Guam*                | 87                       | \$665,051       | 18                  | \$597,914     | 55                      | \$1,853,717   |
| Hawaii               | 221                      | \$9,665,814     | 27                  | \$1,773,834   | 232                     | \$12,572,693  |
| Idaho                | 179                      | \$638,714       | 15                  | \$3,511,404   | 61                      | \$7,820,744   |
| Illinois, Central    | 147                      | \$3,035,555     | 40                  | \$3,151,801   | 225                     | \$51,385,385  |
| Illinois, Northern   | 749                      | \$76,943,337    | 129                 | \$49,402,231  | 625                     | \$307,424,238 |
| Illinois, Southern   | 664                      | \$10,323,248    | 33                  | \$2,374,270   | 124                     | \$35,308,235  |
| Indiana, Northern    | 722                      | \$6,368,743     | 66                  | \$4,113,458   | 303                     | \$43,967,590  |
| Indiana, Southern    | 542                      | \$2,926,603     | 46                  | \$1,690,301   | 162                     | \$21,662,054  |
| Iowa, Northern       | 235                      | \$942,065       | 34                  | \$3,450,272   | 200                     | \$32,154,343  |
| Iowa, Southern       | 49                       | \$520,753       | 42                  | \$2,277,624   | 133                     | \$13,095,507  |
| Kansas               | 233                      | \$2,206,112     | 45                  | \$15,580,926  | 353                     | \$68,591,235  |
| Kentucky, Eastern    | 101                      | \$1,900,282     | 19                  | \$738,941     | 182                     | \$30,971,419  |

Table 12F (Continued)

| District                  | Criminal Debts Owed U.S. |               | Federal Restitution |               | Non-Federal Restitution |                 |
|---------------------------|--------------------------|---------------|---------------------|---------------|-------------------------|-----------------|
|                           | Number                   | Balance       | Number              | Balance       | Number                  | Balance         |
| Kentucky, Western         | 69                       | \$2,513,035   | 30                  | \$6,199,860   | 135                     | \$18,713,667    |
| Louisiana, Eastern        | 732                      | \$20,540,167  | 140                 | \$22,004,460  | 265                     | \$71,967,598    |
| Louisiana, Middle         | 32                       | \$8,193,854   | 12                  | \$1,202,574   | 50                      | \$22,693,995    |
| Louisiana, Western        | 130                      | \$4,653,905   | 74                  | \$24,918,778  | 83                      | \$33,829,600    |
| Maine                     | 55                       | \$586,929     | 11                  | \$783,818     | 75                      | \$19,442,662    |
| Maryland                  | 101                      | \$8,413,918   | 54                  | \$12,017,136  | 123                     | \$39,476,825    |
| Massachusetts             | 81                       | \$9,278,758   | 9                   | \$37,894,822  | 39                      | \$37,335,221    |
| Michigan, Eastern         | 2,409                    | \$28,585,499  | 129                 | \$25,122,758  | 751                     | \$104,221,597   |
| Michigan, Western         | 689                      | \$5,412,385   | 34                  | \$2,275,980   | 303                     | \$20,759,969    |
| Minnesota                 | 1,022                    | \$3,454,717   | 54                  | \$4,027,518   | 344                     | \$103,154,192   |
| Mississippi, Northern     | 274                      | \$1,406,817   | 22                  | \$3,417,879   | 155                     | \$11,518,694    |
| Mississippi, Southern     | 469                      | \$3,025,905   | 14                  | \$725,869     | 48                      | \$11,122,981    |
| Missouri, Eastern         | 118                      | \$1,932,441   | 20                  | \$1,195,676   | 210                     | \$67,099,297    |
| Missouri, Western         | 288                      | \$6,574,181   | 30                  | \$4,466,315   | 235                     | \$64,604,276    |
| Montana                   | 232                      | \$967,281     | 34                  | \$642,873     | 176                     | \$5,620,815     |
| Nebraska                  | 62                       | \$904,533     | 14                  | \$396,894     | 91                      | \$44,088,090    |
| Nevada                    | 730                      | \$8,341,628   | 54                  | \$3,268,318   | 984                     | \$171,238,306   |
| New Hampshire             | 24                       | \$10,821,408  | 12                  | \$3,756,436   | 39                      | \$9,961,253     |
| New Jersey                | 609                      | \$10,439,061  | 70                  | \$19,351,304  | 205                     | \$63,723,844    |
| New Mexico                | 451                      | \$6,402,028   | 12                  | \$849,411     | 107                     | \$3,875,652     |
| New York, Eastern         | 1,111                    | \$53,552,871  | 69                  | \$35,069,907  | 212                     | \$669,425,626   |
| New York, Northern        | 116                      | \$2,882,598   | 37                  | \$4,087,988   | 140                     | \$65,682,988    |
| New York, Southern        | 1,033                    | \$242,919,971 | 207                 | \$550,758,082 | 612                     | \$2,639,063,051 |
| New York, Western         | 462                      | \$2,528,134   | 43                  | \$1,496,367   | 385                     | \$41,168,884    |
| North Carolina, Eastern** | 0                        | \$0           | 0                   | \$0           | 0                       | \$0             |
| North Carolina, Middle    | 71                       | \$3,096,500   | 11                  | \$304,652     | 166                     | \$14,437,350    |
| North Carolina, Western   | 47                       | \$741,633     | 2                   | \$118,558     | 1                       | \$98,925        |
| North Dakota              | 93                       | \$73,830      | 65                  | \$4,312,312   | 134                     | \$6,976,289     |
| Northern Mariana Islands* | 0                        | \$0           | 0                   | \$0           | 0                       | \$0             |
| Ohio, Northern            | 283                      | \$10,432,892  | 121                 | \$42,488,088  | 445                     | \$124,827,106   |
| Ohio, Southern            | 134                      | \$756,366     | 59                  | \$4,360,282   | 114                     | \$7,275,725     |
| Oklahoma, Eastern         | 113                      | \$67,943      | 6                   | \$109,179     | 35                      | \$2,250,678     |
| Oklahoma, Northern        | 114                      | \$15,840,298  | 14                  | \$1,988,838   | 104                     | \$15,243,365    |
| Oklahoma, Western         | 193                      | \$5,476,965   | 69                  | \$7,492,218   | 242                     | \$46,316,302    |
| Oregon                    | 1,195                    | \$1,304,996   | 65                  | \$1,905,307   | 508                     | \$129,863,039   |

Table 12F (Continued)

| District                | Criminal Debts Owed U.S. |                 | Federal Restitution |                 | Non-Federal Restitution |                 |
|-------------------------|--------------------------|-----------------|---------------------|-----------------|-------------------------|-----------------|
|                         | Number                   | Balance         | Number              | Balance         | Number                  | Balance         |
| Pennsylvania, Eastern   | 1,158                    | \$205,857,161   | 294                 | \$58,217,299    | 322                     | \$157,526,411   |
| Pennsylvania, Middle    | 505                      | \$1,532,624     | 81                  | \$4,774,297     | 291                     | \$26,199,432    |
| Pennsylvania, Western   | 104                      | \$6,285,347     | 49                  | \$3,521,962     | 182                     | \$28,439,280    |
| Puerto Rico             | 142                      | \$111,074,471   | 14                  | \$1,740,075     | 32                      | \$5,796,952     |
| Rhode Island            | 329                      | \$42,589,801    | 5                   | \$3,540,761     | 34                      | \$27,821,397    |
| South Carolina          | 135                      | \$10,247,980    | 41                  | \$2,918,718     | 175                     | \$7,702,844     |
| South Dakota            | 203                      | \$1,503,268     | 43                  | \$44,995,969    | 246                     | \$17,045,950    |
| Tennessee, Eastern      | 57                       | \$2,085,869     | 46                  | \$3,980,794     | 73                      | \$42,974,583    |
| Tennessee, Middle       | 94                       | \$9,412,672     | 21                  | \$1,899,532     | 75                      | \$14,068,728    |
| Tennessee, Western      | 617                      | \$4,288,302     | 54                  | \$5,207,022     | 241                     | \$16,024,149    |
| Texas, Eastern          | 503                      | \$5,847,152     | 61                  | \$5,241,957     | 256                     | \$33,631,236    |
| Texas, Northern         | 350                      | \$12,658,380    | 53                  | \$145,818,259   | 137                     | \$43,338,586    |
| Texas, Southern         | 864                      | \$182,183,990   | 173                 | \$114,404,801   | 348                     | \$135,234,298   |
| Texas, Western          | 2,217                    | \$45,975,872    | 169                 | \$100,878,841   | 478                     | \$182,516,390   |
| Utah                    | 171                      | \$810,556       | 36                  | \$1,669,827     | 116                     | \$26,334,086    |
| Vermont                 | 207                      | \$1,817,400     | 15                  | \$290,225       | 86                      | \$22,453,367    |
| Virgin Islands          | 51                       | \$154,403       | 3                   | \$145,202       | 11                      | \$912,743       |
| Virginia, Eastern       | 447                      | \$14,022,785    | 32                  | \$9,889,477     | 153                     | \$59,285,088    |
| Virginia, Western       | 325                      | \$3,788,969     | 27                  | \$2,166,949     | 115                     | \$21,404,914    |
| Washington, Eastern     | 141                      | \$734,307       | 32                  | \$874,979       | 140                     | \$22,887,083    |
| Washington, Western     | 112                      | \$23,129,174    | 49                  | \$20,429,837    | 227                     | \$131,947,424   |
| West Virginia, Northern | 65                       | \$3,700,709     | 9                   | \$21,390,486    | 46                      | \$5,405,396     |
| West Virginia, Southern | 588                      | \$3,082,232     | 24                  | \$2,652,654     | 196                     | \$35,257,065    |
| Wisconsin, Eastern      | 439                      | \$6,014,124     | 56                  | \$761,373       | 319                     | \$46,277,439    |
| Wisconsin, Western      | 32                       | \$620,587       | 34                  | \$2,563,726     | 125                     | \$17,572,243    |
| Wyoming                 | 92                       | \$313,717       | 3                   | \$11,108        | 42                      | \$6,485,573     |
| All Districts           | 37,442                   | \$3,352,137,029 | 5,434               | \$2,380,984,477 | 20,162                  | \$9,524,661,781 |

\*Data for the Districts of Guam and Northern Mariana Islands are combined.

\*\*Data is not available for North Carolina, Eastern.

**Table 13**  
**United States Attorneys' Court-Related Work Hours**  
**Fiscal Year Ended September 30, 2001**

| District             | District<br>Court<br>Criminal | District<br>Court<br>Civil | Appellate<br>Court | Grand<br>Jury<br>Total | State<br>Court | Court<br>Travel | Bankruptcy<br>Court | Magistrate<br>Court | Special<br>Depositions,<br>Hearings | Witness<br>Preparation | Total   |
|----------------------|-------------------------------|----------------------------|--------------------|------------------------|----------------|-----------------|---------------------|---------------------|-------------------------------------|------------------------|---------|
| Alabama, Middle      | 842                           | 70                         | 7                  | 101                    | 0              | 407             | 316                 | 342                 | 140                                 | 327                    | 2,552   |
| Alabama, Northern    | 2,055                         | 158                        | 62                 | 536                    | 172            | 1,646           | 569                 | 887                 | 443                                 | 3,711                  | 10,239  |
| Alabama, Southern    | 1,269                         | 9                          | 12                 | 222                    | 17             | 161             | 255                 | 426                 | 101                                 | 375                    | 2,847   |
| Alaska               | 740                           | 69                         | 14                 | 152                    | 13             | 633             | 14                  | 344                 | 124                                 | 581                    | 2,684   |
| Arizona              | 6,968                         | 407                        | 188                | 777                    | 40             | 1,667           | 118                 | 3,926               | 705                                 | 2,519                  | 17,315  |
| Arkansas, Eastern    | 1,830                         | 75                         | 40                 | 311                    | 16             | 214             | 71                  | 360                 | 80                                  | 418                    | 3,415   |
| Arkansas, Western    | 530                           | 52                         | 7                  | 151                    | 3              | 1,400           | 38                  | 222                 | 60                                  | 309                    | 2,772   |
| California, Central  | 18,612                        | 3,836                      | 1,553              | 3,020                  | 115            | 5,129           | 709                 | 2,807               | 2,637                               | 13,103                 | 51,521  |
| California, Eastern  | 3,737                         | 317                        | 37                 | 338                    | 15             | 553             | 63                  | 781                 | 469                                 | 753                    | 7,063   |
| California, Northern | 5,833                         | 737                        | 108                | 894                    | 21             | 573             | 72                  | 2,085               | 742                                 | 1,061                  | 12,126  |
| California, Southern | 18,683                        | 149                        | 207                | 1,350                  | 110            | 815             | 14                  | 2,722               | 1,851                               | 3,080                  | 28,981  |
| Colorado             | 2,664                         | 593                        | 72                 | 330                    | 46             | 333             | 52                  | 1,196               | 498                                 | 784                    | 6,568   |
| Connecticut          | 3,078                         | 176                        | 63                 | 729                    | 18             | 695             | 209                 | 324                 | 85                                  | 468                    | 5,845   |
| Delaware             | 308                           | 34                         | 13                 | 104                    | 24             | 184             | 97                  | 172                 | 97                                  | 217                    | 1,250   |
| District of Columbia | 5,574                         | 1,033                      | 593                | 1,179                  | 0              | 306             | 2                   | 905                 | 1,299                               | 133,500                | 144,391 |
| Florida, Middle      | 7,142                         | 422                        | 123                | 976                    | 128            | 2,257           | 339                 | 4,231               | 1,226                               | 2,602                  | 19,446  |
| Florida, Northern    | 2,763                         | 64                         | 53                 | 331                    | 6              | 1,234           | 75                  | 435                 | 444                                 | 1,004                  | 6,409   |
| Florida, Southern    | 19,310                        | 409                        | 287                | 2,218                  | 293            | 3,989           | 56                  | 4,908               | 1,138                               | 9,554                  | 42,162  |
| Georgia, Middle      | 1,480                         | 86                         | 80                 | 171                    | 4              | 910             | 56                  | 230                 | 133                                 | 788                    | 3,938   |
| Georgia, Northern    | 5,109                         | 54                         | 201                | 680                    | 23             | 1,667           | 32                  | 3,052               | 224                                 | 2,541                  | 13,583  |
| Georgia, Southern    | 977                           | 29                         | 22                 | 414                    | 3              | 968             | 287                 | 463                 | 132                                 | 492                    | 3,787   |
| Guam                 | 837                           | 81                         | 2                  | 131                    | 0              | 0               | 4                   | 0                   | 0                                   | 39                     | 1,094   |
| Hawaii               | 1,562                         | 118                        | 26                 | 280                    | 14             | 321             | 0                   | 1,245               | 200                                 | 526                    | 4,292   |
| Idaho                | 849                           | 102                        | 30                 | 388                    | 8              | 689             | 106                 | 576                 | 210                                 | 823                    | 3,781   |
| Illinois, Central    | 2,651                         | 58                         | 51                 | 264                    | 9              | 741             | 69                  | 673                 | 117                                 | 1,176                  | 5,809   |
| Illinois, Northern   | 9,484                         | 2,438                      | 688                | 2,371                  | 124            | 1,510           | 83                  | 1,202               | 1,550                               | 8,722                  | 28,172  |
| Illinois, Southern   | 2,124                         | 163                        | 80                 | 351                    | 41             | 2,155           | 70                  | 437                 | 103                                 | 1,789                  | 7,313   |
| Indiana, Northern    | 2,522                         | 17                         | 204                | 402                    | 19             | 502             | 140                 | 553                 | 70                                  | 787                    | 5,216   |
| Indiana, Southern    | 740                           | 48                         | 66                 | 222                    | 19             | 1,036           | 44                  | 398                 | 75                                  | 449                    | 3,097   |
| Iowa, Northern       | 1,101                         | 15                         | 230                | 424                    | 0              | 379             | 79                  | 438                 | 32                                  | 451                    | 3,149   |
| Iowa, Southern       | 924                           | 34                         | 17                 | 312                    | 11             | 317             | 40                  | 344                 | 30                                  | 159                    | 2,188   |
| Kansas               | 1,915                         | 109                        | 20                 | 227                    | 23             | 126             | 257                 | 646                 | 137                                 | 939                    | 4,399   |
| Kentucky, Eastern    | 1,399                         | 40                         | 68                 | 419                    | 6              | 1,342           | 11                  | 417                 | 315                                 | 447                    | 4,464   |

Table 13 (Continued)

| District                 | District<br>Court<br>Criminal | District<br>Court<br>Civil | Appellate<br>Court | Grand<br>Jury<br>Total | State<br>Court | Court<br>Travel | Bankruptcy<br>Court | Magistrate<br>Court | Special<br>Depositions,<br>Hearings | Witness<br>Preparation | Total  |
|--------------------------|-------------------------------|----------------------------|--------------------|------------------------|----------------|-----------------|---------------------|---------------------|-------------------------------------|------------------------|--------|
| Kentucky, Western        | 1,446                         | 46                         | 21                 | 239                    | 13             | 1,271           | 40                  | 427                 | 133                                 | 674                    | 4,310  |
| Louisiana, Eastern       | 1,862                         | 80                         | 10                 | 417                    | 2              | 139             | 25                  | 1,016               | 107                                 | 793                    | 4,451  |
| Louisiana, Middle        | 702                           | 62                         | 0                  | 670                    | 2              | 120             | 133                 | 284                 | 72                                  | 396                    | 2,441  |
| Louisiana, Western       | 1,436                         | 119                        | 16                 | 202                    | 7              | 1,438           | 272                 | 438                 | 92                                  | 722                    | 4,742  |
| Maine                    | 934                           | 58                         | 0                  | 291                    | 9              | 293             | 83                  | 464                 | 71                                  | 633                    | 2,836  |
| Maryland                 | 4,836                         | 187                        | 77                 | 663                    | 11             | 488             | 25                  | 1,466               | 232                                 | 2,071                  | 10,056 |
| Massachusetts            | 5,526                         | 620                        | 52                 | 2,199                  | 61             | 716             | 66                  | 1,558               | 414                                 | 2,836                  | 14,048 |
| Michigan, Eastern        | 5,170                         | 384                        | 100                | 833                    | 27             | 883             | 32                  | 1,488               | 515                                 | 1,375                  | 10,807 |
| Michigan, Western        | 1,688                         | 85                         | 21                 | 479                    | 18             | 1,591           | 59                  | 894                 | 121                                 | 1,214                  | 6,170  |
| Minnesota                | 1,822                         | 97                         | 57                 | 360                    | 5              | 616             | 66                  | 747                 | 301                                 | 788                    | 4,859  |
| Mississippi, Northern    | 1,288                         | 79                         | 29                 | 305                    | 2              | 1,443           | 39                  | 438                 | 57                                  | 1,448                  | 5,128  |
| Mississippi, Southern    | 2,537                         | 112                        | 14                 | 264                    | 13             | 1,049           | 191                 | 660                 | 192                                 | 2,051                  | 7,083  |
| Missouri, Eastern        | 2,050                         | 365                        | 66                 | 530                    | 11             | 281             | 6                   | 1,381               | 311                                 | 1,769                  | 6,770  |
| Missouri, Western        | 2,131                         | 176                        | 64                 | 520                    | 23             | 666             | 79                  | 1,363               | 133                                 | 1,458                  | 6,613  |
| Montana                  | 1,890                         | 120                        | 71                 | 247                    | 12             | 917             | 6                   | 674                 | 131                                 | 543                    | 4,611  |
| Nebraska                 | 1,688                         | 41                         | 75                 | 220                    | 19             | 846             | 33                  | 1,044               | 56                                  | 762                    | 4,784  |
| Nevada                   | 2,209                         | 270                        | 73                 | 518                    | 4              | 859             | 23                  | 1,018               | 236                                 | 831                    | 6,041  |
| New Hampshire            | 806                           | 13                         | 6                  | 189                    | 11             | 188             | 14                  | 180                 | 11                                  | 446                    | 1,864  |
| New Jersey               | 7,913                         | 382                        | 72                 | 1,161                  | 72             | 1,784           | 42                  | 1,068               | 424                                 | 2,726                  | 15,644 |
| New Mexico               | 3,792                         | 194                        | 50                 | 532                    | 3              | 1,006           | 314                 | 2,155               | 187                                 | 910                    | 9,143  |
| New York, Eastern        | 7,124                         | 666                        | 207                | 784                    | 3              | 1,085           | 110                 | 1,533               | 899                                 | 2,206                  | 14,617 |
| New York, Northern       | 1,676                         | 66                         | 16                 | 650                    | 0              | 741             | 87                  | 556                 | 94                                  | 1,051                  | 4,937  |
| New York, Southern       | 16,603                        | 1,429                      | 578                | 2,271                  | 35             | 3,362           | 329                 | 4,320               | 1,623                               | 14,242                 | 44,792 |
| New York, Western        | 3,219                         | 147                        | 36                 | 624                    | 10             | 914             | 48                  | 2,517               | 238                                 | 2,648                  | 10,401 |
| North Carolina, Eastern  | 2,058                         | 329                        | 51                 | 380                    | 36             | 1,980           | 35                  | 305                 | 110                                 | 1,050                  | 6,334  |
| North Carolina, Middle   | 1,855                         | 40                         | 47                 | 235                    | 11             | 274             | 13                  | 226                 | 69                                  | 358                    | 3,128  |
| North Carolina, Western  | 1,483                         | 41                         | 64                 | 210                    | 2              | 969             | 54                  | 991                 | 10                                  | 709                    | 4,533  |
| North Dakota             | 728                           | 21                         | 22                 | 139                    | 0              | 1,073           | 10                  | 371                 | 231                                 | 405                    | 3,000  |
| Northern Mariana Islands | 0                             | 0                          | 0                  | 0                      | 0              | 0               | 0                   | 0                   | 0                                   | 0                      | 0      |
| Ohio, Northern           | 4,068                         | 345                        | 112                | 759                    | 20             | 2,035           | 234                 | 1,078               | 141                                 | 1,339                  | 10,131 |
| Ohio, Southern           | 1,792                         | 370                        | 47                 | 436                    | 110            | 131             | 144                 | 569                 | 236                                 | 1,055                  | 4,890  |
| Oklahoma, Eastern        | 519                           | 72                         | 1                  | 117                    | 0              | 227             | 21                  | 159                 | 115                                 | 311                    | 1,542  |
| Oklahoma, Northern       | 1,249                         | 154                        | 30                 | 422                    | 2              | 211             | 52                  | 340                 | 76                                  | 764                    | 3,300  |
| Oklahoma, Western        | 1,209                         | 74                         | 32                 | 209                    | 5              | 130             | 10                  | 525                 | 51                                  | 578                    | 2,823  |
| Oregon                   | 2,358                         | 167                        | 135                | 464                    | 7              | 1,025           | 41                  | 983                 | 280                                 | 1,958                  | 7,418  |

Table 13 (Continued)

| District                | District<br>Court<br>Criminal | District<br>Court<br>Civil | Appellate<br>Court | Grand<br>Jury<br>Total | State<br>Court | Court<br>Travel | Bankruptcy<br>Court | Magistrate<br>Court | Special<br>Depositions,<br>Hearings | Witness<br>Preparation | Total   |
|-------------------------|-------------------------------|----------------------------|--------------------|------------------------|----------------|-----------------|---------------------|---------------------|-------------------------------------|------------------------|---------|
| Pennsylvania, Eastern   | 5,253                         | 214                        | 50                 | 1,135                  | 19             | 429             | 21                  | 832                 | 277                                 | 1,629                  | 9,859   |
| Pennsylvania, Middle    | 1,368                         | 133                        | 18                 | 322                    | 0              | 610             | 77                  | 296                 | 138                                 | 905                    | 3,867   |
| Pennsylvania, Western   | 1,688                         | 250                        | 6                  | 336                    | 6              | 352             | 61                  | 291                 | 136                                 | 894                    | 4,020   |
| Puerto Rico             | 4,127                         | 174                        | 23                 | 413                    | 96             | 132             | 90                  | 936                 | 180                                 | 381                    | 6,552   |
| Rhode Island            | 677                           | 86                         | 55                 | 273                    | 1              | 0               | 36                  | 311                 | 55                                  | 123                    | 1,617   |
| South Carolina          | 4,625                         | 184                        | 63                 | 703                    | 15             | 1,198           | 34                  | 1,770               | 399                                 | 999                    | 9,990   |
| South Dakota            | 1,559                         | 54                         | 46                 | 296                    | 0              | 1,563           | 36                  | 637                 | 96                                  | 964                    | 5,251   |
| Tennessee, Eastern      | 2,601                         | 120                        | 141                | 627                    | 6              | 1,721           | 306                 | 1,628               | 254                                 | 1,914                  | 9,318   |
| Tennessee, Middle       | 1,565                         | 174                        | 28                 | 263                    | 1              | 277             | 39                  | 590                 | 218                                 | 1,047                  | 4,202   |
| Tennessee, Western      | 2,595                         | 71                         | 186                | 431                    | 9              | 426             | 583                 | 564                 | 107                                 | 247                    | 5,219   |
| Texas, Eastern          | 2,031                         | 62                         | 26                 | 387                    | 9              | 1,708           | 315                 | 1,276               | 101                                 | 996                    | 6,911   |
| Texas, Northern         | 3,424                         | 173                        | 41                 | 387                    | 24             | 805             | 170                 | 1,641               | 310                                 | 1,177                  | 8,152   |
| Texas, Southern         | 9,501                         | 264                        | 29                 | 1,071                  | 30             | 947             | 354                 | 4,757               | 261                                 | 1,861                  | 19,075  |
| Texas, Western          | 12,192                        | 254                        | 174                | 2,478                  | 62             | 4,010           | 229                 | 8,905               | 1,274                               | 10,115                 | 39,693  |
| Utah                    | 2,324                         | 166                        | 37                 | 357                    | 3              | 816             | 21                  | 1,687               | 336                                 | 747                    | 6,494   |
| Vermont                 | 396                           | 12                         | 14                 | 247                    | 5              | 628             | 76                  | 77                  | 107                                 | 341                    | 1,903   |
| Virgin Islands          | 1,123                         | 10                         | 40                 | 153                    | 76             | 91              | 4                   | 612                 | 97                                  | 293                    | 2,499   |
| Virginia, Eastern       | 5,325                         | 527                        | 43                 | 1,395                  | 47             | 1,033           | 287                 | 2,152               | 416                                 | 5,132                  | 16,357  |
| Virginia, Western       | 2,452                         | 64                         | 22                 | 489                    | 6              | 1,874           | 142                 | 647                 | 71                                  | 1,554                  | 7,321   |
| Washington, Eastern     | 1,571                         | 83                         | 52                 | 245                    | 11             | 877             | 365                 | 571                 | 104                                 | 802                    | 4,681   |
| Washington, Western     | 2,092                         | 80                         | 53                 | 874                    | 31             | 1,167           | 196                 | 1,329               | 739                                 | 2,058                  | 8,619   |
| West Virginia, Northern | 1,408                         | 16                         | 0                  | 235                    | 2              | 1,224           | 54                  | 313                 | 3                                   | 321                    | 3,576   |
| West Virginia, Southern | 1,536                         | 83                         | 14                 | 357                    | 8              | 951             | 94                  | 189                 | 147                                 | 736                    | 4,115   |
| Wisconsin, Eastern      | 2,169                         | 44                         | 10                 | 305                    | 18             | 326             | 49                  | 559                 | 131                                 | 661                    | 4,272   |
| Wisconsin, Western      | 518                           | 246                        | 34                 | 170                    | 25             | 432             | 39                  | 229                 | 368                                 | 442                    | 2,503   |
| Wyoming                 | 984                           | 234                        | 3                  | 172                    | 55             | 1,161           | 17                  | 286                 | 168                                 | 717                    | 3,797   |
| All Districts           | 308,383                       | 23,191                     | 8,684              | 53,435                 | 2,472          | 90,368          | 10,778              | 104,068             | 29,929                              | 284,670                | 915,978 |

District of Columbia data does not include District of Columbia Superior Court time.

**Table 14**  
**Criminal Matters Pending Aged By Date Received**  
**Fiscal Year Ended September 30, 2001**

| District             | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|----------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Alabama, Middle      | 76                      | 54                        | 46                  | 37                    | 10                     | 9                     | 6                     | 238   |
| Alabama, Northern    | 273                     | 144                       | 180                 | 71                    | 30                     | 22                    | 23                    | 743   |
| Alabama, Southern    | 136                     | 55                        | 57                  | 22                    | 9                      | 4                     | 2                     | 285   |
| Alaska               | 59                      | 43                        | 46                  | 20                    | 7                      | 1                     | 4                     | 180   |
| Arizona              | 700                     | 371                       | 461                 | 284                   | 199                    | 124                   | 257                   | 2,396 |
| Arkansas, Eastern    | 109                     | 81                        | 76                  | 25                    | 17                     | 6                     | 4                     | 318   |
| Arkansas, Western    | 63                      | 36                        | 36                  | 16                    | 10                     | 4                     | 6                     | 171   |
| California, Central  | 469                     | 258                       | 517                 | 354                   | 274                    | 151                   | 686                   | 2,709 |
| California, Eastern  | 229                     | 159                       | 204                 | 121                   | 53                     | 35                    | 96                    | 897   |
| California, Northern | 417                     | 311                       | 384                 | 289                   | 102                    | 70                    | 274                   | 1,847 |
| California, Southern | 253                     | 83                        | 138                 | 103                   | 100                    | 77                    | 317                   | 1,071 |
| Colorado             | 182                     | 100                       | 146                 | 63                    | 22                     | 18                    | 107                   | 638   |
| Connecticut          | 108                     | 111                       | 100                 | 56                    | 38                     | 15                    | 75                    | 503   |
| Delaware             | 39                      | 35                        | 44                  | 18                    | 13                     | 3                     | 7                     | 159   |
| District of Columbia | 264                     | 148                       | 174                 | 73                    | 31                     | 17                    | 36                    | 743   |
| Florida, Middle      | 612                     | 336                       | 415                 | 204                   | 110                    | 44                    | 51                    | 1,772 |
| Florida, Northern    | 153                     | 49                        | 71                  | 33                    | 21                     | 7                     | 23                    | 357   |
| Florida, Southern    | 459                     | 284                       | 450                 | 253                   | 195                    | 95                    | 314                   | 2,050 |
| Georgia, Middle      | 112                     | 49                        | 67                  | 41                    | 11                     | 9                     | 11                    | 300   |
| Georgia, Northern    | 279                     | 260                       | 309                 | 107                   | 70                     | 47                    | 125                   | 1,197 |
| Georgia, Southern    | 125                     | 115                       | 134                 | 76                    | 31                     | 12                    | 7                     | 500   |
| Guam                 | 14                      | 11                        | 16                  | 9                     | 6                      | 3                     | 8                     | 67    |
| Hawaii               | 73                      | 82                        | 167                 | 133                   | 69                     | 599                   | 28                    | 1,151 |
| Idaho                | 132                     | 94                        | 122                 | 41                    | 21                     | 6                     | 19                    | 435   |
| Illinois, Central    | 103                     | 65                        | 96                  | 34                    | 23                     | 8                     | 12                    | 341   |
| Illinois, Northern   | 419                     | 378                       | 549                 | 342                   | 203                    | 137                   | 370                   | 2,398 |
| Illinois, Southern   | 78                      | 47                        | 63                  | 24                    | 7                      | 5                     | 8                     | 232   |
| Indiana, Northern    | 110                     | 74                        | 97                  | 44                    | 20                     | 6                     | 10                    | 361   |
| Indiana, Southern    | 91                      | 59                        | 67                  | 20                    | 14                     | 8                     | 19                    | 278   |
| Iowa, Northern       | 86                      | 51                        | 81                  | 27                    | 9                      | 4                     | 4                     | 262   |
| Iowa, Southern       | 74                      | 53                        | 65                  | 23                    | 12                     | 2                     | 6                     | 235   |
| Kansas               | 123                     | 34                        | 70                  | 31                    | 13                     | 2                     | 11                    | 284   |
| Kentucky, Eastern    | 202                     | 200                       | 127                 | 73                    | 15                     | 6                     | 18                    | 641   |



Table 14 (Continued)

| District                 | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|--------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Kentucky, Western        | 112                     | 77                        | 85                  | 39                    | 14                     | 11                    | 17                    | 355   |
| Louisiana, Eastern       | 102                     | 87                        | 119                 | 59                    | 41                     | 15                    | 21                    | 444   |
| Louisiana, Middle        | 77                      | 35                        | 42                  | 29                    | 6                      | 3                     | 2                     | 194   |
| Louisiana, Western       | 148                     | 136                       | 191                 | 74                    | 17                     | 8                     | 12                    | 586   |
| Maine                    | 103                     | 67                        | 98                  | 19                    | 11                     | 2                     | 0                     | 300   |
| Maryland                 | 309                     | 250                       | 300                 | 258                   | 176                    | 57                    | 138                   | 1,488 |
| Massachusetts            | 195                     | 131                       | 210                 | 101                   | 54                     | 42                    | 111                   | 844   |
| Michigan, Eastern        | 412                     | 300                       | 393                 | 204                   | 112                    | 56                    | 195                   | 1,672 |
| Michigan, Western        | 117                     | 79                        | 105                 | 63                    | 32                     | 7                     | 11                    | 414   |
| Minnesota                | 117                     | 81                        | 94                  | 34                    | 7                      | 6                     | 22                    | 361   |
| Mississippi, Northern    | 71                      | 73                        | 81                  | 38                    | 27                     | 11                    | 9                     | 310   |
| Mississippi, Southern    | 140                     | 64                        | 68                  | 20                    | 16                     | 5                     | 6                     | 319   |
| Missouri, Eastern        | 279                     | 203                       | 185                 | 88                    | 32                     | 22                    | 27                    | 836   |
| Missouri, Western        | 222                     | 128                       | 153                 | 90                    | 55                     | 27                    | 23                    | 698   |
| Montana                  | 77                      | 65                        | 67                  | 37                    | 8                      | 4                     | 2                     | 260   |
| Nebraska                 | 140                     | 83                        | 104                 | 34                    | 23                     | 5                     | 11                    | 400   |
| Nevada                   | 186                     | 136                       | 181                 | 104                   | 67                     | 43                    | 45                    | 762   |
| New Hampshire            | 50                      | 35                        | 42                  | 10                    | 5                      | 3                     | 17                    | 162   |
| New Jersey               | 463                     | 305                       | 458                 | 255                   | 141                    | 103                   | 293                   | 2,018 |
| New Mexico               | 608                     | 135                       | 132                 | 77                    | 31                     | 9                     | 44                    | 1,036 |
| New York, Eastern        | 510                     | 430                       | 608                 | 312                   | 172                    | 112                   | 537                   | 2,681 |
| New York, Northern       | 189                     | 131                       | 154                 | 77                    | 37                     | 16                    | 32                    | 636   |
| New York, Southern       | 677                     | 472                       | 535                 | 439                   | 436                    | 286                   | 836                   | 3,681 |
| New York, Western        | 214                     | 161                       | 191                 | 80                    | 39                     | 24                    | 38                    | 747   |
| North Carolina, Eastern  | 202                     | 216                       | 242                 | 162                   | 71                     | 20                    | 26                    | 939   |
| North Carolina, Middle   | 76                      | 25                        | 43                  | 13                    | 8                      | 1                     | 0                     | 166   |
| North Carolina, Western  | 131                     | 75                        | 75                  | 32                    | 10                     | 8                     | 7                     | 338   |
| North Dakota             | 72                      | 41                        | 40                  | 6                     | 2                      | 1                     | 2                     | 164   |
| Northern Mariana Islands | 7                       | 5                         | 6                   | 3                     | 13                     | 1                     | 0                     | 35    |
| Ohio, Northern           | 280                     | 177                       | 207                 | 115                   | 54                     | 26                    | 49                    | 908   |
| Ohio, Southern           | 233                     | 135                       | 159                 | 95                    | 61                     | 24                    | 26                    | 733   |
| Oklahoma, Eastern        | 57                      | 25                        | 45                  | 15                    | 9                      | 6                     | 2                     | 159   |
| Oklahoma, Northern       | 80                      | 57                        | 98                  | 58                    | 18                     | 1                     | 6                     | 318   |
| Oklahoma, Western        | 107                     | 102                       | 104                 | 44                    | 24                     | 12                    | 27                    | 420   |
| Oregon                   | 203                     | 105                       | 143                 | 59                    | 25                     | 17                    | 31                    | 583   |

**Table 14 (Continued)**

| District                | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total  |
|-------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|--------|
| Pennsylvania, Eastern   | 426                     | 316                       | 375                 | 266                   | 220                    | 149                   | 219                   | 1,971  |
| Pennsylvania, Middle    | 136                     | 125                       | 154                 | 77                    | 40                     | 19                    | 28                    | 579    |
| Pennsylvania, Western   | 194                     | 94                        | 126                 | 40                    | 10                     | 8                     | 17                    | 489    |
| Puerto Rico             | 101                     | 80                        | 84                  | 48                    | 18                     | 7                     | 22                    | 360    |
| Rhode Island            | 50                      | 25                        | 22                  | 14                    | 3                      | 2                     | 8                     | 124    |
| South Carolina          | 297                     | 165                       | 181                 | 78                    | 43                     | 20                    | 32                    | 816    |
| South Dakota            | 206                     | 96                        | 98                  | 21                    | 3                      | 0                     | 0                     | 424    |
| Tennessee, Eastern      | 166                     | 129                       | 102                 | 65                    | 78                     | 18                    | 9                     | 567    |
| Tennessee, Middle       | 102                     | 49                        | 67                  | 32                    | 22                     | 9                     | 9                     | 290    |
| Tennessee, Western      | 152                     | 65                        | 77                  | 27                    | 15                     | 2                     | 32                    | 370    |
| Texas, Eastern          | 168                     | 98                        | 126                 | 76                    | 47                     | 23                    | 19                    | 557    |
| Texas, Northern         | 420                     | 232                       | 284                 | 123                   | 79                     | 37                    | 72                    | 1,247  |
| Texas, Southern         | 556                     | 237                       | 315                 | 134                   | 97                     | 49                    | 92                    | 1,480  |
| Texas, Western          | 622                     | 280                       | 367                 | 241                   | 138                    | 71                    | 373                   | 2,092  |
| Utah                    | 170                     | 123                       | 183                 | 94                    | 54                     | 22                    | 32                    | 678    |
| Vermont                 | 40                      | 27                        | 39                  | 12                    | 10                     | 5                     | 9                     | 142    |
| Virgin Islands          | 28                      | 27                        | 25                  | 10                    | 10                     | 6                     | 7                     | 113    |
| Virginia, Eastern       | 804                     | 705                       | 806                 | 542                   | 435                    | 359                   | 734                   | 4,385  |
| Virginia, Western       | 96                      | 62                        | 82                  | 52                    | 27                     | 19                    | 41                    | 379    |
| Washington, Eastern     | 114                     | 58                        | 64                  | 57                    | 23                     | 6                     | 8                     | 330    |
| Washington, Western     | 361                     | 245                       | 239                 | 150                   | 63                     | 60                    | 65                    | 1,183  |
| West Virginia, Northern | 86                      | 65                        | 57                  | 45                    | 17                     | 8                     | 6                     | 284    |
| West Virginia, Southern | 190                     | 91                        | 100                 | 28                    | 12                     | 3                     | 7                     | 431    |
| Wisconsin, Eastern      | 109                     | 73                        | 79                  | 56                    | 28                     | 13                    | 51                    | 409    |
| Wisconsin, Western      | 80                      | 34                        | 34                  | 25                    | 17                     | 3                     | 8                     | 201    |
| Wyoming                 | 62                      | 46                        | 44                  | 12                    | 4                      | 3                     | 5                     | 176    |
| All Districts           | 19,324                  | 12,404                    | 15,463              | 8,535                 | 5,132                  | 3,471                 | 7,474                 | 71,803 |

Pending matter data includes 7,250 fugitive matters, 11 matters where the defendant is in a mental institution, 512 matters where the defendant is in a pretrial diversion program, and 446 matters where the defendant is unknown.

**Table 15**  
**Criminal Cases Pending Aged By Date Received**  
**Fiscal Year Ended September 30, 2001**

| District             | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|----------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Alabama, Middle      | 45                      | 18                        | 30                  | 14                    | 4                      | 3                     | 18                    | 132   |
| Alabama, Northern    | 104                     | 70                        | 62                  | 28                    | 16                     | 4                     | 27                    | 311   |
| Alabama, Southern    | 65                      | 50                        | 31                  | 19                    | 5                      | 6                     | 15                    | 191   |
| Alaska               | 42                      | 22                        | 17                  | 8                     | 5                      | 0                     | 7                     | 101   |
| Arizona              | 991                     | 502                       | 362                 | 181                   | 114                    | 65                    | 341                   | 2,556 |
| Arkansas, Eastern    | 27                      | 69                        | 58                  | 21                    | 12                     | 10                    | 4                     | 201   |
| Arkansas, Western    | 58                      | 24                        | 11                  | 10                    | 4                      | 2                     | 4                     | 113   |
| California, Central  | 512                     | 389                       | 497                 | 238                   | 167                    | 98                    | 633                   | 2,534 |
| California, Eastern  | 293                     | 140                       | 196                 | 91                    | 54                     | 36                    | 77                    | 887   |
| California, Northern | 172                     | 200                       | 300                 | 139                   | 73                     | 45                    | 340                   | 1,269 |
| California, Southern | 982                     | 212                       | 114                 | 123                   | 64                     | 60                    | 762                   | 2,317 |
| Colorado             | 104                     | 115                       | 114                 | 47                    | 37                     | 32                    | 135                   | 584   |
| Connecticut          | 39                      | 63                        | 89                  | 48                    | 12                     | 17                    | 67                    | 335   |
| Delaware             | 21                      | 16                        | 22                  | 5                     | 8                      | 2                     | 2                     | 76    |
| District of Columbia | 120                     | 152                       | 173                 | 96                    | 70                     | 42                    | 104                   | 757   |
| Florida, Middle      | 351                     | 206                       | 268                 | 127                   | 139                    | 52                    | 272                   | 1,415 |
| Florida, Northern    | 81                      | 35                        | 27                  | 14                    | 20                     | 10                    | 127                   | 314   |
| Florida, Southern    | 610                     | 391                       | 387                 | 195                   | 162                    | 129                   | 1,774                 | 3,648 |
| Georgia, Middle      | 60                      | 56                        | 39                  | 24                    | 4                      | 9                     | 16                    | 208   |
| Georgia, Northern    | 142                     | 146                       | 159                 | 76                    | 68                     | 37                    | 126                   | 754   |
| Georgia, Southern    | 62                      | 20                        | 20                  | 15                    | 8                      | 7                     | 10                    | 142   |
| Guam                 | 39                      | 28                        | 39                  | 20                    | 10                     | 6                     | 15                    | 157   |
| Hawaii               | 71                      | 57                        | 89                  | 48                    | 45                     | 10                    | 291                   | 611   |
| Idaho                | 55                      | 24                        | 21                  | 6                     | 6                      | 4                     | 9                     | 125   |
| Illinois, Central    | 84                      | 101                       | 77                  | 24                    | 15                     | 9                     | 13                    | 323   |
| Illinois, Northern   | 127                     | 161                       | 194                 | 99                    | 74                     | 61                    | 338                   | 1,054 |
| Illinois, Southern   | 62                      | 43                        | 28                  | 17                    | 8                      | 6                     | 26                    | 190   |
| Indiana, Northern    | 106                     | 93                        | 73                  | 33                    | 18                     | 8                     | 15                    | 346   |
| Indiana, Southern    | 36                      | 37                        | 31                  | 8                     | 11                     | 3                     | 9                     | 135   |
| Iowa, Northern       | 122                     | 71                        | 62                  | 11                    | 7                      | 11                    | 16                    | 300   |
| Iowa, Southern       | 71                      | 57                        | 52                  | 17                    | 11                     | 6                     | 10                    | 224   |
| Kansas               | 139                     | 110                       | 99                  | 37                    | 13                     | 12                    | 30                    | 440   |
| Kentucky, Eastern    | 89                      | 84                        | 61                  | 13                    | 5                      | 11                    | 17                    | 280   |

Table 15 (Continued)

| District                 | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|--------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Kentucky, Western        | 86                      | 70                        | 60                  | 14                    | 12                     | 7                     | 13                    | 262   |
| Louisiana, Eastern       | 85                      | 55                        | 47                  | 16                    | 25                     | 8                     | 77                    | 313   |
| Louisiana, Middle        | 73                      | 39                        | 46                  | 23                    | 9                      | 7                     | 4                     | 201   |
| Louisiana, Western       | 42                      | 54                        | 54                  | 16                    | 11                     | 5                     | 20                    | 202   |
| Maine                    | 48                      | 30                        | 29                  | 9                     | 9                      | 2                     | 15                    | 142   |
| Maryland                 | 154                     | 162                       | 145                 | 67                    | 32                     | 20                    | 44                    | 624   |
| Massachusetts            | 92                      | 113                       | 160                 | 77                    | 64                     | 47                    | 150                   | 703   |
| Michigan, Eastern        | 101                     | 130                       | 140                 | 92                    | 63                     | 65                    | 199                   | 790   |
| Michigan, Western        | 112                     | 47                        | 31                  | 14                    | 18                     | 7                     | 27                    | 256   |
| Minnesota                | 93                      | 74                        | 52                  | 31                    | 14                     | 11                    | 42                    | 317   |
| Mississippi, Northern    | 35                      | 36                        | 14                  | 9                     | 2                      | 1                     | 2                     | 99    |
| Mississippi, Southern    | 128                     | 63                        | 55                  | 16                    | 4                      | 5                     | 23                    | 294   |
| Missouri, Eastern        | 138                     | 112                       | 59                  | 21                    | 17                     | 4                     | 24                    | 375   |
| Missouri, Western        | 141                     | 152                       | 98                  | 33                    | 26                     | 10                    | 18                    | 478   |
| Montana                  | 94                      | 101                       | 57                  | 15                    | 8                      | 8                     | 9                     | 292   |
| Nebraska                 | 151                     | 108                       | 68                  | 32                    | 15                     | 11                    | 37                    | 422   |
| Nevada                   | 170                     | 150                       | 111                 | 62                    | 36                     | 28                    | 140                   | 697   |
| New Hampshire            | 32                      | 37                        | 35                  | 18                    | 7                      | 4                     | 15                    | 148   |
| New Jersey               | 125                     | 162                       | 227                 | 101                   | 99                     | 61                    | 150                   | 925   |
| New Mexico               | 408                     | 336                       | 219                 | 75                    | 49                     | 43                    | 185                   | 1,315 |
| New York, Eastern        | 376                     | 340                       | 344                 | 173                   | 130                    | 101                   | 717                   | 2,181 |
| New York, Northern       | 109                     | 104                       | 112                 | 57                    | 32                     | 16                    | 113                   | 543   |
| New York, Southern       | 258                     | 338                       | 555                 | 363                   | 286                    | 196                   | 1,556                 | 3,552 |
| New York, Western        | 65                      | 90                        | 111                 | 43                    | 40                     | 21                    | 58                    | 428   |
| North Carolina, Eastern  | 87                      | 137                       | 92                  | 43                    | 22                     | 15                    | 42                    | 438   |
| North Carolina, Middle   | 150                     | 63                        | 44                  | 5                     | 14                     | 11                    | 7                     | 294   |
| North Carolina, Western  | 131                     | 92                        | 128                 | 49                    | 19                     | 17                    | 36                    | 472   |
| North Dakota             | 46                      | 16                        | 15                  | 7                     | 1                      | 1                     | 4                     | 90    |
| Northern Mariana Islands | 4                       | 3                         | 4                   | 1                     | 3                      | 1                     | 0                     | 16    |
| Ohio, Northern           | 114                     | 129                       | 87                  | 26                    | 18                     | 11                    | 42                    | 427   |
| Ohio, Southern           | 76                      | 60                        | 65                  | 29                    | 35                     | 14                    | 45                    | 324   |
| Oklahoma, Eastern        | 16                      | 22                        | 12                  | 7                     | 1                      | 3                     | 4                     | 65    |
| Oklahoma, Northern       | 28                      | 30                        | 37                  | 32                    | 13                     | 6                     | 39                    | 185   |
| Oklahoma, Western        | 52                      | 27                        | 32                  | 12                    | 14                     | 9                     | 24                    | 170   |
| Oregon                   | 217                     | 164                       | 149                 | 68                    | 37                     | 24                    | 108                   | 767   |

**Table 15 (Continued)**

| District                | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total  |
|-------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|--------|
| Pennsylvania, Eastern   | 118                     | 189                       | 238                 | 135                   | 88                     | 61                    | 190                   | 1,019  |
| Pennsylvania, Middle    | 80                      | 105                       | 113                 | 42                    | 22                     | 11                    | 31                    | 404    |
| Pennsylvania, Western   | 88                      | 66                        | 75                  | 42                    | 19                     | 4                     | 30                    | 324    |
| Puerto Rico             | 161                     | 102                       | 101                 | 76                    | 24                     | 23                    | 51                    | 538    |
| Rhode Island            | 58                      | 21                        | 11                  | 2                     | 2                      | 0                     | 18                    | 112    |
| South Carolina          | 182                     | 167                       | 174                 | 66                    | 41                     | 19                    | 76                    | 725    |
| South Dakota            | 82                      | 79                        | 57                  | 18                    | 5                      | 2                     | 10                    | 253    |
| Tennessee, Eastern      | 205                     | 119                       | 75                  | 22                    | 14                     | 7                     | 18                    | 460    |
| Tennessee, Middle       | 68                      | 47                        | 85                  | 29                    | 17                     | 5                     | 18                    | 269    |
| Tennessee, Western      | 117                     | 75                        | 66                  | 19                    | 11                     | 13                    | 24                    | 325    |
| Texas, Eastern          | 153                     | 117                       | 65                  | 28                    | 18                     | 11                    | 21                    | 413    |
| Texas, Northern         | 218                     | 143                       | 130                 | 74                    | 42                     | 44                    | 241                   | 892    |
| Texas, Southern         | 1,428                   | 477                       | 343                 | 189                   | 144                    | 100                   | 849                   | 3,530  |
| Texas, Western          | 1,574                   | 578                       | 373                 | 245                   | 165                    | 114                   | 363                   | 3,412  |
| Utah                    | 209                     | 176                       | 125                 | 56                    | 20                     | 7                     | 33                    | 626    |
| Vermont                 | 36                      | 47                        | 35                  | 10                    | 7                      | 2                     | 48                    | 185    |
| Virgin Islands          | 62                      | 41                        | 45                  | 6                     | 2                      | 3                     | 13                    | 172    |
| Virginia, Eastern       | 235                     | 185                       | 187                 | 89                    | 51                     | 47                    | 155                   | 949    |
| Virginia, Western       | 70                      | 70                        | 67                  | 19                    | 16                     | 13                    | 16                    | 271    |
| Washington, Eastern     | 111                     | 65                        | 62                  | 32                    | 6                      | 3                     | 20                    | 299    |
| Washington, Western     | 82                      | 88                        | 90                  | 52                    | 25                     | 20                    | 116                   | 473    |
| West Virginia, Northern | 45                      | 13                        | 21                  | 10                    | 7                      | 4                     | 10                    | 110    |
| West Virginia, Southern | 53                      | 43                        | 34                  | 13                    | 7                      | 6                     | 10                    | 166    |
| Wisconsin, Eastern      | 56                      | 41                        | 25                  | 21                    | 7                      | 10                    | 30                    | 190    |
| Wisconsin, Western      | 22                      | 25                        | 19                  | 11                    | 4                      | 5                     | 14                    | 100    |
| Wyoming                 | 19                      | 21                        | 20                  | 5                     | 5                      | 0                     | 11                    | 81     |
| All Districts           | 15,451                  | 10,508                    | 9,932               | 4,819                 | 3,223                  | 2,147                 | 12,055                | 58,135 |

Pending caseload data includes 14,531 fugitive cases, 54 cases where the defendant is in a mental institution, and 249 cases where the defendant is in a pretrial diversion program.

**Table 16**  
**Civil Matters Pending Aged By Date Received**  
**Fiscal Year Ended September 30, 2001**

| District             | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|----------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Alabama, Middle      | 21                      | 22                        | 7                   | 2                     | 1                      | 0                     | 0                     | 53    |
| Alabama, Northern    | 17                      | 7                         | 21                  | 4                     | 3                      | 2                     | 1                     | 55    |
| Alabama, Southern    | 1                       | 5                         | 1                   | 1                     | 1                      | 0                     | 0                     | 9     |
| Alaska               | 20                      | 6                         | 13                  | 8                     | 4                      | 4                     | 0                     | 55    |
| Arizona              | 66                      | 39                        | 87                  | 33                    | 22                     | 22                    | 21                    | 290   |
| Arkansas, Eastern    | 94                      | 18                        | 11                  | 2                     | 5                      | 1                     | 0                     | 131   |
| Arkansas, Western    | 6                       | 2                         | 0                   | 4                     | 2                      | 0                     | 0                     | 14    |
| California, Central  | 90                      | 97                        | 245                 | 78                    | 92                     | 70                    | 184                   | 856   |
| California, Eastern  | 43                      | 22                        | 49                  | 37                    | 17                     | 16                    | 34                    | 218   |
| California, Northern | 132                     | 42                        | 78                  | 58                    | 33                     | 16                    | 14                    | 373   |
| California, Southern | 41                      | 34                        | 53                  | 22                    | 15                     | 20                    | 8                     | 193   |
| Colorado             | 38                      | 20                        | 39                  | 15                    | 11                     | 4                     | 2                     | 129   |
| Connecticut          | 184                     | 20                        | 58                  | 106                   | 36                     | 8                     | 8                     | 420   |
| Delaware             | 10                      | 10                        | 9                   | 5                     | 1                      | 7                     | 1                     | 43    |
| District of Columbia | 50                      | 41                        | 83                  | 81                    | 60                     | 12                    | 11                    | 338   |
| Florida, Middle      | 261                     | 171                       | 207                 | 90                    | 60                     | 18                    | 154                   | 961   |
| Florida, Northern    | 39                      | 42                        | 5                   | 1                     | 5                      | 2                     | 1                     | 95    |
| Florida, Southern    | 737                     | 554                       | 260                 | 86                    | 52                     | 47                    | 108                   | 1,844 |
| Georgia, Middle      | 8                       | 4                         | 9                   | 2                     | 6                      | 6                     | 0                     | 35    |
| Georgia, Northern    | 182                     | 108                       | 106                 | 21                    | 26                     | 26                    | 8                     | 477   |
| Georgia, Southern    | 25                      | 23                        | 15                  | 10                    | 11                     | 16                    | 1                     | 101   |
| Guam                 | 3                       | 4                         | 18                  | 3                     | 2                      | 1                     | 2                     | 33    |
| Hawaii               | 23                      | 9                         | 48                  | 16                    | 5                      | 5                     | 9                     | 115   |
| Idaho                | 28                      | 16                        | 21                  | 8                     | 3                      | 1                     | 2                     | 79    |
| Illinois, Central    | 5                       | 8                         | 9                   | 3                     | 6                      | 1                     | 1                     | 33    |
| Illinois, Northern   | 297                     | 119                       | 106                 | 55                    | 25                     | 43                    | 21                    | 666   |
| Illinois, Southern   | 26                      | 21                        | 22                  | 9                     | 6                      | 3                     | 2                     | 89    |
| Indiana, Northern    | 31                      | 12                        | 21                  | 25                    | 10                     | 5                     | 4                     | 108   |
| Indiana, Southern    | 41                      | 26                        | 76                  | 23                    | 7                      | 5                     | 3                     | 181   |
| Iowa, Northern       | 24                      | 20                        | 17                  | 4                     | 3                      | 3                     | 0                     | 71    |
| Iowa, Southern       | 13                      | 8                         | 11                  | 2                     | 0                      | 1                     | 1                     | 36    |
| Kansas               | 16                      | 10                        | 9                   | 3                     | 2                      | 1                     | 1                     | 42    |
| Kentucky, Eastern    | 127                     | 105                       | 87                  | 27                    | 12                     | 7                     | 2                     | 367   |

Table 16 (Continued)

| District                 | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|--------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Kentucky, Western        | 75                      | 14                        | 9                   | 7                     | 18                     | 1                     | 0                     | 124   |
| Louisiana, Eastern       | 61                      | 32                        | 13                  | 8                     | 19                     | 2                     | 1                     | 136   |
| Louisiana, Middle        | 11                      | 3                         | 17                  | 10                    | 7                      | 5                     | 5                     | 58    |
| Louisiana, Western       | 14                      | 10                        | 11                  | 9                     | 3                      | 1                     | 0                     | 48    |
| Maine                    | 12                      | 1                         | 17                  | 2                     | 4                      | 0                     | 0                     | 36    |
| Maryland                 | 89                      | 33                        | 161                 | 106                   | 40                     | 13                    | 7                     | 449   |
| Massachusetts            | 37                      | 24                        | 57                  | 32                    | 18                     | 20                    | 6                     | 194   |
| Michigan, Eastern        | 45                      | 34                        | 42                  | 48                    | 29                     | 16                    | 19                    | 233   |
| Michigan, Western        | 12                      | 15                        | 16                  | 11                    | 9                      | 7                     | 11                    | 81    |
| Minnesota                | 33                      | 20                        | 11                  | 4                     | 5                      | 2                     | 4                     | 79    |
| Mississippi, Northern    | 17                      | 5                         | 97                  | 7                     | 3                      | 5                     | 1                     | 135   |
| Mississippi, Southern    | 26                      | 8                         | 21                  | 18                    | 24                     | 6                     | 1                     | 104   |
| Missouri, Eastern        | 42                      | 26                        | 25                  | 8                     | 12                     | 6                     | 7                     | 126   |
| Missouri, Western        | 60                      | 58                        | 64                  | 34                    | 10                     | 10                    | 6                     | 242   |
| Montana                  | 20                      | 6                         | 8                   | 5                     | 2                      | 0                     | 1                     | 42    |
| Nebraska                 | 19                      | 6                         | 10                  | 4                     | 7                      | 2                     | 0                     | 48    |
| Nevada                   | 11                      | 8                         | 14                  | 16                    | 9                      | 0                     | 3                     | 61    |
| New Hampshire            | 7                       | 10                        | 18                  | 4                     | 6                      | 0                     | 4                     | 49    |
| New Jersey               | 114                     | 84                        | 107                 | 63                    | 61                     | 49                    | 88                    | 566   |
| New Mexico               | 25                      | 13                        | 14                  | 5                     | 1                      | 1                     | 0                     | 59    |
| New York, Eastern        | 48                      | 41                        | 71                  | 43                    | 53                     | 50                    | 71                    | 377   |
| New York, Northern       | 28                      | 21                        | 70                  | 19                    | 15                     | 6                     | 6                     | 165   |
| New York, Southern       | 114                     | 95                        | 165                 | 134                   | 86                     | 56                    | 84                    | 734   |
| New York, Western        | 85                      | 36                        | 52                  | 20                    | 13                     | 4                     | 8                     | 218   |
| North Carolina, Eastern  | 93                      | 17                        | 33                  | 15                    | 15                     | 2                     | 7                     | 182   |
| North Carolina, Middle   | 15                      | 2                         | 4                   | 9                     | 4                      | 1                     | 1                     | 36    |
| North Carolina, Western  | 33                      | 20                        | 23                  | 16                    | 9                      | 4                     | 4                     | 109   |
| North Dakota             | 10                      | 2                         | 4                   | 2                     | 2                      | 0                     | 0                     | 20    |
| Northern Mariana Islands | 2                       | 0                         | 2                   | 0                     | 0                      | 0                     | 0                     | 4     |
| Ohio, Northern           | 84                      | 53                        | 60                  | 33                    | 12                     | 3                     | 3                     | 248   |
| Ohio, Southern           | 48                      | 29                        | 18                  | 26                    | 8                      | 4                     | 18                    | 151   |
| Oklahoma, Eastern        | 16                      | 3                         | 2                   | 3                     | 1                      | 0                     | 0                     | 25    |
| Oklahoma, Northern       | 14                      | 4                         | 8                   | 3                     | 1                      | 1                     | 2                     | 33    |
| Oklahoma, Western        | 52                      | 7                         | 10                  | 1                     | 0                      | 1                     | 0                     | 71    |
| Oregon                   | 39                      | 22                        | 41                  | 10                    | 6                      | 8                     | 20                    | 146   |

**Table 16 (Continued)**

| District                | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total  |
|-------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|--------|
| Pennsylvania, Eastern   | 42                      | 51                        | 54                  | 37                    | 27                     | 32                    | 49                    | 292    |
| Pennsylvania, Middle    | 80                      | 65                        | 19                  | 33                    | 49                     | 138                   | 99                    | 483    |
| Pennsylvania, Western   | 155                     | 96                        | 69                  | 18                    | 16                     | 13                    | 9                     | 376    |
| Puerto Rico             | 97                      | 66                        | 47                  | 16                    | 15                     | 4                     | 12                    | 257    |
| Rhode Island            | 15                      | 19                        | 22                  | 16                    | 3                      | 4                     | 1                     | 80     |
| South Carolina          | 352                     | 211                       | 95                  | 36                    | 34                     | 24                    | 12                    | 764    |
| South Dakota            | 18                      | 11                        | 13                  | 5                     | 5                      | 2                     | 0                     | 54     |
| Tennessee, Eastern      | 19                      | 11                        | 14                  | 11                    | 1                      | 4                     | 2                     | 62     |
| Tennessee, Middle       | 13                      | 15                        | 33                  | 11                    | 13                     | 3                     | 2                     | 90     |
| Tennessee, Western      | 27                      | 11                        | 11                  | 26                    | 4                      | 10                    | 3                     | 92     |
| Texas, Eastern          | 16                      | 7                         | 5                   | 5                     | 3                      | 2                     | 0                     | 38     |
| Texas, Northern         | 65                      | 19                        | 30                  | 14                    | 11                     | 7                     | 2                     | 148    |
| Texas, Southern         | 135                     | 43                        | 64                  | 35                    | 22                     | 7                     | 13                    | 319    |
| Texas, Western          | 115                     | 56                        | 80                  | 42                    | 38                     | 14                    | 46                    | 391    |
| Utah                    | 17                      | 14                        | 10                  | 8                     | 2                      | 2                     | 0                     | 53     |
| Vermont                 | 23                      | 10                        | 11                  | 4                     | 4                      | 2                     | 1                     | 55     |
| Virgin Islands          | 6                       | 3                         | 9                   | 3                     | 1                      | 1                     | 1                     | 24     |
| Virginia, Eastern       | 123                     | 63                        | 94                  | 58                    | 30                     | 8                     | 18                    | 394    |
| Virginia, Western       | 2                       | 1                         | 8                   | 3                     | 2                      | 0                     | 0                     | 16     |
| Washington, Eastern     | 12                      | 4                         | 5                   | 3                     | 3                      | 3                     | 4                     | 34     |
| Washington, Western     | 43                      | 27                        | 44                  | 13                    | 10                     | 4                     | 8                     | 149    |
| West Virginia, Northern | 24                      | 5                         | 11                  | 2                     | 2                      | 0                     | 0                     | 44     |
| West Virginia, Southern | 8                       | 15                        | 9                   | 9                     | 4                      | 2                     | 0                     | 47     |
| Wisconsin, Eastern      | 14                      | 10                        | 5                   | 6                     | 10                     | 3                     | 2                     | 50     |
| Wisconsin, Western      | 10                      | 15                        | 17                  | 5                     | 1                      | 1                     | 0                     | 49     |
| Wyoming                 | 9                       | 10                        | 16                  | 4                     | 0                      | 0                     | 0                     | 39     |
| All Districts           | 5,550                   | 3,265                     | 3,891               | 2,002                 | 1,366                  | 949                   | 1,276                 | 18,299 |

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.



**Table 17**  
**Civil Cases Pending Aged By Date Received**  
**Fiscal Year Ended September 30, 2001**

| District             | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|----------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Alabama, Middle      | 276                     | 228                       | 178                 | 43                    | 27                     | 25                    | 8                     | 785   |
| Alabama, Northern    | 403                     | 223                       | 207                 | 61                    | 54                     | 57                    | 24                    | 1,029 |
| Alabama, Southern    | 190                     | 117                       | 78                  | 25                    | 14                     | 12                    | 7                     | 443   |
| Alaska               | 66                      | 40                        | 44                  | 14                    | 8                      | 3                     | 2                     | 177   |
| Arizona              | 384                     | 329                       | 515                 | 182                   | 91                     | 77                    | 59                    | 1,637 |
| Arkansas, Eastern    | 594                     | 378                       | 445                 | 398                   | 389                    | 567                   | 982                   | 3,753 |
| Arkansas, Western    | 417                     | 230                       | 63                  | 9                     | 4                      | 0                     | 0                     | 723   |
| California, Central  | 1,168                   | 923                       | 1,042               | 620                   | 527                    | 316                   | 519                   | 5,115 |
| California, Eastern  | 312                     | 332                       | 351                 | 122                   | 70                     | 90                    | 90                    | 1,367 |
| California, Northern | 361                     | 294                       | 324                 | 122                   | 68                     | 42                    | 52                    | 1,263 |
| California, Southern | 279                     | 178                       | 182                 | 61                    | 35                     | 24                    | 33                    | 792   |
| Colorado             | 210                     | 198                       | 209                 | 116                   | 62                     | 77                    | 95                    | 967   |
| Connecticut          | 333                     | 347                       | 527                 | 428                   | 410                    | 297                   | 77                    | 2,419 |
| Delaware             | 143                     | 81                        | 106                 | 56                    | 25                     | 20                    | 42                    | 473   |
| District of Columbia | 384                     | 412                       | 557                 | 242                   | 194                    | 57                    | 68                    | 1,914 |
| Florida, Middle      | 1,200                   | 794                       | 795                 | 458                   | 98                     | 59                    | 60                    | 3,464 |
| Florida, Northern    | 230                     | 158                       | 98                  | 25                    | 16                     | 12                    | 21                    | 560   |
| Florida, Southern    | 640                     | 642                       | 1,452               | 394                   | 409                    | 346                   | 418                   | 4,301 |
| Georgia, Middle      | 178                     | 192                       | 175                 | 40                    | 30                     | 12                    | 29                    | 656   |
| Georgia, Northern    | 428                     | 265                       | 253                 | 84                    | 83                     | 79                    | 86                    | 1,278 |
| Georgia, Southern    | 318                     | 62                        | 41                  | 18                    | 14                     | 20                    | 20                    | 493   |
| Guam                 | 22                      | 10                        | 9                   | 7                     | 1                      | 7                     | 2                     | 58    |
| Hawaii               | 100                     | 72                        | 88                  | 39                    | 27                     | 16                    | 14                    | 356   |
| Idaho                | 80                      | 43                        | 73                  | 20                    | 14                     | 9                     | 16                    | 255   |
| Illinois, Central    | 175                     | 103                       | 88                  | 37                    | 7                      | 4                     | 8                     | 422   |
| Illinois, Northern   | 794                     | 702                       | 773                 | 543                   | 394                    | 347                   | 133                   | 3,686 |
| Illinois, Southern   | 140                     | 94                        | 62                  | 31                    | 18                     | 3                     | 13                    | 361   |
| Indiana, Northern    | 206                     | 125                       | 80                  | 42                    | 28                     | 20                    | 9                     | 510   |
| Indiana, Southern    | 274                     | 210                       | 317                 | 68                    | 28                     | 8                     | 14                    | 919   |
| Iowa, Northern       | 129                     | 76                        | 49                  | 13                    | 4                      | 22                    | 5                     | 298   |
| Iowa, Southern       | 180                     | 91                        | 39                  | 2                     | 3                      | 4                     | 0                     | 319   |
| Kansas               | 391                     | 192                       | 131                 | 61                    | 24                     | 20                    | 9                     | 828   |
| Kentucky, Eastern    | 484                     | 513                       | 305                 | 103                   | 76                     | 90                    | 91                    | 1,662 |

Table 17 (Continued)

| District                 | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total |
|--------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|-------|
| Kentucky, Western        | 423                     | 245                       | 191                 | 71                    | 43                     | 20                    | 26                    | 1,019 |
| Louisiana, Eastern       | 183                     | 119                       | 98                  | 21                    | 10                     | 14                    | 10                    | 455   |
| Louisiana, Middle        | 71                      | 37                        | 32                  | 22                    | 24                     | 3                     | 20                    | 209   |
| Louisiana, Western       | 266                     | 136                       | 80                  | 23                    | 21                     | 4                     | 4                     | 534   |
| Maine                    | 108                     | 67                        | 52                  | 50                    | 32                     | 11                    | 8                     | 328   |
| Maryland                 | 410                     | 222                       | 168                 | 99                    | 151                    | 108                   | 78                    | 1,236 |
| Massachusetts            | 174                     | 112                       | 196                 | 156                   | 83                     | 54                    | 48                    | 823   |
| Michigan, Eastern        | 412                     | 278                       | 209                 | 130                   | 110                    | 95                    | 54                    | 1,288 |
| Michigan, Western        | 210                     | 192                       | 246                 | 225                   | 220                    | 102                   | 55                    | 1,250 |
| Minnesota                | 308                     | 172                       | 93                  | 37                    | 10                     | 17                    | 14                    | 651   |
| Mississippi, Northern    | 103                     | 57                        | 46                  | 13                    | 16                     | 4                     | 4                     | 243   |
| Mississippi, Southern    | 142                     | 94                        | 106                 | 59                    | 47                     | 16                    | 15                    | 479   |
| Missouri, Eastern        | 239                     | 147                       | 136                 | 78                    | 45                     | 33                    | 35                    | 713   |
| Missouri, Western        | 359                     | 259                       | 141                 | 43                    | 27                     | 19                    | 19                    | 867   |
| Montana                  | 83                      | 76                        | 52                  | 17                    | 5                      | 4                     | 5                     | 242   |
| Nebraska                 | 118                     | 62                        | 41                  | 20                    | 4                      | 2                     | 7                     | 254   |
| Nevada                   | 99                      | 92                        | 147                 | 91                    | 43                     | 40                    | 30                    | 542   |
| New Hampshire            | 69                      | 24                        | 18                  | 5                     | 5                      | 3                     | 5                     | 129   |
| New Jersey               | 943                     | 1,021                     | 1,379               | 1,244                 | 647                    | 157                   | 333                   | 5,724 |
| New Mexico               | 258                     | 190                       | 148                 | 37                    | 19                     | 12                    | 6                     | 670   |
| New York, Eastern        | 1,037                   | 968                       | 1,285               | 934                   | 633                    | 170                   | 481                   | 5,508 |
| New York, Northern       | 380                     | 357                       | 449                 | 242                   | 114                    | 59                    | 58                    | 1,659 |
| New York, Southern       | 671                     | 662                       | 849                 | 658                   | 585                    | 527                   | 1,153                 | 5,105 |
| New York, Western        | 472                     | 374                       | 533                 | 348                   | 256                    | 141                   | 35                    | 2,159 |
| North Carolina, Eastern  | 193                     | 123                       | 128                 | 45                    | 32                     | 14                    | 2                     | 537   |
| North Carolina, Middle   | 126                     | 120                       | 115                 | 26                    | 3                      | 3                     | 6                     | 399   |
| North Carolina, Western  | 112                     | 61                        | 70                  | 27                    | 8                      | 13                    | 17                    | 308   |
| North Dakota             | 76                      | 31                        | 26                  | 10                    | 3                      | 5                     | 6                     | 157   |
| Northern Mariana Islands | 5                       | 0                         | 1                   | 0                     | 2                      | 2                     | 0                     | 10    |
| Ohio, Northern           | 888                     | 476                       | 494                 | 291                   | 239                    | 195                   | 247                   | 2,830 |
| Ohio, Southern           | 589                     | 444                       | 386                 | 216                   | 183                    | 119                   | 316                   | 2,253 |
| Oklahoma, Eastern        | 172                     | 69                        | 77                  | 44                    | 20                     | 13                    | 17                    | 412   |
| Oklahoma, Northern       | 173                     | 83                        | 55                  | 21                    | 8                      | 4                     | 5                     | 349   |
| Oklahoma, Western        | 413                     | 201                       | 102                 | 41                    | 13                     | 7                     | 9                     | 786   |
| Oregon                   | 314                     | 237                       | 144                 | 49                    | 27                     | 14                    | 23                    | 808   |

**Table 17 (Continued)**

| District                | Less Than<br>Six Months | Six Months<br>to One Year | One to<br>Two Years | Two to<br>Three Years | Three to<br>Four Years | Four to<br>Five Years | Five or<br>More Years | Total   |
|-------------------------|-------------------------|---------------------------|---------------------|-----------------------|------------------------|-----------------------|-----------------------|---------|
| Pennsylvania, Eastern   | 492                     | 372                       | 333                 | 135                   | 122                    | 62                    | 144                   | 1,660   |
| Pennsylvania, Middle    | 424                     | 259                       | 276                 | 136                   | 84                     | 40                    | 51                    | 1,270   |
| Pennsylvania, Western   | 500                     | 333                       | 283                 | 137                   | 51                     | 63                    | 60                    | 1,427   |
| Puerto Rico             | 283                     | 206                       | 298                 | 141                   | 87                     | 54                    | 41                    | 1,110   |
| Rhode Island            | 53                      | 43                        | 56                  | 36                    | 41                     | 24                    | 29                    | 282     |
| South Carolina          | 524                     | 420                       | 563                 | 174                   | 88                     | 49                    | 102                   | 1,920   |
| South Dakota            | 94                      | 38                        | 39                  | 26                    | 21                     | 6                     | 6                     | 230     |
| Tennessee, Eastern      | 264                     | 251                       | 203                 | 127                   | 106                    | 72                    | 31                    | 1,054   |
| Tennessee, Middle       | 130                     | 118                       | 158                 | 83                    | 37                     | 14                    | 21                    | 561     |
| Tennessee, Western      | 224                     | 81                        | 88                  | 210                   | 71                     | 19                    | 24                    | 717     |
| Texas, Eastern          | 343                     | 205                       | 159                 | 87                    | 39                     | 26                    | 59                    | 918     |
| Texas, Northern         | 488                     | 244                       | 259                 | 171                   | 58                     | 15                    | 10                    | 1,245   |
| Texas, Southern         | 626                     | 417                       | 537                 | 263                   | 121                    | 54                    | 108                   | 2,126   |
| Texas, Western          | 439                     | 341                       | 393                 | 255                   | 221                    | 249                   | 318                   | 2,216   |
| Utah                    | 86                      | 62                        | 82                  | 51                    | 22                     | 19                    | 29                    | 351     |
| Vermont                 | 86                      | 50                        | 47                  | 11                    | 3                      | 6                     | 3                     | 206     |
| Virgin Islands          | 19                      | 18                        | 29                  | 18                    | 14                     | 13                    | 15                    | 126     |
| Virginia, Eastern       | 405                     | 144                       | 238                 | 65                    | 59                     | 72                    | 69                    | 1,052   |
| Virginia, Western       | 271                     | 106                       | 48                  | 19                    | 12                     | 9                     | 8                     | 473     |
| Washington, Eastern     | 132                     | 90                        | 42                  | 7                     | 6                      | 2                     | 7                     | 286     |
| Washington, Western     | 530                     | 243                       | 189                 | 74                    | 34                     | 30                    | 53                    | 1,153   |
| West Virginia, Northern | 92                      | 73                        | 50                  | 21                    | 4                      | 2                     | 1                     | 243     |
| West Virginia, Southern | 210                     | 188                       | 137                 | 57                    | 18                     | 7                     | 6                     | 623     |
| Wisconsin, Eastern      | 393                     | 219                       | 197                 | 84                    | 34                     | 11                    | 10                    | 948     |
| Wisconsin, Western      | 168                     | 89                        | 72                  | 24                    | 14                     | 73                    | 28                    | 468     |
| Wyoming                 | 46                      | 43                        | 38                  | 13                    | 12                     | 20                    | 4                     | 176     |
| All Districts           | 29,410                  | 21,115                    | 22,763              | 12,302                | 8,319                  | 5,757                 | 7,394                 | 107,060 |

\*Note that beginning in Fiscal Year 1998, data on civil debt collection cases is no longer included in the civil caseload data displayed on this table. Thus, comparisons cannot be made of the data on this table with that of Fiscal Year 1997 or prior years.

Note that, beginning in Fiscal Year 2000, the civil cause of action codes and civil disposition and trial codes were revised and redefined. Therefore, comparison of this data cannot be made with data for Fiscal Year 1999 and prior years.

**Table 18**  
**Criminal Matters Declined -- Immediate and Later Declinations by Reason**  
**Fiscal Year Ended September 30, 2001**

| Table 3 Program Category                                   | Assimilated Crimes | Civil Rights Prosecutions | Government Regulatory Offenses | Immigration | Internal Security Offenses | Interstate Theft | Labor Management Offenses | OCDETF     | Non-OCDETF Drugs | Official Corruption | Organized Crime | Other Indian Offenses | Theft       | Violent Crime | White Collar Crime | All Other Criminal | Totals       |
|--|--------------------|---------------------------|--------------------------------|-------------|----------------------------|------------------|---------------------------|------------|------------------|---------------------|-----------------|-----------------------|-------------|---------------|--------------------|--------------------|--------------|
| No Federal Offense Committed                               | 3                  | 152                       | 111                            | 23          | 3                          | 17               | 9                         | 17         | 88               | 84                  | 23              | 22                    | 38          | 598           | 534                | 274                | 1996         |
| Lack of Criminal Intent                                    | 21                 | 443                       | 376                            | 72          | 5                          | 40               | 21                        | 136        | 447              | 141                 | 46              | 13                    | 155         | 1003          | 1512               | 631                | 5062         |
| Suspect Prosecuted by Other Authority or on Other Charge   | 15                 | 79                        | 569                            | 66          | 2                          | 37               | 7                         | 167        | 748              | 34                  | 21              | 29                    | 109         | 1428          | 1167               | 787                | 5265         |
| No Known Suspect   | 0                  | 64                        | 11                             | 4           | 0                          | 7                | 0                         | 2          | 26               | 3                   | 2               | 7                     | 13          | 187           | 117                | 80                 | 523          |
| Suspect a Fugitive, Serving Sentence, Deceased or Deported | 3                  | 7                         | 14                             | 83          | 0                          | 1                | 2                         | 15         | 33               | 4                   | 0               | 7                     | 17          | 138           | 95                 | 73                 | 492          |
| Suspect Cooperating or Restitution Being Made              | 5                  | 0                         | 22                             | 3           | 0                          | 1                | 1                         | 19         | 58               | 6                   | 2               | 0                     | 21          | 26            | 74                 | 56                 | 294          |
| Other Disciplinary Alternatives                            | 19                 | 32                        | 131                            | 180         | 4                          | 4                | 13                        | 3          | 40               | 66                  | 2               | 12                    | 157         | 145           | 631                | 286                | 1725         |
| Office Policy  | 6                  | 21                        | 196                            | 192         | 0                          | 5                | 7                         | 94         | 198              | 28                  | 13              | 10                    | 69          | 1042          | 1047               | 176                | 3104         |
| DOJ/Court Policy   | 0                  | 275                       | 7                              | 18          | 0                          | 2                | 1                         | 0          | 22               | 7                   | 0               | 0                     | 3           | 174           | 57                 | 41                 | 607          |
| Lack of Resources  | 2                  | 22                        | 141                            | 66          | 2                          | 8                | 6                         | 21         | 123              | 31                  | 11              | 10                    | 59          | 270           | 429                | 168                | 1369         |
| Jurisdiction, Venue, or Witness Problems                   | 5                  | 29                        | 24                             | 16          | 2                          | 7                | 1                         | 9          | 53               | 8                   | 1               | 9                     | 14          | 301           | 172                | 87                 | 738          |
| Weak or Insufficient Evidence                              | 40                 | 576                       | 461                            | 126         | 8                          | 39               | 20                        | 154        | 568              | 214                 | 39              | 57                    | 175         | 1613          | 1354               | 665                | 6109         |
| Statute of Limitations or Staleness Problems               | 3                  | 31                        | 32                             | 18          | 0                          | 6                | 0                         | 18         | 60               | 13                  | 4               | 19                    | 18          | 98            | 189                | 77                 | 586          |
| Agency Request   | 8                  | 67                        | 221                            | 137         | 1                          | 27               | 10                        | 75         | 278              | 68                  | 14              | 2                     | 84          | 571           | 912                | 461                | 2936         |
| Juvenile Suspect   | 3                  | 5                         | 48                             | 2           | 0                          | 0                | 0                         | 0          | 12               | 1                   | 0               | 3                     | 2           | 76            | 25                 | 34                 | 211          |
| Minimal Federal Interest                                   | 38                 | 32                        | 258                            | 91          | 2                          | 13               | 5                         | 16         | 110              | 29                  | 13              | 12                    | 98          | 1282          | 906                | 287                | 3192         |
| All Other Reasons  | 2                  | 12                        | 22                             | 3           | 0                          | 3                | 1                         | 6          | 16               | 3                   | 0               | 1                     | 9           | 188           | 67                 | 55                 | 388          |
| <b>Totals</b>  | <b>173</b>         | <b>1847</b>               | <b>2644</b>                    | <b>1100</b> | <b>29</b>                  | <b>217</b>       | <b>104</b>                | <b>752</b> | <b>2880</b>      | <b>740</b>          | <b>191</b>      | <b>213</b>            | <b>1041</b> | <b>9140</b>   | <b>9288</b>        | <b>4238</b>        | <b>34597</b> |

**Table 19**  
**Criminal Matters Declined -- Immediate and Later Declinations by Agency**  
**Fiscal Year Ended September 30, 2001**

| Declination Reason                          | Drug<br>Enforcement<br>Administration | Federal<br>Bureau of<br>Investigation | Immigration &<br>Naturalization<br>Service | All<br>Other<br>Justice | Postal<br>Service | Secret<br>Service | All<br>Other<br>Agencies | Totals |
|---|---------------------------------------|---------------------------------------|--|-------------------------|-------------------|-------------------|--------------------------|--------|
| No federal offense committed                | 33                                    | 1,106                                 | 31   | 53                      | 60                | 93                | 620                      | 1,996  |
| Lack of criminal intent                     | 325                                   | 2,378                                 | 77   | 74                      | 191               | 495               | 1,522                    | 5,062  |
| Suspect to be prosecuted on other charge    | 89                                    | 290                                   | 17   | 21                      | 23                | 41                | 188                      | 669    |
| Suspect to be prosecuted by other authority | 353                                   | 1,883                                 | 44   | 111                     | 193               | 706               | 1,306                    | 4,596  |
| Suspect serving sentence                    | 6                                     | 43                                    | 12   | 14                      | 8                 | 32                | 34                       | 149    |
| No known suspect                            | 13                                    | 374                                   | 4  | 11                      | 26                | 33                | 62                       | 523    |
| Suspect a fugitive                          | 4                                     | 18                                    | 11   | 7                       | 3                 | 6                 | 31                       | 80     |
| Suspect deceased                            | 14                                    | 101                                   | 1  | 1                       | 5                 | 2                 | 63                       | 187    |
| Suspect deported                            | 0                                     | 7                                     | 61   | 0                       | 5                 | 1                 | 2                        | 76     |
| Restitution being made                      | 0                                     | 36                                    | 1  | 3                       | 4                 | 8                 | 58                       | 110    |
| Other disciplinary alternatives             | 20                                    | 601                                   | 190  | 50                      | 135               | 76                | 653                      | 1,725  |
| Minimal federal interest                    | 38                                    | 968                                   | 90   | 36                      | 88                | 509               | 1,463                    | 3,192  |
| Offender's age, health                      | 4                                     | 101                                   | 4  | 4                       | 11                | 50                | 85                       | 259    |
| Suspect's cooperation                       | 38                                    | 52                                    | 3  | 4                       | 4                 | 19                | 64                       | 184    |
| Juvenile suspect                            | 5                                     | 74                                    | 2  | 4                       | 5                 | 90                | 31                       | 211    |
| Staleness                                   | 37                                    | 168                                   | 15   | 10                      | 25                | 31                | 134                      | 420    |
| Jurisdiction or venue problems              | 15                                    | 202                                   | 13   | 9                       | 9                 | 13                | 100                      | 361    |
| Weak or insufficient evidence               | 344                                   | 2,925                                 | 146  | 69                      | 225               | 422               | 1,978                    | 6,109  |
| Witness problems                            | 13                                    | 217                                   | 5  | 2                       | 3                 | 5                 | 132                      | 377    |
| Petite policy                               | 4                                     | 35                                    | 0  | 0                       | 1                 | 8                 | 53                       | 101    |
| Lack of resources                           | 75                                    | 493                                   | 67   | 38                      | 43                | 167               | 486                      | 1,369  |
| Department policy                           | 9                                     | 291                                   | 18   | 38                      | 12                | 6                 | 233                      | 607    |
| Office policy                               | 119                                   | 1,021                                 | 196  | 46                      | 128               | 221               | 1,373                    | 3,104  |
| Statute of limitations                      | 7                                     | 90                                    | 6  | 1                       | 5                 | 5                 | 52                       | 166    |
| Agency request                              | 191                                   | 1,353                                 | 142  | 52                      | 115               | 225               | 858                      | 2,936  |
| All other reasons                           | 2                                     | 13                                    | 0  | 1                       | 2                 | 2                 | 8                        | 28     |
| Totals                                      | 1,758                                 | 14,840                                | 1,156                                      | 659                     | 1,329             | 3,266             | 11,589                   | 34,597 |

**Table 20**  
**Asset Forfeiture Actions Handled By United States Attorneys**  
**Fiscal Year Ended September 30, 2001**

| District             | --- Criminal Cases --- |         | --- Criminal Property Records --- |         |                      | --- Civil Cases --- |         |                                | --- Estimated Recoveries --- |                              | Asset Forfeiture                       |
|----------------------|------------------------|---------|-----------------------------------|---------|----------------------|---------------------|---------|--------------------------------|------------------------------|------------------------------|--|
|                      | Filed                  | Pending | Filed                             | Pending | Judgment<br>For U.S. | Filed               | Pending | Case/Matter<br>Appraised Value | Cash                         | Retained for<br>Official Use | Proceeds<br>Applied to<br>Restitution* |
| Alabama, Middle      | 3                      | 4       | 13                                | 27      | 28                   | 13                  | 12      | \$2,838,070                    | \$845,949                    | \$0                          | \$0                                    |
| Alabama, Northern    | 8                      | 8       | 21                                | 22      | 1                    | 27                  | 47      | \$3,115,296                    | \$740,099                    | \$45,000                     | \$0                                    |
| Alabama, Southern    | 12                     | 23      | 49                                | 62      | 1                    | 6                   | 4       | \$90,006                       | \$1,642,964                  | \$45,000                     | \$0                                    |
| Alaska               | 1                      | 0       | 1                                 | 0       | 0                    | 6                   | 4       | \$650,046                      | \$1,477,615                  | \$0                          | \$0                                    |
| Arizona              | 25                     | 85      | 59                                | 272     | 30                   | 35                  | 70      | \$47,327,065                   | \$10,444,739                 | \$2,262                      | \$1,030,181                            |
| Arkansas, Eastern    | 10                     | 13      | 18                                | 29      | 11                   | 4                   | 3       | \$300,000                      | \$63,332                     | \$0                          | \$0                                    |
| Arkansas, Western    | 0                      | 0       | 0                                 | 0       | 0                    | 8                   | 7       | \$506,767                      | \$443,425                    | \$15,900                     | \$0                                    |
| California, Central  | 1                      | 1       | 1                                 | 1       | 0                    | 20                  | 37      | \$60,144,199                   | \$2,816,027                  | \$0                          | \$0                                    |
| California, Eastern  | 0                      | 31      | 0                                 | 74      | 0                    | 154                 | 228     | \$73,015,546                   | \$18,382,470                 | \$65,728                     | \$671,508                              |
| California, Northern | 27                     | 53      | 54                                | 203     | 24                   | 14                  | 17      | \$12,430,991                   | \$1,694,486                  | \$0                          | \$0                                    |
| California, Southern | 25                     | 45      | 54                                | 162     | 64                   | 64                  | 59      | \$4,901,648                    | \$1,372,460                  | \$105,955                    | \$0                                    |
| Colorado             | 0                      | 17      | 0                                 | 35      | 2                    | 17                  | 38      | \$17,765,285                   | \$2,520,954                  | \$0                          | \$0                                    |
| Connecticut          | 1                      | 1       | 1                                 | 1       | 0                    | 14                  | 29      | \$64,855,797                   | \$1,861,814                  | \$12,000                     | \$0                                    |
| Delaware             | 0                      | 1       | 0                                 | 1       | 0                    | 2                   | 5       | \$208,018                      | \$474,245                    | \$0                          | \$0                                    |
| District of Columbia | 9                      | 28      | 24                                | 101     | 1                    | 23                  | 40      | \$8,242,232                    | \$95,482                     | \$0                          | \$0                                    |
| Florida, Middle      | 9                      | 22      | 22                                | 64      | 48                   | 9                   | 6       | \$20,447,650                   | \$4,951,611                  | \$72,065                     | \$0                                    |
| Florida, Northern    | 452                    | 559     | 507                               | 680     | 11                   | 27                  | 49      | \$267,964,968                  | \$11,077,330                 | \$0                          | \$1,045,800                            |
| Florida, Southern    | 179                    | 198     | 460                               | 708     | 278                  | 62                  | 93      | \$260,495,862                  | \$2,807,638                  | \$88,777                     | \$0                                    |
| Georgia, Middle      | 40                     | 70      | 87                                | 113     | 41                   | 55                  | 49      | \$48,066,927                   | \$1,574,925                  | \$29,000                     | \$583,760                              |
| Georgia, Northern    | 11                     | 13      | 53                                | 54      | 6                    | 13                  | 15      | \$386,379                      | \$1,232,288                  | \$49,175                     | \$0                                    |
| Georgia, Southern    | 5                      | 3       | 9                                 | 3       | 7                    | 21                  | 15      | \$2,503,610                    | \$242,954                    | \$213,140                    | \$0                                    |
| Guam                 | 0                      | 1       | 0                                 | 2       | 2                    | 2                   | 3       | \$100,467                      | \$16,377                     | \$0                          | \$0                                    |
| Hawaii               | 11                     | 23      | 27                                | 69      | 2                    | 14                  | 19      | \$1,948,249                    | \$510,233                    | \$0                          | \$0                                    |
| Idaho                | 3                      | 6       | 8                                 | 107     | 42                   | 2                   | 8       | \$3,071,000                    | \$393,619                    | \$630                        | \$0                                    |
| Illinois, Central    | 52                     | 127     | 177                               | 439     | 48                   | 20                  | 33      | \$3,987,771                    | \$4,066,125                  | \$0                          | \$0                                    |
| Illinois, Northern   | 4                      | 4       | 13                                | 13      | 2                    | 6                   | 6       | \$444,172                      | \$994,114                    | \$0                          | \$38,161                               |
| Illinois, Southern   | 0                      | 0       | 0                                 | 0       | 0                    | 8                   | 5       | \$103,822                      | \$44,725                     | \$18,950                     | \$6,791                                |
| Indiana, Northern    | 1                      | 3       | 28                                | 32      | 0                    | 17                  | 11      | \$893,644                      | \$699,048                    | \$45,100                     | \$0                                    |
| Indiana, Southern    | 8                      | 6       | 53                                | 50      | 13                   | 14                  | 16      | \$439,040                      | \$1,490,076                  | \$0                          | \$0                                    |
| Iowa, Northern       | 11                     | 17      | 23                                | 36      | 13                   | 1                   | 2       | \$1,584,439                    | \$197,980                    | \$0                          | \$0                                    |
| Iowa, Southern       | 3                      | 4       | 5                                 | 6       | 0                    | 0                   | 0       | \$103,000                      | \$491,382                    | \$0                          | \$0                                    |
| Kansas               | 2                      | 11      | 4                                 | 14      | 1                    | 15                  | 24      | \$1,371,135                    | \$139,513                    | \$8,625                      | \$556,140                              |
| Kentucky, Eastern    | 0                      | 1       | 0                                 | 1       | 3                    | 5                   | 14      | \$11,779,923                   | \$3,252,484                  | \$281,732                    | \$0                                    |

Table 20 (Continued)

| District                 | --- Criminal Cases --- |         | --- Criminal Property Records --- |         |                      | --- Civil Cases --- |         |                                | --- Estimated Recoveries --- |                              | Asset Forfeiture           |
|--------------------------|------------------------|---------|-----------------------------------|---------|----------------------|---------------------|---------|--------------------------------|------------------------------|------------------------------|----------------------------|
|                          | Filed                  | Pending | Filed                             | Pending | Judgment<br>For U.S. | Filed               | Pending | Case/Matter<br>Appraised Value | Cash                         | Retained for<br>Official Use | Proceeds                   |
|                          |                        |         |                                   |         |                      |                     |         |                                |                              |                              | Applied to<br>Restitution* |
| Kentucky, Western        | 39                     | 47      | 58                                | 84      | 17                   | 0                   | 4       | \$52,540                       | \$696,475                    | \$1,428                      | \$305,334                  |
| Louisiana, Eastern       | 34                     | 40      | 85                                | 151     | 43                   | 0                   | 0       | \$57,688,769                   | \$2,593,739                  | \$0                          | \$451,970                  |
| Louisiana, Middle        | 0                      | 1       | 0                                 | 1       | 0                    | 1                   | 1       | \$0                            | \$649,284                    | \$0                          | \$0                        |
| Louisiana, Western       | 9                      | 6       | 16                                | 13      | 6                    | 0                   | 1       | \$0                            | \$281,048                    | \$28,550                     | \$0                        |
| Maine                    | 5                      | 4       | 7                                 | 6       | 3                    | 6                   | 4       | \$500,553                      | \$357,669                    | \$7,050                      | \$0                        |
| Maryland                 | 18                     | 24      | 81                                | 97      | 6                    | 47                  | 60      | \$24,619,197                   | \$1,008,833                  | \$6,200                      | \$0                        |
| Massachusetts            | 19                     | 57      | 81                                | 339     | 171                  | 20                  | 36      | \$6,422,906                    | \$1,418,732                  | \$0                          | \$0                        |
| Michigan, Eastern        | 12                     | 16      | 26                                | 71      | 15                   | 27                  | 34      | \$4,841,058                    | \$1,367,024                  | \$96,250                     | \$0                        |
| Michigan, Western        | 5                      | 4       | 88                                | 31      | 18                   | 10                  | 9       | \$738,909                      | \$19,399                     | \$0                          | \$0                        |
| Minnesota                | 61                     | 65      | 157                               | 177     | 36                   | 22                  | 23      | \$6,914,089                    | \$1,231,311                  | \$24,250                     | \$179,000                  |
| Mississippi, Northern    | 0                      | 0       | 0                                 | 0       | 2                    | 2                   | 20      | \$7,910,887                    | \$970,328                    | \$0                          | \$0                        |
| Mississippi, Southern    | 58                     | 61      | 133                               | 167     | 55                   | 6                   | 11      | \$3,502,750                    | \$216,210                    | \$0                          | \$0                        |
| Missouri, Eastern        | 0                      | 4       | 0                                 | 51      | 61                   | 60                  | 57      | \$3,275,076                    | \$1,855,395                  | \$24,630                     | \$0                        |
| Missouri, Western        | 12                     | 20      | 25                                | 50      | 9                    | 3                   | 8       | \$9,184,528                    | \$1,977,741                  | \$118,400                    | \$0                        |
| Montana                  | 3                      | 5       | 4                                 | 6       | 6                    | 2                   | 1       | \$0                            | \$550,771                    | \$39,523                     | \$0                        |
| Nebraska                 | 37                     | 29      | 78                                | 70      | 3                    | 12                  | 9       | \$174,660                      | \$672,941                    | \$20,088                     | \$0                        |
| Nevada                   | 15                     | 33      | 32                                | 123     | 37                   | 1                   | 3       | \$406,327                      | \$3,256,276                  | \$0                          | \$0                        |
| New Hampshire            | 1                      | 0       | 1                                 | 0       | 11                   | 13                  | 14      | \$907,635                      | \$561,169                    | \$0                          | \$43,001                   |
| New Jersey               | 0                      | 13      | 0                                 | 105     | 0                    | 50                  | 62      | \$32,111,740                   | \$8,042,689                  | \$0                          | \$0                        |
| New Mexico               | 1                      | 7       | 1                                 | 21      | 1                    | 46                  | 50      | \$8,612,359                    | \$897,704                    | \$11,867                     | \$0                        |
| New York, Eastern        | 35                     | 63      | 235                               | 514     | 223                  | 20                  | 20      | \$1,741,350                    | \$0                          | \$0                          | \$0                        |
| New York, Northern       | 11                     | 9       | 11                                | 10      | 0                    | 165                 | 255     | \$271,382,347                  | \$17,942,749                 | \$0                          | \$0                        |
| New York, Southern       | 7                      | 53      | 25                                | 157     | 0                    | 28                  | 59      | \$407,591,263                  | \$18,436,010                 | \$0                          | \$342,895                  |
| New York, Western        | 11                     | 23      | 74                                | 123     | 22                   | 37                  | 42      | \$7,918,096                    | \$1,255,165                  | \$0                          | \$50,676                   |
| North Carolina, Eastern  | 4                      | 3       | 4                                 | 3       | 3                    | 38                  | 26      | \$2,765,937                    | \$2,035,886                  | \$69,217                     | \$0                        |
| North Carolina, Middle   | 3                      | 2       | 11                                | 8       | 5                    | 22                  | 33      | \$5,843,160                    | \$1,145,632                  | \$0                          | \$132,563                  |
| North Carolina, Western  | 8                      | 21      | 58                                | 101     | 0                    | 11                  | 12      | \$20,417,200                   | \$1,901,911                  | \$0                          | \$0                        |
| North Dakota             | 2                      | 0       | 8                                 | 0       | 8                    | 2                   | 1       | \$1,500                        | \$0                          | \$0                          | \$0                        |
| Northern Mariana Islands | 0                      | 0       | 0                                 | 0       | 0                    | 3                   | 3       | \$39,000                       | \$0                          | \$0                          | \$0                        |
| Ohio, Northern           | 20                     | 23      | 182                               | 229     | 77                   | 28                  | 16      | \$4,441,817                    | \$4,552,841                  | \$208,564                    | \$0                        |
| Ohio, Southern           | 10                     | 21      | 44                                | 87      | 21                   | 25                  | 37      | \$2,524,930                    | \$3,925,581                  | \$99,821                     | \$0                        |
| Oklahoma, Eastern        | 5                      | 7       | 55                                | 63      | 10                   | 11                  | 18      | \$5,823,021                    | \$308,425                    | \$84,347                     | \$140,729                  |
| Oklahoma, Northern       | 0                      | 2       | 0                                 | 8       | 0                    | 2                   | 5       | \$336,800                      | \$70,000                     | \$0                          | \$0                        |
| Oklahoma, Western        | 12                     | 10      | 61                                | 73      | 16                   | 9                   | 9       | \$1,123,042                    | \$872,128                    | \$24,175                     | \$0                        |
| Oregon                   | 12                     | 20      | 17                                | 46      | 29                   | 15                  | 17      | \$664,737                      | \$2,066,533                  | \$0                          | \$0                        |

Table 20 (Continued)

| District                | --- Criminal Cases --- |         | --- Criminal Property Records --- |         |                   | --- Civil Cases --- |         |                             | --- Estimated Recoveries --- |                           | Asset Forfeiture                 |
|-------------------------|------------------------|---------|-----------------------------------|---------|-------------------|---------------------|---------|-----------------------------|------------------------------|---------------------------|----------------------------------|
|                         | Filed                  | Pending | Filed                             | Pending | Judgment For U.S. | Filed               | Pending | Case/Matter Appraised Value | Cash                         | Retained for Official Use | Proceeds Applied to Restitution* |
| Pennsylvania, Eastern   | 189                    | 383     | 214                               | 543     | 39                | 3                   | 11      | \$2,073,979                 | \$1,542,028                  | \$0                       | \$0                              |
| Pennsylvania, Middle    | 0                      | 2       | 0                                 | 7       | 0                 | 12                  | 11      | \$18,255,343                | \$1,672,125                  | \$0                       | \$0                              |
| Pennsylvania, Western   | 10                     | 25      | 26                                | 80      | 0                 | 23                  | 73      | \$9,204,672                 | \$3,624,632                  | \$0                       | \$267,837                        |
| Puerto Rico             | 4                      | 22      | 4                                 | 93      | 19                | 39                  | 42      | \$19,929,530                | \$4,002,820                  | \$0                       | \$0                              |
| Rhode Island            | 1                      | 2       | 14                                | 30      | 0                 | 5                   | 2       | \$26,994                    | \$469,277                    | \$0                       | \$0                              |
| South Carolina          | 48                     | 68      | 197                               | 388     | 29                | 10                  | 27      | \$107,479,599               | \$1,580,295                  | \$27,375                  | \$0                              |
| South Dakota            | 3                      | 2       | 3                                 | 2       | 1                 | 3                   | 4       | \$94,000                    | \$118,000                    | \$0                       | \$39,851                         |
| Tennessee, Eastern      | 19                     | 19      | 82                                | 68      | 36                | 17                  | 14      | \$761,685                   | \$2,393,691                  | \$77,876                  | \$30,210                         |
| Tennessee, Middle       | 15                     | 24      | 32                                | 70      | 4                 | 6                   | 20      | \$4,170,919                 | \$1,491,595                  | \$0                       | \$0                              |
| Tennessee, Western      | 1                      | 2       | 1                                 | 4       | 0                 | 15                  | 16      | \$613,806                   | \$190                        | \$0                       | \$0                              |
| Texas, Eastern          | 5                      | 7       | 10                                | 18      | 5                 | 25                  | 13      | \$532,375                   | \$1,699,225                  | \$0                       | \$2,399,667                      |
| Texas, Northern         | 48                     | 28      | 100                               | 59      | 29                | 5                   | 5       | \$729,252                   | \$723,657                    | \$141,845                 | \$100                            |
| Texas, Southern         | 7                      | 30      | 14                                | 105     | 5                 | 54                  | 64      | \$14,046,939                | \$500                        | \$0                       | \$0                              |
| Texas, Western          | 27                     | 34      | 96                                | 178     | 38                | 63                  | 81      | \$17,835,880                | \$1,877,405                  | \$247,896                 | \$0                              |
| Utah                    | 0                      | 4       | 0                                 | 15      | 0                 | 5                   | 12      | \$3,183,876                 | \$309,378                    | \$1,022                   | \$0                              |
| Vermont                 | 17                     | 18      | 51                                | 53      | 16                | 3                   | 7       | \$400,075                   | \$764,016                    | \$0                       | \$0                              |
| Virgin Islands          | 0                      | 0       | 0                                 | 0       | 0                 | 1                   | 4       | \$221,049                   | \$249,600                    | \$0                       | \$0                              |
| Virginia, Eastern       | 132                    | 80      | 386                               | 222     | 217               | 8                   | 4       | \$706,731                   | \$4,460,831                  | \$130,533                 | \$31,153                         |
| Virginia, Western       | 23                     | 23      | 138                               | 94      | 17                | 7                   | 8       | \$157,272                   | \$1,134,800                  | \$38,069                  | \$0                              |
| Washington, Eastern     | 0                      | 0       | 0                                 | 0       | 0                 | 6                   | 9       | \$2,280,419                 | \$398,400                    | \$0                       | \$0                              |
| Washington, Western     | 4                      | 21      | 49                                | 100     | 67                | 17                  | 46      | \$8,236,220                 | \$2,578,333                  | \$0                       | \$392,150                        |
| West Virginia, Northern | 0                      | 1       | 1                                 | 2       | 7                 | 2                   | 7       | \$192,236                   | \$190,661                    | \$0                       | \$0                              |
| West Virginia, Southern | 28                     | 35      | 74                                | 101     | 27                | 8                   | 5       | \$11,410,142                | \$815,080                    | \$79,882                  | \$0                              |
| Wisconsin, Eastern      | 11                     | 15      | 62                                | 153     | 12                | 19                  | 14      | \$369,659                   | \$1,027,581                  | \$0                       | \$0                              |
| Wisconsin, Western      | 15                     | 15      | 19                                | 18      | 11                | 5                   | 6       | \$237,988                   | \$995,980                    | \$19,025                  | \$0                              |
| Wyoming                 | 3                      | 4       | 3                                 | 4       | 0                 | 4                   | 4       | \$51,795                    | \$0                          | \$0                       | \$16,834                         |
| All Districts           | 1,992                  | 2,935   | 5,005                             | 8,773   | 2,172             | 1,807               | 2,437   | \$2,045,763,642             | \$196,316,181                | \$2,726,922               | \$8,756,309                      |

Estimated cash recoveries include the appraised values of property retained for official use.

Dollar amounts displayed for Asset Forfeiture proceeds applied to restitution do not constitute asset forfeitures collected. They represent amounts applied to restitution debts from cases in which asset forfeiture was pursued.