#### ISSN 0160-8029

#### LIBRARY OF CONGRESS/WASHINGTON

CATALOGING SERVICE BULLETIN

#### LIBRARY SERVICES

Number 102, Fall 2003 Editor: Robert M. Hiatt

CONTENTS	
DESCRIPTIVE CATALOGING	
Library of Congress Rule Interpretations	2
SUBJECT CATALOGING	
Subdivision Simplification Progress	48
Changed or Cancelled Free-Floating Subdivisions	49
Aboriginal Australians and Aboriginal Tasmanians	49
Subject Headings of Current Interest	50
Revised LC Subject Headings	50
Subject Headings Replaced by Name Headings	58
MARC	
Language Codes	58
ROMANIZATION	
Change in Yiddish Romanization Practice	58

Editorial postal address: Cataloging Policy and Support Office, Library

Services, Library of Congress, Washington, D.C. 20540-4305

Editorial electronic mail address: CPSO@loc.gov

Editorial fax number: (202) 707-6629

Subscription address: Customer Support Team, Cataloging Distribution

Service, Library of Congress, Washington, D.C. 20541-4912

 $Subscription\ electronic\ mail\ address: \verb"cdsinfo@loc.gov"$ 

Library of Congress Catalog Card Number: 78-51400 ISSN 0160-8029 *Key title*: Cataloging service bulletin

Copyright ©2003 the Library of Congress, except within the U.S.A.

## **DESCRIPTIVE CATALOGING**

## **LIBRARY OF CONGRESS RULE INTERPRETATIONS (LCRI)**

Cumulative index of LCRI to the *Anglo-American Cataloguing Rules*, second edition, 2002 revision, that have appeared in issues of *Cataloging Service Bulletin*. Any LCRI previously published but not listed below is no longer applicable and has been cancelled. Lines in the margins (|) of revised interpretations indicate where changes have occurred.

Rule	Number	Page
1.0	102	11
1.0C	50	12
1.0E	69	17
1.0G	44	9
1.0H	44	9
1.1B1	100	17
1.1C	94	11
1.1D2	84	11
1.1E	44	10
1.1E5	98	17
1.1F1	13	4
1.1F4	14	6
1.1F6	44	11
1.1F7	44	11
1.1F11	84	11
1.1F15	17	6
1.1G1	48	10
1.1G2	97	13
1.1G3	44	11
1.2B4	102	17
1.2B5	84	11
1.2C4	84	11
1.2C5	84	11
1.2E3	84	12
1.4A2	67	14 3
1.4C7	15 44	3 12
1.4D1 1.4D2	84	12
1.4D2 1.4D3	89	10
1.4D3 1.4D4	100	18
1.4D4 1.4D5	97	17
1.4D3 1.4D6	66	11
1.4E	12	11
1.4E1	11	9
1.4F1	102	<b>1</b> 7
1.4F2	92	10
1.4F5	47	15
1.4F6	102	18
1.4F7	47	17
1.4F8	101	18
1.4G	14	9
1.4G4	84	12
1.5A3	100	22
1.5B4	84	13
1.5B5	8	9
1.5D2	84	13
1.5E1	50	23
1.6	97	18
1.6A2	98	18
1.6B	101	19
1.6C	79	12
1.6E1	76	16
1.6F	91	13
1.6G	102	19
1.6G2	77	18

Rule	Number	Page
1.6G3 1.6H 1.6H6 1.6H7 1.6J 1.7A1 1.7A3 1.7A4 1.7B 1.7B2 1.7B4 1.7B13 1.7B20 1.7B21 1.7B23 1.8 1.8B2 1.8E1 1.10 1.10D1 1.11A	102 102 91 102 74 44 46 60 102 99 97 64 95 97 101 100 8 67 94 84 89 55	21 21 17 22 26 16 23 14 22 12 27 12 10 27 21 23 9 19 12 13 12
2.0B1 2.1C 2.2 2.2B1 2.2B3 2.2B4 2.4D1 2.4E 2.4G2 2.5B7 2.5B8 2.5B9 2.5B16 2.5B18 2.5B20 2.5B21 2.5B23 2.5C2 2.5C5 2.7B1 2.7B4 2.7B7 2.7B14 2.7B17 2.7B18 2.8C 2.12-2.18	45 47 41 44 44 84 47 47 8 52 44 97 99 97 98 97 87 87 87 87 54 47 58 44 18 60 92 67 54	15 30 14 20 20 13 30 30 9 15 21 28 15 29 30 30 31 10 10 29 31 14 21 23 15 12 19 30
3.1C 3.1G1 3.1G4 3.2B3 3.2B4 3.3B1 3.3B2 3.3B4 3.3C2 3.3D 3.4D1 3.4E 3.4G2 3.5B2	47 94 47 47 84 97 8 97 97 97 97 8 47 47	34 12 34 34 13 31 10 31 31 31 31 34 34 34

Rule	Number	Page
3.5B4	97	31
3.5C3	97	32
3.5C6	97	32
3.5D1	8	10
3.5D3	97	32
3.5D5	8	10
3.7B4	47	34
4.1C	47	35
4.1F2	47	35
4.2B3	47	35
4.5B2	47	35
4.5B3	47	35
4.7B4	47	35
5.1B1 5.1C 5.1F1 5.2B1 5.2B3 5.2B4 5.3 5.4D1 5.4E 5.4G2 5.5B1 5.5B2 5.5B3 5.7B1 5.7B4 5.7B19	55 47 46 33 47 84 34 8 47 47 47 52 47 55 47 52	16 35 23 32 35 14 25 10 35 35 35 16 36 17
6.1B1	44	25
6.1C	47	36
6.1F1	11	15
6.1G1	94	12
6.1G4	94	12
6.2B3	47	36
6.2B4	84	14
6.4D1	8	10
6.4E	47	36
6.4F1	93	12
6.4G2	47	36
6.5B1	92	14
6.5B2	33	36
6.5C8	8	11
6.7B1	55	17
6.7B4	47	36
6.7B6	13	14
6.7B10	13	14
6.7B19	13	17
7.1B1 7.1B2 7.1C 7.1G1 7.1G4 7.2B3 7.2B4 7.4C 7.4D1 7.4E 7.4F2 7.4F2 7.4G2 7.5B1	97 8 47 94 94 47 84 13 47 47 33 47	32 11 36 12 12 37 14 16 37 37 37 37

Rule	Number	Page
7.5B2 7.7B4 7.7B7 7.7B9	47 47 15 13	37 37 6 16
8.1C 8.2B3 8.2B4 8.4C 8.4D1 8.4E 8.4F2 8.4G2 8.5B1 8.5B2 8.5B6 8.5C1g) 8.7B4 8.7B7 8.7B9 8.7B18	47 47 84 13 47 47 47 33 47 64 33 47 47 47 15 13	37 37 14 17 37 37 33 38 12 40 38 38 38 6 16
9.1C 9.1G1 9.1G4 9.2B3 9.2B6 9.3B1 9.4D1 9.4E 9.4F4 9.4G2 9.5B1 9.5C2 9.5D1 9.7B	47 94 94 47 84 94 47 47 94 47 94 47 94 101	38 12 12 38 14 13 38 39 13 39 13 39 13 22 14
10.1C 10.2B3 10.2B4 10.4D1 10.4E 10.4G2 10.4G3 10.5B1 10.7B4	47 47 84 47 47 32 47 47	39 39 15 39 39 15 39 40 40
Chapter 11 11.1C 11.1G1 11.1G4 11.2B3 11.2B4 11.4D1 11.4E 11.5B1 11.7B4	81 47 94 47 47 84 47 47 47	20 40 13 40 40 15 40 40 40
12.0 12.0A 12.0B1 12.0B2 12.0B3 12.0H 12.1B2	102 97 97 97 97 97 99	17 39 39 41 41 42 16

Rule	Number	Page
12.1B3 12.1B4 12.1B7 12.1C 12.1E1 12.2B3 12.2F1 12.3 12.3A1 12.3B1 12.3C1 12.3C4 12.3D1 12.3E1 12.3G1 12.4D1 12.4D2 12.4E 12.4G3 12.5B1 12.5B2 12.5D2 12.6B1 12.6B2 12.7A2 12.7B1 12.7B4.1 12.7B4.2 12.7B5.2 12.7B6.2 12.7B5.2 12.7B8a) 12.7B8a) 12.7B8a) 12.7B8a) 12.7B8b 12.7B8d 12.7B9.2 12.7B10 12.7B11.2 12.7B11.2 12.7B11.2 12.7B181 12.7B183 12.7B23 12.8B1	91 97 97 47 101 97 97 97 97 97 97 97 97 97 97	20 42 43 42 28 44 44 45 16 30 46 47 47 48 49 49 49 49 50 28 51 30 54 55 56 33 58 58 33 60 60 60 60 60 60 60 60 60 60 60 60 60
13.3 13.5 13.6	44 44 11	34 36 17
21.0B 21.0D 21.1A2 21.1B1 21.1B2 21.1C 21.2A 21.2B2 21.2C 21.3A2 21.3B 21.4B 21.6C1 21.7B 21.7C 21.11B 21.17B 21.17B	45 99 15 92 94 18 101 102 97 102 101 18 44 65 65 65 23 45 45	19 21 8 14 13 34 29 22 64 28 30 36 37 11 12 21 27 28 37

Rule	Number	Page
21.23C 21.23D 21.27 21.28A 21.28B 21.28B1 21.29 21.29G 21.30E 21.30F 21.30G 21.30H 21.30J 21.30M 21.31B 21.31B 21.31B 21.31B 21.31B 21.31SA2 21.35A2 21.35A2 21.35B2 21.35C 21.35E2 21.36C1-3 21.36C5-9 21.36C8 21.39 22.1 22.1B 22.1C 22.2 22.2A 22.2B 22.3A 22.3B1 22.3C 22.3C2 22.3D 22.4 22.5C2 22.5C4 22.5C5 22.5D1 22.6C 22.8A 22.8A1 22.8A2 22.1D 22.11D	45 36 45 45 101 100 12 45 97 94 89 94 52 101 77 101 63 45 91 31 44 41 41 46 8 8 8 8 22 23 101 44 94 96 43 71 91 47 40 99 47 41 36 91 11 91 96 31 45 79 71 11 91 96 31 45 79 79 71 41 41 41 41 41 41 41 41 41 4	28 18 31 31 35 24 32 65 17 16 17 19 21 44 51 11 48 21 26 48 27 28 37 28 38 13 13 26 31 55 38 18 10 20 31 32 32 41 53 32 53 53 53 54 54 54 54 54 54 54 54 54 54 54 54 54
22.8A1 22.8A2 22.10 22.11D 22.13B 22.14	71 44 64 44 11	55 46 25 46 25 26
22.14 22.15A 22.15B 22.16C 22.16D 22.17 22.17-22.20 22.18A 22.19	11 39 94 44 44 83 44 57	26 13 19 47 47 22 48 20 56
22.22	45	51

Rule	Number	Page
22.25B1	44	52
22.26C1c)	44	53
23.1	73	16
23.2	102	28
23.4B	60	20
23.4C	41	45
23.4D	41	46
23.4E	41	47
23.4F1	63	16
23.4F2	73	21
24.1 24.1B 24.2 24.2B 24.2C 24.2D 24.3A 24.3E 24.3G 24.4B 24.4C 24.4C 24.4C 24.4C 24.4C 24.7 24.7A 24.7B 24.7B 24.7B 24.13, TYPE 2 24.13, TYPE 3 24.13, TYPE 5 24.13, TYPE 5 24.14 24.15A 24.15B 24.17 24.18 24.18, TYPE 3 24.18, TYPE 3 24.18, TYPE 3 24.18, TYPE 5 24.18, TYPE 6 24.18, TYPE 11 24.19 24.20B 24.20E 24.21D 24.23 24.24A 24.26 24.27C 24.27C3	101 44 47 21 13 44 45 45 45 21 95 65 64 64 34 78 76 92 92 8 74 42 27 90 53 71 25 44 44 44 48 18 38 16 45 44 71 44 44 44 45 16 45 45 45 47 11 44 44 45 16 45 45 45 45 87 44 55	61 53 54 28 34 53 54 28 17 21 25 26 41 22 17 18 14 37 37 30 10 39 64 67 58 58 76 40 46 59 48 59 60 60 60 60 60 60 60 60 60 60 60 60 60
25.2A	64	28
25.3A	81	33
25.4A	81	34

Rule	Number	Page
25.3B 25.5B 25.5C 25.5D 25.6A 25.6A2 25.7 25.8 25.8-25.11 25.9 25.10 25.11 25.13 25.14 25.15A1 25.15A2 25.18A 25.19 25.23 25.29A 25.30B4 25.30B5 25.30B7 25.30D 25.32A1 25.32A2 25.34B-25.34C 25.34C2	44 101 99 44 11 87 89 100 46 60 61 60 93 59 36 11 23 11 44 44 44 44 44 44 44 44 44 44 44 44	65 69 53 67 49 31 18 58 52 22 9 23 29 19 34 52 45 52 68 68 38 70 70 46 50 54 38 71
26 26.1 26.1A 26.2 26.2B2 26.2B3 26.2B4 26.2C 26.2D 26.2D2 26.3 26.3A3 26.3A4 26.3A6 26.3A7 26.3B-C 26.4B 26.4C 26.4D2 26.5A 26.5B 26.6	102 47 47 102 45 44 15 94 44 30 32 27 12 21 67 59 77 51 44 102 94 44	32 57 60 36 77 79 30 23 80 22 53 38 45 21 19 54 49 82 40 23 82
A.2A A.4A1 A.4D1 A.7A A.15A A.20 A.25 A.31 A.33 A.34 A.53 A.54	16 64 64 18 18, 21 44 49 17 87 17 26 26	50 46 46 85 86, 58 82 46 28 31 28 18

Rule	Number	Page
B.4	13	72
B.5	95	33
B.9	32	57
B.14	51	50
C.1	44	83
C.5C	44	84
C.7	44	85
C.8	79	20
D	97	100

#### 1.0. DECISIONS BEFORE CATALOGING. [Rev.]

#### TABLE OF CONTENTS

What Is Being Cataloged?

Type of Issuance

Monograph vs. Serial

Situations Requiring Further Consideration

- 1) Electronic resources
- 2) Resources issued in loose-leaf format
- 3) Conference publications
- 4) Supplements
- 5) Republications
- 6) Printed travel guides
- 7) Certain other printed resources

Edition or Copy of Monograph

Change in Cataloging Decision: Monograph/Serial

Change in Type of Issuance

**Initial Articles** 

#### What Is Being Cataloged?

This section represents *LC/PCC practice*.

Before creating a bibliographic record, determine what is being cataloged. Answer these two questions:

- 1) What aspect of the bibliographic resource will the bibliographic record represent?
- a) A resource may not be part of a larger resource and so the bibliographic record can represent only that resource.
- b) A resource may be part of a larger resource (one part of a multipart item, one analytic of a monographic series, one of several separate resources on a Web site, etc.). The bibliographic record could represent the "smaller" or the "larger" resource.
- c) A resource may not be part of a larger resource but local cataloging policies may specify creating a bibliographic record for a made-up larger resource of materials that are not published, distributed, or produced together.<sup>1</sup>
  - 2) What is the type of issuance of that aspect?
- a) See both the definitions from AACR2 appendix D and the diagram in "Type of Issuance" below.
- b) If the situation is still not clear, then consider the guidelines given in two other sections of this LCRI: "Monograph vs. Serial" and "Situations Requiring Further Consideration."
- c) See the section "Edition or Copy of Monograph" for guidelines about creating separate records for monographs.

It may be appropriate, after one or more bibliographic records have been cataloged, to change the cataloging decision (e.g., recatalog a monograph as a serial). See the section "Change

<sup>&</sup>lt;sup>1</sup>These guidelines do not address the cataloging of such a made-up larger resource. *LC catalogers:* See DCM C14 for collection-level cataloging guidelines; see DCM C12.7 for guidelines for 2A cataloging (creation of a collected set record for an unnumbered multipart item).

in Cataloging Decision" for guidelines.

Also, a publisher may change the type of issuance of a bibliographic resource. See the last section, "Change in Type of Issuance," for guidelines.

#### **Type of Issuance**

This section represents *LC/PCC practice*.

"Type of issuance" refers to how the bibliographic resource is published, distributed, or produced and, if it is updated, how it is updated. There are three types of issuance: monograph, serial, and integrating resource. (See the definitions from AACR2 appendix D and diagram below.)

*Monograph:* A bibliographic resource that is complete in one part or intended to be completed in a finite number of parts. The separate parts may or may not be numbered.

Use rules in chapter 1 and the chapter(s) representing the carrier.

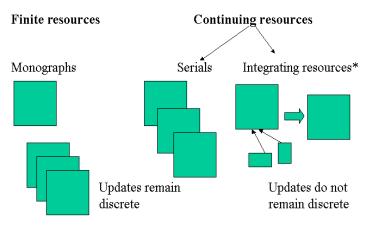
*Serial:* A continuing resource issued in a succession of discrete parts, usually bearing numbering, that has no predetermined conclusion.

Use rules in chapter 1, chapter 12, and the chapter(s) representing the carrier.

*Integrating resource:* A bibliographic resource that is added to or changed by means of updates that do not remain discrete and are integrated into the whole. Integrating resources can be finite or continuing.

Use rules in chapter 1, chapter 12, and the chapter(s) representing the carrier.

## Type of Issuance



\*Some integrating resources are finite; use chapter 12 rules for them, too.

In case of of issuance, apply the following guidelines:

doubt about type

If the decision has been narrowed down to "serial vs. integrating resource" and there is no information about the type of issuance but the resource has wording that refers to "edition," determine if that wording represents a numeric designation or an edition statement. If it is a numeric designation, catalog the resource as a serial; if it is an edition statement, catalog it as an integrating resource.

If the decision has been narrowed down to "monograph vs. integrating resource" and there is no information about the type of issuance, catalog the resource as an integrating resource if there is a likelihood the resource will be updated (i.e., assume the updates will not be discrete); catalog as a monograph if there is no indication that the resource will ever be updated.

## Monograph vs. Serial

This section represents *LC/PCC practice*.

If the bibliographic resource to be cataloged and/or other bibliographic records in the database indicate that the resource has been or will be published in more than one part that will remain discrete or be published more than once, *consider the combination of characteristics below* in deciding whether to catalog the resource as a single-part/multipart monograph or as a serial. Note the exception for publications of limited-duration activities at the end of this section.

## 1) Frequency of publication

- a) If the resource has a stated frequency of publication (in the title proper, in the preface, etc.), catalog as a serial.
- b) If the resource is published in new editions, catalog as a serial if the frequency of the editions is one to two years; give greater consideration to continuing to catalog as monographs if the editions are published three or more years apart.
- 2) *Presence and type of numbering*. Although the presence of numbering is no longer part of the definition of a serial, most serials except for unnumbered monographic series will have numeric, alphabetic, and/or chronological designations.
- a) If the resource has a numeric/alphabetic (e.g., volume 1; tome 3; Heft A) or chronological designation (e.g., 2001; June 2002; 2002-1) in the title proper or elsewhere in the resource and it is likely that the resource doesn't have a predetermined conclusion, catalog as a serial.
- b) If the resource has acquired a numeric, alphabetic, or chronological designation after the first issue, recatalog as a serial.
- c) If the resource is published in frequent editions (see 1)b) above), it must have a designation (e.g., date, numeric edition statement) that could be used as numbering in order to be cataloged as a serial.
- 3) Likelihood of no predetermined conclusion. If the resource indicates that there is no predetermined conclusion, catalog as a serial. If the resource doesn't have such information, assume that a resource that has either of the following characteristics is a serial if it also meets the criteria given above for frequency and numbering.
- a) Title proper implies continuing publication. If the title proper includes words that imply continuing publication (e.g., "Advances in ...;" "Developments in ...;" "Progress in ..."), catalog as a serial. If the issues also have analyzable titles, analyze the issues.
  - b) A subscription can be placed for the resource.

Publications of limited-duration activities: Also use the serial rules in chapter 12 for the cataloging of certain resources related to limited-duration activities <u>provided</u> that these resources have some characteristics of serials: successive issues, numbering, and perhaps frequency. Examples include a daily bulletin issued during a non-recurring meeting, a quarterly activities report of a project, and an annual report of an expedition. Do not recatalog records for such resources created before Dec. 1, 2002.

## **Situations Requiring Further Consideration**

This section represents *LC/PCC practice*.

1) *Electronic resources*. If the resource was/is published in print, make the decision to catalog the electronic resource as a serial, integrating resource, or monograph based on the electronic resource itself, not on how it was issued in print. Information about the planned type of issuance may be given in the resource's "read me" files, etc.

#### a) Catalog as serial:

- i) Remote access resource: a resource having material added as discrete, usually numbered issues (an "issue" can consist of a single article). The resource might contain a listing of back volumes, back issues, images of journal covers for sequential issues; only current issue may be available as a separate issue
- ii) Direct access resource: a resource whose carrier is issued successively (this situation is analogous to a print serial whose latest volume supersedes any earlier volumes).

Note that such resources can be mounted on networks such that the successive issuance of the carrier is not observable to the cataloger or end user; when contributing cataloging in a shared environment (e.g., bibliographic utility), the record should reflect the carrier and type of issuance as published.

## b) Catalog as an integrating resource:

- i) Remote access resource: a resource having material added, changed, or deleted via updates that do not remain discrete (e.g., might contain articles from more than one journal).
- ii) Direct access resource: no direct access resource can be issued as an integrating resource (assumption that would be changed if proven incorrect).
- c) Catalog as a monograph: Remote or direct access resource: a resource complete in one part or intended to be complete in a finite number of parts, including those resources that are corrected via "errata" information.
- 2) Resources issued in loose-leaf format. When deciding to catalog a bibliographic resource issued in loose-leaf format as a serial, an integrating resource, or a monograph, make the decision based on the type of issuance of the primary component. If there is a stated frequency, determine if the frequency applies to the primary component or to any updates.

Note that a bibliographic resource issued in loose-leaf format is not automatically to be cataloged as an updating loose-leaf.

#### a) Catalog as a serial:

- i) Resource otherwise meeting definition of serial whose issues remain discrete even though they are to be stored in a binder (as successive sections in the binder or subdivided/filed into separate sections in the binder)
- ii) Resource whose binders are issued successively even though the contents filed into each binder may be updated in integrating fashion until the next binder is issued
- b) Catalog as an integrating resource: Resource consisting of a binder or binders in which pages are added, removed, or replaced until the next edition of the resource is published or until complete
- c) Catalog as a monograph: Resource complete as issued or intended to be complete in a finite number of parts
- 3) *Conference publications*. Conference publications typically consist of the minutes, proceedings, etc., of a regularly-held meeting of one or more corporate bodies or are publications that contain the proceedings, etc., of ongoing topical conferences, symposia, or colloquia.
- a) Catalog as serials ongoing conference publications that are being cataloged for the first time, unless they are covered by the exclusions in paragraph b) below. Consider a conference publication to be "ongoing" if words such as "first" or "annual" appear in conjunction with the name of the conference or the title of the publication or if multiple successive issues show that the publication is continuing in nature.
  - b) Catalog as monographs those conference publications that are not ongoing or that:
    - i) have a title<sup>2</sup> unique to each issue appearing on the chief source, and/or
    - ii) are issued as part of a numbered monographic series.

Once the decision to catalog as a monograph or as a serial is determined based on the first—or earliest held—issue of a conference publication, prefer to retain that decision. When there is a change in the main entry for a conference publication cataloged as a serial, consider the publication to be "new" and decide whether to catalog it as a monograph or as a serial according to the above criteria.

<sup>&</sup>lt;sup>2</sup>Such unique titles are usually dedicated to a particular topic and vary from issue to issue and conference to conference. Use judgment when determining if thematic or slogan-like phrases constitute unique titles and, therefore, warrant monographic treatment.

4) *Supplements*. If the supplement can be used independently from the main resource, create a separate bibliographic record for it based on its type of issuance. For other situations, give a note about the material on the record for the main resource.

525 ## \$a Kept up-to-date by supplements.

Do not catalog a dependent supplement as a serial just because it has a stated frequency (e.g., an annual supplement to a monograph).

#### 5) Republications

a) Republication of a serial: Generally, catalog a republication of a serial as a serial. However, catalog the following as a monograph:

A republication of a single issue or a limited number of issues

A collection of bibliographically unrelated serials or articles.

- b) Republication of a monograph: Catalog as a monograph.
- c) Republication of an integrating resource: Catalog as a monograph or as an integrating resource based on the type of issuance of the republication.
- 6) Printed travel guides. LC practice as of 2001: When deciding whether to catalog a printed travel guide as a serial or as a monograph and there is no information about the likelihood that it will be continued indefinitely, apply the following guidelines:
- a) Generally, catalog a travel guide as a serial if it is general in scope because such guides usually are continued indefinitely. "General in scope" means the guide contains a variety of current information, e.g., about where to go, where to stay, and what to do. Apply this policy to state, region, or country guides for the United States, to region or country guides for other countries, and to guides for major cities. If a numeric or chronological designation is not available, supply a chronological designation based on the publishing or copyright date (cf. LCRI 12.3C1).
  - b) In case of doubt, catalog as a monograph.
  - 7) LC practice: Certain other printed resources

After determining that the printed bibliographic resource

- is published in successive parts, and
- there is no information that the resource will be complete in a finite number of parts, and

— it isn't one of the resources noted in 1)-6) above, generally follow the decision to catalog as a monograph or as a serial for the specific categories in the two lists below. If the printed resource isn't represented by one of the categories below, catalog as a serial.

a) Catalog as monographs:

books "issued in parts" (fascicles) cartographic materials censuses encyclopedias hearings publications of five-year plans

b) Catalog as serials:

alumni directories college catalogs court reports sales/auction catalogs session laws

## **Edition or Copy of Monograph**

This section represents *LC/PCC practice*.

When a new manifestation of an item reaches the cataloger, the question arises as to whether this is a copy of an earlier manifestation or an edition separate from the earlier manifestation needing its own bibliographic record. Consult the definition of "Edition" in Appendix D. If, according to this definition, two items are known to be two different editions, create separate records for each.

Also, consider that a new edition is involved whenever

- 1) there is an explicit indication of changes (including corrections) of content; or,
- 2) anything in the following areas or elements of areas differs from one bibliographic record to another: title and statement of responsibility area, edition area, the extent statement of the physical description area, and series area. (For an exception relating to CIP items, see below.)

Whenever the question relates to the publication, distribution, etc., area or to ISBNs, consider that the item is a copy if the only variation is one or more of the following:

- 1) a difference in the printing or copyright date when there is also a publication date;
- 2) a minor variation in an entity's name. There are relatively few examples of this phenomenon, which arises when a publisher uses multiple forms concurrently. For example, "Duckworth" and "G. Duckworth" and "St. Martin's" and "St. Martin's Press" have been used at the same time by these publishers. A genuine name change, even if minor, should not be considered a variation;
  - 3) the addition, deletion, or change of an ISBN;
  - 4) a difference in binding; or,
- 5) a difference in the edition statement or the series whenever the item is a CIP book issued by the publisher in both a hardbound and a softbound version.

For variations in the publication, distribution, etc., area not covered by the preceding statements, consider that the item is a new edition. Noteworthy examples for the publication, distribution, etc., area are variations involving different places or entities transcribed or any difference in an entity's name that is suggestive of a sequence of names used, with one used for some time and another at some point replacing the first. For example, "Harper & Brothers" becomes "Harper & Row," "Doubleday, Doran" becomes "Doubleday."

N.B. Rare books in general follow the same policy, with exceptions as necessary.

## Change in Cataloging Decision: Monograph/Serial

This section represents *LC/PCC practice*.

At some point after one or more monograph bibliographic records has/have been created and another resource with the same choice of main entry and form of title proper is received for cataloging, determine if the resource should be cataloged as a serial to save the time of continuing to prepare separate monograph records. If the resource is a conference publication, see paragraph 3) in the section "Situations Requiring Further Consideration" above. Otherwise, consider the following aspects when making the decision to recatalog as a serial:

- 1) the resource should have a designation (e.g., date, numeric edition statement) that could be used as numbering;
- 2) the frequency of the editions is one to two years (give greater consideration to continuing to catalog as monographs if the editions are published three or more years apart).

Use judgment when deciding whether to cancel existing monograph records. If more than a few monograph records exist, generally do not cancel them; instead, add a note to the serial record saying that LC's earlier issues are cataloged as monographs.

500 ## \$a Issues for the 1992-1999 conferences cataloged as monographs. \$5 DLC

If it is determined that a resource cataloged as a serial changes title proper with each issue, catalog the next issue as a monograph; allow the earlier serial record to remain in the database. Link the two records with "Continues/Continued by" notes.

#### **Change in Type of Issuance**

This section represents *LC/PCC practice*.

The publisher of a bibliographic resource may decide to change the type of issuance: monograph to integrating resource (e.g., issuing replacement pages to what had been a single-part monograph stored in a binder), monograph to serial (e.g., expanding the plan for the content of a multipart item so it no longer has a predetermined conclusion), serial to integrating resource (e.g., changing from publishing as successive issues to publishing as an updating Web site), etc.

Sometimes the cataloger will not have enough information at the time of creating the bibliographic record to determine the correct form of issuance. Information available later will indicate that the type of issuance represented by the bibliographic record isn't correct.

In order to accommodate the requirements for the distribution of MARC records by the Library's Cataloging Distribution Service and the Library's ILS restrictions on deletion of records in the database, follow the appropriate instruction given in the table below when the type of issuance of a resource has changed or more information shows that the original decision on type of issuance wasn't correct.

[Note: Final decisions have not yet been made for all of the categories. When the information is available, this section of the LCRI will be posted on the CPSO public Web site (<a href="http://www.loc.gov/catdir/cpso/">http://www.loc.gov/catdir/cpso/</a>) until the LCRI is reissued.]

#### **Initial Articles**

This section represents *LC/PCC practice*.

Transcribe initial articles as found: in the title and statement of responsibility area (see LCRI 21.30J for the guidelines on setting the non-filing indicator in relation to the title proper on MARC records), edition area, series area, and note area. For the publication, distribution, etc., area, generally do not transcribe articles preceding the name of the publisher, distributor, etc.

#### 1.2B4. EDITION STATEMENT. *OPTIONAL ADDITION*. [Rev.]

LC practice: Do not apply this optional rule to any case of merely supposed differences in issues that might make them different editions. Apply the option for manifest differences where the catalog records would otherwise show exactly the same information in the areas beginning with the title and statement of responsibility area and ending with the series area.

*LC/PCC practice for updating loose-leafs:* Apply the option if the main entry and/or title proper of a resource being cataloged would be the same as that of the resource it continues.

#### 1.4F1. DATE OF PUBLICATION, DISTRIBUTION, ETC. [Rev.]

#### **Non-Gregorian Dates**

If the item gives both the Gregorian and the non-Gregorian dates, record only the Gregorian date.

## **Later Publication Dates**

*LC/PCC practice*: If a U.S. trade publication has a publication date that is in the year following the year in which the publication is received, accept the later publication date as the date of the edition being cataloged. For example, if "2002" appears as the publication date on a publication received in 2001, give "2002" as the publication date.

#### **Library of Congress CIP Cataloging**

LC practice: For the cataloging of LC CIPs at the galley stage, take the date of publication from the year given in the "projected publication date" provided by the publisher on the CIP data sheet. At the CIP verification stage, adjust this information, if necessary, according to normal procedures for non-CIP and post-CIP cataloging.

## 1.4F6. DATE OF PUBLICATION, DISTRIBUTION, ETC. [Rev.]

## **Applicability**

If the *edition* being cataloged (LCRI 1.0) lacks a date of publication, etc., apply the following:

1) If the item contains only a copyright date, give the copyright date. (See also LCRI 1.4F7.)

t.p. verso: Copyright ©1980 preface signed: June 1980 transcription: c1980

t.p. verso: Copyright ©1982 preface signed: June 1981 transcription: c1982

Ignore copyright renewal dates for works first copyrighted before 1978. If the copyright dates vary, give the latest date for works copyrighted after 1977.

If a copyright date is being recorded, transcribe copyright dates other than a phonogram copyright date preceded by a lowercase "c"; transcribe the phonogram date preceded by a lowercase "p."

2) If the item contains a copyright date and a date of manufacture and the year is the same for both, give only the copyright date. Do not add the date of manufacture.

*t.p. verso*: Copyright ©1980 // 1980 printing *transcription*: c1980

3) If the item contains a copyright date and a date of manufacture and the years differ, give the copyright date. Add the date of manufacture (1.4G4).

t.p. verso: Copyright ©1978 // Sixth Printing 1980 preface signed: June 1978 transcription: c1978 (1980 printing)

- 4) If the item contains only a date of manufacture, apply the following in the order listed:
- a) Give the date of manufacture in brackets as an inferred date of publication, etc., if it can be assumed that the date of manufacture and the date of the edition being cataloged are identical; for books this means that the item is assumed to be the first printing of the edition.

*t.p. verso*: First Printing 1980 *transcription*: [1980]

b) Give the date of manufacture.

*t.p. verso*: 15th Impression 1980 *transcription*: 1980 printing

## **Later Copyright Dates**

*LC/PCC practice*: If a U.S. trade publication lacking a publication date has a copyright date that is in the year following the year in which the publication is received, accept the later copyright date as a substitute for the publication date. For example, if "©2002" appears on a publication received in 2001, give "c2002" as the publication date.

## **Indication of Manufacture**

Materials other than printed books rarely show a date that could be called a "date of manufacture." In rare cases in which such items do indeed bear such date, it is unlikely that it will be recognized as such unless it is accompanied by a word or phrase indicative of manufacture. Whenever possible, the cataloger's designation to indicate that the date is one of manufacture should be derived from this accompanying word or phrase. If there is no word or phrase, the cataloger should use his or her imagination or knowledge to fit the particular item. If this fails also, there's the very general word "manufacture" found in rule 1.4F6 itself, e.g., "1967 manufacture."

1.6G. NUMBERING WITHIN SERIES. [Rev.]

#### TABLE OF CONTENTS

#### General

More Than One System of Numbering

**Numbering Combined with One or More Letters** 

Numbering Consisting of a Full/Partial Indication of Year and Numeral

**Parallel Titles and Numbers** 

**Editions with Identical Series Numbering** 

**Inferred Numbering** 

Numbering Present Only in Cataloging Data/Bibliography

Numbering of and within a Specific Activity, Event

#### General

See AACR2 rule 21.30L and LCRI 21.30L for information about recording numbering in access points for series.

See the LCRI for appendix B.5 for information related to substituting a found abbreviation with a different prescribed abbreviation.

LC/PCC practice: If an ordinal number is abbreviated, record that abbreviated form.

LC practice: Record superscript letters "on the line" (e.g., "n°." as "no." or "2ème" as "2ème") as stated in LCRI 1.0E, "Super/Subscript Characters" section.

## More Than One System of Numbering

Option Decision

*LC/PCC practice*: Generally, record all separate systems of numeric designations in the series statement. *Note*: Consider a series to have more than one *system* of numbering only if there is a one-to-one relationship between each numeric system and the item itself.

#### **Numbering Combined with One or More Letters**

If the number is combined with one or more letters, generally transcribe the letter(s) as part of the numbering unless the letter(s) is (are) transcribed at the end of the title proper (cf. LCRI 1.6B).

## Numbering Consisting of a Full/Partial Indication of Year and Numeral

If the numeral is combined with a full or partial indication of a year, determine if the year is the publication date or if the year is serving as a volume number and the numeral is a sequential number within that year. If the year is the publication date, omit the year information when transcribing the number. If the year is serving as a volume number (cf. rule 12.3C4), give the year

before the number. In case of doubt, assume that the year is serving as a volume number.

#### **Parallel Titles and Numbers**

*LC/PCC practice*: If parallel titles are being recorded and the numbering also appears in more than one language or script, record each number after the title proper/parallel title to which it relates. If the number appears only once, record it after the title it linguistically matches or after the last title if it matches all, more than one, or none of the titles.

#### **Editions with Identical Series Numbering**

When a revised edition of an existing work or an edition of an existing work (e.g., translation) is issued by the same publisher in the same series, the publisher may assign a new series number or the original series number to the revision, translation, etc. In the latter case, proceed as if no duplication of numbering exists.

*LC practice*: If the series is classified as a collection, distinguish the related editions from each other by adding the date of publication to the call number of the edition published later.

## **Inferred Numbering**

If the item being cataloged lacks a number but the other volumes in the series given in the publisher's listing have numbers, generally infer that the volume being cataloged is the next number in the series; record that number in the series statement in brackets. In case of doubt, consider the item to be unnumbered and the series to be "numbered/unnumbered."

## Numbering Present Only in Cataloging Data/Bibliography

If the series numbering appears only in cataloging data (foreign or domestic) in the item or in a bibliography, do not transcribe this information in the series statement.

LC practice: Exception. If the series appearing in the cataloging data is classified as a collection in LC, transcribe the numbering without brackets; record in a note the source of the numbering.

#### Numbering of and within a Specific Activity, Event

*LC/PCC practice*: Do not include the numbering of the activity, event, etc., in subfield \$v with the volume numbering relating to the sequencing of the publications from that activity, event, etc. Record the numbering of the activity, event, etc., as part of the series title if it appears as part of the title on the item; if the numbering of the activity, event, etc., is included in the statement of responsibility for the series on the item, record it as part of the statement of responsibility in the series area if recording that data element.

(See LCRI 21.30L for specific instructions about added entries for U.S. congressional publications.)

## 1.6G3. CHRONOLOGICAL DESIGNATION. [Rev.]

#### **Option Decision**

LC/PCC practice: Do not apply the option.

1.6H. SUBSERIES. [Rev.]

#### **Applicability**

Apply the rules for subseries (based on 12.1B4-12.1B5) if

- 1) the item has an analyzable title (cf. AACR2 13.3); and
- 2) the item also bears at least two other titles that it shares with other items and those two titles are related: one title, the main series, is more comprehensive in scope or subject matter; the other title, the subseries, is more specific; *and*
- 3) *LC/PCC practice*: both the main series title and the subseries title appear in the same prescribed source for the series area in the appropriate AACR2 chapter; proximity in the same source is not a factor. If the main series and subseries do not appear in the same source, record each title in its own series statement (cf. AACR2 1.6J). For atlases and materials cataloged according to chapters 2, 5, and 12, the source should be one of the preliminaries, the publisher's listing, or the colophon.

#### **Additional Guidelines**

When evaluating the titles shared with other items, consider the following:

- 1) If the titles represent resources with different modes of issuance (e.g., one title is a multipart item and the other is a serial), treat each as a separate series.
- 2) If both titles are multipart items, apply LCRI 25.6A to determine if the two titles are to be treated as one entity or established separately.

#### **Access Points for Main Series and Subseries**

See AACR2 rule 21.30L and LCRI 21.30L for information about access points for main series and subseries.

## **Changes Affecting Heading for Subseries**<sup>1</sup>

1) *Omission/addition of main series*. If the subseries is entered indirectly, i.e., under a main series and that main series is not present on later issues, generally create a new heading. Also, if the

<sup>&</sup>lt;sup>1</sup>[Recommended future placement: add to the new LCRI for the new introductory rule in AACR2 Chapter 21]

subseries is entered directly and the main series is present on later issues, generally create a new heading. If the presence/absence of the main series continues to be inconsistent, do not continue to make new headings; use the latest heading and add information/reference for the other form.

2) Change in title of subseries.. If there is no change in the title of the main series but a change occurs in the title of the subseries that is entered indirectly, apply the criteria of AACR2 21.2A and LCRI 21.2A to the whole title proper (main series and subseries).

## 1.6H7. ISSN OF SUBSERIES. [Rev.]

*LC/PCC practice*: If the ISSN of the subseries is unknown but that of the main series is known, add the ISSN of the main series as follows:

#### 1.7B. NOTES. [New]

Prefer the order of notes as specified in the rules unless there are mitigating circumstances that result in a different order (e.g., a policy decision applicable to a particular cooperative enterprise, the requirements of a particular system, an administrative decision not to re-order notes when doing copy cataloging).

## 12.0. GENERAL RULES. [Rev.]

#### TABLE OF CONTENTS

Serials Issued in Cumulations Serials Issued in Parts Reprinted Issues of Non-Newspaper Serials Newspapers Loose-Leaf Services

- 1) Pamphlets/paperbacks
- 2) Sections with independent numeric or chronological designations
- 3) Transfer volumes

**Change in Issuance** 

Modifying Pre-December 2002 Records for Updating Loose-leafs

#### **Serials Issued in Cumulations**

Some serials issued in cumulations have a publication pattern whereby the individual issues for a certain period are rearranged, corrected, and perhaps expanded and reissued as a cumulation that may or may not have the same title as the individual issues. The contents of the individual issues and the cumulation are basically the same. However, these cumulations should not be confused with other serials that are publications with different frequencies and entirely different contents although the titles may be the same, e.g., an annual publication that gives the total figures for the year but does not include the monthly figures that appeared in the individual monthly issues. Separate records are generally made for these latter publications unless the numbering system ties them together.

Below are some criteria that can be used to determine when separate records should be made for such cumulations.

- 1) Separate records are generally made if
- a) the individual issues and the cumulation have the same title but have different numbering systems, e.g., the individual issues have numeric designations but the cumulation has only a chronological designation.

b) the individual issues and the cumulation have different titles and separate numbering systems.

- 2) Separate records are generally *not* made if
- a) the individual issues and the cumulation have a continuous numbering system; the cumulation may or may not have a different title.

b) the individual issues and the cumulation have the same title, have only chronological designations, and the cumulation is published in lieu of the last individual issue.

```
110 2# $a Library of Congress.
245 10 $a Library of Congress name headings with references.
362 0# $a Jan./Mar. 1974-
(Quarterly; the first three issues called Jan./Mar. 1974-July/Sept. 1974; the fourth issue, an annual cumulation, called 1974)
```

3) Whenever in doubt, prefer separate records.

## **Serials Issued in Parts**

For serials issued in parts, the criteria listed below can be used to determine when separate records are made and when they are not.

- 1) Separate records are generally made if
- a) the individual parts are unnumbered or otherwise undesignated as parts of one serial.

```
110 2# $a Singapore Airlines.
245 10 $a Annual report. $p Operating review.
```

```
110 2# $a Singapore Airlines
245 10 $a Annual report. $p Financial report

(The operating review and the financial report

are two parts that make up the airline's

annual report)
```

b) the individual parts have their own numbering system.

- c) the parts can be purchased separately.
- 2) Separate records are generally not made if
- a) the individual parts do not have a numbering system that relates to the serial as a whole.

b) the parts have a continuous pagination or enumeration.

```
245 00 $a Journal of the Indian Institute of Science. (Issued in three sections: Section A = Vol. 59, no. 1, 3, 5, 7, 9; Section B = Vol. 59, no. 2, 6, 10; Section C = Vol. 59, no. 4, 8, 12)
245 00 $a Inorganica chimica acta. (Issued in three sections: Articles and letters = Vols. 96-105; Bioinorganic chemistry articles and letters = Vols. 106-108; F-block elements articles and letters = Vols. 109-110)
```

- c) the parts cannot be purchased separately.
- d) the parts appear to be designed to be used together.
- 3) Whenever in doubt, prefer separate records.

## **Reprinted Issues of Non-Newspaper Serials**

1) Separate records are generally made for reprinted serials if the reprinted issues are published by a publisher not responsible for the original.

2) Separate records are generally not made for reprinted serials if the serial issues are reprinted by the publisher of the original. If these issues carry a different or an additional title, add a note on the record for the original and provide an added entry.

LC practice. When the Library of Congress acquires reprinted issues of a serial title to fill in gaps in its holdings of the original, a separate bibliographic record for the reprinted issue(s) will not be created.

See LCRI 1.0 for guidelines about cataloging a reprint of serial issues as a serial or as a monograph. See LCRI 12.0B1 for information about the basis of description for reprints of printed serials.

#### **Newspapers**

LC practice: LC's records for U.S. newspapers in microform follow the guidelines of the United States Newspaper Program (USNP). USNP is not required to follow the CONSER practice of creating separate records for U.S. titles in microform, but generally uses the "master record convention" to account for the various physical forms in which a newspaper is held. The bibliographic record describes the newspaper as it was originally published. The physical format, whether original newsprint, microform, or reprint, is described in the Copy Field of the OCLC local data record.

Because the USNP record provides a description only for the newspaper as originally published, 533 fields are not included in the bibliographic record. To fulfill one aspect of its national role, LC will add any important access points related only to a microform to the USNP record justified by a 500 note (because 533 fields are not included). The most likely access point will be the title of the series (830 field) in which the microform is published. The 500 note will be a general one (e.g., "Microform produced by \_\_\_\_\_\_ published in series: \_\_\_\_\_); the span of holdings in that series will not be given.

#### **Loose-Leaf Services**

A loose-leaf service is a publication issued in several component parts, often in a combination of updating loose-leaf and bound volumes containing both primary and secondary source material. Sometimes a loose-leaf service is called a reporter.

*LC/PCC practice:* Generally, create only one bibliographic record for the service as a whole; consider the service as a whole to be an integrating resource. In a note, list the component parts in enough detail to identify them. Give any volume designation that is found on a part. Optionally, include numeric or chronological designations of newsletters, bulletins, etc., within the service.

```
500 ## $a The reporter contains binders: Current developments; Monographs; State solid waste--
Land use; Federal laws; Federal regulations;
State water laws; State air laws; Mining;
Decisions (later published in bound volumes as Environment reporter. Cases).
```

500 ## \$a The service is divided into five parts:

Treatise / by Jacob Mertens, Jr., and others
(v., loose-leaf); Code, current volume (loose-leaf) and bound volumes, 1954-1958-; Code
commentary (v., loose-leaf); Regulations,
current volume (loose-leaf) and bound volumes,
1954-1960-; Rulings, current volume (loose-leaf) and bound volumes,

If a component is lacking, give such information in a note.

500 ## \$a Library of Congress lacks section: Mining. \$5

If, by exception, separate records are being created for the component parts, identify each part as an updating loose-leaf, multipart item, etc., and catalog it accordingly. In a note, indicate the relationship to the parent loose-leaf service and give a related work added entry for the loose-leaf service.

## 1) Pamphlets/paperbacks

Current information of temporary or permanent value may be published also in pamphlet or paperback form and be distributed to subscribers of a loose-leaf service as part of the subscription. Such publications are keyed to a section or a service or to several services. In addition to individual, distinctive titles, they carry also the title of the service and the numbering of a section of the service (usually a supplementary bulletin, to which is added a further distinguishing characteristic such as the words "Extra," "Section 2," etc. (e.g., "Bulletin 35, Extra" or "Report bulletin 24, Volume LIII (Section 2)").

*LC/PCC practice:* Although these publications are often indexed in the service, they are unsuitable for filing into the service. If such a publication is considered of permanent value, create a separate record. Because a pamphlet or paperback may be distributed to more than one service, do not include a note in the bibliographic record indicating a relationship to the loose-leaf service and do not give an added entry for the service.

At first, these publications may appear to be volumes in a monographic series because there is a comprehensive title (the title of the loose-leaf service), a type of numbering, and a volume title. To avoid confusion, create a series-like phrase series authority record; use the titles of the service and of the section of the service in the heading and give instructions for handling the materials in a note.

#### series authority record

130 #0 \$a Tax ideas. \$p Bulletin
667 ## \$a A separately numbered section of the loose-leaf
service "Tax ideas." Bulletins issued in looseleaf format are filed as a section of the
parent loose-leaf. Catalog separately any
material published in pamphlet or paperback
form that carries the additional designation
"Section 2;" do not indicate a relationship to
the parent loose-leaf and do not give an added
entry for the parent loose-leaf.

2) Sections with independent numeric or chronological designations: bulletins, newsletters, etc.

Latest developments in a particular field are often reported and analyzed in special sections of a loose-leaf. These sections are typically called Bulletin, Newsletter, Report bulletin, Report, etc., and are for the most part indexed in the loose-leaf. They carry a numeric or chronological designation of their own. Information of permanent value from these sections is often later included in the main text and old issues can be periodically discarded or transferred.

*LC/PCC practice:* Do not create a separate record for such a section. Instead, on the bibliographic record for the updating loose-leaf, give a note explaining the inclusion of any separately numbered and separately titled section. Give an appropriate added entry for the section.

500 ## \$a Includes separately numbered section: Report bulletin.

500 ## \$a Includes separately numbered bulletin: Criminal law advocacy reporter.

#### 3) Transfer volumes

A transfer volume is a bibliographic unit containing material of a permanent nature originally issued as a section or binder of a loose-leaf service. The material is transferred from the loose-leaf mode by one of the following methods: the material is reissued by the publisher in bound form and sent to the subscriber as part of a subscription or made available for separate purchase; the material is transferred from the original loose-leaf mode to permanent binders (sometimes supplied by the publisher) or bound separately by the subscriber.

*LC/PCC practice:* Generally, do not create a separate bibliographic record for the transfer material. Instead, on the bibliographic record for the updating loose-leaf, give a note explaining the inclusion of transfer material of permanent value. If the transfer section has a title of its own or acquires a title of its own in its transferred/bound stage, cite the title in the note and give an added entry.

```
500 ## $a Material of permanent value is removed periodically and bound in separate volume.
```

500 ## \$a Material of permanent value is transferred from time to time to storage binders.

500 ## \$a Prebound, separately numbered volumes with title Administrative law decisions, containing reports of decisions of the Administrative Appeals Tribunal, periodically replace the reports and decisions in the section entitled Administrative law decisions.

If a separate bibliographic record is created for the transfer material, include a note explaining the relationship to the updating loose-leaf.

#### **Change in Issuance**

For LC practice when a monograph or serial becomes an integrating resource, an integrating resource becomes a serial, etc., see LCRI 1.0.

## Modifying Pre-December 2002 Records for Updating Loose-leafs

LC practice:

The extent to which modifications due to changes should be made in records for updating loose-leafs created before LC's implementation of the 2002 Revision of AACR2 on Dec. 1, 2002, depends upon LC's decision on filing updates into those bibliographic resources.

If LC doesn't file updates, follow the guidelines below.

If the main entry and/or title proper changes on a current iteration, change the description and give a note and added entry for the earlier main entry and/or title proper. This modification of the bibliographic record is necessary to insure identification of the resource in the future.

If other data elements change, do not modify the bibliographic record.

If LC does file updates, change the description to reflect the latest iteration according to the rules in chapter 12. Give notes and added entries for changes if considered important. Insure that all name and series headings are in AACR2 form and are represented by authority records. Change the record as appropriate to follow current MARC 21 practice.

## 21.2B2. MONOGRAPHS IN MORE THAN ONE PHYSICAL PART.

Change in LC/PCC policy: There should be only one record for an unnumbered multipart item. Prior to Dec. 1, 2002, separate series authority records were made for an unnumbered multipart item when the title proper changed; do not change or condense any records created before Dec. 1, 2002. (The LC/PCC policy has always been to have only one record for a numbered multipart item when the title proper changed.)

*LC/PCC practice:* Follow rule 1.0H2 and always use the first part if possible as the basis of the description for the collected set bibliographic record or as the basis of the heading in the series authority record. If that is not possible, use the first part that is available; in the collected set bibliographic record, make a "Description based on" note (see rule 1.7B23 and its LCRI).

# 21.3A2. CHANGES OF PERSONS OR BODIES RESPONSIBLE FOR A WORK. MONOGRAPHS. [Rev.]

Change in LC/PCC policy: There should be only one record for an unnumbered multipart item. Prior to Dec. 1, 2002, separate series authority records were made for an unnumbered multipart item when the responsible person or body changed; do not change or condense any records created before Dec. 1, 2002. (The LC/PCC policy has always been to have only one record for a numbered multipart item when the responsible person or body changed.)

*LC/PCC practice:* Follow rule 1.0H2 and always use the first part if possible as the basis of the description for the collected set bibliographic record or as the basis of the heading in the series authority record. If that is not possible, use the first part that is available; in the collected set bibliographic record, make a "Description based on" note (see rule 1.7B23 and its LCRI).

#### 23.2. GENERAL RULES. [Rev.]

#### **Sources**

Apply the following for current place names:

- 1) For names in the United States, base the heading on the form found in the Geographic Names Information System (GNIS), U.S. Geological Survey (United States Board on Geographic Names (BGN) domestic names system). GNIS may be accessed through the World Wide Web (<a href="http://geonames.usgs.gov/">http://geonames.usgs.gov/</a>). A recent edition of the *Rand McNally Commercial Atlas & Marketing Guide* may also be used when access to the World Wide Web is not available.
- 2) For names in Australia and New Zealand, base the heading on the form found on the GEOnet Names Server (GNS), Defense Mapping Agency (the BGN foreign names system). GNS may be accessed through the World Wide Web (<a href="http://www.nima.mil/gns/html/index.html">http://www.nima.mil/gns/html/index.html</a>). A gazetteer published within the last two years may also be used when access to the World Wide Web is not available.
- 3) For names in Canada, use the heading provided by the National Library of Canada (NLC). Accept the NLC form, even if it differs from LC policy in such matters as abbreviations, diacritics, fullness, qualifiers, etc.
- 4) For names in Great Britain, base the name on a recent edition of *The Ordnance Survey gazetteer of Great Britain*. Online access may be found at <a href="http://www.ordsvy.gov.uk/">http://www.ordsvy.gov.uk/</a>>. Click on Map shop, then the arrow in Explore all of Great Britain to get a search form.
- 5) For other names, base the heading on the form found in the work being cataloged together with a consideration of the form found on GNS (or an appropriate gazetteer if access to the World Wide Web is not available).

#### **English or Vernacular Forms**

If BGN approves both a vernacular and an English form (called a conventional name in BGN terminology), use the English form.

For the following names, use the English form listed rather than the BGN-approved form:

Alma-Ata Ashkhabad

Bavaria

Bosnia and Hercegovina

**Brittany** 

Bruges

Burgundy

Carinthia

Crete

Crimea

Cuzco

East Flanders

Ghent

Hesse

Hokkaido

Istanbul Jaffa **Kvoto** Louvain Lower Austria Lower Saxony Malacca Mantua Mexico City Navarre North Brabant North Holland North Rhine-Westphalia Nuremberg Osaka Padua Picardy Piraeus Rabat Rhineland-Palatinate Saint Gall Saxony Saxony-Anhalt Seville Sicily South Holland Styria Syracuse Tehran [instead of Teheran] Thuringia Turin

Upper Austria West Flanders

Zurich

*Note*: If a foreign name is established in an English form, use the same form if the name is used by more than one jurisdictional level or is used as part of another name, whenever the same name occurs at the beginning of the name.

```
151 ## $a Kyoto (Japan)
151 ## $a Kyoto (Japan : Prefecture)

not 151 ## $a Kyōto-fu (Japan)

151 ## $a Cologne (Germany)
151 ## $a Cologne-Deutz (Cologne, Germany)

not 151 ## $a Köln-Deutz (Cologne, Germany)

but 151 ## $a Garching bei München (Germany)

not 151 ## $a Garching bei Munich (Germany)
```

*Note*: Before 1999, headings for the provinces and major cities of China were established in a conventionalized English-language form based on the Wade-Giles romanization system. After 1998, these geographic administrative areas of China are to be established in the BGN-approved pinyin form. Existing headings will be revised by LC on a project basis.

## **Modifications of the Name**

- 1) *Initial articles*. Drop initial articles from the beginning of the entry element of geographic names in Arabic, Urdu, Hebrew, and Yiddish. Retain initial articles in other non-English geographic names when retention is supported by current gazetteers in the country's language. ("Non-English" is meant to include names in French, Spanish, etc., when these are used in the United States (e.g., Los Angeles) or other English-speaking countries.) Drop all other initial articles (e.g., drop "The" from "The Dalles").
- 2) *Gazetteers*. If the name is based on the form found in a recently published gazetteer, generally use in the heading the form found on the item being cataloged rather than a shortened form or unabbreviated form found in a gazetteer, unless 23.5A is applicable.

in source: Montgomery County gazetteer: Montgomery

(GNIS: Montgomery County)

heading: 151 ## \$a Montgomery County (Md.)

However, for the English-language terms "Saint" or "St." and "Mount" or "Mt.," always use the spelled out form regardless of the item being cataloged or other evidence *unless* the name is for a place or jurisdiction within the United Kingdom or the Republic of Ireland, in which case the abbreviation "St." should be preferred to the spelled out form "Saint," *or* the name is for a place or jurisdiction in Canada, in which case the heading supplied by the National Library of Canada should be used. Make a reference from the form not used in the heading.

3) *U.S. Board on Geographic Names*. If BGN approves a romanized form that conflicts with LC's policy for the romanization of that language, use the LC form of romanization in the heading. If the BGN response indicates both a brief and a long form of the place name, generally select the long form as the heading, unless 23.5A is applicable.

```
GNS: Borno State
heading: 151 ## $a Borno State (Nigeria)

GNS: Coast Province
heading: 151 ## $a Coast Province (Kenya)

GNS: Sulz am Neckar
heading: 151 ## $a Sulz am Neckar (Germany)

GNS: Villefranche-sur-Mer
heading: 151 ## $a Villefranche-sur-Mer (France)

GNS: Wimmera Shire
heading: 151 ## $a Wimmera Shire (Vic.)

GNS: Kōra-chō
heading: 151 ## $a Kōra-chō (Japan)
```

Note that in the case of conflicts, 23.4F1 mandates a preference for long forms found in sources (including BGN "variants"), rather than adding "an appropriate smaller place" within parentheses after the conflicting name.

4) Districts of India. In order to have consistent headings for the districts of India, establish all of them with the word "District" (or its equivalent in non-English) omitted. If the resulting

heading conflicts, as in the case of the city's bearing the same name, add "District" as an element of the parenthetical qualifier (24.6).

5) *U.S. Townships*. For U.S. townships (called "towns" in some states) that encompass one or more local communities and the surrounding territory, do not include the term "township" or "town" as part of the name. Instead, add the term after the name of the state.

These non-local jurisdictions are called "townships" in Arkansas, California, Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, New Jersey, North Carolina North Dakota, Ohio, Pennsylvania, and South Dakota; they are called "towns" in Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont, and Wisconsin. If there is more than one township with the same name in the same state, apply LCRI 23.4F1.)

*Note*: For the period 1980-1990, the term "Township" or "Town" was added only if the name conflicted. Change existing headings for U.S. townships lacking the term "Township" or "Town" when the headings are needed for post-1990 cataloging.

#### **Special Decisions**

- 1) *China*. For all the governments that have controlled the mainland of China, use "China" for all periods except 1931-1945. For the government headquartered in Nanking, 1931-1937, and in Chungking, 1937-1945, use "China." For the government headquartered in Jui-chin, 1931-1937, use "China (Soviet Republic, 1931-1937)." For the government headquartered in Peking, 1937-1940, use "China (Provisional government, 1937-1940)." For the governments headquartered in Nanking, 1938-1945, use "China (Reformed government, 1938-1940)" for 1938-1940, and use "China (National government, 1940-1945)" for 1940-1945. For the post-1948 government on Taiwan, use "China (Republic: 1949-)." For the province of Taiwan, use "Taiwan."
- 2) *Germany*. For the Federal Republic of Germany, use "Germany (West)" for 1949-1990 and "Germany" after 1990. For the German Democratic Republic, use "Germany (East)."
  - 3) Great Britain. For the United Kingdom, use "Great Britain."
- 4) *Korea*. For Korea until September 1945, including the Japanese occupation (1910-1945), use "Korea." For Nam Chosŏn Kwado Chŏngbu (South Korean Interim Government, 1947-1948), the American occupation government (1945-1948), and the Republic of Korea, use "Korea (South)." For the Soviet occupation government (1945-1948) and the Democratic People's Republic of Korea, use "Korea (North)."
  - 5) London. In dealing with London, use the following headings:
    - a) Use "City of London (England)" for items from the 677-acre entity.
- b) Use "Greater London Council" for items from the former entity bearing this name that had administrative control over the 32 London boroughs that made up "Greater London" (excluding the City of London). (The entity ceased April 1, 1986.)
- c) Use "London (England)" as the qualifier added to corporate headings for a body located in the City of London or in an inner borough. Use "London (England)" also as the qualifier added to a corporate heading for a body located in an outer borough when the body is commonly associated with London rather than with the name of the particular outer borough. Otherwise, use the heading for the particular outer borough. (The inner London boroughs are Camden, Greenwich, Hackney, Hammersmith and Fulham, Islington, Kensington and Chelsea, Lambeth, Lewisham, Southwark, Tower Hamlets, Wandsworth, and the City of Westminster.)
  - 6) *Soviet Union*. For the former Union of Soviet Socialist Republics, use "Soviet Union." For the republics that constituted the Soviet Union, use the following headings:

Before 1992

After 1991

Armenian S.S.R.

Azerbaijan S.S.R. Byelorussian S.S.R.

Estonia

Georgian S.S.R.

Kazakh S.S.R. Kirghiz S.S.R. Latvia

Lithuania Moldova

(Before 1990: Moldavian S.S.R.)

Russian S.F.S.R. Tajik S.S.R.

Turkmen S.S.R. Ukraine

Ukraine Uzbek S.S.R. Armenia (Republic)

Azerbaijan Belarus Estonia

Georgia (Republic)

Kazakhstan Kyrgyzstan Latvia Lithuania

Moldova

Russia (Federation)

Tajikistan
Turkmenistan
Ukraine
Uzbekistan

7) *Washington, D.C.* For Washington, D.C., use "District of Columbia" as the heading for the government of this name. Use "Washington (D.C.)" only as a location qualifier or as the entry element for cross references from place.

26. REFERENCES. [Rev.]

#### **Reference Evaluation**

All cross references on authority records in the automated name authority file must eventually be in accordance with LC/AACR 2 practice in matters of form, style, and choice of references. Although much work was done prior to the adoption of AACR2 to identify the correct AACR2 heading, it was not possible to do this in advance for references. Near the end of 1980, a new fixed field (008/29) was added to the authorities format to indicate explicitly the status of the references with regard to the heading. The values in this byte of the fixed field are

a = references have been evaluated

b = references have not been evaluated

n = there are no references in the record

For records in the automated file *and* coded for AACR2 at the time of the "flip" of the name authority file on November 15, 1980, the value "b" was automatically added to all records with cross references and "n" to all records without references. Records on the file but not coded for AACR2 at the time of the "flip" and records created before 1981 but added to the database after November 15 had the fixed field value "b" set as the result of the master file conversion completed March 22, 1984.

When making any change to an authority record on which the references have not been evaluated, the descriptive cataloger must evaluate and adjust the references for AACR2 and LC practice. This must be done even if no actual changes are made to the reference tracings.

In evaluating references, search the necessary related authority records to verify the AACR2 forms. If the *headings* on the related authority records are already in correct AACR2 form (and coded so to indicate this), it is not necessary also to evaluate the references on these records unless some other change to the record is needed. Otherwise, apply LCRI 26.3B-C, *Evaluating Existing References*.

## **Linking References**

References need not be made from the form used in pre-1981 cataloging to the form used under AACR2. Such references may be made, however, if judged useful by the cataloger or for specified projects. Although no longer routinely made, linking references are retained in existing authority records. The provisions below are being retained for use in those instances when the linking reference is judged useful and for historical purposes.

1) Functions of linking references. Linking references may serve in one or more of three different capacities: 1) As actual connections between different pre-1981 and post-1980 headings used; 2) As valid cross references leading to the form chosen as the post-1980 heading; and 3) As a mechanism for updating headings in pre-AACR2 bibliographic records to the AACR2 form.

- a) Connections between pre-1981 and post-1980 headings. When the heading has been changed for AACR2, the pre-1981 heading will generally be retained as a linking reference in the name authority file. Value "a" in byte 2 (position 3) of the \$w control subfield of the 4xx fields is used to indicate the pre-1981 heading. It is the responsibility of the descriptive cataloger to trace the reference from the pre-1981 heading.
- b) Valid cross references. The tracing from the pre-1981 heading may also be used to produce a valid cross reference to the post-1980 heading if it is appropriate in exactly the same form as the pre-1981 heading. Based on this decision of validity as an appropriate AACR2 reference, add or delete value "a" in byte 3 (position 4) of the \$w control subfield (do not make) as necessary. If the pre-1981 heading reference is valid in substance but not in form, make another tracing in the correct form. In this case the pre-1981 heading must have the value "a" in byte 3. The examples below reflect the values that resulted from the name authority "flip."

```
110 2# $a Delaware Racing Commission (008/10=c) 410 1# $w nnaa $a Delaware. $b Racing Commission.
```

The reference is a valid AACR2 reference. Delete the period from the end of the tracing and remove the value in position 4.

```
410 1# $w nna $a Delaware. Racing Commission  
151 ## $a McAlester (Okla.)  (008/10=c)  
451 ## $w nnaa $a McAlester, Okla.
```

The reference is not a valid AACR2 reference; allow the tracing to remain exactly as it is to produce the linking reference only.

```
110 2# $a Illinois State Museum (008/10=c) 410 1# $w nna $a Illinois. $b State Museum, Springfield, Ill.
```

The reference is valid for AACR2 in substance but not in form; add position 4 as value "a" (do not make) in the tracing and trace another reference in the correct AACR2 form.

```
410 1# $w nnaa $a Illinois. $b State Museum, Springfield, Ill.
410 1# $a Illinois. $b State Museum
```

c) *Mechanism for updating headings*. The linking reference from the old catalog heading was used as a mechanism for updating headings on pre-AACR2 bibliographic records. At LC, it was done through the "bib flip" projects. Since June 3, 1985, LC catalogers have been responsible for initiating individual changes to update pre-AACR2 headings when establishing or coding a heading for AACR2 and there are bibliographic records in the machine file on which the heading appears in its pre-AACR2 form.

Nevertheless, linking references will still be traced on authority records for the benefit of other libraries.

- 2) Guidelines for when to trace linking references
- a) General. Trace a linking reference from the LC pre-AACR2 heading whenever the reference tracing would serve to identify exactly the pre-AACR2 form of heading found in MARC bibliographic records or would serve as a connection between the pre-AACR2 and post-AACR2 records. Do not trace a linking reference when there is no exact one-to-one correlation between the AACR2 heading(s) and the pre-AACR2 heading(s) or when the linking reference normalizes to the same form as the heading (see e) Exceptions below). Note that only one linking reference can be traced; when multiple linking references appear to be needed, the linking reference technique is probably not appropriate.
- b) Bibliographic records. When establishing a new name authority record or assigning an AACR2 heading to an existing name authority record and there are bibliographic records in the machine file with the heading in pre-AACR2 form, initiate individual changes to update the heading to the AACR2 form. Change only the particular heading being newly established or coded

for AACR2, although this may result in a mixture of AACR2 and pre-AACR2 headings on records that were originally cataloged under pre-AACR2 rules. When working with a name heading, do not change name/title series added entries to update the name portion of the added entry. (The entire series will be updated when the series authority record is prepared.) When the name of a conference is represented by an authority record that omits the number, date, and place qualifiers from the heading (cf. LCRI 24.7B) and there are pre-AACR2 MARC bibliographic records under the heading for the conference that show qualifiers in pre-AACR2 form, update the qualifiers to AACR2 form and order.

c) New name authority records. Trace a linking reference from a pre-AACR2 heading found on bibliographic records in the master books, serials, visual materials, maps, or music files when it differs from the AACR2 heading. In rare cases when the manual authority card is examined, also trace a linking reference from the pre-AACR2 heading even if there are no LC MARC records. Code the reference for "do not make" when it is not appropriate in the AACR2 context.

NACO participants should trace linking references only from pre-AACR2 headings found in LCMARC records; do not trace linking references from headings found in LC non-MARC bibliographic records or from headings in MARC records that were not created or input by LC.

*Note*: It will be necessary to standardize some obsolete pre-AACR2 heading forms when tracing a linking reference, although the linking reference will be coded "do not make."

```
pre-AACR2 heading

100 1# $a Plunket, Hon. Emmeline M[ary], $d 1835-
linking reference

400 1# $w nnaa $a Plunket, Emmeline Mary, $c Hon.,
$d 1835-

pre-AACR2 heading

100 1# $a Glocker, Ruby Moser (Clift)
linking reference

400 1# $w nnaa $a Glocker, Ruby Moser Clift

pre-AACR2 heading

100 1# $a Prince, C[harles] L[eeson], $d 1821-1899
linking reference

400 1# $w nna $a Prince, Charles Leeson, $d 1821-1899
```

d) Existing automated authority records. When the linking reference is already traced, retain it unless one of the exceptions below is applicable. Do not routinely examine the file to see if there are MARC bibliographic records. Add or delete coding for "do not make," as appropriate.

When coding an uncoded authority record for AACR2, convert the pre-AACR2 heading into a linking reference when it differs from the AACR2 heading (unless one of the exceptions below is applicable). Code the reference for "do not make" if it is not appropriate in the current catalog. Initiate changes to the MARC bibliographic records on which the old heading has been used.

When changing the heading on an authority record that has already been coded as AACR2 or AACR2 compatible and the former heading is retained as an appropriate see reference, code the reference as an earlier established form of heading by using the value "e" in \$w, position 3.2 Initiate changes to the MARC bibliographic records on which the old heading has been used.

e) *Exceptions*. Do not trace a linking reference when there is no one-to-one correlation between the AACR2 heading(s) and the pre-AACR2 heading(s) or when the linking reference normalizes to the same form as the heading.

When the linking reference is not used, supply information about the old catalog heading in the 667 field of the authority record, and initiate updates to MARC bibliographic records.

#### f) Examples

(1) Multiple pre-AACR2 forms being combined into a single AACR2 heading

<sup>&</sup>lt;sup>2</sup>For LC descriptive catalogers only: See DCM Z1 4XX, p. 1

```
pre-AACR2 headings

151 ## $a Hawaiian Islands

151 ## $a Hawaii (Ter.)

151 ## $a Hawaii

AACR2 heading

151 ## $a Hawaii
```

Retain only one authority record for "Hawaii"; do not trace any linking references. In the 667 field add the information: 667 ## \$a Includes the old catalog headings: Hawaiian Islands; Hawaii (Ter.). Initiate changes to MARC bibliographic records.

(2) Single pre-AACR2 form being divided into multiple AACR2 headings (e.g., 22.2B)

Prepare authority records for all headings, connecting them with explanatory *see also* references; do not trace linking references. In the 667 field on the authority records for Shannon, Egan, O'Neill, and Blaisdell, make the note: 667 ## \$a Old catalog heading: Linington, Elizabeth.

Examine the bibliographic file under Linington and initiate changes to the headings on those records written under the names Shannon, Egan, O'Neill, and Blaisdell.

(3) Non exact one-to-one relationship, although only two headings may be

```
pre-AACR2 heading

110 2# $a National Research Council of Canada

AACR2 headings

110 2# $a National Research Council of Canada

(for pre-1972 imprints)

110 2# $a National Research Council Canada

(for 1972- imprints)
```

Retain the authority record for "National Research Council of Canada" and create one for "National Research Council Canada," coding both as AACR2. Trace *see also* references between the headings but do not trace a linking reference. In the 667 field of the authority record for "National Research Council of Canada" add the information: 667 ## \$a For pre-1972 imprints only. On the authority record for "National Research Council Canada" add in the 667 field the information: 667 ## \$a For 1972- imprints; old catalog heading: National Research Council of Canada. Examine the bibliographic files under "National Research Council of Canada" and initiate changes to the headings on those records with 1972- imprints.

(4) Conflicting personal name headings when the conflict is resolved by changing the existing heading

```
new heading

100 1# $a Smith, Paul
(No additional information is available)

existing heading

100 1# $a Smith, Paul
(The birth date is known and added to the heading to resolve the conflict: 100 1# $a Smith, Paul, $d 1939-)
```

Change the authority record for the existing heading. Initiate changes to bibliographic records to agree with the new form of heading. Do not trace a linking reference or make a note about the old catalog heading. The change is not caused by a change for AACR2.

(5) Linking reference would normalize to the same form as the heading

involved

Do not trace a linking reference because it would normalize to the same form as the heading. In the 667 field make the note: 667 ## \$a Old catalog heading: Pluchart, Jean Jacques. Initiate changes to MARC bibliographic records.

3) Special instructions on linking references for uniform titles. For name/title uniform titles, trace or retain a linking reference from the old catalog heading 1) whenever a single pre-AACR2 uniform title is replaced by a single AACR2 uniform title or 2) whenever a pre-AACR uniform title included a form subheading (e.g., laws, statutes, etc.) in the name portion of the uniform title.

With regard to linking references for uniform titles traced on existing authority records, note the following situations:

a) For name-title headings when there is no change in the title portion of the heading, do not retain the reference from the pre-AACR2 heading unless it included a form subheading (e.g., Laws, statutes, etc.).

```
100 1# $a Schillebeeckx, Edward, $d 1914- $t
Gerechtigheld en liefde. $1 English
400 1# $w nnaa $a Schillebeeckx, Edward Cornelis
Florentius Alfons, $d 1914- $t
Gerechtigheld en liefde. $1 English
```

The reference is a name-title one with no change in the title portion; do not retain the reference tracing.

b) When the *choice* of entry for a uniform title heading differs between AACR2 and the old catalog entry, do not retain the reference from the old catalog heading, since there is to be no update of the pre-1981 bibliographic records for *choice* of entry.

```
130 #0 $a History of the American aircraft industry. $1
Japanese
400 #0 $w nnaa $a Simonson, Gene Roger, $d 1927- $e comp.
$t History of the American aircraft industry. $1 Japanese
```

The choice of entry differs for AACR2; delete the entire reference tracing.

c) Collective uniform titles when there is no one-to-one relationship between the AACR2 and pre-AACR2 headings.

Delete the entire reference tracing.

#### 26.2. NAMES OF PERSONS. [Rev.]

## **Preliminary Note**

These guidelines are applicable primarily when establishing headings initially.

## **Recording Variant Forms of Name**

Record all forms of name found on the chief source of the work being cataloged (including CIP title pages). For forms of name that are not on the chief source but that are found in the normal course of examining the work, be selective: record only those forms that are judged to add important information identifying the author (most commonly, a fuller form of name) or to justify tracing a needed reference. In particular, do not record a form that consists of a surname alone when that form is a variant. References are traced only from recorded forms. See the guidelines below when deciding which recorded forms require references.

## **Referring from Variant Forms**

- 1) Normally, do not trace a reference from the old catalog heading. However, if judged useful by the cataloger or for specified projects, a linking reference may be made. If the form of the resulting reference (i.e., the way it is structured, *not* its degree of fullness) is not in accord with current policy, code it "do not make" and trace another reference constructed according to current policy.
- 2) Trace a reference from each variant that affects the primary elements of the name. For the normal, inverted heading this means variations in all elements to the left of the comma and in the first element to the right of the comma. Do not trace a reference that would normalize to the same form as the heading on the same record or to the same form as the heading on another record.
- 3) Refer from other variants (i.e., those that do not affect the primary entry elements) when it is judged the access to the catalog would be improved, e.g., when the heading is a common-sounding name.
- 4) Make one reference from each possible entry element of the name chosen as the heading, including each *separate* particle or prefix but excluding connectives such as "y" and "und." (In this connection, ignore the "Bure" example in of AACR2 rule 26.2A3; this is based on actual usage, not an arbitrary permutation of the heading.)
- 5) Generally, make only one reference from each variant, normally constructing it in the form in which it would be constructed if chosen as the heading (cf. specific instructions in LCRI 26.1). In addition, if applicable, make *one* reference under each surname element, other than particles and prefixes, not already referred from. Normally, do not otherwise make references that are "variants of the variant."

Follow these principles when creating new headings, and follow them, also, as closely as possible when evaluating references on existing authority records and when creating automated authority records for headings found in the machine files (or in the manual catalog, if this has been consulted). For already evaluated reference structures, generally, allow the references to stand that are not provided for in these instructions.

6) In constructing references, prefer forms corresponding to usage over forms corresponding to qualifiers (*cf.* the last example below).

#### **Examples**

```
100 1# $a Freeman, Robert, $d 1948-
670 ## $a His Hidden treasure, 1980: $b t.p. (Robert
670 ## $a Phone call to author, 3/31/82 $b (Robert Eliot
          Freeman; b. 6/18/48)
  (No references)
100 1# $a Jenkins, Barbara
670 ## $a Jenkins, P. The walk west, 1981: $b CIP t.p.
          (Barbara Jenkins) CIP data sheet (Barbara Jo
          Pennell Jenkins)
  (No references)
100 1# $a Klughorn, Jason
400 1# $a Klughorn, Jay
670 ## $a His Mud pies, 1980: $b t.p. (Jason Klughorn)
          CIP data sheet (Jay Klughorn)
100 1# $a Jackson, Richard L.
400 1# $a Jackson, Rick
```

```
670 ## $a Conflict and cooperation in police labour
          relations, c1980: $b t.p. (Richard L. Jackson)
          p. 239 (Rick Jackson)
100 1# $a Inmon, William H.
400 1# $a Inmon, W. H. (William H.)
670 ## $a His Effective data base design, 1980: $b t.p.
          (William H. Inmon)
670 ## $a His Design review methodology for a data base
          environment, c1982: $b t.p. (W.H. Inmon)
100 1# $a Hendrix, Louise Butts
400 1# $a Butts, Louise
670 ## $a Her Sutter Buttes, land of Histun Yani, Sutter
          County, California, c1980: $b t.p. (Louise
          Butts Hendrix) about the author (née Louise
          Butts)
100 1# $a Morgan, C. J. $q (Carol Jean)
400 1# $a Morgan, Kim
400 1# $a Morgan, Carol Jean
670 ## $a National Career Education Conf. (1977 :
          Canberra, A.C.T.) Issues in career education,
          1977: $b t.p. (C.J. Morgan) p. 141 (Dr. C.J.
          (Kim) Morgan)
670 ## $a Aust. nat. bib. $b (Morgan, Carol Jean)
100 1# $a Welch, Kenneth R. G.
400 1# $a Welch, K. R. G. $q (Kenneth R. G.)
670 ## $a His Herpetology of Europe and southwest Asia,
          1983: $b CIP t.p. (K.R.G. Welch) book t.p.
          (Kenneth R.G. Welch)
100 1# $a Clark, T. J. $q (Timothy J.)
400 1# $a Clark, Timothy J.
670 ## $a His Image of the people, 1982: $b t.p. (T.J.
          Clark)
670 ## $a LC database, 7/14/83 $b (hdg.: Clark, Timothy
          J.; usage: T.J. Clark)
100 1# $a Gueligue, E. Sèlidji $q (Eugène Sèlidji)
400 1# $a Gueligue, Eugène Sèlidji
670 ## $a His Lire, écrire et parler fon, 1978- : $b t.
          1, t.p. (E. Sèlidji Gueligue) pref. (Eugène
          Sèlidji Gueligue)
100 1# $a Meier-David, Huguette
400 1# $a David, Huguette Meier-
400 1# $a Meyer-David, Huguette
400 1# $a Meier David, Huguette
  ("Meier-David" and "Meier David" file the same)
400 1# $a David, Huguette Meyer
  (This is a variant of the variant "400 Meyer-David, Huguette"
  traced above)
670 ## $a Zado, R. Die kleine Rodung, 1978: $b t.p.
          (Huguette Meier-David) verso t.p. (Huguette
          Meier David) p. 16 (Huguette Meyer-David)
100 1# $a Moreno, Montserrat
400 1# $a Moreno Marimon, Montserrat
400 1# $a Marimon, Montserrat Moreno
670 ## $a Her Aprendizaje y desarrollo intelectual, 1980:
          $b t.p. (Montserrat Moreno) cover p. 4
          (Montserrat Moreno Marimon)
```

not also

```
100 1# $a Marure, Mateo Antonio, $d 1783?-1814
            400 1# $a Marure y Guzmán, Matheo Antonio, $d 1783?-1814
            400 1# $a Marure Guzmán, Matheo Antonio, $d 1783?-1814
            400 1# $a Marure, Matheo Antonio, $d 1783?-1814
            400 1# $a Guzmán, Matheo Antonio Marure y, $d 1783?-1814
            400 1# $a Guzmán, Matheo Antonio Marure, $d 1783?-1814
               (Only one of the two preceding references should be made; it does
               not matter which)
            670 ## $a Vela. Un procer preterido, 1980: $b p. 17
                       (Matheo Antonio Marure y Guzmán) p. 20 (Matheo
                      Antonio Marure Guzmán) p. 21 (Matheo Antonio
            670 1# $a Moore, R.E. Hist. dic. of Guat., 1967 $b
                       (Marure, Mateo Antonio)
            100 l# $a García de Miguel, J. M $q. (José María)
            400 1# $a De Miguel, J. M. García $q (José María García)
            400 1# $a Miguel, J. M. García de $q (José María García)
            400 1# $a García de Miguel, José María
            670 ## $a His Quimica del cristal, 1978: $b t.p. (J.M.
                      García de Miguel)
            670 ## $a Sp 78-Apr $b (García de Miguel, José María)
            100 1# $a Van der Walt, C. J. $q (Charl Jacobus)
            400 1# $a Van der Walt, Charl Jacobus
            400 1# $a Der Walt, C. J. van $q (Charl Jacobus)
            400 1# $a Walt, C. J. van der $q (Charl Jacobus)
            100 1# $a Mills, R. A. $q (Rachel A.)
            400 1# $a Mills, Rachel
            670 \#\# $a Modern ocean floor processes and the geological
                      record, 1998: $b t.p. (R.A. Mills) pref.
                       (Rachel Mills)
not
            400 1# $a Mills, Rachel A.
```

Note that if another Rachel Mills were already established in the authority file, the form of the cross reference in the above example would then become

```
400 1# $a Mills, Rachel $q (Rachel A.)
```

### **Special Considerations**

1) When in romance language names a surname is abbreviated to a single letter, do not refer from it.

```
100 1# $a Jara S., A. Antonio
not 400 1# $a S., A. Antonio Jara
```

2) If the form being referred from is purely initials and the full form is a normal forenamesurname one, make one reference from the initials in direct order and make one, also, from the initial that represents the entry element in the heading.

```
100 1# $a Scottow, Joshua, $d 1618-1698
400 0# $a J. S. $q (Joshua Scottow), $d 1618-1698
400 1# $a S., J. $q (Joshua Scottow), $d 1618-1698
```

3) When an Aramaic surname begins with "Bar," an Arabic surname begins with "Abd" or "Ibn," or a Hebrew surname begins with "Ben" or "Bat," do not make a reference from the surname element that follows.

```
100 1# $a Ben-Gurion, David, $d 1886-1973
not 400 1# $a Gurion, David Ben-, $d 1886-1973
```

# 26.5A. REFERENCES TO ADDED ENTRIES FOR SERIES AND SERIALS. SERIES. [Rev.]

### TABLE OF CONTENTS

#### Introduction

## **General Guidelines for See References**

#### **Types of See References**

- 1) Alternative forms not selected as series heading
  - Heading is uniform title a)
    - Name/title proper reference (1)
    - (2)Title proper reference
  - b) Heading is name/title proper
    - **(1)** Title proper reference
    - Name/title proper reference for another person/body (2)
  - Heading is name/uniform title c)
    - **(1)** Title proper reference
    - Name/title proper reference (2)
    - Name/title proper reference for another person/body (3)
- 2) Variants of title proper in another source in same/another issue
  - Parallel titles a)
  - b) Other titles
- 3) Partial titles
  - Typographical prominence a)
  - b) Subseries or section title
  - Generic noun c)
  - d) Person's forename, initial, or title
- Variations in title proper that are not "major changes" 4)
- Fluctuating titles 5)
  - Different languages
  - b) Regular pattern
- Other situations 6)
  - Substitutions
  - b) Other title information
  - c) Title of series/serial
  - d) Multipart item
  - e) f) Romanization/word division
  - Change in non-corporate body parenthetical qualifier
  - Introductory words to title proper
  - g) h) Correction of title proper of a serial or an integrating resource
  - Pre-AACR 2 form of name i)
  - Miscellaneous j)

#### **General Guidelines for See Also References**

# See Also References by Category of Series Authority Record

- Monographic series and other serials 1)
- 2) Multipart items

#### Introduction

This LCRI represents *LC/NACO practice*.

Although rule 26.5A addresses only see references for traced series, this LCRI contains guidelines for see and see also references on series authority records for all categories represented by such authority records (monographic series, other serials, multipart items, series-like phrases) regardless of local treatment decisions concerning analysis, classification, and tracing practices. Unless a specific category is mentioned, the word "series" in this LCRI applies to all four categories.

*N.B.*: Full reference structure is *not* given for each example.

If an added entry is needed for a series in a bibliographic record, or if the heading for a series is needed as part of a heading/reference in a name/series authority record, use the heading on the series' own AACR2 bibliographic record or authority record.

#### **General Guidelines for See References**

In title references (subfield \$a, subfield \$n, and subfield \$p) and title portions of name/title references, omit an initial article unless it is to be filed on.

Add a qualifier to a reference if it conflicts with the heading of another publication according to the guidelines in LCRI 25.5B.

Add the qualifier "(Series)" to a reference if it is identical to a personal or corporate (including geographic) name (cf. LCRI 25.5B).

Do *not* break a conflict between see references.

When using an existing series authority record, add any appropriate references not already in the record. Do *not* delete references made according to earlier policies.

## **Types of See References**

- 1) Alternative forms not selected as series heading
  - a) Heading is uniform title

(1) Give a name/title proper reference for the situations listed below. If the heading includes a parenthetical qualifier, do not include that qualifier when recording the title proper in the reference.

(a) when a body responsible for the series is a noncommercial one or is a commercial one whose responsibility extends beyond that of merely publishing the series; in this context, consider university presses as "commercial";

```
130 #0 $a Environmental sciences and application
410 2# $a United Nations Institute for Training and
          Research. $t Environmental sciences and
          application
130 #0 $a Langues à l'INALCO
410 2# $a Insitut national des langues et civilisations
          orientales. $t Langues à l'INALCO
130 #0 $a Studies in education (London, England)
410 2# $a University of London. $b Institute of
          Education. $t Studies in education
130 #0 $a Occasional paper (Mendocino Academy of
          Science)
410 2# $a Mendocino Academy of Science. $t Occasional
          paper
```

(b) when the name, an initialism/acronym, or part of the name of a corporate body is in the series title even if that body is not responsible for the series; however, do not make the reference if the body is a commercial publisher responsible only for publishing the series;

(c) when the title of a *numbered* series consists solely of a form of a corporate body's name whether or not that form of name is identical with the name of the corporate body given as the heading on the body's name authority record.

(2) Give a reference from title proper when it differs from the uniform title except when the difference is the addition of a language name or a parenthetical qualifier.

```
130 #0 $a EDI policy seminar report. $1 Spanish
430 #0 $a Informe de un seminario de política del IDE
130 #0 $a Information (Zurich, Switzerland). $1 French (reference not given from title proper in English:
```

- 130 #0 \$a Skrifter (Dansk folkemindesamling) (reference not given from title proper: Skrifter)
- b) Heading is name/title proper
  - (1) Give a reference from title proper.

Information)

(2) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

```
100 1# $a Brenner, Barbara. $t Hide and seek science 400 1# $a Chardiet, Bernice. $t Hide and seek science
```

#### c) Heading is name/uniform title

(1) Generally, give a reference from title proper.

(2) Generally, give a reference from name/title proper when the title proper differs from the uniform title except when the difference is the addition of a language name, a date, or a parenthetical qualifier.

(3) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

```
100 1# $a Landau, L. D. $q (Lev Davidovich), $d 1908-
1968. $t Teoreticheskaia fizika
400 1# $a Lifshits, E. M. $q (Evgenii Mikhailovich), $d
1908- $t Teoreticheskaia fizika
```

# 2) Variants of title proper in another source in same/another issue

Give a reference from a variant title found in the same item or found in another item with the same series title proper. Construct the reference in the same form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Parallel titles*. Give references for all parallel titles. If the heading is a main series and subseries, use the same language, when present, for all parts of the parallel main series/parallel subseries reference(s).

b) Other titles. If important for access to the heading, give a reference from another form of the series title proper found on another source (e.g., on cover, on spine, on map panel, on label) of the same item or on another source of another item having the same series title proper.

```
130 #0 $a Composers series (Contemporary Records (Firm))
```

430 #0 \$a Contemporary composers series

(Composers series was form on label; Contemporary composers series was form on container for same item)

```
130 #0 $a Mathematical chemistry
```

430 #0 \$a Mathematical chemistry series

(Mathematical chemistry was form on ser. t.p.; Mathematical chemistry series was form on cover)

```
130 #0 $a Historical geography research series
```

430 #0 \$a Research paper series (Institute of British Geographers. Historical Geography Research Group)

(Later issue with same series title proper on t.p. had cover p. 3 title: Research paper series)

#### 3) Partial titles

Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Typographical prominence*. Give a reference when part of the series title is given typographical prominence.

```
130 #0 $a Springer proceedings in physics
430 #0 $a Proceedings in physics

(On source "Springer" appears on one line and "proceedings in physics" on another line; other sources in item indicate title begins with "Springer")
```

b) Subseries or section title. Give a reference from the subseries or section title unless that title is dependent on the main/common title or is misleading without that title. Do not include a preceding designation in the reference.

```
130 #0 $a Petite bibliothèque. $n Série C, $p Science récréative
```

430 #0 \$a Science récréative

130 #0 \$a Soviet scientific reviews supplement series. \$p Physiology and general biology

430 #0 \$a Physiology and general biology

130 #0 \$a Contributions in political science. \$p Soviet and American studies on the Third World

430 #0 \$a Soviet and American studies on the Third World

c) Generic noun. Give a reference from the series title or subseries/section title omitting the introductory generic noun (e.g., Serie, Collection, Schriftenreihe) when that noun is followed by a noun or noun phrase. If the partial title reference would consist only of a proper name, add the qualifier "(Series)" to that reference.

```
130 \#0 $a Schriftenreihe Christliche Perspektiven im Sport
```

430 #0 \$a Christliche Perspektiven im Sport

130 #0 \$a Colección Documentos (Universidad Nacional del Litoral)

430 #0 \$a Documentos (Universidad Nacional del Litoral)

130 #0 \$a Coleção "Paulo Freire"

430 #0 \$a Paulo Freire (Series)

d) *Person's forename, initial, or title.* When the series title begins with a person's forename(s), initial(s), or title, give a reference

from the surname and the remainder of the title; from the forename(s) and/or initial(s) and surname and remainder of the title.

```
130 #0 $a Dr. Leonard P. Schultz ichthyological reprint
430 #0 $a Schultz ichthyological reprint
430 #0 $a Leonard P. Schultz ichthyological reprint
```

4) Variations in title proper that are not "major changes" (applies only to monographic series, other serials, and series-like phrases)

When the title proper or series-like phrase found on an earlier or later issue differs from the form used in the heading, give a *see* reference from the earlier/later form if the difference does not constitute a major change (cf. AACR2 21.2A and LCRI 21.2A). Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

```
130 #0 $a Veröffentlichungen des Kölnischen
Geschichtsvereins e.V.

430 #0 $a Veröffentlichung des Kölnischen
Geschichtsvereins e.V.
(later issue had title: Veröffentlichung des Kölnischen
Geschichtsvereins e.V.; singular/plural change isn't a major change)
```

- 130 #0 \$a Bulletin (Southern Humanities Conference)
- 430 #0 \$a Bulletin of the Southern Humanities Conference (later issues had title: Bulletin of the Southern Humanities Conference; same body's name added to or subtracted from the title isn't a major change)
- 130 #0 \$a Wiley series in psychology of crime, policing, and law
- 430 #0 \$a Wiley series in the psychology of crime, policing, and law (later issue had title: Wiley series in the psychology of crime, policing, and law; addition/omission of article isn't a major
- change)

  130 #0 \$a Ankara Üniversitesi Hukuk Fakültesi
  yayınları. \$p Döner sermaye yayınları
- 430 #0 \$a Ankara Üniversitesi Hukuk Fakültesi
  yayınları. \$p AÜHF döner sermaye yayınları
  (later issue had title: Ankara Üniversitesi Hukuk Fakültesi
  yayınları. AÜHF döner sermaye yayınları; addition of body's
  initialism isn't a major change)
- 130 #0 \$a Seriia "Bibliofil'skie redkosti"
  430 #0 \$a Bibliofil'skie redkosti
  (addition/deletion of type of resource isn't a major change)

# 5) Fluctuating titles

a) *Different languages*. If the language of the numbered monographic series title proper on later issues varies according to the language of the text, give a reference from the series title proper in the other language(s). (Cf. LCRI 21.2A.) However, if there are parallel editions in different languages, establish separate headings (cf. LCRI 1.6).

```
130 #0 $a Taschenbücher zur Musikwissenschaft
430 #0 $a Pocketbooks of musicology
```

b) Regular pattern. If the title proper on later issues of a monographic series varies according to a regular pattern, give a reference from that later title.

### *6) Other situations*

a) Substitutions. Use judgment when deciding whether a reference that is a modification of the form used in the heading (spelled out form for an abbreviation, word for symbol, word for numeral, arabic numeral for roman numeral, two words for compound word, initialism without periods for initialism with periods, etc.) is appropriate. Consider whether users might expect that form to have been the established form and whether the substitution would occur in the first five words. Construct the reference in the form, title or name/title, that would be used as the

heading; generally, do not give references that are variations of these references.

```
130 #0 $a Mathématiques & applications
430 #0 $a Mathématiques et applications

130 #0 $a Advances in colour chemistry series
430 #0 $a Advances in color chemistry series

130 #0 $a Database search aids
430 $0 $a Data base search aids
```

b) Other title information. Give a reference from the other title information when it could be construed as the series title proper or subseries title. When an acronym or initialism of the title proper of a serial or an integrating resource is present (cf. AACR2 12.1B2), give a reference from the acronym or initialism.

```
130 #0 $a Worldly philosophy
430 #0 $a Studies at the intersection of philosophy and
economics
(Later issue had series subtitle: Studies at the intersection of
philosophy and economics)
```

```
130 #0 $a Österreichische Schriftenreihe zum gewerblichen
Rechtsschutz, Urheber- und Medienrecht
430 #0 $a ÖSGRUM
```

c) Title of series/serial.

Give a reference from the name of the main series (in its AACR2 form) and subseries when a subseries is not entered subordinately to the main series (cf. LCRI 1.6H).

```
130 #0 $a De signo
430 #0 $a Collana Sapiens. $p De signo
(Subseries De signo is not entered subordinately to the main series; no source in preliminaries or publisher's listing in item has both main series title Collana Sapiens and subseries title)
```

Give a reference from the name of the serial (in its AACR2 form) and title of series when the name of a serial is contained in the series title proper.

```
130 #0 $a Quaderni della Rivista italiana di musicologia
430 #0 $a Rivista italiana di musicologia. $p Quaderni
della Rivista italiana di musicologia
(Rivista italiana di musicologia is a separately published
serial)
```

- d) Multipart item: change of title or change in person or body responsible. If the title proper changes (AACR2 21.2B2), give a reference from the later title. If the person or body responsible for the multipart item changes (AACR2 21.3A2), give a name/title proper reference from the later person or body.
- e) Romanization/word division. Give a reference from a form representing another romanization or word division policy.

```
130 #0 $a Jibi inkoka rinsho
430 #0 $a Jibiinkoka rinsho
```

f) Change in parenthetical qualifier not requiring a new record. If there is a change in parenthetical qualifier in the series heading and a new record is not required (cf. LCRI 25.5B), give a reference from the series title proper and the changed qualifier if it would help in identification.

```
130 #0 $a Wissenschaftliche Reihe (Husum, Schleswig-
Holstein, Germany)
430 #0 $a Wissenschaftliche Reihe (Nienburg, Germany)
```

g) *Introductory words to title proper*. If introductory words to the title proper were not transcribed as part of the title proper in the heading (1.1B1), give a reference from the title

including those words.

h) Correction of title proper of a serial or an integrating resource. If the title proper in the heading has been transcribed in a corrected form (cf. AACR2 12.0F, 12.1B1), give a reference for the title as it appears on the serial or integrating resource.

```
130 #0 $a Studies in American art
430 #0 $a Studies in Amerrican art
```

i) *Pre-AACR2 form of heading. Optionally*, include information about the pre-AACR2 form of heading in the series authority record. If there is a one-to-one relationship, give a reference from the pre-AACR2 form of heading and code subfield \$w appropriately. If there is not a one-to-one relationship or if the reference normalizes to the same form as a heading or another reference, give the pre-AACR2 heading information in a note.

```
130 #0 $a Bulletin of the Scripps Institution of Oceanography, University of California, San Diego
```

410 1# \$w nnaa \$a California. \$b University. \$b Scripps
Institution of Oceanography, La Jolla.
\$t Bulletin

130 #0 \$a APA private practice series

667 ## \$a Includes the old catalog headings: American Psychological Association. APA private practice series; American Psychological Association. A.P.A. private practice series

(made-up example; not a one-to-one relationship: only one heading needed for AACR2 but two headings needed earlier)

130 #0 \$a Monograph (International Violin, Guitar Makers & Musicians Association)

667 ## \$a Previous to AACR2 covered by the heading: International Violin, Guitar Makers & Musicians Association. Monograph

(made-up example; not a one-to-one relationship: two headings needed for AACR2; other heading: Monograph (Violin, Guitar Makers & Musicians Association); only one heading needed earlier because name change of body was handled via latest entry cataloging)

130 #0 \$a Journal of mathematical biology. \$p Supplement 667 ## \$a Old catalog heading: Journal of mathematical biology: supplement

j) *Miscellaneous*. Give any other see reference not already mentioned if it is important for access to the heading.

```
130 #0 $a Discussion paper (University of East Anglia.
School of Development Studies)
```

430 #0 \$a Development studies discussion paper (Cataloger's judgment whether series title should be recorded as Discussion paper or Development studies discussion paper based on presentation; reference given from form not chosen as approach to the heading for someone who would have chosen the other form as series title)

## **General Guidelines for See Also References**

If the earlier or later heading is not represented by its own series authority record, give the earlier or later information in a note instead of giving a see also reference. End such a note with the label "[unevaluated heading]."

```
130 #0 $a Occasional paper (University of Singapore.

Dept. of Political Science)
667 ## $a Continues: Singapore (City). University. Dept.

of Political Science. Occasional paper series -

Department of Political Science, University of
Singapore [unevaluated heading]
```

### See Also References by Category of Series Authority Record

## 1) Monographic series and other serials

If a new series authority record is required by AACR2 21.2A, 21.2C, or 21.3B and related LCRIs, connect the series authority records for the earlier and later headings with see also references.

For numbered monographic series, code subfield \$w\$ to indicate whether the reference is for the earlier or later heading. Generally, do not code subfield \$w\$ for unnumbered monographic series.

```
130 #0 $a Royal Institute of Philosophy lectures
530 #0 $w b $a Royal Institute of Philosophy supplement
130 #0 $a Royal Institute of Philosophy supplement
530 #0 $w a $a Royal Institute of Philosophy lectures
130 #0 $a Weidenfeld psychology series
530 #0 $a Weidenfeld modern psychology series
(series is unnumbered: subfield $w is not coded)

130 #0 $a Weidenfeld modern psychology series
530 #0 $a Weidenfeld psychology series
(series is unnumbered: subfield $w is not coded)
```

### 2) Multipart items

Remember that a change in main entry heading or title of a multipart item is handled with a *see* reference (see 6)d) above).

## 3) Series-like phrases

If a new series authority record is required by AACR2 21.2A, 21.2C, or 21.3B and related LCRIs, connect the series authority records for the earlier and later headings with see also references. Generally, do not code subfield \$w.

```
130 #0 $a Golden Press book
530 #0 $a Golden Press modern book
130 #0 $a Golden Press modern book
530 #0 $a Golden Press book
```

# SUBJECT CATALOGING

#### SUBDIVISION SIMPLIFICATION PROGRESS

Since the Subject Subdivisions Conference took place at Airlie House, Virginia, in May 1991, progress continues to be made in simplifying subdivisions in the Library of Congress Subject Headings system. On Weekly Lists 03-17 to 03-30 changes were made in the following areas:

**Recommendation** #1. Toward achieving the recommended standard order of **[topic]—[place]—[chronology]—[form]** where it can be applied in LC subject heading strings, new topical subdivisions for which geographic orientation is possible are established with the designation (*May Subd Geog*). On a case-by-case basis, subdivisions not previously divided by place are authorized for geographic subdivision. Five subdivisions were authorized for geographic subdivision during this period, including one free-floating subdivision listed below.

*Recommendation #6.* During the second quarter of 2003, progress in simplifying subdivisions was made in the following areas:

- 1) Cancellation of subdivisions that represent the same or similar concepts in different forms. The subdivision —**Testing equipment**, which had been established under the heading **Internal combustion engines**, was cancelled and replaced by the combination of two free-floating subdivisions: —**Testing**—**Equipment and supplies**.
- 2) Subdivisions updated to different forms. Ten subdivisions were updated to different forms. The subdivision —Assisted take-off was revised to —Assisted takeoff under the heading Airplanes. Similarly, the subdivision —Take-off was revised to —Takeoff under that same heading and four additional headings for types of airplanes.

As part of the project to change the heading Australian aborigines to Aboriginal Australians, the subdivision —Australian aboriginal was revised to —Aboriginal Australian under the heading Christianity and other religions, and the subdivision —Australian aborigines was revised to Aboriginal Australians under the heading Australia—Armed Forces. The subdivision —Australian aboriginal authors was changed to —Aboriginal Australian authors under the headings Australian drama and Australian literature, and the subdivision —Australian aboriginal influences was changed to —Aboriginal Australian influences under the heading Art, Australian.

The subdivision —**Travel** replaced —**Journeys** under the heading **Presidents** and as a free-floating subdivision used under names of individual persons and groups of literary authors for works about voyages and travel undertaken by the person or members of the group. Under names of places, the subdivision —**Kings and rulers**—**Travel** will now be used instead of —**Kings and rulers**—**Journeys**.

Under headings for individual musical instruments and families of instruments, the subdivision —**Orchestral studies** was revised to —**Orchestral excerpts** to identify that type of material.

The subdivision — **Oriental influences** was replaced by — **Asian influences** under headings for literatures.

The following changes to existing free-floating subdivisions took place during the second quarter of 2003.

# CHANGED OR CANCELLED FREE-FLOATING SUBDIVISIONS WL03/17-03/30

Subdivision	List in SCM	Change or replacement
—Age	H 1147	ADD: (May Subd Geog)
_	H 1180	ADD: (May Subd Geog)
—Journeys	H 1110	—Travel (May Subd Geog)
•	H 1155.2	—Travel (May Subd Geog)
—Kings and rulers—Journeys	H 1140	—Kings and rulers—Travel
ž ,		(May Subd Geog)
—Orchestral studies	H 1161	—Orchestral excerpts
—Oriental influences	H 1156	—Asian influences

## ABORIGINAL AUSTRALIANS AND ABORIGINAL TASMANIANS

In consultation with the National Library of Australia, the Library of Congress recently changed the subject headings **Australian aborigines** and **Tasmanian aborigines** to **Aboriginal Australians** and **Aboriginal Tasmanians**. Other headings that included the terms **Australian aborigines** or **Australian aboriginal** were also changed. For example, **Australian aborigines in motion pictures** was changed to **Aboriginal Australians in motion pictures**; **Australian aboriginal poetry** was changed to **Aboriginal Australian poetry**; **Women, Australian aboriginal** was changed to **Women, Aboriginal Australian**; etc. Approximately 90 subject authority records were changed. The changes appeared on *Library of Congress Subject Headings Weekly List 30* (July 23, 2003).

Using newly developed methods for changing large numbers of bibliographic records, the Library has updated and distributed approximately 4,500 bibliographic records that were affected by this change.

#### SUBJECT HEADINGS OF CURRENT INTEREST

Weekly Lists 21-32, 2003

Air-to-air missiles (May Subd Geog)

Building commissioning (May Subd Geog)

Bystander effect (May Subd Geog)

Cancer vaccines (May Subd Geog)

Cheminformatics (May Subd Geog)

Chief operating officers (May Subd Geog)

Comfort food (May Subd Geog)

Consumer profiling (May Subd Geog)

Currency overlay (May Subd Geog)

Customer advisory boards (May Subd Geog)

Cyberfeminism (May Subd Geog)

Disabilities (May Subd Geog)

Ecological houses (May Subd Geog)

Garden squares (May Subd Geog)

Gay social workers (May Subd Geog)

Hand exercises (May Subd Geog)

Hawala system (May Subd Geog)

Homophobia in social work (May Subd Geog)

Human smuggling (May Subd Geog)

Internet in church work (May Subd Geog)

Keyboard players (May Subd Geog)

Kwanzaa cookery (May Subd Geog)

Minivans (May Subd Geog)

Music and globalization (May Subd Geog)

News ombudsmen (May Subd Geog)

Peace-building (May Subd Geog)

Personal Internet use in the workplace (May Subd Geog)

Plastic garments (May Subd Geog)

Relevance logic (May Subd Geog)

Religious right (May Subd Geog)

Restrooms for people with disabilities (May Subd Geog)

Riot control agents (May Subd Geog)

Scimitars (May Subd Geog)

Smoke sauna (May Subd Geog)

Sound installations (Art) (May Subd Geog)

Soundscapes (Music) (May Subd Geog)

Sports rivalries (May Subd Geog)

Student-centered learning (May Subd Geog)

Sustainable buildings (May Subd Geog)

Telephone directory assistance (May Subd Geog)

Tissue engineering (May Subd Geog)

Traditional ecological knowledge (May Subd Geog)

Traffic fatalities (May Subd Geog)

Trail bike trails (May Subd Geog)

Webcams (May Subd Geog)

Women suicide bombers (May Subd Geog)

Worksite schools (May Subd Geog)

#### REVISED LC SUBJECT HEADINGS

The list below comprises headings that were changed or cancelled on weekly lists 17-30, 2003

Cancelled Heading	Replacement Heading	May Subd Geog
Accordion orchestras	Accordion bands	YES
Aged, Australian aboriginal	Aged, Aboriginal Australian	YES
Airline police—United States	Airline security personnel—United States	
Airplanes—Assisted take-off	Airplanes—Assisted takeoff	YES
Airplanes—Take-off	Airplanes—Takeoff	YES
Aït Atta (Berber tribe)	Ait 'Atta (Berber tribe)	YES

Aljubarrota (Portugal), Battle of,	Aljubarrota, Battle of, Aljubarrota,	NO
1385 Art, Australian—Australian	Portugal, 1385 Art, Australian—Aboriginal Australian	NO
aboriginal influences	influences	
Art, Australian aboriginal	Art, Aboriginal Australian	YES
Art, Australian aboriginal—Awards	Art, Aboriginal Australian—Awards	YES
Art, Australian aboriginal—Western influences	Art, Aboriginal Australian—Western influences	NO
Art, Jaina	Jaina art	YES
Artists, Australian aboriginal	Artists, Aboriginal Australian	YES
Arts, Australian aboriginal	Arts, Aboriginal Australian	YES
Astronomy, Australian aboriginal Athletes, Australian aboriginal	Astronomy, Aboriginal Australian Athletes, Aboriginal Australian	YES YES
Atlantic Coast (Nfld.)	Atlantic Coast (N.L.)	NO
Australia—Armed Forces—	Australia—Armed Forces—	NO
Australian aborigines	Aboriginal Australians	
Australian aboriginal literature	Aboriginal Australian literature	YES
Australian aboriginal newspapers	Aboriginal Australian newspapers	YES
Australian aboriginal periodicals	Aboriginal Australian periodicals	YES
Australian aboriginal poetry	Aboriginal Australian poetry	YES
Australian aborigines	Aboriginal Australians	YES
Australian aborigines—Agriculture	Aboriginal Australians—Agriculture Aboriginal Australians—Anthropometry	YES YES
Australian aborigines—Anthropometry Australian aborigines—Antiquities	Aboriginal Australians—Antiquities	NO
Australian aborigines—Craniology	Aboriginal Australians—Craniology	YES
Australian aborigines—Criminal	Aboriginal Australians—Criminal	YES
justice system	justice system	
Australian aborigines—Economic	Aboriginal Australians—Economic	NO
conditions	conditions	
Australian aborigines—Employment	Aboriginal Australians—Employment	YES
Australian aborigines—Ethnic identity	Aboriginal Australians—Ethnic identity	NO
Australian aborigines—Food	Aboriginal Australians—Food	YES
Australian aborigines—Funeral customs and rites	Aboriginal Australians—Funeral customs and rites	YES
Australian aborigines—Games	Aboriginal Australians—Games	YES
Australian aborigines—Government	Aboriginal Australians—Government	NO
relations	relations	
Australian aborigines—Hospital care	Aboriginal Australians—Hospital care	YES
Australian aborigines—Housing	Aboriginal Australians—Housing	YES
Australian aborigines—Implements	Aboriginal Australians—Implements	YES
Australian aborigines—Industries	Aboriginal Australians—Industries	YES
Australian aborigines—Kinship Australian aborigines—Land tenure	Aboriginal Australians—Kinship Aboriginal Australians—Land tenure	YES YES
Australian aborigines—Languages	Aboriginal Australians—Land tendre Aboriginal Australians—Languages	NO
Australian aborigines—Marriage	Aboriginal Australians—Marriage	YES
customs and rites	customs and rites	
Australian aborigines—Mathematics	Aboriginal Australians—Mathematics	NO
Australian aborigines—Medals	Aboriginal Australians—Medals	YES
Australian aborigines—Medicine	Aboriginal Australians—Medicine	YES
Australian aborigines—Mines and	Aboriginal Australians—Mines and	YES
mining Australian aborigines Mines and	mining Aboriginal Australians Mines and	VEC
Australian aborigines—Mines and mining—Law and legislation	Aboriginal Australians—Mines and mining—Law and legislation	YES
Australian aborigines—Missions	Aboriginal Australians—Missions	YES
Australian aborigines—Mixed descent	Aboriginal Australians—Mixed descent	YES
Australian aborigines—Politics and	Aboriginal Australians—Politics and	NO
government	government	
Australian aborigines—Reservations	Aboriginal Australians—Reservations	YES
Australian aborigines—Rites and	Aboriginal Australians—Rites and	NO
ceremonies	ceremonies	NO
Australian aborigines—Sign language	Aboriginal Australians—Sign language	NO NO
Australian aborigines—Social conditions	Aboriginal Australians—Social conditions	NU
Australian aborigines—Urban	Aboriginal Australians—Urban	YES
residence	residence	125
Australian aborigines—Australia	Aboriginal Australians—Australia	
Australian aborigines—Australia—	Aboriginal Australians—Australia—	
New South Wales	New South Wales	

Australian aborigines in art	Aboriginal Australians in art	NO
Australian aborigines in European art	Aboriginal Australians in art	NO
Australian aborigines in literature	Aboriginal Australians in literature	NO
Australian aborigines in mass media	Aboriginal Australians in mass media	NO
Australian aborigines in motion pictures	Aboriginal Australians in motion pictures	NO
Australian aborigines in television broadcasting	Aboriginal Australians in television broadcasting	YES
Australian aborigines with disabilities	Aboriginal Australians with disabilities	YES
Australian drama—Australian aboriginal authors	Australian drama—Aboriginal Australian authors	NO
Australian literature—Australian aboriginal authors	Australian literature—Aboriginal Australian authors	NO
Authors—Journeys	Authors—Travel	YES
Authors, English—Journeys	Authors, English—Travel	YES
Avalon Peninsula (Nfld.)	Avalon Peninsula (N.L.)	NO
'Ayn Jālūt, Battle of, 1260	Ayn Jalut, Battle of, Israel, 1260	NO
Bacalod, Battle of, 1903	Bacolod, Battle of, Philippines, 1903	NO
Badr, Battle of, 624	Badr, Battle of, Badr Hunayn, Saudi Arabia, 624	NO
Baie Verte Peninsula (Nfld.)	Baie Verte Peninsula (N.L.)	NO
Bay du Nord Wilderness Reserve (Nfld.)	Bay du Nord Wilderness Reserve (N.L.)	NO
Belle Isle, Strait of (Nfld.)	Belle Isle, Strait of (N.L.)	NO
Bembe (East African people)	Bembe (Congolese (Democratic Republic) people)	YES
Bembe (West African people)	Bembe (Congolese (Brazzaville) people)	YES
Berestechko (Ukraine), Battle of, 1651	Beresteczko, Battle of, Berestechko, Ukraine, 1651	NO
Berestechko (Ukraine), Battle of, 1651, in art	Beresteczko, Battle of, Berestechko, Ukraine, 1651, in art	NO
Bitola, Battle of, 1912	Monastir, Battle of, Bitola, Macedonia, 1912	NO
Black Brant rocket	Black Brant sounding rockets	NO
Bobo languages	Bwamu language	YES
Bonavista Bay (Nfld.)	Bonavista Bay (N.L.)	NO
Bonne Bay (Nfld.)	Bonne Bay (N.L.)	NO
Bonne Espérance (Nfld.)	Bonne Espérance (N.L.)	NO
Boys, Australian aboriginal	Boys, Aboriginal Australian	YES
Bregalnica, Battle of, 1913	Bregalnica, Battle of, Macedonia, 1913	NO
Brunete, Battle of, 1937	Brunete, Battle of, Brunete, Spain, 1937	NO
Buchans Lake (Nfld.)	Buchans Lake (N.L.)	NO
Bunnak family Burin Peninsula (Nfld.)	Bunnag family Burin Peninsula (N.L.)	NO NO
Business enterprises, Australian	Business enterprises, Aboriginal Australian	YES
aboriginal		
Byczyna (Opole, Poland), Battle of,	Byczyna, Battle of, Byczyna, Opole, Poland, 1588	NO
Bziiuk River (Russia), Battle of, 1796	Bziiuk River, Battle of, Russia, 1796	NO
Cabot Strait (Nfld. and N.S.) Cacoecia	Cabot Strait (N.L. and N.S.)	NO
Calderan, Battle of, 1514	Archips Chaldiran, Battle of, Turkey, 1514	YES NO
Camel, Battle of the, 656	Camel, Battle of the, Iraq, 656	NO NO
Canada Bay (Nfld.)	Canada Bay (N.L.)	NO
Canna leaf-roller	Larger canna leafroller	YES
Canna leaf-roller	Lesser canna leafroller	YES
Carry Bow Cay (Belize)	Carrie Bow Cay (Belize)	NO
Catagonus wagneri	Chacoan peccary	YES
Cestoda	Tapeworms	YES
Cestoda—Physiology	Tapeworms—Physiology	NO
Cestode diseases	Tapeworm infections	YES
Change Islands (Nfld.)	Change Islands (N.L.)	NO
Chateau Bay (Nfld.)	Chateau Bay (N.L.)	NO
Château de Malagar (Saint-Macaire, France)	Château Malagar (Saint-Macaire, France)	NO
Children, Australian aboriginal	Children, Aboriginal Australian	YES
Chiracanthium	Yellow sac spiders	YES
Chiracanthium inclusum	Cheiracanthium inclusum	YES

Christianity and other religions—	Christianity and other religions—Aboriginal	NO
Australian aboriginal	Australian	
Chudniv (Ukraine), Battle of, 1660	Cudnów, Battle of, Chudniv, Ukraine, 1660	NO
Citadel House Site (Greece)	Citadel House Site (Mycenae)	NO
Clarinet—Orchestra studies	Clarinet—Orchestral excerpts	NO
Come By Chance (Nfld.)	Come By Chance (N.L.)	NO
Conception Bay (Nfld.)	Conception Bay (N.L.)	NO
Cookery, Malayan	Cookery, Malay	NO
Cotton leaf-roller	Cotton leafroller	YES
Coulmiers, Battle of, 1870	Coulmiers, Battle of, Coulmiers, France,	NO
Countries, Buttle of, 1070	1870	110
Cow Head Group (Nfld.)	Cow Head Group (N.L.)	NO
Cowboys, Australian aboriginal	Cowboys, Aboriginal Australian	YES
Cricket players, Australian aboriginal	Cricket players, Aboriginal Australian	YES
Dan no Ura (Japan), Battle of, 1185	Dannoura, Battle of, Japan, 1185	NO
Dardanelles, Battle of the, 1656	Dardanelles, Battle of the, Turkey, 1656	NO
Dead Wolf Pond (Nfld.)	Dead Wolf Pond (N.L.)	NO
Diaoyu Cheng (Extinct city), Battle	Diaoyu Cheng, Battle of, Diaoyu Cheng,	NO
of, China, 1259	1259	NO
Didgora Mountain (Georgia), Battle	Didgori, Battle of, Georgia, 1121	NO
of, 1121	Diagon, Dame of, Georgia, 1121	NO
Ditch, Battle of the, 627	Ditch Pottle of the Medine Soudi	NO
Ditch, battle of the, 027	Ditch, Battle of the, Medina, Saudi	NO
Dalliage family	Arabia, 627	NO
Dollison family	Dolson family	NO
Don Juan (Legendary character) in art	Don Juan (Legendary character)—Art	NO
Dornach, Battle of, 1499	Dornach, Battle of, Dornach,	NO
D 11 M OIGH	Switzerland, 1499	
Double Mer (Nfld.)	Double Mer (N.L.)	NO
Drawing, Australian aboriginal	Drawing, Aboriginal Australian	YES
Dreamtime (Australian aboriginal	Dreamtime (Aboriginal Australian	YES
mythology)	mythology)	
Drin River (Albania), Battle of, 1448	Drin River, Battle of, Albania, 1448	NO
Dürnkrut, Battle of, 1278	Marchfeld, Battle of, Austria, 1278	NO
Eastport Peninsula (Nfld.)	Eastport Peninsula (N.L.)	NO
Ebelsberg, Battle of, 1809	Ebelsberg, Battle of, Linz, Austria, 1809	NO
Eccentrics and eccentricities in	Eccentrics in literature	NO
literature		
English literature—Oriental influences	English literature—Asian influences	NO
Esquiman Channel (Nfld. and Québec)	Esquiman Channel (N.L. and Québec)	NO
Exploits, Bay of (Nfld.)	Exploits, Bay of (N.L.)	NO
Fajsławice (Poland), Battle of, 1863	Fajsławice, Battle of, Fajsławice,	NO
	Poland, 1863	
Farmers, Australian aboriginal	Farmers, Aboriginal Australian	YES
Filicales	Polypodiales	YES
Filicales, Fossil	Polypodiales, Fossil	YES
Fleming Formation (Nfld. and	Fleming Formation (N.L. and Québec)	NO
Québec)		
Focke-Wulf 189 (Reconnaissance	Focke-Wulf Fw 189 (Reconnaissance	NO
aircraft)	aircraft)	
Focke-Wulf 190 (Fighter planes)	Focke-Wulf Fw 190 (Fighter plane)	NO
Folk dancing, Malayan	Folk dancing, Malay	YES
Folk songs, Australian (Aboriginal)	Folk songs, Aboriginal Australian	YES
Fort Osage (Mo.)	Fort Osage (Mo. : Fort)	NO
Fortune Bay (Nfld.)	Fortune Bay (N.L.)	NO
Fruit-tree leaf roller	Fruittree leafroller	YES
Gander River (Nfld.)	Gander River (N.L.)	NO
Goura (Musical instrument)	Gora (Musical instrument)	YES
Grammos-Vitsi, Battle of, 1949	Grammos-Vitsi, Battle of, Greece, 1949	NO
Great Northern Peninsula (Nfld.)	Great Northern Peninsula (N.L.)	NO
Grochów (Warsaw, Poland), Battle	Grochów, Battle of, Warsaw, Poland, 1831	NO
of, 1831	, , , _ 0.000.00, 1001	0
Gros Morne National Park (Nfld.)	Gros Morne National Park (N.L.)	NO
Guadalajara, Battle of, 1937	Guadalajara, Battle of, Brihuega, Spain,	NO
	1937	110
Guilin (Guangxi Zhuangzu Zizhiqu,	Guilin, Battle of, Guilin, Guangxi	NO
China), Battle of, 1944	Zhuangzu Zizhiqu, China, 1944	0
Gulf brook lamprey	Least brook lamprey	YES
	<u>.</u> .	

Hakodate, Battle of, 1868-1869	Hakodate, Battle of, Hakodate-shi, Japan, 1868-1869	NO
Halhaiin Gol, Battle of, 1939	Khalkhin Gol, Battle of, Mongolia, 1939	NO
Hamilton Inlet (Nfld.)	Hamilton Inlet (N.L.)	NO
Hanasor (Turkey), Battle of, 1897	Khanasor, Battle of, Turkey, 1897	NO
Hittīn, Battle of, 1187	Hattin, Battle of, Israel, 1187	
		NO
Hittīn, Battle of, 1187, in literature	Hattin, Battle of, Israel, 1187, in literature	NO
Humber Arm (Nfld.)	Humber Arm (N.L.)	NO
Iganie (Poland), Battle of, 1831	Iganie, Battle of, Iganie, Poland, 1831	NO
Indian Islands (Nfld.)	Indian Islands (N.L.)	NO
Internal combustion engines—	Internal combustion engines—Testing—	NO
Testing equipment	Equipment and supplies	
Irian Jaya (Indonesia)—Description	Papua (Indonesia)—Description and travel	NO
and travel	1 ( ) 1	
Irian Jaya (Indonesia)—Politics and	Papua (Indonesia)—Politics and government	NO
government	(	
Irian Jaya (Indonesia)—Politics and	Papua (Indonesia)—Politics and government—	NO
government—To 1963	To 1963	110
Irian Jaya (Indonesia)—Politics and	Papua (Indonesia)—Politics and government—	NO
		NO
government—1963-	1963-	210
Isel Mountain, Battle of, 1809	Berg Isel, Battles of, Austria, 1809	NO
Ishibashiyama, Battle of, 1180	Ishibashiyama, Battle of, Japan, 1180	NO
Ishigakibaru, Battle of, 1600	Ishigakibaru, Battle of, Beppu-shi, Japan,	NO
	1600	
Ishiyama, Battle of, 1570-1580	Ishiyama, Battle of, Japan, 1570-1580	NO
Islands, Bay of (Nfld.)	Islands, Bay of (N.L.)	NO
Itsukushima, Battle of, 1555	Itsukushima, Battle of, Japan, 1555	NO
Jade art objects—China—History—	Jade art objects—China—History—	NO
Ch'in-Han dynasties,	Qin-Han dynasties, 221 B.C220 A.D.	110
221 B.C220 A.D.	QIII TIAII AYIMBUO, 221 B.C. 220 II.B.	
Jeanne d'Arc Basin (Nfld.)	Jeanne d'Arc Basin (N.L.)	NO
	Jesus Christ—Travel	
Jesus Christ—Journeys		YES
Jet transports—Take-off	Jet transports—Takeoff	YES
Kagoro dialect	Kagoro dialect (Nigeria)	YES
Kápolna, Hungary (Heves), Battle	Kápolna, Battle of, Kápolna, Heves	NO
of, 1849	Megye, Hungary, 1849	
Karana Kayasths	Karana Kayasthas	YES
Karbalā' (Iraq), Battle of, 680	Karbalā', Battle of, Karbalā', Iraq, 680	NO
Karbalā' (Iraq), Battle of, 680—	Karbalā', Battle of, Karbalā', Iraq, 680—	NO
Anniversaries, etc.	Anniversaries, etc.	
Karbalā' (Iraq), Battle of, 680, in	Karbalā', Battle of, Karbalā', Iraq, 680, in	NO
literature	literature	
Kassr-el-Kebir, Battle of, 1578	Alcazarquivir, Battle of, Qasr al-Kabīr,	NO
	Larache, Morocco, 1578	
Kayasths	Kayasthas	YES
Khān Maysalūn, Battle of, 1920	Khān Maysalūn, Battle of, Khān	NO
,,,,	Maysalūn, Syria, 1920	
Khaybar, Battle of, 628	Khaybar, Battle of, Khaybar, Saudi	NO
Timayour, Buttle or, 020	Arabia, 628	110
Kings and rulers—Journeys	Kings and rulers—Travel	YES
Konotop (Sums'ka oblast', Ukraine),	Konotop, Battle of, Konotop, Sums'ka	NO
Battle of, 1659	oblast', Ukraine, 1659	NO
		NO
Kosovo (Serbia )—History—Civil	Kosovo (Serbia)—History—Civil War,	NO
War, 1998-	1998-1999	210
Kotodeshi Plain, Albania, Battle of,	Torviolli, Battle of, Albania, 1444	NO
1444	W.1 D. W.1 C.C: 1403	
Krbava (Croatia), Battle of, 1493	Krbava, Battle of, Croatia, 1493	NO
Labrador (Nfld.)	Labrador (N.L.)	NO
Labrador Straits (Nfld.)	Labrador Straits (N.L.)	NO
Larch leaf-roller	Zeiraphera diniana	YES
Latrodectus	Widow spiders	YES
Latrodectus geometricus	Brown widow spider	YES
Laurentian Channel (Nfld. and N.S.)	Laurentian Channel (N.L. and N.S.)	NO
Leaf-rollers	Leafrollers	YES
Legnica (Poland), Battle of, 1241	Liegnitz, Battle of, Legnickie Pole,	NO
<i>y,</i> , ,	Poland, 1241	
Lethenteron	Lampetra	YES
Lethenteron alaskense	Alaskan brook lamprey	YES
	<del></del>	- 20

Liaoyang (China), Battle of, 1904	Liaoyang, Battle of, Liaoyang, China,	NO
Libraries and Australian aborigines	1904 Libraries and Aboriginal Australians	YES
Libraries and readers	Public services (Libraries)	YES
Library catalogs and readers	Library catalogs and users	YES
Lincoln, Abraham, 1809-1865— Journeys	Lincoln, Abraham, 1809-1865—Travel	YES
Lipan, Battle of, 1434	Lipany, Battle of, Czech Republic, 1434	NO
Little Codroy River (Nfld.)	Little Codroy River (N.L.)	NO
Little Codroy River Watershed (Nfld.)	Little Codroy River Watershed (N.L.)	NO
Lomatium suksdorfii	Suksdorf's desert parsley	YES
Long Range Mountains (Nfld.)	Long Range Mountains (N.L.)	NO
Lotta (Fictitious character)	Lotta (Fictitious character : Lindgren)	NO
Maciejowice (Warsaw, Poland), Battle of, 1794	Maciejowice, Battle of, Maciejowice, Garwolin, Poland, 1794	NO
Makkovik Bay (Nfld.)	Makkovik Bay (N.L.)	NO
Malazgirt, Battle of, 1071	Manzikert, Battle of, Turkey, 1071	NO
Mansura, Battle of, 1250	Mansurah, Battle of, Mansūrah, Egypt, 1250	NO
Mansura, Battle of, 1250, in literature	Mansurah, Battle of, Manṣūrah, Egypt, 1250, in literature	NO
Maracaibo Lake (Venezuela), Battle of, 1823	Lake Maracaibo, Battle of, Venezuela, 1823	NO
Medicine ball	Medicine balls	YES
Melville, Lake (Nfld.)	Melville, Lake (N.L.)	NO
Micropogon	Micropogonias	YES
Midgets	Dwarfs	YES
Midgets in art	Dwarfs in art	NO
Midgets in motion pictures	Dwarfs in motion pictures	NO
Mikatagahara, Battle of, 1572	Mikatagahara, Battle of, Japan, 1572	NO
Miniature painting, Jaina	Jaina miniature painting	YES
Mohács (Hungary), Battle of, 1526 Moose River All Terrain Vehicle Trail (Minn.)	Mohács, Battle of, Hungary, 1526 Moose River ATV/OHM Trail (Minn.)	NO NO
Mount Mitchell (N.C.)	Mitchell, Mount (N.C.: Mountain)	NO
Mukhayyam Tall az Za'tar, Battle of, 1976	Mukhayyam Tall az Za'tar, Battle of, Lebanon, 1976	NO
Mural painting and decoration, Jaina	Jaina mural painting and decoration	YES
Mustelus canis	Smooth dogfish Mythology, Aboriginal Australian	YES
Mythology, Australian aboriginal Mythology, Australian aboriginal,	Mythology, Aboriginal Australian, in art	YES NO
ın art Mythology, Jaina, in art	Jaina mythology in art	NO
Nagashino, Battle of, 1575	Nagashino, Battle of, Japan, 1575	NO NO
Nagashino, Battle of, 1575, in art	Nagashino, Battle of, Japan, 1575, in art	NO
Nahāvand (Iran), Battle of, 642	Nahāvand, Battle of, Nahāvand, Iran, 642	NO
Nantucket pine moth	Nantucket pine tip moth	YES
Naousa (Ēmathia, Greece), Battle of, 1949	Naoussa, Battle of, Naousa, Emathia, Greece, 1949	NO
Neerwinden, Belgium, Battle of, 1693	Neerwinden, Battle of, Neerwinden, Belgium, 1693	NO
Neerwinden, Belgium, Battle of, 1793	Neerwinden, Battle of, Neerwinden, Belgium, 1793	NO
New World Island (Nfld.)	New World Island (N.L.)	NO
Newfoundland, Island of (Nfld.)	Newfoundland, Island of (N.L.)	NO
Nicholson, Kristy (Fictitious character)	Nicholson, Kirsty (Fictitious character)	NO
Nieuport, Battle of, 1600	Nieuwpoort, Battle of, Belgium, 1600	NO
Nizip, Battle of, 1839	Nizip, Battle of, Nizip, Turkey, 1839	NO
Nontariff trade barriers	Non-tariff trade barriers	YES
Nontariff trade barriers—Law and legislation	Non-tariff trade barriers—Law and legislation	YES
Nōrā (Dance)	Nōrā (Thai dance drama)	YES
Northwest River (Terra Nova National Park Region, Nfld.)	Northwest River (Terra Nova National Park Region, N.L.)	NO
Notre Dame Bay (Nfld.)	Notre Dame Bay (N.L.)	NO
Obertyn (Ukraine), Battle of, 1531	Obertyn, Battle of, Obertyn, Ukraine, 1531	NO
Odawara-shi (Japan), Battle of, 1590	Odawara, Battle of, Odawara-shi, Japan, 1590	NO

Offer Wadham Island (Nfld.)	Offer Wadham Island (N.L.)	NO
Okehazama (Japan), Battle of, 1560	Okehazama, Battle of, Japan, 1560	NO
Olomouc (Czech Republic), Battle of, 1242	Olomouc, Battle of, Olomouc, Czech Republic, 1242	NO
Oltenița (Romania), Battle of, 1853	Oltenitza, Battle of, Olteniţa, Romania, 1853	NO
Orovnik, Macedonia, Battle of, 1448	Oranik, Battle of, Macedonia, 1448	NO
Ostrołeka (Ostrołeka, Poland), Battle of, 1831	Ostroleka, Battle of, Ostrołeka, Ostrołeka, Poland, 1831	NO
Painting, Australian aboriginal	Painting, Aboriginal Australian	YES
Pákozd (Hungary), Battle of, 1848	Pákozd, Battle of, Hungary, 1848	NO
Pantomimes with music, Sacred	Sacred pantomimes with music	YES
Pantomimes with music, Sacred— Vocal scores with piano	Sacred pantomimes with music—Vocal scores with piano	NO
Parker Valley (Ariz.)	Parker Valley (Ariz. and Calif.)	NO
Pelagonia, Battle of, 1259	Pelagonia, Battle of, Macedonia, 1259	NO
Perception, Disorders of	Perceptual disorders	YES
Petromyzonidae	Petromyzontidae	YES
Petropavlovsk-Kamchatskii (Russia),	Petropavlovsk-Kamchatskiĭ, Battle of,	NO
Battle of, 1854	Petropavlovsk-Kamchatskii, Russia, 1854	NO
Philosophy, Australian aboriginal	Philosophy, Aboriginal Australian	NO
Piano—Orchestra studies	Piano—Orchestral excerpts	NO
Pinus pinea	Italian stone pine	YES
Pisagua (Chile), Battle of, 1879	Pisagua, Battle of, Pisagua, Chile, 1879	NO
Placentia Bay (Nfld.)	Placentia Bay (N.L.)	NO
Poland—History—To 960 (ca.)	Poland—History—To 960 Relend History Stefan Retery	NO
Poland—History—Stephen Bathory, 1575-1586	Poland—History—Stefan Batory, 1575-1586	NO
Port au Port Peninsula (Nfld.)	Port au Port Peninsula (N.L.)	NO
Potutory (Ukraine), Battle of, 1916	Potutory, Battle of, Potutory, Ukraine,	NO
Totatory (Okrame), Battle or, 1910	1916	110
Presbytis entellus	Hanuman langur	YES
Presidents—Journeys	Presidents—Travel	YES
Prints, Australian aboriginal	Prints, Aboriginal Australian	YES
Prut River, Battle of, 1711	Pruth, Battle of, Romania, 1711	NO
	Pikeminnows	YES
Ptychocheilus Ptychocheilus lucius	Colorado pikeminnow	
	Northern pikeminnow	YES
Ptychocheilus oregonensis		YES
Qādisīyah, Battle of, 637	Qādisīyah, Battle of, Iraq, 637	NO
Quadrant Realessias (Reland) Rettle of 1704	Quadrants (Astronomical instruments)	YES
Racławice (Poland), Battle of, 1794	Racławice, Battle of, Racławice, Poland, 1794	NO
Random Island (Nfld.)	Random Island (N.L.)	NO
Red-banded leaf-roller	Redbanded leafroller	YES
Red Cross	Red Cross and Red Crescent	YES
Red Cross—Biography	Red Cross and Red Crescent—Biography	NO
Red Cross—Juvenile literature	Red Cross and Red Crescent—Juvenile literature	NO
Red Cross—Public relations	Red Cross and Red Crescent—Public relations	YES
Red Cross on postage stamps	Red Cross and Red Crescent on postage stamps	NO
Red Indian Lake (Nfld.)	Red Indian Lake (N.L.)	NO
Rhinopithecus roxellana	Golden snub-nosed monkey	YES
Richelieu River (Québec)	Richelieu River (N.Y. and VtQuébec)	NO
Richelieu River Valley (Québec)	Richelieu River Valley (N.Y. and	NO
• • •	VtQuébec)	
Richelieu River Watershed (Québec)	Richelieu River Watershed (N.Y. and VtQuébec)	NO
Ridania, Battle of, 1517	Raydānīyah, Battle of, Raydānīyah, Egypt, 1517	NO
Robert's Arm Group (Nfld.)	Robert's Arm Group (N.L.)	NO
Roncesvalles, Battle of, 778	Roncesvalles, Battle of, Spain, 778	NO
Roncesvalles, Battle of, 778, in	Roncesvalles, Battle of, Spain, 778, in	NO
literature	literature	
Ruvu River (Tanzania)	Ruvu River (Morogoro Region and Pwani Region, Tanzania)	NO

Ruvu River Watershed (Tanzania)	Ruvu River Watershed (Morogoro Region and Pwani Region, Tanzania)	NO
Sacramento squawfish	Sacramento pikeminnow	YES
Salt River (Ariz.)	Salt River (Ariz. : River)	NO
Sardinia (Italy)—History—Carlo Alberto, 1831-1849	Sardinia (Italy)—History—Charles Albert, 1831-1849	NO
Sculpture, Australian aboriginal	Sculpture, Aboriginal Australian	YES
Sculpture, Jaina	Jaina sculpture	YES
Seal Lake (Nfld.)	Seal Lake (N.L.)	NO
Seaplanes—Take-off	Seaplanes—Takeoff	YES
Securities, Privately placed	Privately placed securities	YES
Sekigahara, Battle of, 1600	Sekigahara, Battle of, Japan, 1600 in ort	NO
Sekigahara, Battle of, 1600, in art	Sekigahara, Battle of, Japan, 1600, in art	NO NO
Seward Park (Seattle, Wash.)	Seward Park (Seattle, Wash.: Park)	NO YES
Shakespeare, William, 1564-1616— Journeys	Shakespeare, William, 1564-1616— Travel	
Shakespeare, William, 1564-1616— Journeys—Guidebooks	Shakespeare, William, 1564-1616— Travel—Guidebooks	NO
Shallow Bay Formation (Nfld.)	Shallow Bay Formation (N.L.)	NO
Shimonita-machi (Japan), Battle	Shimonita, Battle of, Shimonita-machi	NO
of, 1864	Japan, 1864	
Shizugatake, Battle of, 1583	Shizugatake, Battle of, Japan, 1583	NO
Shizugatake, Battle of, 1583, in art	Shizugatake, Battle of, Japan, 1583, in art	NO
Shōguns	Shoguns	YES
Shōguns' wives	Shoguns' wives	YES
Sia Indians	Zia Indians	YES
Sia painting	Zia painting	YES
Šiauliai (Lithuania), Battle of, 1236	Saule, Battle of, Lithuania, 1236	NO
Sibiu (Romania), Battle of, 1849	Nagyszeben, Battle of, Sibiu, Romania, 1849 (March 11)	NO
Siffin, Battle of, 657	Siffin, Battle of, Syria, 657	NO
Sin Aikst Indians	Senijextee Indians	YES
Sisak (Croatia), Battle of, 1593	Sisak, Battle of, Sisak, Croatia, 1593	NO
Soccer players, Australian aboriginal	Soccer players, Aboriginal Australian	YES
Songs, Australian (Aboriginal)	Songs, Aboriginal Australian	YES
Sunomata (Japan), Battle of, 1181	Sunomata, Battle of, Sunomata-chō, Japan, 1181	NO
Supersonic transport planes—Take-off	Supersonic transport planes—Takeoff	YES
Svensksund, Battle of, 1790	Svensksund, Battle of, Finland, 1790	NO
T34 (Tank)	T-34 (Tank)	NO
T-34-85 (Tank)	T-34 (Tank)	NO
TA 152 (Fighter plane)	Focke-Wulf Ta 152 (Fighter plane)	NO
Tabaruzaka, Battle of, 1877	Tabla language	NO
Tanahmerah language (Northeast Irian Jaya)	Tabla language	YES
Tannenberg, Battle of, 1410	Tannenberg, Battle of, Poland, 1410	NO
Tannenberg, Battle of, 1410—	Tannenberg, Battle of, Poland, 1410—	NO
Anniversaries, etc.	Anniversaries, etc.	110
Tasmanian aborigines	Aboriginal Tasmanians	YES
Tasmanian aborigines—Implements	Aboriginal Tasmanians—Implements	YES
Tasmanian aborigines—Mixed	Aboriginal Tasmanians—Mixed descent	YES
descent Telephone Wireless	Radio	VEC
Telephone, Wireless		YES
Telephone, Wireless—Law and legislation	Radio—Law and legislation	YES
Telephone, Wireless—Stations	Radio stations	YES
Terra Nova National Park (Nfld.)	Terra Nova National Park (N.L.)	NO
Terrington Basin (Nfld. : Bay)	Terrington Basin (N.L. : Bay)	NO
Tete language	Nyungwe language	YES
Textile fabrics, Australian aboriginal	Textile fabrics, Aboriginal Australian	YES
Transport planes—Take-off	Transport plane—Takeoff	YES
Uhud, Battle of, 625	Uhud, Battle of, Saudi Arabia, 625	NO
Ulcinj (Montenegro), Battle of, 1880	Ulqin, Battle of, Ulcinj, Montenegro, 1880	NO
Valea Albă, Battle of, 1476	Valea Albă, Battle of, Romania, 1476	NO
Vaslui, Battle of, 1475	Vaslui, Battle of, Romania, 1475	NO NO
Villa Doria Pamphili (Rome, Italy)	Casino del bel respiro (Rome, Italy) Villa Doria Pamphili (Rome, Italy)	NO NO
Villa Doria Pamphili (Rome, Italy)	Villa Doria Pamphilj (Rome, Italy : Park)	NO

Voisey's Bay (Nfld.)	Voisey's Bay (N.L.)	NO
Volochayevka, Battle of, 1922	Volochaevka, Battle of, Russia, 1922	NO
Wadaryō, Battle of, 1864	Wadaryō, Battle of, Japan, 1864	NO
Washington Convention Center (Washington, D.C.)	Washington Convention Center (Washington, D.C.: Ninth Street)	NO
White River (Wash.)	White River (Pierce County and King County, Wash.)	NO
Wilgefortis (Legendary saint) in art	Wilgefortis (Legendary saint)—Art	NO
Women, Australian aboriginal	Women, Aboriginal Australian	YES
Women, Australian aboriginal, in literature	Women, Aboriginal Australian, in literature	NO
Women artists, Australian aboriginal	Women artists, Aboriginal Australian	YES
Yamazaki, Battle of, 1582	Yamazaki, Battle of, Japan, 1582	NO
Yarmuk River, Battle of the, 636	Yarmuk River, Battle of the, Syria, 636	NO
Yashima (Japan), Battle of, 1185	Yashima, Battle of, Japan, 1185	NO
Yeakley family	Yeagley family	NO
Yingxiong Islands (China), Battle of, 1955	Yijiangshan, Battle of, China, 1955	NO
Youth, Australian aboriginal	Youth, Aboriginal Australian	YES
Zhengzhou (China)—History	Zhengzhou Shi (China)—History	NO
Zhengzhou (China), Battle of, 1948	Zhengzhou, Battle of, Zhengzhou Shi, China, 1948	NO

## SUBJECT HEADINGS REPLACED BY NAME HEADINGS

Cancelled Subject Heading Replacement Name Heading

Challenger Expedition, 1872-1876 Challenger Expedition (1872-1876)

# **MARC**

The following additions and changes should be noted for inclusion in the 2003 edition of the *MARC Code List for Languages*.

### Additions:

Bimin [paa]
Diriku [bnt]
Gwahatike [paa]
Kagoro (Mali) [nic]
Kayan [map]
Oroch [tut]
Pokomo [bnt]

Southern Tutchone [ath]

#### Changes:

from: to

Kagoro [nic] Kagoro (Nigeria) [nic]

Tanahmerah (Northeast Irian Jaya) [paa] Tabla [paa] Tete [bnt] Nyungwe [bnt]

# ROMANIZATION

## **CHANGE IN YIDDISH ROMANIZATION PRACTICE**

As of July 1, 2003, LC romanization of certain vowel clusters in Yiddish has been simplified, as in the romanization scheme of the YIVO Institute of Jewish Research. Clusters of two vowels in which yud preceded by the vowel "i" is the first character were formerly romanized with a "y" between the first and second vowels. The "y" will now be omitted, in accordance with responses to the survey published in *Cataloging Service Bulletin*, no. 77, summer 1997, p. 66-68.

Yiddish script	Former practice	New practice
ןלאירעטאמ	mațeriyaln	mațerialn
טעיד	diyeţ	dieț
עיפארגאילביב	bibliyografye	bibliografye
ןויצ	Tsiyen	Tsien

Bibliographic and authority records employing the older romanization practice will be corrected as they are encountered in future LC cataloging. NACO libraries may correct headings and report them for bibliographic file maintenance.