

AMENDMENT TO H.R. 3606
OFFERED BY MR. ROYCE OF CALIFORNIA

Page 7, line 14, strike “Section” and insert the following:

1 (a) IN GENERAL.—Section

Page 7, beginning on line 16, strike “(as defined in section 3 of the Securities Exchange Act of 1934)”.

Page 7, after line 18, insert the following:

2 (b) DEFINITION.—Section 404 of the Sarbanes-Oxley
3 Act of 2002 is further amended by adding at the end the
4 following:

5 “(d) EMERGING GROWTH COMPANY DEFINED.—For
6 purposes of this section, the term ‘emerging growth com-
7 pany’ means—

8 “(1) an emerging growth company, as defined
9 in section 3 of the Securities Exchange Act of 1934;
10 or

11 “(2) a company that has a total public float of
12 less than \$1,000,000,000.”.

