



U.S. Department of Energy
U.S. Energy Information Administration
Washington, DC 20585
Form EIA-858
Uranium Marketing Annual Survey
Instructions
Calendar Year 2011

OMB No. 1905-0160
Form Approved
Approval Expires 1/31/2013

INSTRUCTIONS

SANCTIONS

The timely submission of Form EIA-858 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275), as amended. Failure to respond may result in a penalty of not more than \$2,750 per day for each civil violation, or a fine of not more than \$5,000 per day for each criminal violation. The government may bring a civil action to prohibit reporting violations, which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements.

CONFIDENTIALITY

The items RESPONDENT IDENTIFICATION NAME and OTHER PARTY NAME (ITEM 1A), and SELLER'S NAME (ITEM 3B) on Form EIA-858 will be considered "public information" and may be publicly released in company or individually identifiable form, and will not be protected from disclosure in identifiable form.

All other information you provide on Form EIA-858 will be used for statistical purposes only. In accordance with the Confidential Information Protection provisions of Title V, Subtitle A of Public Law 107-347 and other applicable Federal laws, your responses will be kept confidential and will not be disclosed in identifiable form to anyone other than employees or agents without your consent. By law, every EIA employee, as well as every agent, is subject to a jail term, a fine of up to \$250,000, or both if he or she discloses ANY identifiable information about you.

REPORTING BURDEN

Public reporting burden for this collection of information is estimated to average 15 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Statistics and Methods Group, EI-70 Forrestal Building, 1000 Independence Avenue SW, Washington, D.C. 20585-0670; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503. A person is not required to respond to the collection of information unless the form displays a valid OMB number.

EIA-858 SURVEY MANAGER AND CONTACT

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WHO MUST RESPOND: Firms and individuals that were involved in the (domestic) U.S. uranium industry must complete the Form EIA-858.

WHEN TO RESPOND: The Form EIA-858 must be filed with the EIA by March 1, 2012.

METHODS OF FILING RESPONSE: Survey respondents should submit data electronically using EIA's secure Single Sign-On internet data collection system. This system uses security protocols to protect information against unauthorized access during transmission. If you have not registered with EIA's Single Sign-On system, send an email requesting assistance to EIA-858@eia.doe.gov. If you have registered with Single Sign-On, log on at <https://signon.eia.doe.gov/ssoserver/login>. If you are having a technical problem with accessing or using the Single Sign-On system, send an email requesting assistance to EIA-858@eia.doe.gov.

An alternative to the preferred Single Sign-On system that is also electronically secure is EIA's Secure File Transfer (SFT) system. To use SFT, save the form to your hard drive and refer to the following website for further instructions: <https://signon.eia.doe.gov/upload/notice858.jsp>.

Or file the form by the following nonsecure methods:

- Email the form to douglas.bonnar@eia.gov.
- Fax the form to (202) 287-1944.
- Mail the form to: U.S. Department of Energy, Energy Information Administration, Mail Stop: BG-076 (Form EIA-858), 1000 Independence Avenue, SW, Washington, DC 20077-5651.

Note that commonly used facsimile and email transmissions (including files attached to email messages) travel over ordinary telephone lines and are not considered secure electronic methods of transmitting survey data. Please retain a copy of your submission for your files.



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SPECIFIC INSTRUCTIONS

ITEM 1. CONTRACT covers all *Purchase, Sale, Exchanges, Loans, Loan Repayments, and/or Other* transactions (transfer of title) active during the Survey Year. Exchanges include physical material, country of origin, and ownership changes of uranium. Report each contract that was active or that was completed (performed) by your firm during the Survey Year. If, during the Survey Year, your firm held or entered into other contracts, a separate Item 1 must be completed to report each such new contract, including those completed during the year. EIA must be able to account for all *transfers of title to uranium materials* during the Survey Year. Any transaction that involved a transfer of title, i.e., the Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other should be reported. A separate Item 1 must be completed to report all information requested under Items 1.A through 1.F for a transfer-of-title contract during the Survey Year. Under certain conditions, a Sale or Purchase of separative work units (SWU) in the secondary market constitutes a transfer of title to uranium. A contract that involves, in fact, a transfer of title to enriched uranium for a title to natural uranium, or vice-versa, should be reported as an Exchange.

Item 1.A. Other Party Name: The other party or parties named in the contract.

Item 1.B. Date Contract Signed: Give the date the contract was originally signed. If applicable, give the latest date it was renegotiated and indicate whether this was price related.

Item 1.C. Contract Type: **Spot Contract** - A one-time delivery of the entire contract quantity to occur within one year of contract execution.

Long-Term Contract - One or more deliveries to occur after a year following contract execution.

Item 1.D Uranium Deliveries in Reporting Year

Item 1.D.1 Transaction Type: Indicate whether each delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved, use the next row, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each delivery.

Item 1.D.2 Material Type: **U3O8** – Uranium oxide (Uranium concentrate or yellowcake) **Natural UF6** – Uranium Hexafluoride **Enriched Uranium** – Uranium in which the U-235 isotope concentration has been increased to greater than the 0.711 percent U-235 (by weight) present in natural uranium

Item 1.D.3. Country of Origin: Enter the country in which the uranium was mined.

Item 1.D.4. Quantity (thousand pounds): Enter quantity of uranium oxide (U3O8) and U3O8 equivalent uranium-component quantity specified in the contract for each delivery of natural UF6 and enriched uranium during the Survey Year.

Item 1.D.5. Price (US\$ per pound): Enter price of uranium oxide (U3O8) and U3O8 equivalent uranium-component price specified in the contract for each delivery of natural UF6 and enriched uranium during the Survey Year. In the price, do not include the conversion service and enrichment service components. Note that prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

Items 1.D.6. Pricing Mechanism: Report whether the pricing arrangement under the contract is specified as base-price escalated or fixed price, or whether the price is based on a spot-market price. If the contract specifies a different pricing mechanism, mark "Other" and briefly describe. Note that pricing mechanisms prices should not be reported for Exchanges, Loans, Loan Repayments, and Other transactions.

Item 1.E Future Deliveries

Item 1.E.1. Enter each year that deliveries stated in the contract, allowing for firm and optional quantities.

Item 1.E.2. Transaction Type: Indicate whether each future delivery is a Purchase, Sale, Exchange, Loan, Loan Repayment, and/or Other transfer of title. If Other, specify. If more than one transaction type is involved for a future year, use the next row reporting that same year, and so on. For a Loan or Loan Repayment, indicate whether your firm was the Lender or the Borrower. An Exchange must be marked appropriately as sent and received separately for each future delivery.

Item 1.E.3. Material Type: **U3O8** – Uranium oxide (Uranium concentrate or yellowcake) **Natural UF6** – Uranium Hexafluoride **Enriched Uranium** – Uranium in which the U-235 isotope concentration has been increased to greater than the 0.711 percent U-235 (by weight) present in natural uranium

Item 1.E.4-5. Minimum and Maximum Quantity (thousand pounds): Report the minimum and maximum quantities as stated in the contract for each year, to allow the option of either increasing or decreasing quantities. If a future delivery is firm or fixed, report that same quantity under both minimum and maximum.

Item 1.F. Contract Completed: Indicated if this survey year delivery is the last delivery under the terms of this contract. If a contract was completed during the Survey Year, please report YES, and be sure to return that contract with your survey form.

Item 2. Uranium Inventories: State the inventory quantities, both domestic- and foreign-origin, as of the end of the Survey Year to which your firm own, held title, and/or held under financial lease. Reported quantities should agree with inventory quantities reported last year and with data provided elsewhere in Form EIA-858. That is, inventory quantities should reflect last year's amounts with adjustments for subsequent stock changes, purchases, sales, exchanges, loans, and usages, etc., reported on other parts of this form.

Item 3. Enrichment Services Purchased by Owners and Operators of Civilian Nuclear Power Reactors: Report purchases of enrichment services made during the Survey Year. In Item 3D, report individual quantities in units of separative work units (SWU), not thousand SWU, purchased by the country where the enrichment service was performed (country where enrichment plant is located) or SWU-origin in Item 3A, seller's name in Item 3B, and the appropriate type of contract (Spot or Long-Term) in Item 3C. A purchase of SWU through assignment of an enrichment contract should be reported under this item. In Item 3E, report the average SWU price paid in U.S. dollars per SWU for the total quantity of enrichment services purchased during the Survey Year. Owners and operators of civilian nuclear power reactors are required to report their purchases of enrichment services during the Survey Year under the provisions of Section 1015 of the Energy Policy Act of 1992 (P.L. 102-486).

Item 4. Uranium In Fuel Assemblies loaded into U.S. Nuclear Power Reactors (Owners and Operators of Civilian Nuclear Power Reactors only): Report only the total of unirradiated new fuel assemblies loaded into the reactor(s) during the Survey Year and during the prior-year by domestic- and foreign-origin. Do not include uranium removed from a reactor that subsequently will be reloaded.

Item 5. Uranium Feed Deliveries to U.S. and Foreign Enrichers in the Survey Year: Enter the country origins and associated quantity of uranium feed shipped to enrichment plants, indicating each country where enrichment plant is located. Do not include deliveries placed in your usage agreement account that are not intended for withdrawal until in the next calendar year or later. Withdrawals of enriched uranium from your usage agreement account in the Survey Year, however, should be included as appropriate in your response(s).

Item 6. Projected Enrichment Feed Deliveries and Unfilled Market Requirements: In the second column, enter your firm's total projected enrichment feed shipments to enrichment service suppliers (U.S. and foreign suppliers) for each year. Include enriched uranium to be received through all purchase and exchange contracts in effect as of the end of the Survey Year. Exclude feed deliveries of uranium scheduled for a cancelled reactor, unless the enriched product will be used in another of your firm's reactor units. In the third column, enter your firm's total unfilled market requirements for each year.

Note: The total projected shipments in the second column are the contracted deliveries plus unfilled requirements reported in the third column.