## COMMITTEE ON NATURAL RESOURCES

# **Disclosure Form**

# As required by and provided for in House Rule XI, clause 2(g) and the Rules of the Committee on Natural Resources

Oversight hearing on "Creating American Jobs by Harnessing Our Resources: Domestic Mining Opportunities and Hurdles."

For Individuals:
1. Name:
2. Address:
3. Email Address:
4. Phone Number:
* * * *
For Witnesses Representing Organizations:
1. Name: Harold P. Quinn, Jr.
2. Name of Organization(s) You are Representing at the Hearing: National Mining Association
3. Business Address: 101 Constitution Avenue NW, Suite 500 East, Washington, DC 20001
4. Business Email Address: [Information redacted for privacy]
5. Business Phone Number: [Information redacted for privacy]

Name/Organization: Harold P. Quinn, Jr./National Mining Association

Title/Date of Hearing: Committee on Natural Resources oversight hearing entitled "Creating American Jobs by Harnessing Our Resources: Domestic Mining Opportunities and Hurdles". Wednesday, September 14, 2011 at 10:00 a.m. in room 1324 Longworth House Office Building.

a. Any training or educational certificates, diplomas or degrees or other educational experiences that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn is a graduate of Denison University and received his law degree from Wake Forest University.

b. Any professional licenses, certifications, or affiliations held that are relevant to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn serves on the board of directors of the American Coal Foundation, National Energy Foundation, Coal Advisory Board, United States Energy Association and the Rocky Mountain Mineral Law Foundation. He also serves on the International Energy Agency's Coal Industry Advisory Board.

c. Any employment, occupation, ownership in a firm or business, or work-related experiences that relate to your qualifications to testify on or knowledge of the subject matter of the hearing.

Quinn is the President and CEO of the National Mining Association. NMA is the national trade association for the U.S. mining industry and represents coal, metal and industrial mineral producers, mineral processors, equipment manufacturers and other suppliers of goods and services to the domestic mining industry. As President and CEO, he directs the association's public policy efforts before Congress, regulatory agencies and the White House and sets the association's strategic agenda for media relations, grassroots communications and political involvement.

d. Any federal grants or contracts (including subgrants or subcontracts) from the <u>Department of the Interior</u> (<u>and /or other agencies invited</u>) that you have received in the current year and previous four years, including the source and the amount of each grant or contract.

None

e. A list of all lawsuits or petitions filed by you against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed.

None.

f. Any other information you wish to convey that might aid the Members of the Committee to better understand the context of your testimony.

Quinn brings more than 20 years of experience to his current position. He has represented mining's interests before the executive, legislative and judicial branches of government in a number of positions, including as NMA's Executive Vice President, as its Senior Vice President for legal and regulatory affairs and as General Counsel. He was General Counsel of the National Coal Association prior to the formation of NMA through the merger in 1995 of the American Mining Congress and the National Coal Association.

Name/Organization: Harold P. Quinn, Jr./National Mining Association

Title/Date of Hearing: Committee on Natural Resources oversight hearing entitled "Creating American Jobs by Harnessing Our Resources: Domestic Mining Opportunities and Hurdles". Wednesday, September 14, 2011 at 10:00 a.m. in room 1324 Longworth House Office Building.

In addition, for witnesses representing organizations:

g. Any offices, elected positions, or representational capacity held in the organization(s) on whose behalf you are testifying.

President and Chief Executive Officer of the National Mining Association

h. Any federal grants or contracts (including subgrants or subcontracts) from the <u>Department of the Interior</u> (<u>and /or other agencies invited</u>) that were received in the current year and previous four years by the organization(s) you represent at this hearing, including the source and amount of each grant or contract for each of the organization(s).

None.

i. A list of all lawsuits or petitions filed by the organization(s) you represent at the hearing against the federal government in the current year and the previous four years, giving the name of the lawsuit or petition, the subject matter of the lawsuit or petition, and the federal statutes under which the lawsuits or petitions were filed for each of the organization(s).

State of Wyoming v. EPA, 11-9508 (10th Cir.) (Wyoming GHG SIP call)(Clean Air Act)

Coalition for Responsible Regulation, et al v. EPA, 10-1092 (D.C. Cir.) (GHG Motor Vehicle Rule)(Clean Air Act)

GA Coalition for Sound Environment v. EPA, 10-1200 (D.C. Cir.)(GHG Tailoring Rule) (Clean Air Act)

American Iron and Steel Institute v. EPA, 10-1109 (D.C. Cir.)(Johnson Memo)(Clean Air Act)

Sierra Club v. EPA, 09-1301 (D.C. Cir.) (Coal Prep Plant NSPS)(Clean Air Act)

Natural Resources Defense Council v. EPA, 09-1065 (D.C. Cir.)(Fugitive Emissions Rule)(Clean Air Act)

American Farm Bureau Federation v. EPA, 06-1410 (D.C. Cir.)(PM NAAQS)(Clean Air Act)

Mississippi v. EPA, 08-1200 (D.C. Cir.)(Ozone NAAQS)(Clean Air Act)

New Jersey v. EPA, 08-1065 (D.C. Cir.)(NSR Reasonable Possibility in Recordkeeping Rule)(Clean Air Act)

State of New Jersey, et al., v. EPA, 05-1097 (D.C. Cir.)( Clean Air Mercury Rule (CAMR); Section 112 "Delisting")(Clean Air Act)

State of North Carolina v. EPA, 05-1244 (D.C. Cir.)(Clean Air Interstate Rule (CAIR))(Clean Air Act)

NRDC v. EPA, 07-1151 (D.C. Cir.) ("Exceptional Events Policy" Clean Air) (Clean Air Act)

American Petroleum Institute v. EPA, 09-1038 and 09-1041 (Definition of Solid Waste)(RCRA)

IN RE POLAR BEAR ENDANGERED SPECIES ACT LISTING AND § 4(d)RULE LITIGATION; Ctr. for Biological Diversity, et al. v. Salazar, 08-2113 (DDC (EGS) and Defenders of Wildlife v. U.S. Dep't of the Interior, 09-153 (DDC (EGS))(Polar Bear "4(d)" rule)(Endangered Species Act)

Earthworks v. Department of the Interior C.A. No. 09-01972 (Millsites; Ancillary Use; Fair Market Value)(FLPMA)

United Mine Workers of America v. Secretary of Labor, MSHA, 08-1147 (D.C. Cir.)(Mine Rescue Teams)(Federal Mine Safety and Health Act (FMSHA)

National Mining Association & Alabama Coal Association v. Secretary of Labor/MSHA (11<sup>th</sup> Cir.)(extended cuts)(FMSHA)

National Mining Association v. Secretary of Labor, MSHA 08-1241 (D.C. Circuit)(Diesel Particulate Matter)(FMSHA)

Scott Howard v. Secretary of Labor Docket 08-5799 (6<sup>th</sup> Circuit)(Respirable Coal Mine Dust)(FMSHA)

UMWA v. MSHA 09-1014 (D.C. Cir.)(Mine Refuge Chambers/Belt Air)(FMSHA)

Citizens Coal Council v. Kempthorne, No. 1:08-cv-00190-JR (D.D.C.)(Ownership and Control)(SMCRA)

NMA v. Kempthorne, 06-5199 (D.C. Cir.)(Valid Existing Rights)(SMCRA)

National Parks v. Salazar, No. 1:09-cv-00015HHK (D.D.C.); Coal River v. Salazar No. 1:08-cv-2212HHK (D.D.C.)(Stream Buffer Zone Rule)(SMCRA)

*NMA v. Office of Hearings and Appeals*, Civ. No. 04-128 (RWR) D.D.C. (Burden of Proof Regulations)(SMCRA)

Ohio Valley Environmental Coal v. Robert Antwerp 09-1635 (4<sup>th</sup> Cir)(Nationwide Permit 21)(Clean Water Act)

Kentucky Riverkeepers v. Rowlette, 05-CV-181-DLB (E.D. KY)(NWP 21 copycat)(Clean Water Act)

NMA v. Jackson No. 1:10-cv-01220 (D.D.C)(CWA Permit Moratorium)(Clean Water Act)

OVEC v. Bulen, Civ. No. 3:05-0784 (S.D. W. Va.) (Bulen II)(404 individual permits)(Clean Water Act)

Southeast Alaska Conservation Council v. U.S. Corps of Engineers, 486 F.3d 638 (9<sup>th</sup> Cir. 2007)(hardrock tailings for fill material)(Clean Water Act)

Wild Earth Guardians v. Salazar 1:10-cv-01174-CKK (D.D.C.)(Federal Coal Leasing)(National Environmental Policy Act)

j. A list of any countries from which the organization(s) you represent at the hearing have received foreign
donations and the total amount of donations received from each country, for the current year and the previous
four years, by each organization.

None.

k. For tax-exempt organizations and non-profit organizations, copies of the three most recent public IRS Form 990s (including Form 990-PF, Form 990-N, and Form 990-EZ) for each of the organization(s) you represent at the hearing (not including any contributor names and addresses or any information withheld from public inspection by the Secretary of the Treasury under 26 U.S.C. 6104)).

See attached forms for NMA.

# HAL QUINN PRESIDENT & CHIEF EXECUTIVE OFFICER NATIONAL MINING ASSOCIATION WASHINGTON, D.C.

Hal Quinn is president and chief executive officer of the National Mining Association (NMA). NMA is the national trade association for the U.S. mining industry and represents coal, metal and industrial mineral producers, mineral processors, equipment manufacturers and other suppliers of goods and services to the domestic mining industry. As president and CEO, he directs the association's public policy efforts before Congress, regulatory agencies and the White House and sets the association's strategic agenda for media relations, grassroots communications and political involvement.

Quinn brings more than 20 years of experience to his current position. He has represented mining's interests before the executive, legislative and judicial branches of government in a number of positions, including as NMA's executive vice president, as its senior vice president for legal and regulatory affairs and as general counsel. He was general counsel of the National Coal Association prior to the formation of the National Mining Association through the merger in 1995 of the American Mining Congress and National Coal Association.

Quinn serves on the board of directors of the American Coal Foundation, National Energy Foundation, United States Energy Association and the Rocky Mountain Mineral Law Foundation. He also serves on the International Energy Agency's Coal Industry Advisory Board.

Mr. Quinn is a graduate of Denison University and received his law degree from Wake Forest University.

Department of the Treasury Internal Revenue Service

# **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

Open to Public Inspection

ΑI	For the	e 2009 calendar year, or tax year beginning ar	nd ending		
В	Check if applicable	le: Please use IRS C Name of organization		D Employer identific	cation number
	Addre	ess label or print or NATIONAL MINING ASSOCIATION			
	Name chang	Doing Business As		52-1	916480
Ļ	Initial return Termi	Number and street (or P.U. DOX IT Mail IS NOT delivered to street address)		E Telephone number	
F	ated Amen	Instruc- LUI CONSTITUTION AVENUE NW	500E		463-2600
F	return	City or town, state or country, and ZIP + 4		G Gross receipts \$	18,814,630.
	tion pendi	MASHINGION, DC 20001-2133		H(a) Is this a group re	eturn
		F Name and address of principal officer:HAROLD QUINN		for affiliates?	Yes X No
_	<del>-</del>	SAME AS C ABOVE empt status:	\ <del>-</del>	H(b) Are all affiliates inc	
		empt status: LX 501(c) ( 6	27		list. (see instructions)
		forganization: X Corporation Trust Association Other	I Voor	of formation: 1995	State of legal domicile: <b>DE</b>
	art I	Summary	L Teal	oriormation. 1999 N	State of legal doffliche. DE
		Briefly describe the organization's mission or most significant activities: TO	PROMOTE	THE GENERA	I WELFARE
Governance	'	OF THE PRODUCERS OF COAL AND MINERALS,	REPRESE	NT THE COAL	AND
naı	2	Check this box if the organization discontinued its operations or disp			
Ve				3	76
ဇ္		Number of independent voting members of the governing body (Part VI, line 1b		·····	76
δ.	1	Total number of employees (Part V, line 2a)			40
/itie		Total number of volunteers (estimate if necessary)			76
Activities &		Total gross unrelated business revenue from Part VIII, column (C), line 12			0.
⋖		Net unrelated business taxable income from Form 990-T, line 34			0.
				Prior Year	Current Year
ō	8	Contributions and grants (Part VIII, line 1h)			1,467,473.
eun	9	Program service revenue (Part VIII, line 2g)		32,604,756.	13,119,897.
Revenue	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		-66,165.	522,521.
т.	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		5,399.	15,589.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12	)	32,543,990.	15,125,480.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)			
		Benefits paid to or for members (Part IX, column (A), line 4)			
es	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10		7,456,762.	7,867,052.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)			
Ä	b	Total fundraising expenses (Part IX, column (D), line 25)		14 010 660	0.000.044
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)		14,812,662.	
		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		22,269,424.	15,965,096.
	19	Revenue less expenses. Subtract line 18 from line 12		10,274,566.	-839,616.
Net Assets or Fund Balances		T	В	eginning of Current Year 23,309,610.	End of Year 23,459,850.
Sse	20	Total assets (Part X, line 16)		7,280,131.	5,381,539.
let /	21	Total liabilities (Part X, line 26)		16,029,479.	18,078,311.
P	22 art II	Net assets or fund balances. Subtract line 21 from line 20		10,020,4700	10,070,311.
	ui ( ii	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules	s and statements,	and to the best of my knowledg	ge and belief, it is true, correct,
		and complete. Declaration of preparer (other than officer) is based on all information of which preparer has	as any knowledge.		
Sig	ın				
Here		Signature of officer		Date	
		N ROGER ROBERTS, TREASURER/SVP FINANCE			
		Type or print name and title			
		Preparer's Date			er's identifying number
Pai		signature	sel em	r- iployed ▶ ☐	
	parer's	Firm's name (or JOHNSON LAMBERT & CO. LLP		EIN ▶	
USE	Only	self-employed), 700 SPRING FOREST ROAD, STE 11	5		
		address, and ZIP + 4 RALEIGH, NC 27609		Phone no. ► 9	19-719-6400
Ma	y the II	RS discuss this return with the preparer shown above? (see instructions)			X Yes No

#### Form **8453-EO**

#### **Exempt Organization Declaration and Signature for Electronic Filing**

For calendar year 2009, or tax year beginning	, 2009, and ending

2009

OMB No. 1545-1879

Department of the Treasury Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868 See instructions.

Name of exempt organization  NATIONAL MINING ASSOCIATION	Employer identification number 52-1916480
Part I Type of Return and Return Information (Whole Dollars Only)	
Check the box for the return for which you are using this Form 8453-EO and enter the applicable amount on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line for the return for which you are filing this or 5b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the more than one line in Part I.	form was blank, then leave line 1b, 2b, 3b, 4b
1a Form 990 check here Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b 1512548
2a Form 990-EZ check here b Total revenue, if any (Form 990-EZ, line 9)	2b
3a Form 1120-POL check here ▶ □ b Total tax (Form 1120-POL, line 22)	3b
4a Form 990-PF check here b Tax based on investment income (Form 990-PF, Part VI, II	ine 5) <b>4b</b>
5a Form 8868 check here ▶ □ b Balance due (Form 8868, line 3c)	
Part II Declaration of Officer  I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic further financial institution account indicated in the tax preparation software for payment of the organic and the financial institution to debit the entry to this account. To revoke a payment, I must con 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize processing of the electronic payment of taxes to receive confidential information necessary to the payment.  If a copy of this return is being filed with a state agency(ies) regulating charities as part of the If executed the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure consent contained within this return allowing disclosure by the second of the electronic disclosure by the ele	ization's federal taxes owed on this return, tact the U.S. Treasury Financial Agent at thorize the financial institutions involved in the answer inquiries and resolve issues related to
(as specifically identified in Part I above) to the selected state agency(ies).  Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization acknowledgement of receipt or reason for rejection of the transmission, (b) an indication of any refund offset, (c) the reason for any delay in providing the service provider.	is the amount shown on the copy of the organization's
Here Signature of officer Date Title	
Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer	(see instructions)
I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are continuously a collector, I am not responsible for reviewing the return and only declare that this return. The organization officer will have signed this form before I submit the return. I will give the officer a filed with the IRS, and have followed all other requirements in Pub. 4163. Modernized e-file (MeF) Information	form accurately reflects the data on the copy of all forms and information to be

for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

Check if Check ERO's SSN or PTIN also paid if self-FRO's ERO's employed signature Use Firm's name (or JOHNSON LAMBERT LLP & CO. EIN yours if self-employed), address, and ZIP code Only 700 SPRING FOREST ROAD, Phone no. RALEIGH, NC 27609 919-719-6400

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete.

Paid Preparer's **Use Only** 

er is based on an innormation	or which the preparer has any knowledge.			
Preparer's signature		Date	Check if self- employed	Preparer's SSN or PŢIN
Firm's name (or yours if self-employed), address, and ZIP code				EIN
address, and ZIP code				Phone no.



e-Postmark: 11/15/2010 2:41:54 PM Name: National Mining Association IRS Center: OGDEN

FEIN: 52-1916480 Refund: \$0.00 Notification:

Return His			
DCN	DATE	TYPE OF ACTIVITY	UPDATED BY
	11/15/2010	Upload Started	
	11/15/2010	Released for Transmission - Validation in Progress	System
	11/15/2010	Ready to transmit - Validation Complete	
	11/15/2010	Transmitted to FD	
	11/15/2010	Accepted by FD	

#### Form **8868**

(Rev. April 2009) Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

OMB No. 1545-1709

	The desputate application for each retain.		
	are filing for an Automatic 3-Month Extension, complete only Part I and check this box		
	are filing for an <b>Additional (Not Automatic) 3-Month Extension, complete only Part II</b> (on page 2 of this <b>complete Part II unless</b> you have already been granted an automatic 3-month extension on a previously fi		
Part I			
	ation required to file Form 990-T and requesting an automatic 6-month extension - check this box and com	plete	▶ □
All other to file inc	corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an come tax returns.	exter	nsion of time
noted be (not auto you mus	lic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extensic flow (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronic matic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or context submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic file gov/efile and click on e-file for Charities & Nonprofits.	cally i nsolid	f (1) you want the additional ated Form 990-T. Instead.
Type or	Name of Exempt Organization	Emp	loyer identification number
print	NATIONAL MINING ASSOCIATION	5	2-1916480
File by the due date for filling your return. See	Number, street, and room or suite no. If a P.O. box, see instructions.  101 CONSTITUTION AVENUE NW, NO. 500E		
Instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions.  WASHINGTON, DC 20001-2133		
Check ty	pe of return to be filed(file a separate application for each return):		
Fo	m 990	27 69	
Teleph If the o	ROGER ROBERTS  books are in the care of  101 CONSTITUTION AVE NW, STE. 500E - WAR  none No.  202-463-2600 FAX No.   borganization does not have an office or place of business in the United States, check this box is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this . If it is for part of the group, check this box and attach a list with the names and EINs of all results.	s is fo	r the whole group, check this
is fe	quest an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until $\overline{AUGUST}$ 16, 2010 , to file the exempt organization return for the organization named at or the organization's return for: $\overline{X}$ calendar year $\overline{2009}$ or $\overline{1000}$ and $\overline{1000}$ and $\overline{1000}$ , and ending $\overline{1000}$		The extension
2 If th	nis tax year is for less than 12 months, check reason: Initial return Final return		Change in accounting period
	his application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any prefundable credits. See instructions.	За	\$
	nis application is for Form 990-PF or 990-T, enter any refundable credits and estimated	<u> </u>	<u> </u>
	payments made. Include any prior year overpayment allowed as a credit.	3b	\$
dep	ance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, posit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System).	3c	\$ N/A
	7, 171, 171, 171, 171, 171, 171, 171, 1	<u>~</u>	Ψ 247,22

Form 8	868 (Rev. 4-2009)			Page 2
• If yo	ou are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this be	ox		► X
Note.	Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed			- cana
	ou are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).			
Part	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no c	opies	needed).	
Туре	Name of Exempt Organization	Emp	loyer id	entification number
print		١.		
File by th	NATIONAL MINING ASSOCIATION	5	2-19	16480
extended due date filling the	™ 101 CONSTITUTION AVENUE NW. NO. 500E	For	RS use o	only
return. S instruction				
X F	type of return to be filed (File a separate application for each return):  Form 990 Form 990-EZ Form 990-T (sec. 401(a) or 408(a) trust) Form 1041-A  Form 990-BL Form 990-PF Form 990-T (trust other than above) Form 4720		orm 5227 orm 6069	
STOP!	Do not complete Part II if you were not already granted an automatic 3-month extension on a previous	sly file	ed Form	8868.
Tele If the lifth box   4	ROGER ROBERTS  books are in the care of   101 CONSTITUTION AVE NW STE 500E - WASH sphone No.   2024632600 FAX No.   e organization does not have an office or place of business in the United States, check this box is is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If the lift is for part of the group, check this box and attach a list with the names and EINs of all request an additional 3-month extension of time until for calendar year 2009, or other tax year beginning fithis tax year is for less than 12 months, check reason:  Initial return  Final return	is is fo	r the who	ble group, check this
7 5	State in detail why you need the extension			
7	ADDITIONAL TIME IS NECESSARY TO FILE A COMPLETE AND AC	CUR	ATE 1	RETURN.
ņ	this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any conrefundable credits. See instructions.	8a	\$	
	this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated			
	ax payments made. Include any prior year overpayment allowed as a credit and any amount paid			
	previously with Form 8868.	8b	\$	
	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit			N/A
	vith FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.  Signature and Verification	8c	\$	N/A
Under p	enalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the correct, and complete, and that I am authorized to prepare this form.	best o	f my know	rledge and belief,
Signatur	e Dasn (ac Title D CPA	Date	▶ 7/	14/2012
				rm <b>8868</b> (Rev. 4-2009)

	1 990 (2009) NATIONAL MINING ASSOCIATION	52-1916480	Page <b>2</b>
	rt III Statement of Program Service Accomplishments  Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION		
1	Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION NMA'S MISSION IS TO CREATE AND MAINTAIN A BROAD BASE OF	DOT.TTTCAT.	
	SUPPORT FOR THE MINING INDUSTRY AND TO HELP THE NATION		
	ECONOMIC AND NATIONAL SECURITY BENEFITS OF AMERICA'S DO		ıc
	CAPABILITY. OUR OBJECTIVE IS TO ENGAGE IN AND INFLUENCE		NG .
		THE FUBLIC	
2	Did the organization undertake any significant program services during the year which were not listed on	Vac	X No
	the prior Form 990 or 990-EZ?	L Yes	S LZY NO
_	If "Yes," describe these new services on Schedule O.	□v <sub>a</sub> ,	X No
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?	L 1 es	S LZY NO
4	If "Yes," describe these changes on Schedule O.  Describe the exempt purpose achievements for each of the organization's three largest program services by ex	noncos	
4			
	Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of	grants and	
	allocations to others, the total expenses, and revenue, if any, for each program service reported.		
	(Code) \/Furance \( \text{(Code)} \)		```
4a		evenue\$ OMINISTRATIO	) <b>)</b>
	FEDERAL AGENCIES, THE JUDICIARY, AND THE MEDIA.	DHINIDINALIO	)IN ,
	FEDERAL AGENCIES, THE CODICIARI, AND THE MEDIA:		
4b	(Code: ) (Expenses \$ including grants of \$ ) (R	evenue \$	)
	HELD SPECIALIZED SEMINARS, WORKSHOPS, MINEXPO INTERNATION	ONAL, AND O	THER
	SIGNIFICANT MEETINGS AND EVENTS.		
4c		evenue \$	)
	THE FEDERATION FOR AMERICAN COAL, ENERGY AND SECURITY (		
	IS AN ALLIANCE OF PEOPLE FROM ALL WALKS OF LIFE WHO ARE	JOINING FOR	RCES
	TO EDUCATE LAWMAKERS AND THE GENERAL PUBLIC ABOUT THE I	MPORTANCE OF	<u>r</u>
	COAL AND COAL MINING TO LOCAL AND NATIONAL ECONOMIES AND	O TO THE	
	NATION'S ENERGY SECURITY. IN ADDITION TO KEEPING TENS OF		OF
	PEOPLE EMPLOYED IN GOOD-PAYING JOBS, COAL IS THE LIFEBLO		
	DOMESTIC ENERGY SUPPLY, GENERATING HALF THE ELECTRICITY		
	UNITED STATES TODAY.		

**4d** Other program services. (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses ►\$

Page 3

# Part IV Checklist of Required Schedules

			Yes	No		
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?	1		х		
	If "Yes," complete Schedule A					
2						
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I					
4	- (N) S					
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	Х			
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to					
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		X		
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,					
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X		
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		Х		
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide					
	credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		X		
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments?  If "Yes," complete Schedule D, Part V	10		Х		
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VIII, IX, or X					
	as applicable	11	X			
•	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,					
	Part VI.					
•	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total					
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.					
•	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total					
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.					
•	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in					
_	Part X, line 16? If "Yes," complete Schedule D, Part IX.  Point the experiencies we get an experient for a the pullabilities in Part X, line 053 If "Yes," complete Schedule D. Part X.					
•	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.					
•	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.					
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete					
12	Schedule D, Parts XI, XII, and XIII.	12	Х			
12Δ	Was the organization included in consolidated, independent audited financial statements for the tax year?  Yes No	12				
127	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional  12A X					
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Х		
	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х		
	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,					
	and program service activities outside the United States? If "Yes," complete Schedule F, Part I	14b		Х		
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization					
	or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		Х		
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals					
	located outside the United States? If "Yes," complete Schedule F, Part III	16		Х		
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			_		
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		X		
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines					
	1c and 8a? If "Yes," complete Schedule G, Part II	18		X		
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"	1		77		
	complete Schedule G, Part III	19		X		
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Х		

# Part IV Checklist of Required Schedules (continued)

			Yes	No
21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the			
	United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		X
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		х
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25	24a		Х
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified	200		
	person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		Х
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial			
	contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		Х
28	Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		X
С	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		Х
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		Х
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations?  If "Yes," complete Schedule N, Part I	31		Х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		Х
34	Was the organization related to any tax-exempt or taxable entity?			
	If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	X	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)?  If "Yes," complete Schedule R, Part V, line 2	35		Х
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?  If "Yes," complete Schedule R, Part V, line 2	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?  Note. All Form 990 filers are required to complete Schedule O.	38	X	

# 009) NATIONAL MINING ASSOCIATION Statements Regarding Other IRS Filings and Tax Compliance Part V

			Yes	No			
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of						
	U.S. Information Returns. Enter -0- if not applicable 1a 40						
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable						
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming						
	(gambling) winnings to prize winners?	1c	X				
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,						
	filed for the calendar year ending with or within the year covered by this return 2a 40						
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Х				
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)			37			
	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a		X			
	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b					
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a	_		Х			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		$\vdash$			
р	b If "Yes," enter the name of the foreign country:						
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and						
<b>5</b> 0	Financial Accounts.	E		х			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?  Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<u>5a</u> 5b		X			
b	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited	30		<del></del>			
C	Tax Shelter Transaction?	5c					
62	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit	30					
ou	any contributions that were not tax deductible?						
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts	6a		X			
-	were not tax deductible?	6b					
7	Organizations that may receive deductible contributions under section 170(c).						
	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services						
	provided to the payor?	7a					
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b					
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required						
	to file Form 8282?	7с					
d	If "Yes," indicate the number of Forms 8282 filed during the year						
е	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal						
	benefit contract?	7e					
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f					
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g					
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h					
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the						
	supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings						
_	at any time during the year?	8					
9	Sponsoring organizations maintaining donor advised funds.						
a	Did the organization make any taxable distributions under section 4966?	9a					
10	Did the organization make a distribution to a donor, donor advisor, or related person?	9b					
10	Section 501(c)(7) organizations. Enter:						
a	Initiation fees and capital contributions included on Part VIII, line 12						
11							
11	Section 501(c)(12) organizations. Enter:  Gross income from members or shareholders  11a						
a h	Gross income from members or shareholders  Gross income from other sources (Do not net amounts due or paid to other sources against						
b	amounts due or received from them.)						
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a					
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	120					
	,						

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

<u>Sec</u>	tion A. Governing Body and Management						
						Yes	No
1a	Enter the number of voting members of the governing body	1a		76			
b	Enter the number of voting members that are independent	1b		76			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship	ip witl	n any other				
	officer, director, trustee, or key employee?				2		_X_
3	Did the organization delegate control over management duties customarily performed by or under the						
	of officers, directors or trustees, or key employees to a management company or other person?				3		<u> </u>
4	Did the organization make any significant changes to its organizational documents since the prior Fo				4		X
5	Did the organization become aware during the year of a material diversion of the organization's asse				5		X
6	Does the organization have members or stockholders?				6	Х	
7a	Does the organization have members, stockholders, or other persons who may elect one or more me					37	
	governing body?				7a	Х	37
_	Are any decisions of the governing body subject to approval by members, stockholders, or other per				7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken	durir	g the year				
	by the following:				_	37	
	The governing body?				8a	X	
	Each committee with authority to act on behalf of the governing body?				8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be real				_		37
2	organization's mailing address? If "Yes," provide the names and addresses in Schedule O				9		X
sec	tion B. Policies (This Section B requests information about policies not required by the Internal R	leveni	ie Code.)			.,	
40-	December of the control of the contr			1	40-	Yes	No X
	Does the organization have local chapters, branches, or affiliates?				10a		
D	If "Yes," does the organization have written policies and procedures governing the activities of such	-			404		
					10b		
11 	Has the organization provided a copy of this Form 990 to all members of its governing body before f	iiiig t	ne ionii?		11		21
	Describe in Schedule O the process, if any, used by the organization to review this Form 990.  Does the organization have a written conflict of interest policy? If "No," go to line 13				100		Х
					12a		
b	Are officers, directors or trustees, and key employees required to disclose annually interests that cout to conflicts?	-			12b		
_	to conflicts?  Does the organization regularly and consistently monitor and enforce compliance with the policy? If				IZU		
·	So Ordered to Other Hills Southern				12c		
13	Does the organization have a written whistleblower policy?				13		X
14	Does the organization have a written document retention and destruction policy?				14	Х	
 15	Did the process for determining compensation of the following persons include a review and approve						
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		пасрепасті				
а	The organization's CEO, Executive Director, or top management official				15a	Х	
	Other officers or key employees of the organization				15b	X	
~	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)						
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrange	ment	with a				
	taxable entity during the year?				16a		Х
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to eva			n			
	in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the org						
	exempt status with respect to such arrangements?				16b		
Sec	tion C. Disclosure						
17	List the states with which a copy of this Form 990 is required to be filed ► NONE						
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-	T (501	(c)(3)s only) av	ailable	for		
	public inspection. Indicate how you make these available. Check all that apply.						
	Own website Another's website X Upon request						
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, or	conflic	t of interest po	olicy, ar	nd fina	ncial	
	statements available to the public.						
20	State the name, physical address, and telephone number of the person who possesses the books a	ınd re	cords of the or	ganizat	ion: 🕨		
	ROGER ROBERTS - 202-463-2600						
	101 CONSTITUTION AVE NW STE 500E, WASHINGTON, DC	20	001				

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

(A)	(B)	ny current officer, direct (C)			,		(D)	(E)	(F)		
Name and Title	Average	Position				1		Reportable	Reportable	Estimated	
	hours	(cl	(check all that apply				ly)	compensation	compensation	amount of	
	per	tor	tor					from	from related	other	
	week	. direc				pa		the organization	organizations (W-2/1099-MISC)	compensation from the	
		stee or	nstee			ensat		(W-2/1099-MISC)	(44-2/1099-141130)	organization	
		al tru	onal tr		oloyee	comb		(** 27 1000 111100)		and related	
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			organizations	
KEITH ALESSI											
DIRECTOR	1.00	Х						0.	0.	0.	
DAN ALLAN											
DIRECTOR	1.00	Х						0.	0.	0.	
JACK ATWATER								_	_	_	
DIRECTOR	1.00	Х						0.	0.	0.	
PHILLIPS BAKER, JR.											
DIRECTOR	1.00	Х						0.	0.	0.	
ROBERT BENSON	1 00								0	0	
DIRECTOR	1.00	Х						0.	0.	0.	
DON BLANKENSHIP	1 00	3,7							0	0	
DIRECTOR JOHN BLASCHAK	1.00	Х						0.	0.	0.	
DIRECTOR	1.00	x						0.	0.	0.	
C. BOLTON, JR.	1.00	^						0.	0.	0.	
DIRECTOR	1.00	X						0.	0.	0.	
ALEX BOULTON	1.00	123							•	0.	
DIRECTOR	1.00	x						0.	0.	0.	
JAMES BOYD		<del> </del>						•			
DIRECTOR	1.00	x						0.	0.	0.	
MICHAEL BROWN											
DIRECTOR	1.00	Х						0.	0.	0.	
FRANK CALANDRA, JR.											
DIRECTOR	1.00	Х						0.	0.	0.	
DAVID CAMPBELL											
DIRECTOR	1.00	Х						0.	0.	0.	
JOSEPH CARRABBA											
DIRECTOR	1.00	X						0.	0.	0.	
GERARD CARROLL								_	_	_	
DIRECTOR	1.00	X						0.	0.	0.	
NICK CARTER										_	
DIRECTOR	1.00	X				<u> </u>		0.	0.	0.	
RED CONGER										•	
DIRECTOR	1.00	ΙX	1	l	l		l	0.	0.	0.	

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(A)	(B)		(C)					(D)	(E)	(F)	
Name and title	Average hours	(c		Pos all t		ı app	ly)	Reportable compensation	Reportable compensation	Estimate amount	
	per week	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	from the organization (W-2/1099-MISC)	from related organizations (W-2/1099-MISC)	other compensa from th organizat and relat organizati	ation ne tion ted
JOSEPH CRAFT III											
DIRECTOR	1.00	Х						0.	0.		0.
CINDY CRANE											
DIRECTOR	1.00	Х						0.	0.		0.
CHRISTOPHER CURFMAN											
DIRECTOR	1.00	Х						0.	0.		0.
GENE DIXON											
DIRECTOR	1.00	Х						0.	0.		0.
GARY DRUMMOND											
DIRECTOR	1.00	Х						0.	0.		0.
RAYMOND DUBOIS											
DIRECTOR	1.00	Х						0.	0.		0.
MARK DUNN											
DIRECTOR	1.00	Х						0.	0.		0.
ROBERT EVANS											
DIRECTOR	1.00	Х						0.	0.		0.
DAVID FARNSWORTH											
DIRECTOR	1.00	Х						0.	0.		0.
CLIFF FORREST											
DIRECTOR	1.00	X						0.	0.		0.
1b Total						<b>&gt;</b>		3,961,448.	0.	830,7	66.
2 Total number of individuals (including but	not limited to the	nose	liste	ed al	bove	e) wł	no re	eceived more than \$100	,000 in reportable		
compensation from the organization											15
										Yes	No

For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual Х 4 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to

Х

#### the organization? If "Yes," complete Schedule J for such person **Section B. Independent Contractors**

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	<b>(C)</b> Compensation
WEBER MERRITT STRATEGIES, 414 N WASHINGTON		
STREET, STE 301, ALEXANDRIA, VA 22314	PR MANAGEMENT	1,104,450.
CROWELL & MORING, 1001 PENNSYLVANIA AVE		
NW, NO. 11, WASHINGTON, DC 20004	LEGAL CONSULTANT	458,953.
COMMUNITY STRATEGIES	GRASSROOTS	
915 S COLUMBIA ST, ALEXANDRIA, VA 22314	CONSULTANT	197,050.
INFRASTRUCTURE DEVELOPMENT STRATEGIES, 444	GOVERNMENT AFFAIRS	
N CAPITOL ST, NO. 216, WASHINGTON, DC	CONSULTANT	176,700.
RAFFANIELLO & ASSOCIATES, 1099 NEW YORK	GOVERNMENT AFFAIRS	
AVE NW, STE 635, WASHINGTON, DC 20001	CONSULTANT	175,000.
2 Total number of independent contractors (including but not limited to those lister \$100,000 in compensation from the organization ▶ 8	d above) who received more than	

		,		NG ASSOC	IATION		52-1916	480 Page 9
Ра	rt VII	III Statement of Reve	nue		(A) Total revenue	(B) Related or	(C) Unrelated	( <b>D</b> ) Revenue excluded from
						exempt function revenue	business revenue	tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts		a Federated campaigns						
ge G	b	<b>b</b> Membership dues						
r a	C	c Fundraising events d Related organizations						
s, g nila	d	e Government grants (contribu						
ion Si		f All other contributions, gifts, gran						
the	-	similar amounts not included abo		467,473.				
얼	g	g Noncash contributions included in lines						
g g	h	h Total. Add lines 1a-1f		<b></b>	1,467,473.			
				Business Code				
ice		MEMBERSHIP DUES		900099	12982248.	12982248.		
ne v	b	DIIDI TOAMTONO	DNFERENC	900099	137,420.			
w Nen	C	DWIITDER CDACE I	O E NITE A T.	900099	1,004. -775.	1,004. -775.		
Program Service Revenue	d e		XENTAL	300033	-115•	-775•		
۲.	f		enue					
	g	g Total. Add lines 2a-2f			13119897.			
	3	Investment income (including						
		other similar amounts)			635,257.			635,257.
	4	Income from investment of ta						
	5	Royalties						
	6.0	a Gross Rents	(i) Real 24,150.	(ii) Personal				
	b							
	c	c Rental income or (loss)	4					
	d			<b></b>	15,589.			15,589.
	7 a	a Gross amount from sales of	(i) Securities	(ii) Other				
		assets other than inventory	3567853.					
	b	<b>b</b> Less: cost or other basis	3680589.					
	_	and sales expenses	-112736.					
		c Gain or (loss) d Net gain or (loss)			-112,736.			-112,736.
an l		a Gross income from fundraisir			,			,
Other Revenue		including \$						
Jeve		contributions reported on line	e 1c). See					
er		Part IV, line 18						
₹		b Less: direct expenses						
		<ul><li>Net income or (loss) from fun</li><li>Gross income from gaming a</li></ul>		<b>P</b>				
	Эа	Part IV, line 19						
	b	<b>b</b> Less: direct expenses						
		c Net income or (loss) from gar						
	10 a	a Gross sales of inventory, less	returns					
		and allowances						
		<b>b</b> Less: cost of goods sold						
-	С	Net income or (loss) from sale						
	11 a	Miscellaneous Revenu		Business Code				
	ii a b							
	c							
	d	d All other revenue						
	е	e Total. Add lines 11a-11d			4 5 4 0 5 1 0 0	1011000		F00 111
	12	Total revenue. See instructions.			15125480.	13119897.	0.	538,110.

#### Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D). (A) Total expenses (C) Management and general expenses (B) **(D)** Fundraising Do not include amounts reported on lines 6b. Program service 7b. 8b. 9b. and 10b of Part VIII. expenses expenses Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 Grants and other assistance to individuals in the U.S. See Part IV, line 22 Grants and other assistance to governments. organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 ..... Benefits paid to or for members Compensation of current officers, directors. 3,145,429. trustees, and key employees ..... Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Other salaries and wages 3,145,755. 7 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) 848,253. Other employee benefits 443,645. 9 283,970. Payroll taxes 10 Fees for services (non-employees): Management 39,978. Legal 205,460. Accounting 283,802. Lobbying Professional fundraising services. See Part IV. line 17 7,766. Investment management fees \_\_\_\_\_ 2,371,118. Other Advertising and promotion 1,119,862. 12 245,327. 13 Office expenses 47,309. 14 Information technology 15 Royalties 1,290,057. 16 Occupancy 117,202. Travel 17 18 Payments of travel or entertainment expenses for any federal, state, or local public officials 381,865. Conferences, conventions, and meetings 19 20 Interest Payments to affiliates 21 30,288. Depreciation, depletion, and amortization ..... 22 83,568. 23 Insurance Other expenses. Itemize expenses not covered 24 above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.) 1,469,061. FACES OF COAL DUES & SUBSCRIPTIONS 332,647. BAD DEBT 38,306. 31,895. TRAINING 1,887.**MISCELLANEOUS** 646. f All other expenses 15,965,096. Total functional expenses. Add lines 1 through 24f 25 Joint costs. Check here 
if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined

Form **990** (2009)

educational campaign and fundraising solicitation

**Balance Sheet** Part X (B) (A) Beginning of year End of year 1,000. 1,000. 1 Cash - non-interest-bearing 1 12,029,435. 849,288. 2 Savings and temporary cash investments 2 3 Pledges and grants receivable, net 3 1,897,405. 969,186. 4 Accounts receivable, net 4 5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II 5 of Schedule L Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete 6 Part II of Schedule L 7 Notes and loans receivable, net 7 Inventories for sale or use 8 341,717. 365,444. 9 Prepaid expenses and deferred charges 9 10a Land, buildings, and equipment: cost or other 941,643. basis. Complete Part VI of Schedule D ...... 10a 860,419. 97,331. 10c 81,224. b Less: accumulated depreciation 10b 8,936,746. 21,130,668. Investments - publicly traded securities 11 11 Investments - other securities. See Part IV, line 11 12 12 Investments - program-related. See Part IV, line 11 13 13 14 Intangible assets 14 5,976. 63,040. 15 Other assets. See Part IV, line 11 15 23,309,610. 23,459,850. 16 Total assets. Add lines 1 through 15 (must equal line 34) 16 996,173. 1,156,582. 17 17 Accounts payable and accrued expenses 18 18 Grants payable 7,100. 19 19 Deferred revenue Tax-exempt bond liabilities 20 20 Escrow or custodial account liability. Complete Part IV of Schedule D 21 iabilities Payables to current and former officers, directors, trustees, key employees, 22 highest compensated employees, and disqualified persons. Complete Part II 22 23 Secured mortgages and notes payable to unrelated third parties 23 24 Unsecured notes and loans payable to unrelated third parties 24 6,283,958. Other liabilities. Complete Part X of Schedule D 25 4,217,857. 25 7,280,131. 5,381,539. 26 **Total liabilities.** Add lines 17 through 25 Organizations that follow SFAS 117, check here 

X

and complete lines 27 through 29, and lines 33 and 34. Net Assets or Fund Balances 17,718,570. 15,596,487. Unrestricted net assets 27 27 359,741. 432,992. 28 28 Temporarily restricted net assets Permanently restricted net assets 29 Organizations that do not follow SFAS 117, check here complete lines 30 through 34. Capital stock or trust principal, or current funds 30 30 Paid-in or capital surplus, or land, building, or equipment fund ..... 31 31 Retained earnings, endowment, accumulated income, or other funds 32 32 16,029,479. 18,078,311. 33 33 Total net assets or fund balances 23,309,610. 23,459,850. 34 Total liabilities and net assets/fund balances 34

X Separate basis Consolidated basis Both consolidated and separate basis

or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit

Act and OMB Circular A-133?

Pa	rt XI   Financial Statements and Reporting			
			Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other			
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit,			
	review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a			
	consolidated basis separate basis or both:			

Form **990** (2009)

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#### **SCHEDULE C**

(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service gamzatione zxempti rem moeme rax enact coolien ou i(e) and coolien

Complete if the organization is described below.
 Attach to Form 990 or Form 990-EZ.
 See separate instructions.

• Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.

- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

• Section 501(c)(4), (5), or (6) organizations: Complete Part III.

#### If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

NAT	NATIONAL MINING ASSOCIATION							
	ne organization is exempt ur		or is a section 527 o	rganization.				
Political expenditures     Volunteer hours	organization's direct and indirect poli		<b>&gt;</b> \$					
	ne organization is exempt ur							
1 Enter the amount of any exc	ise tax incurred by the organization u	nder section 4955	<b>&gt;</b> \$					
3 If the organization incurred a	ise tax incurred by organization mana a section 4955 tax, did it file Form 472	20 for this year?		Yes No				
Part I-C Complete if the	ne organization is exempt ur	nder section 501(c),	except section 501(	c)(3).				
<ol> <li>Enter the amount directly ex</li> <li>Enter the amount of the filing exempt function activities</li> </ol>	pended by the filing organization for s g organization's funds contributed to ditures. Add lines 1 and 2. Enter here	section 527 exempt function other organizations for sec	on activities \$	0.				
	iditures. Add lines 1 and 2. Enter here		<b>▶</b> ¢					
For each organization listed, that were promptly and direct	and employer identification number ( enter the amount paid from the filing ctly delivered to a separate political or needed, provide information in Part IV	organization's funds. Also rganization, such as a sep V. SEE PART IV	o enter the amount of polit arate segregated fund or a FOR CONTINUA	ical contributions received a political action committee				
<b>(a)</b> Name	(b) Address	<b>(c)</b> EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization.  If none, enter -0				
COALPAC	WASHINGTON, DC 20001	52-1322749	0.	11,356.				
MINEPAC	WASHINGTON, DC 20001	52-1939409	0.	15,118.				

Schedule C (Form 990 or 990-EZ) 2009 NATIONAL MINING ASSOCIATION 52-1916480 Page 2 Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)). if the filing organization belongs to an affiliated group. A Check B Check ▶ if the filing organization checked box A and "limited control" provisions apply. (a) Filing (b) Affiliated group Limits on Lobbying Expenditures organization's totals (The term "expenditures" means amounts paid or incurred.) totals 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) **b** Total lobbying expenditures to influence a legislative body (direct lobbying) c Total lobbying expenditures (add lines 1a and 1b) d Other exempt purpose expenditures e Total exempt purpose expenditures (add lines 1c and 1d) Lobbying nontaxable amount. Enter the amount from the following table in both columns. If the amount on line 1e, column (a) or (b) is: The lobbying nontaxable amount is: Not over \$500,000 20% of the amount on line 1e. Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000. Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000. Over \$17,000,000 \$1,000,000. g Grassroots nontaxable amount (enter 25% of line 1f) h Subtract line 1g from line 1a. If zero or less, enter -0i Subtract line 1f from line 1c. If zero or less, enter -0j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ່ Yes 4-Year Averaging Period Under Section 501(h) (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.) Lobbying Expenditures During 4-Year Averaging Period Calendar year (a) 2006 (b) 2007 (c) 2008 (d) 2009 (e) Total (or fiscal year beginning in) 2a Lobbying nontaxable amount **b** Lobbying ceiling amount (150% of line 2a, column(e)) c Total lobbying expenditures d Grassroots nontaxable amount e Grassroots ceiling amount (150% of line 2d, column (e))

Schedule C (Form 990 or 990-EZ) 2009

f Grassroots lobbying expenditures

# Schedule C (Form 990 or 990-EZ) 2009 NATIONAL MINING ASSOCIATION 52-191648 | Part II-B | Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)	
		Yes	No	Amo	ount
1	During the year, did the filing organization attempt to influence foreign, national, state or				
	local legislation, including any attempt to influence public opinion on a legislative matter				
	or referendum, through the use of:				
а	Volunteers?				
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?				
	Media advertisements?				
d	Mailings to members, legislators, or the public?				
	Publications, or published or broadcast statements?				
f	Grants to other organizations for lobbying purposes?				
g	Direct contact with legislators, their staffs, government officials, or a legislative body?				
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?				
i	Other activities? If "Yes," describe in Part IV				
	Total. Add lines 1c through 1i				
	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?				
	If "Yes," enter the amount of any tax incurred under section 4912				
	If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?		_		
Pai	t III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(6)	on 501(c)(5	o), or se	ection	
	501(c)(6).			Yes	No
	Managed to the stable of 100000 and the stable of the stab			163	X
1	Were substantially all (90% or more) dues received nondeductible by members?				X
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?		2	X	
Dai	Did the organization agree to carryover lobbying and political expenditures from the prior year?  t III-B Complete if the organization is exempt under section 501(c)(4), section				
rai	501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Par				
	"Yes."	A,	C O 13 a	iiswci cu	
1	Dues, assessments and similar amounts from members		1	12,982	2.248.
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of politic			,	
_	expenses for which the section 527(f) tax was paid).	zai			
а	Current year		2a	2.839	899.
	Carryover from last year		—		5,038.
	Total				937.
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues				1,497.
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exc			,	,
•	does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and p				
	expenditure next year?		4		
5	Taxable amount of lobbying and political expenditures (see instructions)		. 5	-78	3,560.
	t IV   Supplemental Information				•
Com	plete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; ar	d Part II-B. lir	ne 1i. Also	o, complete	this part
	ny additional information.	,		, .	
	RT I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS INF	ORMATIO	ON:		
COZ	ALPAC				
10:	1 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001				
MII	NEPAC				

101 CONSTITUTION AVE NW STE 500E WASHINGTON, DC 20001

Schedule C (Form 990 or 990-EZ) 2009

# Schedule D

(Form 990)

Department of the Treasury Internal Revenue Service

# **Supplemental Financial Statements**

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

➤ Attach to Form 990. ➤ See separate instructions.

2009
Open to Public Inspection

Name of the organization

NATIONAL MINING ASSOCIATION

Employer identification number 52-1916480

Pai	tΙ	Organizations Maintaining Donor Advised	d Funds or Other Similar Funds	s or Acc	<b>Counts.</b> Complete if the
		organization answered "Yes" to Form 990, Part IV, line	6.		
			(a) Donor advised funds	(b)	Funds and other accounts
1	Total	number at end of year			
2		gate contributions to (during year)			
3		egate grants from (during year)			
4		egate value at end of year			
5		e organization inform all donors and donor advisors in w	riting that the assets held in donor advis	sed funds	
		e organization's property, subject to the organization's e	_		
6		e organization inform all grantees, donors, and donor ad			
•		aritable purposes and not for the benefit of the donor or			
		missible private benefit?			• — —
Pai	t II	Conservation Easements. Complete if the organization			
1		ose(s) of conservation easements held by the organization		- C. C. T. V., III.	<u> </u>
•		Preservation of land for public use (e.g., recreation or pl		etorically i	mnortant land area
	П	Protection of natural habitat	Preservation of a cert		
		Preservation of open space	1 reservation of a cen	tilled Histo	one structure
2	Comr	plete lines 2a through 2d if the organization held a qualific	ad concervation contribution in the form	of a cons	convotion accoment on the last
2	-	f the tax year.	ed conservation contribution in the form	or a corre	ervation easement on the last
	uay u	i tile tax year.			Held at the End of the Tax Year
_	Takal			<del> </del>	
a		number of conservation easements			<u>2a                                     </u>
D		acreage restricted by conservation easements			<u>2b</u>
C		per of conservation easements on a certified historic stru			2c
d		per of conservation easements included in (c) acquired a			2d
3		per of conservation easements modified, transferred, rele	eased, extinguished, or terminated by the	e organiza	ation during the tax
	year				
4		per of states where property subject to conservation ease			
5		the organization have a written policy regarding the period			
_		ons, and enforcement of the conservation easements it			
6		and volunteer hours devoted to monitoring, inspecting, a			
7		ant of expenses incurred in monitoring, inspecting, and e			
8		each conservation easement reported on line 2(d) above			
_					Yes  No
9		t XIV, describe how the organization reports conservation	•		·
		le, if applicable, the text of the footnote to the organization.	on's financial statements that describes	the orgar	nization's accounting for
Dai		ervation easements.  Organizations Maintaining Collections of	Art Historical Transuras or O	thor Si	milar Assats
rai	t III	Complete if the organization answered "Yes" to Form 9		Tire Si	illiai Assets.
		Complete if the organization answered Tes to Form of	, 1 art 10, iii e 0.		
4.	If the	examination elected as permitted under SEAS 116, not	to report in its revenue statement and b	مام مممام	and works of out biotoxical
ıa		organization elected, as permitted under SFAS 116, not			
		ures, or other similar assets held for public exhibition, educate at a financial statement that decaying a those it		iblic servic	ce, provide, in Part XIV, the text of
		otnote to its financial statements that describes these it			
D		organization elected, as permitted under SFAS 116, to re	-		
		er similar assets held for public exhibition, education, or	research in furtherance of public service	e, provide	trie rollowing amounts relating to
		items:			•
		evenues included in Form 990, Part VIII, line 1			
					\$
2		organization received or held works of art, historical trea		ai gain, pr	ovide
		llowing amounts required to be reported under SFAS 11	_		_
а		nues included in Form 990, Part VIII, line 1			<b>5</b>
b	Asset	s included in Form 990, Part X			<b>\$</b>

	t III Organizations Maintaining C	collections of A				or Othe	er Simil		ts (cont		
3	Using the organization's acquisition, accessi										
J	(check all that apply):	on, and other record	as, criccit	arry or the	Tollowing the	at are a si	grimoarit	use of its	CONCOLIC	II ILCIII.	3
а	Public exhibition	d	. 🗆 .	oan or eve	hange progr	ame					
b	Scholarly research	e			nange progr						
		e	;	ei							
C	Preservation for future generations	alloctions and avalo	in how the	av fuutbar t	ha araani <del>-</del> at	ion'o ovo	mnt nurn	ooo in Dor	+ VIV		
4	Provide a description of the organization's co							use III Fai	L AIV.		
5	During the year, did the organization solicit o								Yes		1
Dai	to be sold to raise funds rather than to be matter than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be sold to raise funds rather than to be matter to be ma										No
rai	reported an amount on Form 990, Par		ete ii orga	anization ar	nswered "Ye	s" to Fori	11 990, Pa	art IV, line	9, or		
10	Is the organization an agent, trustee, custodi		dian, for a	ontribution	on or other or	nooto not	inaludad				
Ia									Yes		No
	on Form 990, Part X?								⊔ res		] NO
D	If "Yes," explain the arrangement in Part XIV	and complete the ic	bilowing ta	abie.					Λ		
_	Descipation in a large						4-		Amoun		
	Beginning balance										
	Additions during the year										
_	Distributions during the year										
f	Ending balance						<b>1</b> f		T.,	$\overline{}$	Τ
	Did the organization include an amount on Fo		21?						<b>⊻</b> Yes		J No
	b If "Yes," explain the arrangement in Part XIV.  Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.										
Pai	t V Elidowillett Fullus. Complete i	-			1						h I -
		(a) Current year	( <b>b)</b> Pr	ior year	(c) Two yea	ITS DACK	(d) Three	ears back	<b>(e)</b> Fou	years	раск
	Beginning of year balance										
	Contributions										
	Net investment earnings, gains, and losses										
d	Grants or scholarships										
е	Other expenditures for facilities										
	and programs										
f	Administrative expenses										
g	End of year balance										
2	Provide the estimated percentage of the year	r end balance held a	as:								
а	Board designated or quasi-endowment		_%								
b	Permanent endowment >	%									
С	Term endowment >	%									
За	Are there endowment funds not in the posse	ssion of the organiz	ation that	are held a	ind administe	ered for tl	ne organi	zation			
	by:									Yes	No
	(i) unrelated organizations								3a(i)		
	(ii) related organizations								3a(ii)		
b	If "Yes" to 3a(ii), are the related organizations	s listed as required o	on Schedi	ule R?					3b		
4	Describe in Part XIV the intended uses of the	e organization's endo	owment fo	unds.							
Pai	t VI Investments - Land, Building	gs, and Equipm	ent. See	Form 990	, Part X, line	10.					
	Description of investment	(a) Cost or o	other	(b) Cost	or other	(c) A	ccumulate	ed	(d) Boo	k value	_ <u></u>
		basis (investr	ment)	basis	(other)	dep	oreciation				
1a	Land										
	Buildings										
	Leasehold improvements				9,239.		29,1			0,08	
	Equipment				2,758.	-	599,6	85.		3,0'	
	Other	l l		25	9,646.		231,5	78.		8,00	
Total	. Add lines 1a through 1e. (Column (d) must e	qual Form 990, Part	X, colum	n (B), line 1	10(c).)			<b></b>	8	1,22	24.

Schedule D (Form 990) 2009

MATTOMAT.	MINITNO	ASSOCIATION
14A     () 4A	171   10   10(7	AGGOLLALION

Part VII	Investments - Other Securities. Se	e Form 990, Part X, lin	e 12.		
(	(a) Description of security or category (including name of security)	(b) Book value	Co	(c) Method of valuates or end-of-year mar	
Financial d	erivatives				
Closely-hel	d equity interests				
Other					
Total. (Col (	b) must equal Form 990, Part X, col (B) line 12.)				
	I Investments - Program Related. Se	ee Form 990, Part X, li	ne 13.		
	(a) Description of investment type	(b) Book value		(c) Method of valuates	
-					
Total, (Col (	b) must equal Form 990, Part X, col (B) line 13.)				
	Other Assets. See Form 990, Part X, line				
		Description			(b) Book value
		·			
Total. (Col	umn (b) must equal Form 990, Part X, col (B) line	9 15.)			
Part X				Í	
1.	(a) Description of liability		(b) Amount		
Federal inc	come taxes				
DUE TO	O RELATED PARTY		82,800.		
CAPITZ	AL LEASE		220,666.		
<b>ACCRU</b>	ED PENSION OBLIGATION		3,853,224.		
DEFERI	RED COMPENSATION LIABILI	TY	61,167.		
			· · · · · · · · · · · · · · · · · · ·		
Total. (Col	umn (b) must equal Form 990, Part X, col (B) line	25.)	4,217,857.		

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

	dule D (Form 990) 2009 NATIONAL MINING ASSOCIATION		-1 <b>-</b> 1			191648U Pa	age 4
Pa	rt XI Reconciliation of Change in Net Assets from Form 990 to	Audite	ed Financ	ciai Stai	emen		00
1	Total revenue (Form 990, Part VIII, column (A), line 12)			1		15,125,4	
2	Total expenses (Form 990, Part IX, column (A), line 25)			2		15,965,0	
3	Excess or (deficit) for the year. Subtract line 2 from line 1			3		-839,6	
4	Net unrealized gains (losses) on investments			4		2,368,0	28.
5	Donated services and use of facilities			5			
6	Investment expenses			6			
7	Prior period adjustments			7			
8	Other (Describe in Part XIV.)			8		520,4	
9	Total adjustments (net). Add lines 4 through 8			9		2,888,4	
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and			10		2,048,8	32.
Pai	t XII Reconciliation of Revenue per Audited Financial Stateme	nts Wi	th Rever	ue per	Retur		
1	Total revenue, gains, and other support per audited financial statements				1	17,502,0	<u>69.</u>
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:						
а	Net unrealized gains on investments	2a	2,36	8,028	•		
	Donated services and use of facilities						
	Recoveries of prior year grants						
	Other (Describe in Part XIV.)			8,561	7		
	Add lines 2a through 2d				2e	2,376,5	89.
3	Subtract line <b>2e</b> from line <b>1</b>				3	15,125,4	80.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:						
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a					
	Other (Describe in Part XIV.)						
	Add lines <b>4a</b> and <b>4b</b>				4c		0.
5	Total revenue. Add lines <b>3</b> and <b>4c.</b> (This must equal Form 990, Part I, line 12.)					15,125,4	80.
	rt XIII Reconciliation of Expenses per Audited Financial Statemen	ents W	ith Expe	nses pe	r Retu		
1	Total expenses and losses per audited financial statements					16,494,0	77.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:						
	Donated services and use of facilities	2a					
	Prior year adjustments						
	Other losses	-					
	Other (Describe in Part XIV.)	-	52	8,981			
	Add lines 2a through 2d	-			2e	528,9	81.
3	Subtract line <b>2e</b> from line <b>1</b>					15,965,0	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:					, , , , , ,	
-	Investment expenses not included on Form 990, Part VIII, line 7b	4a					
	Other (Describe in Part XIV.)	4b					
	A.1.12				4c		0.
	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)					15,965,0	
	rt XIV Supplemental Information						
	plete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III	l lines 1s	and 4. Pa	rt IV lines	1h and	2h: Part V line 4: F	Part
	e 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also comp						art
	RT X: MANAGEMENT HAS CONCLUDED THAT THE ASS				aditioni	a momation.	
MA	INTAINED ITS EXEMPT STATUS; ALL REVENUE WIT	THIN	THE S'	TATEM	ENT	OF	
	· · · · · · · · · · · · · · · · · · ·						
AC	TIVITIES HAS BEEN PROPERLY CLASSIFIED FOR T	THE Y	EAR E	NDED :	DECE	MBER 31,	
						•	
200	9 AND DOES NOT HAVE ANY UNCERTAIN TAX POS	ITION	IS AS	OF DE	CEMB	ER 31,	
						· · · · · · · · · · · · · · · · · · ·	
200	09.						
PAI	RT XI, LINE 8 - OTHER ADJUSTMENTS:						

FAS 158 ADJUSTMENT: 520420.

Department of the Treasury

Internal Revenue Service

Part I

# **Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

➤ Attach to Form 990. ► See separate instructions. OMB No. 1545-0047

Open to Public Inspection

Name of the organization

NATIONAL MINING ASSOCIATION

**Questions Regarding Compensation** 

**Employer identification number** 52-1916480

Yes No 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. First-class or charter travel Housing allowance or residence for personal use Travel for companions Payments for business use of personal residence X Health or social club dues or initiation fees Tax indemnification and gross-up payments Discretionary spending account Personal services (e.g., maid, chauffeur, chef) b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain Х 1b Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, Х trustees, and the CEO/Executive Director, regarding the items checked in line 1a? 2 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. X Written employment contract Compensation committee X Compensation survey or study X Independent compensation consultant X Approval by the board or compensation committee ☐ Form 990 of other organizations During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: Х a Receive a severance payment or change-of-control payment? 4a  $\overline{\mathbf{x}}$ Participate in, or receive payment from, a supplemental nonqualified retirement plan? 4b X c Participate in, or receive payment from, an equity-based compensation arrangement? 4c If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III. Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: The organization? 5b b Any related organization? If "Yes" to line 5a or 5b, describe in Part III. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? Any related organization? 6b If "Yes" to line 6a or 6b, describe in Part III. For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III 7 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III 8 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

		(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C)	(D)	(E)	(F)
<b>(2)</b> (1)	Ī	(i) Base	(ii) Bonus &	(iii) Other	Retirement and other deferred	Nontaxable benefits	Total of columns (B)(i)-(D)	Compensation reported in prior
(A) Name		compensation	incentive	reportable	compensation	Derionio	(5)(1) (5)	Form 990 or
			compensation	compensation	·			Form 990-EZ
	(i)	424,518.	253,000.	39,673.	65,523.	27,071.	809,785.	0.
HAROLD QUINN, JR.	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	141,170.	28,000.	9,765.	24,401.	12,547.	215,883.	0.
ROGER ROBERTS	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	159,180.	35,000.	16,260.	31,941.	25,596.	267,977.	0.
MARY SWEENEY	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	217,930.	70,000.	23,918.	64,048.	32,374.	408,270.	0.
BRUCE WATZMAN	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	137,880.	22,900.	23,173.	40,296.	11,388.	235,637.	0.
MOYA PHELLEPS	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	207,990.	38,000.	25,366.	103,605.	25,814.	400,775.	0.
DANIEL GERKIN	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	273,430.	80,000.	17,010.	36,173.	35,069.	441,682.	0.
MERLYN NOLAN	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	218,170.	50,000.	27,504.	55,851.	13,895.	365,420.	0.
CAROL RAULSTON	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	140,930.	25,000.	9,765.	28,710.	25,383.	229,788.	0.
KAREN BENNETT	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	134,872.	22,000.	15,331.	47,184.	21,066.	240,453.	0.
DAVID FINKENBINDER	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	157,978.	21,750.	9,384.	20,080.	12,718.	221,910.	0.
JULIA GUSTAFSON	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	200,170.	30,900.	31,410.	12,297.	12,982.	287,759.	0.
GLENN KELLY	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	118,170.	15,000.	25,128.	32,258.	12,496.	203,052.	0.
LUKE POPOVICH	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)	0.	0.	463,823.	0.	0.	463,823.	0.
KRAIG NAASZ	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: CLUB BENEFITS ARE AVAILABLE TO MEMBERS OF SENIOR MANAGEMENT

ON AN AS-APPROPRIATE BASIS. FIVE OFFICERS OR KEY EMPLOYEES RECEIVED CLUB

DUES AS A BENEFIT, WHICH WAS NONTAXABLE TO THE RECIPIENTS.

PART I, LINE 1B: NMA DOES NOT HAVE A WRITTEN POLICY CONCERNING CLUB DUES.

THEY ARE APPROVED BY THE CEO ON A CASE-BY-CASE BASIS FOR SENIOR MANAGEMENT

WITH A VALID BUSINESS PURPOSE AND ARE REIMBURSED BASED UPON ACTUAL COST.

PART I, LINE 4A:

KRAIG NAASZ SEVERANCE PAYMENTS 463,823

HAROLD QUINN, JR. NON-QUALIFIED RETIREMENT PLAN 16,500

Department of the Treasury Internal Revenue Service

# **Continuation Sheet for Form 990**

➤ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

➤ See the Instructions for Form 990.

OMB No. 1545-0047

2009
Open to Public

Open to Public Inspection

Name of the Organization

NATIONAL MINING ASSOCIATION

Employer Identification number 52-1916480

NATIONAL		52-1916480								
Part I   Continuation of Officers, D	oyees, and Highes	yees, and Highest Compensated Employees								
(A)	(B)			(0	C)			(D)	(E)	(F)
Name and title	Average			Pos	ition			Reportable	Reportable	Estimated
	hours	(cl	(check all that apply)					compensation	compensation	amount of
	per							from	from related	other
	week	١.				) yee		the	organizations	compensation
		ector				)d w		organization	(W-2/1099-MISC)	from the
		or dir	gy.			ated (		(W-2/1099-MISC)		organization
		stee	truste		ao	bens				and related
		nal frı	onal		ploye	m oo :				organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN GALASSINI		드	드	0	¥	エ	<u></u>			
DIRECTOR	1.00	X						0.	0.	0.
TIM GITZEL	1.00	<u> </u>						0.	0.	
DIRECTOR	1.00	X						0.	0.	0.
DAVID GODLEWSKI	1.00	<u> </u>						0.	0.	•
DIRECTOR	1.00	X						0.	0.	0.
JOHN GRISHAM	1.00	<u> </u>						0.	0.	
DIRECTOR	1.00	X						0.	0.	0.
BENJAMIN GUENTHER	1.00	^						0.	0.	· ·
DIRECTOR	1.00	X						0.	0.	0.
LEROY HAGENBUCH	1.00	^						0.	0.	0.
DIRECTOR	1.00	X						0.	0.	0.
PETER HALPIN	1.00	^						0.	0.	0.
DIRECTOR	1.00	x						0.	0.	^
JOHN HAMRICK	1.00	_						0.	0.	0.
DIRECTOR	1.00	x						0.	0.	0.
DOUGLAS HARDMAN	1.00	^						0.	0.	0.
DIRECTOR	1.00	x						0.	0.	0.
J. BRETT HARVEY	1.00	_						0.	0.	0.
DIRECTOR	1.00	x						0.	0.	0.
BENNETT HATFIELD	1.00	_						0.	0.	0.
DIRECTOR	1 00	x						0.	0.	^
RON HOCHSTEIN	1.00	_						0.	0.	0.
DIRECTOR	1.00	x						0.	0.	^
ROBERT HOGAN, JR.	1.00	^						0.	0.	0.
DIRECTOR	1.00							0.	0.	0.
THOMAS JANECK	1.00	^						0.	0.	<u> </u>
DIRECTOR	1.00							0.	0.	0.
CHARLES JEANNES	1.00	^						0.	0.	<u> </u>
DIRECTOR	1.00	X						0.	0.	0.
TONY JENSEN	1.00	^						0.	0.	<u> </u>
DIRECTOR	1.00	X						0.	0.	0.
GLENN KELLOW	1.00	^						0.	0.	<u> </u>
DIRECTOR	1.00	X						0.	0.	0.
KEITH KIMBLE	1.00	^		$\vdash$		_		"	0.	<u> </u>
DIRECTOR	1.00	X						0.	0.	0.
GREG LANG	1.00	^		$\vdash$		_		"	0.	<u> </u>
DIRECTOR	1.00	X						0.	0.	0.
GUY LEBLANC	1.00	ᢡ				<u> </u>		"	0.	<u></u>
DIRECTOR	1.00	x						0.	0.	0.
LUA For Privacy Act and Benerwork Reduction	<b>.</b>	_		H		<u></u>	<u> </u>			(Form 000) 2000

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J-2 (Form 990) 2009

# **Continuation Sheet for Form 990**

➤ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

➤ See the Instructions for Form 990.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the Organization

NATIONAL MINING ASSOCIATION

 $Employer\ Identification\ number\\ 52-1916480$ 

	MINING							arrage and Highes	1 Componented 1	
Part I Continuation of Officers, D							ipio			
(A)	(B)		(C)					(D)	(E)	(F)
Name and title	Average	١.,			ition			Reportable	Reportable	Estimated
	hours	(cl	(check all that				ly)	compensation	compensation	amount of
	per week					a.		from the	from related organizations	other compensation
	week	Į.				ploye		organization	(W-2/1099-MISC)	from the
		direct				ma p		(W-2/1099-MISC)	(** 2/ 1033 1/1100)	organization
		ee or	stee			nsate		(** = / ********************************		and related
		trust	nal tru		oyee	adwo				organizations
		Individual trustee or director	Institutional trustee	er	Key employee	Highest compensated employee	ner			
		lndi	Insti	Officer	Key	High	Former			
STEVEN LEER										
DIRECTOR	1.00	Х						0.	0.	0.
KEVIN LOUGHREY										
DIRECTOR	1.00	Х						0.	0.	0.
TOM MACKALL										
DIRECTOR	1.00	Х						0.	0.	0.
MICHAEL MCLANAHAN										
DIRECTOR	1.00	Х						0.	0.	0.
ROBERT MURRAY										
DIRECTOR	1.00	Х						0.	0.	0.
FRED NELSON										
DIRECTOR	1.00	Х						0.	0.	0.
RICHARD O'BRIEN										
DIRECTOR	1.00	Х						0.	0.	0.
DAVID POTTER										
DIRECTOR	1.00	Х						0.	0.	0.
MICHAEL QUILLEN										
DIRECTOR	1.00	Х						0.	0.	0.
JOHN RICH, JR.										
DIRECTOR	1.00	Х						0.	0.	0.
DUANE RICHARDS										
DIRECTOR	1.00	Х						0.	0.	0.
GEORGE RICHMOND										
DIRECTOR	1.00	Х						0.	0.	0.
DANIEL ROLING										
DIRECTOR	1.00	Х						0.	0.	0.
BRIAN RONCK										
DIRECTOR	1.00	Х						0.	0.	0.
MARK SMITH										
DIRECTOR	1.00	Х						0.	0.	0.
RICHARD SMITH										
DIRECTOR	1.00	Х						0.	0.	0.
MARK SNYDER										
DIRECTOR	1.00	Х						0.	0.	0.
VICTOR STABIO										
DIRECTOR	1.00	X				L	L	0.	0.	0.
ROBERT STENGER										
DIRECTOR	1.00	X					L	0.	0.	0.
BRIAN SULLIVAN										_
DIRECTOR	1.00	Х						0.	0.	0.

Department of the Treasury Internal Revenue Service

# **Continuation Sheet for Form 990**

➤ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

➤ See the Instructions for Form 990.

OMB No. 1545-0047
2009

Open to Public Inspection

Name of the Organization

NATIONAL MINING ASSOCIATION

Employer Identification number 52-1916480

NATIONAL									52-191			
Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  (A) (B) (C) (D) (E) (F)												
(A)		(D)	(E)	(F)								
Name and title	Average		Position					Reportable	Reportable	Estimated		
	hours	(cl	check all that apply)				ly)	compensation	compensation	amount of		
	per							from	from related	other		
	week	_				loyee		the	organizations	compensation		
		irecto				emp		organization (W-2/1099-MISC)	(W-2/1099-MISC)	from the		
		e or c	tee			satec		(88-2/1099-181130)		organization and related		
		fruste	al trus		yee	mper				organizations		
		Individual trustee or director	nstitutional trustee	æ	Key employee	Highest compensated employee	er					
		Indiv	Instit	Officer	Key 6	High	Former					
MICHAEL SUTHERLIN												
DIRECTOR	1.00	Х						0.	0.	0.		
WILLIAM TATE												
DIRECTOR	1.00	Х						0.	0.	0.		
CHARLES UNGUREAN												
DIRECTOR	1.00	Х						0.	0.	0.		
JOSEPH USIBELLI, JR.												
DIRECTOR	1.00	Х						0.	0.	0.		
DENNIS WHEELER												
DIRECTOR	1.00	Х						0.	0.	0.		
RICHARD WHITING												
DIRECTOR	1.00	Х						0.	0.	0.		
GARY GOLDBERG												
CHAIRMAN	1.00	Х		Х				0.	0.	0.		
GREGORY BOYCE												
VICE CHAIRMAN	1.00	Х		x				0.	0.	0.		
FRANCIS MCALLISTER												
DIRECTOR	1.00	Х						0.	0.	0.		
HAROLD QUINN, JR.												
PRESIDENT & CEO	35.00			X				717,191.	0.	92,594.		
ROGER ROBERTS												
TREASURER/SVP FINANCE	35.00			Х				178,935.	0.	36,948.		
MARY SWEENEY												
ASSISTANT SECRETARY	35.00			Х				210,440.	0.	57,537.		
BRUCE WATZMAN												
SECRETARY	35.00			Х				311,848.	0.	96,422.		
MOYA PHELLEPS												
SVP MEMBERSHIP	35.00				Х			183,953.	0.	51,684.		
DANIEL GERKIN												
SVP POLITICAL	35.00				Х			271,356.	0.	129,419.		
MERLYN NOLAN												
SVP GOVERNMENT AFFAIRS	35.00				Х			370,440.	0.	71,242.		
CAROL RAULSTON												
SVP COMMUNICATIONS	35.00				Х			295,674.	0.	69,746.		
KAREN BENNETT												
DIRECTOR, WATER QUALITY	35.00					Х		175,695.	0.	54,093.		
DAVID FINKENBINDER												
VP CONGRESSIONAL AFFAIRS	35.00					Х		172,203.	0.	68,250.		
JULIA GUSTAFSON												
DIRECTOR, CONGRESSIONAL	35.00					Х		189,112.	0.	32,798.		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J-2 (Form 990) 2009

# **Continuation Sheet for Form 990**

Department of the Treasury Internal Revenue Service ➤ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

➤ See the Instructions for Form 990.

OMB No. 1545-0047

2009
Open to Public Inspection

Name of the Organization

NATIONAL MINING ASSOCIATION

Employer Identification number 52-1916480

NATIONAL					52-1916480					
Part I Continuation of Officers, Di	rectors, Tr	ust	ees	s, K	<b>Cey</b>	t Compensated	Employees			
(A)	(B)			((	C)			(D)	(E)	(F)
Name and title	Average	Position						Reportable	Reportable	Estimated
	hours	(check all that apply)					ly)	compensation	compensation	amount of
	per	Ė				Ė	Ī	from	from related	other
	week					yee		the	organizations	compensation
		ctor				oldu		organization	(W-2/1099-MISC)	from the
		Individual trustee or director				Highest compensated employee		(W-2/1099-MISC)		organization
		tee o	Institutional trustee			ensa				and related
		l frus	naltr		Key employee	dmo				organizations
		vidus	tutio	Je.	emp	esto	ner			
		Indi	Insti	Officer	Key	High	Former			
GLENN KELLY										
VP GOVERNMENT AFFAIRS	35.00					Х		262,480.	0.	25,279.
LUKE POPOVICH	33100							202,1001		20,2,50
	35.00					v		150 200	0.	11 751
VP EXTERNAL COMMUNICATIO	35.00					Х		158,298.	0.	44,754.
KRAIG NAASZ										
FORMER PRESIDENT & CEO	0.00						Х	463,823.	0.	0.
		_	_		_					
		_	-	-			_			

#### **SCHEDULE O**

(Form 990)

# Supplemental Information to Form 990

Department of the Treasury Internal Revenue Service Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

Attach to Form 990.

2009
Open to Public Inspection

Name of the organization

NATIONAL MINING ASSOCIATION

Employer identification number 52-1916480

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: MINERAL INDUSTRY ON PUBLIC POLICY ISSUES BEFORE CONGRESS. THE EXECUTIVE BRANCH, AND THE JUDICIARY AND PREPARE AND DISTRIBUTE INFORMATION TO CARRY OUT THESE PURPOSES. FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: POLICY PROCESS ON THE MOST SIGNIFICANT AND TIMELY ISSUES THAT IMPACT OUR ABILITY TO LOCATE, PERMIT, MINE, PROCESS, TRANSPORT, AND UTILIZE THE NATION'S VAST COAL AND MINERAL RESOURCES. NMA SERVICES ITS MEMBERSHIP BY: -PROMOTING THE PRODUCTION AND USE OF COAL AND MINERAL RESOURCES PRODUCED BY THE US MINING INDUSTRY; -ESTABLISHING A STRONG POLITICAL PRESENCE IN THE NATION'S CAPITAL ON BEHALF OF NMA'S MEMBERSHIP; -SERVING AS THE INFORMATION CENTER FOR AND A SINGLE VOICE OF THE US MINING INDUSTRY; -AND ADDRESSING THE CURRENT AND FUTURE NEEDS OF THE INDUSTRY, MINING EQUIPMENT MANUFACTURERS, AND SUPPORT SERVICES MEMBERS OF THE ASSOCIATION. FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES: DEVELOP GENERAL INDUSTRY AND ISSUE SPECIFIC ADVERTISING CAMPAIGNS

FORM 990, PART VI, SECTION A, LINE 6: ANY ORGANIZATION, CORPORATION,

#### **SCHEDULE 0**

(Form 990)

# **Supplemental Information to Form 990**

Complete to provide information for responses to specific questions on

Department of the Treasury Internal Revenue Service	Form 990 or to provide any additional information.  ▶ Attach to Form 990.		Open to Public Inspection
Name of the organizatio	n NATIONAL MINING ASSOCIATION		identification number 916480
MINING ACTIV	ITIES SHALL BE ELIGIBLE FOR MEMBERSHIP. MEMBE	RSHIP	IN THE
ASSOCIATION	CONSISTS OF THE FOLLOWING CLASSES:		
PRODUCER MEM	BERSHIP - ANY ORGANIZATION CORPORATION, PARTN	ERSHIP	, COMPANY,
OR INDIVIDUA	L ENGAGED IN THE MINING AND PRODUCTION OR COA	L OR M	INERALS.
PRODUCERS ME	MBERS ARE DIVIDED INTO TWO SEPARATE CLASSES (	I) COA	L PRODUCER
MEMBERS AND	(II) MINERAL PRODUCER MEMBERS.		
MANUFACTURER	S AND SERVICES MEMBERSHIP - ANY ORGANIZATION,	CORPO	RATION
PARTNERSHIP,	COMPANY, OR INDIVIDUAL ENGAGED IN THE MANUFA	CTURE	OF MINING
MACHINERY, E	QUIPMENT, AND SUPPLIES. MINING RELATED SERVIC	ES OR	CLOSELY
ASSOCIATED W	ITH AND INTERESTED IN PROMOTING THE GENERAL W	ELFARE	OF THE
MINING INDUS	TRY, BUT NOT ENGAGED IN MINING.		
FORM 990, PA	RT VI, SECTION A, LINE 7A: THE BOARD OF DIREC	TORS S	HALL
CONSIST OF D	IRECTORS SELECTED AS FOLLOWS:		
1) FORTY DIR	ECTORS ELECTED BY, AND REPRESETING AS A CLASS	, COAL	PRODUCER
MEMBERS; AND	FORTY DIRECTORS ELECTED BY, AND REPRESENTING	AS A	CLASS,
MINERAL PROD	UCER MEMBERS; AND		
2) FOURTEEN	DIRECTORS ELECTED BY, AND REPRESENTING AS A C	LASS,	
MANUFACTURER	S AND SERVICES MEMBERS; AND		

3) THE PRESIDENT OF THE ASSOCIATION, EX OFFICIO.

#### **SCHEDULE O**

(Form 990)

**Supplemental Information to Form 990** 

Department of the Treasury Internal Revenue Service Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

Attach to Form 990.

2009
Open to Public Inspection

Name of the organization NATIONAL MINING ASSOCIATION	Employer identification number 52-1916480
DIRECTORS SHALL BE ELECTED BY A MAJORITY VOTE AT THE ANNU	AL MEETING OF THE
ASSOCIATION.	
FORM 990, PART VI, SECTION B, LINE 11: FORM 990 IS REVIEW	ED BY SENIOR
MANAGEMENT AND GENERAL COUNSEL AND ANY CHANGES ARE COMMUN	ICATED TO THE
OUTSIDE ACCOUNTANTS PRIOR TO FILING THE RETURN.	
FORM 990, PART VI, SECTION B, LINE 15: THE ORGANIZATION U	TILIZES A
COMPENSATION CONSULTANT TO PERFORM A BI-ANNUAL COMPENSATI	ON SURVEY OF
COMPARABLE TRADE ASSOCIATIONS. NATIONAL MINING ASSOCIATIO	N'S GOAL WHEN
SETTING SALARIES FOR ALL EMPLOYEES IS TO HAVE THEIR COMPE	NSATION AT THE
75TH PERCENTILE OF COMPARABLE TRADE ASSOCIATIONS USING TH	E RESULTS FROM
THIS SURVEY. FURTHERMORE, THE PRESIDENT AND CEO'S SALARY	IS NEGOTIATED VIA
CONTRACT WITH THE FOLLOWING BOARD MEMBERS: CHAIRMAN, VICE	CHAIRMAN, AND
CHAIRMAN OF THE AUDIT AND FINANCE COMMITTEE.	
FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION D	OES NOT GENERALLY
MAKE ITS GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS AVA	ILABLE TO THE
PUBLIC.	

Department of the Treasury Internal Revenue Service

#### **Related Organizations and Unrelated Partnerships**

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

 2009
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Employer identification number Name of the organization 52-1916480 NATIONAL MINING ASSOCIATION Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.) Part I (a) (b) (c) (d) (e) (f) Name, address, and EIN Primary activity Legal domicile (state or Total income End-of-year assets Direct controlling of disregarded entity entity foreign country) Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt Part II organizations during the tax year.) (a) (b) (c) (d) (e) (f) Legal domicile (state or Direct controlling Name, address, and EIN Primary activity **Exempt Code** Public charity of related organization status (if section section entity foreign country) 501(c)(3)) AMERICAN COAL FOUNDATION - 52-1236554 101 CONSTITUTION AVE. STE 525E WASHINGTON DC 20001 N/A EDUCATIONAL FOUNDATION DISTRICT OF COLUMBIA 501(C)(3) 11-II MINEPAC - 52-1939409 101 CONSTITUTION AVE. STE 525E WASHINGTON DC 20001 POLITICAL ACTION COMMITTEE DISTRICT OF COLUMBIA 527 N/A

DISTRICT OF COLUMBIA

527

POLITICAL ACTION COMMITTEE

N/A

COALPAC - 52-1322749

WASHINGTON, DC 20001

101 CONSTITUTION AVE. STE 525E

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.) Part III

<u>-</u>	· · · ·									
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(ł	1)	(i)	(j)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under	Share of total income	Share of end-of-year	Disprop		Code V-UBI amount in box	General or managing
or rolated organization		foreign	Onticy	excluded from tax under		assets	ate allocations		20 of Schedule	partner?
		country)		sections 512-514)		4.000.0	Yes	No		Yes No
Identification of Related Ore	ranizatione Tavable as a Cor	noration or	Truet (Complete if t	he organization answere	d "Ves" to Form 0	00 Part IV line 3/	l hecai	ısa it k	and one or more r	alatad

Part IV organizations treated as a corporation or trust during the tax year.)

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign country)	Direct controlling entity	Type of entity (C corp, S corp, or trust)	Share of total income	Share of end-of-year assets	Percentage ownership

Schedule R (Form 990) 2009

Part V	Transactions With Related Organizations (Complete if the organization ar	nswered "Yes" to Form 990, Part IV, line 34, 35, or 36.)	
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No	te. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.	_		Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?				
а	Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		1a		Х
	Gift, grant, or capital contribution to other organization(s)		1b		Х
c	Gift, grant, or capital contribution from other organization(s)		1c		Х
d	Loans or loan guarantees to or for other organization(s)		1d		Х
е	Loans or loan guarantees by other organization(s)		1e		Х
f	Sale of assets to other organization(s)		1f		Х
g	Purchase of assets from other organization(s)		1g		Х
	n Exchange of assets		1h		Х
i	Lease of facilities, equipment, or other assets to other organization(s)		1i		Х
j	Lease of facilities, equipment, or other assets from other organization(s)		1j		Х
	Performance of services or membership or fundraising solicitations for other organization(s)		1k		Х
	Performance of services or membership or fundraising solicitations by other organization(s)		11		Х
	n Sharing of facilities, equipment, mailing lists, or other assets		1m	Х	
	Sharing of paid employees		1n	Х	
o	Reimbursement paid to other organization for expenses		10	Х	
	Reimbursement paid by other organization for expenses		1p		Х
q	Other transfer of cash or property to other organization(s)		1q		Х
	Other transfer of cash or property from other organization(s)		1r		Х
	If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction th				
	(a) (b)		(c)	)	
	(a) (b) Name of other organization(s) Transaction	tion Amo		nvolve	d
	type (a	a-r)			
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(υ,		<u> </u>			

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a)	(b)	(c) (d) (e)					f)	(g)		h)
Name, address, and EIN of entity	Primary activity	Legal domicile (state or foreign	Are all properties and all properties are all prope	sall partners tion 501(c)(3) ganizations? Share of end-of- year assets	Dispropor- tionate allocations?		Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	Gene mana part	eral or aging ner?	
			Yes	No		Yes	No	(Form 1065)	Yes	
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